



Article Elucidating the Effects of COVID-19 Lockdowns in the UK on the O₃-NO_x-VOC Relationship

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Abstract: The unprecedented reductions in anthropogenic emissions over the COVID-19 lockdowns were utilised to investigate the response of ozone (O₃) concentrations to changes in its precursors across various UK sites. Ozone, volatile organic compounds (VOCs) and NO_x (NO+NO₂) data were obtained for a 3-year period encompassing the pandemic period (January 2019–December 2021), as well as a pre-pandemic year (2017), to better understand the contribution of precursor emissions to O₃ fluctuations. Compared with pre-lockdown levels, NO and NO₂ declined by up to 63% and 42%, respectively, over the lockdown periods, with the most significant changes in pollutant concentrations recorded across the urban traffic sites. O_3 levels correspondingly increased by up to 30%, consistent with decreases in the $[NO]/[NO_2]$ ratio for O_3 concentration response. Analysis of the response of O3 concentrations to the NOx reductions suggested that urban traffic, suburban background and suburban industrial sites operate under VOC-limited regimes, while urban background, urban industrial and rural background sites are NO_x-limited. This was in agreement with the [VOC]/[NO_x] ratios determined for the London Marylebone Road (LMR; urban traffic) site and the Chilbolton Observatory (CO; rural background) site, which produced values below and above 8, respectively. Conversely, [VOC]/[NOx] ratios for the London Eltham (LE; suburban background) site indicated NO_x -sensitivity, which may suggest the $[VOC]/[NO_x]$ ratio for O_3 concentration response may have had a slight NO_x-sensitive bias. Furthermore, O_3 concentration response with [NO]/[NO₂] and [VOC]/[NOx] were also investigated to determine their relevance and accuracy in identifying O₃-NO_x-VOC relationships across UK sites. While the results obtained via utilisation of these metrics would suggest a shift in photochemical regime, it is likely that variation in O_3 during this period was primarily driven by shifts in oxidant $(OX; NO_2 + O_3)$ equilibrium as a result of decreasing NO₂, with increased O₃ transported from Europe likely having some influence.

Keywords: COVID-19; NO_x-sensitivity; O₃-NO_x-VOC relationship; oxidant; urban traffic site; rural background site

1. Introduction

The coronavirus pandemic has been one of the largest challenges faced globally in recent years, with 675 million total cases and 6.87 million deaths over 2 years [1,2]. The UK response involved enforcing social distancing measures (18 March 2020) and national lockdowns, with the first starting on 23 March 2020. The initial lockdown lasted around three months with restrictions being lifted on 4 July 2020 [3–5]. Following this were two subsequent lockdowns: 5 November 2020 to 2 December 2020 and 6 January 2021 to 21 June 2021. All lockdowns involved similar restrictions (but to varying degrees), mainly related to limits on non-essential gatherings (including at workplaces and schools), non-essential travel and non-essential services [4,5]. Restrictions were gradually eased from



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Copyright: © 2024 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). 12 April 2021 [3]. The strict limitations placed on the population resulted in social and economic disruption and a significant reduction in pollution as a result. An astonishing 69% reduction of traffic (by vehicle count) was observed in total across the UK, and this drastic decrease in human activity caused an unprecedented fall in pollution in the UK and across the globe [4–7]. Furthermore, industrial activity across the globe was reduced between 25% and 45%, in turn reducing the emissions of O₃ precursors, such as NO_x and VOCs [8]. Conversely, during this time, a substantial increase in tropospheric O₃ was reported in many areas [9].

It is generally agreed that O_3 generation exists under two main regimes: NO_x -limited and VOC-limited. Under NO_x -limited regimes, O_3 formation is predominantly driven by the reaction of the HO₂ or RO₂ radicals with NO whereas in VOC-limited regimes, O_3 formation is driven by VOC oxidation. In NO_x -limited regimes, a reduction in NO_x will result in a reduction of O_3 . Conversely, in VOC-limited regimes, a reduction in NO_x can result in an increase in O_3 generation due to reduced NO titration [10–16]. As a result, there is a delicate balance to be achieved when reducing NO_x , a common target of air quality-related legislation [17], in order not to inadvertently increase O_3 , which has a range of its own negative impacts [1,12,18–24]. This has presented an interesting challenge in design of air quality legislation where either location-specific or dual-pollutant control strategies are likely to be required [9,10,12,25].

While there is a clear link between the NO_x reduction during UK lockdowns and elevated O₃, there are confounding factors that also require consideration. Namely, the role of meteorology, inter-annual variability, influence of long-term NO_x reductions and shifts in equilibrium between intrinsically linked species [6,9]. For example, in the 3 months prior to the first UK COVID-19 lockdown (January–March 2020), roadside concentrations were reduced by 30%, compared with the 2017–2019 average, via the introduction of clean Atlantic air into the UK by prevailing westerly winds in January and February [9]. Similarly, O₃ elevation was likely amplified by the unusually warm, dry weather experienced during the initial UK lockdown [9]. Given the coupled nature of NO_x and O₃, oxidising species may be combined to give a joint 'oxidant' term, OX (NO₂ + O₃). This is often done to more succinctly describe the short-duration exchanges between the two compounds. As these species exist in a state of equilibrium, variation of one may impact the other; this is another important factor to consider when assessing the impacts of lockdown on tropospheric O₃.

Nevertheless, the pandemic had a clear impact on air pollutant concentrations. On a global scale, satellite observations by the TROPOMI (Tropospheric Monitoring Instrument) indicated that total anthropogenic emissions of NO_x in April 2020 decreased by 14.8 \pm 2.3% in comparison with the 2010–2019 baseline [18,26]. In a business-as-usual scenario, this reduction corresponds to 15 \pm 4 years of global NO₂ reductions [27]. In the UK, declines of 50% and 38% were observed for NO₂ and VOCs, respectively, in comparison with the same period in 2019 [1]. Similarly, roadside NO₂ concentrations between April and June 2020 were 42% lower than those of the previous 3 years [9]. Therefore, meteorology and air quality regulations alone cannot account for the changes in pollution over the course of 2020, with the global lockdowns clearly displaying a disproportionate contribution to the observed decreases in NO₂.

Accordingly, the end of lockdown restrictions in 2021 led to increases in NO₂ concentrations and a reduction in O₃ formation, decreasing mean O₃ concentrations by 3% compared with the highest value recorded in 2020 [9]. The reduction of anthropogenic NO_x emissions, as seen under the COVID-19 lockdowns, is typically regarded as beneficial to air pollution and climate change [18]. However, the significant resultant increase in O₃ concentrations across many regions of the UK and the world has challenged this perspective. Tropospheric ozone has a positive radiative force and is harmful to human health, so its increase is, by definition, not beneficial to either climate change mitigation or air quality improvement [18]. In VOC-limited O₃ generation regimes, in particular, decreasing NO_x emissions increases O₃ via a reduction in O₃ loss by NO titration. Therefore, to control O₃ pollution, it is vital to understand the role of O₃-NO_x-VOC sensitivity under different environments to prevent ineffective pollution reduction policies. With such a unique set of conditions, the COVID-19 pandemic thus provides an opportunity to investigate how O₃ production and sensitivity changes under monumental reductions in anthropogenic emissions.

A number of studies have been conducted regarding the impact of the pandemic on air quality globally [1,28–32]. Similarly, a number of studies have looked at the impact in the UK specifically [5,7,33]. However, these studies have not considered the impact of the pandemic on O_3 generation sensitivity and lack context regarding the non-linearity of O_3 response to its precursors under different production regimes. The lockdowns in the UK provide an unprecedented opportunity to assess and test the robustness of commonly used metrics relating to such processes and investigate the complex relationships between tropospheric pollutants in extraordinary circumstances. As such, this investigation examined monitoring data from 60 stations covering different environmental types situated around the UK to assess the variability of pollutants pre-lockdown and during lockdown. Additionally, where possible, a number of indicators were employed and compared to elucidate the O_3 generation regime of a given site and any shifts as a result of pollution reduction during lockdown restrictions. The study also shows the effect of the lockdown response in terms of increasing O_3 and decreasing NO_2 to the decreasing NO_x by changes in the oxidant partitioning.

2. Materials and Methods

To determine the difference between the pandemic and 'normal' conditions, we analysed measurement data for O_3 and NO_x (=NO + NO_2) over a 3-year period (1 January 2019–31 December 2021) encompassing the COVID-19 pandemic, as well as pre- and post-pandemic periods. These data were then compared with data from 2017 (1 January–31 December) to provide a pre-lockdown representation without potential impacts from early behaviour change or seasonal skewing that could result from solely considering the months directly preceding the UK lockdowns. Ground-level readings for these pollutants were obtained from the Automatic Urban and Rural Monitoring Network (AURN), supplied by the Department for Environment, Food and Rural Affairs (DEFRA), which records measurement data on various pollutants across 170 Air Quality Monitoring Stations (AQMS) throughout the UK [34]. O_3 concentrations at these stations were obtained through UV absorption, while NO and NO_2 measurements were recorded by chemiluminescence [34]. Measurement data for each pollutant were collected as time-resolved hourly readings in units of $\mu g m^{-3}$ but were subsequently converted into molecule cm⁻³ for analysis purposes.

Only monitoring stations with sufficient (>70%) data coverage for O₃, NO and NO₂ over the specified time periods were used for analysis in this study (Figure 1). Of the 170 current monitoring sites, this constituted a total of 60 sites that met said data coverage requirements. More details about the site selection are shown in Figure 2. These covered a range of environments including urban, suburban, rural, industrial and traffic sites [35], with some variation observed in the representation of each site type. Larger quantities of data were generally recorded at the urban background and rural background sites, with the urban background environment contributing the greatest proportion of the measurement sites analysed (38 sites; 63.3%) (see Appendix A, Table A1). As a result, data reported for the urban background sites is a much more reliable representation of the environment type, as it contains data averaged over 38 sites, as opposed to the much fewer sites represented by the urban industrial (3 sites), suburban background (3 sites) and suburban industrial (1 site) data. In terms of geographical representation, the data were mainly concentrated across England, with only a small number of sites in Scotland (5 sites), Wales (4 sites) and Northern Ireland (1 site) having sufficient data for analysis. Of the sites in England, there was a clear distribution disparity (Figure 1) and a total of 7 of these monitoring stations were situated in London.



Figure 1. Map of the UK plotting the locations of the 60 sites used for the data analysis in this study.

Data on the relevant VOCs were similarly obtained from DEFRA's Automatic Hydrocarbon Network, albeit for only 3 sites (London Eltham, Suburban background; London Marylebone Road, Urban traffic; Chilbolton Observatory, Rural background), as this network consists of just 5 current monitoring stations and only 3 of these recorded sufficient VOC data over the time periods considered (Appendix A, Table A2) [36]. An automatic PerkinElmer gas chromatograph was used to record data on a total of 29 VOCs (12 alkanes, 10 alkenes, 6 aromatic hydrocarbons, 1 alkyne), with an uncertainty of 15% in the measurements [36]. Of these 29 VOCs, 16 were selected as most relevant to this study, based on the magnitude of their impact on surface ozone formation (Table 1) [5,36].

Although alkanes are often emitted from ozone production analyses, due to their comparatively low reactivity as VOCs, they have recently been shown to play a substantial role in tropospheric ozone formation. At the monitoring stations of both London Eltham (LE) and London Marylebone Road (LMR), alkanes accounted for 60% (3 out of 5) of the greatest contributors to ozone production, along with ethene and propene [25]. A study at LMR also suggested the importance of certain alkanes, demonstrating that approximately 75% of the total ozone formation potential (OFP) at this site was accounted for by ethene, propene, m + p-xylene, toluene, isopentane, n-butane, o-xylene and iso-butane [5].

The urban traffic site LMR received special attention in this study due to the various species measured, the good data coverage of these measurements and its nature as a traffic site with significant local, anthropogenic emissions. Additional analysis relating to oxidant (OX) variation is also conducted at this site. Where this is carried out, data were filtered to include only daylight hours (due to the photochemical nature of conversion between the two oxidants O_3 and NO_2) and overt O_3 photochemical episodes (defined as $[O_3] > 50$ ppb) were removed from analysis (Appendix B).



Figure 2. Site selection procedure.

VOCs	Major Source(s)	Pollutants
Alkanes	Fuel evaporation	Propane
	-	Iso-butane
		n-Butane
		Iso-pentane
		n-Pentane
Alkenes	Industry combustion	Ethene
	Biogenic sources	Propene
		1-Butene
		1-Pentene
		Isoprene
Aromatics	Industry combustion	Benzene
	Solvents	Toluene
	Gasoline/diesel	Ethylbenzene
	exhaust fumes	m + p-Xylene
		o-Xylene
		1,2,4-Trimethylbenzene

Table 1. Table of the 16 volatile organic compound (VOC) pollutants for which there was sufficient data coverage to be used in this study, alongside their emission sources.

Pollutant concentration data of the individual sites for the time period of January 2019 to December 2021 were averaged across the environment type (e.g., urban background, urban traffic, urban industrial, rural background, suburban background, suburban industrial), which were then monthly averaged to produce a focused analysis of concentration changes under each environment. The average monthly pollutant concentrations for the calendar year of 2020 against that of 2017 were also produced, which revealed a parallel analysis of how concentrations of pollutants changed across different environments under the significantly reduced pollution conditions of pandemic restrictions and the normal conditions of a pre-pandemic year. Uncertainty was represented using standard deviation.

VOC data obtained from LMR, LE and Chilbolton Observatory (CO) were used in combination with O_3 and NO_x measurements for the 3 sites to identify the NO_x vs VOC ozone generation sensitivities displayed by the varying site types. A number of established indicators were used to analyse the O_3 generation sensitivity at each site.

The first of the indicators utilised is the most common; the photochemical $[VOC]/[NO_x]$ ratio [5]. Naturally, there is a strong correlation between the rate of tropospheric O₃ production and the concentrations of its precursors [13,37]. O₃ production efficiency can, therefore, be described by the ratio of the rate of O₃ generation against the rate of NO_x removal, represented by Equation (1).

$$\frac{\text{VOC}[\text{kOH}_{\text{VOC}}}{[\text{NO}_{x}][\text{kOH}_{\text{NO}_{x}}}$$
(1)

where [VOC] and [NO_x] are the concentrations and kOH_{VOC} and kOH_{NOx} are the rate constants for reactions of VOCs and NO_x with OH, respectively.

The boundary between VOC- and NO_x-limited regimes has been stated to be ~8, or between 8 and 10 according to the California Air Resources Board (CARB) [5,13,37]. Reportedly, any value below 8 is representative of a VOC-limited O₃ generation regime, whereas values of above 8 are instead suggestive of NO_x-limited conditions [37].

The second indicator utilised was the $[NO]/[NO_2]$ ratio (Equation (2)). NO_x is well known for playing a dual role in both the formation and destruction of O₃ [14]. With the photolysis of NO₂ playing an important role in the formation of O₃ and NO playing an important role in O₃ destruction, an increase in the NO:NO_x ratio can be assumed to result in a reduction in O₃ and vice versa [1].

$$\frac{[\text{NO}]}{\text{NO}_2]} \times 100 \tag{2}$$

Despite the existence of other indicators, $VOCs/NO_x$ and $[NO]/[NO_2]$ are the most appropriate for use in the contexts considered here.

3. Results and Discussion

3.1. Timeline of the COVID-19 Restrictions in the UK

Many previous studies have looked at the impacts of the restrictions implemented during the first COVID-19 lockdown (23 March–4 July 2020) on UK pollution levels, as this was the strictest of the three total lockdowns imposed by the government over the course of the pandemic [5,38] (Figure 3). The first lockdown lasted for approximately 14 weeks and resulted in low levels of traffic and other anthropogenic activity, providing the most significant contrast with pre-pandemic years [38]. Studies such as those of Lee et al. [39] and Higham et al. [33] have consequently compared this time period with previous years to indicate the role of lockdown restrictions on the pollutant levels, with substantial decreases and increases observed in NO_x and O₃ concentrations, respectively. However, as this initial lockdown occurred over the course of spring and early summer months, a reduction in NO_x levels and an elevation of O₃ concentrations [9,10,20]. Therefore, there was difficulty in determining the extent to which lockdown restrictions contributed to these changes in concentration.



Figure 3. Graphical representation of the timeline of the UK COVID-19 pandemic with key restrictions and events from January 2020 to June 2021.

While many studies have been conducted on the impacts of lockdown on air quality, such studies have often not considered the effects of the post-lockdown time period, or subsequent restrictions, which could potentially be of more value [7,38]. The two subsequent lockdowns began in November 2020 and January 2021, respectively; months in which NO_x would typically be elevated, and O₃ reduced [3,10,20]. Hence, a reduction in NO_x or an increase in O₃ over these months would be a stronger indication of the contribution of reduced anthropogenic activity to the observed pollutant changes. As a result, extending the analysis of pollutant concentration changes to include these subsequent lockdowns can be greatly beneficial in analysing the impact that the COVID-19 pandemic had on ambient levels of NO_x, and thus on O₃ production and sensitivity.

3.2. Pollutant Variations across the Site Types for 2019–2021

Considering measurement data for NO, NO_2 and O_3 between July 2019 and July 2021, it is clear that the responses of pollutants varied considerably depending on the site environment (Figure 3). While drastic changes were seen between pollutant concentrations in the pre- and post-lockdown time periods at urban traffic sites, rural background sites experienced only very minimal changes to pollutant concentrations, if any. Urban and suburban background and industrial sites fell between these two extremes, showing some changes in atmospheric concentrations of the three compounds. These site types recorded concentrations closer to those of rural background sites than those of urban traffic sites.

At the urban traffic sites, a reduction in NO_x concentrations was observed from November 2019–April 2020. This was primarily driven by a rapid and substantial decline in NO concentrations. This corresponds to a simultaneous and similarly large increase in O₃ concentrations, reaching its peak between April and May. This peak fell amid the initial lockdown restrictions, which may have been due to the typical seasonal variation of O₃ rather than the impact of said restrictions—the individual influence of each was difficult to discern [4,5,9]. This was compounded by reported increases in O₃ concentration as a result of NO_x reduction policies as well as the unusually warm weather experienced across the restriction periods [6,7,9]. In fact, Jephcote et al. utilised meteorological measurements to demonstrate that the conditions experienced over the initial lockdown made April 2020 the fifth warmest April since 1884, as well as the sunniest April since 1929, accounting for as much as 69% of the observed changes in O₃ and NO_x concentrations [7].

However, observed NO_x concentrations remained low at all urban sites after the initial lockdown, failing to return to the same levels that would typically be expected between November and January in 2020 [40,41]. This was particularly apparent from the urban traffic data, and is likely due to the re-implementation of restrictions later on in the year, starting from 14 September 2020 with the 'rule of six' (Figure 4), followed by further lockdowns in November and January 2021 [38]. As a result, there were, again, significant reductions in traffic emissions and, therefore, in NO_x concentrations. As a result, urban and industrial environments, typically sitting in a VOC-limited ozone generation regime, saw elevated O₃ levels persist after the initial lockdown [13]. Where the minimum monthly concentration of O₃ in 2019 at urban background sites was around 3.9×10^{11} molecule cm⁻³, 2020–2021 saw values of (5.0–5.5) × 10¹¹ molecule cm⁻³; this constitutes an increase of 28–41% in minimum O₃ concentration at these sites.

Similar but less substantial increases (~5%) in minimum O_3 concentrations were seen at rural background sites. However, it is possible this smaller increase could be simply accounted for by the general year-on-year increase in O_3 reported since the 1990s [6,20].

3.3. Comparison of Pre-Lockdown and Lockdown Monthly Pollutant Concentrations

At urban traffic sites, seasonal variations in NO_x are driven by anthropogenic activity and see depletion in summer months compared with the winter (Figure 5). Peaks in NO_x concentrations occurred in November and January, in the pre-lockdown (2017) and lockdown (2020) periods, as would typically be expected due to increased fossil fuel combustion across a range of industries [41]. However, the average NO concentration in November dropped from 1.70×10^{12} molecule cm⁻³ in 2017, to 0.59×10^{12} molecule cm⁻³ in 2020, representing a 65% decline and demonstrating the impact of the second lockdown (5 November–2 December 2020). Similarly, over the first lockdown period (23 March–4 July 2020) NO concentrations decreased by 58–67% compared with the same months in 2017. The reductions in NO₂ concentrations were smaller than those of NO, with average concentrations decreasing from 2017 by approximately 43% for November, and by 37–45% over March to June. This is consistent with values obtained by Higham et al. [33] from a study of six polluted UK cities, who determined reductions of 61% in NO and 42% in NO₂ over the first lockdown, compared with averaged concentrations from 2013 to 2019. As it has been suggested that meteorology does not play a significant role in the variation



of NO_x concentrations, these changes are likely to be predominantly due to the substantial changes in anthropogenic activity [41,42].

Figure 4. Averaged monthly concentrations of NO, NO₂ and O₃, January 2019–December 2021 for all sites analysed, averaged by their environment type, with standard deviation represented using continuous, translucent error bars and hashed black lines indicating the first lockdown in 2020 (23 March–4 July).

These reductions in NO_x corresponded with increases in O₃ of 31% in March, 25% in April, 30% in May and 33% in June. In comparison with NO, concentrations of O₃ are much more reliant on meteorological conditions and are elevated in summer months and inhibited over winter months [12,20,41]. However, if, as Jephcote et al. [7] suggest, meteorology is assumed to account for 69% of these changes in pollutant concentration, the lockdown restrictions would still have accounted for a 9% increase in O₃ concentrations, compared with the same period in 2017, as well as reductions of approximately 20% in NO and 13% in NO₂.

When lockdown restrictions were subsequently removed in July, the return to businessas-usual anthropogenic activity increased NO_x emissions, increasing NO titration of O₃. Hence, despite the heightened photochemical activity at this time of year, which would typically be expected to increase O₃ levels, concentrations of O₃ dropped significantly. Concentrations of O₃ in July 2020 were as low as those of January 2020, indicating the significant effect of the lockdown restrictions on tropospheric pollutant concentrations, independently of meteorological effects.



Figure 5. Average monthly concentrations of NO, NO₂ and O₃ from the urban traffic sites over 2017 and 2020, represented using continuous, translucent error bars and hashed black lines indicating the lockdown period in 2020 as well as the same time period in 2017, in which there was no lockdown, for comparison.

Other urban site types saw similar variations in the pollutants across the considered time period, likely due to similar anthropogenic exposure profiles (Figure 6). O₃ concentrations showed a decrease of 6–7% compared with pre-lockdown levels. In general, smaller changes were seen between the pre-lockdown and the lockdown period for all other site types compared with those seen at urban traffic sites. Conversely, suburban site types showed small increases in O₃ (6–7%) compared with pre-lockdown levels. Given that all site types experienced an overall reduction in NO and NO₂ concentrations across the period considered, this result implies that while urban sites considered operated under a NO_x-limited O₃ generation regime, suburban sites considered operated under a VOC-limited O₃ generation regime.

Across all sites, an unusual increase in O_3 concentrations was observed in August 2020. These increases are likely due to meteorology. In the first half of August 2020, a major summer heatwave took place across the UK, with temperatures exceeding 34 °C on six consecutive days and reaching a peak of 36.4 °C at Heathrow and Kew Gardens in London [43]. This escalated O_3 production in August, causing the spikes in O_3 seen across the board.

Rural background sites showed similar patterns to those observed elsewhere but recorded the smallest overall changes in levels of NO_x and O_3 in 2020, compared with pre-lockdown (Figure 7). O_3 in 2020 peaked ~5.6% higher than the average peak concentrations recorded pre-lockdown. This is consistent with what we would expect, as levels of anthropogenic NO_x emissions typically experienced around sites are insignificant; hence, the lockdown restrictions on human mobility and traffic would not have had a noticeable impact on these areas.



Figure 6. Average monthly concentrations of NO, NO_2 and O_3 for the urban background, urban industrial, suburban background and suburban industrial sites over 2017 and 2020. Standard deviation is represented using continuous, translucent error bars and hashed black lines indicate the lockdown period in 2020 as well as the same time period in 2017, in which there was no lockdown.



Figure 7. Averaged monthly concentrations of NO, NO₂ and O₃ from the rural background sites over 2017 and 2020, with the hashed black lines indicating the lockdown period in 2020 and the same time period in 2017, in which there was no lockdown. Standard deviation is represented using continuous, translucent error bars.

3.4. O_3 -NO_x-VOC Sensitivity

Of the sites analysed thus far, only three have sufficient data to perform O_3 production sensitivity analyses: LMR (urban traffic), LE (urban background) and CO (rural background).

Urban traffic sites were most impacted by the behaviour changes enforced during the lockdowns. This was mirrored in the significant variation in pollutants seen across the periods considered. Large reductions in both NO and NO₂ were seen at LMR (Figure 8a,b) with proportionately larger reductions in NO due to the influence of direct exhaust emissions at this site [41]. As a result, NO titration of O_3 was substantially diminished at LMR, leading to considerable O_3 elevation in 2020 compared with 2017 (Figure 8c). Measured O_3 concentrations peaked in April-May 2020, during the initial lockdown. Conversely, this same period saw the lowest measured VOC concentrations, confirming the influence of NO_x variation on O_3 elevation [5]. Ozone concentrations peaked in April 2020, at almost double the concentrations of those in April 2017 (84% increase), corresponding to reductions of 88% and 53% reductions in NO and NO₂, respectively, compared with 2017. This supports results presented by Zhang and Stevenson [5], who reported an O_3 increase at the LMR site in April 2020 of 26 ppb compared with April concentrations of the previous 20 years, corresponding with a 70% decrease in NO_x concentrations. The singular anomalous spike in ozone, depicted in August, was as a result of the extreme temperatures experienced across the UK during this month [43].

Conversely, at urban and rural background sites (LE and CO), NO concentrations showed little variance between 2017 and 2020, though NO₂ concentrations were notably lower in 2020, as was observed at LMR. Data for NO, NO₂ and O₃ at the urban background site (LE) were consistent with the general fluctuations in the average concentrations for the suburban background site type data presented previously (Figure 9), suggesting these site types may be comparable in some instances. At the rural background site, due to low influence of traffic emissions, it is likely these decreases in NO₂ were primarily driven by national reductions as a result of policy intervention, with the COVID-19 lockdowns and meteorological variation as additional contributors [6,9].



Figure 8. Time series plots of monthly averaged concentrations for (**a**) NO, (**b**) NO₂ and (**c**) O₃ at the London Marylebone Road (LMR) air quality monitoring station, for the years 2017 and 2020.

In particular, unusually high temperatures and solar radiation experienced in 2020 are likely to have increased NO₂ photodissociation, decreasing its concentration and driving an increase in O₃ (Figure 10) [41,43]. NO₂ concentrations generally follow the expected seasonal cycle apart from an anomalous peak in April. With the population instructed to remain at home in the unusually warm weather in April, there was a significant increase in the number of barbeques (BBQs) held across the UK, with 21 million in April alone, constituting a 391% rise in the typical number of BBQs held in April [44]. This behaviour change may have contributed to this unexpected increase in NO₂. This is supported by preliminary analysis of particulate matter (PM) concentrations at this site during the same year, which also show a significant peak in April (Figure 11).

In terms of O₃ generation sensitivity, two main indicators were utilised as described previously: $[VOC]/[NO_x]$ and $[NO]/[NO_2]$. Ozone generation regimes are typically defined by $[VOC]/[NO_x]$ ratio with values below 8 indicating a VOC-limited regime and values greater than 8 indicating a NO_x-limited regime [5,13,37]. Correspondingly, increases in the $[NO]/[NO_2]$ ratio are correlated to a reduction in O₃ concentrations as, typically, NO₂ is associated with the formation of O₃, via photodissociation, whereas NO plays a key role in ozone titration [1].



Figure 9. Time series plots of monthly averaged concentrations for (**a**) NO, (**b**) NO₂ and (**c**) O₃ at the London Eltham (LE) air quality monitoring station, for the years 2017 and 2020.



Figure 10. Time series plots of monthly averaged concentrations for (**a**) NO, (**b**) NO₂ and (**c**) O₃ at the Chilbolton Observatory (CO) air quality monitoring station, for the years 2017 and 2020.



Figure 11. Time series plots of monthly averaged concentrations for PM_{10} and $PM_{2.5}$ at the Chilbolton Observatory (CO) air quality monitoring station for 2020.

From Figures 8–10, it is clear restrictions during the lockdowns had a significant impact on O_3 levels. The indicators utilised suggest this was due to variation of the O_3 generation regime. Prior to the pandemic, in 2017, [VOC]/[NO_x] values consistently fell between 2 and 7 in a VOC-limited regime, never once exceeding the [VOC]/[NO_x] ratio of ~8–10 to transition to a NO_x-limited regime (Figure 12).

Conversely, in 2020, a large spike in the $[VOC]/[NO_x]$ ratio occurred between March and June, peaking at over 20 in April at the height of the restrictions. This effect was also observed in Delhi by Rathod et al. [1], who determined a transition from a VOC- to a NO_x-limited regime in Delhi, over their lockdown in March and April.

The $[NO]/[NO_2]$ ratio at LMR decreased substantially from 2017 to 2020, as was expected from the proportionally larger decrease in NO concentrations observed, compared with those of NO₂. This is also consistent with the study of Rathod et al., where a decrease in $[NO]/[NO_2]$ was seen with indicated transition to a NO_x-limited regime [1].

Once restrictions began to be relaxed in July, the [VOC]/[NO_x] ratio quickly dropped back down to ratios indicative of mixed-sensitive or VOC-limited regimes at LMR, with a corresponding increase in the [NO]/[NO₂] ratio. This was, however, not the case at other sites considered. The [VOC]/[NO_x] ratio at LE indicates a NO_x-limited O₃ generation regime with values in 2017 of ~17–32. Interestingly, this is not entirely consistent with the pollutant variations presented, which show an increase of O₃ with a decrease in NO_x suggestive of a VOC-limited regime. This could indicate a NO_x-sensitive bias of the [VOC]/[NO_x] ratio or that changes in pollutant levels have an alternate explanation.

NO_x-limited O₃ generation regimes were also expected in rural areas due to low NO_x concentrations. This is supported by analysis utilising sensitivity indicators. For 2017, the rural background site (CO) displays [VOC]/[NO_x] values of ~20–40 in agreement with the current literature [10,13]. In 2020, a considerable increase in [VOC]/[NO_x] ratio is seen with values ~30–65 indicating O₃ formation was particularly sensitive to NO_x concentrations during the lockdowns. Comparison between these years demonstrates the consistency of rural background sites; at these sites, the best way to control O₃ pollution is reduction of NO_x.

A reasonable availability of NO_x and O_3 data across the UK AQMS can give an extensive analysis of ozone's response to changes in NO_x concentrations. However, the study of the analysis of ozone sensitivity changes with VOCs is limited due to insubstantial quantities of VOC data, which are only available at three of the four automatic hydrocarbon

network sites. These sites, in turn, represent only 4 of the 170 total AQMSs and only three of the six different environment types. The sites with specific environments also had limited data, with suburban industrial, suburban background, urban industrial and urban traffic lacking substantial data and representing only one, three, three, and three sites, respectively.



Figure 12. Time series plots of monthly averaged VOC/NO_x and [NO]/[NO₂] ratios, respectively, for LMR, (a,b), LE, (c,d), and CO, (e,f), for the years 2017 and 2020.

3.5. Oxidant (OX) Partitioning

In the UK, the photochemical ozone production timescale is of the order of 2 to 10 h. Given this short timescale, variation seen in O_3 levels is unlikely to be as a result of the variation in the O_3 generation regime, despite what is indicated by common metrics. This is especially true at LMR, where the transport time of pollutants from the road to the monitor station is likely on the order of seconds. While the indicators utilised would suggest variation in the photochemical regime, there is an alternate explanation in oxidant partitioning.

Rapid partitioning between NO, NO₂ and O₃ conserve NO_x and OX (O₃ + NO₂) on timescales of seconds to minutes, so can better account for the changes seen during the lockdown period. As NO_x levels increase, O₃ levels drop towards zero. Conversely, as NO_x decreases, O₃ levels increase to a maximum approximately equivalent to background O₃ levels. These variations result in shifting of the dominance of one oxidant over the other while conserving overall OX levels. For example, as NO_x decreases, oxidant partitioning shifts to O₃ dominance. Generally, O₃ is imported into the centre of London by winds that are south-westerly to north-westerly in the winter and early spring, respectively. As a result, good agreement is seen between maximum O₃ measurement at LMR and the background concentrations measured at Mace Head, Ireland during the pre-lockdown period (Figure 13). Accordingly, at LMR, with increasing NO_x, O₃ declines quickly from levels comparable with the Mace Head baseline towards zero. This is due to the rapid reaction between O₃ and NO from local traffic; NO emissions from traffic pollution readily overwhelm the transported O₃ baseline source.

The additional source of oxidants at LMR is the direct emission of NO₂ from dieselengine motor vehicles. Specifically, diesel-engine buses are a major source of directly emitted NO₂ at LMR. The new Transport for London (TfL) buses have particle traps where combustion conditions are adjusted to oxidise trapped carbon [45]. This results in NO₂ formation in the engine with the excess exhausted into the roadway. During the prelockdown period, OX increases with increasing NO_x with ~20% of local NO_x emissions emitted as NO₂. This remains the case during the lockdown period.

During lockdown, some similarities are seen between pollutant variation along with some significant differences. The first major difference is the range of NO_x concentration measured with lower maximums of ~120 ppb during lockdown as opposed to maximums of ~250 ppb in the pre-lockdown period. This is clearly attributable to the much-reduced traffic flow at LMR during this period. The second major difference is the considerable scatter in the measured O₃ concentration during lockdown compared with the pre-lockdown period. Furthermore, O₃ and OX levels during the lockdown period do not agree with the O₃ baseline from Mace Head, providing a third major difference.

Despite the huge reduction in NO_x during the lockdown period, the NO₂/NO_x fraction remains comparable (Figure 14). For O₃, we see the same behaviour as that in pre-lockdown, where O₃ decreases with increasing NO_x. However, O₃ during the lockdown period was highly variable and shows a large amount of scatter. This is possibly due to the photochemical O₃ formation in the south-east region surrounding LMR. This suggests that, despite removing overt photochemical episode days from the data (where O₃ < 50 ppb at nearby background site, St Osyth, Appendix B), photochemical production of O₃ was still influencing the measurements taken at LMR to varying extents. Almost all wind directions would transport some photochemically generated O₃ but would be highly variable depending on relevant precursor emission sources. Despite variation, there is still some overlap in O₃ levels during both time periods considered.

Additionally, with local VOC sources, it is conceivable that there may be local photochemical O_3 sources too, hence the variability in the O_3 levels. For example, biogenic sources could include isoprene from nearby Regent's Park, though road traffic has been shown to have a notable influence on isoprene emissions in this area too [46]. However, given the very short time between the emission and measurement of VOCs at LMR (given the proximity of the sensor to the road), it is more likely that heighted O_3 during this time was due to an increase in transported O_3 . During the lockdown period in the UK, a higher European O_3 baseline was reported [47]. Pollutants, either O_3 itself or long-lived VOCs that act as O_3 precursors, transported from the continent may have had an impact on the measured O_3 concentrations. Average meteorological footprints generated utilising NAME, an atmospheric dispersion model, for February–October 2020, show notable transport from the continent during this period (see Appendix C, Figure A1).



Figure 13. Scatter plot of nitric oxide, nitrogen dioxide, ozone and OX concentrations (in ppb) against NO_x concentrations for (**a**) the time period covering the initial UK lockdown (March–July) in 2017, representing a pre-lockdown period, and (**b**) data collected during the initial UK lockdown in 2020. Green dashed line on each plot indicates the O_3 baseline for the time period calculated as an average of O_3 collected from Mace Head, Ireland.



Figure 14. Scatter plot of NO_2/OX and O_3/OX concentration ratios across the time period covering the initial UK lockdown (March–July) in 2017, representing a pre-lockdown period, and during the actual lockdown in 2020.

At LMR, NO₂ levels decreased during the lockdown due to reduced traffic and a corresponding rise in O₃ levels is seen. However, total OX was roughly conserved. So rather than an increase in O₃ production due to a shift in photochemical production, rising levels are more likely to be a result of shifting oxidant partitioning as a result of NO_x decrease. However, it remains difficult to entirely rule out the influence of locally generated and/or transported photochemical O₃ to O₃ measured at LMR during the lockdown period. O₃ concentrations measured at LMR during the lockdown period do appear to exceed the baseline O₃ concentrations recorded at Mace Head to a much greater extent compared with those of the pre-lockdown period. Furthermore, if there was an increase in locally generated O₃ it is difficult to confidently ascertain whether that was due to shifts in photochemical O₃ generation regime, as suggested by commonly used metrics.

In summary, the decreases in NO_x concentrations at urban traffic, suburban background and suburban industrial environments increased surface O₃, instead of reducing it, suggesting these all operate under VOC-limited O_3 generation regimes. NO_x emission reductions alone are, therefore, not an effective solution for universally reducing pollution levels. Reductions of NO and NO₂ are still important to reduce NO_x pollution itself but, at VOC-limited sites, it is more important to reduce emissions of the key VOCs contributing to ozone formation, such as ethene, propene, m + p-xylene, toluene, isopentane, n-butane, o-xylene and iso-butane [5,25,48]. This avoids escalating levels of harmful tropospheric O₃, which not only has negative health impacts but also contributes to global warming. Although meteorology has been suggested to account for around 69% of these increases in ozone [7], due to unusually elevated temperatures and solar radiation over the initial lockdown, an increase from 2017 of around 9% in ozone at urban traffic sites is still unaccounted for in the data analysed. Hence, reductions in anthropogenic emissions over the COVID-19 pandemic played a significant role in increased O_3 concentrations, regardless of the conditions. Increases in O₃ observed at the VOC-limited sites were also consistent with the observed decreases in the [NO]/[NO₂] ratios at these sites during the COVID-19 lockdowns. Decreased [NO]/[NO2] ratios typically correspond to increased levels of ambient O_{3} , as the removal of O_{3} by NO titration is reduced compared with the production of O₃ by NO₂ photodissociation. This was facilitated by the large reductions in traffic emissions, as vehicle NO_x emissions from combustion are predominantly in the

form of NO. The environments that displayed NO_x-limited sensitivity, such as the rural background and urban background sites, comparatively witnessed a larger reduction in NO₂ than NO, increasing the [NO]/[NO₂] ratio slightly. [VOC]/[NO_x] ratios, on the other hand, increased significantly across the board as a result of the pandemic restrictions, with all sites becoming more NO_x-limited over the lockdowns. London Marylebone Road transitioned from a VOC- to a NO_x-limited regime during this time but, once restrictions were released, it quickly transitioned back to a VOC-limited O₃ generation regime, according to this indicator. Hence, short periods of strict NO_x reductions are not sufficient to convert VOC-sensitive areas to NO_x-limited regimes indefinitely.

4. Conclusions

During the UK COVID-19 lockdowns, urban traffic sites saw drastic variation in pollutant concentration while rural background sites experienced small variations. For both lockdowns experienced in 2020, changes in concentrations of approximately 63%, 42% and 30% for NO, NO₂ and O_3 , respectively, were recorded across the urban traffic sites when compared with pre-lockdown levels. Understanding the response of O_3 production to these precursors is vital in the effective implementation of pollution reduction policies, as misinterpreting their roles in O_3 formation under different environments can lead to increased pollution levels. The investigation of O_3 concentration response with [NO]/[NO₂] and $[VOC]/[NO_x]$ showed good agreement with O₃-NO_x-VOC relationships across UK sites. However, the $[VOC]/[NO_x]$ ratio may have a slight NO_x-sensitive bias, as the values obtained for the London Eltham site suggested that it was NO_x-sensitive, while the pollutant concentrations, in contrast, displayed a VOC-sensitive O_3 response. Analysis of OX variation at LMR across the same period provides an alternate explanation for O₃ increases relating to shifting atmospheric equilibrium in place of increased O₃ production. However, it is difficult to reconcile all the data to either one of these conclusions. It is likely the majority of the O_3 increase during the lockdown was due to a combination of meteorological influence, as discussed, along with OX partitioning shifts with a small influence of shifting O_3 generation regime resulting in increased local O_3 generation. However, the results discussed here must be considered with caution due to poor coverage of relevant VOCs by the current monitoring system and also the very limited number of monitoring sites in specific environmental types used in the analysis.

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Appendix A

Table A1. The number of individual sites used in the data analysis for different environment types.

Environment Type	Environment Type Description	No of Individual Sites
Urban background	Continuously built-up urban area where the pollution level is not influenced significantly by any single source	38
Urban traffic	Continuously built-up urban area where the pollution level is influenced by the emissions from nearby traffic	3
Urban industrial	Continuously built-up urban area where the pollution level is influenced predominantly by emissions from industrial sources	3
Suburban background	Largely built-up urban area where the pollution level is not influenced significantly by any single source	3
Suburban industrial	Largely built-up urban area where the pollution level is influenced predominantly by emissions from industrial sources	1
Rural background	Rural area with natural ecosystems, forest or crops where the area is not influenced by any single source.	12

Table A2. Sixteen volatile organic compound (VOC) pollutants analysed in this study and the percentage data coverage at the three sites for which there was sufficient VOC data availability (Chilbolton Observatory, rural background; London Eltham, suburban background; London Marylebone Road, urban traffic). The colours represent sufficient data coverage (green; >70%), intermediate data coverage (orange; 50–70%) and insufficient data coverage (red; <50%), respectively [5,48].

Pollutants	Chilbolton Observatory (CO)	London Eltham (LE)	London Marylebone Road (LMR)
Propane	82.6	84.0	79.5
Iso-butane	82.8	84.0	79.7
n-Butane	82.8	84.0	79.7
Iso-pentane	79.1	83.4	78.4
n-Pentane	82.7	84.0	79.8
Ethene	85.9	87.5	85.9
Propene	85.1	88.7	85.0
1-Butene	82.8	84.0	79.8
1-Pentene	73.7	90.4	70.5
Isoprene	77.7	79.6	67.5
Benzene	51.7	82.2	74.5
Toluene	82.0	83.8	74.5
Ethylbenzene	80.6	79.6	73.2
m + p-Xylene	78.5	82.0	73.6
o-Xylene	80.5	83.2	75.2
1,2,4-Trimethylbenzene	71.6	83.3	68.2

Appendix B

Daylight hours were defined according to average sunrise and sunset times for London extracted from worlddata.info [49].

Photochemical episode days were defined as days where O₃ concentrations approached or exceeded 50 ppb at a nearby background site, St Osyth. These days were as followed: 30 March; 16, 28, 30 April; 3, 24, 28, 31 May; 1, 2, 3, 6, 9, 16 June; and 2 July [34].

Appendix C



Figure A1. Monthly average NAME footprints for February–October 2020.

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