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Novel GA-Based DNN Architecture for Identifying the Failure Mode with High Accuracy and Analyzing Its Effects on the System

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Abstract: Symmetric data play an effective role in the risk assessment process, and, therefore, integrating symmetrical information using Failure Mode and Effects Analysis (FMEA) is essential in implementing projects with big data. This proactive approach helps to quickly identify risks and take measures to address them. However, this task is always time-consuming and costly. On the other hand, there is an essential need for an expert in this field to carry out this process manually. Therefore, in the present study, the authors propose a new methodology to automatically manage this task through a deep-learning technique. Moreover, due to the different nature of the risk data, it is not possible to consider a single neural network architecture for all of them. To overcome this problem, a Genetic Algorithm (GA) was employed to find the best architecture and hyperparameters. Finally, the risks were processed and predicted using the new proposed methodology without sending data to other servers, i.e., external servers. The results of the analysis for the first risk, i.e., latency and real-time processing, showed that using the proposed methodology can improve the detection accuracy of the failure mode by 71.52%, 54.72%, 72.47%, and 75.73% compared to the unique algorithm with the activation function of Relu and number of neurons 32, respectively, related to the one, two, three, and four hidden layers.

Keywords: neural network; deep-learning technique; genetic algorithm; hyperparameter



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1. Introduction

Today, the use of machine-learning methods is growing rapidly in various industries [1–3]. This is despite the fact that this powerful tool is not always used for prediction. One of the most important applications of this emerging technology is to detect failures or breakdowns, for example, identifying unusual events (i.e., abnormalities) in the time history of monitoring data such as vibration in large industries [4,5]. In this regard, FMEA is a process that engineers use to identify and evaluate the potential failure modes of a system, the effects of these failures on the system's performance or product, and the associated consequences. In fact, the main purpose of this method is to identify potential problems and their effects during the main operation. To achieve this goal, in addition to identifying potential failure modes, this method evaluates the severity of damage and also identifies the causes of failure. Despite all these advantages, there is a big challenge in this method in that there is no unique algorithm for its implementation and different algorithms are considered for it in various industries and based on requirements and conditions. In this regard, some well-known and practical algorithms are Brainstorming [6], Checklist [7], Failure Data Analysis [8], Fault Tree Analysis [9], Hazard Analysis and Critical Control Points (HACCP) [10], and Risk Matrices [11]. Regardless of the method used, the secret

to the success of this technique is to use a diverse group of members with different perspectives, expertise, and experiences. Typically, this team includes representatives from various engineering, manufacturing, quality, and maintenance departments in a factory. However, this approach can lead to conflicting opinions and ultimately make the process slower or less effective. But experience has shown that, if the opinions are aligned, the result obtained is much better than individual performance in a system.

Scientific achievements of scientists show that Artificial Intelligence (AI) can work better than human experts in some situations, because AI systems are designed to analyze and process large amounts of data quickly and accurately. AI can process data faster than a human expert and can identify patterns and correlations that may not be immediately apparent to a human. In addition to the above, there are several reasons to use Deep Neural Network (DNN) in FMEA instead of relying on traditional expert analysis. DNN is used to extract hidden information from symmetry data by employing network-trained indicators to discover underlying patterns and relationships within the dataset. This can be carried out by analyzing the outputs of specific layers within the network, particularly the hidden layers where the network learns complex patterns and data representations. Through its multiple layers, DNN has the ability to capture complex features and hierarchies that may not be apparent in the original dataset [12,13]. These advantages include objectivity, stability, speed, scalability, and improved accuracy [14]. AI can also learn and adapt over time. Machine-learning algorithms allow AI systems to continuously improve their performance by analyzing past data and adjusting their predictions or recommendations.

A new method has been presented that uses Monte Carlo simulation to generate random uncertainty in input parameters and fuzzy sets to represent uncertainty in RPN evaluation. After that, the scholars used a Fuzzy Inference System (FIS) to combine these uncertainties and obtain the final RPN [15]. The authors evaluated the effectiveness of their proposed method using a case study of a hydraulic system in a manufacturing plant. The results showed that the proposed method can accurately assess RPN and prioritize mitigation efforts [16]. Moreover, a novel approach has been presented to implement an intelligent FMEA system using a hierarchy of back-propagation neural networks. Ku et al. sought an automatic and intelligent system for risk assessment [17]. They proposed a four-layer architecture for neural networks that are trained using data from failure histories and expert knowledge. The first to fourth layers are, respectively, responsible for data preprocessing and feature selection, feature extraction, failure mode classification, and risk priority assessment for all calculations. Okabe and Otsuka proposed the use of Support Vector Machine (SVM) to validate the stress–strength model and improve the accuracy of FMEA [18]. In this research, SVM is trained using historical data on stress and strength, and then used to predict the probability of failure for a given system. The proposed method was evaluated using a case study of an automobile braking system and the results were compared with the results obtained using the traditional FMEA algorithm. A large number of researchers used neural network algorithms to solve industrial problems. They believe that the number of hidden layers in a neural network is an important hyperparameter that can greatly affect the performance of the model [19]. While increasing the number of hidden layers can increase the model's capacity to learn complex patterns in the data, it can also lead to overfitting, where the model becomes too complex and captures noise in the data rather than the underlying patterns [20]. Therefore, it is necessary to determine the optimal number of hidden layers in order to balance the complexity and performance of the model. This goal can be achieved through trial and error, using cross-validation, and network search techniques. Meanwhile, genetic algorithms can be easily scaled to control many hyperparameters and applied to large-scale optimization problems [21]. This is despite the fact that, with the increase of hyperparameters, the trial-and-error technique becomes time-consuming and actually impractical. However, limited studies have investigated whether the combination of GA and neural networks can be used together in various applications such as feature selection, feature extraction, and data modeling [22]. In these studies, GAs are used to search for the optimal number of hidden layers and hyperparameters in the

architecture of neural networks, which ultimately lead to better performance and more accurate results. However, it is not possible to propose a single path for all the investigated subjects, and the development of machine-learning algorithms and the optimization of the network architecture, including the number of hidden layers or the number of neurons per layer, are an ongoing investigation. Therefore, in the current research, the authors also seek to find a reliable and high-precision algorithm to identify failure modes and analyze their effects on the system. In other words, the combination of the FMEA algorithm with DNN optimized by GA, which is an extension of the traditional FMEA model. As a result, one of the innovations of this research compared to other studies, in addition to optimizing the parameters of the number of hidden layers and the number of neurons, is to optimize the activation function among the hidden layers.

In the following, the theoretical basis of traditional FMEA, DNN, and GA were explained in the Section 2. Then, the Section 3 is devoted to the description of the proposed model. The data and implementation of the proposed algorithm are reported in the Section 4. The Section 5 is dedicated to the report of the obtained results. Finally, after the Section 6, the important achievements of this research are reported.

2. The Theoretical Basis of the Algorithms Used in This Research

2.1. Failure Mode and Effects Analysis (FMEA)

FMEA is a systematic and proactive approach used in various industries to identify and reduce possible failures or errors in processes, products, or systems. This powerful tool increases quality, reliability, and safety by evaluating the impact of different failure modes and prioritizing them based on their severity, probability, and detectability [23]. FMEA is structured on the principle of predicting and preventing failures before they occur, thereby reducing the likelihood of defects, accidents, or inefficiencies. The effectiveness of this method is ensured by focusing on key components within the system, including failure mode identification, severity determination, probability assessment, detectability assessment, risk priority number calculation, risk mitigation and action plans, and continuous monitoring and improvement. The standard method for conducting FMEA includes calculating the risk assessment as follows [24]:

$$Risk = Occurrence \times Severity \times Detectability \quad (1)$$

in which:

1. Occurrence is the possibility of error or failure. It is usually graded on a scale of 1 to 10, where 1 represents a very low probability and 10 represents a very high probability.
2. Severity is the degree of impact of the error or failure on the end user or system. This factor is evaluated on a scale of 1 to 10, where 1 and 10 represent minimum and maximum effects, respectively.
3. Detectability is the ability to detect errors before they reach the end user. This assessment is also carried out on a scale of 1 to 10, where 1 is easy to detect and 10 is difficult to detect.

The higher the risk value, the more critical the problem status and the failure situation. FMEA aims to identify high-risk areas and take action to reduce the risk [25]. In addition to the above formula, additional parameters and various weighting factors may be included in FMEA analysis depending on the specific method or industry.

2.2. DNN

DNNs consist of multiple hidden layers of interconnected neurons. Training a DNN involves adjusting the weights and biases of the neurons in each layer to minimize a loss function, which measures how well the network's output matches the desired output. This measurement is typically performed using optimization algorithms such as Stochastic Gradient Descent, AdaGrad [26], Adam [27], RMSProp [28], and Adadelta [29]. For example, in a two-layer neural network with input neurons $\vec{x} = (x_1, \dots, x_d) \in \mathbb{R}^d$,

weights $\vec{w} = (w_1, \dots, w_d) \in \mathbb{R}^d$, and bias β_j , the value of the hidden neuron is calculated as follows [30]:

$$h = \sigma(w_1x_1 + w_2x_2 + \dots + w_dx_d + \beta) = \sigma(w^T x + \beta) \tag{2}$$

where σ is a non-linear function such as Sigmoid function, Rectified linear unit, Hyperbolic Tangent, Exponential linear unit, and many other non-linear activation functions. The mapping is performed using a two-layer neuron network with m hidden neurons and 1 output neuron.

$$\sigma(b_k^T x + c_k), k = 1, 2, \dots, m \tag{3}$$

$$y = \sum_{k=1}^m a_k h_k + a_0 \tag{4}$$

A key factor for DNNs is determining the appropriate architecture. If the network has L layers, then it contains n_l neurons. In general, the n_L output neurons depend on the n_l input neurons through the function $F : \mathbb{R}^{n^1} \rightarrow \mathbb{R}^{n^L}$, whose analytic expression is unknown, and, therefore, the algorithm will be approximate. However, a fully connected deep neural network with L hidden layers is computed as follows:

$$h_k^{(1)} = \sigma\left(\left(b_k^{(1)}\right)^T x + \theta_k^{(1)}\right), k = 1, 2, \dots, m^{(1)}, \tag{5}$$

$$h_k^{(l+1)} = \sigma\left(\left(b_k^{(l+1)}\right)^T h^l + \theta_k^{(l+1)}\right), l = 1, 2, \dots, L - 1, k = 1, 2, \dots, m^{(l+1)} \tag{6}$$

$$y = \sum_{k=1}^{m^{(L)}} a_k h_k^{(l)} + a_0 \tag{7}$$

The loss function is a crucial component in the training phase of the neural network. Training in neural networks corresponds to minimizing the loss function during training, which is a type of optimization where the goal is to find the optimal set of weights and biases. Therefore, minimizing the loss function means bringing the predicted output closer to the actual output. During each training iteration, the neural network calculates the gradients of the loss function with respect to the weights and biases using Back-Propagation [31]. Let $f(x; \theta)$ be a fully connected neural network with L hidden layers:

$$f(x; \theta) = W_L \sigma(W_{L1} \sigma(\dots W_1 \sigma(W_0 x) \dots)) \tag{8}$$

Then,

$$\theta = \{W_0, W_1, \dots, W_L\} \tag{9}$$

Now, for data sample (x, y) and a loss function $l(f(x; \theta), y)$, let us calculate the $\frac{\partial E(f(x; \theta), y)}{\partial w_l}$ for some l by the chain rule (derivative of the error with respect to each weight) [32]. In Equation (10), E is the symbol of the error function.

$$\frac{\partial E}{\partial w_l} = \frac{\partial E}{\partial f} \cdot \frac{\partial f}{\partial h_{l+1}} \cdot \frac{\partial h_{l+1}}{\partial w_l} \tag{10}$$

Since $\frac{\partial E}{\partial w_l}$ must be calculated for any l , it is necessary to obtain $\frac{\partial f}{\partial h_l}$. Therefore, we have:

$$\frac{\partial f}{\partial h_l} = \frac{\partial f}{\partial h_L} \cdot \left(\prod_{j=L-1}^l \frac{\partial h_{j+1}}{\partial h_j} \right) = \frac{\partial f}{\partial h_{l+1}} \cdot \frac{\partial h_{l+1}}{\partial h_l} \tag{11}$$

Hence, instead of evaluating $\prod_{j=L-1}^l \frac{\partial h_{j+1}}{\partial h_j}$ separately for all l , it can be inversely calculated from $l = L$ to $l = 1$. Moreover, in each layer, we simply multiply to get $\frac{\partial f}{\partial h_l}$.

$$\frac{\partial h_{j+1}}{\partial h_j} = \text{diag}(\sigma(W_l h_l(x))) W_l \quad (12)$$

Additionally, hyperparameters play an important role in neural network training because they define the architecture and training parameters of the model that cannot be learned automatically from the data during the training process [33,34]. The efficient selection of hyperparameters can significantly affect the performance and generalizability of the model.

2.2.1. Number of Hidden Layers

Choosing the appropriate size of the hidden layer in a DNN is very important to achieve good performance. If the hidden layer is too small, the neural network may not be able to learn complex patterns in the data, leading to underfitting [35]. On the other hand, if the hidden layer is too large, the neural network may become too complex and start to overfit the training data [36]. Therefore, it is necessary to select an optimal number of hidden layers that balances the complexity and performance of the model [37]. Another approach is to use more sophisticated methods (e.g., Bayesian optimization or GAs) to search for optimal hyperparameters of the neural network, including the number of hidden layers.

2.2.2. Number of Neurons

The number of neurons in each layer is one of the components of the model-tuning process. This setting requires experimentation and a detailed analysis of the effect of this parameter on the quality and performance of the model [38]. A larger number of neurons in a layer allows the model to capture more complex and abstract dependencies in the data. However, a large number of neurons in a layer can result in overfitting the model to the training data. Moreover, a larger number of neurons increases the computational complexity of the model. On the other hand, if the number of neurons is too small, the model might become underfitted and fail to capture complex patterns in the data. In such cases, the model will have low generalization capacity and perform poorly on validation data. As a result, the learning process slows down, and training requires more resources. Choosing the right number of neurons in a layer requires balancing the complexity of the model and its ability to generalize to new data. This process usually involves conducting experiments with different values and analyzing their impact on the quality of the model validation data [39,40].

2.2.3. Activation Function

Generally, a non-linear operator or activation function is used in neural networks. The presence of this function makes the model more powerful than the linear model. It is well-known that applying the Rectified linear unit (*ReLU*) activation function in deep networks increases the training speed. *ReLU* simply rounds up negative values to zero [41].

$$\text{ReLU}(x) = \begin{cases} x & \text{if } x \geq 0 \\ 0 & \text{if } x \leq 0 \end{cases} = \max[0, x] \quad (13)$$

It is bounded below by 0 and unbounded above. In many cases, *Leaky ReLU* has outperformed *ReLU*. When the function is not active, it allows a small and non-zero gradient [42].

$$\text{Leaky ReLU}(x) = \begin{cases} x & \text{if } x \geq 0 \\ \alpha x & \text{if } x \leq 0 \end{cases} \quad (14)$$

The value of alpha (α) in the *Leaky ReLU*(x) activation function determines the slope of the function for negative input values. A common alpha value is 0.01, but it can vary depending on the specific problem and experiment. Typically, α is constrained to be in the range of $0 < \alpha \leq 1$ because setting α to a value outside this range may lead to overly aggressive or ineffective behavior of the *Leaky ReLU* function. Adjusting the alpha value allows you to control how much negative inputs affect the function output. In this case, the range of *Leaky ReLU* is $(-\infty, +\infty)$.

Recently, the use of Exponential Linear Units (*ELUs*) has increased the speed and accuracy of training. *ELU* accepts negative values, allowing it to make the average unit activation closer to zero, like batch normalization, but at a lower computational cost [43].

$$ELU(x) = \begin{cases} x & \text{if } x \geq 0 \\ \alpha(e^x - 1) & \text{if } x < 0 \end{cases} \quad (15)$$

In the above equation, α is a hyperparameter to be tuned with the constraint $\alpha \geq 0$, that controls the output of the function for negative inputs. Typically, the value of α is set to -1 , which ensures that the function is smooth and continuous everywhere. However, like other hyperparameters, α can be adjusted based on the specific problem and experimentation.

The performance of the evolving network is also investigated in the presence of a Scaled Exponential Linear Unit (*SELU*) activation function. By adding a little involution to *ELU*, *SELU* is created [44].

$$SELU(x) = \lambda \begin{cases} x & \text{if } x \geq 0 \\ \alpha(e^x - 1) & \text{if } x < 0 \end{cases} \quad (16)$$

in which, α is a negative scaling parameter, and λ represents the involution function.

2.2.4. Regularization

The main issue in machine learning is to generate an algorithm that works well on training data and new inputs. Several regularization methods have been proposed for deep learning. This paper uses dropout as a computationally inexpensive but powerful method for regularization. It avoids overfitting by randomly removing some fully connected layer nodes during the training phase. In addition, dropout is considered an ensemble method because it provides different networks during training. L1 regularization, also known as Lasso regularization, helps feature selection and model simplification by adding a penalty based on the sum of the absolute values of the model coefficients. L2 regularization, also known as Ridge regularization, helps stabilize the model and control its complexity by adding a penalty based on the sum of squared model coefficients [45–47].

2.2.5. Optimization Algorithm

Neural network optimization algorithms are techniques used to effectively train neural networks by adjusting the weights and biases of the network connections in order to reduce error or loss function. Choosing the best optimization algorithm for a neural network depends on various factors, including the nature of the problem, characteristics of the dataset, network architecture, and available computational resources. There is no one-size-fits-all answer that can support all issues, as different algorithms perform differently in certain situations. In this paper, optimization algorithms of Stochastic Gradient Descent (SGD) [48,49], Adaptive Moment Estimation (Adam) [50], and Root Mean Square Propagation (RMSProp) [51] were used to search for the best results.

2.3. Genetic Algorithm (GA)

GAs are optimization algorithms inspired by the process of natural selection and genetic theory. GAs are efficient, parallel, global, random search algorithms for combinatorial optimization problems [52]. A genetic algorithm deals with a specific problem of

exploring and exploiting the search space and manages the issue by processing a set of encoded variable strings. The algorithm starts with the initialization of a population, then fitness evaluation, parent selection, reproduction via genetic operators, offspring fitness evaluation, survivor selection, and termination. These steps are repeated until a satisfactory solution is found. In fact, this algorithm is used to find the best solution among a large set of possible solutions for optimization problems [53]. The general schematic of a Genetic Algorithm is shown in Figure 1.

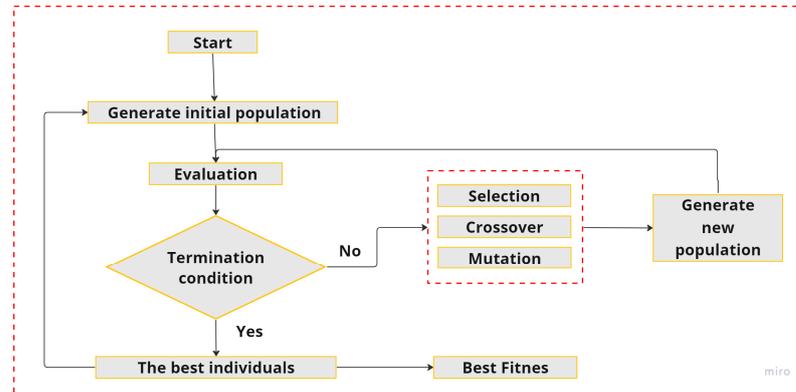


Figure 1. General flowchart of genetic algorithm.

In the algorithm used in this research (all risks are not processed at the same time and this algorithm is used for each risk separately and the results are recorded in the archive), the initial population uses a randomly generated initialization strategy that is created by randomly selecting neurons for the neural network, learning rates, activation functions, and regularization techniques. It consists of N coded individuals:

$$Population(I) = \begin{bmatrix} I_1 \\ \vdots \\ I_N \end{bmatrix} \tag{17}$$

In the optimization of neural networks using GA, the evaluation step consists of evaluating the fitness of each chromosome in the population. This step is very important in order to find the best solutions and guide the search for better solutions. When optimizing neural networks, the evaluation step usually involves training and testing the neural network using the chromosome-specified architecture. In the current case, the fitness of a population in Ga is based on the prediction error. The fitness value of each chromosome (f_j) can be calculated as follows:

Moreover, the selection operator (p_j) of this individual is:

$$p_j = \frac{f_j}{\sum_{j=1}^m f_j} \tag{18}$$

where f_j is the fitness value of the j th chromosome, n is the number of ANN input data, m is the GA population size, and l is the error of the i th ANN input corresponding to the j th chromosome [54].

Crossover recombines information from the two best parent solutions into what we hope are even better offspring solutions. The problem is to design a crossover operator that combines the features of both parent individuals.

For the real-code GA, the crossover operator includes simple, arithmetic, and heuristic crossovers. In the present study, the arithmetic crossover was used. Therefore, suppose $\vec{x}^1 = (x_1^1, \dots, x_i^1, \dots, x_n^1)$ and $\vec{x}^2 = (x_1^2, \dots, x_i^2, \dots, x_n^2)$ are two parent chromo-

some selected for the application of the crossover operator and two offspring, namely, $\vec{y}^{\rightarrow 1} = (y_1^1, \dots, y_i^1, \dots, y_n^1)$ and $\vec{y}^{\rightarrow 2} = (y_1^2, \dots, y_i^2, \dots, y_n^2)$; they are built as follows:

$$\begin{aligned} y_i^{\rightarrow 1} &= rx_i^1 + (1 - r)x_i^2 \\ y_i^{\rightarrow 2} &= rx_i^2 + (1 - r)x_i^1 \end{aligned} \tag{19}$$

Here, r is a random number from an interval that remains constant for uniform arithmetic crossover or varies based on the number of generations for non-uniform arithmetic crossover.

The mutation operation randomly changes the value of each chromosome element according to the mutation probability. For the real-code GA, the mutation operators include uniform, non-uniform, multi-non-uniform, boundary, etc. In the present research, the non-uniform mutation was used.

Assume $\vec{X} = (x_1, \dots, x_i, \dots, x_n)$ as a chromosome and $x_i \in [a_i, b_i]$ as a gene to be mutated. Then, the gene \hat{x}_i is as follows:

$$\hat{x}_i = \begin{cases} x_i + (b_i - x_i)f(G), & \text{if } r_1 < \alpha \\ x_i - (b_i - x_i)f(G), & \text{if } r_1 \geq \alpha \\ x_i, & \text{otherwise} \end{cases} \tag{20}$$

in which:

$$f(G) = \left(r_1 \left(1 - \frac{G}{G_{max}} \right) \right)^b \tag{21}$$

Here, a_i and b_i are the lower and upper bounds of each variable, respectively. G and G_{max} are the number of current generations and the maximum number of generations, respectively. r_1 and r_2 are two random numbers between (0 and 1). Moreover, b is a user-selected parameter that determines the degree of dependency on the number of iterations [55–57].

3. Description of the Proposed New Model

In this article, the authors attempt to design an optimal neural network architecture that exhibits significant performance on various FMEA risk datasets. While neural networks can learn transferable features, such as changes in data distribution, specific features of the original domain, noise levels, and data size, dimensionality can impair the effectiveness of a pre-trained neural architecture [58]. However, different datasets using the same neural architecture may not always yield the desired results. In other words, it is impossible to present a unique architecture for all the risks studied in this research with the greatest accuracy. Hence, to achieve optimal performance, the customization of the neural architecture is essential [54]. To overcome this challenge, we propose the concept of dataset-specific architecture design, which includes adjusting the network’s depth, width, activation functions, and regularization techniques to match the characteristics of the dataset. Therefore, the innovation of the current research, which distinguishes it from other studies, is the design of a neural network architecture by optimizing all hyperparameters, while previous studies focused on one or two effective parameters. Designing the proposed optimal neural network architecture is very challenging because it involves choosing the appropriate hyperparameters and optimizing the model performance. The traditional customized GA design provides a systematic and automated approach for exploring the vast search space of possible network architectures and hyperparameters. Through their evolutionary nature, GAs can effectively converge to optimal solutions [59,60]. In summary, the schematic of the proposed new model is demonstrated in Figure 2.

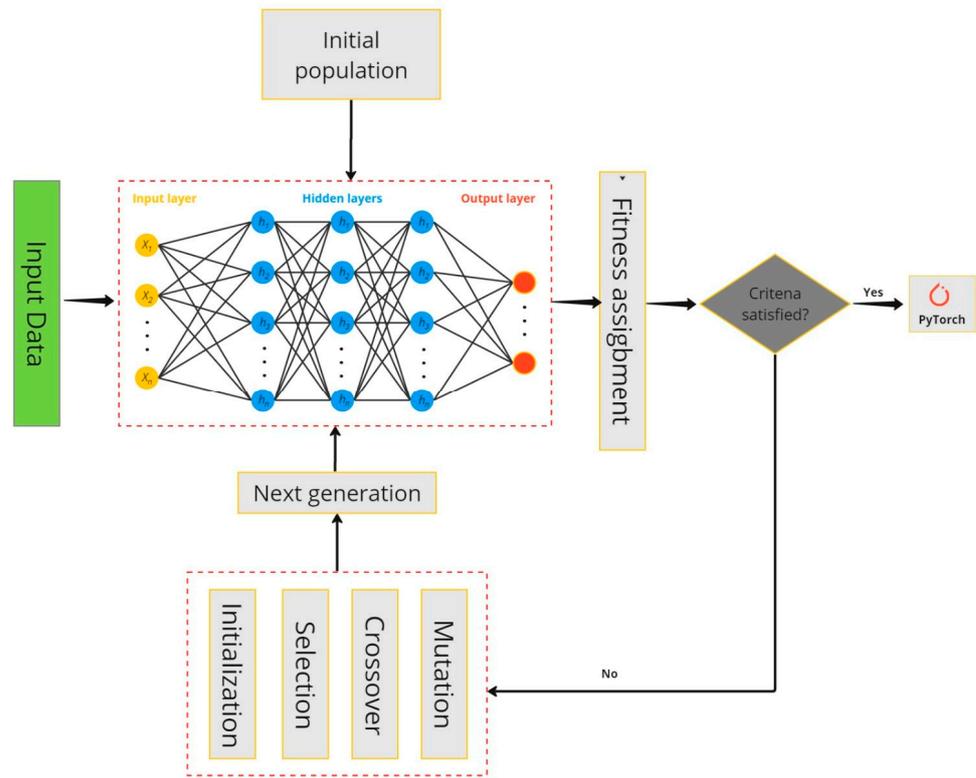


Figure 2. Schematic of the proposed new model.

As shown in Figure 2, there are input data to the system that include various types of risk. Moreover, each risk has a subset of a number of questions. On the other hand, the number of strings of each entry is different. Therefore, this algorithm was used for each risk separately and the results are stored in the PyTorch model. It should be remembered that the PyTorch model is not suitable for running on a mobile application and it is necessary to make changes to the output, which will be discussed in detail in the next step. Moreover, details of this structure are complex, and it is not possible to see all of it in the schematic figure above, especially the gray block that acts like a black box. Therefore, more details of this proposed methodology are presented below.

GA operates by exploring and exploiting based on the encoding method, which consists of three main steps: selection, crossover, and mutation. On the other hand, the neural network contains various hyperparameters, including network topology selection, which affects the prediction accuracy. The parameters of the applied GAs are given in Table 1.

Table 1. Genetic algorithm settings and the parameters used to evolve the best neural network architecture and their associated values.

Parameter	Value
Number of hidden layers	Random number [1 and 4]
Number of neurons	Random number [8, 16, 32, 64, 128, 256, 512, and 1024]
Optimization algorithm	[SGD, Adam, RMSProp]
Activation functions	[Sigmoid, Tanh, ReLU, Leaky ReLU, ELU, SoftMax]
Regularization	[L1, L2, Dropout Regularization, Early Stopping]
Population	100
Crossover factor	0.7
Mutation factors	0.2

In the last step, the GA finds the best architecture and hyperparameters for each FMEA risk separately.

4. Experimental Data and Implementation of the Proposed Algorithm

As stated before, the aim of this research is to create a new practical methodology for forecasting with a trained model using the model server method. Here, the server can be a cloud-based server, an on-premises server, or even a specialized machine designed to provide machine-learning models [61,62]. To interact with the deployed model, it is necessary to create an API that enables communication between the client of an application and the server hosting the model. Since the client's request was to design a mobile application, using the server method had several problems. The advantages and disadvantages of using this method are summarized in Table 2.

Table 2. Comparison of the server and the embedded method used in the present research.

Items	Server Method	Embedded Method
Latency and real-time processing	–	+
Privacy and security	–	+
Offline operation	–	+
Reduced server cost and overhead	–	+
Bandwidth efficiency	–	+
Edge computing	–	+
Deployment and maintenance	+	–
Infrastructure and scalability	+	–
Device variability	+	–
Model security	+	–
Prediction speed	–	+

Embedded models on devices offer several advantages over the server-based method [63]. By running the model locally on the device, latency is minimized and enables real-time processing for the application. When the model is on the server, the data transfer is carried out through the Internet platform and the TCP protocol, and it takes time, but, when it is carried out on the mobile phone, the models are optimized and is on the dataset; therefore, it uses the mobile processor and performs the next calculations instantly. Since sensitive information remains on the device, data privacy and security are enhanced. Offline operation becomes feasible and ensures performance in disconnected environments. Additionally, reduced server costs, lower bandwidth usage, and alignment with edge computing principles make embedded models an efficient choice, provided challenges such as limited device resources and model updates are properly addressed. The next step is to choose a platform for working with neural networks. The options are usually considered between IOS and Android operating systems. We selected the iPhone (with IOS) for several reasons, such as fewer models available on the iPhone platform. However, they are more standard and have more processing power. On the iPhone platform, there are three types of chips, including neural engine, which is especially suitable for neural network tasks [64,65]. After selecting the platform, we need to decide on a framework for implementing neural networks. To this end, we chose Core ML to work with the Neural Engine on Apple devices, as it effectively uses the computational capabilities of the Neural Engine and integrates tightly with Apple ecosystem. As a result, it facilitates the development of machine-learning applications. Core ML also supports on-device inference, which is essential for real-time applications, and provides model optimization capabilities that enable the efficient use of hardware acceleration [66]. Notably, the choice between Core ML and other technologies, such as TensorFlow Lite [67], OpenVINO [68], and Nvidia Jetson [69] depends on the application and specific task requirements. Each of these frameworks has its own features and advantages, and the best choice is determined based on the specific needs of the project. To better understand this issue, Figure 3 presents the conversion and optimization diagram of the PyTorch model to the Core ML for the embedded device.

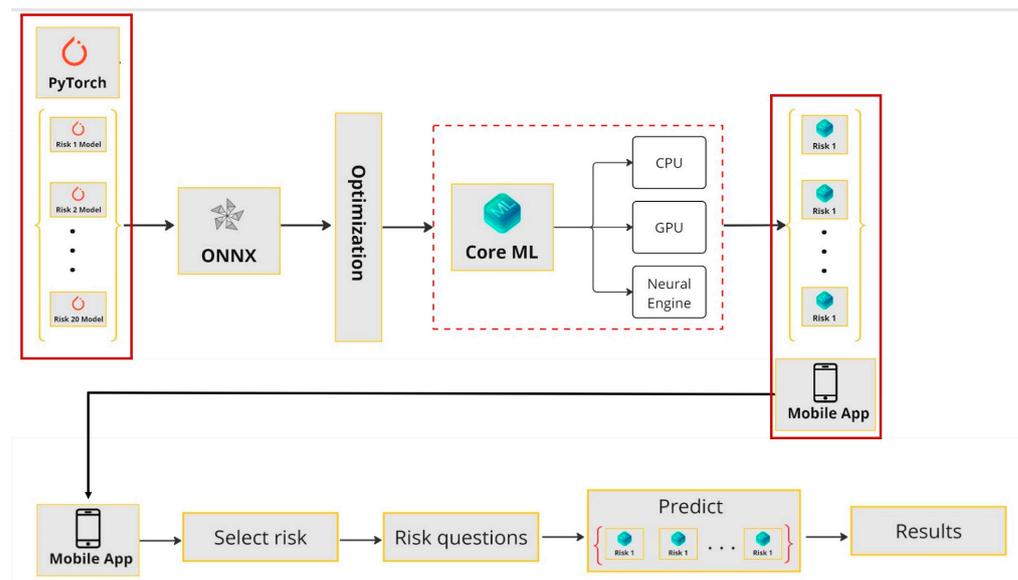


Figure 3. Conversion and optimization diagram of the PyTorch model to the Core ML for embedded device.

PyTorch is a versatile open-source machine-learning library developed by Facebook’s AI Research lab. Its dynamic computational graph feature allows on-the-fly graph creation during runtime, providing flexibility and ease of debugging. PyTorch provides powerful tensor operations similar to NumPy and supports automatic differentiation through its auto package, simplifying gradient computation for neural networks. It provides the building blocks of neural networks, including modules for defining layers, loss functions, and optimization algorithms. PyTorch seamlessly integrates with CUDA for GPU acceleration, enabling faster training.

The next step is to convert the model from PyTorch [70] to Core ML. Here, there are challenges because PyTorch is based on dynamic graphs, while Core ML works with static graphs (which are essential for model optimization). Therefore, we have to choose an intermediate format. As such a format, we used Open Neural Network Exchange (ONNX) [71]. Exporting from PyTorch is straightforward, as a result of the ‘torch-onnx’ module. Then, we improve the models using custom optimizations with the help of the ‘onnx-simplifier’ module. Subsequently, we integrated our trained models into Swift to develop a mobile application. As shown in the lower part of Figure 3, the user selects a risk category in the application interface and responds to the corresponding question associated with that specific risk. Afterward, the user will promptly receive the prediction result.

The project under consideration is a case study started by a well-known company in Russia (i.e., Blagoveshchensk, Amur region). This project was completed in 14 months and was one of the largest industrial structures in the world. The two building yards constitute approximately 32,000 m² of the total construction space. Since concrete is the primary building material, each yard has three structures, each with six floors.

This team consists of 12 experts: one customer representative, one project manager, one worker officer, four occupational health and safety engineers, three quality engineers, one architect, and one design engineer. Members continuously monitor the work performed on the building site. Consequently, they may provide significant information that supports this case study. The team collaborated to collect the data needed to perform the re-reporting. We collected data for 20 FEMA risks. Moreover, we started the process by compiling a list of questions and criteria required for each risk (see Appendix A). Each question was scored in the range of 0–10. Subsequently, the experts recorded all the data over the course of a year. Afterward, we generated a dedicated neural network training dataset. In a neural network, the data are randomly divided into three categories: training data (70% of the data), testing data (20% of the data), and validation data (10% of the data). After obtaining

the ANN model, its efficiency was assessed by calculating the Root Mean Square Error (RMSE) [72]:

$$RMSE = \sqrt{\frac{\sum_{i=1}^n (\hat{y}_i - y_i)^2}{n}} \quad (22)$$

The *RMSE* is a criterion used to optimize variance and assigns weight to errors with larger absolute values. This criterion is commonly used as a standard statistical metric to evaluate model performance. In addition, the *RMSE* is less sensitive to outliers than the Mean Square Error (*MSE*), as the former takes the square root of the *MSE*, and reduces the impact of large errors on the final metric [73]. When dealing with a large number of samples, the use of *RMSE* is considered more reliable for the error distribution.

5. Results

A large number of simulations were performed considering different architectures for the neural network, as well as the number of hidden layers in it. Such a comprehensive analysis was very time-consuming and computationally expensive (see Appendix B). Moreover, the specifications of the system used to run various codes are as follows:

- ✓ Processor 12th Gen Intel(R) Core(TM) i9-12900H 2.90 GHz
- ✓ Installed RAM 32.0 GB (31.7 GB usable)
- ✓ System type 64-bit operating system, x64-based processor
- ✓ GPU NVIDIA GeForce RTX 3080 Ti Laptop GPU

Table 3 presents the *RMSE* value for each type of risk in different neural network architectures with optimal hyperparameters.

Table 3. The results obtained from different simulations for various risk categories.

Type of Risk	Number of Hidden Layers	Neural Network Architecture	RMSE Value
Latency and real-time processing	1	[512, 'Sigmoid']	0.2451
	2	[32, 'ReLU', 64, 'Sigmoid']	0.3335
	3	[1024, 'Sigmoid', 32, 'Tanh', 128, 'ReLU']	0.2205
	4*	[1024, 'Tanh', 1024, 'Sigmoid', 512, 'ReLU', 512, 'Sigmoid']	0.1817
Compressed gas explosion	1	[1024, 'Tanh']	0.4428
	2	[1024, 'Sigmoid', 64, 'ReLU']	0.3031
	3*	[512, 'Sigmoid', 256, 'Tanh', 64, 'ReLU']	0.2749
	4	[1024, 'Sigmoid', 128, 'Tanh', 64, 'ReLU', 128, 'Tanh']	0.3387
Compressor accidents	1	[1024, 'Tanh']	0.3355
	2	[256, 'ReLU', 64, 'Sigmoid']	0.2450
	3*	[1024, 'Sigmoid', 32, 'Tanh', 128, 'Tanh']	0.1162
	4	[512, 'Sigmoid', 512, 'Tanh', 64, 'Tanh', 256, 'ReLU']	0.2198
Construction project delivery failed on time	1	[128, 'Sigmoid']	0.2989
	2	[1024, 'Sigmoid', 64, 'Tanh']	0.3459
	3*	[512, 'ReLU', 64, 'Sigmoid', 512, 'Sigmoid']	0.1384
	4	[512, 'Sigmoid', 128, 'Tanh', 128, 'Sigmoid', 64, 'Tanh']	0.2247
Crane accidents	1*	[128, 'Tanh']	0.6419
	2	[1024, 'Sigmoid', 32, 'Tanh']	0.7586
	3	[128, 'Sigmoid', 8, 'Sigmoid', 256, 'ReLU']	0.8709
	4	[32, 'ReLU', 16, 'ReLU', 8, 'ReLU', 16, 'ReLU']	0.7090
Crane falls on construction site	1	[1024, 'Tanh']	0.1913
	2*	[64, 'Sigmoid', 256, 'Sigmoid']	0.1773
	3	[32, 'ReLU', 512, 'ReLU', 128, 'Tanh']	0.2564
	4	[128, 'Sigmoid', 512, 'ReLU', 32, 'Tanh', 64, 'ReLU']	0.1972
Cutting and nail-gun accidents	1	[256, 'Tanh']	0.3194
	2	[1024, 'Sigmoid', 128, 'Sigmoid']	0.4482
	3	[1024, 'ReLU', 512, 'ReLU', 64, 'ReLU']	0.4157
	4*	[128, 'ReLU', 256, 'ReLU', 32, 'ReLU', 256, 'Tanh']	0.2152

Table 3. Cont.

Type of Risk	Number of Hidden Layers	Neural Network Architecture	RMSE Value
Dust, noise, and vibration	1	[512, 'Sigmoid']	0.3900
	2*	[256, 'Sigmoid', 32, 'Tanh']	0.2708
	3	[1024, 'Sigmoid', 16, 'ReLU', 32, 'Tanh']	0.4912
	4	[128, 'Sigmoid', 16, 'Sigmoid', 256, 'ReLU', 256, 'Sigmoid']	0.4350
Electric shock	1	[128, 'Sigmoid']	0.4472
	2	[128, 'Tanh', 512, 'Sigmoid']	0.4377
	3*	[512, 'Sigmoid', 64, 'Tanh', 256, 'Tanh']	0.1581
	4	[1024, 'Tanh', 8, 'Tanh', 512, 'Tanh', 1024, 'Sigmoid']	0.2443
Electrocutions	1	[256, 'Sigmoid']	0.2742
	2	[512, 'Sigmoid', 256, 'Sigmoid']	0.2550
	3	[1024, 'Sigmoid', 16, 'Sigmoid', 512, 'ReLU']	0.2926
	4*	[1024, 'Sigmoid', 512, 'Sigmoid', 64, 'Sigmoid', 1024, 'Sigmoid']	0.1817
Hit by falling objects	1*	[64, 'Tanh']	0.1584
	2	[32, 'ReLU', 64, 'Sigmoid']	0.2625
	3	[512, 'Sigmoid', 8, 'Sigmoid', 256, 'ReLU']	0.4482
	4	[1024, 'Tanh', 512, 'Tanh', 64, 'Sigmoid', 1024, 'Sigmoid']	0.3746
Holes in flooring of the construction site	1	[1024, 'Sigmoid']	0.4740
	2	[1024, 'Sigmoid', 128, 'Sigmoid']	0.2545
	3*	[512, 'Sigmoid', 512, 'ReLU', 64, 'ReLU']	0.1818
	4	[32, 'ReLU', 1024, 'Tanh', 512, 'Sigmoid', 256, 'ReLU']	0.4465
Run over by operating equipment	1	[64, 'Tanh']	0.3614
	2	[1024, 'Sigmoid', 128, 'Tanh']	0.3457
	3*	[256, 'Tanh', 512, 'Tanh', 16, 'Tanh']	0.2805
	4	[256, 'Sigmoid', 256, 'ReLU', 32, 'Tanh', 32, 'ReLU']	0.3120
Scaffolding accidents	1	[256, 'ReLU']	0.3297
	2	[512, 'Sigmoid', 32, 'ReLU']	0.4009
	3	[64, 'ReLU', 128, 'Sigmoid', 128, 'Sigmoid']	0.6002
	4*	[128, 'Sigmoid', 16, 'ReLU', 64, 'Sigmoid', 1024, 'Sigmoid']	0.2717
Scaffolding falls	1	[128, 'Tanh']	0.2952
	2*	[64, 'Sigmoid', 8, 'ReLU']	0.2173
	3	[128, 'Sigmoid', 128, 'Sigmoid', 32, 'ReLU']	0.2392
	4	[1024, 'Sigmoid', 512, 'Tanh', 512, 'Sigmoid', 64, 'ReLU']	0.3132
Solid waste and water waste	1	[1024, 'Tanh']	0.2564
	2	[1024, 'ReLU', 16, 'Tanh']	0.2447
	3*	[256, 'ReLU', 1024, 'ReLU', 128, 'Sigmoid']	0.1189
	4	[128, 'Tanh', 8, 'Sigmoid', 512, 'Sigmoid', 128, 'ReLU']	0.2037
Structure failure	1*	[1024, 'Tanh']	0.1864
	2	[512, 'Sigmoid', 512, 'Sigmoid']	0.1895
	3	[512, 'Tanh', 8, 'ReLU', 256, 'Sigmoid']	0.2428
	4	[128, 'ReLU', 1024, 'ReLU', 128, 'Tanh', 16, 'Tanh']	0.3943
Toxic and suffocation	1	[128, 'ReLU']	0.3619
	2	[128, 'Sigmoid', 512, 'ReLU']	0.3165
	3*	[64, 'Sigmoid', 64, 'Sigmoid', 64, 'Tanh']	0.2742
	4	[1024, 'ReLU', 1024, 'ReLU', 512, 'ReLU', 1024, 'Sigmoid']	0.3425
Welding accidents	1	[256, 'ReLU']	0.4674
	2	[512, 'Tanh', 1024, 'Tanh']	0.2881
	3*	[512, 'ReLU', 32, 'Tanh', 1024, 'Tanh']	0.2264
	4	[512, 'ReLU', 32, 'Tanh', 32, 'Sigmoid', 256, 'Sigmoid']	0.8547
Falling of the workers from high floors	1	[1024, 'Tanh']	0.2505
	2	[512, 'Tanh', 16, 'Tanh']	0.2402
	3	[1024, 'ReLU', 32, 'Sigmoid', 512, 'Sigmoid']	0.2345
	4*	[128, 'Sigmoid', 64, 'Tanh', 8, 'Tanh', 512, 'ReLU']	0.1849

* The optimal neural network architecture and hyperparameters for each risk selected using the genetic algorithm.

As is clear from the results, even for the one hidden layer, it is not possible to find the optimal neural network architecture that covers all the risks. For example, if the number of hidden layers is considered to be 1 and the number of neurons is also considered to be 512, then, for different risks, the neural network architecture will be different again because the activation functions will be different. Therefore, we will realize the shining

point of this research, that it is necessary to optimize all hyperparameters in a neural network architecture, including the number of hidden layers, the number of neurons in each layer, and the activation functions. Hence, finding a neural network with constant architecture for all risks is impossible. Moreover, it can be seen that the accuracy of the neural network cannot be directly attributed to each of the hyperparameters separately. For example, from Table 3, the optimum number of neutrons for one risk is 64, and, for another risk, the number of hidden layers is 3. It means that the accuracy does not always increase with the increase in the number of neurons or in the number of hidden layers. Therefore, it is emphasized that the accuracy of the new methodology presented in this article is significantly higher than other non-combined methods. To prove this statement, the first risk was analyzed according to the usual methods, and, using the comparison technique, the percentage of improvement in the prediction accuracy of the new methodology was investigated, the results of which are given in Appendix C.

Apart from the above explanations, the relationship between the iterations of the genetic algorithm and the *RSME* value, as well as the optimal points for each risk in different layers, is displayed in Figure 4. Next, Table 4 presents the standard deviation values of the dataset characteristics and objectives for each specific risk. This information provides insights into the variability and spread of the data points within each risk category, helping to better understand the distribution of data and potential risks associated with it.

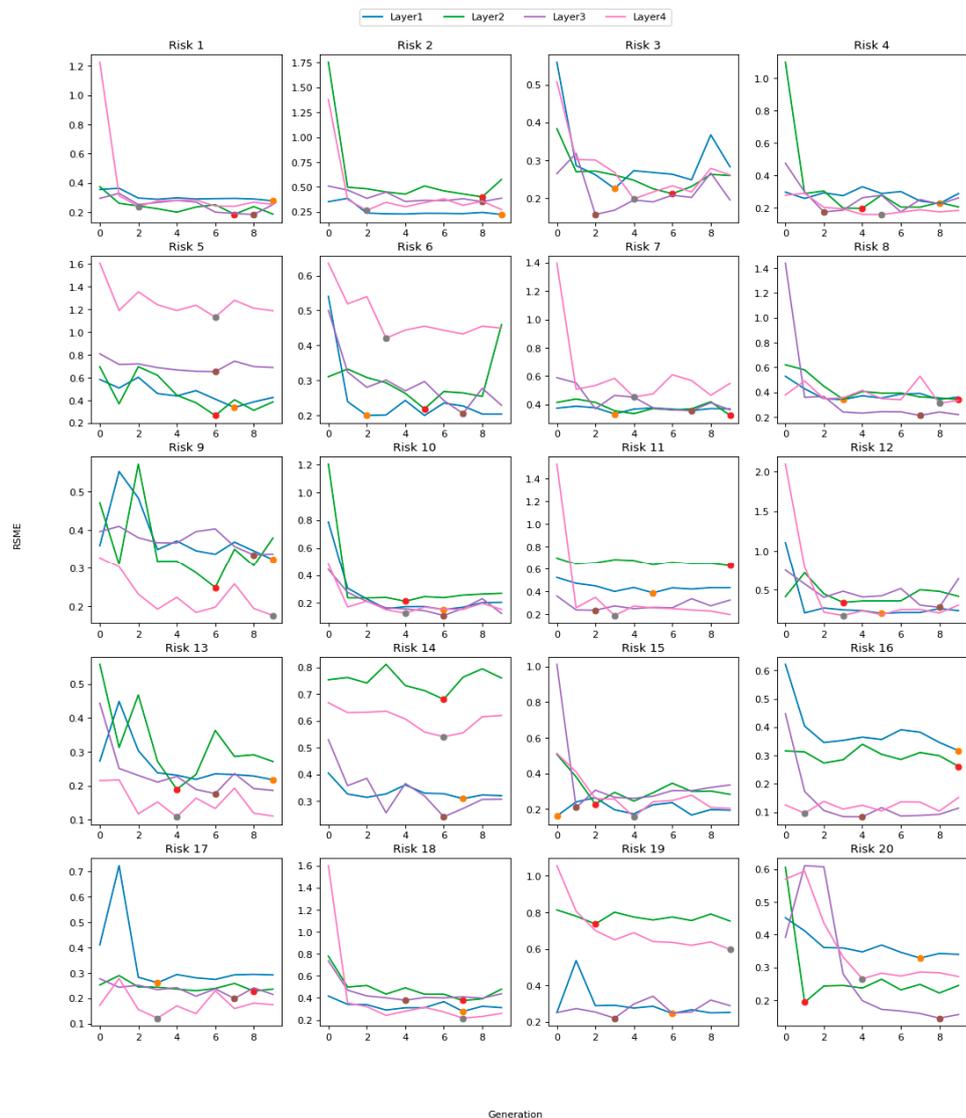


Figure 4. The relationship between the iterations of the genetic algorithm and the *RMSE* value.

Table 4. Standard deviation values for each characteristic of risks.

Number of Hidden Layers				Objectives		
1	2	3	4	O	D	S
Latency and real-time processing						
5.233 ± 2.801	5.982 ± 3.179	5.826 ± 4.310	4.356 ± 2.987	4.077 ± 1.191	3.977 ± 1.472	4.184 ± 1.233
Compressed gas explosion						
4.364 ± 2.403	4.459 ± 2.711	4.804 ± 2.665	5.069 ± 3.118	3.800 ± 1.485	3.619 ± 1.505	3.690 ± 1.463
Compressor accidents						
4.701 ± 3.789	5.418 ± 3.379	5.587 ± 3.705	5.315 ± 3.341	3.860 ± 1.690	3.740 ± 1.736	3.740 ± 1.721
Construction project delivery failed on time						
3.799 ± 2.809	5.027 ± 3.619	3.509 ± 3.081	2.728 ± 2.095	4.362 ± 1.116	3.211 ± 1.419	4.752 ± 1.214
Crane accidents						
5.958 ± 4.079	5.186 ± 3.410	5.214 ± 3.634	4.733 ± 3.465	4.380 ± 2.672	3.860 ± 1.616	3.670 ± 1.743
Crane falls on construction site						
4.826 ± 3.293	4.809 ± 2.875	5.687 ± 3.209	5.041 ± 2.975	4.050 ± 1.429	3.840 ± 1.490	4.120 ± 1.431
Cutting and nail-gun accidents						
4.324 ± 2.753	4.608 ± 2.859	4.516 ± 3.303	4.574 ± 3.337	3.640 ± 1.396	3.492 ± 1.505	3.390 ± 1.465
Dust, noise, and vibration						
4.313 ± 3.152	4.840 ± 3.200	5.277 ± 3.821	4.451 ± 3.421	3.680 ± 1.347	3.477 ± 1.458	3.280 ± 1.363
Electric shock						
5.053 ± 3.304	5.738 ± 4.148	4.833 ± 2.850	4.776 ± 3.125	3.800 ± 1.370	3.681 ± 1.435	3.700 ± 1.389
Electrocutions						
4.761 ± 3.835	5.441 ± 3.537	5.514 ± 3.892	5.083 ± 3.346	3.860 ± 1.690	3.740 ± 1.736	3.740 ± 1.721
Hit by falling objects						
5.638 ± 3.850	4.970 ± 3.028	5.381 ± 3.551	4.248 ± 3.132	4.220 ± 2.705	3.751 ± 1.585	3.660 ± 1.655
Holes in flooring of the construction site						
4.347 ± 3.383	4.791 ± 3.434	5.024 ± 3.879	4.665 ± 3.497	3.680 ± 1.347	3.481 ± 1.461	3.280 ± 1.363
Run over by operating equipment						
4.979 ± 3.251	5.469 ± 3.802	5.003 ± 3.063	4.796 ± 3.064	3.800 ± 1.370	3.681 ± 1.435	3.700 ± 1.389
Scaffolding accidents						
5.546 ± 3.733	4.828 ± 2.844	5.447 ± 3.465	4.293 ± 3.118	4.220 ± 2.705	3.761 ± 1.582	3.660 ± 1.655
Scaffolding falls						
4.646 ± 2.689	4.931 ± 3.056	5.165 ± 3.267	4.715 ± 3.249	3.960 ± 1.456	3.788 ± 1.501	4.020 ± 1.389
Solid waste and water waste						
5.152 ± 3.971	6.137 ± 3.909	6.115 ± 3.663	5.276 ± 3.794	4.020 ± 1.660	3.800 ± 1.726	4.020 ± 1.693
Structure failure						
4.751 ± 2.808	4.807 ± 3.614	5.802 ± 4.201	5.584 ± 3.515	4.231 ± 1.345	3.772 ± 1.534	4.180 ± 1.110
Toxic and suffocation						
4.379 ± 3.208	5.037 ± 3.398	5.060 ± 3.736	4.520 ± 3.351	3.680 ± 1.347	3.477 ± 1.459	3.280 ± 1.363
Welding accidents						
4.089 ± 2.814	4.752 ± 3.092	4.140 ± 3.379	4.506 ± 3.677	3.640 ± 1.396	3.480 ± 1.515	3.390 ± 1.465
Falling of the workers from high floors						
6.524 ± 3.950	5.087 ± 3.516	5.575 ± 4.229	6.107 ± 4.477	4.402 ± 1.149	4.490 ± 1.099	4.070 ± 1.543

In Figure 4, each part contains several color charts. The legend is located at the top, which separates the number of hidden layers. For example, the blue lines represent analyses for different risks with a neural network including one hidden layer. Moreover, in each risk, various analyses were performed for four different states of hidden layers (i.e., one, two, three, and four layers), and, for every state, there is an optimal point that detects the interaction between the hyperparameters in such a way that the neural network model

has the highest prediction accuracy. These points are also shown in the Figure 4. As is clear from this figure, it confirms the previous statement (in relation to Table 3) because the co-ordinates of the optimal points are not the same in all parts and diagrams.

From Table 4, the value of the standard deviation is high for the architecture with different hidden layers. It should be noted that, if a unique architecture was used for all risks, then this value would be too small for one risk and too high for another risk. Therefore, these values are obtained based on the presented methodology. Another reason for this is the nature of the risks, which are different from each other, and, since this research was based on industrial data, it was not possible to use better data and the fact was reported. Another reason is the scattering of data in each of the evaluation questions in a risk. This issue can be seen in different industries. For example, in the issue of the fatigue phenomenon in engineering, according to the ISO-1143 standard, the acceptable amount of scattering is reported to be 50%. Now, in this research, we delved into the amount of evaluation for a question: one expert can give a full score of one and another expert can give a score of zero, which creates exactly the same conditions as mentioned.

Finally, Figure 5 illustrates the Kernel Density Estimation (KDE) [74] of the features of the dataset for each specific risk, highlighting the distribution and density of the data points. In fact, it represents the relationship between the KDE graphs and the hidden layers of the neural network and explains how data features correspond to the intermediate processing layers of the network.

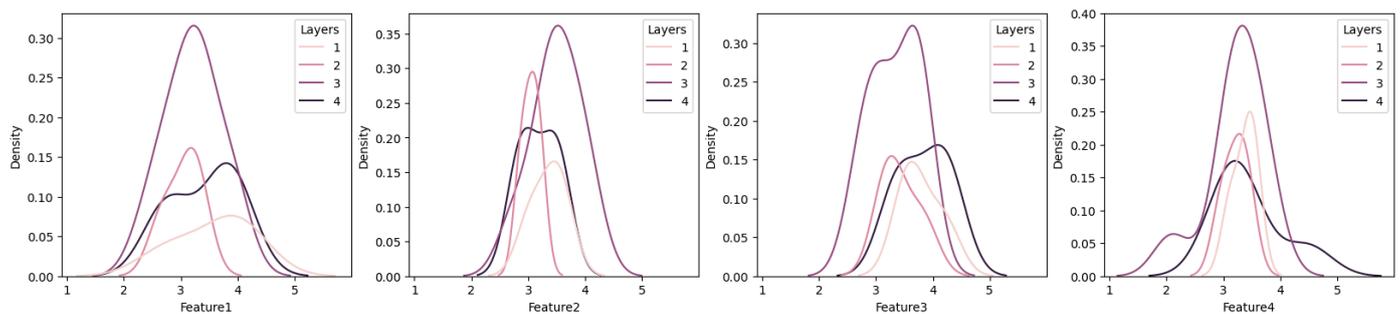


Figure 5. KDE graphs for each risk across neural network layers.

6. Discussion

This paper develops an optimal neural network architecture for FMEA data analysis to predict risks in diverse datasets. In the proposed method, since the training data show changes in data distribution, noise levels, and scatter, among other factors, using the same neural network architecture often reduces its effectiveness and performance and leads to unsatisfactory results. This problem is solved by using an accurate selection of the hyperparameter for the neural network. Typically, this is carried out by experts in the field of neural networks who rely on their extensive personal experience. The proposed method uses GA to find the optimal architecture separately for each data type by determining the relevant hyperparameters. As shown in Table 3, this approach yielded the best and most optimal architecture for each individual FMEA risk. In addition, the running time of the code as well as the comparison of the accuracy of the proposed model with the conventional unique model are presented in Appendices B and C, respectively.

The relationship between the standard deviation of features and neural network architecture can affect the training and performance of a neural network. If the standard deviation of the input data is too high, it may be necessary to use a deeper or wider network to capture the complexity of the data. The standard deviation is often used as a measure of the spread or variability of data. Choosing the architecture (width and depth) of the neural network depends on the characteristics of the input data, such as the standard deviation. Data characteristics also affect the gradient descent method during neural network training, particularly how quickly and stably the neural network converges to the optimal weights. When the standard deviation is large, the gradients can become larger and lead to rapid

weight updates. In such cases, selecting an excessively large learning rate can result in divergence. High or low values of the feature can lead to vanishing or exploding gradients, creating challenges during training. Therefore, choosing appropriate weight initialization methods, data normalization techniques, and optimization methods is very important to successfully train a network.

Next, we employed a method that enabled data processing in embedded devices. This choice was motivated by the need to prioritize data privacy, especially since FMEA information typically contains sensitive company data. Users are generally opposed to transmitting their confidential information to external servers. We converted the trained neural network model from PyTorch to Core ML and improved the models through custom optimizations using the 'onnx-simplifier' module. As a result, this model gained the ability to predict data on embedded devices in real time and significantly improved the speed and accuracy.

7. Conclusions

In this article, the use of artificial intelligence algorithms for risk assessment was comprehensively studied. Traditionally, risk calculation often relies on the expertise of specialists. However, this approach is costly and time-consuming. Moreover, since it often includes subjective factors such as expert opinions, experience level, and psychological pressures, it can create negative biases in risk assessment. Therefore, an artificial intelligence system based on neural networks was employed to address these challenges. One of the critical aspects of using neural networks is designing the network architecture to achieve the highest performance of the quality. For this purpose, the search for the optimal architecture and hyperparameters was automated using a GA, an evolutionary algorithm. Various experiments were conducted to evaluate this approach in 20 different types of risk. Finally, a system that enables the offline execution of the trained neural network model was used after recognizing the highly confidential nature of risk information for companies. This system processes data in embedded devices without transmitting data to external servers and ensures data privacy. Based on the analysis of risk data, significant interdependence between risks in a specific domain was identified. Using this insight, we recommend designing a neural network using a tensor that can accommodate multimodal capabilities. By simultaneously exploiting the full potential of all available symmetry information, a holistic core can be created that integrates the most influential aspects of different risks for superior results. As a result, the proposed neural network architecture promises to improve risk assessment processes and achieve more accurate predictions by using combined knowledge from diverse risk domains.

Author Contributions: Conceptualization, N.R. and K.R.K.; methodology, N.R.; software, N.R.; validation, O.A.S.; formal analysis, N.R.; investigation, N.R., L.H., M.N. and K.R.K.; resources, R.G.; data curation, O.A.S. and L.H.; writing—original draft preparation, N.R.; writing—review and editing, K.R.K.; visualization, N.R. and K.R.K.; supervision, N.R.; project administration, R.G.; funding acquisition, R.G. All authors have read and agreed to the published version of the manuscript.

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Data Availability Statement: The datasets can be made available upon request from the first author.

Conflicts of Interest: The authors declare no conflicts of interest.

Abbreviations

FMEA	Failure Mode and Effect Analysis
GA	Genetic Algorithm
HACCP	Hazard Analysis and Critical Control Points
AI	Artificial Intelligence
DNN	Deep Neural Network

FIS	Fuzzy Inference System
SVM	Support Vector Machine
ReLU	Rectified Linear Unit
ELU	Exponential Linear Unit
SELU	Scaled Exponential Linear Unit
SGD	Stochastic Gradient Descent
Adam	Adaptive Moment Estimation
RMSprop	Root Mean Square Propagation
ONNX	Open Neural Network Exchange
RMSE	Root Mean Square Error
MSE	Mean Square Error
KDE	Kernel Density Estimation

Appendix A

The list of questions and criteria considered to evaluate each risk (collected data for use in the neural network as input parameters) is as follows (Table A1):

Table A1. List of different risks along with questions.

No.	Risk	Question
1	Latency and real-time processing	<ul style="list-style-type: none"> • Bad design • Misunderstanding of the design • Wrong construction • Foundation failure • Abnormal loads
2	Compressed gas explosion	<ul style="list-style-type: none"> • Misuse of equipment • Lack of safety training • No fire protection system • Use of electrical equipment (welding—cutting—shearing) in the gas zone
3	Compressor accidents	<ul style="list-style-type: none"> • Lack of technical training • Misuse of equipment • Lack of training on safety systems • Poor quality of compressor • Technical issues
4	Construction project delivery failed on time	<ul style="list-style-type: none"> • Work has been suspended due to the coronavirus epidemic (2 weeks for 20% of the total number of workers) • Technical error in the building structure in some places needs to be reconstructed • Work speed is slow in winter due to the harsh weather conditions (40 degrees below zero) • Lack of knowledge of some officials about the goals and dates set by the company • A severe shortage of funding for raw materials such as iron and concrete leads to delays in implementation
5	Crane accidents	<ul style="list-style-type: none"> • Misuse of cranes • Technical issues • Difficult climatic conditions • Lack of safety training
6	Crane falls on construction site	<ul style="list-style-type: none"> • Strong winds • Absence of crane monitor • Technical defect in the crane structure • No communication between crane driver and crane controller • The load is heavier than the required weight of the crane

Table A1. Cont.

No.	Risk	Question
7	Cutting and nail-gun accidents	<ul style="list-style-type: none"> • Weakness in the use of protection and safety systems • Misuse of equipment • Worker safety culture is weak • Non-use of personal protective equipment
8	Dust, noise, and vibration	<ul style="list-style-type: none"> • Lack of personal protective equipment • The quality of the working machines used in the construction field • Weakness in the use of protection and safety systems • Lack of security culture • Lack of control safety
9	Electric shock	<ul style="list-style-type: none"> • Construction workers are exposed to electric current-carrying cables • Worker safety culture is weak • Construction workers are exposed to machinery current while working • Rain and snow during work
10	Electrocutions	<ul style="list-style-type: none"> • Construction workers are exposed to electric current-carrying cables • Worker safety culture is weak • Construction workers are exposed to machinery current while working • Miscellaneous supervision by supervisors and foremen • Rain and snow during work
11	Hit by falling objects	<ul style="list-style-type: none"> • Personal protective equipment is not used • Worker safety culture is weak • Absence of safety control • Lack of a safety system for heights
12	Holes in flooring of the construction site	<ul style="list-style-type: none"> • No censorship • Lack of a safety system for building holes • Lack of definition and sensitivity to the large number of holes in the building • Filling the concrete incorrectly • Inefficiency of some construction workers
13	Run over by operating equipment	<ul style="list-style-type: none"> • Lack of strict implementation of safety regulations • Misuse of equipment • Worker safety culture is weak • Lack of a safety system for workers zone
14	Scaffolding accidents	<ul style="list-style-type: none"> • Non-use of personal protective equipment • Low quality of scaffolding • Difficult weather conditions • Lack of technical training
15	Scaffolding falls	<ul style="list-style-type: none"> • Strong winds • Lack of a safety system • Technical defect in structure • Lack of control • Inefficiency of some construction workers
16	Solid waste and water waste	<ul style="list-style-type: none"> • Lack of technical guide • Lack of environmental protection culture • Weakness in the use of protection and safety systems • Iron and wood waste in construction • Concrete waste in construction
17	Structure failure	<ul style="list-style-type: none"> • Bad design • Misunderstanding of the design • Wrong construction • Foundation failure • Abnormal loads

Table A1. *Cont.*

No.	Risk	Question
18	Toxic and suffocation	<ul style="list-style-type: none"> • Improper use of environmentally friendly materials • Absence of sterilization before and after work • Work for a long time in the dusty place • Lack of safety culture • Eating while working
19	Welding accidents	<ul style="list-style-type: none"> • Misuse of equipment • Lack of safety training • Non-use of personal protective equipment • Absence of safety control
20	Falling of the workers from high floors	<ul style="list-style-type: none"> • Falling roof structures and columns • Falling through existing openings • Falling down from stairs • Work at height without safety equipment • Lack of a safety system for heights

Appendix B

The runtime (seconds) for the codes written in order to obtain the most optimal neural network architecture considering different numbers of hidden layers is as follows (Table A2):

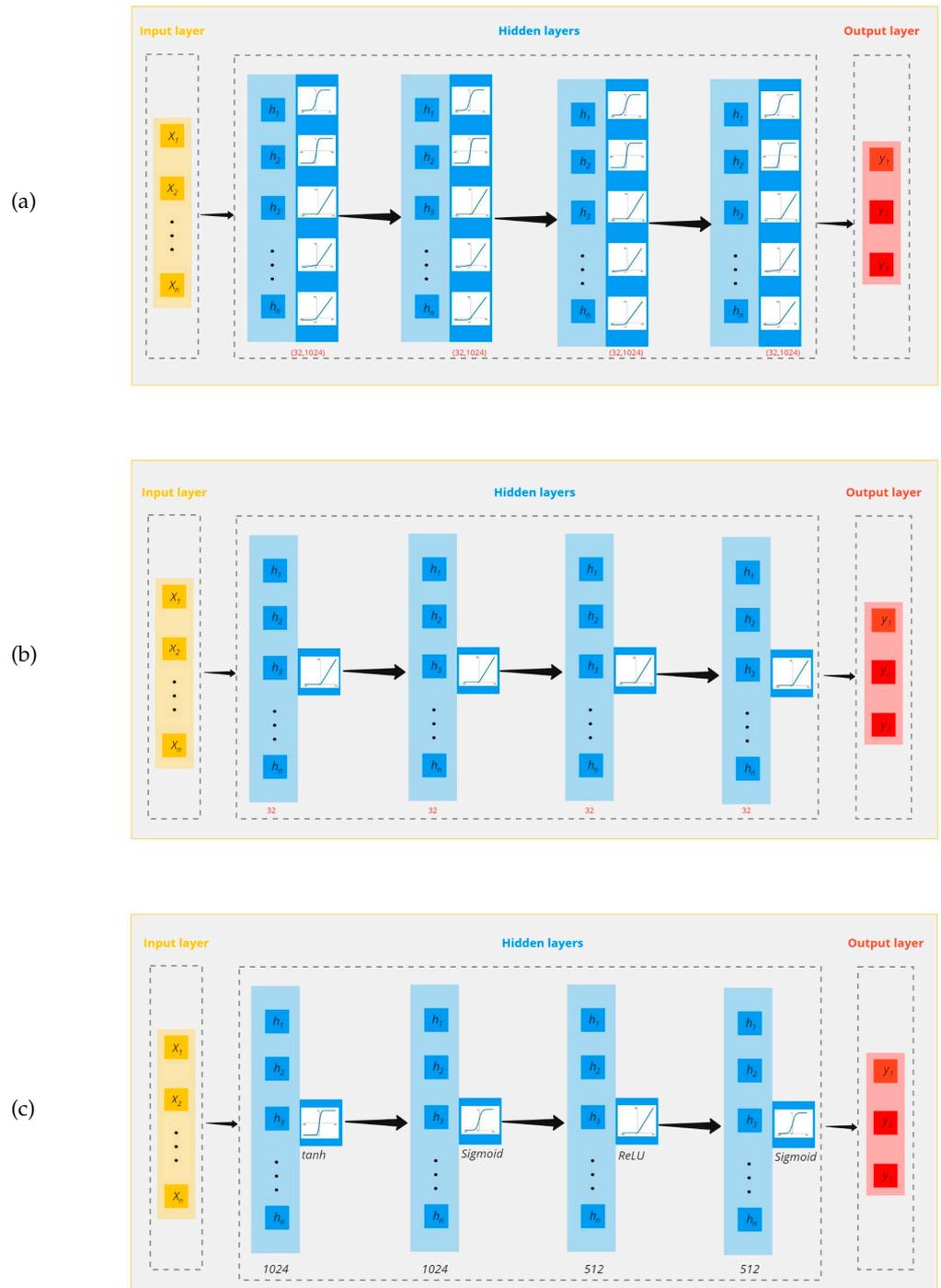
Table A2. Runtime of different algorithms according to the risk (unit is in seconds).

No.	Risk	Number of Hidden Layers			
		1	2	3	4
1	Latency and real-time processing	6517	8774	11781	15420
2	Compressed gas explosion	6536	8616	11848	14941
3	Compressor accidents	6542	8568	12038	15029
4	Construction project delivery failed on time	6534	8675	12188	14971
5	Crane accidents	6519	8676	12058	15079
6	Crane falls on construction site	6568	8566	11997	14869
7	Cutting and nail-gun accidents	6529	8604	12211	15084
8	Dust, noise, and vibration	6534	8682	12203	14900
9	Electric shock	6569	8848	12158	14830
10	Electrocutions	6575	8895	11925	14955
11	Hit by falling objects	6543	8612	12220	15037
12	Holes in flooring of the construction site	6569	8630	12171	15138
13	Run over by operating equipment	6542	8656	12164	15046
14	Scaffolding accidents	6571	8705	12296	14985
15	Scaffolding falls	6541	8586	12120	15029
16	Solid waste and water waste	6532	8918	12206	14821
17	Structure failure	6535	8605	11859	14937
18	Toxic and suffocation	6537	8587	12153	15147
19	Welding accidents	6604	9027	12893	14192
20	Falling of the workers from high floors	6555	8875	12328	14749

As is clear from the results, with the increase in the number of hidden layers, the solution time increases, and the computational costs also increase.

Appendix C

To compare the accuracy improvement of the proposed method, i.e., the optimized neural network architecture by considering all hyperparameters with the conventional neural network architecture (the activation function of *ReLU*), the analysis for the first risk of the data collected in this research was carried out. The following figure shows the schematic of the mental idea for the proposed neural network architecture (a), the conventional neural network architecture considering a specific activation function (b), and, finally, the optimal neural network architecture obtained in this research (c).



The result of this comparison is as follows:

Risk No.	Number of Hidden Layers	Initialization Architecture	RMSE	Best Architecture	RMSE	Improvement (%)
1	1	[32, ReLU]	0.8619	[512, Sigmoid]	0.2451	71.52
	2	[32, ReLU, 32, ReLU]	0.7366	[32, 'ReLU', 64, 'Sigmoid']	0.3335	54.72
	3	[32, ReLU, 32, ReLU, 32, ReLU]	0.8011	[1024, 'Sigmoid', 32, 'Tanh', 128, 'ReLU']	0.2205	72.47
	4	[32, ReLU, 32, ReLU, 32, ReLU, 32, ReLU]	0.7489	1024, 'Tanh', 1024, 'Sigmoid', 512, 'ReLU', 512, 'Sigmoid']	0.1817	75.73

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