Article
Searching for Dark Matter Axions via Atomic Excitations

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Abstract: Axions can be considered as good dark matter candidates. The detection of such light particles can be achieved by observing axion-induced atomic excitations. The target is in a magnetic field so that the m-degeneracy is removed, and the energy levels can be suitably adjusted. Using an axion-electron coupling indicated by the limit obtained by the Borexino experiment, which is quite stringent, reasonable axion absorption rates have been obtained for various atomic targets The obtained results depend, of course, on the atom considered through the parameters ϵ (the spin-orbit splitting) as well as δ (the energy splitting due to the magnetic moment interaction). This assumption allows axion masses in the tens of μeV if the transition occurs between members of the same multiplet, i.e., $|J_1, M_1 = -J_1\rangle \rightarrow |J_1, M_1 = -J + 1\rangle, J_1 \neq 0$, and axion masses in the range 1 meV–1 eV for transitions of the spin-orbit splitting type $|J_1, M = -J_1\rangle \rightarrow |J_2, M_2 = -J_1 + q\rangle, q = -1, 0, 1$, i.e., three types of transition. The axion mass that can be detected is very close to the excitation energy involved, which can vary by adjusting the magnetic field. Furthermore, since the axion is absorbed by the atom, the calculated cross-section exhibits the behavior of a resonance, which can be exploited by experiments to minimize any background events.

Keywords: axion detection; axion dark matter; atomic excitations; magnetic field induced level splitting; magnetic moment matrix element; narrow resonances; light absorption; frequency and magnetic field scan; event rate

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1. Introduction

In the standard model (S-M) there is a source of CP violation from the phase in the Kobayashi-Maskawa mixing matrix. This, however, is not large enough to explain the baryon asymmetry observed in nature. Another source is the phase in the interaction between gluons (θ-parameter), naively expected to be of order unity. The non-observation of the elementary electric dipole moment of the neutron limits its value to be $\theta \leq 10^{-9}$. This has been known as the strong CP problem. A solution to this problem has been the P-Q (Peccei-Quinn) mechanism. In extensions of the S-M, e.g., two Higgs doublets, the Lagrangian has a global P-Q chiral symmetry $U_{PQ}(1)$, which is spontaneously broken, generating a Goldstone boson, the axion (a). In fact, the axion was proposed a long time ago as a solution to the strong CP problem [1] resulting in a pseudo-Goldstone boson [2,3]. The two most widely cited models of invisible axions are the KSVZ (Kim, Shifman, Vainshtein, and Zakharov) or hadronic axion models [4,5] and the DFSZ (Dine, Fischler, Srednicki, and Zhitnitskij) or GUT axion model [6,7]. This also led to the interesting scenario of the axion being a candidate for dark matter in the universe [8–10] and it can be searched for by real experiments [11–14]. For a review see, see, e.g., [15].

It has been recognized a long time ago by Sikivie [16], and others, see, e.g., [17], that the axion is an ideal cold dark matter candidate, especially in the mass range 10^{-6} eV$\leq m_a \leq 10^{-3}$ eV. Thus, popular experiments hope to detect axions by their conversion to photons in the presence

of a magnetic field (Primakoff effect); see Figure 1a,b. The produced photons are detected in a resonance cavity as suggested by Sikivie [16]. In the case of the axion absorption by atoms, see Figure 1c, the detection can be achieved by directly measuring the photons following the atom de-excitation or by promoting the axion to a judiciously chosen third level via suitable light absorption, with a desired pattern of de-excitation as described below.
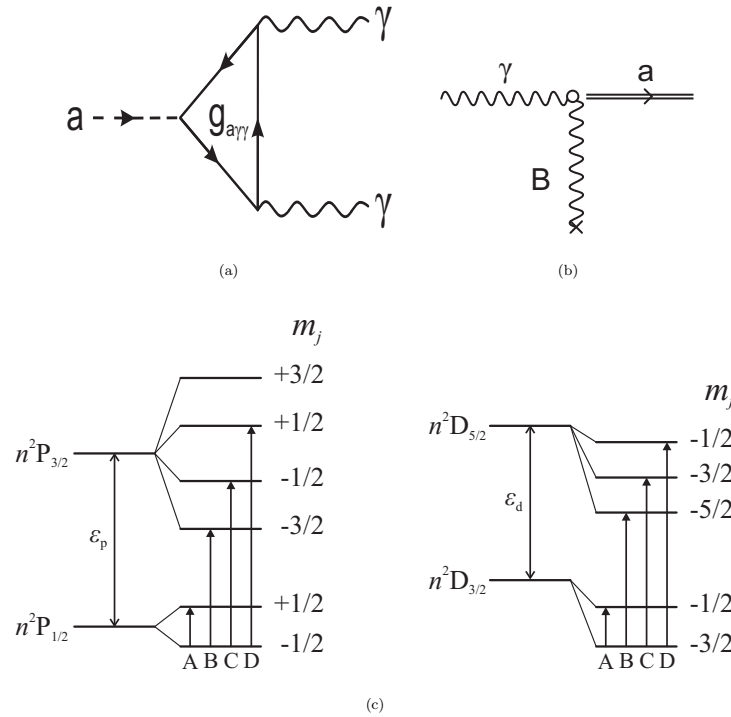

Figure 1. (**a**) The elementary axion−photon interaction. (**b**) The axion to photon conversion in the presence of a magnetic field, the Primakoff effect. (**c**) The axion absorption by an atom at low temperature via the axion-electron spin-induced interaction. An example of transitions is indicated. The energy splittings depend on the spin-orbit interaction and the magnitude of the magnetic field (the levels are not to scale). An electron is moved from an occupied initial level with energy E_i and quantum numbers $n\ell j_i$ in the substate $m_i = -j_i$ to a level with energy E_f with quantum numbers $n\ell j_f$, provided that $m_f = -j_i + 0, \pm 1$, consistent with the angular momentum selection rules (terms B,C,D). When the total angular momentum of the two states is the same (A-term), the splitting is small and due to the magnetic moment operator. In all cases, the splitting is proportional to the magnetic field. The picture is drawn for one-electron configurations, but it can be generalized to multi-electron configurations. In all cases, only states with the same radial and orbital quantum numbers can be connected via the spin operator.

In fact, various experiments (heavier axions with larger mass in the 1eV region produced thermally (such as via the $a\pi\pi\pi$ mechanism), e.g., in the sun, are also interesting and are searched by CERN Axion Solar Telescope (CAST) [18]. Other axion-like particles (ALPs), with broken symmetries not connected to QCD, and dark photons form dark matter candidates called WISPs (Weakly Interacting Slim Particles), see, e.g., [19], are also being searched) such as the ADMX and the ADMX-HF collaborations [11,13,20,21] are planned and ongoing to search for them. In addition, the newly established Center for Axion and Physics Research (CAPP) has started an ambitious axion dark matter research program [22], using SQUID and HFET technologies [23]. Their strategy is to run several experiments in parallel to explore a wide range of axion masses with sensitivities better than the QCD axion models [24–26].

The allowed parameter space has been presented in a nice slide by Raffelt [27] in the Multidark-IBS workshop and, focusing on the axion as dark matter candidate, by Stern [20], derived from Figure 3 of ref. [20].

Recently, some exclusions on the axion masses have been obtained by the ADMX experiments in the range of 2.66–2.81 μeV [28], 2.81–3.39 μeV [29] and 3.3–4.2 μeV [30] leading to the exclusion of a wide range of axion-photon coupling values predicted in benchmark models of the invisible axion, which solves the strong CP problem of quantum chromodynamics.

Since, however, the mass of the axion is not known, it is important to consider other processes for its detection, which may be accessible to a wider window of axion mass. Such may involve, e.g., axion detection via atomic excitations [31–33].

In this paper, we are going to discuss the possibility of axion detection by observing directly axion-induced atomic excitations, measuring the photons produced in the de-excitation with sensitivity to axion masses ranging 10^{-5} eV and up close to 1 eV. More specifically the relevant procedure, suggested by Sikivie [34], involves an atom cooled at low temperature, which utilizes three energy levels. The first is the ground state, $|1\rangle$. The second, $|2\rangle$ is completely empty, chosen such that the energy difference between the two is close to the axion mass. Under the spin-induced axion-electron interaction, an electron is excited from the first to the second level. The presence of such an electron in $|2\rangle$ can be confirmed by exciting it further via the radiation of suitably chosen photon energy to a third level $|3\rangle$, which is also empty and lies at higher excitation energy. From the observation of the subsequent de-excitation of level $|3\rangle$, one infers the presence of the axion.

The magnetic field employed is used to split the magnetic m-substates so that the transition energies involved can be suitably adjusted. Furthermore, by suitably adjusting its size, it can determine a window of axion masses to be searched in a given experiment.

A crucial parameter in the axion-induced atomic excitations is the axion electron coupling g_{ea}/f_a. The dimensionless quantity g_{ea} has been studied in axion models. The quantity f_a with dimension of mass is not known, but it is believed to be inversely proportional to the axion mass. Limits on the axion electron coupling have been extracted from recent astrophysical data [35–37]. In the present calculation, we are going to adopt a value g_{ea}/f_a, which coincides with the stringent limit obtained in the Borexino experiment [38]. We will see that this value leads to a very small cross-section. Fortunately, we will find that experiments involving dark matter axions are not doomed to be unobservable since the axion number density in our vicinity of the galaxy is quite large, owing to the small axion mass.

Our paper will be organized as follows. In Section 2 we will derive expressions yielding the rates for axion absorption by atoms; in Section 3 we will discuss the axion-electron coupling and the range of the axion masses obtained from Borexino limit in conjunction with reasonable axion model parameters g_{ea}, in Section 4 we will study the obtained axion widths, in Section 5 we will summarize the needed atomic physics input, in Section 6 we will consider the low-temperature requirements for the success of the experiments, in Section 7 we will present our results for the expected rates and in Section 8 we will summarize our conclusions.

2. Expressions for Rates for Axion Absorption by Atoms

We remind the reader that the axion, *a*, is a pseudoscalar particle, and its coupling to the electron can be described by a Lagrangian of the form:

$$\mathcal{L} = \frac{g_{ae}}{f_a} i \partial_\mu a \bar{\psi}(\mathbf{p}', s) \gamma^\mu \gamma_5 \psi(\mathbf{p}, s), \tag{1}$$

where g_{ae} is a coupling constant and f_a a scale parameter with the dimension of mass. For an axion with mass m_a it is easy to show that in the non-relativistic limit:

- The time component $\mu = 0$ is given by:

$$\mathcal{L} = \langle \phi | \Omega | \phi \rangle, \ \Omega = \frac{g_{ae} m_a}{2 f_a} \frac{\sigma \cdot \mathbf{q}}{m_e}, \ \mathbf{q} = \mathbf{p}' - \mathbf{p}, \tag{2}$$

  which is negligible for $m_a << m_e$.

- The space component, $\mu \neq 0$, is

$$\mathcal{L}_{aee} = \langle \phi | \Omega | \phi \rangle, \quad \Omega = \frac{g_{ae}}{2f_a} \sigma \cdot \mathbf{q}, \quad \mathbf{q} = \mathbf{p}' - \mathbf{p}, \tag{3}$$

where $\mathbf{p}$ and $\mathbf{p}'$ are the initial and final electron momenta, f_a the axion decay constant and σ the spin of the electron.

An interaction of the form of Equation (3) has been proposed by Sikivie [34] as a way of detecting the axion by causing atomic excitations, with g_{ae} the relevant coupling constant to be determined by the experiment.

The target is selected so that there exist two levels, say $|J_1, m_1\rangle$ and $|J_2, m_2\rangle$ which result from the splitting of the atomic levels by the magnetic field and they are characterized by the same n and ℓ so that they can be connected by the spin operator. Thus $J_2 = J_1$ or $J_2 \neq J_1$, in which case J_2 is the spin-orbit partner of J_1. The lower one $|J_1, m_1 = -J_1\rangle$ is occupied by electrons, but the higher one $|J_2, m_2\rangle$ is completely empty at a sufficiently low temperature, see Figure 1c. J_2 can be populated only by exciting an electron to it from the lower level by the axion field. The occurrence of such excitation is monitored by a tuned laser beam, which excites such an electron from $|J_2, m_2\rangle$ to a higher state $|J_3, m_3\rangle$, which cannot be reached in any other way by observing its subsequent decay.

In the present case we are interested in the case that the states $|J_1, m_1\rangle$ and $|J_2, m_2\rangle$ can be connected via the spin operator, i.e., the two states must have the same orbital structure, and angular momenta (for single-particle states, $J_1 = j_1$, $J_2 = j_2$, they must have the same n and ℓ quantum numbers). In other words we must evaluate the matrix element $\langle \alpha_1 J_2 m_2 | \sigma_{m_2-m_1} | \alpha_1 J_1 m_1 \rangle$, where α_1 denotes any additional quantum number needed to specify the states.

As an illustration, let us consider a single particle transition. The relevant matrix element for the transition $j_1, m_1 \rightarrow j_2, m_2$ takes the form

$$\langle n\ell j_2 m_2 | \mathbf{q} \cdot \sigma | n\ell j_1 m_1 \rangle = C_{\ell, j_1, m_1, J_2, m_2} q_{m_1 - m_2} I_{n\ell}(\mathbf{q}) \tag{4}$$

where $C_{\ell, j_1, m_1, J_2, m_2}$ depends on the atomic levels [39] and it will be given below (see Section 5) and $I_{n\ell}(\mathbf{q})$ is given by

$$I_{n\ell}(\mathbf{q}) = \int d^3\mathbf{p}\, \phi_{n\ell}(\mathbf{p} + \mathbf{q}) \phi_{n\ell}(\mathbf{p}). \tag{5}$$

Since the momentum transfer $\mathbf{q}$ is small, $I_{n\ell}(\mathbf{q}) \approx 1$. It can be shown that a similar result holds in the case of multi-particle configurations. So, the matrix element becomes

$$|\mathrm{ME}(\mathbf{q})|^2 = \left(\frac{g_e}{2f_a}\right)^2 (C_{\ell, J_1, m_1, J_2, m_2})^2 \left(\delta_{m_1, m_2} q_0^2 + \frac{1}{2}(q_1^2 + q_2^2)(1 - \delta_{m_1, m_2})\right), \tag{6}$$

where $\mathbf{q}$ is the momentum transfer to the atom with q_0 its component in the direction of the axis of quantization and q_1, q_2 along the other two axes.

The cross-section becomes

$$\sigma = \frac{1}{v}\frac{1}{2m_a}|\mathrm{ME}(\mathbf{q})|^2 \int \int \frac{d^3\mathbf{p}_A}{(2\pi)^3}(2\pi)^3 \delta(\mathbf{q} - \mathbf{p}_A) 2\pi\delta\left(m_a + \frac{q^2}{2m_a} + E_i - E_f\right) \tag{7}$$

where $\mathbf{p}_A$ the momentum transfer to the atom. $2m_a$ is the usual normalization for a boson field. In the above expression, we have neglected the tiny recoiling energy of the atom. Thus:

$$\begin{aligned} \sigma = {} & \frac{1}{v}\frac{1}{2m_a}\left(\frac{g_e}{2f_a}\right)^2 (C_{\ell, j_1, m_1, j_2, m_2})^2 \left(\delta_{m_1, m_2} q_0^2 + \frac{1}{2}(q_1^2 + q_2^2)(1 - \delta_{m_1, m_2})\right) \\ & 2\pi\delta\left(m_a + \frac{q^2}{2m_a} + E_i - E_f\right). \end{aligned} \tag{8}$$

We will now fold the cross-section with the axion velocity distribution, assuming that with respect to the galactic center it is of the Maxwell–Boltzmann type:

$$f_g(v') = \frac{1}{v_0^3} \frac{1}{\pi\sqrt{\pi}} e^{-\left(\frac{v'}{v_0}\right)^2}. \tag{9}$$

In the local frame, ignoring for the moment the motion of the Earth, we have $v' \to v + v_0\hat{z}$

$$f_\ell(v) = \frac{1}{v_0^3} \frac{1}{\pi\sqrt{\pi}} e^{-(y^2 + 2y\xi + 1)}, \; y = \frac{v}{v_0}. \tag{10}$$

This can be cast in the form:

$$\langle y\sigma \rangle = \frac{1}{2m_a} \left(\frac{g_e}{2f_a}\right)^2 \left(C_{\ell,j_1,m_1,j_2,m_2}\right)^2 \Lambda, \tag{11}$$

where

$$\Lambda = \frac{1}{v_0} \int y f(v) d^3v \left(\delta_{m_1,m_2} q_0^2 + \frac{1}{2}(q_1^2 + q_2^2)(1 - \delta_{m_1,m_2})\right) 2\pi\delta(m_a(1 + \frac{1}{2}v^2) + E_i - E_f) \tag{12}$$

or

$$\Lambda = \int y dy y^2 (m_a v_0 y)^2 2\pi\delta(m_a(1 + \frac{1}{2}v_0^2 y^2) + E_i - E_f) J_1 \frac{1}{(\sqrt{\pi})^3} \tag{13}$$

with

$$J_1 = \int d\Omega e^{-(y^2 + 2y\xi + 1)} \left(\delta_{m_1,m_2}\xi^2 + \frac{1}{2}(1 - \xi^2)(1 - \delta_{m_1,m_2})\right). \tag{14}$$

So the integration over the angles yields:

$$J_1 = 2\pi J, \; J = e^{-1-y^2} \int d\xi e^{-2y\xi} \left(\delta_{m_1,m_2}\xi^2 + \frac{1}{2}(1 - \xi^2)(1 - \delta_{m_1,m_2})\right). \tag{15}$$

The integration over ξ can be performed analytically yielding

$$J = e^{-1-y^2} \left(\delta_{m_1,m_2} \frac{(2y^2 + 1)\sinh(2y) - 2y\cosh 2y}{2y^3} + (1 - \delta_{m_1,m_2}) \frac{2y\cosh 2y - \sinh 2y}{4y^3}\right). \tag{16}$$

The integration over the magnitude of the velocity is trivial due to the δ function appearing in Equation (14). We thus obtain:

$$\Lambda = 4\sqrt{\pi} m_a \frac{1}{v_0} F_{m_1,m_2}(X) \tag{17}$$

with X given by

$$X = \frac{c}{v_0} \left(\sqrt{2\left(\frac{E_f - E_i}{m_a c^2} - 1\right)}\right) \tag{18}$$

and

$$F_{m_1,m_2}(X) = \begin{matrix} \frac{1}{2} X e^{-X^2-1} \left((2X^2 + 1)\sinh(2X) - 2X\cosh(2X)\right), & m_1 = m_2 \\ \frac{1}{4} X e^{-X^2-1}(2X\cosh(2X) - \sinh(2X)), & m_1 \neq m_2 \end{matrix}. \tag{19}$$

The extra factor of X^4 in going from Equation (16) to Equation (19) is the result of the integration over the velocity.

Sometimes, we prefer to normalize the function $F_{m_1,m_2}(X)$. Then we write

$$\Lambda = 4\sqrt{\pi} m_a \frac{1}{v_0} N_{m_1,m_2} F^N_{m_1,m_2}(X), \; N_{m_1,m_2} = \begin{matrix} \frac{1}{2}\sqrt{\pi}\text{erf}(1), & m_1 = m_2 \\ \frac{e\sqrt{\pi}\text{erf}(1)+2}{8e}, & m_1 \neq m_2 \end{matrix} \tag{20}$$

and in Equation (20) $F_{m_1,m_2}^N(X)$ given by

$$F_{m_1,m_2}^N(X) = \begin{cases} \dfrac{Xe^{-X^2-1}\left((2X^2+1)\sinh(2X)-2X\cosh(2X)\right)}{\sqrt{\pi}\mathrm{erf}(1)}, & m_1 = m_2 \\ \dfrac{2Xe^{-X^2}(2X\cosh(2X)-\sinh(2X))}{e\sqrt{\pi}\mathrm{erf}(1)+2}, & m_1 \neq m_2 \end{cases} \tag{21}$$

Thus, one obtains:

$$\langle y\sigma \rangle = \frac{1}{2}\frac{1}{v_0}\left(\frac{g_e}{f_a}\right)^2 4\sqrt{\pi}\left(C_{\ell,j_1,m_1,j_2,m_2}\right)^2 F_{m_1,m_2}(X). \tag{22}$$

The event rate associated with a flux of particles with velocity v (per atom in the target) is given by:

$$R = \Phi_a\langle y\sigma \rangle \tag{23}$$

where Φ_a is the axion flux given by $\Phi_a = \frac{\rho_a}{m_a}v_0$ with ρ_a the axion matter density in our vicinity of the galaxy. In this work, we will assume that all dark matter in our vicinity is composed of axions. So, it is obtained from the rotation curves and employed in standard dark matter searches, i.e., $\rho_a = 0.3\,\mathrm{Gev/cm^3}$. This leads to a large axion particle density $\frac{\rho_a}{m_a}$ due to the smallness of the axion mass.

Thus Equation (23) for N atoms in the target becomes

$$\begin{aligned} R &= R_0(m_a)\left(C_{\ell,j_1,m_1,j_2,m_2}\right)^2 F_{m_1,m_2}(X), \\ R_0(m_a) &= \Phi_0(m_a)\sigma_0, \Phi_0(m_a) = N\frac{\rho_a}{m_a}v_0, \sigma_0 = 2\sqrt{\pi}\frac{1}{v_0}\frac{g_{ae}^2}{f_a^2}. \end{aligned} \tag{24}$$

$R_0(m_a)$ is written as a product of two constants, one with the dimension of the flux, which varies inversely proportional to the axion mass, and the other yields the scale of the cross section.

3. The Axion Electron Coupling

A crucial parameter in the present work is $\frac{g_{ae}}{f_a}$. In the past, this parameter was derived from existing axion models. In this work, we are going to consider limits obtained from a robust experiment [38] which are as follows:

$$|g_{Ae} \times m_A| \leq 2.0 \times 10^{-5}\mathrm{eV}, |g_{Ae} \times g_{3AN}| \leq 5.5 \times 10^{-13}\mathrm{eV}. \tag{25}$$

These can be interpreted to be the axion-electron and the isovector axion-nucleon coupling, which in our notation are written:

$$|g_{ae} \times m_a| \leq 2.0 \times 10^{-5}\mathrm{eV}, |g_{aN}^3| \leq 2.8 \times 10^{-8}\mathrm{eV} \tag{26}$$

Using now the equation

$$m_a f_a \approx 6000\,\mathrm{MeV^2}, \tag{27}$$

found in [40,41], we obtain

$$\frac{g_{ae}}{f_a} \leq 3.3 \times 10^{-12}\,\mathrm{GeV^{-1}}, \frac{g_{aN}^3}{f_a} \leq 4.7 \times 10^{-15}\,\mathrm{GeV^{-1}}. \tag{28}$$

These couplings are indeed very small. This perhaps explains why for the Borexino 5.5 MeV axion flux on Earth, resulting from the second relation of the equation, is very small. This is the reason why, in our recently published paper [41] we had to admit that the nuclear excitations were not detectable. Anyway, we will assume the equality sign, i.e., the above limits correspond to the actual values of these quantities, and we will employ this electron-axion coupling in the present work.

The coupling g_{ae} is not known. However, it has been investigated [42,43], in particular in the context of the DFSZ axion models [7,9]. This leads to:

$$g_{ae} = \frac{1}{3}\left(1 - \frac{\tan^2 \beta}{1 + \tan \tan^2 \beta}\right), \tan \beta = \frac{v_2}{v_2} \text{ or } g_{ae} = \frac{1}{3}\cos^2 \beta, \tag{29}$$

where $\tan \beta$ is the ratio of the vacuum expectation values of the two doublets of the model. The parameter β is not known.

In the present work, we will assume that the upper limit of Equation (26) corresponds to the actual value of $g_{ae}m_a$. Then, since the overall coupling in Equation (26) depends on both the axion mass and the coupling g_{ae}, we obtain a range for the axion mass, see Figure 2a. Having such a range of axion masses, it is amusing to note that once the axion mass is determined one can determine the ratio $\beta = \frac{v_2}{v_1}$, see Figure 2b.
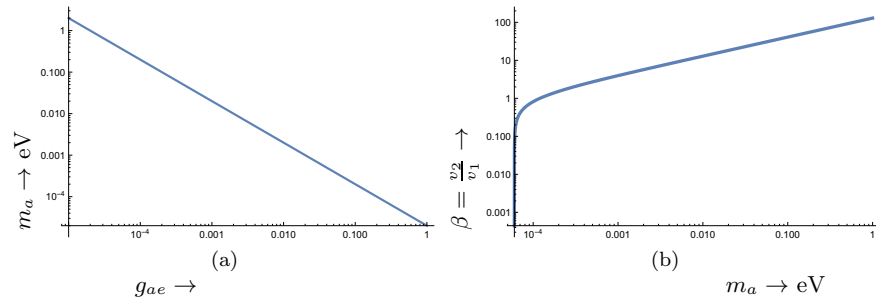

Figure 2. (**a**) The axion mass m_a allowed by the coupling given in Equation (26) as a function of g_{ae}. This covers almost the whole range of m_a of interest in this work. (**b**) The ratio $\beta = \frac{v_2}{v_1}$ of the expectation values of the Higgs doublets of the DFSZ axion model discussed in the text as a function of the axion mass m_a.

Anyway, from Equation (26), one finds $R_0(m_a) = (N\Phi_0\sigma_0) = 2.55\frac{1\text{eV}}{m_a}$ per mol-y. Thus, the main axion mass dependence of the event is as shown in Figure 3. Additional axion mass dependence, which can be exploited by experiment, is contained in $F_{m_1,m_2}(X)$ through X, see Equation (18).
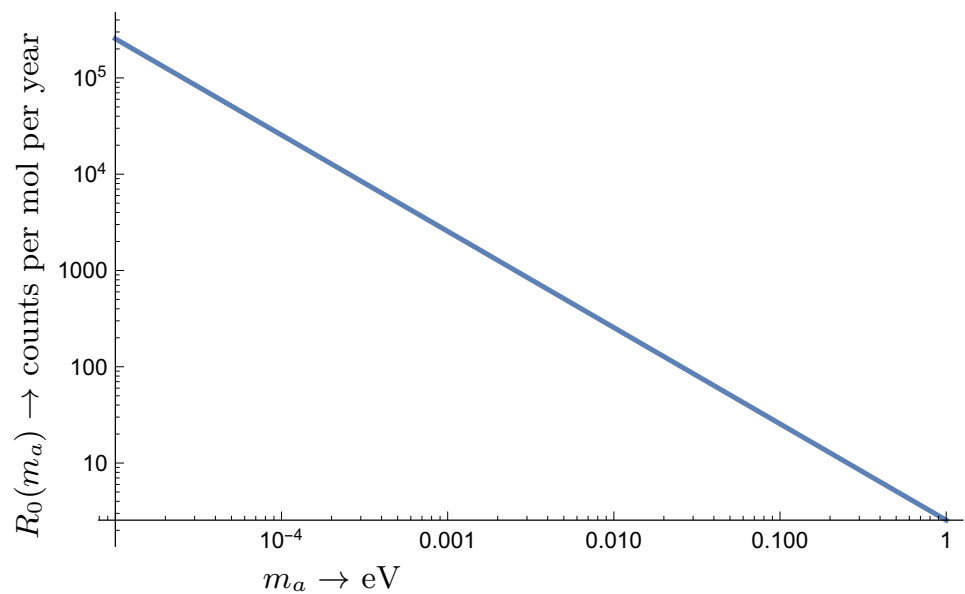

Figure 3. The main axion mass dependence $R_0(m_a)$. This sets the scale of the event rate as a function of the axion mass.

4. The Axion Absorption Widths

Since the axion is absorbed, one expects the cross-section to exhibit a resonant behavior. This is exhibited by considering the function $F^N_{m_1,m_2}(X)$ in the variable X, which depends on the energy difference of the atomic levels, the axion mass, and the velocity of the sun around the center of the galaxy. The energy difference depends, of course, on the magnetic quantum numbers m_1 and m_2 of the states involved.

The overall behavior of the functions $F^N_{m_1,m_2}(X)$ is exhibited in Figure 4. In fact, we find that the characteristics of the resonance are:

$$\begin{cases} \Gamma = 1.35, & \langle X \rangle = 1.9, \quad \text{local frame, } m_1 = m_2 \\ \Gamma = 1.35, & \langle X \rangle = 1.7, \quad \text{local frame, } m_1 \neq m_2 \end{cases}, \tag{30}$$

where $\langle X \rangle$ is the location of the maximum

We have seen that we have resonant behavior in the variable X. At the location of the maximum, from the relation $\langle X \rangle = \frac{c}{v_0}\left(\sqrt{2\left(\frac{E_f - E_i}{m_a c^2} - 1 \right)} \right)$, we find that

$$m_a = (E_f - E_i)(1 - \chi), \chi = 0.27 \times 10^{-6} \langle X \rangle^2 \text{ i.e., } \begin{array}{ll} \chi = 0.97 \times 10^{-6}, & m_1 = m_2 \\ \chi = 0.78 \times 10^{-6}, & m_1 \neq m_2 \end{array} \tag{31}$$

For all practical purposes the axion mass is equal to the excitation energy. Furthermore

$$\frac{E_f - E_i}{m_a} = (1 + \chi), \chi = 0.27 \times 10^{-6} \langle X \rangle^2 \text{ i.e., } \begin{array}{ll} \chi = 0.97 \times 10^{-6}, & m_1 = m_2 \\ \chi = 0.88 \times 10^{-6}, & m_1 \neq m_2 \end{array} \tag{32}$$

Similarly, we can find the width in the energy space for both types of transitions. Thus,

$$X_1 = 1.067 \leftrightarrow \left(\frac{E_f - E_i}{m_a} \right)_1 = \left(1 + 0.306 \times 10^{-6} \right),$$

$$X_2 = 2.417 \leftrightarrow \left(\frac{E_f - E_i}{m_a} \right)_2 = \left(1 + 1.566 \times 10^{-6} \right)$$

and therefore

$$\begin{aligned} \Gamma_E &= \left(\frac{E_f - E_i}{m_a} \right)_2 - \left(\frac{E_f - E_i}{m_a} \right)_1 \\ &= \left(\left(1 + 1.566 \times 10^{-6} \right) - \left(1 + 0.306 \times 10^{-6} \right) \right) = 1.26 \times 10^{-6}. \end{aligned} \tag{33}$$

At $X = 0$, i.e., at $\frac{E_f - E_i}{m_a} = 1$, the distribution vanishes. On the other hand at $X = 2.84$ i.e., for $\frac{E_f - E_i}{m_a} = 1 + 2 \times 10^{-6}$, the distribution almost vanishes (there is no need to go to values of $X > y_{esc} = 2.84$, since velocities above the escape velocity, $v > v_{esc} = y_{esc} v_0$, in the Maxwell–Boltzmann distribution have been excluded). In other words, the distribution vanishes at $X = 0$ and at $X = 2.84$ after having gone very rapidly through $\langle X \rangle$ with a width as given by Equation (33). In any case, the above picture emerges more clearly by plotting the function $F(X)$ as a function of the energy $(E_f - E_i)/m_a c^2$, see Figure 5.

We have seen that the axion mass is very close to the excitation energy. Since the resonance is so narrow, however, special care is required not to miss it. Some experimental arrangements to facilitate the observation of such a narrow resonance from the expected atomic spectra will be considered below; see Section 7. Furthermore, to this end, the experience gained with the axion to photon conversion experiments involving resonant cavities, such as ADMX and ADMX-HF collaborations [11,13,20,21] and CAPP [22–26], may be very helpful. For the observation of the resonance, it is very important, among other things, to discriminate against background. It is very unlikely that background events will simulate a similar resonance pattern with that obtained here, reflecting not only the Maxwell–Boltzmann distribution, but the momentum dependence of the axion-electron system as well. Furthermore, given enough counts, one can exploit, if it becomes necessary,

the extra signature, provided by the fact that the resonance width exhibits time dependence, i.e., an annual modulation due to the motion of the Earth, see [44] and the Appendix A.
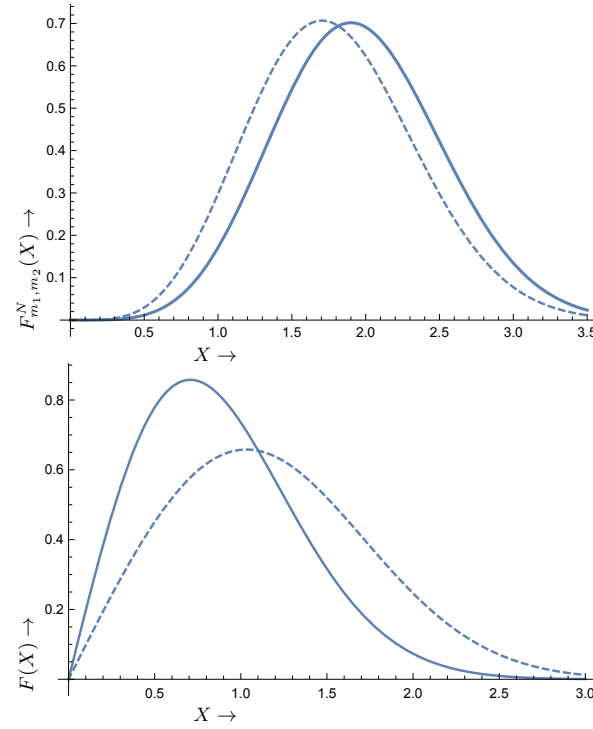

Figure 4. (**Top**): The normalized distribution $F_{m_1,m_2}^N(X)$ as a function of X, $X = \frac{c}{v_0}\left(\sqrt{2\left(\frac{E_f-E_i}{m_ac^2}-1\right)}\right)$ with v_0 the velocity of the sun around the center of the galaxy in natural units, $0.7 \times 10^{-3}c$. The solid line holds for $m_1 = m_2$, while the dashed line for $m_2 = m_1 \pm 1$. The widths are the same, $\Gamma = 1.35$, for both cases. The corresponding values of $\langle X \rangle$ are 1.9 and 1.7 for the solid and dashed curves, respectively. (**Bottom**): For comparison the normalized distribution $F(X)$ $X = \frac{c}{v_0}\left(\sqrt{2\left(\frac{\omega}{m_ac^2}-1\right)}\right)$, with ω the photon energy, in the case of the standard axion to photon conversion is presented, as obtained with the same halo parameters as in the top panel, in the galactic frame (solid curve) and local frame (dashed curve).
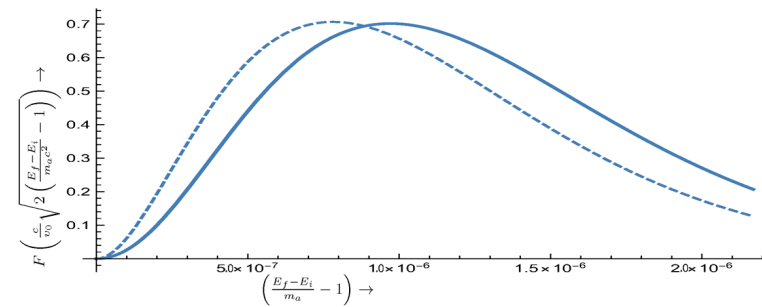

Figure 5. The cross-section exhibits resonant behavior. Shown is $F(X)$ as a function of $\left(\frac{(E_f-E_i)}{m_ac^2}-1\right)$. The solid line corresponds to $m_1 = m_2$, while the dashed line corresponds to $m_1 \neq m_2$, both in the local frame.

Before completing this section we should mention that resonance plots, correlated with the event rate, will be specialized in Section 7 for the various atomic targets considered in this work.

5. Atomic Physics Considerations

We will now consider some atomic targets which possess two features. The ground state is composed of a multiplet of the form $n^{2S+1}L_{J_1}$ while the excited state is of the form $n^{2S+1}L_{J_2}$ with $|J_1 - 1| \le J_2 \le J + 1$, so that it can be reached by spin excitations. The following types of excitations are in principle possible:

- $|J_1, -J_1\rangle \to |J_1, -J_1 + 1\rangle$, indicated as type A
- $|J_1, -J_1\rangle \to |J_2, -J_1 - 1\rangle$, indicated as type B
- $|J_1, -J_1\rangle \to |J_2, -J_1\rangle$, indicated as type C
- $|J_1, -J_1\rangle \to |J_2, -J_1 + 1\rangle$, indicated as type D

As we will see below some of these types may not be allowed by the angular momentum selection rules. Thus, e.g., for states $s_{1/2}$ single-particle states only the A type is possible. For many electron configurations see Section 5.2.

5.1. Single-Particle Spin-Orbit Partners

The ground state of a single particle state is of the form $n\ell j_1$, $j_1 = |\ell - 1/2|$ while the state $n\ell j_2$, $j_2 = \ell + 1/2$ is empty. Such examples can be found in the atomic data.

Since this is one body transition, $J_1 = j_1$, $J_2 = j_2$ the relevant matrix element takes the form:

$$C_{\ell, j_1, m_1, j_2, m_2} = \langle j_1\, m_1, 1\, m_2 - m_1 | j_2\, m_2\rangle \sqrt{(2j_1 + 1)3}\sqrt{2\ell + 1}\sqrt{6} \begin{Bmatrix} \ell & \frac{1}{2} & j_1 \\ \ell & \frac{1}{2} & j_2 \\ 0 & 1 & 1 \end{Bmatrix} (-1)^{m_1 - m_2} \tag{34}$$

i.e., it is simply expressed in terms of a Glebsch–Gordan coefficient and the nine-j symbol. We are interested in the case $j_1, m_1 = j_1 - 1$. The relevant coefficients are tabulated in Table 1.

(i) First we will consider a target with the ground state being a single $p_{1/2}$ orbital, while the $p_{3/2}$ is empty. Let us suppose that the spin-orbit splitting is ϵ_p. In the presence of a magnetic field, the m-degeneracy is removed, and the ground state is in the state $|j_1, m_1\rangle = |1/2, -1/2\rangle$. Then we have the following spin-induced transitions:

$$|1/2, -1/2\rangle \to |1/2, 1/2\rangle, |1/2, -1/2\rangle \to |3/2, -3/2\rangle, |1/2, -1/2\rangle\rangle \to$$

$$|3/2, -1/2\rangle, |1/2, -1/2\rangle \to |3/2, 1/2\rangle$$

indicated as above, i.e., A,B,C, and D, respectively. The g-factors for the $j = 1/2$ and $j = 3/2$ p-levels are 2/3 and 4/3, respectively. Thus the transition energies (not to be confused with m the magnetic quantum number) are

$$m = \left\{ \frac{2}{3}\delta, \epsilon - \frac{5}{3}\delta, -\frac{\delta}{3} + \epsilon, \delta + \epsilon \right\}, \epsilon = \epsilon_p \tag{35}$$

where we have included both the spin and orbital magnetic moments with $\delta = B\mu_B$ with μ_B the Bohr magneton and B the magnetic field. For a field of 1T we find $\delta = 5.788 \times 10^{-5}$ eV, i.e.,

$$\delta = 5.788 \times 10^{-5} \frac{B}{1\text{T}} \text{eV} \tag{36}$$

A good candidate for such a transition is $_{13}$Al, involving the orbitals $3p_{1/2}$ and $3p_{3/2}$. From existing tables (https://www.nist.gov/pml/atomic-spectra-database, accessed on 19 January 2024) we find $\epsilon_p = 0.0139$ eV. The spin-induced matrix elements are as follows

$$C = \{2/9, 4/3, 8/9, 4/9\} \text{ for the } A, B, C, D \text{ respectively}$$

Table 1. The coefficients $\left(C_{j_1,m_1,j_2,m_2,\ell}\right)^2$ connecting via the spin operator a given initial state $|i\rangle = |n\ell, j_1, -j_1\rangle$ with all possible states $|f\rangle = |n\ell, j_2, m_2\rangle$, for $\ell = 0, 1, 2, 3$.

	$|i\rangle$		$|f\rangle$		
ℓ	j_1	m_1	j_2	m_2	$C^2_{j_1,m_1,j_2,m_2,\ell}$
0	$\frac{1}{2}$	$-\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	2
1	$\frac{1}{2}$	$-\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{2}{9}$
1	$\frac{1}{2}$	$-\frac{1}{2}$	$\frac{3}{2}$	$-\frac{3}{2}$	$\frac{4}{3}$
1	$\frac{1}{2}$	$-\frac{1}{2}$	$\frac{3}{2}$	$-\frac{1}{2}$	$\frac{8}{9}$
1	$\frac{1}{2}$	$-\frac{1}{2}$	$\frac{3}{2}$	$\frac{1}{2}$	$\frac{4}{9}$
2	$\frac{3}{2}$	$-\frac{3}{2}$	$\frac{3}{2}$	$\frac{1}{2}$	$\frac{6}{25}$
2	$\frac{3}{2}$	$-\frac{3}{2}$	$\frac{5}{2}$	$-\frac{5}{2}$	$\frac{8}{5}$
2	$\frac{3}{2}$	$-\frac{3}{2}$	$\frac{5}{2}$	$-\frac{3}{2}$	$\frac{16}{25}$
2	$\frac{3}{2}$	$-\frac{3}{2}$	$\frac{5}{2}$	$-\frac{1}{2}$	$\frac{4}{25}$
3	$\frac{5}{2}$	$-\frac{5}{2}$	$\frac{5}{2}$	$-\frac{3}{2}$	$\frac{10}{49}$
3	$\frac{5}{2}$	$-\frac{5}{2}$	$\frac{5}{2}$	$-\frac{7}{2}$	$\frac{12}{7}$
3	$\frac{5}{2}$	$-\frac{5}{2}$	$\frac{5}{2}$	$-\frac{5}{2}$	$\frac{24}{49}$
3	$\frac{5}{2}$	$-\frac{5}{2}$	$\frac{5}{2}$	$-\frac{3}{2}$	$\frac{4}{49}$

(ii) Next we will consider a target with the ground state containing a single $d_{3/2}$ orbital, while the $d_{5/2}$ is empty. Let us suppose that the spin-orbit splitting is ϵ_d. In the presence of a magnetic field, the m-degeneracy is removed, and the ground state is in the state $|j_1, m_1\rangle = |3/2, -3/2\rangle$. Then we have the following spin-induced transitions:

$$|3/2, -3/2\rangle \rightarrow |3/2, 1/2\rangle, \, |3/2, -3/2\rangle \rightarrow |5/2, -5/2\rangle, \, |3/2, -3/2\rangle \rightarrow$$

$$|5/2, -3/2\rangle, \, |3/2, -3/2\rangle \rightarrow |5/2, -1/2\rangle$$

indicated again as A, B, C and D, respectively. The g-factors for the $j = 3/2$ and $j = 5/2$ d-levels are 4/5 and 6/5, respectively. Thus, the excitation energies are:

$$m = \left\{\frac{4\delta}{5}, \epsilon - \frac{9\delta}{5}, -\frac{3\delta}{5} + \epsilon, \frac{3\delta}{5} + \epsilon\right\}, \, \epsilon = \epsilon_d \tag{37}$$

where we have included both spin and orbital magnetic moment.

Our best candidate found in the above-mentioned reference with the NIST tables is the target $_{21}$Sc involving the $3d_{3/2} \rightarrow 3d_{5/2}$ transitions with $\epsilon_d = 0.0209$ eV. Other candidates can also be found in the same reference, e.g., Z = 39 (Y I, $4d_{3/2}d_{5/2}, \epsilon_d = 0.0658$ eV) and Z = 71 (Lu I, $5d_{3/2}, d_{5/2}, \epsilon_d = 0.2472$ eV) where I indicates that it is a neutral atom.

Thus, we can use Equation (37) with the appropriate value of ϵ_d and the spin-induced $|\text{ME}|^2$

$$C = \{4/25, 8/5, 16/25, 4/25\}$$

(iii) $s_{1/2}$ states. Such states exist in many atomic targets. In all such cases

$$m = 2\delta, \, C = 2.$$

We note the relatively large spin matrix for single particle excitations.

Note that, in the case of $s_{1/2}$ and the A type transitions, the lowest value of the axion mass required for the process to take place is very small since the spin-orbit splitting does not appear. If such a configuration exists in the ground state of the atom considered, the obtained results are independent of the atom.

The transition energy is also small for the other type of transitions if the spin-orbit splitting is small, e.g., in the case of all 3d-transitions considered here.

5.2. More Than One-Electron Configurations

5.2.1. Two-Electron Configurations

The simplest possible case is two-electron configurations. Now, the needed states are spin−symmetric. The antisymmetry of the wave functions requires the space part to be antisymmetric, i.e., a wave function of the form

$$\psi = \phi_{n\ell}^2(r)[L = \text{odd}, S = 1] J = L - 1, L, L + 1$$

i.e., spin triplet and L odd states.

Of special interest are the cases involving the functions:

$$\psi = \phi_{n\ell}^2(r)\,^3P_J, \quad \phi_{n\ell}^2(r)\,^3F_J$$

Then the spin matrix element can be cast in the form:

$$C_{L,J_1,m_1,J_2,m_2} = \langle\,^3L_{J_2 m_2}|\sigma|\,^3L_{J_1 m_1}\rangle = \frac{\langle J_1 m_1, 1 m_2 - m_1 | J_2 m_2 \rangle \langle\,^3L_{J_2}||\sigma||\,^3L_{J_1}\rangle}{\sqrt{2J_2 + 1}}, \ L = P, F \quad (38)$$

The $\langle\,^3L_{J_2}||\sigma||\,^3L_{J_1}\rangle$ is the spin−reduced matrix element, and it can be calculated by techniques familiar to atomic physics.

Good candidates are the following:

(i) $L = 1$.

In this case, the ground state (gs) of the carbon atom is of the form $2s^2 2p^2\,^3P_0$, while the excited state, which can be populated by spin excitations, is $^3P_1$ at 16.41671 cm^{-1}, about 0.002 eV. It may be useful to note that the silicon atom (Si I) has the same structure, except for the gs radial quantum number $n = 3$ and the fact that $\epsilon = 0.00956$ eV. The radial quantum number does not affect the calculations performed here, while the fine structure splitting can be selected on the basis of the axion mass being searched. That being said, the experimenters can choose whichever is more appropriate for them.

So since in both cases, the initial state is not degenerate, only the excitations $0 \to m$ caused by the spin σ_m operator appear. Furthermore, one needs to consider of the splitting of the final multiplet $2s^2 2p^2\,^3P_1$, which is given by

$$\left\{-\frac{3\delta}{2}, 0, \frac{3\delta}{2}\right\} \text{ for } m = -1, 0, 1 \text{ respectively.}$$

Thus in the case of carbon

$$m = \left\{-\frac{3\delta}{2} + \epsilon, 0, \frac{3\delta}{2} + \epsilon\right\}, \ \epsilon = 0.002 \text{ eV}. \quad (39)$$

(ii) $L = 3$

A good such candidate is the Ti atom. In this case the gs is of the form $4s^2 3d^2\,^3F_2$. The excited state that can be reached is $^3F_3$ at 170.134, cm^{-1} = 0.02 eV. Another good candidate is the neutral Zirconium (Zr I) atom. This has the same structure, except for the radial quantum number being $n = 5$, which is irrelevant for our calculations, and the fact that $\epsilon = 0.0707$ eV. The latter affects the axion mass to be extracted by the experimenters. So the choice of the target can be selected on the basis of the same criteria as above. Thus, for both cases

$$m = \left\{\frac{28\delta}{9}, \frac{121\delta}{72} + \epsilon, \frac{115\delta}{36} + \epsilon, \frac{113\delta}{24} + \epsilon\right\}, \quad (40)$$

including both the spin and the orbital magnetic moment.

The relevant spin matrix element is given in Table 2.

Table 2. The coefficients $\langle {}^3P_{J_2}||\sigma||{}^3P_{J_1}\rangle$, $\langle {}^3F_{J_2}||\sigma||{}^3F_{J_1}\rangle$ and $\langle {}^5D_{J_2}||\sigma||{}^5D_{J_1}\rangle$ as well as the corresponding total matrix elements $|ME|^2$ relevant to the present work. Note that the initial sub-state is of the form $|j_1, m_1\rangle = |J_1, -J_1\rangle$.

J_1	J_2	$\langle {}^3P_{J_2}||\sigma||{}^3P_{J_1}\rangle$	m_1	q	$\dfrac{\langle J_1,m_1 1,1q|J_2,m_1+q\rangle}{\sqrt{2J_2+1}}$	$|ME|^2$
0	1	$2\sqrt{2}$	0	$\pm 1, 0$	$\frac{1}{\sqrt{3}}$	$8/3$
J_1	J_2	$\langle {}^3F_{J_2}||\sigma||{}^3F_{J_1}\rangle$	m_1	q	$\dfrac{\langle J_1,m_1 1,1q|J_2,m_1+q\rangle}{\sqrt{2J_2+1}}$	$|ME|^2$
2	2	$-2\sqrt{\frac{10}{3}}$	-2	1	$-\frac{1}{\sqrt{15}}$	$\frac{8}{9}$
2	3	$-4\frac{\sqrt{5}}{\sqrt{3}}$	-2	-1	$\frac{1}{\sqrt{7}}$	$\frac{80}{21}$
2	3	$-4\frac{\sqrt{5}}{\sqrt{3}}$	-2	0	$\frac{1}{\sqrt{21}}$	$\frac{80}{63}$
2	3	$-4\frac{\sqrt{5}}{\sqrt{3}}$	-2	1	$\frac{1}{\sqrt{105}}$	$\frac{16}{63}$
J_1	J_2	$\langle {}^3P_{J_2}||\sigma||{}^3P_{J_1}\rangle$	m_1	q	$\dfrac{\langle J_1,m_1 1,1q|J_2,m_1+q\rangle}{\sqrt{2J_2+1}}$	$|ME|^2$
2	2	$\sqrt{30}$	-2	1	$-\frac{1}{\sqrt{15}}$	2
2	1	$\sqrt{10}$	-2	1	$\frac{1}{\sqrt{5}}$	2
J_1	J_2	$\langle {}^5D_{J_2}||\sigma||{}^5D_{J_1}\rangle$	m_1	q	$\dfrac{\langle J_1,m_1 1,1q|J_2,m_1+q\rangle}{\sqrt{2J_2+1}}$	$|ME|^2$
4	4	$6\sqrt{5}$	-4	1	$-\frac{1}{3\sqrt{5}}$	4
4	3	6	-4	1	$\frac{1}{3}$	4

5.2.2. More Than Two-Electron Configurations

(i) The oxygen atom.

In this case, the gs is of the form $2s^2 2p^4\,{}^3P_2$, while the excited state, which can be populated by spin excitations, is ${}^3P_1$ at 158.265 cm^{-1}, about 0.0196 eV. This target is appropriate at the low temperatures we consider since it is no longer a gas. Otherwise, one might consider the atom of Sulfur (S I), which has the same configuration but with $n = 3$ instead of $n = 2$. Returning to the oxygen atom, we will consider the splitting of the ground state multiplet $2s^2 2p^4\,{}^3P_2$, as well as of the final state multiplet $2s^2 2p^4\,{}^3P_1$

By angular momentum selection rules only the A and the D terms are allowed. Thus one finds

$$m = \left\{ \frac{5\delta}{3}, 0, 0, \frac{5\delta}{3} + \epsilon \right\}, \epsilon = 0.0196 \text{ eV}. \tag{41}$$

including the contribution of both the spin and orbital magnetic moment.

(ii) The $_{26}$Fe atom.

The ground state is of the form $3d^6 4s^2\,{}^5D_4$, while the excited state that can be populated by spin excitations is the first excited one ${}^5D_3$ at 415.933 cm^{-1} = 0.0516 eV. The calculation of the reduced spin and orbital angular momentum matrix elements is a bit complicated, but it can be simplified by making use of the symmetries of the wave functions. The spin part is characterized by the SU(2) symmetry $[5, 1]$ while antisymmetry requires the orbital part to be $[2, 1^4]$ under SU(5). The relevant matrix elements can be evaluated using standard techniques, see, e.g., [45], table B.19. Since only the transitions of the type A and D appear in this case, one finds

$$m = \left\{ \frac{16\delta}{5}, 0, 0, \frac{16\delta}{5} + \epsilon \right\}, \epsilon = 0.0516 \text{ eV} \tag{42}$$

including both the spin and the orbital magnetic moments.

The needed spin-induced transition matrix elements $\langle {}^3P_{J_2 m_2}|\sigma|{}^3P_{J_1,-J1}\rangle^2$ and $\langle {}^3F_{J_2 m_2}|\sigma|{}^3F_{J_1,-J1}\rangle^2$ and $\langle {}^5D_{J_2 m_2}|\sigma|{}^5D_{J_1,-J1}\rangle^2$ are shown in Table 2.

6. Low Temperature Requirements

As we have mentioned, the detection of very light axions in the regime of a few μeV mass crucially depends on the condition that the second level must be essentially free of electrons. To achieve this condition the target material should be brought at cryogenic temperatures. The critical temperature depends on the axion mass to be explored. The ratio of the probabilities of finding an accidental electron in the second level relative to the probability of finding one in the first level is given by the Boltzmann distribution probability:

$$P_{i,f} = e^{-m_a/kT} \tag{43}$$

Suppose that we demand

$$P_{i,f} = e^{-m_a/kT} < 10^{-x}. \tag{44}$$

Then we find that

$$T \leq \frac{0.434 m_a}{kx} \tag{45}$$

The condition on the temperature is given in Figure 6.

Figure 6. The temperature in degrees Kelvin to be achieved is the region below the above curves so that the population of the excited state by thermal electrons can be neglected. The continuous curve corresponds to relative probability of 10%, the long dash to 1% and the sort dash to 0.1%

We thus see that, for axion mass $m_a = 0.4 \times 10^{-4}$ eV associated with a magnetic field B = 1 T, $T \leq 0.1$ K may be required. The situation may improve, of course, for larger magnetic fields. Typical laboratory fields, however, are sometimes not sufficiently weak for the Zeeman effect adopted here to apply. If B is typical but strong, either an intermediate case applies or the Paschen–Back effect. Here, throughout the paper it is assumed that the Zeeman effect always applies, but, strictly speaking, this holds only when the B-induced splittings are small as compared to the fine structure ones, say

$$\delta \leq \frac{\epsilon}{4} \rightarrow B < 0.25 \frac{\epsilon}{\mu_B}. \tag{46}$$

In particular, when ϵ is of the order of meV and the Zeeman corrections are of the order of 10^{-5} eV, we are certainly on the safe side. On the other hand for axions heavier than 0.1 eV, truly cryogenic temperatures are not required.

Accidental backgrounds causing the excitation may be rejected from the resonant behavior since it is unlikely that they are going to have a velocity distribution similar to that of the axions in the local frame.

There remains, however, an additional problem. For very light axions, one has to develop target materials, which, at these cryogenic temperatures, exhibit atomic structure. Ordinary atoms do not suffice. One demands that the ions of the crystal still exhibit atomic

structure involving the bound electrons, as, e.g., the CUORE detector of Crystalline ^{130}TeO$_2$ at cryogenic temperatures. The electronic states probably will not carry all the important quantum numbers as their corresponding neutral atoms, but they should possess the configurations connected by the spin excitations considered here. So, one may prefer to consider targets that contain appropriate impurity atoms in a host crystal, e.g., chromium in sapphire. As a matter of fact, it is very encouraging that already there exist proposals involving rare-earth ions doped into solid-state crystalline materials [46] at cryogenic temperatures. In fact, if ion impurities are doped in crystals, one has to choose the target atoms in such a way that the corresponding ions can be isoelectronic to the atoms presented here and have the same configurations and terms. Since, as we shall see below, the expected rates for light axions are quite large, small impurities of 1 to 1000 or even 1/10,000 may be adequate, or one may relax the condition on the relative probability $P_{i,f}$, see Equation (44).

It is also possible that one may be able to employ at cryogenic temperatures some exotic materials used in quantum technologies (for a review see [47]) like nitrogen-vacancy (NV), i.e., materials characterized by spin $S = 1$, which in a magnetic field allow transitions between $m = 0$, $m = 1$, and $m = -1$.

7. Some Estimates on the Expected Rates

We have seen that the event rate is given by Equation (24). Its scale, computed with a cross-section $\sigma_0 = 2.0 \times 10^{-47}$cm^2, extracted from the Borexino data, is shown in Figure 3. We are now going to compute the total rates incorporating the spin-induced matrix elements $\left(C_{j_1,m_1,j_2,m_2,\ell} \right)^2$ and the effects of the resonance. Our results will be presented in the form of suitable plots.

In the plots, the resonant behavior will be apparent, but the location of the resonance, as well as its width, depends on the atom considered through the parameters m_i, $i = A, B, C, D$, which are functions of the spin-orbit splitting ϵ as well as the energy δ due to the magnetic moment. These are given in Section 5. We should also indicate the event rate on the resonance for each type of transition A, B, C, D, which will not be the same for all of them due to the different axion mass and the spin-induced matrix elements. For compactness of presentation, we will put all this information for a given atomic target in the same plot. The event rate will be most economically presented for all transition types in the same figure as a function of $r = \frac{\Delta}{m_a} - 1$, with $\frac{\Delta}{m_a}$ covering the range of values allowed in the interval between $X = 0$ and $X = 2.84$, as discussed in Section 4, in a fashion analogous to that of Figure 5. The picture, in this case, will necessarily be more complicated, but we hope that, with the information provided, it will be understood after the discussion of Section 4.

Before we proceed further with the details of the rates of various atoms we should mention that the condition for the resonance given by Equation (31) must be satisfied. It can now be written as

$$m_a = m_i(1 - \chi), \quad \begin{array}{ll} \chi = 0.97 \times 10^{-6}, & i = C \\ \chi = 0.78 \times 10^{-6}, & i = A, B, D \end{array} \tag{47}$$

7.1. One-Electron Configurations

We will consider the following cases:

(i) s-orbitals.

For such atoms the obtained rate is shown in Figure 7.

(ii) p-orbitals.

The event rate is exhibited in Figure 8.

(iii) d-orbitals.

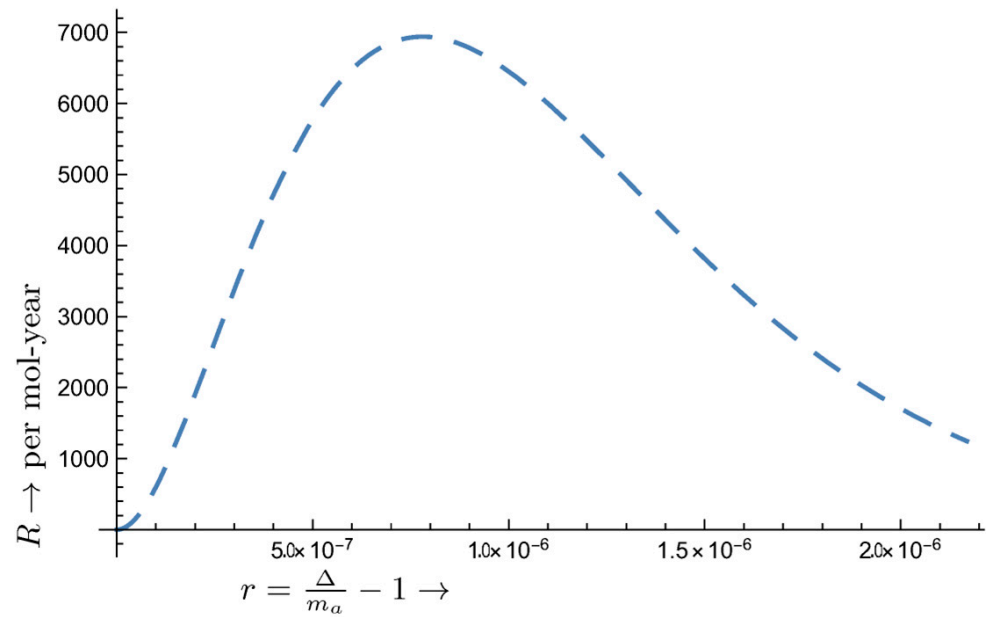The obtained event rates are shown in Figures 9–11.

Figure 7. The only possible transition is of A type. Now the transition energy is $\Delta = 6 \times 10^{-5} \frac{B}{1T}(1+r)$ eV. The extracted axion mass is given by the value of r_0 at the location of the maximum, $m_a = M_A(1 - r_0)$, analogous to that of Equation (47). The width is determined by $\Gamma = r_2 - r_1$, where r_2 and r_1 are the locations at half maximum.
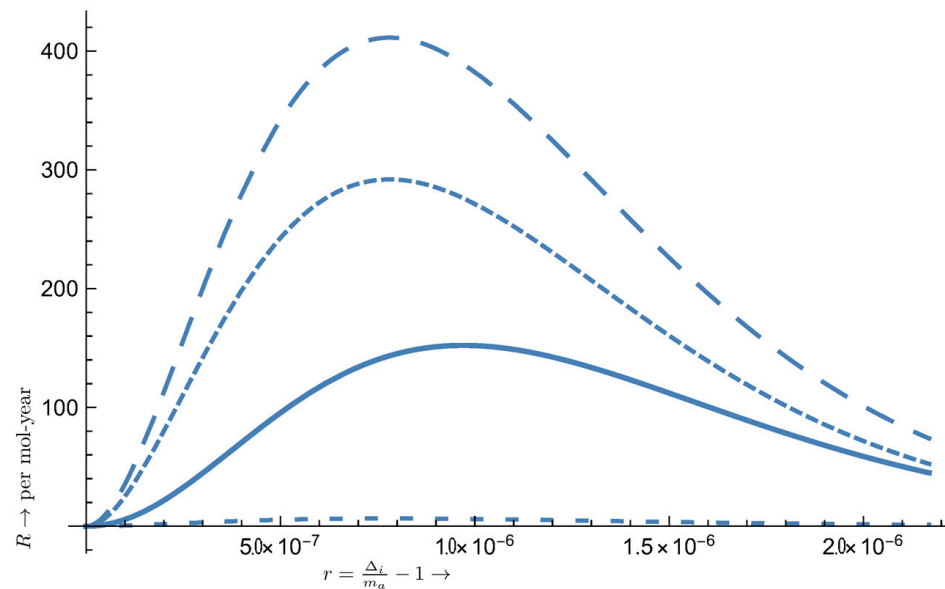

Figure 8. The rate as a function of $r = \frac{\Delta}{m_a} - 1$, for transition types A, B, C, D indicated by a long dash, short dash, solid line, and intermediate dash, respectively. Note that to make the type A fit in the picture, we have suppressed it by the factor $C_g = 1/5$, i.e., its actual value is 5 times larger. In these plots, the shape is essentially determined by the velocity distribution. The extraction of the axion mass and the width of the resonance are determined as in Figure 7, except that mow $r_0 \to r_0^i$, $r_1 \to r_1^i$ and $r_2 \to r_2^i$, $i = A, B, C, D$. The obtained results for the axion mass and the extracted width may not agree exactly with those of Equations (47) and (33), respectively, due to the fact that the resonances here are not normalized. The parameters m_i are as follows: $m_A = \frac{2\delta}{3}$, $m_B = \epsilon - \frac{5\delta}{3}$, $m_C = \epsilon - \frac{\delta}{3}$, $m_D = \delta + \epsilon$. In the case of $_{13}$Al considered here $\epsilon = 0.0139$ eV. For any other single particle p-orbitals only ϵ may be different.
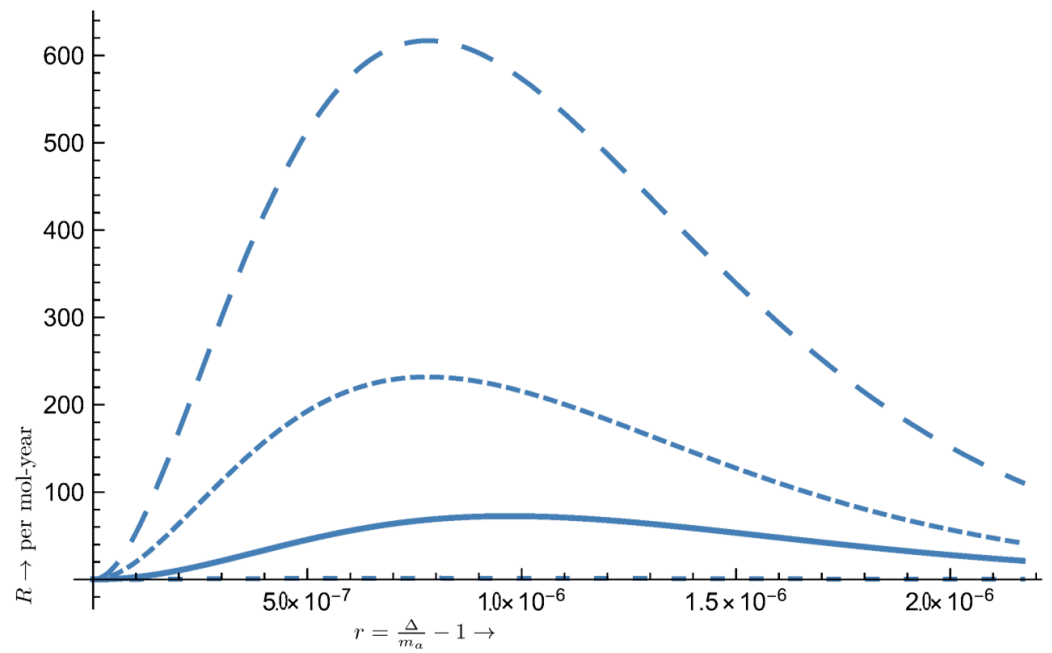
Figure 9. The same as in Figure 8 in the case of the atom $_{21}$Sc. The parameters m_i are as follows: $m_A = \frac{4\delta}{5}$, $m_B = \epsilon - \frac{9\delta}{5}$, $m_C = \epsilon - \frac{3\delta}{5}$, $m_D = \epsilon + \frac{3\delta}{5}$, with $\epsilon = 0.0209$ eV.
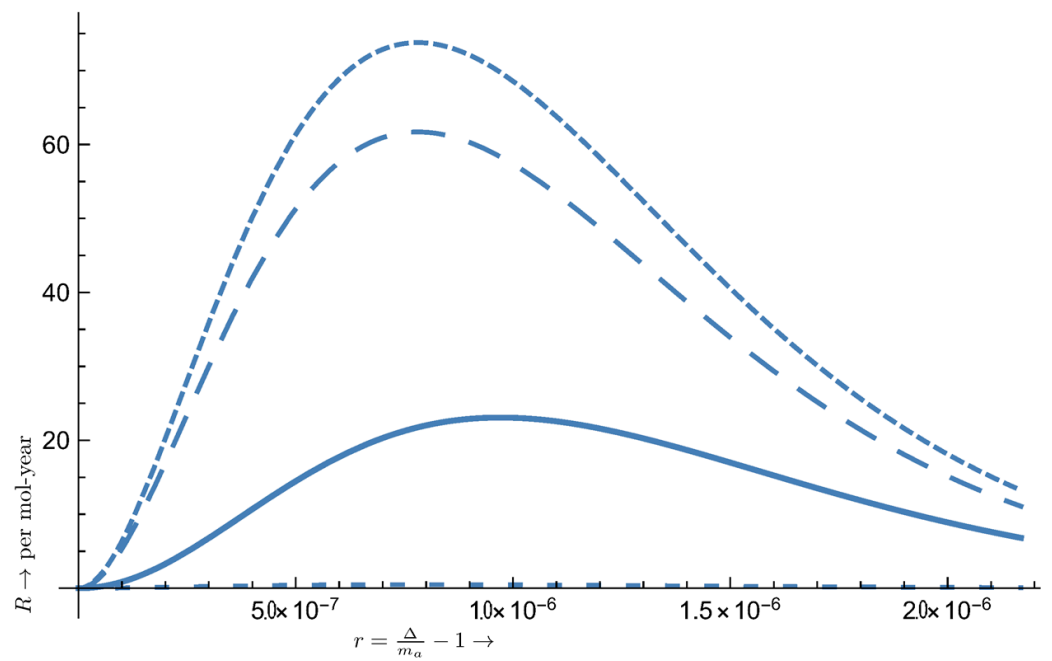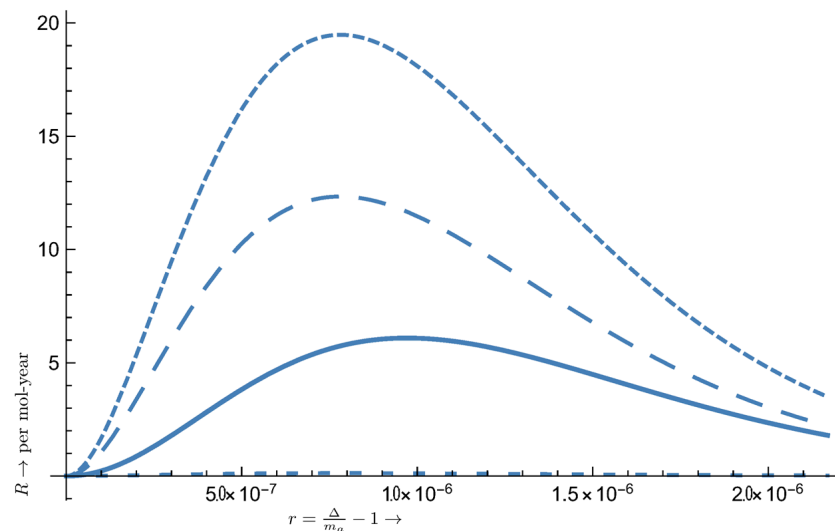

Figure 10. The same as in Figure 9 in the case of the atom $_{39}$Y, which also involves d-orbitals, but in this case $\epsilon = 0.0658$ eV . For transition type A, the suppression factor $C_g = 1/10$ was employed, i.e., the corresponding rate must be multiplied by 10.

Figure 11. The same as in Figure 9 in the case of the atom $_{71}$Lu, which also involves d-orbitals, but in this case $\epsilon = 0.2472$ eV . Again, for transition type *A*, the suppression factor $C_g = 1/50$ was employed.

7.2. Two-Electron Configurations

In this case we will consider the two systems discussed in Section 5.2.1, namely carbon and $_{22}$Ti.

In the first case the transition is $^3P_0 \rightarrow^3 P_1$, i.e., since the initial initial state is a $J = 0$, the *A* type transition is not available. The obtained rates are exhibited in Figure 12. It may be useful to note that the Si atom has the same structure, except for the radial quantum number, which is irrelevant here, and the fact that $\epsilon = 0.00956$ eV. Otherwise, the situation is the same as in Figure 12.
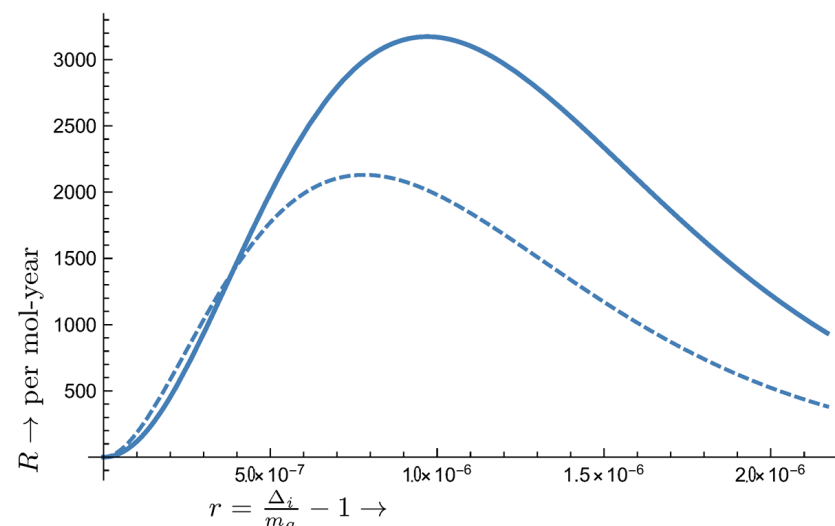

Figure 12. The same as in Figure 8 but for the C atom, with m_i as follows: $m_B = \epsilon - \frac{3}{2}\delta$, $m_C = \epsilon$, $m_D = \epsilon + \frac{3}{2}\delta$, $\epsilon = 0.002$ eV. The patterns *B* and *D* coincide, while the type *A* transition is not present.

For the second target $_{22}$Ti, the transition is $^3F_2 \rightarrow^3 F_3$, with the splitting of the spin-orbit partners being relatively small. The obtained results are exhibited in Figure 13. The use of such a target, however, may suffer from the fact that $_{22}$Ti normally exists in metallic form. It may be useful to note that the neutral Zr (Zr I) atom has the same structure, except

for the radial quantum number, which is irrelevant here, and the fact that $\epsilon = 0.0707$ eV. Otherwise, the situation is the same as in Figure 13.
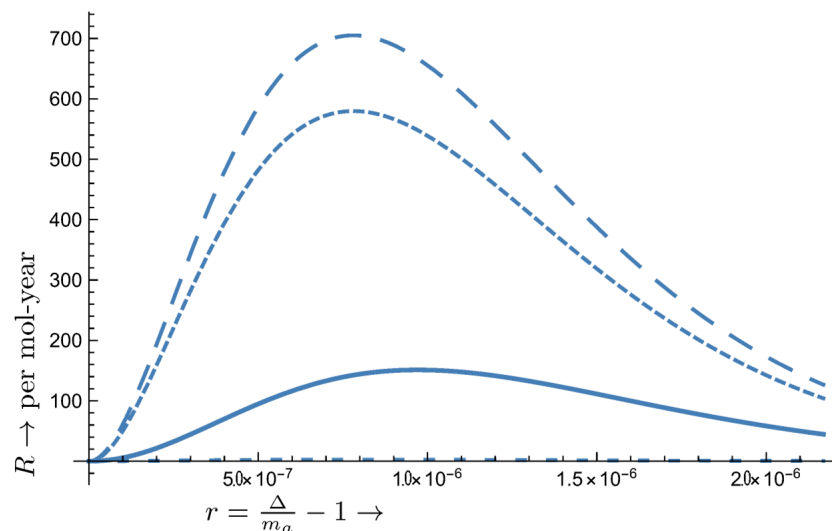

Figure 13. The same as in Figure 8 but for the Ti atom. For transition type A, the suppression factor $C_g = 1/5$ was employed, i.e., the corresponding rate must be multiplied by 5. Now m_i given by $m_A = \frac{28\delta}{9}$, $m_B = \frac{121\delta}{72} + \epsilon$, $m_C = \frac{115\delta}{36} + \epsilon$, $m_D = \frac{113\delta}{24} + \epsilon$, $\epsilon = 0.0211$ eV. The The type D transition is not visible.

7.3. Many-Electron Configurations

In this case, we will consider the two targets described in Section 5.2.2.

(i) Transitions of the type $^3P_2 \rightarrow^3 P_1$.

The simplest such system is the oxygen atom with $n = 2$. As has already been mentioned, one may also consider sulfur (S I), which has the same configuration but a different n, i.e., $n = 3$.

(ii) The second interesting example of many particle configurations is that of $_{26}$Fe, allowing the transition $^5D_4 \rightarrow^5 D_3$.

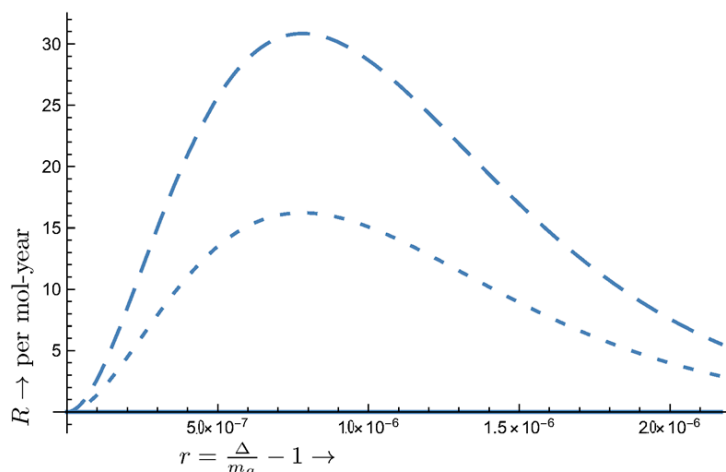The obtained results presented in Figure 14.

Figure 14. The same as in Figure 8 but for the iron target. The A term has been suppressed by a factor of $1/500$, i.e., its actual value is 500 times larger. Now m_i, $i = A, D$ with $m_A = \frac{16}{5}\delta$, $m_D = \epsilon + \frac{16}{5}\delta$, $\epsilon = 0.0516$ eV. The other two transition types B, C do not occur.

At this point, we should mention that, for the configurations with more than a single electron, some of the rates are enhanced due to the large spin interaction involved, see Table 2.

7.4. A Summary of the Obtained Results

We have exhibited the results for the axion-induced excitations for a number of atomic targets; see Figures 7–15. Similar results are expected for targets involving different radial functions with the same angular momentum structure, e.g., single-particle structures involving s, p, and d orbitals or many-particle configurations, Si instead of C, Zr instead of Ti, etc. The expected event rates, the width of the resonance, and the dependence on the magnetic field will be the same. Only the extracted value of the axion mass from the B, C, and D terms will be different since it depends on the experimentally determined spin-orbit splitting ϵ.
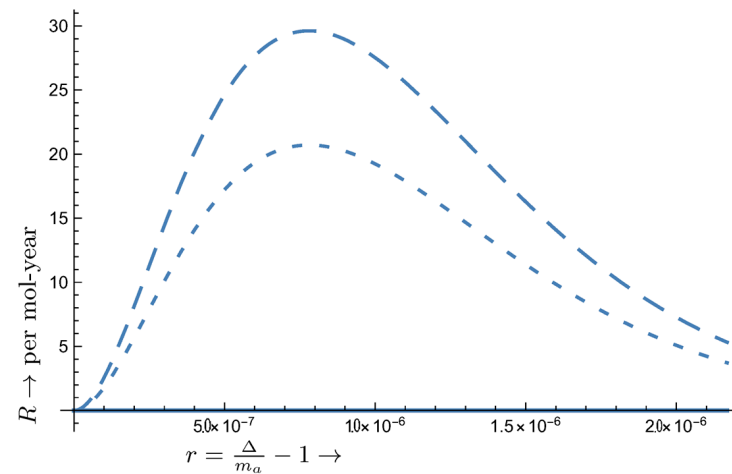

Figure 15. The same as in Figure 8 but for the oxygen target. The A term has been suppressed by a factor of $1/500$, i.e., its actual value is 500 times larger. Now m_i, $i = A, D$ with $m_A = \frac{5}{3}\delta$, $M_D = \epsilon + \frac{5}{3}\delta$, $\epsilon = 0.0196$ eV. The other two transition types B, C do not occur. The other two transition types B, C do not occur.

We have seen that in all atoms considered, the expected resonances are very narrow, and since we have no experimental or theoretical information about the axion mass, they might be missed by experiments. The location of the resonance, however, depends on the magnetic field employed. One, thus, may consider a magnetic field whose magnitude is changing periodically from a minimum to a maximum value many times during the experiment. The oscillation period must be as short as possible and, in any case, very much shorter than the run time of the experiment. The duration of the run must be longer than the time implied by the predicted event rate to compensate for the fact that only a fraction of the time, the equipment is going to be at the right state of sensitivity for axion detection. With this arrangement, one can perhaps see the axion provided its mass lies between $m_i|_{B=B_{min}}$ and $m_i|_{B=B_{max}}$ for $i = A, B, C, D$. This range depends on the atom considered. The most favored case is the one with as large as possible magnetic moment splitting. Thus in the case of the iron target, one can detect light axion masses through the A term in the range of $\frac{16}{5}(\delta/n) \leq m_a \leq \frac{16}{5}\delta$, with n an integer. In particular for $n = 100$ and $B = 1$T one can detect axions in the mass range 2×10^{-6}eV $\leq m_a \leq 2 \times 10^{-4}$ eV. This range is a bit wider than that of the dedicated experiments involving resonant cavities, such as the well-known ADMX, ADMX-HF, and CAP [22], searching for axion masses, see, e.g., [15,17,20]. For a summary, see [27] and a recent review [48] giving the range 10^{-6} eV $\leq m_a \leq 10^{-4}$ eV (values $m_a \leq 4.4$ μeV have recently been excluded by ADMX [30]).

Similarly, for heavier axions through the D term, one obtains a relation depending on the spin-orbit splitting, in this case, $0.05 + 2 \times 10^{-6}$ eV $\leq m_a \leq 0.05 + 2 \times 10^{-4}$ eV.

The width of the above window can, of course, increase if larger magnetic fields are employed, consistent, of course, with the constraints discussed in Section 6, and the minimum can be selected to be the convenient one. We should add that, after consultation of our diagram of Figure 6 and Table 3, we obtain for an axion mass of 1 meV a required temperature between 1.5 and 5 Kelvin. This temperature range is almost identical to the one investigated experimentally by Braggio et al. [46], with the final verdict being that efficient heat dissipation for such conditions is quite feasible. Thus, there are no laser heating problems for the 1 meV to 1 eV range. We recognize that, for the <1 meV range, it would be certainly more challenging. Given, however, the numerous studies on cold atoms where temperatures of the order of hundreds of μKelvins are achieved (admittedly for small samples) we believe it would be still feasible to achieve at least 0.1 Kelvin, even when dealing with large samples (axion masses around 10^{-4} eV, see our Figure 6).

Table 3. The maximum in Kelvin temperature acceptable as a function of the axion mass for the condition that the Boltzmann factor given by Equation (43) must be less than 10^{-x}.

$m_a \rightarrow$ μeV	0	50	100	150	200
$x = 1$	0	0.383	0.766	1.149	1.532
$x = 2$	0	0.191	0.383	0.574	0.766
$x = 3$	0	0.128	0.255	0.383	0.511

Anyway, such windows of axion mass can be open in atomic physics detection, provided that the sweep in frequency is smooth and gap-less, exploiting the feasibility of a simultaneous scan of the frequency of the exciting radiation (presumably delivered by a laser) for the transition of $|2\rangle \rightarrow |3\rangle$ as suggested by Sikivie and that of the magnetic field in a prescribed way (see, e.g., [49,50]).

This way one would think that the narrowness of the signal is beneficial rather than problematic, yielding an advantage of the atomic experiments against the background.

8. Conclusions

In this paper, we considered the possibility of direct detection of axion as a dark matter candidate by measuring the rates for axion-induced atomic excitations. The essential input in our calculations was the strength of the axion electron interaction g_{ae}/f_a and the axion flux on the detector. For the latter, we have used the standard halo parameters with a Maxwell–Boltzmann distribution transformed in the local frame. The strength of the interaction g_{ae}/f_a was assumed to be equal to the limit obtained from the Borexino experiment. This assumption allows axion masses in the range that can be exploited by spin-induced atomic transitions. That is tens of μeV within members of the same multiplet, i.e., $|J_1, M_1 = -J_1\rangle \rightarrow |J_1, M_1 = -J + 1\rangle, J_1 \neq 0$ of the type A, and axion masses in the range 1 meV–1 eV involving transitions of to the type $|J_1, M = -J_1\rangle \rightarrow |J_2, M_2 = -J_1 + q\rangle$, $q = -1, 0, 1$(types of B, C and D) allowed by the angular momentum selection rules.

Furthermore, since the axion is absorbed by the atom, the calculated cross-section exhibits resonant behavior. The resulting pattern reflects the parameters of the velocity distribution in the local frame and the momentum dependence of the axion-electron interaction. The obtained results depend, of course, on the atom considered through the parameters ϵ (the spin-orbit splitting) and δ (the energy splitting due to the magnetic moment interaction). These two parameters determine the axion mass that can be detected, which is very close to the excitation energy. In addition the resonant behavior can be exploited by experiments in minimizing any background events.

In the special case of the type A transitions the obtained rates, as shown at the top of the resonance, are quite large as a result of the large axion flux. The highest rates obtained occur in the case of light axions. They involve all the $s_{1/2}$ transitions in any atom and the A type transitions and for the many-electron configuration atoms like Oxygen and $_{28}$Fe, namely $R = 7.0 \times 10^3$ and $R = 1.4 \times 10^4$ per mole-y, respectively. The experimental detection difficulty, in this case, is not connected with the expected rate but, as explained in

Section 6, with the cryogenic temperature behavior of the target. The excited state must be essentially empty of electrons. For the A-term, the largest mass that can be detected is 185 µeV. The highest temperature in Kelvin that can be tolerated depends on x, and m_a is shown in Table 3. Furthermore, the target must exhibit atomic behavior at such cryogenic temperatures. It may be an advantage that such high rates allow one to consider the atom of interest in the form of an impurity at the level $1/10^3$ or even $1/10^4$ in an otherwise inert target.

For the *B*, *C*, and *D* type transitions the temperature requirements are not very stringent. The expected rates, as appearing at the tops of some of the *B*, *C*, *D* patterns in the figures, are much smaller than those of the *A* terms, see Figures 8–14, but perhaps detectable. In the case of the $_{22}$Ti a rate as high as $R = 5.0 \times 10^2$ per mole-y is obtained.

As we have mentioned in the introduction, the axion-electron coupling can be extracted from astrophysical observations, e.g., from recent astrophysical data [35–37]. These experiments do not extract this coupling directly, but, with the aid of suitable axion models, they determine it from a selection among processes like the Primakoff effect shown in Figure 1b, photon conversion into an axion in the electric field of electrons or ions in the plasma:

$$\gamma + Ze \rightarrow a + Ze \tag{48}$$

as well as Compton scattering:

$$a + A(Z) \rightarrow A'(Z) + \gamma. \tag{49}$$

These authors present their results in terms of a dimensionless coupling constant g_{Ae} (we intentionally use the capital letter *A* to avoid confusion with g_{ae} employed in the present work). The obtained limits are: $g_{Ae} = 4 \times 10^{-13}$ [35], $g_{Ae} = 1.48 \times 10^{-13}$ [36] and $g_{Ae} = 1.2 \times 10^{-13}$ [37]. Transforming these to the units employed in this work, via the relation $g_{Ae}/m_e = g_{ae}/f_a$ with the mass of the electron in GeV, we obtain 7.83×10^{-10} GeV^{-1}, 2.90×10^{-10} GeV^{-1} and 2.35×10^{-10} GeV^{-1}, respectively. These must be compared with the value given by Equation (28) employed here, which has been obtained from direct experiments.

We should mention that the obtained rates vary quadratically with the elementary axion-electron coupling. This affects both the light and the heavier axions. Thus, proceeding as above for the discussion for impurity target, if the impurity is not too small, in the case of light axions, we can tolerate a coupling about 100 times smaller. In the case of the heavy axions, however, even with favorable targets like $_{22}$Ti, we estimate that the coupling should not be more than an order of magnitude smaller for such axions to be detectable. This is really an experimental problem since it depends on some factors like the size of the target.

The main experimental problem for all types of transitions is due to the fact that all resonances are very narrow and they might be missed by the experiments. This is, unfortunately, so since there is no experimental or theoretical guide about the expected value of the axion mass. We have seen, however, that if a suitable periodic magnetic field is selected, whose magnitude is in an appropriate range and its period is very much smaller than the experimental run time, a window of axion mass in the range of a fraction of an meV wide, becomes open, which may be adequate.

Appendix A. The Modulation of the Widths

The modulation of the widths can be simply included by making the local frame the replacement:

$$F_0(X) \rightarrow e^{-\delta \cos \alpha - \delta^2} F_0\left(X\left(\frac{1}{2}\delta \cos \alpha + 1 \right) \right),\tag{A1}$$

where α is the phase of the Earth, $\alpha = 0$ around June 3rd, and δ the ratio of Earth's velocity around the sun divided by the sun's velocity around the galaxy, $\delta \approx 0.135$. We thus obtain a time variation of the width shown in Figure A1. We see that the effect is small, the difference between the maximum and the minimum is less than 3%, almost the same with that obtained in the axion to photon conversion [44]. We note, however, that, in addition to the seasonal dependence, we have a dependence on the magnetic quantum numbers of the states involved. The variation in the case of $m_1 \neq m_2$ is almost twice as large compared to that with $m_1 = m_2$.
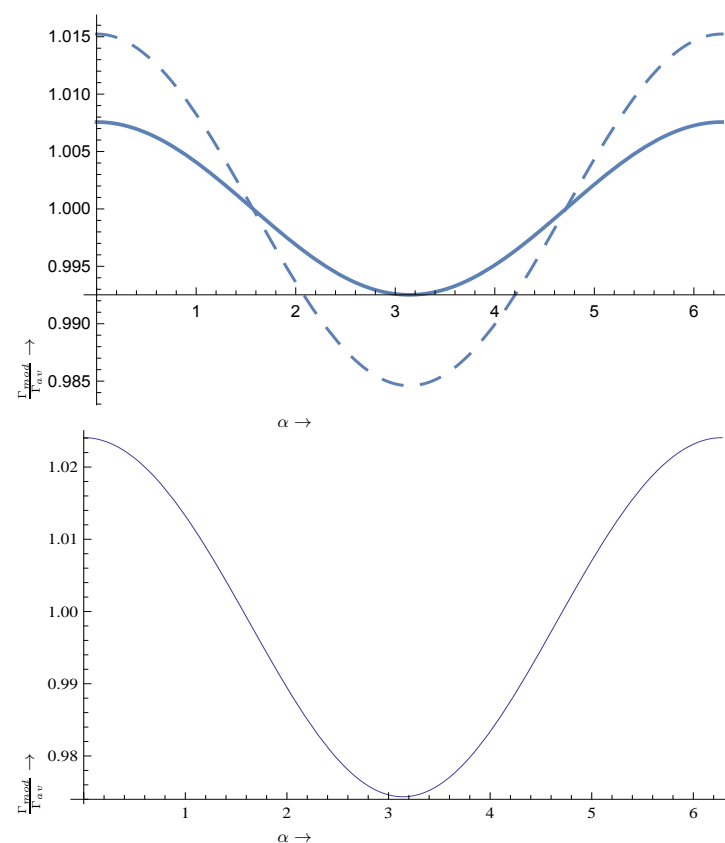

Figure A1. In the top panel we exhibit the modulation of the width Γ, relative to its average value, as a function of the phase of the Earth. The solid line corresponds to the case $\delta m = 0$ (no change in the magnetic quantum number) while the dashed one corresponds $\delta m = \pm 1$. For comparison, we present in the bottom panel the modulation curve obtained in the case of the standard axion to photon conversion, obtained with the same halo parameters [44].

It is amusing to know that the dispersion $\sigma = \sqrt{\langle X^2 \rangle - \langle X \rangle^2}$ also exhibits a time dependence (see Figure A2).
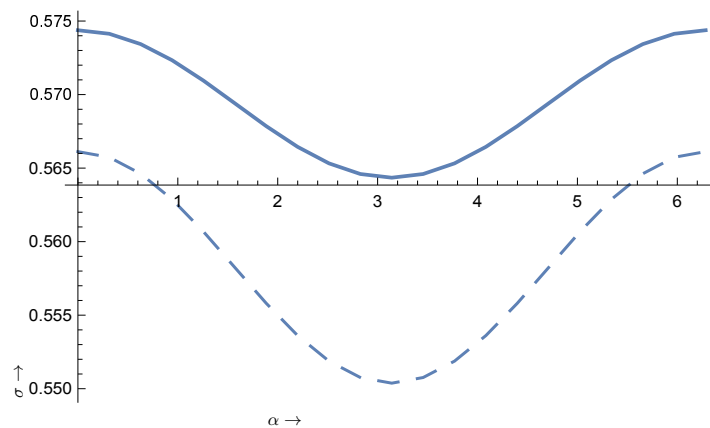

Figure A2. The time variation of the width for axion absorption by an atom due to the motion of the Earth. The notation for the curves is the same as in Figure A1.

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