

# Article

# Estimation of Solar Radiation for Tomato Water Requirement Calculation in Chinese-Style Solar Greenhouses Based on Least Mean Squares Filter

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Abstract: The area covered by Chinese-style solar greenhouses (CSGs) has been increasing rapidly. However, only a few pyranometers, which are fundamental for solar radiation sensing, have been installed inside CSGs. The lack of solar radiation sensing will bring negative effects in greenhouse cultivation such as over irrigation or under irrigation, and unnecessary power consumption. We aim to provide accurate and low-cost solar radiation estimation methods that are urgently needed. In this paper, a method of estimation of solar radiation inside CSGs based on a least mean squares (LMS) filter is proposed. The water required for tomato growth was also calculated based on the estimated solar radiation. Then, we compared the accuracy of this method to methods based on knowledge of astronomy and geometry for both solar radiation estimation and tomato water requirement. The results showed that the fitting function of estimation data based on the LMS filter and data collected from sensors inside the greenhouse was y = 0.7634x + 50.58, with the evaluation parameters of  $R^2 = 0.8384$ , rRMSE = 23.1%, RMSE = 37.6 Wm<sup>-2</sup>, and MAE = 25.4 Wm<sup>-2</sup>. The fitting function of the water requirement calculated according to the proposed method and data collected from sensors inside the greenhouse was y = 0.8550x + 99.10 with the evaluation parameters of  $R^2 = 0.9123$ , rRMSE = 8.8%,  $RMSE = 40.4 \text{ mL plant}^{-1}$ , and  $MAE = 31.5 \text{ mL plant}^{-1}$ . The results also indicate that this method is more effective. Additionally, its accuracy decreases as cloud cover increases. The performance is due to the LMS filter's low pass characteristic that smooth the fluctuations. Furthermore, the LMS filter can be easily implemented on low cost processors. Therefore, the adoption of the proposed method is useful to improve the solar radiation sensing in CSGs with more accuracy and less expense.

Keywords: CSG; tomato water requirement calculation; LMS filter; solar radiation estimation

# 1. Introduction

Since being introduced in the 1930s, the Chinese-style solar greenhouse (CSG) has gradually grown in Chinese agriculture. The greenhouse vegetable industry accounts for 20% of the total vegetable production area in China, but it produces 35% of the output and 60% of the economic value in 2013 [1]. In 2013, the CSG cultivation area amounted to 612,000 ha [2].



Solar radiation is an important factor affecting the calculations of water requirement [3,4] and environmental evaluation [5] in precision agriculture. However, few CSGs are equipped with enough sensors due to purchase price and subsequent maintenance [6,7]. Solar radiation sensors, among the sensors in solar greenhouse applications, are very expensive [8–11]. To produce quality crops in a sufficient quantity in greenhouses, the demand for solar radiation sensing in CSGs is increasing rapidly [12–14]. To address this need, some methods that estimate the solar radiation inside CSGs (H<sub>i</sub>) with few or no sensors have been introduced. Ahamed et al. (2018) proposes the CSGHEAT model in their study and this model can estimate H<sub>i</sub> based on outdoor solar radiation and cloud cover [15]. Tong et al. (2009), Ahamed (2018) et al. use outdoor solar radiation and film transmittance to estimate H<sub>i</sub> [16,17]. In addition, some researches about indoor solar radiation estimation on other type greenhouses are also performed [18,19].

In studies of Tong (2009), Ahamed et al. (2018) and Sethi (2009), the horizontal solar radiation outside the greenhouse ( $H_{out}$ ) is decomposed into beam radiation ( $H_b$ ) and diffuse radiation ( $H_d$ ) [15,16,18]. In the study of Gavilán (2015), decomposition is not conducted [19].

For decomposition,  $H_{out}$  is first divided into  $H_b$  and  $H_d$  [16,17,20]. Inman et al. (2013) proved that the ratio of the clearness index (K<sub>t</sub>) of  $H_{out}$  to extraterrestrial solar radiation (H<sub>o</sub>) is an important factor for decomposition [21]. According to K<sub>t</sub>, the ratio of  $H_d$  to  $H_{out}$  is a fixed function relationship [22,23]. After decomposition,  $H_b$  and  $H_d$  are multiplied by film transmittance of beam radiation ( $\tau_b$ ) and film transmittance of diffuse radiation ( $\tau_d$ ), respectively. Finally, the sum of the two multiplications is the estimation of radiation inside a greenhouse. In our study, the estimation of  $H_i$  estimated by astronomy and geometry method is presented as  $H_c$ . In the other case,  $H_{out}$  is directly multiplied by greenhouse transmittance ( $\tau_g$ ) so decomposition is not needed [19]. In summary, the accuracy of these methods is related to K<sub>t</sub>,  $\tau_b$ ,  $\tau_d$ , or  $\tau_g$ , and  $\tau_b$ ,  $\tau_d$ , or  $\tau_g$  are always constants. However, none of these parameters are constant in practice, reducing the accuracy of these methods [24–26].

At the experimental site, the low outside temperature in winter means ventilation is rare, so properly calculating the water requirement for the tomatoes is important because overestimating the requirement will lead to higher humidity, which is harmful to tomatoes [27].

Some models of crop water requirement have been formulated, such as the Penman–Monteith equation [28,29] and the Hargreaves equation [30], which are based on meteorological parameters including solar radiation, temperature, relative humidity, wind speed, etc. However, the Penman–Monteith equation was proven to be restricted because too many sensors, which are costly and require frequent maintenance, are needed in greenhouse applications [31]. Hargreaves and Allen (2003) proved the accuracy of the Hargreaves equation is related with the calculation period, which must be five days or more, so it is not suitable for high-frequency irrigation applications [32]. In many cases, tomatoes are cultivated in substrates with lower water-holding capacity, so high-frequency irrigation is required.

To address the restrictions of the Penman–Monteith and Hargreaves equations in greenhouse applications, an equation was proposed by Carmassi et al. (2007) [33]. To perform Carmassi's equation, only solar radiation and temperature are needed.

To the best of our knowledge, adaptive filters have rarely been applied in solar radiation estimation. Among digital filters used in applications, the adaptive filter [34,35] is advantageous compared with the finite impulse response (FIR) filter and infinite impulse response (IIR) filter due to its better performance in situations with a spectrum overlap between the signal and noise [36]. Adaptive filters are widely used in many fields such as noise canceling, system identification, and signal prediction [37,38].

Therefore, in our study, we adopted an adaptive filter to estimate  $H_i$  more accurately and less expensively. The objectives of this study were (1) to estimate  $H_i$  and compare the results conducting estimations with other methods (2) to calculate the water required by tomatoes using the  $H_i$  estimated with an adaptive filter and compare the results with the requirement calculated using other methods and (3) to analyze the performance of the proposed method.

# 2. Material and Methods

# 2.1. Experimental Materials, Measurement and Evaluation

# 2.1.1. CSG Architecture

As shown in Figure 1, the architecture of a CSG consists of a south roof, north roof, north wall, gables, and blanket. In many cases, a CSG is east-west oriented to intercept more solar energy. In the cold season, the blanket is rolled up during the day and the sunlight enters from the south roof. The crops, ground, north wall, and gables absorb energy. After the blanket is dropped at night, the gables and north wall release heat to maintain temperature [39].



Figure 1. Pictures of the (a) inside and (b) outside of a Chinese-style solar greenhouse (CSG) [6].

CSGs extend the crop growing season in the cold areas in China between  $34^{\circ}$  and  $41^{\circ}$  N, where the temperature falls below  $-20^{\circ}$ C at night. CSG cultivation requires little auxiliary heating equipment; the consumption of energy and emissions of carbon dioxide are considerably reduced.

#### 2.1.2. Experimental Site and Measurement Methods

We conducted this study in an east-west-oriented CSG in Shenyang, China (41°48′ N, 123°24′ E, 42 m a.s.l.). The greenhouse was 60 m long and 12 m wide. The height of the north wall and north roof were 3 m and 5.5 m, respectively. The south roof was covered by a single layer of 0.00012 m thick polyethylene film.

The cultivation area inside the greenhouse was 55 m long from east to west and 10 m wide. Tomatoes were grown with row spacing of 1 m, within-row spacing of 0.33 m, and plant density of 4 plants·m<sup>-2</sup>. Tomatoes were grown in substrate and irrigated using a drip irrigation system. The tomatoes were sown on 5 August 2017. Then, the transplant was performed on 1 September 2017 and the cultivation finished on 27 December 2017. We conducted this study using H<sub>out</sub> data collected from outer weather station and indoor pyranometers (H<sub>s</sub>) from 1–26 December 2017. It was cold and nature ventilation was rare during the experimental days.

Six temperature sensors (SHT10, Sensirion, Zurich, Switzerland) and three pyranometers (MP200, Apogee Instruments, Logan, UT, USA) were installed in the experimental greenhouse. The temperature sensors were hung 1.5 m above the ground and pyranometers were placed horizontally at different heights above the ground (1.5, 2, and 2.5 m) according to the growth condition of the tomatoes. The placement of indoor sensors is shown in Figure S1.

The temperature sample interval was 15 min and the mean of the temperature recorded by the six installed sensors was considered the temperature inside the greenhouse. The sample interval of solar radiation was 15 min [40] and the mean of three installed pyranometers was taken as the true H<sub>i</sub> value.

# 2.1.3. Evaluation Parameters

As evaluation parameters, we adopted the coefficient of determination (R<sup>2</sup>), percent error (PE), root mean square error (RMSE), relative root mean square error (rRMSE), and mean absolute error (MAE), and these parameters were calculated according to Equations (1)–(5), respectively [22,23,40]. In our study, R<sup>2</sup>, RMSE, rRMSE, and MAE were firstly used for comparisons between the estimated solar radiation and measured solar radiation. Additionally, they were also used to compare the daily water requirements of tomatoes calculated by estimated data and sensor data. RE was used to compare the error rates of water requirements.

$$R^{2} = 1 - \frac{\Sigma (y_{e} - y_{m})^{2}}{\Sigma (y_{m} - y_{m_{mean}})}$$
(1)

Percent Error(PE) = 
$$\frac{y_e - y_m}{y_m} \times 100\%$$
 (2)

$$RMSE = \sqrt{\frac{\Sigma(y_e - y_m)^2}{n}}$$
(3)

$$rRMSE = \frac{100}{y_{m_mean}} \sqrt{\frac{\Sigma(y_e - y_m)^2}{n}}$$
(4)

$$MAE = \frac{\Sigma |y_e - y_m|}{n}$$
(5)

where  $y_e$  is the estimated value,  $y_m$  is measured value,  $y_{m\_mean}$  is the mean of  $y_m$ , and n is the number of samples.

#### 2.2. Classic Methods of Estimating $H_i$

Two methods have mainly been used for estimating  $H_i$ . Method 1 is based on knowledge of astronomy and geometry according to the following procedure:

Step 1: Calculate H<sub>0</sub> using Equation (6) [12]:

$$H_{0} = \frac{24 \times 3600}{\pi} G_{sc} (1 + 0.033 \cos \frac{360n_{day}}{365}) \times (\cos \varphi \cos \delta \sin \omega_{s} + \frac{\pi \omega_{s}}{180} \sin \varphi \sin \delta)$$
(6)

where  $G_{sc}$  is solar constant,  $G_{sc} = 1367 \text{ Wm}^{-2}$ ,  $\phi$  is the latitude of the location and  $n_{day}$  is the day number of the year, counted from 1 January, and  $\delta$  and  $\omega_s$  are the daily solar declination and sunset hour angle, respectively [22]:

$$\delta = 23.45 \sin\left[\frac{360(n_{day} + 284)}{365}\right]$$
(7)

$$\omega_{\rm s} = \cos^{-1}(-\tan\varphi\tan\delta) \tag{8}$$

Step 2: Calculate Kt according to Ho and Hout via Equation (9) [22]:

$$K_t = \frac{H_{out}}{H_0}$$
(9)

Step 3: Decompose  $H_{out}$  into  $H_b$  and  $H_d$  according to  $K_t$  [8,13] using Equation (10):

$$\frac{H_{d}}{H_{out}} = \begin{cases} 0.95 & K_{t} < 0.175 \\ 0.9698 + 0.4353K_{t} - 3.4499K_{t}^{2} + 2.1888K_{t}^{3} & 0.175 < K_{t} < 0.775 \\ 0.26 & K_{t} > 0.775. \end{cases}$$
(10)

Step 4: Calculate H<sub>c</sub> via Equation (11) [15,17]:

$$H_c = H_c \tau_b + H_d \tau_d = (H_{out} - H_d) \tau_b + H_d \tau_d$$
(11)

where  $\tau_b$  is film transmittance to  $H_b$  and  $\tau_d$  is film transmittance to  $H_d$ . The values of  $\tau_b$  and  $\tau_d$  are 0.88 and 0.65 in the experimental greenhouse, respectively.

Method 2 [19] is based on Equation (12):

$$H_{c} = H_{out}\tau_{g} \tag{12}$$

Method 2 is simpler than Method 1, but as shown in Figure S2, the mean and variance  $\tau_g$  of the experimental greenhouse was different from that in another study [19], so Method 1 was adopted in this study for comparison.

In both methods above, film transmittance and global transmittance are constant, but this does not reflect the reality. Some studies proved global transmittance changed with the incidence angle of the sun [24,25] and film transmittance changed due to aging and deposition [26]. So, estimating solar radiation merely according to fixed transmittance is not reliable and is prone to error.

#### 2.3. Tomato Water Requirement Calculation

Carmassi's equation was dedicated to calculating the water requirement of tomatoes according to only two meteorological parameters: solar radiation and temperature. Carmassi's equation is calculated as follows:

Step 1: Calculate leaf area index (LAI) according to Equation (13) [33]:

$$\begin{pmatrix} \text{LAI} = -a + \frac{b+a}{1+\exp[(c-\text{GDD})/d]} \\ \text{GDD} = \sum_{\text{Start day}}^{\text{Stop}\_day} (T_{\text{avg}} - 8) \end{cases}$$

$$(13)$$

where a, b, c, and d are regression constants, a = 0.335, b = 4.803, c = 755.3, and d = 134.7; GDD is tomato growing degree days;  $T_{avg}$  is indoor daily average temperature, °C; Start\_day presents the sowing date and Stop\_day presents the date when cultivation ends. Something to be pointed out is that GDD is taken as dimensionless in LAI's computation. The GDD values are shown in Figure S3, and the values of GDD on 1 and 26 December were 1252 and 1340, respectively.

Step 2: Calculate extinction factor k according to Equation (14) [33]:

$$\frac{H_{up}}{H_{down}} = \exp(-k \times LAI)$$
(14)

where  $H_{up}$  and  $H_{down}$  are solar radiation above and below the canopy, respectively;  $H_{up}$  and  $H_{down}$  were measured using two pyranometers placed horizontally at 2.5 and 1.5 m above the ground. During the experimental days, k was 0.69.

Step 3: Calculate the water requirement of tomatoes according to Equation (15) [33]:

$$V_{u} = A[1 - exp(-k \times LAI)]\frac{R_{i}}{\lambda^{*}}$$
(15)

where A = 0.946, B = 0.188,  $\lambda^*$  is the latent heat of vaporization (2.45 MJ kg<sup>-1</sup>), and R<sub>i</sub> is the energy intercepted by canopy (MJ m<sup>-2</sup> day<sup>-1</sup>). R<sub>i</sub> is calculated as [33]:

$$R_{i} = \sum_{T_{start}}^{T_{stop}} H_{i}T_{s}$$
(16)

where  $T_s$  is the sample interval, and  $T_{start}$  and  $T_{stop}$  are start time and stop time of water requirement calculation, respectively.  $T_{start}$  was confirmed by the time when  $H_s$  first rose above 10 Wm<sup>-2</sup> and  $T_{stop}$  was confirmed by the time when the  $H_s$  first fell to 0 Wm<sup>-2</sup>. On sunny days during the experiment,  $T_{start}$  was 08:00 and  $T_{stop}$  was 16:00.

#### 2.4. LMS Filter

According to the filter refresh algorithm, some kinds of adaptive filters can be used [41], such as the least mean squares (LMS) filter [35], recursive least squares (RLS) filter [42], least mean p-norm (LMP) filter [43], normalized LMP (NLMP) filter [44], least mean absolute deviation (LMAD) filter [44], and normalized LMAD (NLMAD) filter [44]. The basic diagram of adaptive filter is shown in Figure S4. Among these, the LMS filter's resource consumption is low, making it suitable for applications in resource-constrained systems such as microcontrollers, which have only smaller RAM and run at a lower speed [45]. So, we only focused on the LMS filter's performance.

The LMS filter updates its filter coefficients according to least mean squares algorithm; computation proceeds according to Equations (17)–(19) [36]:

$$\mathbf{y}(\mathbf{n}) = \mathbf{x}^{\mathrm{T}}(\mathbf{n})\mathbf{w}(\mathbf{n}) \tag{17}$$

$$e(n) = x(n) - d(n)$$
 (18)

$$w(n+1) = w(n) + 2\mu e(n)x(n)$$
 (19)

where  $\mu$  is the convergence factor of LMS filter, and w(n + 1) is the filter coefficient in the next iteration.

 $\mu$ , which is related to convergence speed and approximate precision, is an important factor in the LMS filter. In addition, a smaller value of  $\mu$  leads to higher approximate precision and lower convergence speed, and vice versa. The LMS filter is fully analyzed according to Equations (20)–(22) [37,38]:

$$E[w(n+1)] = E[w(n)] + 2\mu E[e(n)x(n)] = E[w(n)] + 2\mu R_{dx} - 2\mu R_{xx}E[w(n)]$$
(20)

where  $R_{dx} = E[d(n)x(n)]$  is the cross correlation matrix of the input and desired signals,  $R_{xx} = E[x(n)x^{T}(n)]$  is the autocorrelation matrix of the input signal, and  $R_{xx}$  is at least a positive semi-definite matrix, so a normalized orthogonal matrix Q sets up Equation (21) [37,38]:

$$R_{xx} = Q\Lambda Q^{-1} = Q\Lambda Q^{T}$$
<sup>(21)</sup>

where the modal matrix Q is orthonormal. The columns of Q, which are the eigenvectors of  $R_{xx}$ , are mutually orthogonal and normalized. Notice that  $Q^{-1} = Q^T$ ,  $\Lambda$  is the spectral matrix and all its

elements are zero except for the main diagonal, whose elements are the set of eigenvalues of  $R_{xx}$ , which are presented as,  $\lambda_1$ ,  $\lambda_2$ ,  $\lambda_3$ , ...,  $\lambda_L$ . According to Equation (22),  $\Lambda$  has the following form [37,38]:

$$\Lambda = \begin{bmatrix} \lambda_{1} & 0 & 0 & 0 \\ & \ddots & & \\ 0 & \lambda_{2} & 0 & 0 \\ \vdots & \ddots & \vdots & \\ 0 & 0 & \lambda_{L-1} & 0 \\ & & \ddots & \\ 0 & 0 & 0 & \lambda_{L} \end{bmatrix}$$
(22)

The eigenvalues of  $R_{xx}$  are all real and greater or equal to zero, and  $\mu$  can be calculated according to [37,38]:

$$0 < \mu < \frac{1}{\lambda_{\max}} \tag{23}$$

where  $\lambda_{max}$  is the maximum eigenvalue of  $R_{xx}$ .

A tightly-constrained equation about  $\mu$  is [37,38]:

$$0 < \mu < \frac{1}{\operatorname{tr}(R_{xx})} \tag{24}$$

where  $tr(R_{xx})$  is the trace of  $R_{xx}$ . And  $tr(R_{xx})$  is calculated as [37,38]:

$$tr(R_{xx}) = LR_{xx}(0) = LE[x^{2}(n)]$$
 (25)

where L is the number of taps of the LMS filter.

Equations (24) and (25) prove that the upper bound of  $\mu$  is the power of the input signal, which can be calculated easily in applications.

2.5. Discrete Fourier Transform (DFT), Fast Fourier Transform (FFT), and Pass Band Characteristics of Filters

# 2.5.1. DFT and FFT

DFT is a fundamental tool in signal processing applications, and FFT [46] is the fast algorithm of DFT. The characteristics in the frequency domain of the interested signals are obtained by DFT and FFT. DFT is calculated according to [46]:

$$X(k) = \sum_{n=0}^{N-1} x(n) e^{-j\frac{2\pi}{N}nk}$$
(26)

where X(k) is the frequency domain values of time series x(n), N is the calculation length, and both n and k range from 0 to N – 1.

#### 2.5.2. Filter Pass Band Characteristic

The pass band characteristic of a filter is the response effect of the amplitude-frequency characteristic of a filter to the signal of a different frequency. It can be calculated using Equation (26). Filters are classified as high pass, low pass, band pass, notch, and all pass according to the band characteristics. For example, a low pass filter passes low-frequency components and attenuates high-frequency components, so the output signal is always smoother than the input signal.

#### 2.6. Proposal Methods and Evaluation Procedures

We focused on estimating  $H_i$  using  $H_{out}$  recorded from a weather station, which is basic equipment in many growing areas in China.

The flow chart of data processing is shown in Figure 2. Firstly,  $H_{out}$  and  $H_s$  were obtained from a weather station and the sensors inside the greenhouse.  $H_c$  was calculated according Equations (6)–(11). Secondly,  $H_{out}$  and  $H_c$  were used as the x(n) and d(n) input signals for the LMS filter, respectively; therefore, the output signal of the LMS filter was the estimation of  $H_i$  and is presented as  $H_f$ . The required water volume for tomato, according to  $H_c$ ,  $H_f$ , and  $H_s$ , which are presented as  $V_c$ ,  $V_f$ , and  $V_s$ , respectively, were calculated via Equations (13)–(16).



**Figure 2.** Flow chart of solar radiation estimation, water requirement calculation, and corresponding evaluations in this study. LMS = least mean squares.

The performance of curve fitting, including  $H_f-H_s$  and  $H_c-H_s$ , were evaluated to analyze each solar radiation estimation method. The performance of curve fitting, including  $V_f-V_s$ . and  $V_c-V_s$ , were evaluated to analyze water requirement according to each solar radiation estimation method. Both of the evaluations were based on the equations proposed in Section 2.1.3. All data in this study were processed and all figures were drawn using Python 3.7 (Python Software Foundation, Wilmington, DE, USA). The data of  $H_s$  and  $H_{out}$  is available in Data file S1 and Data file S2 respectively. And the program is available in Program file S1.

#### 3. Results and Discussion

#### 3.1. Determination of $\mu$ and L

The length (L) of the LMS filter varies in different applications and ordinary lengths are 8, 9, 64, and 128, but the sample interval in greenhouse applications always ranges from 1 min to 1 h or more. Given a sample interval of 15 min, L = 128, introduces a time delay at more than 24 h, so a smaller number of taps is preferred. In this study, L was 9.

According to Equations (24) and (25), the upper bound of  $\mu$  was computed. In experimental days, the maximum of E[x<sup>2</sup>(n)] was 145. To avoid computational overflow in the program, each value of H<sub>out</sub> was multiplied by 0.01. So, the upper bound of  $\mu$  was 0.0007.

In the range of  $10^{-5}$  to  $5 \times 10^{-4}$ , six values were chosen for evaluation to determine the exact value of  $\mu$ . According to the procedure proposed in Section 2.6, H<sub>f</sub> and H<sub>c</sub> were computed according

to  $H_{out}$  collected between 07:00 and 17:00 on experimental days. Then, the performance was evaluated; notably, in the three taps of the left shift of  $H_f$  for compensation of computational delay. In the latter parts of this study, the length and direction of shift were constant unless otherwise mentioned.

As shown in Table 1, the distribution of evaluation parameters showed a single peak, and R<sup>2</sup>, RMSE, rRMSE, and MAE reached their minimum when  $\mu$  was 5 × 10<sup>-5</sup>; so, in this study,  $\mu$  was determined.

Evaluation Parameter	M					
	10 <sup>-5</sup>	$2 imes 10^{-5}$	$5 imes 10^{-5}$	$10^{-4}$	$2 imes 10^{-4}$	$5 imes 10^{-4}$
R <sup>2</sup>	0.3959	0.8031	0.8384	0.8254	0.7554	0.6010
$RMSE (Wm^{-2})$	72.7	41.5	37.6	39.1	46.2	59.0
rRMSE (%)	44.6	25.5	23.1	23.4	28.4	36.2
MAE (Wm <sup>-2</sup> )	58.7	30.2	25.3	25.8	33.6	45.9

Table 1. Evaluation parameters computed by different values of  $\mu$ .

3.2. Estimation of H<sub>i</sub> and Tomato Water Requirement Calculation under Sunny, Partly Cloudy and Overcast Conditions

# 3.2.1. Estimation of H<sub>i</sub>

Computations were performed using data from six days according to the procedure in Section 2.6. The curves of  $H_{out}$ ,  $H_c$ ,  $H_f$ , and  $H_s$  are shown in Figure 3. Two days were sunny, 11 and 12 December. In Figure 3c, the curve of  $H_s$  fluctuated obviously near its peak value, whereas the curve of  $H_f$ , which tended to have a half-wave sinusoidal shape, was smooth. In other words, the fluctuation range of  $H_f$  was very narrow near noon. The fluctuations of  $H_{out}$  and  $H_c$  ranged between  $H_s$  and  $H_f$ . We observed quick changes in the curves of  $H_{out}$  and  $H_c$  near their peak value at about 11:00 each day and the quick changes were introduced by a metal bar installed nearby the weather station. So, the weather station measurements were temporarily disturbed by the shadow of the bar. In contrast, the curve of  $H_f$  proved to be immune to this temporary disturbance.



Figure 3. Solar radiation under different weather conditions (a) overcast (b) partly cloudy (c) sunny.

The weather was partly cloudy on 5 and 10 December. According to the curves of  $H_{out}$ ,  $H_c$ ,  $H_f$ , and  $H_s$  in Figure 3b, as the cloud cover increased after 14:00 on 5 December, the curves of  $H_{out}$ ,  $H_c$ , and  $H_s$  fluctuated considerably, and these fluctuations made the curves rougher than the  $H_f$  curve. The overall trend of the curves was  $H_f > H_c > H_s$  during this time. The fluctuations of the curves of

 $H_{out}$ ,  $H_c$ , and  $H_s$  on 10 December were more obvious than on 5 December. However, the  $H_f$  curve was smoother than the other curves and fluctuation range was narrow on 10 December. In addition, the shape of  $H_f$  on both days distorted gradually.

The day was overcast on 2 and 8 December. Due to the lower outer solar radiation in the morning on these days, the blanket was rolled up later than usual. So a distinguishing rising edge, after which  $H_s$ curve was close to the  $H_c$  and  $H_f$  curves, rapidly appeared on the  $H_s$  curve in Figure 3a. The operation of the blanket resulted in a  $T_{start}$  at 11:00 and 10:00 on 2 and 8 December, respectively. According to the  $H_{out}$ ,  $H_c$ ,  $H_f$ , and  $H_s$  curves in Figure 3c, as the cloud cover increased after 13:00 on 2 December, the curves of  $H_{out}$ ,  $H_c$ , and  $H_s$  fluctuated obviously, making the curves rougher than the  $H_f$  curve. The fluctuations of  $H_{out}$ ,  $H_c$ , and  $H_s$  curves on 8 December proved to be more obvious than on 2 December. However, the curve of  $H_f$  was smoother than other curves and the fluctuation range was narrow on 8 December. The shapes of  $H_f$  on both days were distorted and were no longer half-wave sinusoidal.

#### 3.2.2. Tomato Water Requirement

According to Equation (16),  $R_i$  was computed using  $H_c$ ,  $H_f$ , and  $H_s$ , which are presented as  $R_c$ ,  $R_f$ , and  $R_s$ , respectively.  $T_{start}$  values were 08:15, 08:00, 08:00, 08:00, 11:00, 10:00 and  $T_{stop}$  values were 16:00, 16:15, 15:45, 16:15, 15:45, 16:00 on 11, 12, 5, 10, 2, 8 December, respectively. Then,  $V_c$ ,  $V_f$ , and  $V_s$ . were calculated using Equation (15) as shown in Table 2. The PE of  $V_f$ – $V_s$ . and  $V_c$ – $V_s$ , presented as PE<sub>fs</sub> and PE<sub>cs</sub>, respectively, calculated via Equations (27) and (28), respectively, are also shown in Table 2.

$$PE_{fs} = \frac{V_f - V_s}{V_s} \times 100\%$$
(27)

$$PE_{cs} = \frac{V_c - V_s}{V_s} \times 100\%.$$
 (28)

**Table 2.** Evaluation parameters of tomato water requirements calculated according to different estimation methods of H<sub>i</sub> under different conditions.

Date	Calculated Value						
	$V_c$ (mL·Plant <sup>-1</sup> )	$V_s$ (mL·Plant <sup>-1</sup> )	$V_{f}$ (mL·Plant <sup>-1</sup> )	PE <sub>fs</sub> (%)	PE <sub>cs</sub> (%)		
2 December	301.6	248.6	307.2	23.5	21.3		
8 December	280.2	234.0	288.9	23.3	23.5		
5 December	587.1	525.9	557.5	6.0	11.6		
10 December	492.0	446.3	486.3	9.0	10.2		
11 December	617.7	574.9	585.4	1.8	7.4		
12 December	645.1	596.7	602.8	1.0	8.1		

The data on 11 and 12 December in Table 2 show that  $V_s$ .  $< V_f < V_c$  and  $PE_{fs} < PE_{cs}$ . The  $PE_{fs}$  values on both days were smaller than 2% but the values of  $PE_{cs}$  tended to be more than 7%. The data show  $PE_{fs} < PE_{cs}$  on 5 December and  $PE_{fs} > PE_{cs}$  on 10 December. The difference in  $PE_{fs}$  and  $PE_{cs}$  was 5.6% on 5 December and 1.2% on 10 December. The data on 2 and 8 December show that  $PE_{fs} = PE_{cs}$ .

#### 3.3. Overall Performance of Estimation of $H_i$ and Tomato Water Requirement Calculation

#### 3.3.1. Overall Performance of Estimation of H<sub>i</sub>

The scatter plot of  $H_f$  and  $H_s$  is shown in Figure 4a and the fitting function of  $H_f$  and  $H_s$  was y = 0.7634x + 50.58. The scatter plot of  $H_c$  and  $H_f$  is shown in Figure 4b and the fitting function was y = 0.9376x + 33.04. The latter analysis focuses on the performance of each method under sunny conditions, which dominated during the experimental period.



Figure 4. Scatter plots of (a)  $H_s$  vs.  $H_f$  and (b)  $H_c$  vs.  $H_f$ , fitted are also shown in each sub-figure.

In Figure 4a,b, when some points of  $H_f$  increased above 30 Wm<sup>-2</sup>,  $H_s$  was nearly 0 Wm<sup>-2</sup>. These larger values are mainly attributed to the postponed blanket operations. Differences of  $H_s$  and estimated values including  $H_f$  and  $H_c$  appeared to be large because  $H_f$  and  $H_c$  had reached higher values when  $H_s$  was still near 0 Wm<sup>-2</sup>.

As shown in Figure 4a,  $H_s$  increased to about 200 Wm<sup>-2</sup> during 09:00–10:00 and 14:00–15:00 when  $H_s$  stayed below  $H_f$  and  $H_c$ .

In Figure 4a, as  $H_s$  rose above 200 Wm<sup>-2</sup>, the number of points of  $H_f < H_s$  also increased gradually. When  $H_s$  rose above 300 Wm<sup>-2</sup>, the number of points of  $H_f < H_s$  was greater than the number of points of  $H_f > H_s$ . The details of the curves during 10:00–14:00 on 11 and 12 December indicates as  $H_s$  rose above 200 Wm<sup>-2</sup>, the increasing speed rose so the curve of  $H_s$  gradually stayed above the curve of  $H_f$ . Hence, the increasing number of points of  $H_f < H_s$  in Figure 3c contributed to the increasing rising speed of  $H_s$ . The peaks in the  $H_s$  curve on these two days in Figure 4a reached more than 300 Wm<sup>-2</sup>; so, when  $H_s > 300$  Wm<sup>-2</sup>, the number of points of  $H_f < H_s$  dominated. Oscillation was observed when  $H_s$  rose above 200 Wm<sup>-2</sup>, so some  $H_f > H_s$  points were introduced.

In contrast, in Figure 4b, the number of points of  $H_c < H_s$  changed within a small variation range, and  $H_s$  stayed above  $H_c$  only near its peak value. When  $H_s$  rose above 250 Wm<sup>-2</sup> (Figure 4b), some points of  $H_c < H_s$  occurred due to the disturbance caused by the metal bar near the outer weather station.

In summary, the overall trend in Figure 4a was  $H_c > H_f > H_s$  when  $H_s < 200 \text{ Wm}^{-2}$ , and  $H_c > H_s > H_f$  when  $H_s > 200 \text{ Wm}^{-2}$ . According to Figure 4a,b, the fluctuation range of  $H_f$  was the smallest among the four curves.

The pass band characteristics of LMS filters in Section 3.2 are shown in Figure 5. And the FFTs of  $H_{out}$  in Section 3.2 are also shown in this figure. The pass band characteristics of LMS filters in these six days were all low pass. The low pass characteristic made  $H_f$  smoother than  $H_{out}$ , which was the smoothest among  $H_{out}$ ,  $H_c$ , and  $H_s$ . The low pass characteristic also made the LMS filter immune to temporary disturbances, which were common in many greenhouse applications.



**Figure 5.** Frequency responses of LMS filter on sunny, partly cloudy, and overcast days, (**a**) 11, (**b**) 12, (**c**) 5, (**d**) 10, (**e**) 2, and (**f**) 8 December.

We found the LMS filter is not applicable if research focuses on the fluctuations in solar radiation due to its low pass characteristic.

3.3.2. Overall Performance of Tomato Water Requirement Calculation

According to the procedure in Section 3.2.2, we calculated the  $V_c$ ,  $V_f$ , and  $V_s$ . of each day during the experimental period, as shown in Figure 6. The overall trend of these three values was the same: they all increased when cloud cover decreased.  $V_f$  was close to  $V_s$ . when cloud cover was lower, and to  $V_c$  when cloud cover was higher.



**Figure 6.** Tomato daily water requirements calculated according to different solar radiation estimation methods during experimental period, and water requirement computed according to data collected from sensors inside the greenhouse.

The scatter plots of V<sub>f</sub>–V<sub>s</sub>. and V<sub>c</sub>–V<sub>s</sub>. are shown in Figure 7, and the fitting functions of V<sub>f</sub>–V<sub>s</sub>. and V<sub>c</sub>–V<sub>s</sub>. are y = 0.8470x + 102.2 and y = 0.9656x + 74.6, respectively. As shown in Figure 7, V<sub>f</sub> was close to V<sub>c</sub> when V<sub>s</sub>. < 400 mL and V<sub>f</sub> was close to V<sub>s</sub>. when V<sub>s</sub>. > 400 mL. The difference between V<sub>f</sub> and  $V_s$ . decreased as  $V_s$ . increased but, conversely, the trend in the difference between  $V_c$  and  $V_s$ . was not the same as  $V_f-V_s$ . When  $V_s$ . rose above 600 mL plant<sup>-1</sup>, the values of  $V_f$  and  $V_s$ . were almost the same; when  $V_s$ . dropped below 400 mL plant<sup>-1</sup>, the values of  $V_f$  and  $V_c$  were almost the same.



Figure 7. Scatter plot of  $V_f$ - $V_s$ . and  $V_c$ - $V_s$ . during experimental days.

 $PE_{fs}$ ,  $PE_{cs}$ , and  $K_t$  are shown in Figure 8. We found an opposite trend between PEs and  $K_t$ . The lowest value of  $PE_{fs}$  was lower than that of  $PE_{cs}$ . Among 2, 8, 14, 22, and 24 December, in which  $K_t$  was greater than 40%,  $PE_{fs}$  was close to  $PE_{cs}$  and  $PE_{fs} < PE_{cs}$  on other days. Among 1, 2, 11, and 12 December,  $PE_{fs}$  was almost 0.



Figure 8. Daily average  $K_t$  and  $PE_{fs}$ ,  $PE_{cs}$  during experimental period.

### 4. Discussions

The four evaluation parameters of  $H_f$ – $H_s$  and  $H_c$ – $H_s$  on days in Section 3.2 were computed according to Equations (1) and (3)–(5), as shown in Table 3. Studies proved that estimation of solar radiation in hourly intervals was good enough if rRMSE ranged from 34% to 41% [16,47,48], so conclusions can be drawn as follows. Under sunny conditions, the estimation of  $H_f$ – $H_s$  was more accurate than  $H_c$ – $H_s$  and both of the methods were good enough on 11 and 12 December. Under partly cloudy conditions, the estimations of  $H_f$ – $H_s$  and  $H_c$ – $H_s$  were all good enough, with rRMSE in both cases below 41% on 5 December. Due to the rRMSE of  $H_f$ – $H_s$  being above 41%, only the estimation of  $H_c$ – $H_s$  was good enough on 10 December. Under overcast conditions, the estimations of  $H_f$ – $H_s$  and

 $H_c-H_s$  were all poor, with rRMSE above 41% on 2 December and rRMSE of  $H_f-H_s$  above 41%. Only estimation of  $H_c-H_s$  was acceptable on 8 December.

Date		Evaluation Parameter					
Duit	-	R <sup>2</sup>	RMSE (Wm <sup>-2</sup> )	rRMSE (%)	MAE (Wm <sup>-2</sup> )		
2 December	H <sub>f</sub> -H <sub>s</sub>	0.4123	57.3	47.5	37.2		
	H <sub>c</sub> –H <sub>s</sub>	0.6535	44.0	36.4	29.9		
8 December	H <sub>f</sub> -H <sub>s</sub>	0.1153	55.5	59.6	38.6		
	$H_c-H_s$	0.7767	27.9	30.0	11.8		
5 December	H <sub>f</sub> -H <sub>s</sub>	0.9056	33.5	19.6	27.4		
	$H_c-H_s$	0.8972	34.8	20.4	28.0		
10 December	H <sub>f</sub> -H <sub>s</sub>	0.7909	43.9	31.5	34.4		
	$H_c-H_s$	0.9393	23.7	16.7	18.5		
11 December	H <sub>f</sub> -H <sub>s</sub>	0.9630	18.1	9.8	14.3		
	H <sub>c</sub> –H <sub>s</sub>	0.6231	50.3	31.6	50.3		
12 December	H <sub>f</sub> -H <sub>s</sub>	0.9525	21.1	10.7	15.5		
	H <sub>c</sub> -H <sub>s</sub>	0.6147	51.0	30.6	51.0		

Table 3. Evaluation parameters of solar radiation estimation under different weather conditions.

Badescu et al. (2013, 2014) and Son et al. (2018) prove the estimation accuracy of solar radiation decreases with increasing cloud cover [47–49]. Additionally, the decreasing of estimation accuracy lies in the increasing portion of diffuse solar radiation, which is always measured at a lower accuracy [48]. Since outer solar radiation is decomposed into beam and diffuse parts in our study, estimation accuracy is also affected if cloud cover increases. However, with the LMS filter being introduced, estimation accuracy of ours is affected less than in other methods because of the LMS filter's low pass characteristic. In contrast, the estimation accuracy of  $H_c$ – $H_s$  proved to be the best on partly cloudy days, the worst on sunny days, and medium on overcast days. In the study of Huang et al. (2019) and Tong et al. (2017) the fluctuation of  $H_i$  tends to be larger at noon [14,25], and the same trend was found in our study. It is a common phenomenon that large fluctuations appear in the data on a sunny noon, but to our knowledge the mechanism of this phenomenon needs further analysis.

The evaluation parameters of  $H_f-H_s$ ,  $H_c-H_s$ ,  $V_f-V_s$ . and  $V_c-V_s$ . during experimental days computed according to Equations (1) and (3)–(5) are shown in Table 4. The results indicate that estimation of  $H_f-H_s$  was more accurate than  $H_c-H_s$ , so the proposed method proves to be more accurate than astronomy and geometry method [16,17]. Additionally, rRMSE of  $H_f-H_s$  is within the range between 34% and 41% [16,48], so this method is acceptable in solar radiation estimation. In the study of Ahamed et al. (2018), the evaluation parameters of solar radiation estimation proved to be  $R^2 = 0.71$ , RMSE = 68.34 Wm<sup>-2</sup>, and rRMSE = 30.54% in contrast [16]. Moreover, film transmittances are still important factors in our method and their values are not constant in applications, therefore, the accuracy of our model is affected by transmittances variations.

Table 4. Evaluation parameters of solar radiation estimation and tomato water requirement calculation.

<b>Evaluation Parameter</b>	H <sub>f</sub> -H <sub>s</sub>	H <sub>c</sub> -H <sub>s</sub>	<b>Evaluation Parameter</b>	$V_f - V_s$	$V_c - V_s$
R <sup>2</sup>	0.8384	0.8084	R <sup>2</sup>	0.9123	0.7598
RMSE (Wm <sup>-2</sup> )	37.6	40.9	RMSE (mL·plant <sup>-1</sup> )	40.4	64.8
rRMSE (%)	23.1	25.1	rRMSE (%)	8.8	14.1
MAE (Wm <sup>-2</sup> )	25.4	29.6	MAE (mL·plant <sup>-1</sup> )	31.5	58.6

In the studies of Gueymard and Myers (2008), data filtering is considered as an important factor to improve solar radiation sensing accuracy [50]. In our study, the LMS filter performs low pass filtering and the operation of Equation (16) is also low pass filtering. So, the calculation of V<sub>f</sub> performs a two-stage low pass filtering and the evaluation parameters of V<sub>f</sub>–V<sub>s</sub>. tend to be even better. The results

of our study show the impacts introduced by fluctuations, especially in cloudy and overcast weather conditions. The results of our study have also proved the importance of filtering in both solar radiation estimation and water requirement calculation.

However, the following points need to be fully improved. Firstly, the accuracy of the model is affected by cloud cover. For better performance, the new methods in the measure/estimate diffuse of solar radiation with more accuracy are to be developed. Secondly, real-time transmittance computation should be an important part of the model for better accuracy. Thirdly, we conduct research supposing the CSG is at east-west orientation with no incline. However, some CSGs are built with an incline due to terrain restrictions. Finally, long term analysis is to be conducted for better use of our model.

In our study, with no indoor sensors needed, the cost of solar radiation sensing is little. In the literature of Villarrubia et al. (2017), the cost of an irrigation system is acceptable when cost amounts to  $100 \text{€}/250 \text{ m}^2$  [51]. Therefore, our research reduces the expense of solar radiation sensing and is contributing to the promotion of precision agriculture in CSGs.

# 5. Conclusions

In this study, solar radiation inside a CSG was estimated based on an LMS filter, and then the tomato water requirement was calculated according to the estimation data. The performance of both solar radiation estimation and water requirement calculation were compared to the corresponding methods based on knowledge of astronomy and geometry.

The results showed that the fitting function of estimation data based on the LMS filter and data collected from sensors inside the greenhouse was y = 0.7634x + 50.58, with the evaluation parameters of  $R^2 = 0.8384$ , rRMSE = 23.1%, RMSE = 37.6 Wm<sup>-2</sup>, and MAE = 25.4 Wm<sup>-2</sup>. The fitting function of the water requirement calculated according to the proposed method and data collected from sensors inside the greenhouse was y = 0.8550x + 99.10 with the evaluation parameters of  $R^2 = 0.9123$ , rRMSE = 8.8%, RMSE = 40.4 mL plant<sup>-1</sup>, and MAE = 31.5 mL plant<sup>-1</sup>.

The low pass characteristic of the LMS filter leads to the following two results. First, the performance of the proposed method is more accurate than that of the contrastive method. Second, the proposed method performs well on sunny days but performs worse on party cloudy and overcast days. In addition, LMS is easy to be performed in microcontrollers. Therefore, the method is proved to be efficient and low cost in both solar radiation estimation and tomato water requirement calculation. However, it is not applicable if focusing on the fluctuations of solar radiation inside a greenhouse.

**Supplementary Materials:** The following are available online at http://www.mdpi.com/1424-8220/20/1/155/s1, Figure S1: Diagram of sensor placement inside the greenhouse, Figure S2: Daily average greenhouse global transmittance (τg) calculated from data from exterior and interior inside sensors and the overall average value of τg during experiment, Figure S3: Growing degree days (GDD) during experimental days, Figure S4: Basic diagram of adaptive filter. Data file S1: Indoor solar radiation and temperature data file, values\_in\_copy.xlsx, Data file S2: Outside solar radiation data file, values\_out\_12.xlsx. Program file S1: LMS function and outcome display program file, lmsRev3.py.

**Author Contributions:** Conceptualization: D.Z.; methodology: Z.S., J.J., Q.L., and Y.W.; software development: D.Z. and Y.S.; data analysis: D.Z.; writing: D.Z.; review and editing: Y.W.; supervision: T.Z. All authors have read and agreed to the published version of the manuscript.

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# Nomenclature

- $H_s$  solar radiation inside greenhouse measured by sensors (Wm<sup>-2</sup>)
- $H_f$  solar radiation inside greenhouse estimated by LMS filter (Wm<sup>-2</sup>)
- H<sub>c</sub> solar radiation inside greenhouse estimated by astronomy and geometry method (Wm<sup>-2</sup>)
- H<sub>out</sub> horizontal solar radiation outside the greenhouse (Wm<sup>-2</sup>)
- $H_0$  extraterrestrial solar radiation (Wm<sup>-2</sup>)
- Kt clearness index (dimensionless)
- $H_i$  solar radiation inside greenhouse (Wm<sup>-2</sup>)
- $H_b$  beam part of extraterrestrial solar radiation (Wm<sup>-2</sup>)
- $H_d$  diffuse part of extraterrestrial solar radiation (Wm<sup>-2</sup>)
- $\tau_b$  film transmittance of beam radiation (dimensionless)
- $\tau_d$  film transmittance of beam radiation (dimensionless)
- $\tau_{g}$  greenhouse transmittance (dimensionless)
- $R_i$  energy intercepted by canopy (MJ m<sup>-2</sup> day<sup>-1</sup>)
- $R_s$  energy intercepted by canopy calculated by sensor data (MJ m<sup>-2</sup> day<sup>-1</sup>)
- $R_f$  energy intercepted by canopy calculated by LMS method (MJ m<sup>-2</sup> day<sup>-1</sup>)
- $R_c$  energy intercepted by canopy calculated by astronomy and geometry method (MJ m<sup>-2</sup> day<sup>-1</sup>)
- $V_u$  water requirement volume (mL plant<sup>-1</sup>)
- V<sub>s</sub> water requirement volume calculated by sensor data (mL plant<sup>-1</sup>)
- V<sub>f</sub> water requirement volume calculated by LMS method (mL plant<sup>-1</sup>)
- V<sub>c</sub> water requirement volume calculated by astronomy and geometry method (mL plant<sup>-1</sup>)
- $G_{sc}$  solar constant (1367 Wm<sup>-2</sup>)
- δ daily solar declination (degree)
- $\omega_s$  sunset hour angle (degree)
- $\phi$  latitude of the location (degree)
- $n_{day} \quad \ \text{the day number of the year (dimensionless)}$
- LAI leaf area index (dimensionless)
- k extinction factor (dimensionless)
- GDD growing degree days (dimensionless)
- T<sub>s</sub> sampling interval(s)
- T<sub>start</sub> start time of water requirement calculation (hh: mm)
- T<sub>stop</sub> stop time of water requirement calculation (hh: mm)
- μ step size of LMS filter (dimensionless)
- $\lambda^*$  latent heat of vaporization (2.45 MJ kg<sup>-1</sup>)
- L length of LMS filter (dimensionless)
- $\lambda$  eigenvalue of auto correlation matrix of the input signal
- R<sub>xx</sub> auto correlation matrix of the input signal
- $R_{\rm dx}$  cross correlation matrix of the input and desired signals
- PE<sub>cs</sub> water volumes percent error calculated according to astronomy and geometry method and sensors data (dimensionless)
- PE<sub>fs</sub> water volumes percent error calculated according to LMS method and sensors data (dimensionless)

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