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# A Passenger-Oriented Optimization Model for Implementing Energy-Saving Strategies in Railway Contexts

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Received: 5 October 2018; Accepted: 22 October 2018; Published: 29 October 2018



**Abstract:** Rail and metro systems are characterized by high-performing and environmentally friendly features that make them a crucial factor for driving modal split towards public transport modes, thus reducing private car use and related externalities (such as air and noise pollution, traffic congestion and accidents). Within this framework, the implementation of suitable energy-saving policies, allowing to reduce energy consumption, but, at the same time, preserving timetable stability and passengers' satisfaction, may turn out to be imperative. In particular, this study aims to develop an analytical framework for properly supporting the implementation of eco-driving strategies in a passenger-oriented perspective. An application to a rail line in southern Italy is performed so as to demonstrate the usefulness of the proposed approach in determining the optimal compromise between energy reductions and travel time increases.

**Keywords:** energy saving policies; passengers' satisfaction; railway system; reserve time allocation; travel demand estimation

## 1. Introduction

Rail and metro systems, thanks to their high performance and sustainability characteristics, have a key role with a view to reducing side effects related to transport sector, thus properly promoting a sustainable development of urban and metropolitan regions [1]. In particular, transport modes based on railway technology present a more favorable ratio between operational costs (including energy consumption) and transport capacity with respect to other mobility systems. Therefore, the necessity of fully exploiting such energy efficiency and the ever-increasing cost of energy resources make the implementation of energy-saving measures a crucial factor in the management of rail systems.

Within this framework, our aim is to provide a decision support system (DSS) for the implementation of eco-driving strategies in the case of rail/metro systems. More in detail, such strategies can follow different approaches; however, whatever the implemented method, they produce an increase in train running times which provides two main implications. First of all, the adoption of such measures is feasible only if there is an extra-time availability to be exploited for compensating the increase in running times, without prejudicing timetable stability. These time resources have to be adequately designed in the timetable planning phase and, therefore, a proper tool for allowing a reliable estimation of them has been developed. The second implication concerns service quality and passengers' satisfaction. Indeed, an increase in train running time is equivalent to an increase in user travel times, with a consequent degradation in system performance. Hence, the aim is to provide a suitable tool for evaluating the trade-off between the reduction in energy consumption and the increase in passenger discomfort. For this twofold purpose, proper simulation models and travel

demand estimation techniques have been applied. Moreover, the interaction between rail service and passenger flows has been modelled by means of a microscopic approach. Finally, a bilevel constrained optimization problem has been formulated and solved in the case of a real rail network, so as to show the effectiveness of the proposed methodology.

Specifically, in this paper, we provide an extension of the authors' research in determining the optimal compromise between energy reductions and travel time increases [2], proposed at the 18th IEEE International Conference on Environment and Electrical Engineering (IEEE EEEIC 2018) and 2nd Industrial and Commercial Power Systems Europe (I&CPS 2018). More in detail, the work was enriched by deeply analyzing the analytical formulation of the problem and investigating related theoretical properties. Moreover, a bilevel solution algorithm was developed and numerical applications were extended.

The paper is organized as follows: Section 2 analyzes the main contributions in the literature; Section 3 provides the analytical formulation of the proposed approach with the definition of related theoretical properties and adopted solution algorithms; Section 4 applies the proposed methodology in the case of a regional rail line; finally, conclusions and research prospects are summarized in Section 5.

#### 2. Literature Review

Researchers and practitioners have developed different strategies, such as the adoption of eco-driving profiles, the regenerative braking, the adjustment of timetables, the exploitation of on-board and way-side storage systems, the use of reversible substations. Clearly, they are strictly related to each other. In particular, the design of energy-efficient speed profiles consists in identifying the pattern which minimizes the tractive energy consumption, given a running time to be respected (see, for instance, [3–5]); while, strategies based on the exploitation of regenerative braking aim to reuse the amount of kinetic energy produced during the braking phase by converting it back to the electrical one. In this case, the traction motor acts also as a generator and the recovered energy can be used at the exact time or stored for later use by means of energy storage devices. For instance, an on-board storage device allows to temporarily accumulate the excess regenerated energy and release it for the next acceleration phase of the same train (see, for instance, [6-8]); while, the aim of a wayside storage device is to release it when required for other convoys' acceleration (see, for instance, [9,10]). On the other hand, when no storage devices are available, a timetable optimization, aimed at synchronizing acceleration and deceleration phases of convoys operating in the network, represents a key task for maximizing the receptivity of the line (see, for instance, [11-14]). Additionally, the role of an energy-efficient timetabling phase lies in a suitable design of all operational times involved, such as running times, buffer times, dwell times and reserve times [15–18]. Moreover, by means of reversible or active substations, the regenerated energy can also be traced back to the medium voltage distribution network [19,20]. An extensive overview of regenerative braking issues and energy storage systems, together with the above-mentioned related concerns, can be found, respectively, in [21,22].

This work, instead, is focused on strategies involving the design of suitable speed profiles and the optimization of operational parameters within the timetable by taking into account effects on user perspectives. Indeed, recently, numerous studies have been developed for analyzing user behaviors and related quality perceptions (see, for instance, [23–35]) in the case of transportation systems, including rail and metro systems.

Specifically, according to the literature, the above-mentioned techniques can be applied separately, by addressing individually the design of energy-efficient driving profiles [36,37] and the optimization of operational times within the timetable [38,39] or, more frequently, in an integrated framework. In this context, Li and Lo [40] proposed a train control approach, based on an optimization model, which combines energy-efficient timetables and speed profiles within a dynamic framework providing the adjustment of the cycle time according to travel demand changes. Moreover, Scheepmaker and Goverde [41] developed a nested optimization framework in which the optimal cruising speed is defined by means of the outer loop of the Fibonacci algorithm [42,43]; while, in the inner loop,

the bisection method computes, for the given cruising speed, the optimal switching points of the coasting phase. Furthermore, Sicre et al. [44] proposed a simulation-based optimization procedure in which the simulation model provides the most energy-efficient driving profile, by computing energy consumption Pareto curves for each stretch, and the optimization tool allocates the total running reserve time available in the most efficient way among the different stretches. Finally, Feng et al. [45] enriched the common optimization framework, which combined energy control strategies with a suitable design of operational times, by performing the estimation of dwell times at stations as flow-dependent factors. It is noting that both manual [46–48] and automatic [49–51] driving systems have been considered.

As regard to the adopted methodological approaches, the most common methods for analyzing these strategies are based on integrated simulation-optimization techniques, both in off-line [52,53] and real-time [54–61] contexts. On the other hand, analytical approaches for modelling the implementation of ES strategies can be found in [4,62–64].

Several works merged the energy-saving perspective with operational issues. Within this framework, several authors [65–67] analyzed the relation between energy-efficient strategies and stability of the planned timetable; Feng et al. [68] evaluated the utilization rate of train capacity resulting from the implementation of energy-saving strategies; Canca [69] compared the minimum-energy timetable with those obtained by taking into account also rolling stock and other operational costs. Authors' proposal, instead, consists in a passenger-oriented bi-objective framework, in which the energy perspective is combined with the evaluation of passengers' satisfaction, expressed in term of user generalized cost. The aim is to identify the optimal compromise between energy reductions and travel time increases, thus allowing a minimization of consumption without penalizing passengers' needs.

#### 3. The Proposed Methodology

As shown by [70,71], in the case of a rail (or metro) line with two-track sections, the minimum time required by a rail convoy to perform a complete trip may be calculated as follows:

$$CT_{\min} = TRT_{ot}^{TO} + TDT_{ot} + it_{ot} + TRT_{rt}^{TO} + TDT_{rt} + it_{rt}$$
(1)

with:

$$TRT_{ot}^{TO} = \sum_{lot} rt_{lot}^{TO}$$
<sup>(2)</sup>

$$TRT_{rt}^{TO} = \sum_{lrt} rt_{lrt}^{TO}$$
(3)

$$TDT_{ot} = \sum_{sot} dt_{sot} \tag{4}$$

$$TDT_{rt} = \sum_{srt} dt_{srt}$$
(5)

where  $CT_{min}$  is the minimum cycle time;  $TRT_{ot}^{TO}$  and  $TRT_{rt}^{TO}$  are the Total Running Times associated, respectively, with the outward trip (ot) and the return trip (rt) in the Time Optimal (TO) condition;  $TDT_{ot}$  and  $TDT_{rt}$  are the Total Dwell Times associated, respectively, with the outward trip (ot) and the return trip (rt);  $it_{ot}$  and  $it_{rt}$  are the inversion times (i.e., times spent to prepare the rail convoy to perform the subsequent trip) associated, respectively, with the outward trip (ot) and the return trip (rt);  $rt_{lot}^{TO}$  and  $rt_{lrt}^{TO}$  are the running times associated, respectively, with links belonging to the outward trip (lot) and the return trip (lot) and the return trip (lot) and the return trip (lot) in the Time Optimal (TO) condition;  $dt_{sot}$  and  $dt_{srt}$  are the dwell times associated, respectively, with station platforms in the case of outward trip (sot) and return trip (srt).

Since terms of Equation (1), as well as terms of Equations (2)–(5), have to be considered as realizations of random (i.e., stochastic) processes due to their variability, the minimum cycle time may be formulated as follows:

$$CT_{\min} = CT_{\min} + \varepsilon_{CT} \tag{6}$$

with:

$$\overline{CT}_{\min} = E[CT_{\min}]$$

$$\varepsilon_{CT} = \Omega_{CT}(h_{CT})$$
(7)

where  $\overline{CT}_{min}$  is the mathematical expectation (i.e., the first moment or mean) of variable  $CT_{min}$ ;  $\varepsilon_{CT}$  is the random residual of  $CT_{min}$ ;  $\Omega_{CT}(\cdot)$  is the statistical distribution of  $\varepsilon_{CT}$ ;  $h_{CT}$  is the vector of parameters of statistical distribution  $\Omega_{CT}(\cdot)$ .

Since a delay occurs when the real cycle time is higher than the planned one (i.e., a train arrives later than scheduled), in order to minimize delays and, hence, assure timetable stability, it is necessary to adopt proper buffer times for compensating time increases, that it:

$$CT_{plan} = \overline{CT}_{\min} + TBT \tag{8}$$

where  $CT_{plan}$  is the planned cycle time; TBT is the Total Buffer Time.

Increases in cycle time with respect to the average value may be compensated until the buffer time is reached. This implies that a train may respect the timetable whenever the increase is not higher that buffer time value, that is:

$$TBT \ge \varepsilon_{CT}$$

Since the statistical distribution of  $\varepsilon_{CT}$  is known (or may be determined), it is possible to calculate the value of buffer time for any fixed confidence level  $\theta$  by means of Equation (7). Indeed, a planned cycle time respects the timetable with a confidence level equal to  $\theta$  only if:

$$\Pr\left(CT_{plan} \ge CT_{\min}\right) = \theta$$

which, by means of Equations (6) and (8), may be expressed as:

$$\Pr(TBT \ge \varepsilon_{CT}) = \theta$$

The cumulative distribution of  $\Omega_{CT}(\cdot)$  expresses the delay (when  $\varepsilon_{CT}$  is non-negative) or the advance (when  $\varepsilon_{CT}$  is negative) of a train with respect to its average trip duration (i.e.,  $\overline{CT}_{\min}$  value). Hence, as shown in Figure 1, once fixed a confidence level  $\theta$ , it is possible to determine the corresponding value of random residual  $\varepsilon_{CT}$ , which expresses the maximum delay associated with confidence level  $\theta$ . Then, if we assume a buffer time *TBT* equal to this maximum delay, the planned cycle time  $CT_{plan}$  will be not lower than the real cycle time  $CT_{plan}$  with a confidence level  $\theta$ . Obviously, higher the confidence level, higher the value of buffer time *TBT*.

Conventionally, in order to preserve departure times at the terminals, the buffer time is calculated separately for the outward trip and the return trip, that is:

$$TBT = bt_{ot} + bt_{rt}$$

where  $bt_{ot}$  and  $bt_{rt}$  are the buffer times associated, respectively, with the outward trip (*ot*) and the return trip (*rt*).

The number of rail convoys required to perform a frequency service on a single line may be calculated as follows:

$$NC = CT_{serv}/H \tag{9}$$

where *NC* is the number of rail convoys;  $CT_{serv}$  is the service cycle time; *H* is the average headway between two successive rail convoys.



**Figure 1.** Cumulative distribution of  $\Omega_{CT}(\cdot)$ .

Since the number of rail convoys to adopt to perform the service has to be a positive integer number (i.e.,  $NC \in Z^+$ ), Equation (9) may be expressed in terms of planned cycle time  $CT_{plan}$  as follows:

$$NC = \begin{cases} \operatorname{int} \left( CT_{plan}/H \right) + 1 & \operatorname{if int} \left( CT_{plan}/H \right) < \left( CT_{plan}/H \right) \\ \operatorname{int} \left( CT_{plan}/H \right) & \operatorname{if int} \left( CT_{plan}/H \right) = \left( CT_{plan}/H \right) \end{cases}$$
(10)

Hence, it is possible to express the service cycle time  $CT_{serv}$  as follows:

$$CT_{serv} = CT_{plan} + TLT^{TO}$$
<sup>(11)</sup>

with:

$$TLT^{TO} = CT_{plan} - \operatorname{int}\left(\frac{CT_{plan}}{H}\right) \cdot H$$

where *TLT<sup>TO</sup>* is the Total Layover Time in the Time Optimal (*TO*) scenario which expresses the time that a train spent at the initial station waiting for the departure time according to the planned timetable. However, the layover time is defined separately for the outward trip and the return trip for preserving departure times at the terminals, that is:

$$TLT^{TO} = lt_{ot}^{TO} + lt_{rt}^{TO}$$

where  $lt_{ot}^{TO}$  and  $lt_{rt}^{TO}$  are the layover times associated, respectively, with the outward trip (*ot*) and the return trip (*rt*), in the case of the Time Optimal (*TO*) scenario.

Let  $\alpha_{TLT}$  be the partition rate of the  $TLT^{TO}$ , we may express the layover times as follows:

$$lt_{ot}^{TO} = \alpha_{TLT} \cdot TLT^{TO}$$
$$lt_{rt}^{TO} = (1 - \alpha_{TLT}) \cdot TLT^{TC}$$

with  $\alpha_{TLT} \in [0; 1]$ .

Since buffer and layover times express phases where the train is steady on the track, in order to preserve the headway, it is necessary to satisfy the following constraints:

$$bt_{ot} + lt_{ot}^{TO} \le H$$
  
 $bt_{rt} + lt_{rt}^{TO} \le H$ 

which imply constraints on the partition rate of the *TLT<sup>TO</sup>* and the number of rail convoys. Specifically, these constraints may be expressed as follows:

$$\alpha_{TLT} \in \left[ \max\left\{ 0 \; ; \; 1 \; - \; \frac{H - bt_{rt}}{TLT^{TO}} \right\} \; ; \; \min\left\{ \frac{H - bt_{ot}}{TLT^{TO}} \; ; \; 1 \right\} \right] \tag{12}$$

$$NC \in \left[ \operatorname{int}\left(\frac{CT_{plan}}{H}\right) + 1 \text{ ; } \operatorname{int}\left(2 + \frac{CT_{plan} - TBT}{H}\right) \right]$$
 (13)

Moreover, since the layover time allocation (i.e., parameter  $\alpha_{TLT}$ ) affects the minimum headway of the line, it is necessary to verify whether the proposed service is feasible by means of the following condition:

$$H \ge H_{\min}(\alpha_{TLT}) \tag{14}$$

where  $H_{\min}(\cdot)$  is the minimum headway of the line, depending on parameter  $\alpha_{TLT}$ .

The implementation of Energy Saving Strategies (*ESSs*) consists in adopting suitable strategies (such as proper speed profiles and/or the use of recovery devices) so to reduce energy consumption, that is:

$$TEC^{ESS} < TEC^{TO}$$

where *TEC*<sup>ESS</sup> and *TEC*<sup>TO</sup> are the Total Energy Consumptions associated, respectively, with the implementation of the Energy Saving Strategy (*ESS*) and the Time Optimal (*TO*) scenario without any recovery device.

Neglecting the use of recovery devices, these strategies imply an increase in running times, that is:

$$TRT_{ot}^{ESS} \ge TRT_{ot}^{TO}$$
$$TRT_{rt}^{ESS} \ge TRT_{rt}^{TO}$$
$$TRT_{ot}^{ESS} = \sum_{lot} rt_{lot}^{ESS}$$
$$TRT_{rt}^{ESS} = \sum_{lrt} rt_{lrt}^{ESS}$$

with:

where 
$$TRT_{ot}^{ESS}$$
 and  $TRT_{rt}^{ESS}$  are the Total Running Times associated, respectively, with the outward trip (*ot*) and the return trip (*rt*) in the case of Energy Saving Strategy (*ESS*) implementation;  $rt_{lot}^{ESS}$  and  $rt_{lrt}^{ESS}$  are the running times associated, respectively, with links belonging to the outward trip (*lot*) and the return trip (*lrt*) in the case of Energy Saving Strategy (*ESS*) implementation. Hence, to preserve service performance in terms of timetable stability and service frequencies, it is necessary to compensate for the increase in running times by means of reduction in layover times, that is:

$$\left( TRT_{ot}^{ESS} - TRT_{ot}^{TO} \right) = \left( lt_{ot}^{TO} - lt_{ot}^{ESS} \right)$$
$$\left( TRT_{rt}^{ESS} - TRT_{rt}^{TO} \right) = \left( lt_{rt}^{TO} - lt_{rt}^{ESS} \right)$$

which imply:

$$\begin{split} lt_{ot}^{TO} &\geq lt_{ot}^{ESS} \\ lt_{rt}^{TO} &\geq lt_{rt}^{ESS} \end{split}$$

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$$\left(TRT_{ot}^{ESS} - TRT_{ot}^{TO}\right) + \left(TRT_{rt}^{ESS} - TRT_{rt}^{TO}\right) = \left(TLT^{TO} - TLT^{ESS}\right)$$

where  $lt_{ot}^{ESS}$  and  $lt_{rt}^{ESS}$  are the layover times associated, respectively, with the outward trip (*ot*) and the return trip (*rt*), in the case of the Energy Saving Strategy (*ESS*); *TLT*<sup>ESS</sup> is the Total Layover Time in the case of Energy Saving Strategy (*ESS*).

## 3.1. Optimization Problem Formulation

In order to reduce the negative impacts on passengers due to the implementation of Energy Saving Strategies, it is necessary to identify the optimal compromise between travel time increase and energy reduction. Hence, assuming the adoption of a strategy based on the definition of lower speed limits (for details, see [70,71]), we propose to solve the following bilevel optimization problem, where the *upper level*, whose aim is to identify the partition rate, which minimizes an objective function, may be formulated as follows:

$$\hat{\alpha}_{TLT} = \arg\min_{\alpha_{TLT}} Z\left(\hat{v}_{ot}^{\lim}(\alpha_{TLT}), \, \hat{v}_{rt}^{\lim}(\alpha_{TLT}), \, H, \, NC\right)$$
(15)

subject to:

$$\alpha_{TLT} \in \left[ \max\left\{ 0 \; ; \; 1 \; - \; \frac{H - bt_{rt}}{TLT^{TO}} \right\} \; ; \; \min\left\{ \frac{H - bt_{ot}}{TLT^{TO}} \; ; \; 1 \right\} \right] \tag{16}$$

$$NC \in \left[ \operatorname{int}\left(\frac{CT_{plan}}{H}\right) + 1 \text{ ; } \operatorname{int}\left(2 + \frac{CT_{plan} - TBT}{H}\right) \right]$$
 (17)

$$H \ge H_{\min}(\alpha_{TLT}) \tag{18}$$

with:

$$Z\left(\hat{v}_{ot}^{\lim}(\alpha_{TLT}), \hat{v}_{rt}^{\lim}(\alpha_{TLT}), H, NC\right) = TEC^{ESS}\left(\hat{v}_{ot}^{\lim}(\alpha_{TLT}), \hat{v}_{rt}^{\lim}(\alpha_{TLT}), H, NC\right) + TUGC^{ESS}\left(\hat{v}_{ot}^{\lim}(\alpha_{TLT}), \hat{v}_{rt}^{\lim}(\alpha_{TLT}), H, NC\right)$$
(19)

$$TEC^{ESS}\left(\hat{v}_{ot}^{\lim}(\alpha_{TLT}), \hat{v}_{rt}^{\lim}(\alpha_{TLT}), H, NC\right) = \beta_{VOE} \cdot n_{trip}(H, NC) \cdot \left(EC_{ot}^{ESS}\left(\hat{v}_{ot}^{\lim}(\alpha_{TLT})\right) + EC_{rt}^{ESS}\left(\hat{v}_{rt}^{\lim}(\alpha_{TLT})\right)\right)$$
(20)

$$EC_{ot}^{ESS}\left(\hat{v}_{ot}^{\lim}(\alpha_{TLT})\right) = \int_{o}^{CT_{ot}^{srv}} T\left(\tilde{v}_{ot}^{ESS}\left(\hat{v}_{ot}^{\lim}(\alpha_{TLT}), \tau\right)\right) \cdot \tilde{v}_{ot}^{ESS}\left(\hat{v}_{ot}^{\lim}(\alpha_{TLT}), \tau\right) \cdot d\tau$$
(21)

$$EC_{rt}^{ESS}\left(\hat{v}_{rt}^{\lim}(\alpha_{TLT})\right) = \int_{0}^{CT_{rt}^{serv}} T\left(\tilde{v}_{rt}^{ESS}\left(\hat{v}_{rt}^{\lim}(\alpha_{TLT}), \tau\right)\right) \cdot \tilde{v}_{ot}^{ESS}\left(\hat{v}_{rt}^{\lim}(\alpha_{TLT}), \tau\right) \cdot d\tau$$
(22)

$$TUGC^{ESS}\left(\hat{v}_{ot}^{\lim}\left(\alpha_{TLT}\right), \hat{v}_{ot}^{\lim}\left(\alpha_{TLT}\right), H, NC\right)$$

$$= \beta_{VOBT} \cdot \sum_{i=1}^{n_{trip}(H, NC)} \left(\sum_{lot} obt_{lot}^{ESS}\left(\hat{v}_{ot}^{\lim}\left(\alpha_{TLT}\right)\right) \cdot f_{i,lot} + \sum_{lrt} obt_{lrt}^{ESS}\left(\hat{v}_{rt}^{\lim}\left(\alpha_{TLT}\right)\right) \cdot f_{i,lrt}\right)$$

$$+ \beta_{VOWT} \cdot \sum_{i=1}^{n_{trip}(H, NC)} \left(\sum_{sot} wt_{sot}(H) \cdot f_{i,sot} + \sum_{srt} wt_{srt}(H) \cdot f_{i,srt}\right)$$
(23)

and the *lower level*, whose aim is to identify speed limits which maximize running times, may be formulated as follows:

$$\hat{v}_{ot}^{\lim} = \arg\max_{v_{ot}^{\lim} > 0} TRT_{ot}^{ESS} \left( \alpha_{TLT} , v_{ot}^{\lim} \right)$$
(24)

$$\hat{v}_{rt}^{\lim} = \arg\max_{v_{rt}^{\lim} > 0} TRT_{rt}^{ESS} \left( \alpha_{TLT} , v_{rt}^{\lim} \right)$$
(25)

subject to:

$$v_{ot}^{ESS}(\tau) \le v_{ot}^{\lim} \quad \forall \tau \tag{26}$$

$$v_{rt}^{ESS}(\tau) \le v_{rt}^{\lim} \quad \forall \ \tau \tag{27}$$

$$TRT_{ot}^{ESS}\left(\alpha_{TLT}, v_{ot}^{ESS}(\tau)\right) \le TRT_{ot}^{TO} + lt_{ot}^{TO}(\alpha_{TLT}, H, NC)$$
(28)

$$TRT_{rt}^{ESS}\left(\alpha_{TLT}, v_{rt}^{ESS}(\tau)\right) \le TRT_{rt}^{TO} + lt_{rt}^{TO}(\alpha_{TLT}, H, NC)$$
(29)

where  $\hat{\alpha}_{TLT}$  is the optimal value of  $\alpha_{TLT}$ ;  $\hat{v}_{ot}^{\lim}$  and  $\hat{v}_{rt}^{\lim}$  are the optimal value of  $v_{ot}^{\lim}$  and  $v_{rt}^{\lim}$ ;  $v_{ot}^{\lim}$ and  $v_{rt}^{lim}$  are the speed limits associated, respectively, with the outward trip (*ot*) and the return trip (*rt*);  $Z(\cdot)$  is the objective function to be minimized in the upper level;  $TEC^{ESS}(\cdot)$  is the Total Energy Consumption in the case of Energy Saving Strategy (ESS);  $TUGC^{ESS}(\cdot)$  is the Total User Generalized Cost in the case of Energy Saving Strategy (ESS);  $\beta_{VOE}$  is a parameter which expresses the monetary cost of the energy (i.e., Value Of Energy);  $n_{trip}(.)$  is the daily number of complete trips depending on headway *H* and number of rail convoys NC;  $EC_{ot}^{ESS}(\cdot)$  and  $EC_{rt}^{ESS}(\cdot)$  are the Energy Consumption associated, respectively, with the outward trip (ot) and the return trip (rt) in the case of Energy Saving Strategy (ESS);  $CT_{ot}^{serv}$  and  $CT_{rt}^{serv}$  are the portion of  $CT_{serv}$  associated, respectively, with the outward trip (*ot*) and the return trip (*rt*), i.e.,  $CT_{ot}^{serv} + CT_{rt}^{serv} = CT_{serv}$ ;  $T(\cdot)$  is the tractive effort at rail wheels which depends on travel speed;  $\tilde{v}_{ot}^{ESS}(\cdot)$  and  $\tilde{v}_{rt}^{ESS}(\cdot)$  are the instantaneous travel speeds associated, respectively, with the outward trip (ot) and the return trip (rt) in the case of Energy Saving Strategy (*ESS*) and depending on speed limits  $\hat{v}_{ot}^{\lim}$  and  $\hat{v}_{ot}^{\lim}$ , and the generic time instant  $\tau$ ;  $\tau$  is the generic time instant;  $d\tau$  in the generic infinitesimal time interval;  $\beta_{VOBT}$  is a parameter which expresses the monetary value of the time spent on-board by passengers (i.e., Value of the On-Board Time);  $obt_{lot}^{ESS}(\cdot)$ and  $obt_{lrt}^{ESS}(\cdot)$  are the on-board times associated, respectively, with links belonging to the outward trip (*lot*) and return trip (*lrt*) in the case of Energy Saving Strategy (ESS), depending on speed limits  $\hat{v}_{ot}^{lim}$  and  $\hat{v}_{ot}^{\text{lim}}$ ;  $f_{i,lot}$  and  $f_{i,lrt}$  are the flows of passengers on board the rail convoy associated, respectively, with links belonging to the outward trip (*lot*) and return trip (*lrt*) during the *i*-th trip;  $\beta_{VOWT}$  is a parameter which expresses the monetary value of the time spent by passengers on the platform waiting for a train (i.e., Value of the Waiting Time);  $wt_{sot}(\cdot)$  and  $wt_{srt}(\cdot)$  are the waiting times associated, respectively, with station platforms in the case of outward trip (sot) and return trip (srt), depending on headway *H*;  $f_{i,sot}$  and  $f_{i,srt}$  are the flows of passengers waiting for a train associated, respectively, with station platforms in the case of outward trip (sot) and return trip (srt) during the *i*-th trip;  $v_{ot}^{ESS}(\cdot)$  and  $v_{rt}^{ESS}(\cdot)$ the value assumed by speed functions (i.e., driving speed profiles) associated, respectively, with the outward trip (ot) and the return trip (rt) in the case of Energy Saving Strategy (ESS) at generic time interval  $\tau$ .

The upper level (i.e., Equation (15)) consists in determining the optimal value of  $\alpha_{TLT}$  which minimizes the objective function  $Z(\cdot)$ , having fixed the headway (*H*), the number of rail convoys (*NC*) and having determined the corresponding speed limits ( $\hat{v}_{ot}^{\lim}$  and  $\hat{v}_{rt}^{\lim}$ ) by means of the lower level problem (i.e., Equations (24) and (25)).

The objective function  $Z(\cdot)$ , as shown in Equation (19), is equal to the sum of monetary values of consumed energy  $(TEC^{ESS})$  and times spent by passengers during their trips  $(TUGC^{ESS})$ . In particular, the consumed energy  $TEC^{ESS}$  may be calculated by integrating the power function (i.e., the product between the tractive effort and the instantaneous speeds  $\tilde{v}_{ot}^{ESS}(\cdot)$  and  $\tilde{v}_{rt}^{ESS}(\cdot)$ ) by means of Equations (20)–(22). Likewise, the user generalized cost  $TUGC^{ESS}$  may be calculated as the sum of on-board times  $(obt_{lot}^{ESS}(\cdot)$  and  $obt_{lrt}^{ESS}(\cdot)$ ) and waiting times  $(wt_{sot}$  and  $wt_{srt}$ ) spent by each passenger, appropriately multiplied by the corresponding perception weight (i.e.,  $\beta_{VOBT}$  and  $\beta_{VOWT}$ ).

Constraints (16)–(18) reiterate the considerations highlighted by Equations (12)–(14) concerning feasibility sets of parameters.

The lower level (i.e., Equations (24) and (25)) consists in determining, for any fixed  $\alpha_{TLT}$  value, the maximum values of speed limits ( $\hat{v}_{ot}^{\lim}$  and  $\hat{v}_{rt}^{\lim}$ ) which maximize the use of layover times ( $lt_{ot}^{TO}$  and

 $lt_{rt}^{TO}$ ). Indeed, constraints (26) and (27) impose driving speed profiles ( $v_{ot}^{ESS}$  and  $v_{rt}^{ESS}$ ) which respect the speed limits ( $v_{ot}^{lim}$  and  $v_{rt}^{lim}$ ). Likewise, constraints (28) and (29) allow an increase of the Total Running Times in the case of Energy Saving Strategy ( $TRT_{ot}^{ESS}$  and  $TRT_{rt}^{ESS}$ ), with respect to the corresponding values in the case of Time Optimal scenario ( $TRT_{ot}^{TO}$  and  $TRT_{rt}^{TO}$ ), at most equal to the layover times ( $lt_{ot}^{TO}$  and  $lt_{rt}^{TO}$ ).

Finally, parameters  $\beta_{VOBT}$  and  $\beta_{VOWT}$  express the monetary value that passengers attribute to time spent during their trip respectively in the case of on-board and waiting conditions. These terms have to be calibrated by means of proper surveys, whose details can be found in [27,72,73].

## 3.2. Theoretical Properties of the Optimization Problem

In order to verify the feasibility of the proposed optimization problem, it is necessary to state the existence and the uniqueness of the optimal solution by investigating theoretical properties of the upper and lower level problems.

**Theorem 1.** The upper level has at least one solution if equations described in Section 3 are satisfied.

Proof. Optimization problem (15) has at least one solution if:

- Objective function  $Z(\cdot)$  is defined in a non-empty and compact (i.e., closed and limited) set;
- Objective function *Z*(·) is continuous in its definition set, that is:

$$\lim_{x \to x_{o}^{+}} Z(x) = \lim_{x \to x_{o}^{-}} Z(x) = Z(x_{0}) \quad \forall \ x_{0} \in S_{Z}$$
(30)

where  $S_Z$  is the definition set of  $Z(\cdot)$ .

In the case of problem (15), once fixed the headway (*H*), the number of rail convoys (*NC*) and having determined the corresponding speed limits ( $\vartheta_{ot}^{\lim}$  and  $\vartheta_{rt}^{\lim}$ ) by means of the lower level problem (i.e., Equations (24) and (25)), objective function  $Z(\cdot)$  depends only on parameter  $\alpha_{TLT}$  whose definition set is expressed by constraint (16). Hence, the definition set satisfies the non-emptiness and compactness conditions. Moreover, since function  $Z(\cdot)$  may be expressed as a compound function of continuous functions, the objective function is continuous.

Since both conditions on objective function  $Z(\cdot)$  are satisfied, the upper level has at least one solution (*existence property*).

## **Theorem 2.** The upper level has at most one solution if equations described in Section 3 are satisfied.

**Proof.** Optimization problem (15) has at most one solution if objective function  $Z(\cdot)$  is convex and defined on a convex set.

As shown in the proof of Theorem 1, function  $Z(\cdot)$  is defined on a non-empty and compact set and, therefore, it is defined on a convex set.

In order to show the convexity of function  $Z(\cdot)$ , it is necessary to consider that a variation in  $\alpha_{TLT}$  affects:

- $obt_{lot}^{ESS}(\cdot)$  according to a non-decreasing function (since an increase in  $\alpha_{TLT}$  provides an increase in corresponding layover time  $lt_{ot}^{TO}$  which may imply an increase in running times);
- $obt_{lrt}^{ESS}(\cdot)$  according to a non-increasing function (since an increase in  $\alpha_{TLT}$  provides a decrease in corresponding layover time  $lt_{rt}^{TO}$  which may imply a decrease in running times);
- $EC_{ot}^{ESS}(\cdot)$  according to a strictly decreasing function (since an increase in  $\alpha_{TLT}$  provides an increase in corresponding layover time  $lt_{ot}^{TO}$  which allows a reduction in energy consumption);
- $EC_{rt}^{ESS}(\cdot)$  according to a strictly increasing function (since an increase in  $\alpha_{TLT}$  provides a decrease in corresponding layover time  $lt_{rt}^{TO}$  which limits reductions in energy consumption).

By contrast,  $wt_{sot}(\cdot)$  and  $wt_{srt}(\cdot)$  do not depend on parameter  $\alpha_{TLT}$ .

By summing the energy consumption in the outward trip and the user generalized cost in the return trip, we obtain a strictly increasing function with respect to  $\alpha_{TLT}$ . Likewise, by adding the energy consumption in the return trip and the user generalized cost in the outward trip, we obtain a strictly decreasing function with respect to  $\alpha_{TLT}$ . Considering that the sum of a strictly increasing function provides a convex function, it can be stated that  $Z(\cdot)$  is a convex function since it is expressed as the sum of energy consumptions and user generalized costs of both directions.

Since both conditions on convexity are satisfied (i.e., conditions on definition set and objective function), the upper level has at most one solution (*uniqueness property*).  $\Box$ 

**Corollary 1.** The solution of the upper level exists and is unique if equations described in Section 3 are satisfied.

**Proof.** The corollary may be stated by combining Theorems 1 and 2. Indeed, according to Theorem 1, the number of solutions has to be not lower than 1. Likewise, according to Theorem 2, the number of solutions has to be not higher than 1. Hence, the number of solutions has to be 1.

**Theorem 3.** The lower level has at least one solution if equations described in Section 3 are satisfied.

Proof. Optimization problems (24) and (25) have at least one solution if:

- Objective functions *TRT<sub>ot</sub><sup>ESS</sup>*(·) and *TRT<sub>rt</sub><sup>ESS</sup>*(·) are defined in nonempty and compact (i.e., closed and limited) sets;
- Objective functions  $TRT_{ot}^{ESS}(\cdot)$  and  $TRT_{rt}^{ESS}(\cdot)$  are continuous in their definition sets, that is:

$$\lim_{x \to x_o^+} TRT_{ot}^{ESS}(x) = \lim_{x \to x_o^-} TRT_{ot}^{ESS}(x) = TRT_{ot}^{ESS}(x_0) \quad \forall \ x_0 \in S_{ot}$$
(31)

$$\lim_{y \to y_o^+} TRT_{rt}^{ESS}(y) = \lim_{y \to y_o^-} TRT_{rt}^{ESS}(y) = TRT_{rt}^{ESS}(y_0) \quad \forall \ y_0 \in S_{rt}$$
(32)

where  $S_{ot}$  and  $S_{rt}$  are the definition sets, respectively, of  $TRT_{ot}^{ESS}(\cdot)$  and  $TRT_{rt}^{ESS}(\cdot)$ .

In the case of problems (24) and (25), once fixed parameter  $\alpha_{TLT}$ , objective functions  $TRT_{ot}^{ESS}(\cdot)$  and  $TRT_{rt}^{ESS}(\cdot)$  depend only on speed limits  $v_{ot}^{lim}$  and  $v_{rt}^{lim}$ . Theoretically, according to feasibility sets in Equations (24) and (25), speed limits have to be non-negative real values, that is:

$$\left[v_{ot}^{\lim}, v_{rt}^{\lim}
ight] \in \left]0, +\infty\left[\times\right]0, +\infty\left[
ight]$$

and, therefore, are defined in a non-closed and non-limited set (i.e., non-compact). However, our analyses produce the same results whether we consider these speeds as defined in the following restricted set:

$$\begin{bmatrix} v_{ot}^{\lim}, v_{rt}^{\lim} \end{bmatrix} \in \begin{bmatrix} v_{ot}^{\min}, v_{ot}^{\max} \end{bmatrix} \times \begin{bmatrix} v_{rt}^{\min}, v_{rt}^{\max} \end{bmatrix}$$
(33)

where  $v_{ot}^{\text{max}}$  and  $v_{rt}^{\text{max}}$  are the maximum travel speeds reached by a rail convoy in the Time Optimal scenario (i.e., the maximum performance scenario) associated, respectively, with the outward trip (*ot*) and the return trip (*rt*);  $v_{ot}^{\min}$  and  $v_{rt}^{\min}$  are non-null conventional minimum speeds for which the rail service make sense (for instance, the pedestrian speed equal to 4 km/h) associated, respectively, with the outward trip (*ot*) and the return trip (*rt*). Hence, according to Equation (33), speed limits are defined in non-empty compact (i.e., closed and limited) sets by satisfying the non-emptiness and compactness conditions. Moreover, functions  $TRT_{ot}^{ESS}(\cdot)$  and  $TRT_{rt}^{ESS}(\cdot)$  are expressed as compound functions of continuous functions and, therefore, are continuous.

Since both conditions on objective functions  $TRT_{ot}^{ESS}(\cdot)$  and  $TRT_{rt}^{ESS}(\cdot)$  are satisfied, the lower level has at least one solution (*existence property*).

**Theorem 4.** The lower level has at most one solution if equations described in Section 3 are satisfied.

**Proof.** Optimization problems (24) and (25) have at most one solution if objective functions  $TRT_{ot}^{ESS}(\cdot)$  and  $TRT_{rt}^{ESS}(\cdot)$  are strictly monotonous and defined on convex sets.

As shown in the proof of Theorem 3, functions  $TRT_{ot}^{ESS}(\cdot)$  and  $TRT_{rt}^{ESS}(\cdot)$  are defined on non-empty and compact sets and, therefore, they are defined on convex sets.

In order to show the strict monotonicity of objective functions, it is necessary to consider that, once fixed parameter  $\alpha_{TLT}$ , Total Running Times (i.e.,  $TRT_{ot}^{ESS}(\cdot)$  and  $TRT_{rt}^{ESS}(\cdot)$ ) are equal to the sum of running times of the single links which are non-increasing functions with respect to speed limits, that is:

$$\left(rt_{lot}^{ESS}\left(v_{ot}^{\lim 1}\right) - rt_{lot}^{ESS}\left(v_{ot}^{\lim 2}\right)\right) \cdot \left(v_{ot}^{\lim 1} - v_{ot}^{\lim 2}\right) \le 0 \quad \forall \ lot \quad \forall \ v_{ot}^{\lim 1} \neq v_{ot}^{\lim 2} \in \left[v_{ot}^{\min}, \ v_{ot}^{\max}\right]$$
(34)

$$\left(rt_{lrt}^{ESS}\left(v_{rt}^{\lim 1}\right) - rt_{lrt}^{ESS}\left(v_{rt}^{\lim 2}\right)\right) \cdot \left(v_{rt}^{\lim 1} - v_{rt}^{\lim 2}\right) \le 0 \quad \forall \, lrt \quad \forall \, v_{rt}^{\lim 1} \neq v_{rt}^{\lim 2} \in \left[v_{rt}^{\min}, \, v_{rt}^{\max}\right]$$
(35)

where  $v_{ot}^{\text{lim1}}$  and  $v_{ot}^{\text{lim2}}$  are two generic values assumed by  $v_{ot}^{\text{lim1}}$ ;  $v_{rt}^{\text{lim1}}$  and  $v_{rt}^{\text{lim2}}$  are two generic values assumed by  $v_{rt}^{\text{lim}}$ .

If there is a link where  $v_{ot}^{\text{lim1}}$  and  $v_{ot}^{\text{lim2}}$  (or, equivalently,  $v_{rt}^{\text{lim1}}$  and  $v_{rt}^{\text{lim2}}$ ) are both higher than the maximum speed reached by the rail convoy in the Time Optimal condition, Equation (34) (or, equivalently, Equation (35)) has to be considered as equal to zero. Likewise, if there is a link where at least one of two speeds is lower than the maximum speed of the train in the Time Optimal condition, relation (34) (or, equivalently, relation (35)) has to be considered as a strict inequality.

Hence, since there is at least a link for any direction where Equations (34) and (35) are strictly decreasing (as, for instance, the links where trains reach the maximum travel speed in the Time Optimal condition),  $TRT_{ot}^{ESS}(\cdot)$  and  $TRT_{rt}^{ESS}(\cdot)$  may be expressed as strictly decreasing functions, that is:

$$\left(TRT_{ot}^{ESS}\left(v_{ot}^{\lim 1}\right) - TRT_{lot}^{ESS}\left(v_{ot}^{\lim 2}\right)\right) \cdot \left(v_{ot}^{\lim 1} - v_{ot}^{\lim 2}\right) < 0 \quad \forall \ v_{ot}^{\lim 1} \neq v_{ot}^{\lim 2} \in \left[v_{ot}^{\min}, \ v_{ot}^{\max}\right]$$
(36)

$$\left(TRT_{rt}^{ESS}\left(v_{rt}^{\lim 1}\right) - TRT_{rt}^{ESS}\left(v_{rt}^{\lim 2}\right)\right) \cdot \left(v_{rt}^{\lim 1} - v_{rt}^{\lim 2}\right) < 0 \quad \forall \ v_{rt}^{\lim 1} \neq v_{rt}^{\lim 2} \in \left[v_{rt}^{\min}, \ v_{rt}^{\max}\right]$$
(37)

Hence, since both the condition on the definition set convexity and the condition of objective function monotonicity are satisfied, the lower level has at most one solution (*uniqueness property*).  $\Box$ 

### **Corollary 2.** The solution of the lower level exists and is unique if equations described in Section 3 are satisfied.

**Proof.** The corollary may be stated by combining Theorems 3 and 4. Indeed, according to Theorem 3, the number of solutions has to be not lower than 1. Likewise, according to Theorem 4, the number of solutions has to be not higher than 1. Hence, the number of solutions has to be 1.  $\Box$ 

#### 3.3. Solution Algorithm Development

The definition of the theoretical properties (i.e., existence and uniqueness) of the proposed optimization problem is a necessary condition for developing and implementing a solution algorithm. Obviously, an algorithm has to be convergent in order to be useful and applicable.

In this context, we propose a convergent solution algorithm based on a bilevel framework. Indeed, our proposal consists in:

- A *master algorithm* for solving the upper level;
- A *slave algorithm* for solving the lower level.

In particular, at any iteration of the master algorithm, it is necessary to implement the slave algorithm (i.e., solving the lower level) in order to provide speed limits (i.e.,  $\hat{v}_{ot}^{\lim}$  and  $\hat{v}_{rt}^{\lim}$ ) as to

calculate the corresponding value of objective function. Hence, since the slave algorithm may be considered as a subroutine of the master algorithm, the whole procedure terminates when stopping test of the master algorithm is satisfied.

However, the implementation of the master algorithm requires the estimation of travel demand and the solution of the slave algorithm has to be supported by a simulation model of the rail convoy movements. Hence, it is possible to identify two algorithm frameworks:

- Approach 1 (see Figure 2), where running times and energy consumptions are calculated by a train movement simulator at any iteration of the slave algorithm;
- Approach 2 (see Figure 3), where all feasible speed profiles are preliminary calculated by a train movement simulator and all results in terms of running times and energy consumption are collected in a performance matrix. In this case, the slave algorithm queries the performance matrix without the need of implementing again the train movement simulator.

The adoption of the second approach could increase calculation times in the initial phases of the algorithm (in order to define the performance matrix) but may reduce computing times of the slave algorithm since it does not longer need the train movement simulator.



Figure 2. Approach 1 of the solution algorithm.



Figure 3. Approach 2 of the solution algorithm.

# 3.3.1. The Proposed Master Algorithm

Since, as shown in Section 3.2, the upper level seeks for the minimum value of a strictly convex function defined on a non-empty and compact set, it is possible to apply a traditional bisection algorithm, which has been customized to our context as follows:

- Phase 1: Definition of the initial analysis set;
- Phase 2: Partition of the analysis set;
- Phase 3: Objective function calculation;
- Phase 4: Identification of the optimal solution;
- Phase 5: Stop test or definition of a new analysis set.

In the first phase, the initial analysis set is fixed according to constraint (16). The partition of the analysis set (phase 2) is obtained by dividing the analysis set in *n* values, where *n* is a positive integer number (i.e.,  $n \in Z^+$ ) greater than 1. Hence, we obtain *n* values of  $\alpha_{TLT}$  as follows:

$$\alpha_{TLT}^k(i) = \frac{\alpha_{\max}^k - \alpha_{\min}^k}{(n-1)} \cdot (i-1) + \alpha_{\min}^k \text{ with } i \in [1, n] \cap Z^+$$

where  $\alpha_{TLT}^k(i)$  is the *i*-th value of parameter  $\alpha_{TLT}$  at the *k*-th iteration;  $\alpha_{\min}^k$  and  $\alpha_{\min}^k$  are the endpoint of the analysis set defined at *k*-th iteration.

Phase 3 consists in calculating, for any  $\alpha_{TLT}^k(i)$ , the corresponding objective function value. Obviously, it is necessary preliminary to implement the slave algorithm in order to obtain the corresponding speed limits (i.e.,  $\hat{v}_{ot}^{\lim}$  and  $\hat{v}_{rt}^{\lim}$ ). Then in phase 4, it is identified the  $\alpha_{TLT}^k(i)$  which correspond to the minimum objective function value.

The last phase compares the optimal value of the objective function at iteration k with the one obtained in the previous iteration. Obviously, in the case of the first iteration, the comparison cannot be performed, and a new analysis set is generated. However, the comparison verifies if the improvement in objective function is lower than a prefixed threshold, that is:

$$\frac{Z_{opt}^{k-1} - Z_{opt}^{k}}{Z_{opt}^{k-1}} < \varepsilon \tag{38}$$

where  $Z_{ovt}^k$  is the optimal value of the objective function at *k*-th iteration;  $\varepsilon$  is the prefixed threshold.

If the termination test (38) is verified, the algorithm is stopped; otherwise, a new analysis test is generated, and the algorithm returns to phase 2. The new analysis set is generated as follows:

$$\alpha_{\min}^{k+1} = \begin{cases} \alpha_{TLT}^k(\hat{i}) & \text{if } \hat{i} = 1\\ \alpha_{TLT}^k(\hat{i} - 1) & \text{if } \hat{i} > 1 \end{cases}$$
(39)

$$\alpha_{\max}^{k+1} = \begin{cases} \alpha_{TLT}^k(\hat{i}+1) & \text{if } \hat{i} < n\\ \alpha_{TLT}^k(\hat{i}) & \text{if } \hat{i} = n \end{cases}$$
(40)

where  $\hat{i}$  is the value of *i* corresponding to the optimal value of the objective function.

### 3.3.2. The Proposed Slave Algorithm

Since, as shown in Section 3.2, the lower level seeks for the maximum value of a strictly decreasing function defined on a non-empty and compact set, it is possible to apply a traditional ascendant algorithm, which has been customized to our context as follows:

- Phase 1: Definition of the initial value of the speed limits;
- Phase 2: Calculation of the subsequent Total Running Times;
- Phase 3: Stop test or definition of new speed limits.

Obviously, since running times, as well as speed limits, of the outward trip are independent of the corresponding value of the return trip, the slave algorithm has to be implemented twice: the first time for the outward trip and the second time for the return trip.

In the first phase, the speed limits are fixed equal to the maximum travel speeds reached by rail convoys in the Time Optimal scenario (i.e.,  $v_{ot}^{max}$  and  $v_{rt}^{max}$ ).

Phase 2 consists in calculating the subsequent Total Running Times (i.e.,  $TRT_{ot}^{ESS}$  and  $TRT_{rt}^{ESS}$ ), which in the case of the first iteration correspond to the Time Optimal scenario values (i.e.,  $TRT_{ot}^{TO}$  and  $TRT_{rt}^{TO}$ ).

The stopping test is based on the verification of constraints (28) and (29). In particular, if these constraints are verified, new speed limits are generated, and the algorithm returns to Step 2. Otherwise, the algorithm terminates, and the final solutions are the speed limits of the previous iteration, that is:

$$\hat{v}_{ot}^{\lim} = v_{ot}^{\max,h-1}$$
  
 $\hat{v}_{rt}^{\lim} = v_{rt}^{\max,h-1}$ 

where  $v_{ot}^{\max,h}$  and  $v_{rt}^{\max,h}$  are the speed limits at *h*-th iteration associated, respectively, with the outward trip (*ot*) and the return trip (*rt*).

Obviously, since the initial solution (i.e., the solution based on  $v_{ot}^{max}$  and  $v_{rt}^{max}$ ) always verifies constraints (28) and (29), the algorithm provides always a valid solution.

Moreover, since speed limits in the real world have to be positive integer numbers, the new values of speed limits may be generated by a simple descendent algorithm as follows:

$$v_{ot}^{\max,h+1} = v_{ot}^{\max,h} - 1 \text{ with } v_{ot}^{\max,1} = v_{ot}^{\max}$$

$$\tag{41}$$

$$v_{rt}^{\max,h+1} = v_{rt}^{\max,h} - 1 \text{ with } v_{rt}^{\max,1} = v_{rt}^{\max}$$
(42)

Finally, if the speed limit reaches the minimum value of allowed speed, that is:

$$v_{ot}^{\max,h} = v_{ot}^{\min}$$
  
 $v_{rt}^{\max,h} = v_{rt}^{\min}$ 

the descendent algorithm terminates without generating a new solution according to Equations (41) and (42). Therefore, although constraints (28) and (29) are still verified, the slave algorithm terminates by adopting the following solutions:

$$\hat{v}_{ot}^{\lim} = v_{ot}^{\min}$$
  
 $\hat{v}_{rt}^{\lim} = v_{rt}^{\min}$ 

#### 4. Application to a Real Network

In order to show the utility and the feasibility of the proposed methodology, we applied it to the regional 'Naples–Sorrento' rail line serving the metropolitan area of Naples in Italy (see Figure 4).

In particular, although the line has a 17-km single-track section between Moregine and Sorrento (i.e., almost 41% of the line), we assumed the whole line as a double-track line since the doubling has been planned. Moreover, although the terminus in Naples (Napoli Porta Nolana) has 12 tracks, we assumed that only one track is used for the regular service, while the others are adopted as recovery or maintenance tracks. Similarly, the terminus in Sorrento, equipped with 6 tracks, was assumed with only one track adopted for regular service. General details of the line are described in Table 1 in the case of three different confidence levels (i.e., 90th, 95th and 97.5th percentiles) for the buffer time (and therefore cycle time) definition.

Under the above assumptions, the application consisted in identifying some operational schemes and related maximum reductions in energy consumptions, for each one of the three considered confidence levels.



Figure 4. Regional 'Naples–Sorrento' rail line.

Vulueb		Val	ues
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	Valu	les
	Naples-Sorrento Direction	Sorrento-Naples Direction
Total Dunning Times	3262 s	3220 s
Iotal Kulling Times	[54.4 min]	[53.7 min]
Total Drugll Timos	1080 s	1080 s
Iotal Dwell Times	[18.0 min]	[18.0 min]
Inversion times	180 s	180 s
inversion times	[3.0 min]	[3.0 min]
Buffer times	225 s	228 s
[90th percentile]	[3.8 min]	[3.8 min]
Planned Cycle Time	9455	5 s
[90th percentile]	[157.6]	min]
Buffer times	252 s	253 s
[95th percentile]	[4.2 min]	[4.2 min]
Planned Cycle Time	9507	7 S
[95th percentile]	[158.5 :	min]
Buffer times	275 s	275 s
[97.5th percentile]	[4.6 min]	[4.6 min]

	Valu	es
	Naples-Sorrento Direction	Sorrento-Naples Direction
Planned Cycle Time [97.5th percentile]	9552 [159.2 i	ls min]
Minimum headways	374 s [6.2 min]	359 s [6.0 min]
Travel distance	42.6 km	42.6 km
Energy consumption in Time Optimal (TO) condition	621.2 kWh	564.2 kWh

Table 1. Cont.

Preliminarily, according to Equation (14), it was necessary to identify feasible operational configurations since the allocation between the outward and return trips may affect the minimum feasible headway of the line. Hence, in Tables 2–4, for each headway higher than the minimum infrastructural headway identified in Table 1 (i.e., 6.2 min), we set a certain number of convoys (feasible with limits expressed by Equation (13)) and calculated the  $TLT^{TO}$  term together with thresholds of  $\alpha_{TLT}$  parameter (expressed by Equation (12)). In particular, by solving the following optimization problem, that is:

$$\alpha_{opt} = \arg\min_{\alpha_{TLT}} H_{\min}(\alpha_{TLT})$$
(43)

subject to:

$$\alpha_{TLT} \in \left[\max\left\{0 \text{ ; } 1 \ - \ \frac{H - bt_{rt}}{TLT^{TO}}
ight\} \text{ ; } \min\left\{\frac{H - bt_{ot}}{TLT^{TO}} \text{ ; } 1
ight\}
ight]$$

we are able to determine the value of  $\alpha_{TLT}$  parameter providing the minimum service headway which has to be compared with the assumed headway. Indeed, whether the identified minimum headway is not greater than the initial value (i.e., assumed headway), the configuration is feasible; otherwise, the assumed configuration is unfeasible since it is based on a headway lower than its minimum. For the sake of clarity, it is worth further making clear that  $\alpha_{opt}$ , in Equation (43), is the value of  $\alpha_{TLT}$  which provides the minimum service headway, thus allowing the identification of feasible configurations complying with Equation (14). However, this is only a preliminary verification step; while, the actual bilevel optimization process is aimed at identifying  $\hat{\alpha}_{TLT}$ , i.e., the value of  $\alpha_{TLT}$  minimizing the objective function  $Z(\cdot)$  (see Equation (15)).

In Tables 2–4, feasible configurations are shown in black, while unfeasible configurations are reported in red. Moreover, parameters  $NC_{min}$  and  $NC_{max}$  represent, respectively, the lower and the upper bound of parameter NC expressed by Equation (13). Likewise, parameters  $\alpha_{min}$  and  $\alpha_{max}$  represent, respectively, the lower and the upper bound of parameter  $\alpha_{TLT}$  expressed by Equation (12). However, for any confidence level, we have found 11 feasible solutions.

Finally, for each feasible configuration identified in the previous step, we solved the bilevel optimization problem (15), by determining parameter  $\hat{\alpha}_{TLT}$ , i.e., the value of  $\alpha_{TLT}$  which minimizes objective function  $Z(\cdot)$ . Clearly, the procedure is carried out for any of the considered confidence levels. The travel demand was estimated by means of traditional approaches proposed in the literature (details on the travel demand estimation in the case of the analyzed line may be found in [74,75]. Likewise, we adopted the commercial software OPENTRACK [76] as train movement simulator.

In Tables 5–7 are shown application results, where  $\Delta OT_{ot}$  and  $\Delta OT_{rt}$  represent variations in passenger on-board time associated, respectively, with the outward trip (*ot*) and the return trip (*rt*);  $\Delta EC_{ot}$  and  $\Delta EC_{rt}$  represent variations in energy consumption associated, respectively, with the outward trip (*ot*) and the return trip (*rt*);  $\Delta EC_{daily}$  represents the daily variation in energy consumption.

H [min]	<i>NC</i> <sub>min</sub>	<i>NC</i> <sub>max</sub>	NC	TLT <sup>TO</sup> [min]	<b>a</b> <sub>min</sub>	<b>a</b> <sub>max</sub>	α <sub>opt</sub>	$H_{\min}(\cdot)$ [min]	Feasibility
6.5	25	25	25	4.92	45.1%	55.9%	48.0%	12.34	NO
7.0	23	23	23	3.42	6.3%	95.1%	47.1%	11.59	NO
7.5	22	22	22	7.42	50.1%	50.6%	48.7%	13.59	NO
8.0	20	20	20	2.42	0.0%	100.0%	45.9%	11.09	NO
8.5	19	19	19	3.92	0.0%	100.0%	47.4%	11.84	NO
9.0	18	18	18	4.42	0.0%	100.0%	47.7%	12.09	NO
9.5	17	17	17	3.92	0.0%	100.0%	47.4%	11.84	NO
10.0	16	17	16	2.42	0.0%	100.0%	45.9%	11.09	NO
10.0	16	17	17	12.42	50.1%	50.3%	49.2%	16.09	NO
10.5	16	16	16	10.42	35.7%	64.8%	49.0%	15.09	NO
11.0	15	15	15	7.42	2.9%	97.8%	48.7%	13.59	NO
11.5	14	15	14	3.42	0.0%	100.0%	47.1%	11.59	NO
11.5	14	15	15	14.92	48.4%	52.0%	49.3%	17.34	NO
12.0	14	14	14	10.42	21.3%	79.2%	49.0%	15.09	NO
12.5	13	14	13	4.92	0.0%	100.0%	48.0%	12.34	YES
12.5	13	14	14	17.42	50.0%	50.2%	49.4%	18.59	NO
13.0	13	13	13	11.42	19.4%	81.0%	49.1%	15.59	NO
13.5	12	13	12	4.42	0.0%	100.0%	47.7%	12.09	YES
13.5	12	13	13	17.92	45.9%	54.4%	49.4%	18.84	NO
14.0	12	12	12	10.42	2.1%	98.4%	49.0%	15.09	NO
14.5	11	12	11	1.92	0.0%	100.0%	44.8%	10.84	YES
14.5	11	12	12	16.42	34.8%	65.5%	49.4%	18.09	NO
15.0	11	12	11	7.42	0.0%	100.0%	48.7%	13.59	YES
15.0	11	12	12	22.42	50.0%	50.2%	49.6%	21.09	NO
16.0	10	11	10	2.42	0.0%	100.0%	45.9%	11.09	YES
16.0	10	11	11	18.42	33.8%	66.5%	49.5%	19.09	NO
17.0	10	10	10	12.42	0.0%	100.0%	49.2%	16.09	YES
18.0	9	10	9	4.42	0.0%	100.0%	47.7%	12.09	YES
18.0	9	10	10	22.42	36.7%	63.6%	49.6%	21.09	NO
19.0	9	9	9	13.42	0.0%	100.0%	49.3%	16.59	YES
20.0	8	9	8	2.42	0.0%	100.0%	45.9%	11.09	YES
20.0	8	9	9	22.42	27.7%	72.5%	49.6%	21.09	NO
25.0	7	8	7	17.42	0.0%	100.0%	49.4%	18.59	YES
25.0	7	8	8	42.42	50.0%	50.1%	49.8%	31.09	NO
30.0	6	7	6	22.42	0.0%	100.0%	49.6%	21.09	YES
30.0	6	7	7	52.42	50.0%	50.1%	49.8%	36.09	NO

**Table 2.** Operational schemes in the case of 90th percentile (black = feasible configurations; red = unfeasible configurations).

**Table 3.** Operational schemes in the case of 95th percentile (black = feasible configurations; red = unfeasible configurations).

H [min]	<i>NC</i> <sub>min</sub>	<i>NC</i> <sub>max</sub>	NC	TLT <sup>TO</sup> [min]	α <sub>min</sub>	<b>a</b> <sub>max</sub>	α <sub>opt</sub>	$H_{\min}(\cdot)$ [min]	Feasibility
6.5	25	25	25	4.05	43.6%	56.8%	47.1%	12.34	NO
7.0	23	23	23	2.55	0.0%	100.0%	45.4%	11.59	NO
7.5	22	22	22	6.55	49.9%	50.4%	48.2%	13.59	NO
8.0	20	20	20	1.55	0.0%	100.0%	42.5%	11.09	NO
8.5	19	19	19	3.05	0.0%	100.0%	46.2%	11.84	NO
9.0	18	18	18	3.55	0.0%	100.0%	46.7%	12.09	NO
9.5	17	17	17	3.05	0.0%	100.0%	46.2%	11.84	NO
10.0	16	17	16	1.55	0.0%	100.0%	42.5%	11.09	NO
10.0	16	17	17	11.55	49.9%	50.2%	49.0%	16.09	NO
10.5	16	16	16	9.55	34.2%	66.0%	48.8%	15.09	NO
11.0	15	15	15	6.55	0.0%	100.0%	48.2%	13.59	NO
11.5	14	15	14	2.55	0.0%	100.0%	45.4%	11.59	NO
11.5	14	15	15	14.05	48.2%	52.0%	49.2%	17.34	NO
12.0	14	14	14	9.55	18.5%	81.7%	48.8%	15.09	NO
12.5	13	14	13	4.05	0.0%	100.0%	47.1%	12.34	YES
12.5	13	14	14	16.55	49.9%	50.2%	49.3%	18.59	NO
13.0	13	13	13	10.55	16.7%	83.4%	48.9%	15.59	NO
13.5	12	13	12	3.55	0.0%	100.0%	46.7%	12.09	YES

H [min]	<i>NC</i> <sub>min</sub>	<i>NC</i> <sub>max</sub>	NC	TLT <sup>TO</sup> [min]	α <sub>min</sub>	<b>a</b> <sub>max</sub>	α <sub>opt</sub>	$H_{\min}(\cdot)$ [min]	Feasibility
13.5	12	13	13	17.05	45.6%	54.5%	49.3%	18.84	NO
14.0	12	12	12	9.55	0.0%	100.0%	48.8%	15.09	NO
14.5	11	12	11	1.05	0.0%	100.0%	38.9%	10.84	YES
14.5	11	12	12	15.55	33.9%	66.2%	49.2%	18.09	NO
15.0	11	12	11	6.55	0.0%	100.0%	48.2%	13.59	YES
15.0	11	12	12	21.55	50.0%	50.1%	49.5%	21.09	NO
16.0	10	11	10	1.55	0.0%	100.0%	42.5%	11.09	YES
16.0	10	11	11	17.55	32.9%	67.2%	49.3%	19.09	NO
17.0	10	10	10	11.55	0.0%	100.0%	49.0%	16.09	YES
18.0	9	10	9	3.55	0.0%	100.0%	46.7%	12.09	YES
18.0	9	10	10	21.55	36.0%	64.0%	49.5%	21.09	NO
19.0	9	9	9	12.55	0.0%	100.0%	49.1%	16.59	YES
20.0	8	9	8	1.55	0.0%	100.0%	42.5%	11.09	YES
20.0	8	9	9	21.55	26.8%	73.3%	49.5%	21.09	NO
25.0	7	8	7	16.55	0.0%	100.0%	49.3%	18.59	YES
25.0	7	8	8	41.55	50.0%	50.1%	49.7%	31.09	NO
30.0	6	7	6	21.55	0.0%	100.0%	49.5%	21.09	YES
30.0	6	7	7	51.55	50.0%	50.0%	49.8%	36.09	NO

Table 3. Cont.

**Table 4.** Operational schemes in the case of 97.5th percentile (black = feasible configurations; red = unfeasible configurations).

H [min]	<i>NC</i> <sub>min</sub>	<i>NC</i> <sub>max</sub>	NC	TLT <sup>TO</sup> [min]	α <sub>min</sub>	<b>a</b> <sub>max</sub>	α <sub>opt</sub>	$H_{\min}(\cdot)$ [min]	Feasibility
6.5	25	25	25	3.30	41.9%	58.1%	46.2%	12.34	NO
7.0	23	23	23	1.80	0.0%	100.0%	43.1%	11.59	NO
7.5	22	22	22	5.80	49.7%	50.3%	47.8%	13.59	NO
8.0	20	20	20	0.80	0.0%	100.0%	34.4%	11.09	NO
8.5	19	19	19	2.30	0.0%	100.0%	44.6%	11.84	NO
9.0	18	18	18	2.80	0.0%	100.0%	45.5%	12.09	NO
9.5	17	17	17	2.30	0.0%	100.0%	44.6%	11.84	NO
10.0	16	17	16	0.80	0.0%	100.0%	34.4%	11.09	NO
10.0	16	17	17	10.80	49.8%	50.2%	48.8%	16.09	NO
10.5	16	16	16	8.80	32.8%	67.2%	48.6%	15.09	NO
11.0	15	15	15	5.80	0.0%	100.0%	47.8%	13.59	NO
11.5	14	15	14	1.80	0.0%	100.0%	43.1%	11.59	NO
11.5	14	15	15	13.30	48.0%	52.0%	49.1%	17.34	NO
12.0	14	14	14	8.80	15.7%	84.3%	48.6%	15.09	NO
12.5	13	14	13	3.30	0.0%	100.0%	46.2%	12.34	YES
12.5	13	14	14	15.80	49.9%	50.1%	49.2%	18.59	NO
13.0	13	13	13	9.80	14.1%	85.9%	48.7%	15.59	NO
13.5	12	13	12	2.80	0.0%	100.0%	45.5%	12.09	YES
13.5	12	13	13	16.30	45.3%	54.7%	49.2%	18.84	NO
14.0	12	12	12	8.80	0.0%	100.0%	48.6%	15.09	NO
14.5	11	12	11	0.30	0.0%	100.0%	8.3%	10.84	YES
14.5	11	12	12	14.80	33.0%	67.0%	49.2%	18.09	NO
15.0	11	12	11	5.80	0.0%	100.0%	47.8%	13.59	YES
15.0	11	12	12	20.80	49.9%	50.1%	49.4%	21.09	NO
16.0	10	11	10	0.80	0.0%	100.0%	34.4%	11.09	YES
16.0	10	11	11	16.80	32.0%	68.0%	49.3%	19.09	NO
17.0	10	10	10	10.80	0.0%	100.0%	48.8%	16.09	YES
18.0	9	10	9	2.80	0.0%	100.0%	45.5%	12.09	YES
18.0	9	10	10	20.80	35.5%	64.5%	49.4%	21.09	NO
19.0	9	9	9	11.80	0.0%	100.0%	48.9%	16.59	YES
20.0	8	9	8	0.80	0.0%	100.0%	34.4%	11.09	YES
20.0	8	9	9	20.80	25.9%	74.1%	49.4%	21.09	NO
25.0	7	8	7	15.80	0.0%	100.0%	49.2%	18.59	YES
25.0	7	8	8	40.80	50.0%	50.0%	49.7%	31.09	NO
30.0	6	7	6	20.80	0.0%	100.0%	49.4%	21.09	YES
30.0	6	7	6	20.80	0.0%	100.0%	49.4%	21.09	YES

H [min]	NC	α <sub>TLT</sub>	$\hat{v}_{ot}^{ m lim}$ [km/h]	$\hat{v}_{rt}^{ m lim}$ [km/h]	$\Delta OT_{ot}$ [s]	$\Delta OT_{rt}$ [s]	ΔEC <sub>ot</sub> [kWh]	ΔEC <sub>rt</sub> [kWh]	ΔEC <sub>daily</sub> [kWh]	Reduction in Energy Consumption
12.5	13	49.52%	67	68	129	147	-136.8	-139.8	-21,019	-23.33%
13.5	12	37.04%	70	67	87	163	-110.9	-149.1	-18,315	-21.91%
14.5	11	43.21%	74	76	49	65	-80.6	-80.3	-10,544	-13.58%
15.0	11	58.71%	61	66	241	180	-186.5	-156.2	-21,587	-28.91%
16.0	10	34.57%	74	73	49	90	-80.6	-103.5	-10,943	-15.51%
17.0	10	38.55%	60	55	263	434	-193.0	-242.9	-24,166	-36.72%
18.0	9	37.04%	70	67	87	163	-110.9	-149.1	-13,783	-21.94%
19.0	9	58.30%	54	59	436	320	-237.7	-211.8	-22,478	-37.93%
20.0	8	33.33%	75	73	41	90	-73.5	-103.5	-8,389	-14.89%
25.0	7	55.56%	51	55	542	434	-261.6	-242.9	-19,172	-42.56%
30.0	6	46.96%	50	49	588	668	-265.2	-283.8	-17,285	-46.26%

Table 5. Energy saving optimization in the case of 90th percentile.

Table 6. Energy saving optimization in the case of 95th percentile.

H [min]	NC	α̂ <sub>TLT</sub>	$\hat{v}_{ot}^{\lim}$ [km/h]	$\hat{v}_{rt}^{\lim}$ [km/h]	$\Delta OT_{ot}$ [s]	$\Delta OT_{rt}$ [s]	ΔEC <sub>ot</sub> [kWh]	ΔEC <sub>rt</sub> [kWh]	ΔEC <sub>daily</sub> [kWh]	Reduction in Energy Consumption
12.5	13	41.43%	70	69	87	134	-110.9	-132.8	-18,523	-20.56%
13.5	12	39.51%	71	70	79	123	-103.8	-124.2	-16,064	-19.22%
14.5	11	54.32%	77	82	29	27	-61.8	-42.9	-6869	-8.84%
15.0	11	61.32%	62	68	218	147	-178.7	-139.8	-20,067	-26.87%
16.0	10	27.85%	79	76	21	65	-48.8	-80.3	-7669	-10.87%
17.0	10	34.57%	62	55	218	434	-178.7	-242.9	-23,369	-35.51%
18.0	9	45.68%	70	71	87	113	-110.9	-118.2	-12,144	-19.33%
19.0	9	66.67%	53	63	473	233	-247.8	-179.8	-21,378	-36.07%
20.0	8	27.85%	79	76	21	65	-48.8	-80.3	-6119	-10.86%
25.0	7	84.22%	46	68	780	147	-296.2	-139.8	-16,567	-36.78%
30.0	6	33.33%	55	46	402	814	-232.5	-304.9	-16,892	-45.20%

Table 7. Energy saving optimization in the case of 97.5th percentile.

H [min]	NC	α̂ <sub>TLT</sub>	$\hat{v}_{ot}^{\lim}$ [km/h]	$\hat{v}_{rt}^{ m lim}$ [km/h]	ΔΟT <sub>ot</sub> [sec]	$\Delta OT_{rt}$ [sec]	ΔEC <sub>ot</sub> [kWh]	ΔEC <sub>rt</sub> [kWh]	ΔEC <sub>daily</sub> [kWh]	Reduction in Energy Consumption
12.5	13	50.89%	70	73	87	90	-110.9	-103.5	-16,294	-18.09%
13.5	12	37.04%	73	72	59	100	-87.8	-108.2	-13,809	-16.52%
14.5	11	100%	80	90	18	0	-41.0	0.0	-2708	-3.49%
15.0	11	67.90%	62	72	218	100	-178.7	-108.2	-18,077	-24.21%
16.0	10	6.17%	88	79	2	43	-5.2	-61.3	-3932	-5.57%
17.0	10	41.98%	60	58	263	350	-193.0	-222.0	-23,018	-34.97%
18.0	9	60.08%	70	76	87	65	-110.9	-80.3	-10,136	-16.13%
19.0	9	48.42%	58	58	315	350	-211.2	-222.0	-21,661	-36.55%
20.0	8	6.17%	88	79	2	43	-5.2	-61.3	-3133	-5.56%
25.0	7	66.67%	50	60	588	298	-265.2	-204.0	-17,830	-39.58%
30.0	6	43.21%	52	49	507	668	-251.3	-283.8	-16,839	-45.06%

The simulation outcome clearly shows the trade-off phenomenon between the increase in train running time (resulting in an increase in user on-board time) and the reduction in energy consumption. Moreover, as can be seen, the reduction in energy consumption decreases with the increase of the selected percentile. This is due to the fact that higher the confidence level, higher the value of buffer time and, therefore, lower the value of the total layover time to be exploited for compensating the increase in running times resulting from the adoption of eco-driving strategies. Indeed, the maximum reduction occurs in the case of the 90th percentile with a headway of 30 min where decreases in energy consumption are higher than 46%.

Moreover, algorithm performances are shown in Tables 8–10, where objective function values and calculation times are indicated for any analyzed scenario. It is worth noting that in terms of calculation times, the approach 1 requires globally 81.88 h for analyzing all scenarios, while the approach 2, which needs 15.25 min for the definition of the performance matrix, requires 18.75 min providing a reduction in computing times equal to 99.62%.

H [min]	NC	â <sub>TLT</sub>	TEC <sup>ESS</sup> [€/Daily]	TUGC <sup>ESS</sup> [€/Daily]	Z(·) [€/Daily]	Master Algorithm Iterations	Slave Algorithm Iterations	Approach 1 Computing Times [h]	Approach 2 Computing Times [s]
12.5	13	49.52%	13,814	150,511	164,325	31	1473	2.70	13.71
13.5	12	37.04%	13,056	154,000	167,056	21	938	1.72	8.00
14.5	11	43.21%	13,425	156,664	170,089	31	995	1.77	8.99
15.0	11	58.71%	10,618	161,367	171,985	31	1724	3.21	8.04
16.0	10	34.57%	11,923	162,469	174,392	21	358	1.30	5.33
17.0	10	38.55%	8330	171,736	180,066	31	1074	3.98	6.44
18.0	9	37.04%	9808	171,797	181,606	21	479	1.72	4.57
19.0	9	58.30%	7358	180,661	188,019	31	2131	4.08	5.62
20.0	8	33.33%	9589	177,513	187,102	21	727	1.30	3.82
25.0	7	55.56%	5174	206,508	211,683	21	1563	3.03	2.89
30.0	6	46.96%	4016	227,726	231,743	41	3430	6.72	3.60

Table 8. Solution algorithm performance in the case of 90th percentile.

Table 9. Solution algorithm performance in the case of 95th percentile.

H [min]	NC	â <sub>TLT</sub>	TEC <sup>ESS</sup> [€/Daily]	TUGC <sup>ESS</sup> [€/Daily]	Z(·) [€/Daily]	Master Algorithm Iterations	Slave Algorithm Iterations	Approach 1 Computing Times [h]	Approach 2 Computing Times [s]
12.5	13	41.43%	14,313	149,897	164,210	41	1817	3.31	15.49
13.5	12	39.51%	13,507	153,461	166,968	21	859	1.56	7.60
14.5	11	54.32%	14,160	156,033	170,194	21	507	0.89	6.77
15.0	11	61.32%	10,922	160,751	171,673	31	1627	3.02	8.93
16.0	10	27.85%	12,578	161,868	174,446	31	877	1.55	8.02
17.0	10	34.57%	8490	171,232	179,722	21	1347	2.56	5.26
18.0	9	45.68%	10,136	171,217	181,353	21	861	1.56	4.79
19.0	9	66.67%	7578	180,084	187,663	21	1383	2.64	4.34
20.0	8	27.85%	10,043	176,984	187,027	31	877	1.55	5.24
25.0	7	84.22%	5696	205,669	211,365	31	2197	4.24	3.84
30.0	6	33.33%	4095	227,409	231,504	21	1676	3.28	2.32

Table 10. Solution algorithm performance in the case of 97.5th percentile.

H [min]	NC	α̂ <sub>TLT</sub>	<i>TEC<sup>ESS</sup></i> [€/Daily]	TUGC <sup>ESS</sup> [€/Daily]	Z(·) [€/Daily]	Master Algorithm Iterations	Slave Algorithm Iterations	Approach 1 Computing Times [h]	Approach 2 Computing Times [s]
12.5	13	50.89%	14,759	149,409	164,168	31	1251	2.26	11.81
13.5	12	37.04%	13,958	152,995	166,953	21	778	1.40	7.54
14.5	11	100%	14,992	155,634	170,626	21	277	0.48	6.33
15.0	11	67.90%	11,320	160,227	171,547	21	1028	1.90	6.25
16.0	10	6.17%	13,325	161,469	174,795	31	562	0.98	7.30
17.0	10	41.98%	8560	170,801	179,361	21	1315	2.49	4.89
18.0	9	60.08%	10,538	170,657	181,195	31	1155	2.08	5.92
19.0	9	48.42%	7522	179,699	187,221	31	2051	3.90	5.38
20.0	8	6.17%	10,640	176,585	187,225	31	562	0.98	5.03
25.0	7	66.67%	5443	205,414	210,857	21	1503	2.90	2.87
30.0	6	43.21%	4106	226,873	230,979	31	2509	4.90	2.89

Finally, Figure 5 shows the objective function trend during the master algorithm in the first scenario of 95th percentile (i.e., H = 12.5 min and NC = 13 rail convoys).



Figure 5. Objective function values.

#### 5. Conclusions and Research Prospects

Given the key role of energy-saving policies within rail transport management, the proposed approach aims to provide a decision support tool for handling the implementation of eco-driving profiles. The challenge is to reduce energy consumption without prejudicing service stability and by preserving passengers' satisfaction.

For this purpose, a bilevel analytical framework has been developed which allows to properly estimate all the involved operational factors and the correlations existing among them. Moreover, the allocation of reserve times has been optimized, with the aim of compensating for the increase in train running time generated by such energy-saving measures, and the trade-off between reductions in energy consumption and increases in passenger generalized cost has been investigated.

The presented methodology enables a very realistic assessment of the system, however, as research prospect, we propose to estimate dwell times as flow-dependent factors, rather than as fixed values, so as to derive them with a high degree of accuracy. This could result very useful in an energy-saving perspective; indeed, also eventual time rates exceeding the minimum dwell time required for the boarding/alighting process may be exploited for supporting the implementation of energy-saving strategies preserving service stability.

Moreover, the proposed approach consists in identifying the compromise between energy reductions and travel time increases among solutions which maximize the layover time use (i.e., maximise energy reduction). Hence, as research prospect, we propose to investigate the possibility of developing a different multidimensional optimization problem without the constraint of layover time use maximization. Indeed, greater the freedom degree of the objective function, lower the minimum value achievable.

In order to validate the described methodology, we propose to apply the bilevel optimization problem in the case of a railway network more complex than an isolated railway line. Finally, we suggest investigating effects in terms of solution goodness and/or compromise achievements (between energy reductions and travel time increases) in the case of integration of the slave algorithm with a procedure for determining optimal speed profiles with coasting strategy adoption.

Author Contributions: Conceptualization, L.D.; Data curation, M.B.; Formal analysis, L.D.; Investigation, M.B.; Methodology, L.D.; Validation, L.D. and M.B.; Writing–original draft, L.D. and M.B.; Writing–review and editing, L.D. and M.B.

**Funding:** This research was partially funded by Federico II University of Naples (Italy), grant number 000009-ALTRO\_R-2016-BM "Local Public Transport in Italy".

**Conflicts of Interest:** The authors declare no conflicts of interest. The funders had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, or in the decision to publish the results.

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