



## Article

# A Class of Control Strategies for Energy Internet Considering System Robustness and Operation Cost Optimization

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**Abstract:** Aiming at restructuring the conventional energy delivery infrastructure, the concept of energy Internet (EI) has become popular in recent years. Outstanding benefits from an EI include openness, robustness and reliability. Most of the existing literatures focus on the conceptual design of EI and are lack of theoretical investigation on developing specific control strategies for the operation of EI. In this paper, a class of control strategies for EI considering system robustness and operation cost optimization is investigated. Focusing on the EI system robustness issue, system parameter uncertainty, external disturbance and tracking error are taken into consideration, and we formulate such robust control issue as a structure specified mixed  $H_2/H_\infty$  control problem. When formulating the operation cost optimization problem, three aspects are considered: realizing the bottom-up energy management principle, reducing the cost involved by power delivery from power grid (PG) to microgrid (MG), and avoiding the situation of over-control. We highlight that this is the very first time that the above targets are considered simultaneously in the field of EI. The integrated control issue is considered in frequency domain and is solved by a particle swarm optimization (PSO) algorithm. Simulation results show that our proposed method achieves the targets.

**Keywords:** energy Internet; microgrids; mixed  $H_2/H_\infty$  control; optimal control; robust control

## 1. Introduction

Over the last few decades, global warming, energy crises and ecological issues have promoted the research of renewable power generation and distributed energy networks [1,2]. For the integration of a variety of distributed energy resources (DERs), MGs play an important role [3,4]. In MGs, the produced power by renewable energy sources (RESs) including photovoltaic (PV) units and wind turbine generators (WTGs) has disadvantages such as low inertia, uncertainty, and dynamic complexity [5,6]. In addition, the output power of electrical loads depends on residents' power usage customs and varies stochastically [7]. In MGs, to alleviate power imbalance, and to regulate voltage/frequency oscillation, the control of MGs is a subject of both practical and theoretical importance [8].

Following the principle of smart grids specializing in informatization and intellectualization of the existing power systems [9], and to solve the aforementioned challenges within the scenario of multiple interconnected MGs, the new concept of EI is proposed [10] and is considered to be an upgraded version of smart grid [11]. The definition and interpretation of EI are briefly outlined as follows. Inspired by cores of the Internet, the EI can be viewed as an Internet-style solution to energy related problems by integrating bi-directional flows of information and power [12]. Within the scope of EI, energy production and consumption are coordinated decentrally, such that open and peer-to-peer energy sharing is enabled. An iterative balance among power generation, storage and consumption

shall be achieved in real time. In an EI scenario, multiple MGs are interconnected via energy router (ERs) [13], which are also known as energy hubs [14], or power routers [15]. Different from the top-down mode in the existing power systems, bottom-up is a fundamental energy management principle in EI [11]. To achieve such target, each individual MG in EI shall be able to regulate the power deviation with its local energy storage, generation and consumption devices with priority. If power balance in any MG is hard to be achieved autonomously, other MGs can send/absorb electrical energy to/from it via ERs, helping achieve its local power balance [11]. By scheduling and routing across energy cells in EI efficiently, secure and reliable power delivery can be achieved [12].

In the field of EI, when multiple MGs are interconnected, the related energy management and control issues are more complicated than the ones in isolated MG. Optimal control problems regarding energy management have been popular. For example, coordinated optimal control algorithm for smart distribution management system in multiple MGs is investigated in [16]. Applying a multi-objective stochastic optimization approach to solve the optimal energy management issues in MGs has been reported in [17]. To achieve an optimized operation of an off-grid MG, nonlinear droop relations are implemented in [18]. In [19], optimal control strategy for MG under both off-grid and grid-connected mode has been studied. Distributed control and optimization in DC MGs has been investigated in [20].

On the other hand, robust control problems in the fields of MG and EI have received much attention in the past few years. For instance, in [21], both  $H_\infty$  and  $\mu$ -synthesis approaches are utilized to regulate AC bus frequency deviations in an off-grid MG. A descriptor system  $H_\infty$  approach has been applied in autonomous MGs to improve signal stability [22]. In [23], the issue of voltage control in an EI scenario is formulated as a non-fragile robust  $H_\infty$  control problem regarding an uncertain stochastic nonlinear system, and it is solved via a linear matrix inequality approach. Robust  $H_\infty$  load-frequency control (LFC) in a hybrid distributed generation system has been studied in [24].

For different optimal control problems mentioned in e.g., [16–20], a variety of optimization targets are considered to formulate the  $H_2$  performance. For robust control problems discussed in e.g., [21–24],  $H_\infty$  performance has been focused. When both  $H_2$  and  $H_\infty$  performances are considered simultaneously, the associated issues are called mixed  $H_2/H_\infty$  control problems. The applications of mixed  $H_2/H_\infty$  control theory in the field of MG have attracted much attention, and significant advances on this topic have been made. Some related research outputs are illustrated as follows. For an islanded AC MG, the problems of operation cost optimization and frequency regulation are formulated as a mixed  $H_2/H_\infty$  control problem in deterministic systems in [25]. In [26], the robust mixed  $H_2/H_\infty$  voltage control strategy is designed to improve signal stability and fault ride through capability for an islanded MG. It has been shown that the fixed structure mixed  $H_2/H_\infty$  control technique can be used to obtain a coordinated vehicle-to-grid control and frequency controller for robust LFC in a smart grid [27]. A robust mixed  $H_2/H_\infty$  based LFC of a deregulated power system including superconducting magnetic energy storage has been proposed in [28]. For other works regarding the application of mixed  $H_2/H_\infty$  control into MGs, readers can refer to [29,30], etc. It is notable that, although a mixed  $H_2/H_\infty$  control technique has been widely used in conventional power systems, there has been little work applying such control schemes into the field of EI.

When multiple MGs are interconnected via ERs, no matter if they are grid-connected or not, there are a variety of optimal and robust control problems worth considering. In this paper, we are concerned with the problems of controller design for EI considering system robustness and operation cost optimization. A series-shaped EI is studied in this article. Within the considered EI scenario, three MGs are interconnected successively and one MG has access to the main PG. In MGs, we assume that there exist the following elements: PV units, WTGs, fuel cells (FCs), hydrogen tanks (HTs), electrolyzers (ESs), micro-turbines (MTs), heat pumps (HPs), plug-in hybrid electric vehicles (PHEVs), diesel engine generators (DEGs), battery energy storage (BES) devices, flywheel energy storage (FES) devices, ERs and normal loads. The system of EI is formulated via a frequency domain approach. When the above robust and optimal control targets are considered simultaneously, PI controllers are utilized in ESs, MTs, HPs, PHEV, DEGs, the transmission line between  $MG_1$  and  $MG_2$ , and the transmission

line between MG<sub>2</sub> and MG<sub>3</sub>. Then, we solve such control problem via a PSO algorithm [31]. Next, simulations demonstrate the usefulness and effectiveness of our proposed controller.

The importance and contribution of this work can be outlined as follows. As is mentioned above, some existing works only investigate either optimization of operation cost and energy management (e.g., [16–20]), or robustness against internal instability and external disturbances (e.g., [21–24]) of MG systems. In this paper, this is the very first time that both two aspects are considered simultaneously in the field of EI, rather than in conventional energy systems. The regulation of system robustness and tracking performance are formulated as a mixed  $H_2/H_\infty$  control problem. Our work can be viewed as an extension as well as a generalized version for the ones focusing on single islanded or grid-connected MG. Comparing this paper with some existing ones adopting a time domain approach (e.g., [7,23,25]), our work formulated in the frequency domain has the advantage that it is convenient to be implemented in actual dynamic situations. With the proposed controller, the following targets are achieved simultaneously: (1) the system robustness against parameter uncertainty and external disturbance is achieved; (2) the tracking error is a controller to a relative low level; (3) the bottom-up energy management principle is achieved, such that an autonomous power balance in each MG is achieved with priority; (4) the cost involved by power delivery from PG to MG has been considered; (5) the controllable devices in MGs are utilized rationally, and the situation of over-control is avoided; (6) considering different preferences of the system manager, the importance of each control target can be adjusted by changing the size of its corresponding weighting coefficient; and (7) in simulation results, it is shown that the proposed controller performs better than the conventional ones do. It is highlighted that our work is of both theoretical and practical importance.

The rest of the paper is organized as follows: Section 2 introduces the system modelling. The control problem is formulated and solved in Section 3. Section 4 presents some simulations. Finally, some conclusions are drawn in Section 5.

## 2. System Modelling

In this section, we focus on a series-shaped EI system with three ERs. Every component of the system is modelled with first order transfer function in frequency domain. Then, an explicit mathematical control system is obtained.

### 2.1. The Scenario of an EI

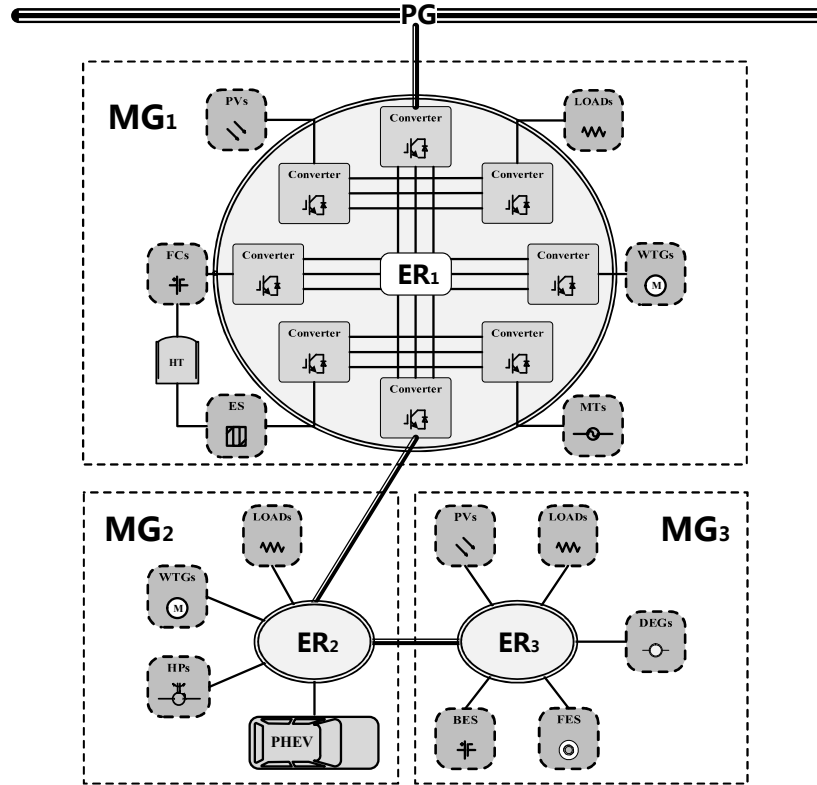
A series-shaped EI is studied in this article. MG<sub>1</sub>, MG<sub>2</sub> and MG<sub>3</sub> are connected successively. In addition, we assume that MG<sub>1</sub> is connected to PG. All of the ERs are designed to be based on AC bus lines. Figure 1 shows the topology of the studied EI system.

In MG<sub>1</sub>, PV units, WTGs, loads, FCs, MTs, HTs and ESs are connected to ER<sub>1</sub> via converters. The main power supply in MG<sub>1</sub> is assumed to rely on power output by PV units and WTGs. If the power generation by PV units and WTGs is not enough for power consumption in MG<sub>1</sub>, highly controllable power generators such as MTs and FCs are utilized to fill the power supply-demand gap. Whenever there exists superfluous energy in MG<sub>1</sub>, ESs are used to convert electric energy into hydrogen, which is stored in HTs. Hydrogen can be used to generate power by FCs. Normal loads such as housings or factories have access to ER<sub>1</sub> as well. In addition, MG<sub>1</sub> is designed to have a connection to PG and ER<sub>2</sub>.

MG<sub>2</sub> is designed to have access to different components in MG<sub>1</sub>, except for the requisite local loads. WTGs are utilized as the major power generators in MG<sub>2</sub>. We assume that, in residential communities and cluster charging stations, large amounts of highly controllable HPs and PHEVs are connected to ER<sub>2</sub>. When the power generation is larger than consumption in MG<sub>2</sub>, the access of HPs and PHEVs shall be able to ensure the power balance of MG<sub>2</sub>. Whenever MG<sub>2</sub> suffers from a lack of electricity, power can be transmitted from MG<sub>1</sub> and MG<sub>3</sub> via ER<sub>1</sub> and ER<sub>2</sub>, respectively.

We assume that MG<sub>3</sub> is only connected with MG<sub>2</sub> and these two MGs are far away from each other. Thus, the dynamic response of power transmission line is slower than that of local devices in

MG<sub>3</sub>. Assuming that MG<sub>3</sub> is sensitive to power deviation, responsive energy storage devices such as BES and FES devices are essential to keep its power balance. In addition, another kind of highly controllable power generator, DEGs, have connections with MG<sub>3</sub>. PV units and loads are also included in MG<sub>3</sub>.



**Figure 1.** The studied series-shaped energy Internet (EI) system.

## 2.2. Linearized Block Diagram

Power balance equation of MG<sub>1</sub>, MG<sub>2</sub> and MG<sub>3</sub> can be expressed in Equations (1), (2) and (3), respectively:

$$\Delta P_1 = P_{PV1} + P_{WTG1} + P_{FC} + P_{MT} + P_{PG} - (P_{ES} + P_{ER12} + P_{LOAD1}), \quad (1)$$

$$\Delta P_2 = P_{WTG2} + P_{ER12} - (P_{PHEV} + P_{HP} + P_{ER23} + P_{LOAD2}), \quad (2)$$

$$\Delta P_3 = P_{PV3} + P_{DEG} + P_{ER23} - P_{LOAD3} \pm (P_{BES} + P_{FES}). \quad (3)$$

In this paper,  $\Delta P_{ES}$  and  $\Delta P_{FC}$  are approximated by a first order transfer function [32], as is shown in Equations (4) and (5):

$$\Delta P_{ES} = \frac{K_{ES}}{1 + T_{ESS}} \Delta f_1, \quad (4)$$

$$\Delta P_{FC} = \frac{K_{FC}}{1 + T_{FCS}} \Delta f_1. \quad (5)$$

Considering the linear power versus frequency droop characteristics,  $\Delta P_{MT}$  is obtained by Equation (6):

$$\Delta P_{MT} = -\frac{1}{K_{MT}} \Delta f_1. \quad (6)$$

Relative phase angle [rad] between PG and ER<sub>1</sub> is obtained by Equation (7):

$$\theta = 2\pi f_0 \int \Delta f_1 dt. \quad (7)$$

Let us denote  $\theta$  as the relative phase angle and  $X_{PG}$  as line reactance. Consequently,  $P_{PG}$  is given by Equation (8):

$$P_{PG} = \frac{\sin\theta}{X_{PG}}. \quad (8)$$

Based on previous studies [23,33],  $P_{HP}$ ,  $P_{PHEV}$  and  $P_{ER12}$  are obtained by the following equations:

$$P_{HP} = \frac{K_{HP}}{1 + T_{HP}s} K_{HPC} \Delta f_2, \quad (9)$$

$$P_{PHEV} = \frac{K_{PHEV}}{1 + T_{PHEV}s} K_{PHEVC} \Delta f_2, \quad (10)$$

$$P_{ER12} = \frac{b_{ER12}}{1 + T_{ER12}s} K_{ER12C} \Delta f_2. \quad (11)$$

We assume that BES and FES devices are equipped with internal controllers and respond to the AC bus frequency deviation [21].  $P_{BES}$  and  $P_{FES}$  can be obtained by:

$$P_{BES} = \frac{1}{1 + T_{BES}s} \Delta f_3, \quad (12)$$

$$P_{FES} = \frac{1}{1 + T_{FES}s} \Delta f_3. \quad (13)$$

$P_{DEG}$  and  $P_{ER23}$  are obtained by Equations (14) and (15):

$$P_{DEG} = \frac{1}{1 + T_{DEG}s} K_{DEGC} \Delta f_3, \quad (14)$$

$$P_{ER12} = \frac{b_{ER23}}{1 + T_{ER23}s} K_{ER23C} \Delta f_3. \quad (15)$$

Rapid or oversized power deviation may lead to instability of the AC bus frequency oscillation in MGs. With desired control strategies in MG<sub>1</sub>, MG<sub>2</sub> and MG<sub>3</sub>, power balance in these MGs can be achieved, and instability of  $\Delta f_1$ ,  $\Delta f_2$  and  $\Delta f_3$  can be avoided. In this paper, PI controllers are utilized on ESs, MTs, HPs, PHEV, DEGs, the transmission line between MG<sub>1</sub> and MG<sub>2</sub>, and the transmission line between MG<sub>2</sub> and MG<sub>3</sub>. Then, we have:

$$\begin{cases} \Delta P_{ESC} = K_{ESC}(s) \cdot P_{PG}, \\ \Delta P_{MTC} = K_{MTC}(s) \cdot P_{PG}, \\ U_{ER12} = K_{ER12C}(s) \cdot \Delta f_2, \\ U_{HP} = K_{HPC}(s) \cdot \Delta f_2, \\ U_{PHEV} = K_{PHEVC}(s) \cdot \Delta f_2, \\ U_{DEG} = K_{DEGC}(s) \cdot \Delta f_3, \\ U_{ER23} = K_{ER23C}(s) \cdot \Delta f_3, \end{cases} \quad (16)$$

where

$$\left\{ \begin{array}{l} K_{ESC}(s) = K_{P_{ES}} + K_{I_{ES}}/s, \\ K_{MTC}(s) = K_{P_{MT}} + K_{I_{MT}}/s, \\ K_{ER12C}(s) = K_{P_{ER12}} + K_{I_{ER12}}/s, \\ K_{HPC}(s) = K_{P_{HP}} + K_{I_{HP}}/s, \\ K_{PHEVC}(s) = K_{P_{PHEV}} + K_{I_{PHEV}}/s, \\ K_{DEGC}(s) = K_{P_{DEG}} + K_{I_{DEG}}/s, \\ K_{ER23C}(s) = K_{P_{ER23}} + K_{I_{ER23}}/s. \end{array} \right.$$

According to Equations (1), (4)–(8) and (16), the linearized block diagram of MG<sub>1</sub> is illustrated in Figure 2. According to Equations (2), (9)–(11) and (16), the linearized block diagram of MG<sub>2</sub> is illustrated in Figure 3. According to Equations (3), (12)–(16), the linearized block diagram of MG<sub>3</sub> is illustrated in Figure 4.

Based on inverse Laplace transformation and the frequency-domain block diagram in Figures 2–4, we are able to transform the studied EI system from Equations (1)–(16) into an explicit mathematical control system:

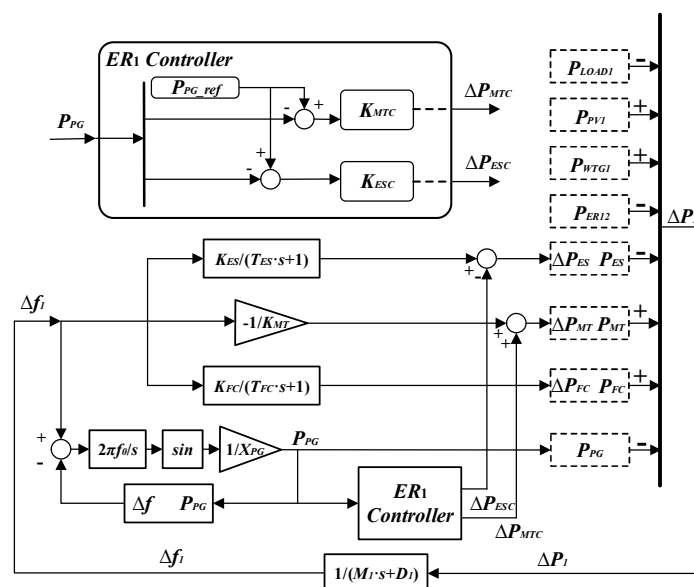
$$\begin{cases} \dot{x} = Ax + Bu, \\ y = Cx + Du, \end{cases} \quad (17)$$

where  $x$  is state vector,  $y$  is output vector and  $u$  is control output, expressed as:

$$\begin{aligned} x &= \begin{bmatrix} \Delta P_{ES} & P_{PG} & \Delta f_1 & P_{HP} & P_{PHEV} & P_{ER12} & \Delta f_2 & P_{DEG} & P_{ER23} & \Delta f_3 \end{bmatrix}', \\ y &= \begin{bmatrix} \Delta f_1 & \Delta f_2 & \Delta f_3 \end{bmatrix}', \\ u &= \begin{bmatrix} \Delta P_{MTC} & \Delta P_{ESC} & U_{ER12} & U_{HP} & U_{PHEV} & U_{DEG} & U_{ER23} \end{bmatrix}'. \end{aligned}$$

The EI system Equation (16) is a multi-input-multi-output (MIMO) control system with the nominal plant  $G$  and the controller  $K$ .

We highlight that various topologies of EI (e.g., series-shaped, annular-shaped, star-shaped, etc.) can be formulated into mathematical systems in the form of Equation (17). Hence, the investigation to series-shaped EI and the obtained results can be extended and applied into generalized EI scenarios.



**Figure 2.** The linearized block diagram of the first microgrid ( $\text{MG}_1$ ).

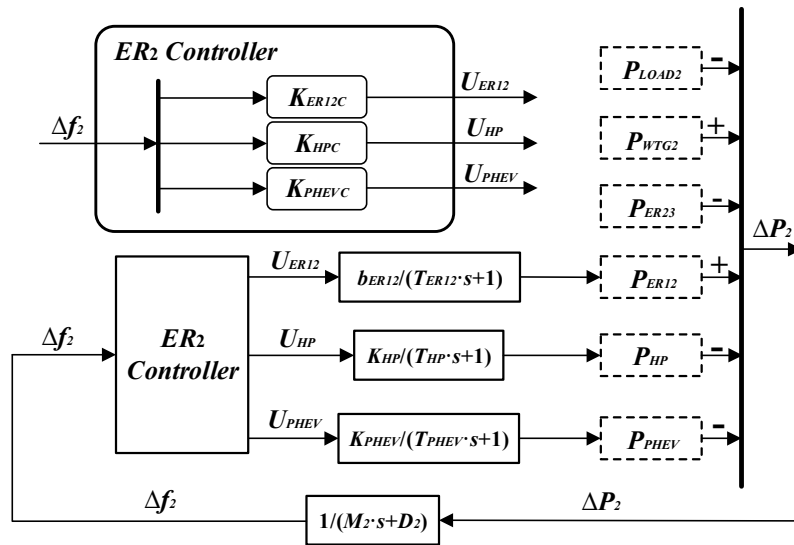


Figure 3. The linearized block diagram of the second microgrid (MG<sub>2</sub>).

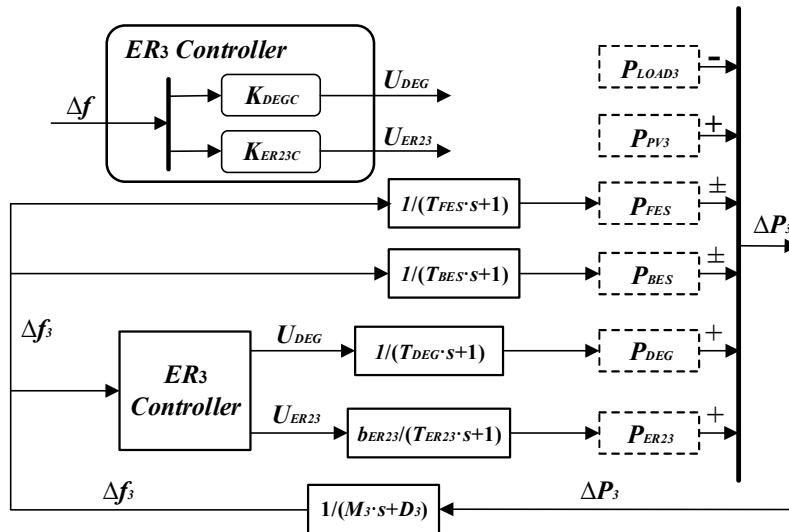


Figure 4. The linearized block diagram of the third microgrid (MG<sub>3</sub>).

### 3. Problem Formulation and Solution.

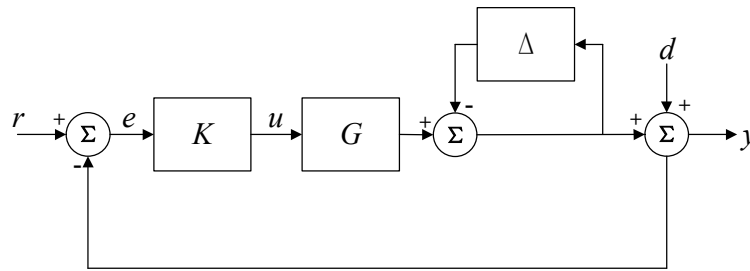
In this section, the EI system robustness issue is formulated as the structure specified mixed  $H_2/H_\infty$  control problem, whereas the operation cost management issue in EI is formulated as a multi-objective optimization problem. We consider such mixed robust and optimal control targets simultaneously, and we solve this control problem via a PSO algorithm [31].

#### 3.1. Robust Control for EI

For a practical system, parameter measurement error and various power oscillation are inevitable, which brings system uncertainties [25,26]. In addition, power generated by PV units depends heavily on the condition of light intensity, and power generated by WTGs depends heavily on the condition of wind power. Moreover, varieties of power consumption devices can change the dissipation of power. Thus, external disturbance to the system shall be taken into consideration when designing robust controllers.



Considering a MIMO control system with external disturbances and system uncertainties, a nominal plant of the studied EI is denoted as  $G$ , and  $K$  represents the proposed controller.  $r(t)$ ,  $e(t)$ ,  $u(t)$ ,  $d(t)$  and  $y(t)$  stand for reference input, tracking error, control output, external disturbance and system output, respectively. Inverse output multiplicative uncertainty [34], denoted as  $\Delta$ , is utilized to model system uncertainties. System robustness and tracking performance are formulated as  $H_\infty$  and  $H_2$  performance, respectively. The structure specified mixed  $H_2/H_\infty$  control system is shown in Figure 5.



**Figure 5.** The control system of the studied EI with external disturbance and system uncertainties.

Based on the small gain theorem [35], a system with multiplicative uncertainties is stable if and only if Equation (18) holds:

$$\|\Delta \cdot (I + GK)^{-1}\|_\infty < 1, \quad (18)$$

where  $\|\cdot\|_\infty$  refers to the usual  $\mathcal{L}_\infty[0, \infty)$  norm. Thus, we have

$$\|\Delta\|_\infty < \frac{1}{\|(I + GK)^{-1}\|_\infty}. \quad (19)$$

Based on Equation (19), the size of the system uncertainties is obtained by  $1/\|(I + GK)^{-1}\|_\infty$ , which also implies the robust stability margin against the system uncertainties. Hence, the controlled system's robust stability is maximized when  $\|(I + GK)^{-1}\|_\infty$  is minimized. The robust  $H_\infty$  control objective function is formulated as  $J_\infty$ :

$$J_\infty = \|(I + GK)^{-1}\|_\infty. \quad (20)$$

In addition to robust stability and disturbance attenuation, tracking performance should be optimized as well [36]. The objective function of tracking error is formulated as the integral of the squared error:

$$J_e = \int_0^\infty e'(t)e(t)dt = \|E(s)\|_2^2, \quad (21)$$

where  $\|\cdot\|_2$  stands for the usual  $\mathcal{L}_2[0, \infty)$  norm, and  $e(t) = r(t) - y(t)$  is the tracking error, figured out by the inverse Laplace transformation of  $E(s)$  with  $\Delta = 0$  and  $d(t) = 0$ :

$$E(s) = (I + GK)^{-1}R(s). \quad (22)$$

Thereby, considering system robustness, the structure specified mixed  $H_2/H_\infty$  control objective function is obtained by  $J_1$  given as follows:

$$J_1 = J_e + J_\infty. \quad (23)$$

### 3.2. Operation Cost Optimization

The operation cost of the studied system includes varieties of aspects among which three objective functions are identified below.



The first objective is to regulate the power transmission between every two connected MGs to a relatively low level. According to the bottom-up principle for EI, the autonomous power balance in each MG shall be achieved preferably. Equivalently, power transmission  $P_{ER12}$  and  $P_{ER23}$  are expected to be kept within a relatively small amount. According to the linearized block diagrams of MG<sub>2</sub> and MG<sub>3</sub>, the objective function can be formulated as  $J_{Trans}$ :

$$J_{Trans} = \left\| \frac{b_{ER12}}{1 + T_{ER12}s} K_{ER12C} \right\|_2^2 + \left\| \frac{b_{ER23}}{1 + T_{ER23}s} K_{ER23C} \right\|_2^2. \quad (24)$$

The second objective function is focused on reducing the cost involved by power delivery from PG to MG. Within the scope of EI, the autonomous power generation is preferably nominated as the main energy supply source in a MG [10,11]. If a MG relies heavily on power exchange with PG to maintain its operation, it will not only violate the energy management principles of the EI, but also lead to expensive electricity purchasing cost. When economic benefit is concerned, both electricity price and the amount of power transmitted from PG to MG shall be considered. Normally, the electricity price varies over time by hours [37]. In this article, we focus on a time slot no more than one hour during which the electricity price is assumed to be constant. The economic cost involved by power delivery from PG to MG can be formulated as the 2-norm square of the product of electricity price and power transmitted from PG to MG<sub>1</sub>:

$$J_{Cost} = \left\| Price_e \cdot \frac{\sin\left(\frac{2\pi f_0}{s}\right)}{X_{PG}} \right\|_2^2, \quad (25)$$

where  $Price_e$  is the electricity price based on real-time electricity market. Thus, Equation (25) can be viewed as the second objective function.

The third objective function aims at reducing the additional cost involved by all the controllers utilized in the studied EI system. Although a stronger controller may lead to better performance, the probability of over-control is greatly increased. The situation of over-control will trigger additional costs for the operation of EI. The cost function  $J_{Ch}$  is utilized to estimate the cost involved by the controllers:

$$J_{Ch} = \sum_{k \in \Omega} \|k\|_2^2, \quad (26)$$

where  $\Omega$  is the set of all the controllers in the studied EI system. According to Section 2, we have  $\Omega = \{K_{ESC}, K_{MTC}, K_{HPC}, K_{PHEVC}, K_{DEGC}, K_{ER12C}, K_{ER23C}\}$ . By minimizing  $J_{Ch}$ , the situation of over-control can be avoided effectively.

Taking three objective Equations (24)–(26) and the preference of the decision maker into consideration, the system operation cost function is formulated by:

$$J_2 = \omega_1 J_{Trans} + \omega_2 J_{Cost} + \omega_3 J_{Ch}, \quad (27)$$

where  $\omega_1$ ,  $\omega_2$  and  $\omega_3$  are weighting coefficients.

### 3.3. The Mixed Control Objective

The mixed control target is described by the sum of the structure specified mixed  $H_2/H_\infty$  control objective function and the system cost optimization control objective, defined as

$$J = J_1 + J_2. \quad (28)$$

In this paper, our control target is to minimize  $J$ , subject to:

$$\begin{cases} K_{P_{min}} < K_P < K_{P_{max}} \\ K_{I_{min}} < K_I < K_{I_{max}} \end{cases} \quad (29)$$

In (29),  $K_P \in \Phi_P$  and  $K_I \in \Phi_I$ .  $\Phi_P$  is the set of all the proportion parameters, and  $\Phi_P = \{K_{P_{ES}}, K_{P_{MT}}, K_{P_{ER12}}, K_{P_{HP}}, K_{P_{PHEV}}, K_{P_{DEG}}, K_{P_{ER23}}\}$ .  $\Phi_I$  is the set of all the integral parameters, and  $\Phi_I = \{K_{I_{ES}}, K_{I_{MT}}, K_{I_{ER12}}, K_{I_{HP}}, K_{I_{PHEV}}, K_{I_{DEG}}, K_{I_{ER23}}\}$ .  $K_{P_{min}}$  and  $K_{P_{max}}$  are the minimum and maximum parameters of the proportion part of the controllers;  $K_{I_{min}}$  and  $K_{I_{max}}$  are the minimum and maximum parameters of the integral part of the controllers.

### 3.4. Solution to the Studied Control Problem

It is notable that the control problem described in Equations (28)–(29) can be solved by the PSO algorithm [31]. The flowchart of the PSO algorithm is shown in Figure 6, and the detailed implementation process is introduced as follows:

1. Decide the numbers and the range of movement of the particles. Initialize them with random velocities and positions.
2. Calculate the fitness value based on Equation (28) with the help of MATLAB (R2014b, MathWorks, Natick, MA, USA)  $\mu$ -Analysis and Synthesis Toolbox.
3. Calculate the best previously visited position  $pbest$  and the global best position  $gbest$ .
4. Update the velocity and position of particle with the following equations:

$$\begin{aligned} v_{i+1} &= w \cdot v_i + c_1 \cdot rand_1 \cdot (pbest_i - x_i) + c_2 \cdot rand_2 \cdot (gbest_i - x_i), \\ x_{i+1} &= x_i + v_{i+1}, \\ w &= w_{max} - \frac{w_{max} - w_{min}}{iter_{max}} iter. \end{aligned}$$

5. If  $iter_{max}$  is arrived, stop the circulation. Otherwise, go to process 2.

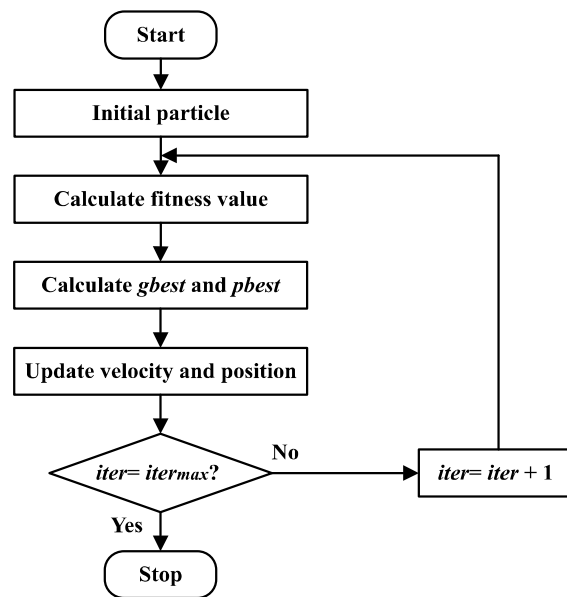


Figure 6. The flowchart of the particle swarm optimization (PSO) algorithm.

The simulation results are demonstrated in the next section.

#### 4. Simulation Results and Analysis

In this section, some simulation results and analysis are given to verify the effectiveness of the proposed controller compared with the conventional ones.

##### 4.1. Simulation Results under the Proposed Controller

According to data in [21], [32] and [33], system parameters are given in Table 1. We assume that these parameters are valid for one hour only. In the next couple of hours, the values of system parameters shall be different and should be re-estimated. In this sense, our proposed method can be applied to any time period of a day without essential difficulty. For tracking performance, the reference input  $R(s)$  in Equation (22) is chosen to be  $1/(s+5)$ . The parameters of PSO algorithm are: swarm size = 50; maximum iteration = 30;  $c_1 = 0.2$ ;  $c_2 = 0.2$ ;  $w_{min} = 0.4$  and  $w_{max} = 0.9$ . According to the simulation results in Figure 7, the value of the optimized objective function is 18.3267.

The proposed mixed  $H_2/H_\infty$  controller is:

$$\begin{cases} K_{ESC}(s) = 0.1246 + 0.2710/s, \\ K_{MTC}(s) = 0.3056 + 0.4100/s, \\ K_{ER12C}(s) = 0.4206 + 0.2710/s, \\ K_{HPC}(s) = 0.6666 + 0.2571/s, \\ K_{PHEVC}(s) = 0.3326 + 0.2948/s, \\ K_{DEGC}(s) = 0.1000 + 0.1000/s, \\ K_{ER23C}(s) = 0.7008 + 0.3066/s. \end{cases}$$

As is shown in Figure 8, the power generation by PV units and WTGs, as well as the power consumption of loads in the studied EI system, are assumed to be random in the investigated time period, simulated by forecasted models in [32].

Table 1. System parameters.

Parameters	Value	Parameters	Value	Parameters	Value
$M_1$	10	$K_{ES}$	100	$K_{MT}$	0.04
$D_1$	1	$T_{ES}(s)$	60	$T_{DEG}(s)$	2
$M_2$	15	$b_{ER12}$	10	$b_{ER23}$	10
$D_2$	2	$T_{ER12}(s)$	1.15	$T_{ER23}(s)$	1.15
$M_3$	20	$X_{PG}$	0.072	$T_{BES}(s)$	0.15
$D_3$	1.5	$f_0(\text{Hz})$	50	$T_{FES}(s)$	0.12
$K_{HP}$	10	$K_{PHEV}$	10	-	-
$T_{HP}(s)$	0.2	$T_{PHEV}(s)$	0.3	-	-

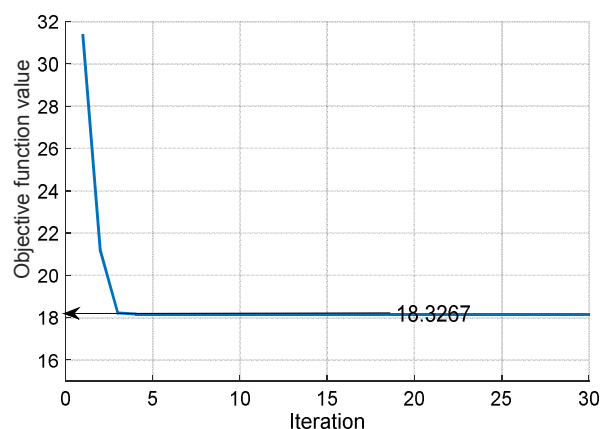
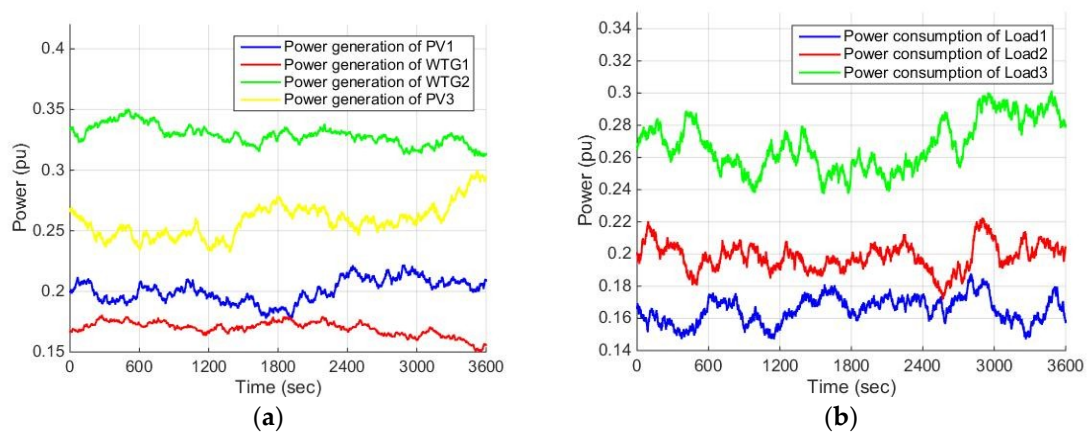


Figure 7. Objective function value.



**Figure 8.** Local power generation and consumption; (a) power generation of photovoltaic (PV) units and wind turbine generator (WTGs); (b) power consumption of loads.

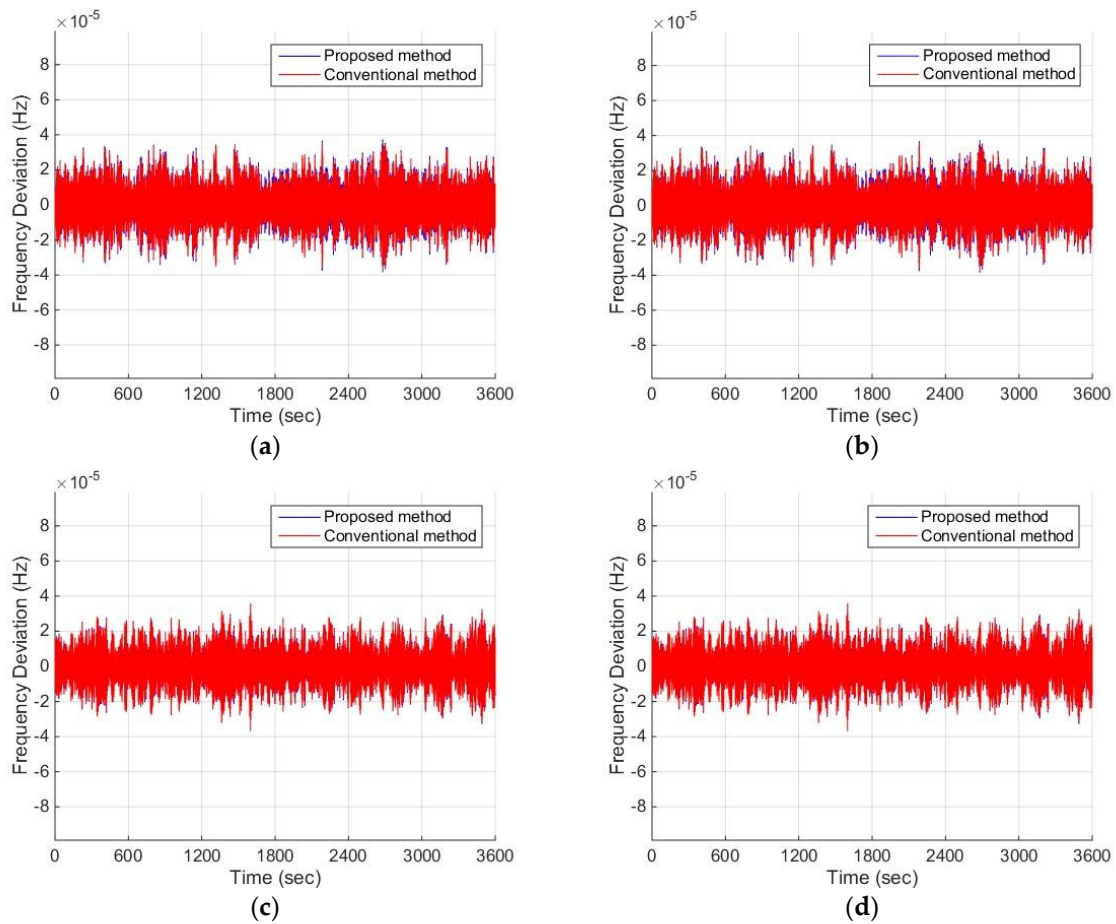
The effect of the proposed method is compared with that of the conventional ones. Conventional methods include using only robust control, which minimizes  $J_1$  in Equation (23) subject to Equation (29) and using only optimal control, which minimizes  $J_2$  in Equation (27) subject to Equation (29).

#### 4.2. Comparing the Proposed Controller with the Optimal Controller

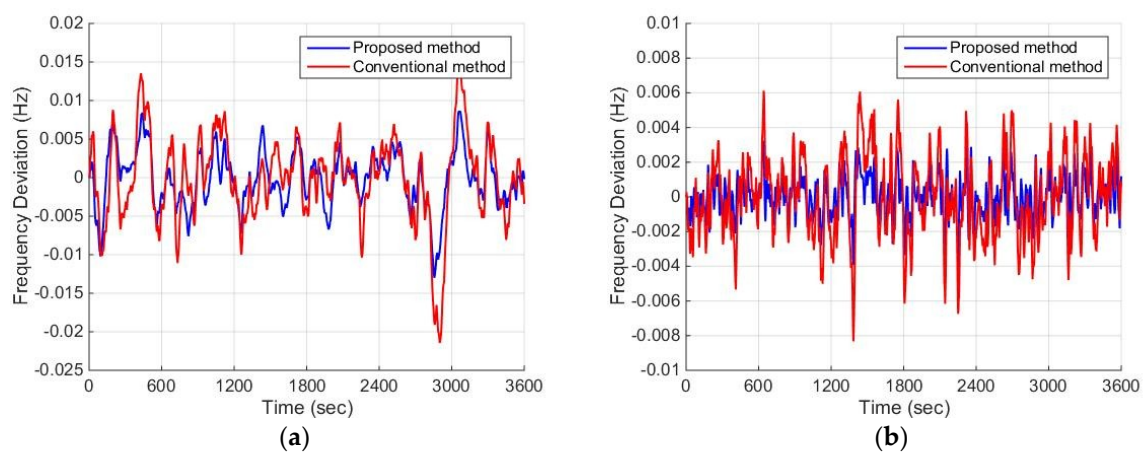
Let the conventional method be only using optimal control strategies, which minimizes  $J_2$  in Equation (27) subject to Equation (29). In this subsection, the parameter uncertainty is represented by a 50% increase of the original system parameters in Table 1, whereas a sudden increase of load power in three MGs (10% increase of  $P_{LOAD1}$ ,  $P_{LOAD2}$ ,  $P_{LOAD3}$ ) is implemented as external disturbances.

The controlled frequency deviation of MG<sub>1</sub> obtained by both the proposed method and the conventional method are illustrated in Figure 9 including the following four situations: (a) without external disturbance or system parameter uncertainties; (b) with external disturbance only; (c) with system parameter uncertainties only; and (d) with both external disturbance and system parameter uncertainties. The frequency deviation of MG<sub>1</sub> is relatively small, and the difference of the control effect of the proposed method and the conventional method is not obvious, which are due to the connection of MG<sub>1</sub> to PG.

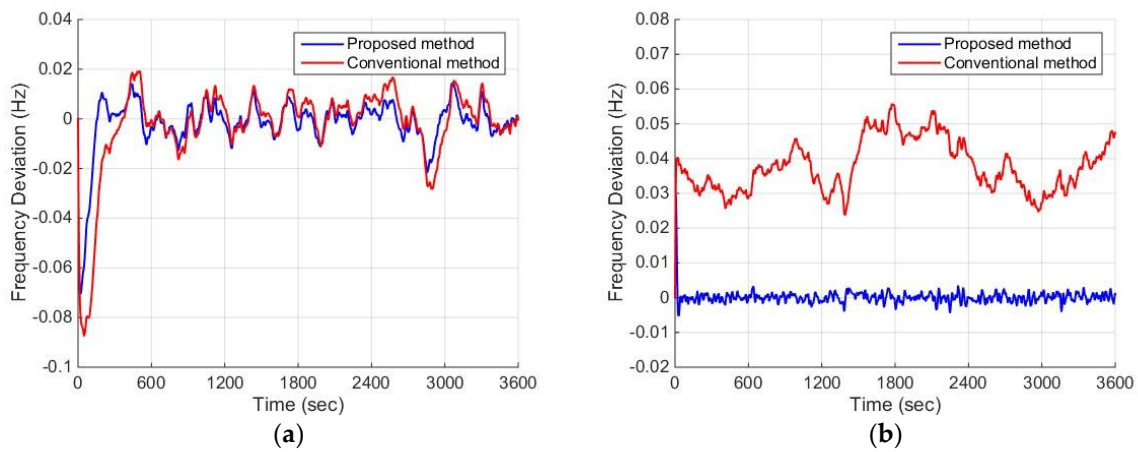
Frequency deviations of MG<sub>2</sub> and MG<sub>3</sub> without disturbance or uncertainties are illustrated in Figure 10. Obviously, the proposed method can stabilize the frequency of AC bus in MG<sub>2</sub> and MG<sub>3</sub> more efficiently. When only the external disturbance is considered, according to Figure 11, the proposed method has several advantages: the response speed is faster, the overshoot is smaller, and the transition period is shorter than the conventional method. When only system parameter uncertainty is considered, the frequency deviations of MG<sub>2</sub> and MG<sub>3</sub> are illustrated in Figure 12. The results show the effectiveness of the proposed controller. Moreover, under both external disturbance and system uncertainties, the studied EI system shows better performance with the proposed method, as is shown in Figure 13.



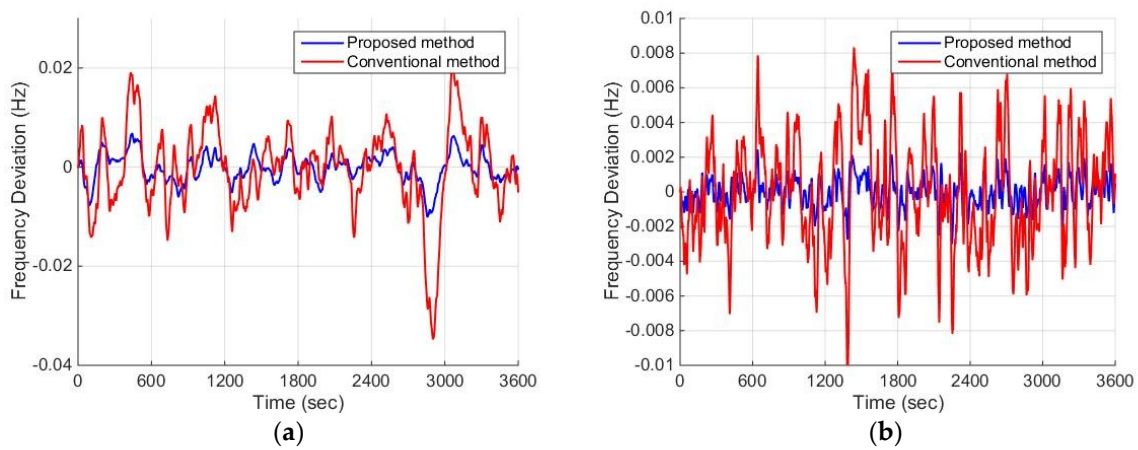
**Figure 9.** Frequency deviation of  $MG_1$ : (a) without disturbance or uncertainties; (b) with external disturbance; (c) with system parameter uncertainties; and (d) with external both disturbance and system parameter uncertainties.



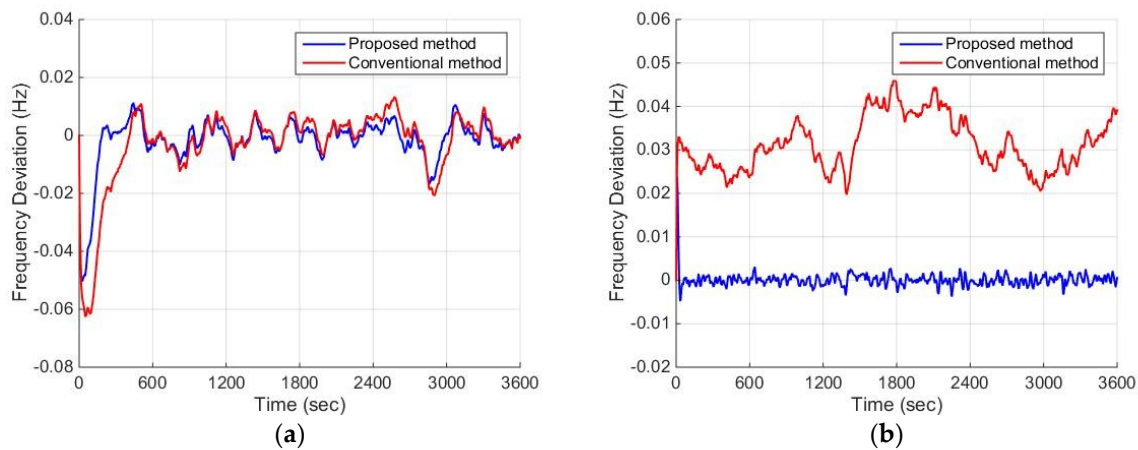
**Figure 10.** Frequency deviation without disturbance or uncertainties: (a)  $MG_2$ ; (b)  $MG_3$ .



**Figure 11.** Frequency deviation with external disturbance: (a) MG<sub>2</sub>; (b) MG<sub>3</sub>.



**Figure 12.** Frequency deviation with system parameter uncertainties: (a) MG<sub>2</sub>; (b) MG<sub>3</sub>.



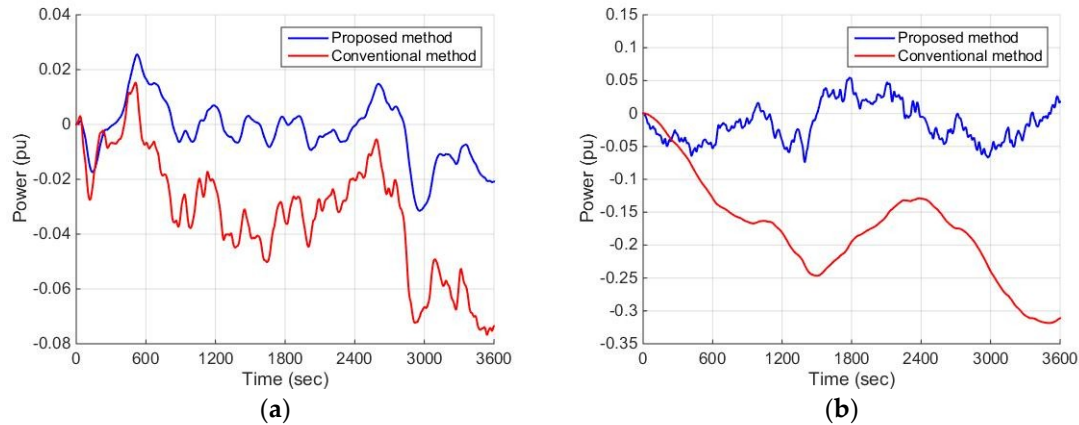
**Figure 13.** Frequency deviation with external disturbance and system parameter uncertainties: (a) MG<sub>2</sub>; (b) MG<sub>3</sub>.

#### 4.3. Comparing the Proposed Controller with the Robust Controller

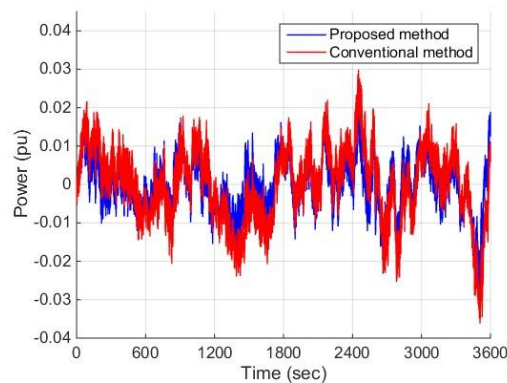
Let the conventional method be only using robust control strategies  $J_1$  in Equation (23) subject to Equation (29).



Figure 14 shows the power transmission between two adjacent MGs under the proposed controller and the conventional robust controller. Power transmission between PG and MG<sub>1</sub> is illustrated in Figure 15. It is obvious that, using the proposed method, the transmission power between two adjacent MGs and that between PG and MG<sub>1</sub> can be reduced effectively.



**Figure 14.** Power transmission between two adjacent MGs. (a) power transmission between MG<sub>1</sub> and MG<sub>2</sub>; (b) power transmission between MG<sub>2</sub> and MG<sub>3</sub>.



**Figure 15.** Power transmission between power grid (PG) and MG<sub>1</sub>.

#### 4.4. Some More Case Studeis

To show the impact of different weights on the objective function, two more cases are studied. The weight of a certain objective function can reflect the tendency of decision makers.

In Case 1, we assume that the physical distance between the interconnected MGs is long, leading to relatively high power transmission cost between MGs. Thus, the main energy exchange is preferably to be achieved between PG and MGs. In Case 2, decision makers are more inclined to reduce energy exchange with PG and control the whole EI system through the power flow between MGs.

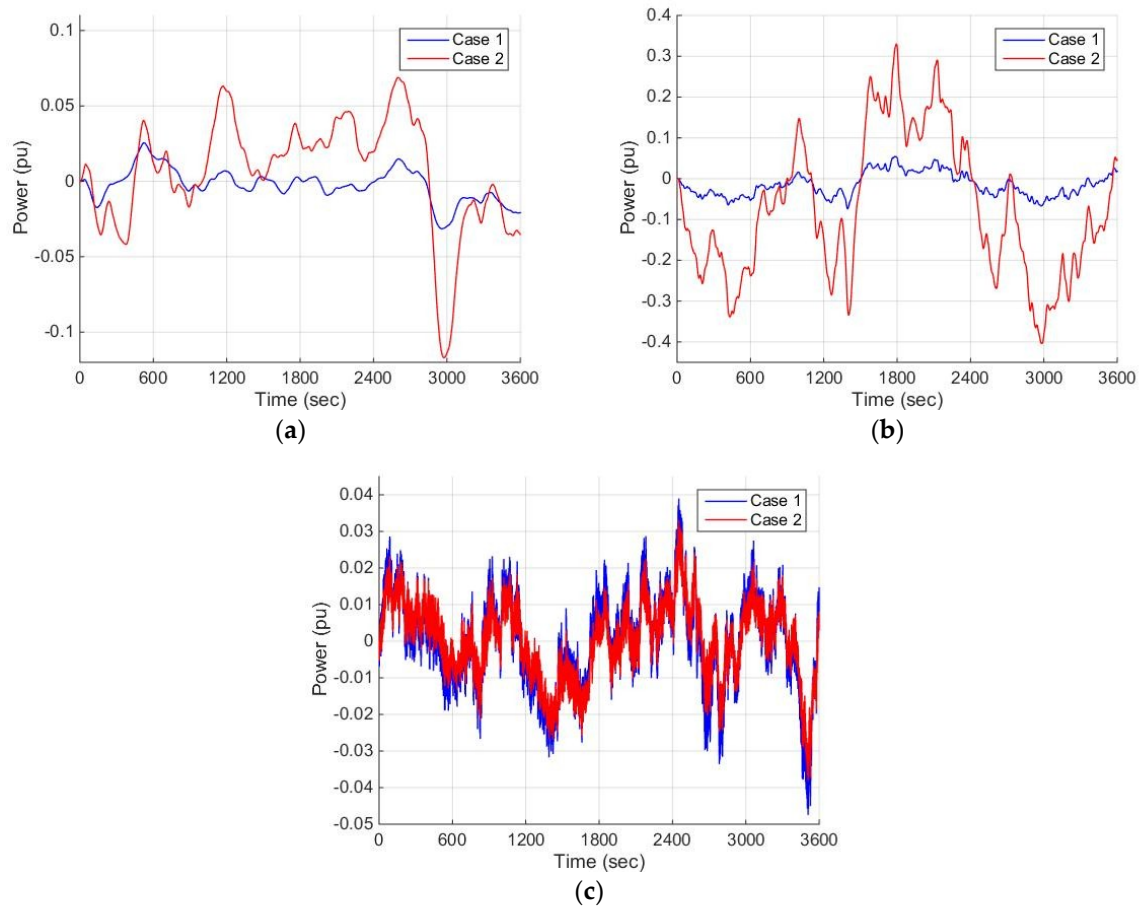
A technique that combines the weighted sum method and the rank order centroid method [37] is utilized to determine the weights. The relative importance of the three objectives is reflected by the values of the weights, given by:

$$\omega_i = \frac{1}{N} \sum_{k=i}^N \frac{1}{k}, \quad i = 1, 2, \dots, N.$$



In our considered system, there are three MGs in total. Thus,  $N = 3$ . Two cases with different weights are studied as follows: in Case 1, we choose  $\omega_1 = 0.6110$ ,  $\omega_2 = 0.2778$ ,  $\omega_3 = 0.1112$ , whereas, in Case 2, we choose  $\omega_1 = 0.2778$ ,  $\omega_2 = 0.6110$ ,  $\omega_3 = 0.1112$ .

With the proposed method, the simulated results are illustrated in Figure 16.



**Figure 16.** Power transmission under Case 1 and Case 2: (a) between MG<sub>1</sub> and MG<sub>2</sub>; (b) between MG<sub>2</sub> and MG<sub>3</sub>; (c) between PG and MG<sub>1</sub>.

From Figure 16a,b, it is obvious that power transmission via MGs under Case 1 is less than that under Case 2. From Figure 16c, we see that power transmission between PG and MGs under Case 1 is larger than that under Case 2. The above results show the feasibility of the impact of different weights on the objective function.

## 5. Conclusions

In this paper, a class of novel robust and optimal controller design for a dynamical series-shaped EI system has been presented. The robustness and operation cost optimization of the EI system are considered simultaneously. A PSO algorithm is applied to optimize the parameters of the proposed controller. Simulations show the effectiveness of the proposed method. For our future research, more authentic and complicated EI scenarios will be the focus, and the system communication time delay will be taken into consideration.

**Author Contributions:** The work presented here was carried out through the cooperation of all authors. H.H. and J.C. conceived the scope of the paper; C.H. and Y.Q. conceived the analysis and performed the simulations; H.H. and C.H. wrote the paper; J.C. acquired the funding and performed revisions before submission. All authors read and approved the manuscript.

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## Nomenclature

BES	Battery energy storage
$D_1$	Damping coefficient in MG <sub>1</sub>
$D_2$	Damping coefficient in MG <sub>2</sub>
$D_3$	Damping coefficient in MG <sub>3</sub>
DEG	Diesel engine generator
DER	Distributed energy resource
EI	Energy Internet
ER	Energy router
ER <sub>1</sub>	Energy router in MG <sub>1</sub>
ER <sub>2</sub>	Energy router in MG <sub>2</sub>
ER <sub>3</sub>	Energy router in MG <sub>3</sub>
ES	Electrolyzer
FC	Fuel cell
FES	Flywheel energy storage
$\Delta f_1$	Frequency deviation of MG <sub>1</sub>
$\Delta f_2$	Frequency deviation of MG <sub>2</sub>
$\Delta f_3$	Frequency deviation of MG <sub>3</sub>
HP	Heat pump
HT	Hydrogen tank
$K_{DEGC}$	PI controllers of DEGs
$K_{ES}$	Gain of ESs
$K_{ESC}$	PI controllers of ESs
$K_{FC}$	Gain of FCs
$K_{HP}$	Gain of HPs
$K_{HPC}$	PI controllers of HPs
$K_{MT}$	Gain of MTs
$K_{MTC}$	PI controllers of MTs
$K_{PHEV}$	Gain of PHEVs
$K_{PHEVC}$	PI controllers of PHEVs
LFC	Load-frequency control
$M_1$	Inertia constant in MG <sub>1</sub>
$M_2$	Inertia constant in MG <sub>2</sub>
$M_3$	Inertia constant in MG <sub>3</sub>
MG	Microgrid
MG <sub>1</sub>	The first microgrid
MG <sub>2</sub>	The second microgrid
MG <sub>3</sub>	The third microgrid
MT	Micro-turbine
PG	Power grid
PHEV	Plug-in hybrid electric vehicle
PI	Proportional integral
PSO	Particle swarm optimization
PV	Photovoltaic
$P_{BES}$	Exchange power of BES
$P_{DEG}$	Output power of DEGs

$P_{ES}$	Output power of ESs
$P_{FC}$	Output power of FCs
$P_{FES}$	Exchange power of FES
$P_{HP}$	Output power of HPs
$P_{LOAD1}$	Power consumption of load1
$P_{LOAD2}$	power consumption of load2
$P_{LOAD3}$	power consumption of load3
$P_{MT}$	Output power of MTs
$P_{PHEV}$	Output power of PHEVs
$P_{PV1}$	Output power of PV units in MG <sub>1</sub>
$P_{PV3}$	Output power of PV units in MG <sub>3</sub>
$P_{WTG1}$	Output power of WTGs in MG <sub>1</sub>
$P_{WTG2}$	Output power of WTGs in MG <sub>2</sub>
$\Delta P_1$	Power deviation of MG <sub>1</sub>
$\Delta P_2$	Power deviation of MG <sub>2</sub>
$\Delta P_3$	Power deviation of MG <sub>3</sub>
$\Delta P_{ES}$	Change of $P_{ES}$
$\Delta P_{ESC}$	Control outputs of ESs
$\Delta P_{FC}$	Change of $P_{FC}$
$\Delta P_{MT}$	Change of $P_{MT}$
$\Delta P_{MTC}$	Control outputs of MTs
RES	Renewable energy source
$T_{BES}$	Time constants of BES devices
$T_{DEG}$	Time constants of DEGs
$T_{ES}$	Time constants of ESs
$T_{FC}$	Time constants of FCs
$T_{FES}$	Time constants of FES devices
$T_{HP}$	Time constants of HPs
$T_{PHEV}$	Time constants of PHEVs
$U_{DEG}$	Control outputs of DEGs
$U_{HP}$	Control outputs of HPs
$U_{PHEV}$	Control outputs of PHEVs
WTG	Wind turbine generator
$K_{ER12C}$	PI controller of transmission line between MG <sub>1</sub> and MG <sub>2</sub>
$K_{ER23C}$	PI controller of transmission line between MG <sub>2</sub> and MG <sub>3</sub>
$P_{ER12}$	Power transmission between MG <sub>1</sub> and MG <sub>2</sub>
$P_{ER23}$	Power transmission between MG <sub>2</sub> and MG <sub>3</sub>
$P_{PG}$	Power transmission between PG and MG <sub>1</sub>
$T_{ER12}$	Time constant of transmission line between MG <sub>1</sub> and MG <sub>2</sub>
$T_{ER23}$	Time constant of transmission line between MG <sub>2</sub> and MG <sub>3</sub>
$U_{ER12}$	Control output of transmission line between MG <sub>1</sub> and MG <sub>2</sub>
$U_{ER23}$	Control output of transmission line between MG <sub>2</sub> and MG <sub>3</sub>

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