

Article



# Simultaneous Inertia Contribution and Optimal Grid Utilization with Wind Turbines

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**Abstract:** This paper presents findings of a study on continuous feed-in management and continuous synthetic inertia contribution with wind turbines. A realistic case study, based on real measurements, is outlined. A wind turbine feeds into a weak feeder, such that its power has to be adapted to the permissible loading of this feeder. At the same time the wind turbine is to provide inertia to the grid by applying the previously published variable inertia constant controller. It is discussed that optimal grid utilization and simultaneous inertia contribution are mandatory for the frequency control in power systems that are heavily penetrated with renewable energies. The study shows that continuous feed-in management can be combined well with continuous inertia provision. There are hardly any negative consequences for the wind turbine. The benefits for the grid are convincing, both in terms of increased system utilization and in terms of provided inertia. It is concluded that wind turbines can enhance angular stability in a power system to a larger extent than conventional power plants.

**Keywords:** feed-in management; frequency domain; inertial energy; inertial response; power control; synthetic inertia; wind turbine

# 1. Introduction

In the transition to renewable energy, wind turbine (WT) generators substitute conventional power plants. Therefore, WTs must no longer merely behave like passive power sources; instead, they have to take over grid stabilizing functionalities that were traditionally carried out by conventional power plants.

The intermittent power infeed of WTs and the dispersed installation of WTs in the grid lead to temporary congestions in the grid and/or costly grid extensions. Germany, particularly the state of Schleswig-Holstein in the very north of Germany, is a prime example for this development [1,2]. In order to minimize the costs for grid extensions the power infeed of WTs should be matched to the maximum permissible power transfer capability of the affected part of the grid [3]. For this purpose, continuous feed-in management (FIM) was proposed in the past [4]. It was shown that continuous FIM allows maximizing the utilization of the grid and, consequently, maximizing the wind energy yield [5]. However, both during conventional FIM (as it is state of the art today [6]) as well as during continuous FIM, the control of a WT is partly decoupled from the wind speed. Hence, the stress on the WT increases.

Another task that WTs have to take over when conventional power plants are replaced is the contribution to grid inertia, which aims at stabilizing the grid frequency. Here, it has to be mentioned that different approaches are conceivable, which can be categorized into fast frequency response and synthetic inertia [7]. In this paper, the provision of synthetic inertia is in the focus for reasons outlined below. Also inertia contribution partly requires decoupling the controls of a WT from the instantaneously prevailing wind. Therefore, the stress on the WT potentially increases even more, depending on the control strategy and the wind condition. Load simulations and field measurements

show that there is no negative effect on the ultimate loads to be expected, but fatigue loads are bound to increase [8,9].

The study on continuous FIM with a fictitious controllable WT (mentioned above) was based on measurements of wind speed, local consumption, and the passive wind power infeed of a real WT without grid support functionalities. Since the grid frequency was also measured, this study was extended to inertia provision with the controllable WT. Hence, the considered WT has to adjust its instantaneous power to not only fit the loading of the affected grid components, but also to the rate of change of frequency (*ROCOF*) in the grid, in order to provide the grid with synthetic inertia.

At first sight, the combination of continuous FIM and continuous provision of synthetic inertia seems random. Comparing the priorities that are set in the context of power system stability, it seems obvious that angular stability comes first and any secondary aspects, such as thermal loading of grid components or overvoltages, are of minor importance [10]. However, in a power system that is heavily penetrated with dispersed renewably energy sources, the traditional rules are no longer applicable. For economic reasons, grid connection of dispersed renewable energy generation develops slower, and often not to the same extent, as the installation of the generators. Consequently, the power infeed of these generators has to be restricted in weather situations that allow the production of large amounts of renewable power [5,11]. The transition to renewable energies is only economically feasible if conventional power plants are replaced; i.e., if they do not have to remain in standby, waiting to step in if renewable energy infeed is insufficient. This means that the availability of renewable power infeed must not be unduly hampered by the transfer capabilities of grid components, as this would interfere with the balance between generation and demand; i.e., continuous FIM is mandatory as it interacts with grid frequency control. At the same time, replacing conventional generation (based on AC connected rotating machines) with frequency converter-based renewable energy generators leads to a decline in inertia in the power system. However, power system inertia is essential for the control of the frequency, i.e., the balance between generation and demand [12,13]. There is no consensus whether frequency converter-based generation should enhance grid frequency control by contributing to the grid inertia continuously, or by responding to predefined frequency events in a predefined manner (fast frequency response). Some scientists advocate fast frequency response and even deem continuous provision of synthetic inertia to be inappropriate [14]. Different grid codes prefer fast frequency response; others demand continuous provision of synthetic inertia by emulating the inertial behavior of synchronous generators [15–18]. In future power systems, where frequency converter-based generation substitutes almost all AC connected rotating machines (i.e., very low natural inertia), continuous provision of synthetic inertia is mandatory. Consequently, the simultaneity of continuous FIM and continuous provision of synthetic inertia is inevitable.

In this study, the assessed WT is enhanced to continuously provide inertia, comparable to a synchronous generation of a conventional power plant. To avoid the risk that the WT disconnects from the grid when during low wind speeds a large negative *ROCOF* requires a large increase in power, the previously proposed variable inertia constant (H<sub>var</sub>) controller [19] is applied.

In the following sections, first, the case study is outlined. Secondly, the WT simulation model with its different controllers is introduced. A frequency domain analysis compares the response of the WT to the demanded continuous inertia provision and the continuous FIM. It is outlined that the prioritization mentioned above: 1st priority is inertia contribution, 2nd priority is addressing grid congestions (FIM) is implemented in the proposed controllers. Hence, the simultaneous coexistence of synthetic inertia and continuous FIM is facilitated. Finally, simulation results prove that combining continuous synthetic inertia with continuous FIM is no problem for the affected WT and that it is a big gain for the grid.

## 2. Case Study

The university campus in Flensburg, Germany, is a small power system that comprises consumption, generation, and a connection to the external grid. For the purpose of this study,

the campus grid is assumed to be supplemented by a 5 MW WT and the connection to the external grid is assumed to be made of an oil-immersed transformer whose rating is sufficient only most of the time. Hence, this study case replicates the situation that commonly occurs when wind power grows faster than the power system into which the WTs feed their power. The NREL 5 MW reference turbine is chosen for this extension, and is called 5M in the following, as the details of this WT are well documented and openly accessible [20]. The 5M is represented with a simulation model that exhibits the most dominant vibratory behaviours of a WT: the first eigenmodes of the tower, the drive train, the rotor blades in edgewise direction, and the rotor blades in flapwise direction [21]. This model is chosen because it is easy to implement and use. Also, it can be easily extended with grid models and additional controllers.

Figure 1 shows a sketch of the Flensburg university campus with the supplements necessary for this case study. It can be seen that already, today, the local consumption is partially covered by the power of a WT on campus, which is an Enercon E30, whose power is indicated as  $P_{E30}$  in Figure 1. In this case study, the residual load, which either has to be covered by the 5M, or by power import from the external grid, is called  $P_C$  (see Figure 1).



**Figure 1.** Setup of the case study of Flensburg campus supplemented by a 5 MW WT (5M) with connection to the external grid.

For this study  $P_C$ ,  $P_{E30}$ , wind speed, grid frequency,  $f_{grid}$ , and the ambient temperature were measured on 05 April 2017, which was a day with changing and eventually quite good wind conditions and a typical load profile for the campus. The measurements of  $P_C$ ,  $P_{E30}$ , wind speed, and the ambient temperature are already published [5]; hence, they are not reproduced here.

For the assessment of inertia contribution, the measurement of the grid frequency,  $f_{grid}$ , is essential [22]. Therefore, Figure 2 shows  $f_{grid}$ , the calculated *ROCOF* and the frequency spectrum of  $f_{grid}$  and the *ROCOF* as measured on 5 April 2017.



Figure 2. Measured grid frequency and ROCOF (top); frequency spectrum of grid frequency (bottom).

Figure 2 reveals that the *ROCOF* the WT has to respond to is very small most of the time, (|ROCOF| < 0.02 Hz/s). However, there are some single peaks, the largest even reaches -0.484 Hz/s. These peaks are only a rarely occurring phenomenon. It is not clear where these peaks come from; however, their frequency of occurrence, their durations, and their magnitude suggest that they are not of electromechanical nature. It is rather assumed that distortions in the grid voltage lead to these large *ROCOFs*. Previously conducted research revealed this effect and quantified the number of fake *ROCOF* incidences, i.e., occurrence of large *ROCOF* values that are not of electromechanical nature, but that are caused by electromagnetic effects [23]. Instead of eliminating these fake *ROCOF* values, they are deliberately left in the time series. If a real WT has to perform inertial response it has to measure the voltage to determine the frequency and the *ROCOF* in real-time. In such online computation of the *ROCOF*, a distorted grid voltage will also lead to large values. This is particularly important for the WT, as it can have noticeable effects on the mechanical loads, and hence, on the fatigue of the WT.

# 3. WT Simulation Model

# 3.1. Power Controller

The 1st eigenmodes model of a WT represents the generator–converter unit as a first order low pass filter (PT1). This means that the dynamics of the generator, the machine side inverter, the grid side inverter, as well as the capacitor in the DC circuit are modelled as one lumped PT1 element. In the previous study on continuous FIM [5], the time constant of this PT1 filter (T\_PT1\_geno\_substi [21]) was set to 150 ms, which is a reasonable value for normal power control of a WT. In the inertial response study shown here, this time constant has to be reduced to a lower, yet realistic value, to allow more rapid power variations as this is needed for effective inertial response. Hafiz and Abdennour propose

a time constant of 20 ms for a multi-MW generator–converter unit [24]. In a Bachelor thesis composed at WSTECH GmbH, which is a manufacturer for frequency converters, a PT1 response with a time constant of 25 ms was applied for simulating the power response of MW frequency converters [25]. Hence, in this study, T\_PT1\_geno\_substi is set to 25 ms (T\_PT1\_geno\_substi = 0.025 s) as this is more conservative and facilitates running the simulation with a simulation time step of dT = 0.001 s. A smaller simulation time step would lead to computational problems as the scenario to be assessed lasts 24 hours. Hence, the amount of data resulting from such long time series becomes very large and Matlab [26], which is the software with which the simulations are conducted, can no longer handle this amount of data on a normal personal computer.

Figure 3 shows the power control loop with the PI power controller and the PT1 filter that represents the generator-converter unit. The aforementioned reduction of T\_PT1\_geno\_substi causes the open loop power control path to exhibit a higher crossover frequency; compare the green and the dashed blue curve in the bode plot in Figure 4. A higher crossover frequency allows rapid power variations to pass through with less damping, which causes the PI controller to exhibit overshoots. Overshooting of the controller leads to hitting the controller output limitations and triggers the anti-windup facility of the I-part of the PI controller [21]. The anti-windup block is shown in grey in Figure 3 as it is normally not involved in power control operation. Consequently, the gains of the PI controller are tuned to restore the initial crossover frequency of about 12 Hz. This is achieved with the proportional gain  $K_P$ -power = 2.1 and the integral gain  $K_I$ -power = 12. With these gains, the phase margin increases to about 109 degrees, see Figure 4, which proves stability. However, stability is not the problem to be dealt with here. Instead, a large phase margin is required in order to avoid oscillations and overshoots, which would trigger the anti-windup of the integrator. Although the anti-windup facility prevents numerical instability, excessive triggering of it can lead to numerical oscillations between the upper and the lower controller output limits. Such numerical oscillations lead to wrong results. With these parameter settings, the transfer function of the open power control loop is given in Equation (1).

$$G_{P\_cntrl\_OL}(s) = \frac{P_{gen}(s)}{P_{ref}(s)} = \frac{0.7 \cdot s + 2.1}{0.008333 \cdot s^2 + 0.3333 \cdot s}$$
(1)



Figure 3. Close loop power control in the 1st eigenmodes model of a WT.



Figure 4. Bode plots of the open power control loop.

### 3.2. Continuous Feed-In Management Control

The power generated by the 5M is first and foremost determined by the prevailing wind speed. Whenever the power approaches rated power, the transformer that connects the campus grid with the upstream grid is bound to get overloaded. This overload appears in the shape of overheating of the transformer ( $\vartheta_{TR}$ ) and too high voltage,  $V_C$ , in the campus grid (see Figure 1). Consequently, FIM becomes necessary in situations of high wind speeds, and/or very low local consumption in the campus grid. The FIM controller, which is shown in Figure 6 addresses the thermal loading of the transformer as well as  $V_C$  [5].

#### 3.3. Continuous Inertial Response Control

The previously proposed variable inertia constant ( $H_{var}$ ) controller has numerous advantages [19]. The main motivation for this controller is that WTs can continuously contribute to the inertia in the power system, without running the risk that drastic negative *ROCOFs* lead to disconnections of the WT. In principle, a WT with the  $H_{var}$  controller behaves like a synchronous generator. However, unlike a synchronous generator, the rotational speed of a WT is not constant. In an AC-connected synchronous generator, the rotational speed of AC connected synchronous generators can be considered almost constant. In a WT, however, the rotational speed is not determined by  $f_{grid}$ , but by the wind speed. Hence, it can vary in a wide range. Therefore, the  $H_{var}$  controller varies the inertia constant, which is emulated by the WT, according to the current rotational speed, see Figure 5. At rated speed, the variable inertia constant is equal to the value demanded by the grid operator ( $H_{dem}$ ).

Equation (2) shows the definition of the inertia constant as known from the literature [10].

$$H = \frac{E_{kin}}{P_{rated}} = \frac{\frac{1}{2} \cdot J \cdot \omega_{rated}^2}{P_{rated}}$$
(2)

Based on this concept, the H<sub>var</sub> controller defines an inertia constant for every prevailing rotational speed [9]:

$$H_{var} = \frac{\omega^2 - \omega_{cut-in}^2}{\omega_{rated}^2 - \omega_{cut-in}^2} \cdot H_{dem}$$
(3)

Figure 5 reveals the main advantage of the  $H_{var}$  controller: Since the inertia contribution drops to zero when the rotational speed is as low as the cut-in speed, the WT never disconnects from drastic negative *ROCOFs*. At low wind speeds (i.e., also low rotational speed and low power), a single WT provides little inertia. However, this seeming disadvantage is not a problem for the grid: in such a situation, the prevailing load is either supplied by a larger number of WTs (which in total supply sufficient inertia) or by other generators, which can also supply the needed inertia. Equation (2) and Equation (3) reveal that the contributed inertia is scaled with the current speed and therefore also with current power infeed of the WT. Hence, if low wind speed allows one WT to produce only little power, then the overall load in the grid has to be covered by a larger number of WTs. This automatically distributes the generation of H<sub>dem</sub> over all WTs that are equipped with an H<sub>var</sub> controller. In total the grid is supplied with the inertia that the grid operator demands.



**Figure 5.** Variable inertia constant,  $H_{var}$ , for the demanded rated inertia constants  $H_{dem} = 6$  s and  $H_{dem} = 12$  s. Constant inertia constant H = 6 s.

## 3.4. Combined Control Circuit

Both, the continuous FIM controller and the H<sub>var</sub> controller interact with the 5M WT via the power setpoint,  $P_{dem}$ . Figure 6 illustrates that the continuous FIM controller responds to the transformer temperature,  $\vartheta_{TR}$ , and the voltage in the campus grid,  $V_C$ . The H<sub>var</sub> controller generates the  $H_{var}$  from the demanded inertia constant, H<sub>dem</sub>, and the generator speed. The inertial response power setpoint,  $P_{SI\_varH}$ , is a result of the *ROCOF*,  $f_{grid}$  and the current  $H_{var}$ , see Equation (4) [19].

$$P_{SI\_varH} = -2 \cdot H_{var} \cdot P_{rated} \cdot \frac{ROCOF}{f_{grid}}$$
(4)

In the version of the 1st eigenmodes model applied here,  $P_{dem}$  has no impact on the rotational speed reference of the WT. Hence, even with  $P_{dem} < 1$  pu, the speed of the WT reaches rated speed if the wind speed suffices [21]. This characteristic is beneficial for continuous inertia provision, as it allows the WT to produce H<sub>dem</sub> for a maximum duration.

FIM and inertial response do not only decouple the controls of a WT from the prevailing wind at the rotor. Since they both act on  $P_{dem}$ , they could, potentially, also interfere with each other. However, Figure 6 shows that they are decoupled from each other by the different controllers. Due to the long thermal time constant of the transformer, the temperature controller lets  $P_{max_TR}$  respond only very slowly.  $P_{max_TR_volt}$  has an instantaneous effect on  $P_{max_TR_lim}$ . This variable only serves as setpoint for the P<sub>dem</sub> controller, which is tuned to fit the vibratory behaviour of the WT.  $P_{SI_varH}$ , however, acts instantaneously on  $P_{dem}$ . With this configuration, inertia contribution is clearly prioritized over FIM. Even if  $P_{SI_varH}$  leads to  $V_C$  violating any limits, this can only impact on the power output of the WT via the dynamics of the  $P_{dem}$  controller. This prioritization is justified, as power from inertia contribution oscillates around zero. Hence, it has no lasting impact on the transformer temperature. However, if inertial power is essential for maintaining angular stability, violations of voltage limits are of subordinate importance. This is discussed further in the section on frequency domain analysis.



Figure 6. Control circuit for continuous FIM and inertial response with H<sub>var</sub> controller.

It has to be noted that the  $H_{var}$  controller was initially published with a functionality to mitigate potentially harmful excitations on the mechanical structure of the WT [19]. This functionality is deliberately omitted here to be able to assess the maximum inertial response capabilities. At the same time, it is the goal of this paper to reveal the maximum negative impact of inertial response on the WT. Hence, the worst case scenario is considered here.

While in this paper all explanations are given for the case of one WT only, the same result could be realized with an arbitrary number of WTs. As described above, the  $H_{var}$  controller inherently distributed the inertial power generation among any participating generators. Even in a wind farm, where wake effects lead to grossly differing operating points of neighbouring WTs, the  $H_{var}$  controller assures that the inertia contribution of individual WTs is adapted to their instantaneous capabilities.

The FIM controller, on the other hand, does not take the current operating point of a WT into account. It could be assumed that what is called 5M in Figure 1 is not a single WT, but a wind farm with multiple WTs that interfere with each other via wake. Since all these WTs would contribute to the congestion in the point of common coupling (excessive transformer temperature and too high voltage), they would respond to this congestion equally. This would not be ideal, as the instantaneous power operating points of the individual WTs would differ due to wake and due to the natural wind speed variations in time and space. Also, due to the spatial distribution of the individual WTs in the wind farm, the cable lengths to the point of common coupling would be different. Hence, the voltage drops and the power losses in these cables would be different. Consequently, the task of FIM should be distributed among the different WTs taking the wind direction (wake) and the geometry of the wind farm (beelines and cable lengths) into account. However, the design of such an FIM wind farm controller is beyond the scope of this paper.

## 4. Frequency Domain Analysis

## 4.1. Transfer Function of WT

In contrast to assessing the different eigenfrequencies, as they result from the design of the 5M [27], in this section, a frequency domain analysis is presented. This yields the advantage that the frequency response of the entire system becomes obvious. In a complex system, eigenfrequencies of adjacent subsystems can couple and consequently interfere. The controller design described above has an impact on the eigenfrequencies and damping of different subsystems of the WT system. In the power controller section, the assumption is made that the power of the generator,  $P_{gen}$ , is independent of the rotational speed. This assumption is only valid in the case of small signal perturbation. Hence, in the following, the response of the rotational speed to power variations is assessed.

For the purpose of frequency domain analysis, the WT system is linearized around the upper part load operating point P = 0.8894 pu and generator speed, *speed\_gen* = 1.138 pu, see Figure 7. It has to be noted that Figure 7 shows the power vs. speed characteristic that results from the aerodynamics of the 5M when the 5M is assumed to be equipped with a full scale converter. In contrast to a doubly fed induction generator, a full-scale converter allows the WT to operate in a considerably larger speed band.



Figure 7. P vs. speed characteristic and linearization around the operating point.

Upper part load is most interesting: In lower part load, the power of a WT is not likely to cause FIM as it is too low for causing the transformer to heat up unhealthily, or for running the voltage into its limit. Also, in lower part load, i.e., low rotational speed, the power resulting from inertial response is small due to the  $H_{var}$  controller, see Figure 5. Full load, on the other hand, is also less interesting as this operating point is only seldomly met when the grid connection capabilities demand FIM. In addition, inertial response can draw extra power from the wind when the WT is in full load operation; hence, the impact on the speed is not decisive for the power.

The rotational speed responds whenever there is a mismatch between aerodynamic driving power and electric output power. Such a mismatch has to be catered for by kinetic energy in the rotating masses of the WT. Hence, a transfer function of the WT drive train from electric torque to rotational speed of the generator, *speed\_gen*, is required. High frequency variations in the generator torque (*T\_elec*) mainly act on the energy storages close to the generator; i.e., the inertia on the high speed shaft, J\_hss, the torsional spring that represents the stiffness of the drive train, spring\_coeff, and with decreasing effect also the inertia of the hub, J\_hub, and the spring that represents the blades in in-plane direction, K\_s\_blades (Figure 8). Therefore, the aerodynamic operating point is considered constant for the linearization of the operating point.



Figure 8. WT drive train model.

In the WT model applied here, the blades are represented as single mass and spring vibrators. However, they behave differently only if they are excited differently [21]. Wind speed and rotor position vary with time. In order to do the transition to the frequency domain, these time-dependent differences are neglected, which allows considering the blades to vibrate synchronously. This simplification leads to the rotational system of the WT as shown in Figure 8.

The variable names and parameter names shown in Figure 8 and in the following equations are identical with those in the documentation of the model [21]. Differing from the model documentation, some new parameters are introduced in Figure 8, which result from the simultaneity of the aforementioned blade motion. These new parameters are introduced in the following equations.

Aggregated blade inertia:

$$J\_blades = \frac{m\_bladetip\cdot 3 \cdot (R\_cntr\_gravi\_bladetip)^2}{J\_base\_lss}$$
(5)

Aggregated blade stiffness:

$$K_s\_blades = \frac{K_s\_blade\_inplane\_SI\cdot3}{J\_base\_lss}$$
(6)

Aggregated blade damping:

$$K_d\_blades = \frac{K_d\_blade\_inplane\_SI\cdot3}{J\_base\_lss}$$
(7)

Inertia aggregated in the rotor hub:

$$J_hub = \frac{J_hub_SI + 3J_bladeroot}{J_base_lss}$$
(8)

Since part load operation is assumed, the pitch angle is assumed to be constant at approximately  $0^{\circ}$ . Therefore, the stiffness in-plane (*K*\_*s*\_*blade\_inplane\_SI*) is the edgewise stiffness and the damping in-plane (*K*\_*d\_blade\_inplane\_SI*) is the edgewise damping of the blades.

Applying free-body diagrams for the inertias shown in Figure 8 allows deriving the transfer function of the drive train from generator torque (*T\_elec*) to generator speed (*speed\_gen*), where *T\_elec* is the input variable and *speed\_gen* is the unknown [28]. For this purpose a constant aerodynamic driving torque,  $T\_rot = \frac{P}{speed} = 0.7815$  pu is applied according to the previously specified operating point (Figure 7).

The transfer function from torque to speed of the WT is shown in Equation (9).

$$G_{DT}(s) = \frac{speed\_gen(s)}{T\_elec(s)} = \frac{-15.02 \cdot s^5 - 9.405 \cdot s^4 - 2509 \cdot s^3 - 1031 \cdot s^2 + 7.276E(-12) \cdot s}{17.59 \cdot s^6 + 32.77 \cdot s^5 + 4670 \cdot s^4 + 7045 \cdot s^3 + 2.577E5 \cdot s^2 + 1.827E5 \cdot s - 1.456E(-9)}$$
(9)

It has to be noted that other dynamics in the WT, i.e., dynamics of the tower and of the blades in out of plane direction, can be neglected. These dynamics have no direct coupling with the rotational speed. There is only an indirect coupling via the perceived wind speed and the aerodynamics of the rotor blades, which has only a small influence on the generator speed, compared to the effects of in-plane vibrations.

The transfer function of the drive train,  $G_{DT}(s)$ , can be combined with the transfer function of the power control loop to form the transfer function from *P\_ref* to *speed\_gen*,  $G_{WT}(s)$ . For this purpose,  $G_{P\_cntrl\_OL}(s)$  in Equation (1) has to be turned into the transfer function of the closed power control loop. Linearization around the operating point *speed\_gen* = 1.138 pu allows setting up the block diagram of  $G_{WT}(s)$ , as shown in Figure 9.



Figure 9. Block diagram of WT system from *P\_ref* to *speed\_gen*.

The complete transfer function from *P\_ref* to *speed\_gen* is shown in Equation (10).

$$G_{WT}(s) = \frac{speed\_gen(s)}{P\_ref(s)} = \frac{-0.08759 \cdot s^7 - 3.821 \cdot s^6 - 27.5 \cdot s^5 - 641.9 \cdot s^4 - 2015 \cdot s^3 - 721.5 \cdot s^2 + 5.093E(-12) \cdot s}{0.001222 \cdot s^{10} + 0.2026 \cdot s^9 + 7.064 \cdot s^8 + 77.85 \cdot s^7 + 1811 \cdot s^6 + 8767 \cdot s^5 + 1.003E05 \cdot s^4 + 2.465E05 \cdot s^3 + 1.279E05 \cdot s^2 - 1.019E(-9) \cdot s}$$
(10)

## 4.2. Transfer Function of Transformer

The thermal behaviour of the transformer is represented by a PT1 filter with a time constant of 960 s. The power losses that heat up the transformer are nonlinearly depending on the power that is fed through the transformer. Therefore, the transformer is linearized around the operating point 0.1 pu power transfer, as this is a realistic maximum extent of inertial power. Since only the interference between FIM and inertia contribution are assessed, the steady state operating point of the transformer is irrelevant. Further considering the heat dissipation at the surface of the transformer leads to the power that is stored in the transformer in the form of heat [5]. Hence, the transfer function from power transfer in pu to variation in the transformer temperature in °C is given in Equation (11).

$$G_{TR}(s) = \frac{\Delta \vartheta_{TR}(s)}{P_{TR}(s)} = \frac{5.005}{1 + 960 \cdot s}$$
(11)

### 4.3. Transfer Function of P<sub>dem</sub> Controller

The  $P_{dem}$  controller is a PI controller with a proportional gain of three and an integral gain of nine. The  $P_{dem}$  controller is the separator between FIM and inertia contribution; i.e., if inertial power leads to instantaneous violation of  $V_C$ , the  $P_{dem}$  controller prevents this having an instantaneous effect on the combined power setpoint, and hence, also the inertia contribution. Equation (12) shows the transfer function of the  $P_{dem}$  controller.

$$G_{PI\_P\_dem}(s) = \frac{P_{dem\_cont}(s)}{P_{error}(s)} = \frac{0.3333 \cdot s + 2.1}{0.1111 \cdot s}$$
(12)

#### 4.4. Comparison of Frequency Responses

In this section, the frequency responses of the transfer functions derived above are compared with the frequency spectra of the excitations. The excitations for inertia contribution are the *ROCOF*. Continuous FIM has to address the loading of the grid connection transformer; hence, it has to respond to the local active power consumption/production. The voltage in the campus grid,  $V_C$ , responds to not only the active power  $P_C$  and the power from the 5M,  $P_{5M}$ , but mainly to the local reactive power consumption/production transformer, which is X/R = 3.84 [5].

The analysis conducted here strives to assess the differences and the similarities in the excitations for the 5M when performing continuous FIM and continuous inertia contribution. Normal operation, i.e., with neither of these power system services, was considered previously [5] and is hence out of the scope of this paper. Consequently, Figure 10 shows the bode plots of the WT,  $G_{WT}(s)$  (red amplitude response) of the P<sub>dem</sub> controller,  $G_{PI_P_dem}(s)$ , (green amplitude response) and of the transformer,  $G_{TR}(s)$ , (blue amplitude response); as well as the frequency spectra of *ROCOF* (green line),  $P_C$  (magenta dotted line) and  $Q_C$  (cyan dotted line).



**Figure 10.** Bode plots of the transfer functions  $G_{WT}(s)$ ,  $G_{PI\_P\_dem}(s)$  and  $G_{TR}(s)$  (top) in comparison to the frequency spectra of the different excitations: *ROCOF*,  $P_C$  and  $Q_C$  (bottom).

Figure 10 clearly shows that there is a strong decoupling between the WT and the transformer. The transformer temperature ( $G_{TR}(s)$ ) responds strongly to very low frequencies only. The generator speed of the WT, however, responds to power setpoint ( $P_{ref}$ ) changes strongest in the range from 1 Hz to 3 Hz ( $G_{WT}(s)$ ).

In the lower diagram of Figure 10 it becomes obvious that there is also a clear decoupling between the excitations of FIM and of inertia contribution. Continuous FIM addresses the transformer temperature (discussed above) and the voltage ( $V_C$ ). Both active and reactive power variations decline with increasing frequency. Hence, also the extent to which FIM has to respond to  $V_C$  declines with increasing frequency. In contrast to this, the magnitude of the *ROCOF* increases with frequency.

Figure 10 also shows that the  $P_{dem}$  controller decouples FIM very well from inertial power. Comparing the green lines in Figure 10 reveals that the response to *ROCOF* happens in a frequency range where the  $P_{dem}$  controller exhibits very small gain. Hence, if inertial power leads to excessive  $V_C$  FIM cannot respond to that, i.e., it cannot dampen the inertial power as the  $P_{dem}$  controller prevents this.

Therefore, it can be concluded that FIM takes place at lower frequencies while inertia contribution takes place at higher frequencies. In addition, continuous FIM is most relevant for the transformer temperature, while inertia contribution has the potential to excite the WT. In the following section, this is assessed by means of the study case.

## 5. Simulations and Discussion of Results

In power systems that are heavily loaded with wind power, it is inherent to FIM that it leads to drastic power reductions in WTs whenever the wind speed is high [5,11]. In addition, it is inherent to the  $H_{var}$  controller that it potentially leads to drastic power variations in the affected WT whenever the wind speed is high [19]. Hence, both continuous FIM and inertial response with the  $H_{var}$  controller are most dominant in upper part load. The inertial response can potentially worsen the overloading of the grid. Due to the decoupling from the wind both controllers add to the mechanical loads in the WT at the same time.

Figure 11 shows the power, the generator speed, and the pitch angle of the 5M for the whole day of 5 April 2017. It can be seen that the 5M runs in part load most of the time in the first half of the day. Before noon, the wind speed increases and with it also the loading of the grid [5]. Hence, in the second half of the day the 5M runs in rated operation whenever the FIM controller and the  $H_{var}$  controller allow it to. Figure 11 shows the simulated scenario for continuous FIM operation with enabled (blue) and disabled (red) continuous inertia contribution. The blue curve is hardly visible, as there is only little effect of inertial response on FIM operation.



**Figure 11.** WT power (top) generator speed (middle) and pitch angle (bottom) of 5M for the case of continuous FIM operation (red curves) and for the case of continuous FIM with inertial response (blue curves in the background).

### 5.1. Effect of Generator–Converter Time Constant on Continuous FIM

As discussed in the WT simulation model section, in a previous study [5], T\_PT1\_geno\_substi of the generator–converter unit was set to 150 ms. For reasons outlined above, it is set to 25 ms here. In order to assess whether the results in the previous paper are comparable to the results in this paper, the effect of the time constant is studied. It can be seen that the results presented previously [5] are hardly affected by this parameter change. The only visible effect is on the acceleration of the generator and of the tower in lateral direction. To illustrate this effect, Figure 12 shows the scenario of continuous FIM operation with T\_PT1\_geno\_substi set to 150 ms [5] and with T\_PT1\_geno\_substi set to 25 ms.



**Figure 12.** Generator acceleration (top) and frequency distribution of generator acceleration (bottom) of 5M in continuous FIM operation for two different generator-converter time constants.

The comparison shown in Figure 12 is important as in the following study, the effect of continuous inertia provision is compared with the case when the WT only provides continuous FIM. Figure 12 suggests that the reduction in T\_PT1\_geno\_substi (from 150 ms to 25 ms) has drastic consequences; however, due to the logarithmic scale, the relative frequency of occurrence of larger generator accelerations is moderate. It can be noted that with lower time constant, the relative frequency of occurrence is moved in positive direction, i.e., larger positive accelerations are more likely to occur. This phenomenon is caused by the instantaneous limitation of  $P_{max_TR_lim}$  whenever the voltage is too high ( $P_{max_TR_volt}$  in Figure 6), which demands a de-loading of the generator by lowering the generator torque.

Figure 13 shows that the reduction in T\_PT1\_geno\_substi has the opposite effect on the lateral tower accelerations. With longer time constant, i.e., slower generator control, the tower is bound to vibrate more often in lateral direction. This phenomenon is due to the fact that lateral vibrations are caused by an imbalance in the rotor in combination with rotor speeds that allow lateral excitation of the tower by this imbalance. There is only an aerodynamic coupling between tower vibrations and the torque at the shaft (via the perceived wind speed). Faster generator control allows more rapid response of the generator by producing a counter torque. Hence, via the slope in the power vs. speed characteristic, the generator can produce more damping torque if its time constant is short.

Difference in acceleration of the other components in the 5M are hardly measurable. Consequently, the results presented previously [5] remain valid. Hence, it is justified that the effect of continuous

inertial response on the WT is assessed against the case of continuous FIM with T\_PT1\_geno\_substi set to 25 ms.



**Figure 13.** Lateral tower top acceleration (top) and frequency distribution of lateral tower top acceleration (bottom) of 5M in continuous FIM operation for two different generator–converter time constants.

#### 5.2. Effect of Variable H Controller on the WT

The effect that continuous FIM has on the WT was assessed in previous publications [5,29]. Therefore, only the additional effect that continuous inertia contribution with the  $H_{var}$  controller has on the WT shall be discussed here.

Different  $H_{dem}$  values are tested. Generally, the  $H_{var}$  controller causes little impact on the WT, which is already visible from the fact that the two curves in Figure 11 hardly differ. Since the continental European grid frequency only rarely exhibits large *ROCOFs*,  $H_{dem}$  is set to 12 s to achieve high power setpoint changes due to inertial response. This way, also the circumstances in smaller, i.e., more agile grids can be emulated. The analysis of all WT signals reveals that the acceleration of the generator and of the tower in lateral direction are affected most. Hence, these are the only signals considered here. However, Figures 14 and 15 show that even  $H_{dem} = 12$  s has hardly any effect on the generator acceleration and on the lateral tower acceleration, respectively. It has to be mentioned, that the grid frequency recorded on 5 April 2017 is representative of a normal day in continental Europe. Although it did not contain any extreme events, it is still challenging in terms of inertial response, as the grid frequency appears to vary with extreme *ROCOFs* due to electromagnetic illusions.

These findings confirm the results yielded in a field test and fatigue load analysis conducted earlier. During a research project carried out with the WT manufacturer Suzlon Energy, a small multi-MW WT was equipped with the H<sub>var</sub> controller where H<sub>dem</sub> was set to 6 s. The  $f_{grid}$  time series were artificially generated to contain severe frequency events. With these time series the performance of the WT and the resulting loads were assessed at different wind speeds. The fatigue load analysis revealed that even with regularly occurring severe events in  $f_{grid}$  the H<sub>var</sub> controller consumes only very little lifetime of the WT [9]. Also, as one consequence of the findings of this project, the demanded inertia constant is set to H<sub>dem</sub> = 12 s here.



**Figure 14.** Generator acceleration (top) and frequency distribution of generator acceleration (bottom) of 5M when performing continuous FIM, with and without continuous inertia provision.



**Figure 15.** Lateral tower top acceleration (top) and frequency distribution of lateral tower top acceleration (bottom) of 5M when performing continuous FIM, with and without continuous inertia provision.

## 5.3. Effect of Continuous FIM and Inertia Provision on the Grid

The advantage of continuous FIM on the power infeed of the WT is published already [5]. It has to be added though, that such rapid optimization of the grid utilization is entirely inconceivable with conventional power plants (thermal or hydro) that are based on AC-connected generators. Hence, for their power to be reliably available for maintaining the balance between generation and demand ( $f_{grid}$  control), either oversized feeders or conservative power truncation is inevitable.

When a generator provides inertia to the grid, it produces power that is a function of the frequency,  $\omega = 2 \cdot \pi f_{grid}$ , and the *ROCOF*, see Equation (13).

$$P_{inertia} = J \cdot \omega \cdot \frac{d\omega}{dt} \tag{13}$$

When a variable speed WT provides inertia to the grid, it is not the inherent inertia, J, which determines  $P_{inertia}$ . Instead, the frequency converter has to be controlled such that the WT exhibits inertial behaviour, i.e., it produces synthetic inertia. Hence,  $H_{dem}$ , or in case the  $H_{var}$  controller is applied,  $H_{var}$  is decisive for the inertial power, see Equation (14).

$$P_{inertia} = \frac{2 \cdot H_{var} \cdot P_{rated}}{\omega} \cdot \frac{d\omega}{dt}$$
(14)

This power theoretically contributes to the loading of the feeder that connects the WT with the grid. However, Figure 10 reveals that there is virtually no coupling between continuous FIM and inertial response, i.e.,  $P_{inertia}$ . In addition, it has to be pointed out that the mean value of  $P_{inertia}$  is zero in normal power system operation (only if there is a persistent deviation of  $f_{grid}$  from its rated value the mean value of  $P_{inertia} \neq 0$ ). This is further shown in Figures 16 and 17. Hence,  $P_{inertia}$  cannot interfere with the transformer temperature and it can only temporarily interfere with  $V_C$ .

The effect of inertia contribution on the grid can be quantified with the inertial energy,  $E_{inertia}$ . When considering certain durations, such as the 24 h of the 5 April 2017, the energy exchange between grid and WT, which results from inertia contribution, can be derived according to Equation (15).



**Figure 16.**  $P_{inertia}$  from an AC connected synchronous generator with H = 6 s (blue),  $P_{inertia}$  from the 5M with H<sub>var</sub> controller and H<sub>dem</sub> = 6 s (red) and H<sub>dem</sub> = 12 s (green). The bottom diagram is a zoom-in view of the top diagram.



**Figure 17.** The inertial response power setpoint,  $P_{SI\_var}$ , (red) and the generator power,  $P_{gen}$ , from the 5M.  $P_{gen}$  is shown for the case of FIM only (green) and FIM with inertial response (blue).

To assess the ability to contribute to system inertia,  $H_{dem}$  of the  $H_{var}$  controller in the 5M is set to 6 s and to 12 s. The resulting  $P_{inertia}$  are compared with the  $P_{inertia}$  of an AC connected synchronous generator with a fixed inertia constant  $H_{fixed} = 6$  s. Figure 16 shows these three different  $P_{inertia}$  for the 24 h of 5 April 2017. Comparing Figure 16 with Figure 11 reveals that the inertia contribution of the 5M depends on the prevailing power operating point. Integrating  $P_{inertia}$  according to Equation (15) leads to the inertial energies,  $E_{inertia}$ , which are listed in Table 1. In Table 1  $E_{inertia}$  is not only given for the whole day, but also for the first half and for the second half of the day.

**Table 1.**  $E_{inertia}$  from an AC connected synchronous generator with H = 6 s,  $E_{inertia}$  from the 5M with H<sub>var</sub> controller and H<sub>dem</sub> = 6 s and H<sub>dem</sub> = 12 s.

	5 April 2017 00:00–24:00	5 April 2017 00:00–12:00	5 April 2017 12:00–24:00
$H_{fixed} = 6 s$	88.2029 kWh	41.5384 kWh	46.6645 kWh
$H_{var}$ from $H_{dem} = 6 s$	33.0589 kWh	4.3307 kWh	28.7283 kWh
$H_{var}$ from $H_{dem} = 12 s$	66.1327 kWh	8.6603 kWh	57.4724 kWh

In the morning, when the wind speed is low, the inertia contribution is also low. From a grid point of view, this is no problem. In this case, either a larger number of WTs has to cover the consumption in the grid, or other generators have to produce the demanded power and, hence, also the necessary inertia.

In the afternoon, when the wind speed is high, the 5M has to deal with continuous FIM, but the inertia contribution increases nonetheless.

Table 1 shows very clearly that the inertia contribution of the 5M is comparable to that of a synchronous generator. When  $H_{dem}$  is set to 12 s, the inertia contribution in the afternoon of 5 April 2017 is even 23% greater than that of the synchronous generator. It has to be kept in mind that in the scenario simulated here, the 5M is burdened with continuous FIM. Hence, it operates only intermittently and only for short durations at rated power and rated speed. Each time the speed drops the inertia contribution drops as well, see Figure 5. Without FIM the inertia contribution could increase even further in the afternoon.

Table 1 further reveals that doubling  $H_{dem}$  leads to a doubling of  $E_{inertia}$ . This proves that inertia contribution has virtually no impact on the rotational speed and on the aerodynamic performance of the WT.

Apr 05, 2017

The discussion so far revolves around results that are produced from the power demand necessary for inertial response, i.e.,  $P_{SL\_varH}$  in Figure 6, as it is not possible to extract  $P_{inertia}$  from  $P_{5M}$ . There are several factors that make such a comparison impossible:  $P_{inertia}$  is camouflaged in  $P_{5M}$  by the turbulent wind and the motion of the mechanical components of the WT, namely the generator rotor, the blades in-plane and out-of-plane, as well as the motion of the tower in longitudinal and in lateral direction. The power reference for the frequency converter (in the model this variable is called  $P\_setpoint$ , see Figure 3) is derived from the measured rotational speed of the generator. The driving power that is instantaneously available for the WT is determined by the wind speed and the aforementioned motions of mechanical components, as these interfere with the ambient wind speed, leading to a perceived wind speed. Therefore,  $P_{inertia}$  can be magnified or weakened in single occasions. Considering longer durations, these effects are assumed to level out. However, very rapid  $P_{inertia}$  variations cannot be realized by the generator–converter unit with its time constant (T\_PT1\_geno\_substi = 25 ms). It has to be kept in mind, however, that the response of the AC-connected synchronous generator (in Table 1) is derived with Equation (14). The limited dynamic response of a synchronous generator is not taken into account here either.

Figure 17 shows an exemplified comparison between the power setpoint for inertial response,  $P_{SI\_varH}$ , and the power that the 5M feeds into the grid,  $P_{gen}$ . To show a drastic example Figure 17 shows the response to the peak in *ROCOF* at 11:14 (compare Figure 2), which is assumed to be an electromagnetic illusion. Figure 17 reveals that  $P_{gen}$  follows  $P_{SI\_varH}$  quite well (note that the ranges of the two y-axes in Figure 17 are identical). The difference in magnitude of the excursion in  $P_{SI\_varH}$  and in  $P_{gen}$  is caused by the damping effects of the power controller and the generator–converter unit. Hence, the comparison in Table 1 is deemed valid.

The energy yield of the 5M is virtually not affected by inertial response. During the 24 h of 5 April 2017, the 5M generates 57,097.4 kWh without inertial response and 57,095.5 kWh with the  $H_{var}$  controller and  $H_{dem} = 12$  s; both with continuous FIM.

## 6. Conclusions

This paper presents simulation results of continuous FIM and continuous provision of synthetic inertia with a WT. The case simulated is based on measurements. The conclusion of this study is that WTs can deal with excessively weak grid connections and, at the same time, can contribute to grid inertia continuously. With their rapid power control, WTs can adjust their power infeed to satisfy the needs of limited grid connection points a lot quicker than conventional power plants. By doing so, their power is available reliably with neither undue waste of energy nor overdimensioned grid enhancements. At the same time, they can contribute to grid inertia to a larger extent than conventional power plants. In the study at hand, the inertia constant reaches up to 12 s in rated operation of the WT. This number has to be put into perspective with the inertia constants of conventional power plants. These range from 2 s to 9 s [30], in case of hydro power plants only up to 4 s, and in the case of generators with two pole pairs up to 10 s [10]. Hence, WTs enhance angular stability in a power system to a larger extent than conventional power plants.

While many current grid codes focus on grid frequency support by WTs in prespecified events (fast frequency response), this study shows that it is advantageous when WTs provide inertia to the grid continuously with the H<sub>var</sub> controller. By doing so, the grid reliably maintains controllability of the frequency. The WTs, on the other hand, suffer neither undue wear, nor noticeable loss of energy yield.

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