

Article

Life-Cycle Assessment (LCA) of Different Pretreatment and Product Separation Technologies for Butanol Bioprocessing from Oil Palm Frond

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Received: 27 November 2019; Accepted: 25 December 2019; Published: 28 December 2019



Abstract: Environmental impact assessment is a crucial aspect of biofuels production to ensure that the process generates emissions within the designated limits. In typical cellulosic biofuel production process, the pretreatment and downstream processing stages were reported to require a high amount of chemicals and energy, thus generating high emissions. Cellulosic butanol production while using low moisture anhydrous ammonia (LMAA) pretreatment was expected to have a low chemical, water, and energy footprint, especially when the process was combined with more efficient downstream processing technologies. In this study, the quantification of environmental impact potentials from cellulosic butanol production plants was conducted with modeled different pretreatment and product separation approaches. The results have shown that LMAA pretreatment possessed a potential for commercialization by having low energy requirements when compared to the other modeled pretreatments. With high safety measures that reduce the possibility of anhydrous ammonia leaking to the air, LMAA pretreatment resulted in GWP of 5.72 kg CO₂ eq./L butanol, ecotoxicity potential of 2.84×10^{-6} CTU eco/L butanol, and eutrophication potential of 0.011 kg N eq./L butanol. The lowest energy requirement in biobutanol production (19.43 MJ/L), as well as better life-cycle energy metrics performances (NEV of 24.69 MJ/L and NER of 2.27) and environmental impacts potentials (GWP of 3.92 kg N eq./L butanol and ecotoxicity potential of 2.14×10^{-4} CTU eco/L butanol), were recorded when the LMAA pretreatment was combined with the membrane pervaporation process in the product separation stage.

Keywords: environmental impacts; emissions; global warming potential; LMAA pretreatment; cellulosic butanol

1. Introduction

The Intergovernmental Panel on Climate Change (IPCC) has stressed the importance of switching to the renewable energy source to mitigate the current climate change problem [1]. One of the renewable energies, biofuels, are widely consumed in the transportation sector and are considered to be one of the most viable methods for decarbonization [2]. The main advantage of using biofuels is its environmental friendly characteristic; generating emissions less than the conventional fuels (gasoline), throughout its life cycle (resource production, resource extraction, products production, and products utilization stages) [3–5]. For cellulosic biofuels, especially those that are produced from agricultural waste materials, the emissions from land preparation and plantation often eliminated or reduced, generating a better environmental footprint than the first-generation biofuels [6,7].

The low emissions of cellulosic biofuels has been attractive, and more study has been conducted in recent years focusing on the environmental evaluation of biofuels [8–13]. In the United States

(U.S.), under the Renewable Fuel Standard (RFS) program, each type of biofuel must achieve a specific reduction of greenhouse gas (GHG) emissions. For instance, to be classified as cellulosic biofuels, 60% GHG emissions reduction from the 2005 petroleum baseline values must be achieved [14]. It shows the importance of quantifying emissions that are associated with a specific biofuel.

In the cellulosic biofuels production, the pretreatment stage is critical in ensuring a high yield of products. Some pretreatment approaches are energy-intensive and utilize a high amount of chemicals and water, causing substantial impacts to the environment. In addition to that, the conventional downstream processing approach for biofuels production (distillation) is also energy-intensive, which further increases the carbon dioxide (CO₂) footprint. LCA on cellulosic biofuels production plant was mostly conducted while using dilute sulfuric acid (DA) pretreatment and ammonia fiber explosion (AFEX) pretreatment [10,15,16]. Other highly potential pretreatment approaches are still lacking environmental impacts performance data, thus limiting its feasibility indication for commercial application.

AFEX pretreatment was reported to give more adverse environmental impacts potential than the DA pretreatment, due to the emitted ammonia and high lignin solubilization that led to low lignin that is usable for energy generation [15,16]. Nevertheless, Cronin et al. [15] found that ethanol yield from AFEX-treated material was higher than those that were given by DA-treated material. DA pretreatment has the benefit of requiring a short reaction time, as well as the availability and low cost of the acid. However, the corrosive nature of the acid and generation of a high amount of inhibitory compounds (i.e., furfural and hydroxymethylfurfural) that impaired the growth of microorganisms in the fermentation process were the main problems in DA pretreatment [17,18]. Alkali pretreatments, on the other hand, were proven to produce no inhibitory compounds [19–21]. In addition to that, alkali pretreatments other than AFEX, such as low moisture anhydrous ammonia (LMAA) and soaking in alkali, have a low lignin solubilization effect and they were conducted at process conditions milder than those of AFEX [22]. LMAA pretreatment also reported having low water requirements, and the pretreatment agent could easily be recycled, because it is in a gaseous state [23]. These features are highly attractive for replacing widely used DA pretreatment, with the primary aim of finding a more environmentally friendly process without compromising the yield (biofuels).

Better results are expected (lower CO₂ footprint) when low energy downstream processing is adopted. Previous works mentioned that coupling other technologies for product separation with conventional distillation process have succeeded in reducing up to 75% of the total energy requirement [24,25]. The different separation principles utilized for facilitating product separation include membrane-based, gas-liquid equilibrium, and liquid-liquid equilibrium. This study focused on the environmental impact assessment of biobutanol, which is a more attractive gasoline substitute than bioethanol. When compared to the ethanol-water mixture, the needs of a pre-concentrate step before the distillation process is more critical for the butanol-water mixture, because butanol and water form azeotrope mixture at low butanol concentration and the resulting azeotrope mixture has a boiling point that is much higher than that of ethanol-water azeotrope [24]. By the application of new product separation methods, such as in-situ stripping, adsorption, pervaporation, and solvent extraction, pre-concentration of products are achieved, which reduces the capital for decanting equipment before butanol distillation and also reducing the extent (i.e., equipment size and time) of other downstream processes (ethanol and acetone distillation).

A combination of better pretreatment methods with updated downstream processing approaches would likely give not only better economic performance, but also better environmental impacts potential for cellulosic biofuels production. To date, there are no fundamental environmental impacts evaluation data available that are related to butanol production from oil palm frond (OPF). Such data are especially crucial to countries with oil palm as the major agricultural plant, where OPF is produced in abundance throughout the year. These data are also essential for the further development effort of this biomass material. Therefore, in this study, LCA was conducted on different pretreatment and product separation approaches for butanol production from OPF.

2. Methodology

2.1. Software Tools

The life cycle assessment (LCA) was conducted in the Excel spreadsheet while using process data that were extracted from SuperPro Designer V.9.0 (Intelligen, Inc., Scotch Plains, NJ, USA) simulation results.

2.2. System Boundary and Functional Unit

The scope of the study was gate-to-gate analysis, which includes feedstocks pretreatment, ABE (Acetone Butanol Ethanol) fermentation, and downstream processing as a system boundary (Figures 1 and 2). 1 L butanol was the functional unit used. Figures 3–5 show the mass and energy balances from the simulation of this work [23]. The objectives of this study were to determine the environmental impacts associated with butanol production from OPF and establish the baseline data for LCA of LMAA pretreatment.

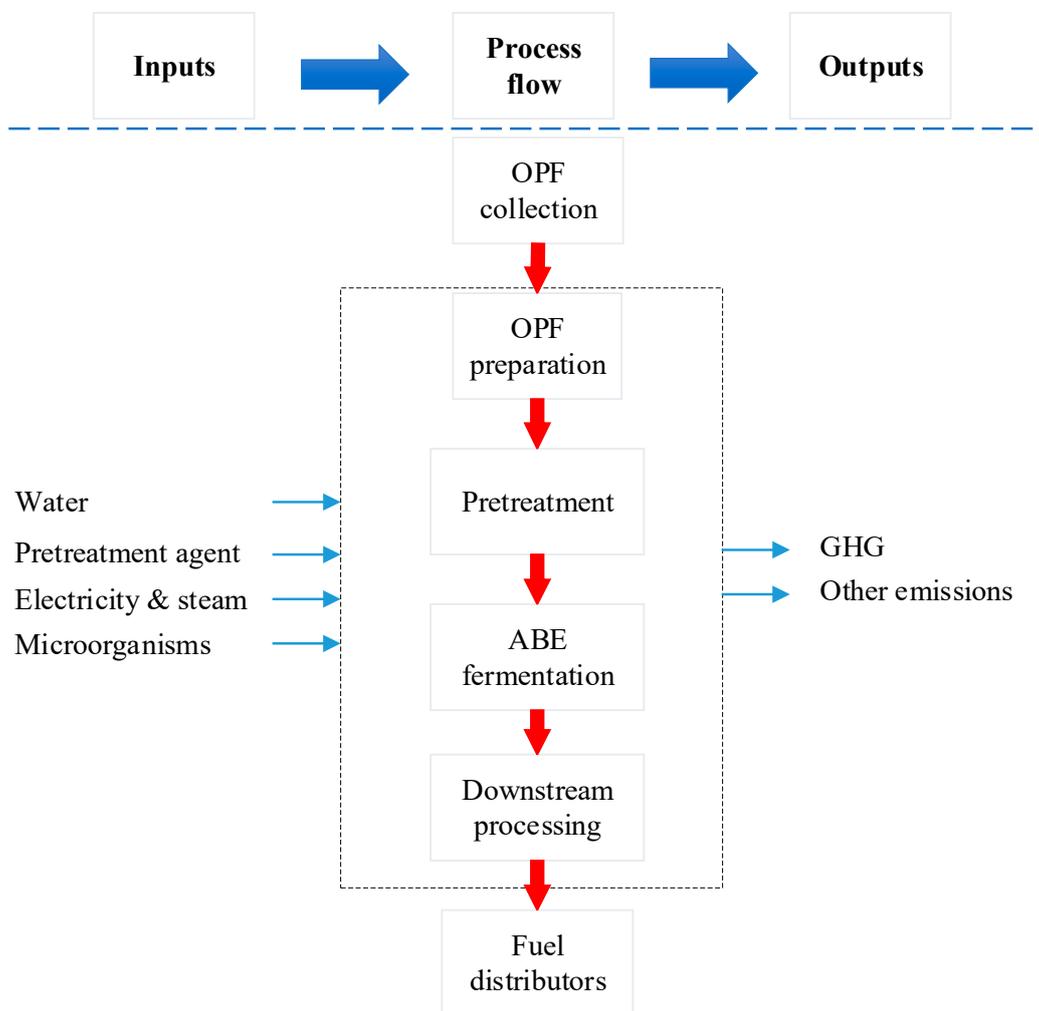


Figure 1. Gate-to-gate system boundary applied in the assessment. OPF = oil palm frond; ABE = Acetone Butanol Ethanol.

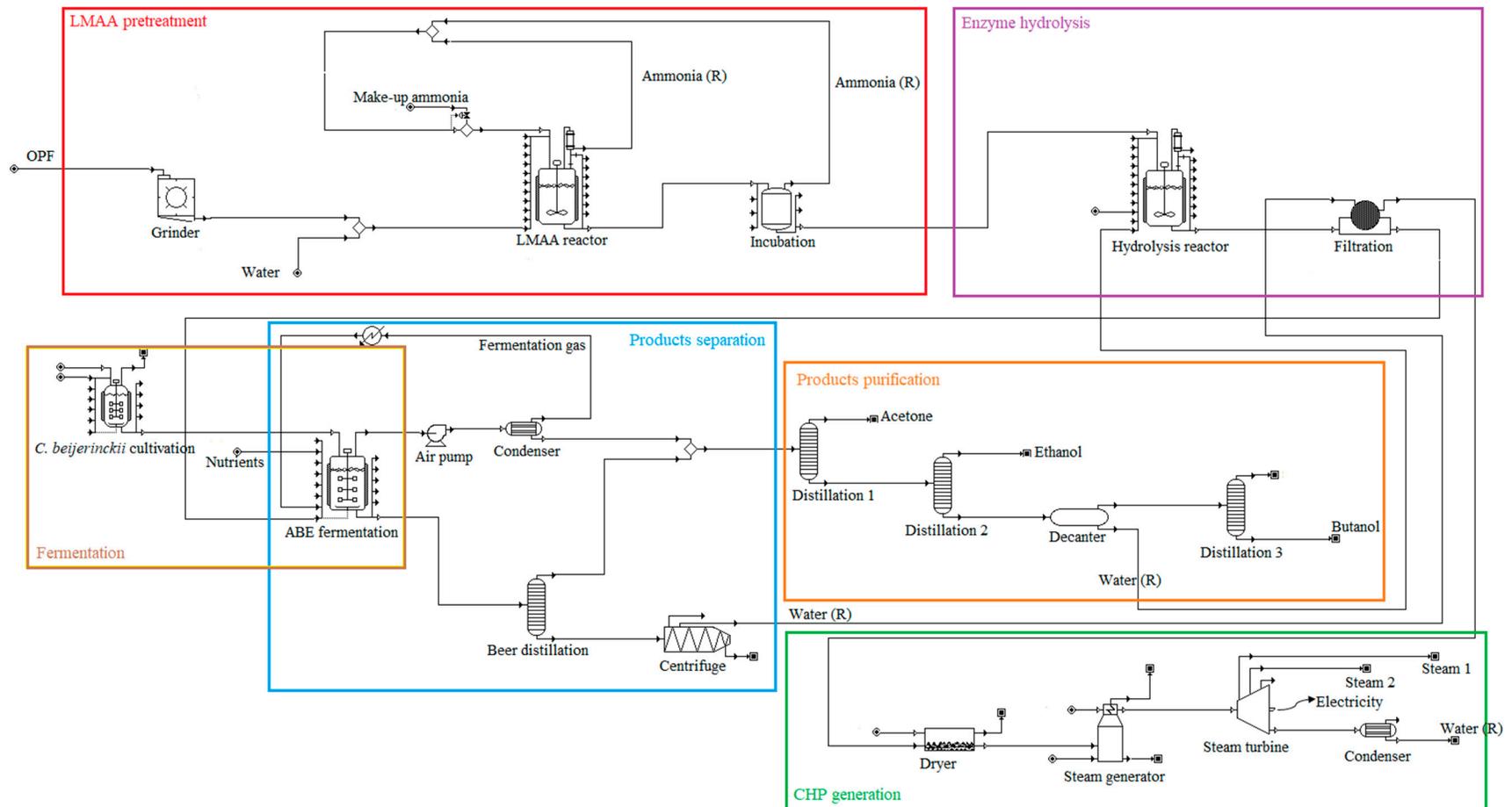


Figure 2. Major unit operations in the simulated biobutanol production plant. Different colored boxes denote different production stages; (R) = recycle.

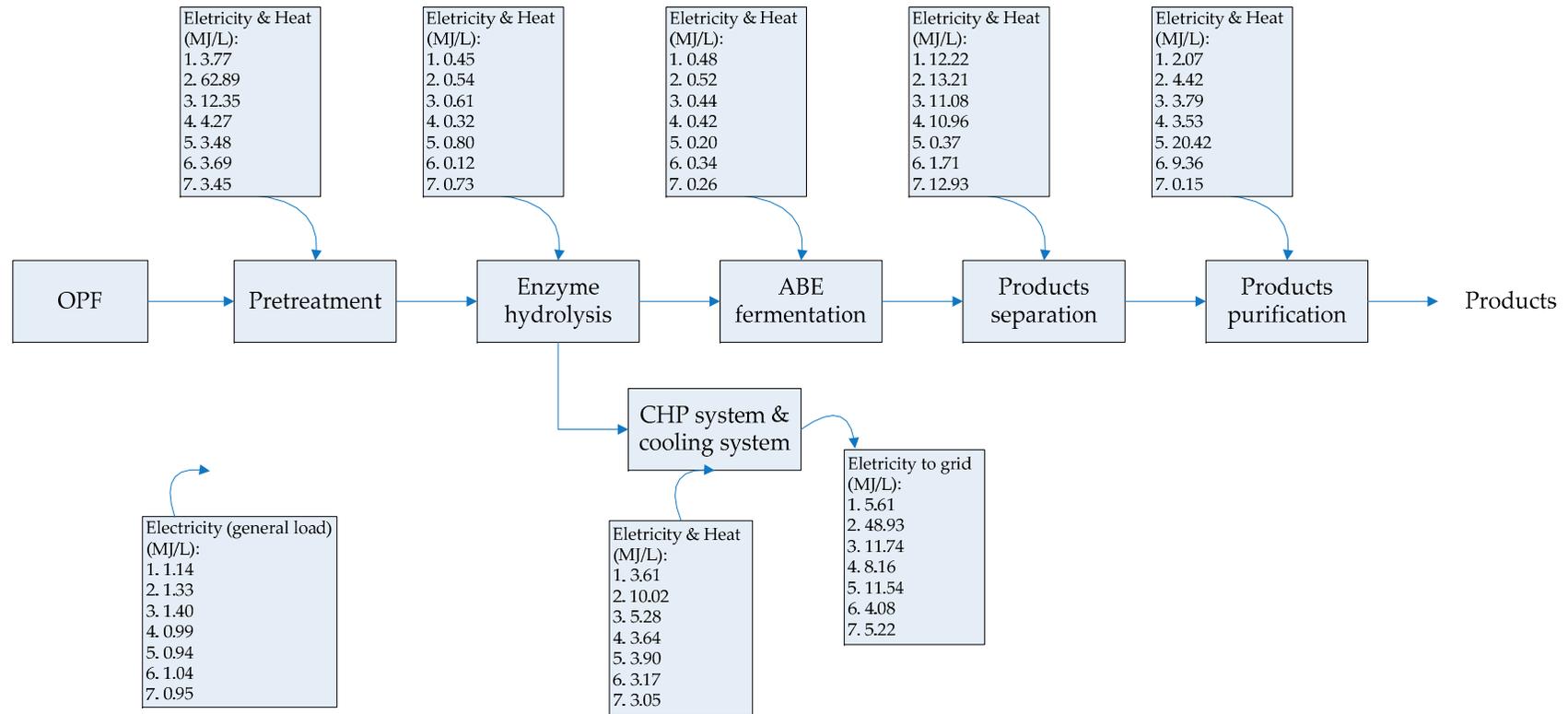


Figure 3. Energy required in each processing stage for butanol production. Numbering in boxes indicates various pretreatment or product separation steps. 1. low moisture anhydrous ammonia (LMAA) pretreatment/in-situ stripping; 2. autohydrolysis pretreatment; 3. soaking in aqueous ammonia (SAA) pretreatment; 4. NaOH pretreatment; 5. adsorption; 6. pervaporation; 7. dual extraction. The energy requirement/generated were assessed based on 1 L butanol. Products produced are butanol, acetone, and ethanol. OPF = oil palm frond; ABE = Acetone Butanol Ethanol; CHP = combined heat and power.

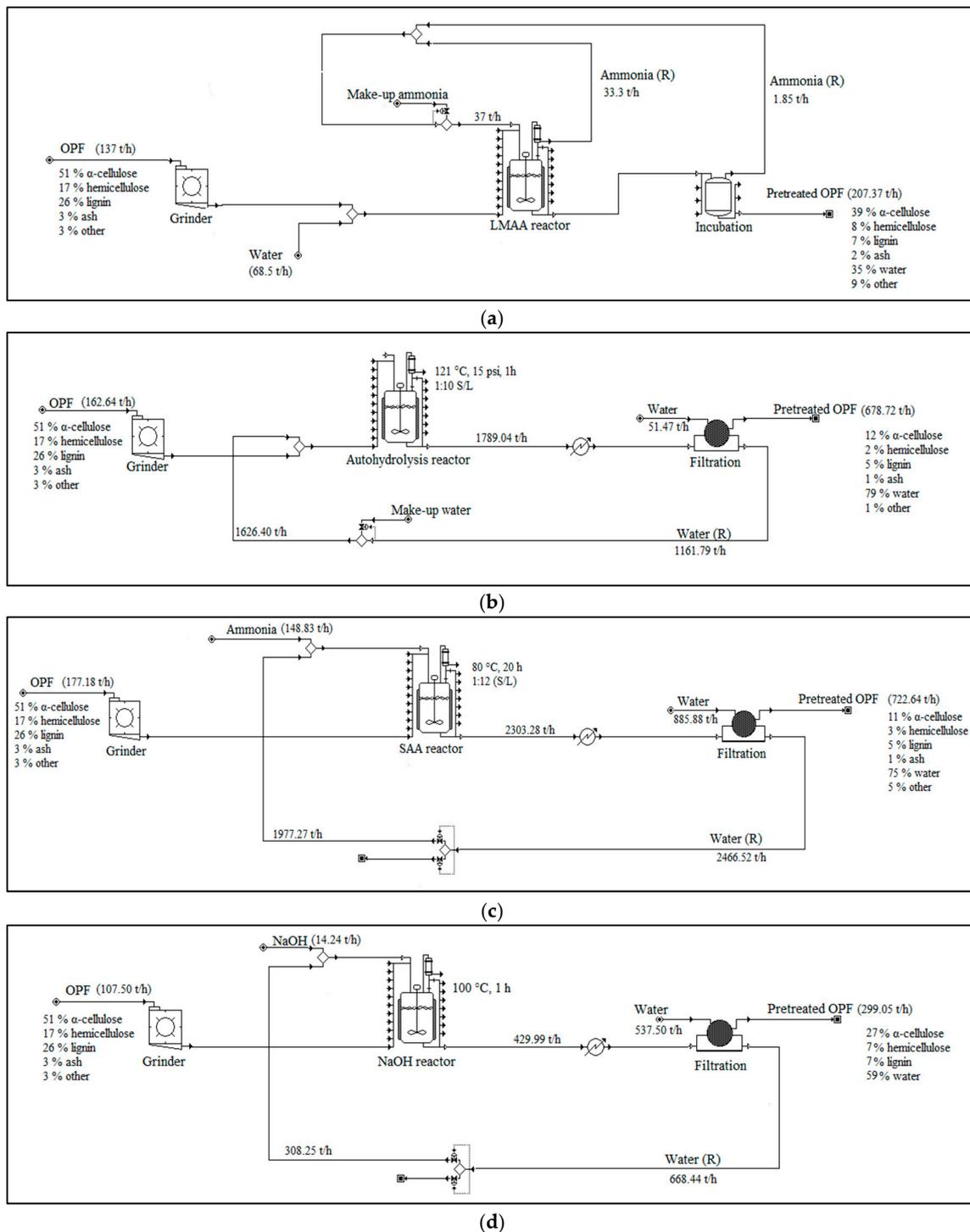


Figure 4. Pretreatment set-ups used in the simulation models. Note that this figure only includes major unit operations. More detailed plant set-ups were actually used in the simulations. (a) LMAA pretreatment; (b) autohydrolysis pretreatment; (c) SAA pretreatment; (d) NaOH pretreatment; (R) = recycle.

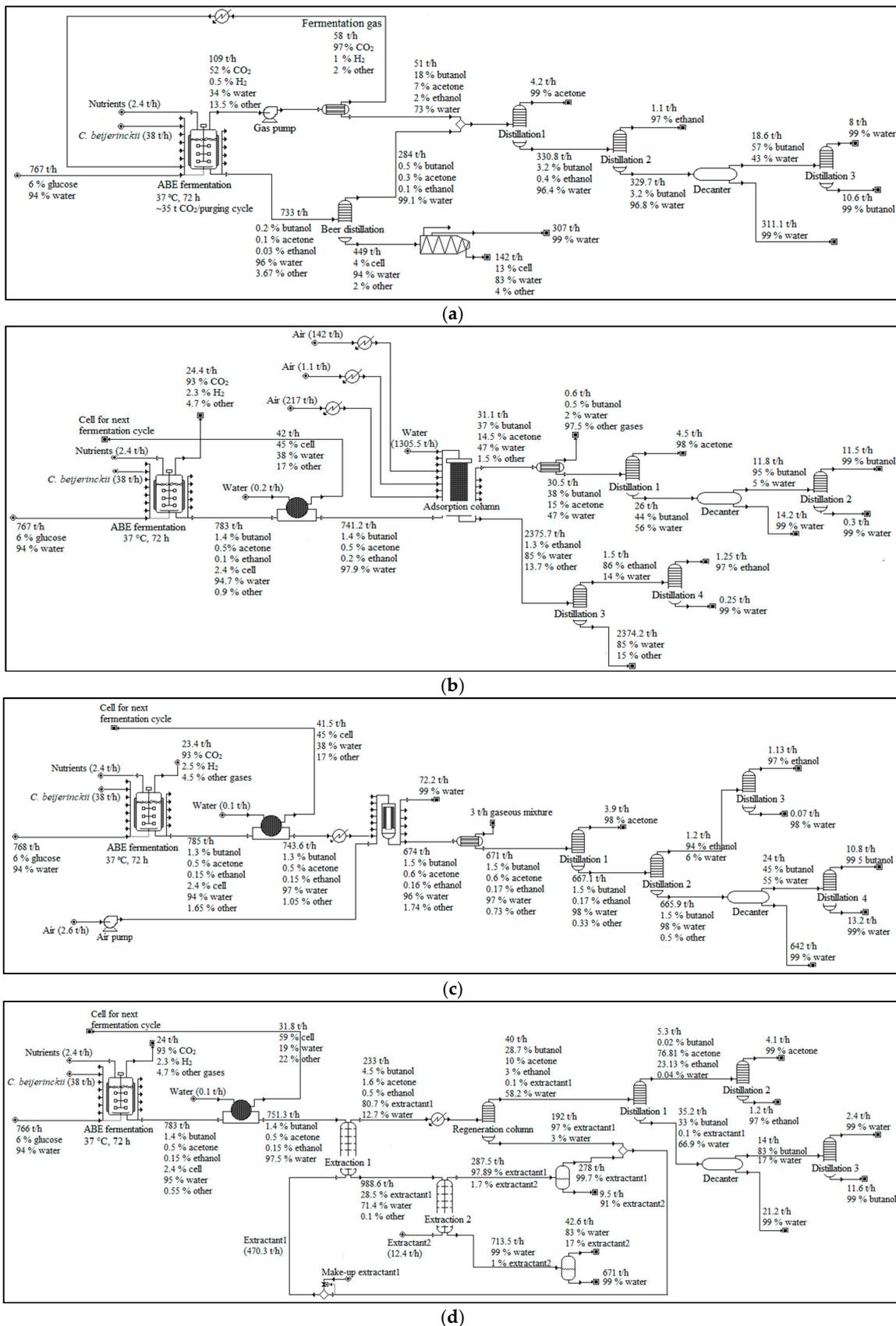


Figure 5. Product separation and purification set-up used in the simulation models. (a) in-situ stripping; (b) adsorption-distillation; (c) pervaporation-distillation; (d) dual extraction-distillation. Note that this figure only includes major unit operations. More detailed plant set-ups were actually used in the simulations.

2.3. Life-Cycle Inventory

Table 1 summarizes the life cycle inventory emissions to air data from each model that were simulated in this study. These data were either extracted from SuperPro Designer simulation software or manually calculated while using the given formulae.

Table 1. Inventory of life-cycle emissions (to air) data for different cellulosic butanol production models.

Emissions (kg/L)	LMAA/In-Situ Stripping	Autohydrolysis	SAA	NaOH
CO ₂	15.88	57.37	25.55	18.64
N ₂ O	0.32	0.59	0.59	0.44
CH ₄	2.44	4.68	4.56	3.34
N ₂	0.08	0.08	0.07	0.04
Butanol	1.30×10^{-5}	1.22×10^{-5}	1.25×10^{-5}	1.25×10^{-5}
AA * (5%)	0.14	-	-	-
AA * (9%)	0.25	-	-	-
Emissions (kg/L)	Adsorption	Pervaporation	Dual Extraction	
CO ₂	18.31	12.75	13.29	
N ₂ O	0.30	0.31	0.19	
CH ₄	2.34	2.36	2.23	
N ₂	0.72	0.97	0.07	
Acetone	2.08×10^{-3}	4.18×10^{-4}	2.58×10^{-5}	
Butanol	1.74×10^{-4}	4.04×10^{-5}	-	
Ethanol	-	3.67×10^{-5}	-	
Acetic acid	-	5.99×10^{-5}	-	
Butyric acid	-	7.48×10^{-7}	-	

* AA = Anhydrous ammonia.

2.4. Production Stages

2.4.1. Pretreatment

Different pretreatment approaches were primarily selected to serve as a comparison to the performance of LMAA pretreatment, which was the main pretreatment method investigated in this study. The selected pretreatments were from available works on OPF pretreatments, which includes autohydrolysis pretreatment, soaking in aqueous ammonia (SAA) pretreatment, and soaking in sodium hydroxide (NaOH) pretreatment. LMAA was conducted based on works from [19,26]. No emission of ammonia (NH₃) to the environment was assumed in LMAA pretreatment. Nevertheless, separate impacts assessment was also conducted on 5% and 9% emissions of NH₃ to the environment. The small percentage of NH₃ that was retained in the feedstock was not affecting the pH of the system and, hence, the neutralization procedure was not conducted [27].

Autohydrolysis pretreatment was modeled according to [28]. No chemical pretreatment agent was used in autohydrolysis pretreatment. Water stream exiting the autohydrolysis reactor was cooled before being recycled to the next cycle of autohydrolysis process.

SAA pretreatment was modeled according to [20]. Aqueous ammonia that was used in the pretreatment was highly diluted and not easy to recycle. The aqueous ammonia leaving the pretreatment unit was treated, and no fraction was assumed to be emitted.

NaOH pretreatment was simulated according to [21]. The NaOH solution leaving the pretreatment process was highly diluted. The stream was treated and no emitted fraction was assumed.

For all of the models, with the assumptions that all aqueous waste streams were appropriately treated and not leaked to the environment, the environmental impacts were assessed being primarily based on electricity and steam generation, with a small fraction of the emitted components.

2.4.2. Enzyme Hydrolysis and ABE Fermentation

The treated OPF was hydrolyzed while using cellulase enzyme according to [29]. After the hydrolysis process, the hydrolysate mixture was separated from the fibers residue and brought to the closed fermenter, while the fibers residues were used for combined heat and power (CHP) generation. The ABE fermentation was conducted following the works from [30,31]. There were no emissions from the hydrolysis process. However, the ABE fermentation process emitted a substantial amount of carbon dioxide (CO₂) and hydrogen (H₂).

2.4.3. Downstream Processing

The product separation from the fermented mixture was conducted while using several different technologies, namely in-situ stripping, dual extraction, membrane pervaporation, and adsorption. LMAA was used in the pretreatment section in each simulation while comparing different product separation technologies. The density of each butanol, acetone, and ethanol was 810 kg/m³, 783 kg/m³, and 789 kg/m³, respectively [32]. The subsequent processes of the downstream processing were simulated according to [33], with modification being based on the stream compositions.

In-situ stripping model development and simulation was according to work from [34,35]. In-situ stripping involves the use of fermentation gases to separate the products from the fermentation broth. The fermentation gases were compressed and then heated to 35 °C before being purged into the bottom of the fermenter. The stripping cycles run at fixed interval throughout the fermentation, and the stripping gases were released to the environment once the fermentation has completed (72 h). The retired fermentation broth that contained unstripped products went through beer distillation according to [36] for the separation of the remaining products. The mixture of products was then processed in a series of distillation columns to separate and purify acetone, ethanol, and butanol. A decanter unit was installed before the butanol distillation column to separate most of the water. The light-phase from the decanter unit will go through butanol distillation column, while the heavy-phase that was mostly water was recycled.

The dual extraction model development and simulation was based on work from [37]. The fermentation broth was undergoing a filtration unit to allow for microorganisms recycling before going through extraction-1, where most of the acetone, butanol, and ethanol were separated. The organic stream proceeds to regeneration column to recover the extractant-1. The aqueous phase from extraction-1 then underwent extraction-2, where the remaining extractant-1 was removed before recycling the broth back to the fermenter. Both of the extraction processes were conducted at 37 °C and 1 bar.

2-methyl-1-hexanol (non-biocompatible) and cyclopentane (biocompatible) were the extractants used for extraction-1 and extraction-2, respectively. The mixture of products from the regeneration column underwent distillation, where the lowest boiling point components (acetone and ethanol) were separated from the butanol-water mixture. The acetone-ethanol mixture then underwent distillation to purify each of the acetone and ethanol. A decanter was used to generate two phases, in which the lighter phase (butanol) will proceed to distillation for purification, because of the high water content in the water-butanol mixture. Some of the extractant-1 and extractant-2 were unrecovered and ended in the aqueous waste stream, however, assumed to be appropriately treated. No emission from the extractants was assumed.

The adsorption model development and simulation was according to [38,39]. Activated carbon (AC) F-400 was used as the adsorbent. Fermentation broth from fermenter undergone filtration to allow for cell recycling. The cell-free mixture was loaded into the adsorption column, which is selective towards butanol and acetone. An optimistic condition was assumed (~99% separation). Hot air (150 °C) was purged into the adsorption column to desorb the acetone and butanol, and to regenerate the adsorbent. The stripped air containing acetone and butanol was condensed before going through a series of distillation. Approximately 0.70%, 0.02%, and 0.08% of acetone, butanol, and water, respectively, were emitted during this process. Column equilibration was conducted while using water at room temperature before the next cycle of the adsorption process. The butanol- and

acetone-depleted solution from the adsorption column proceed to the ethanol distillation. The entire aqueous waste stream was assumed to be appropriately treated.

The pervaporation model development and simulation followed the work from [40]. Filtration was conducted before the process to recycle the cells. Silicone membrane was used in the process. In this simulation, an optimistic yield was assumed for the pervaporation membrane, where it was capable of achieving ~99% separation efficiencies for all products. Compressed air was introduced to remove the diffused products from the membrane surface, which then condensed and collected. Approximately 0.13%, 0.01%, 0.04%, 0.01%, 0.002%, and 0.02% of acetone, butanol, ethanol, acetic acid, butyric acid, and water, respectively, were emitted during this process. The products-free broth was then recycled to the fermenter. The mixture of products was processed in a series of distillation columns to separate and purify acetone, ethanol, and butanol.

2.5. Heating and Cooling

The remaining lignin and other fibers residues (undigested cellulose and hemicellulose) that were separated from the fermentable sugar after enzyme hydrolysis were dried to approximately 10% moisture content and then used as a fuel for combined heat and power (CHP) generation system to generate steam and electricity. The Actual Rankine Cycle consisting of a boiler unit and multistage steam turbine was used and simulated while using SuperPro built-in expansion model. The generator was assumed to be 90% efficient.

The CHP system was supplemented with natural gas, because burning only fibers residues was not capable of generating enough steam for the production plant. This study also investigated the CHP system that was fueled by 100% biomass; fibers residues and oil palm empty fruit bunch (EFB) pellet. Table 1 tabulates the elemental compositions of lignin, cellulose, hemicellulose, natural gas, and EFB used in the simulation. Air and water at a pressure of 1 bar and 11 bar, respectively, at atmospheric temperature, entering the boiler at a rate calculated by the software. The generated high-pressure, high-temperature steam (45 bar, 257 °C) was expanded in a multistage steam turbine to produce electricity as well as steam with specific pressures. The emissions that were generated by burning each type of fuels were calculated while using the mentioned formula.

Cooling water for the plant was supplied from the on-site cooling tower. The cooling tower used air to cool water from 30 °C to 25 °C. The chilled water requirement for the plant was generated on-site through the electric cooling, which cooled the water from 10 °C to 5 °C.

2.6. Net Energy Value, Net Energy Ratio, and Fossil Energy Ratio

From the simulation, the energy that was required for the production of butanol was determined and then used for energy metrics calculation. The assessed energy metrics include net energy value (NEV), net energy ratio (NER), and fossil energy ratio (FER), which calculated based on Equations (1)–(3), respectively [41,42]. The energy content in butanol, acetone, and ethanol was assumed to be 27.95 MJ/L, 23.28 MJ/L, and 21.37 MJ/L, respectively [32]. The energy that was required for obtaining the feedstocks was not considered in the evaluation.

$$\text{NEV} = E_{\text{butanol}} (\text{MJ/L}) + E_{\text{co-product}} (\text{MJ/L}) + E_{\text{sold}} (\text{MJ/L}) - \text{TEI} (\text{MJ/L}) \quad (1)$$

$$\text{NER} = (E_{\text{butanol}} (\text{MJ/L}) + E_{\text{co-product}} (\text{MJ/L}) + E_{\text{sold}} (\text{MJ/L}))/\text{TEI} (\text{MJ/L}) \quad (2)$$

$$\text{FER} = (E_{\text{butanol}} (\text{MJ/L}) + E_{\text{co-product}} (\text{MJ/L}) + E_{\text{sold}} (\text{MJ/L}))/\text{FEI} (\text{MJ/L}) \quad (3)$$

where: E = energy content; FEI = fossil energy input; and TEI = total energy input.

2.7. Life-Cycle Impact Assessment (LCIA)

The carbon dioxide (CO₂) emission from burning different fuels in the CHP system was calculated based on Equation (4), with 44/12 being the ratio of molecular weights of CO₂ and carbon [43]. The emitted methane (CH₄) and nitrous oxide (N₂O) were estimated based on Equation (5) [43]. The

emission factors used in the calculation were 1.0 g CH₄/MMBtu and 0.1 g N₂O/MMBtu for natural gas, while those for waste fibers were 32 g CH₄/MMBtu and 4.2 g N₂O/MMBtu. Table 2 shows the carbon content and higher heating value (HHV) of each fuel. These emissions were then categorized based on biogenic and non-biogenic. Fermentation gases and fibers residues burning in the CHP system contribute the biogenic emissions, while natural gas combustion in the CHP system contributes the non-biogenic emissions.

Table 2. Elemental compositions of fuels used for the boiler.

Elements	Lignin (%) [44]	Cellulose (%) [45]	Hemicellulose (%) [45]	Natural Gas (%) [46]	EFB Pellet (%) [47]
Carbon	58.45	44.40	45.50	75.85	42.99
Hydrogen	6.80	6.20	6.10	24.15	6.19
Nitrogen	1.12	-	-	-	0.64
Sulfur	-	-	-	-	0.08
Moisture	-	-	-	-	8.16
Ash	-	-	-	-	7.55
Oxygen	33.63	49.40	48.40	-	34.39 *
HHV (MJ/kg)	22.16	17.6	17.9	49.18	17.04

EFB = oil palm empty fruit bunch; HHV = higher heating value; * = by difference.

$$\text{Emissions (CO}_2\text{)} = \text{Mass}_{\text{fuel}} \text{ (kg)} \times \text{Fuel carbon content (\%)} \times (44/12) \quad (4)$$

$$\text{Emissions (CH}_4\text{ or N}_2\text{O)} = \text{Mass}_{\text{fuel}} \text{ (kg)} \times \text{HHV}_{\text{fuel}} \text{ (MJ/kg)} \times \text{Emission factor (g/MMBtu)} \quad (5)$$

An environmental impacts assessment from the butanol production plant was conducted based on several different criteria, which include global warming potential (GWP) (kg CO₂ eq.), acidification (kg SO₂ eq.), eutrophication (kg N eq.), and ecotoxicity (CTUeco/kg) potentials. Only emissions that were generated from the plant were considered for the assessment of specific environmental impacts potential. All of the aqueous waste streams were assumed to be appropriately treated, thus not creating any environmental impacts.

The GWP for 100-year time horizon was calculated from electricity usage and steam generation. Ecotoxicity and eutrophication potentials were estimated from the emissions containing solvents products and N₂ produced from the processes. The acidification potential was only calculated for the LMAA pretreatment model based on the varying NH₃ emitted from the process. The impact calculation and assessments were done with the aid of the Tool for Reduction and Assessment of Chemical and other Environmental Impacts (TRACI) 2.1 database. GWP was calculated based on Equation (6), while the other impacts potentials were calculated by multiplying the mass of a specific emission with the TRACI 2.1 emission factors following Equation (7) [48].

$$\text{GWP (kg CO}_2\text{ eq./L)} = \text{CO}_2 \text{ (kg/L)} + (\text{CH}_4 \text{ (kg/L)} \times 25) + (\text{N}_2\text{O (kg/L)} \times 298) \quad (6)$$

where 25 and 298 are the GWP factor for CH₄ and N₂O, respectively.

$$I^i = \sum_{xm} CF_{xm}^i \times M_{xm} \quad (7)$$

where:

I^i = potential impact of all chemicals (x) for a specific impact category (i);

CF_{xm}^i = characterization factor of chemical (x) emitted to media (m) for impact category (i); and,

M_{xm} = mass of chemical (x) emitted to media (m).

3. Results and Discussions

3.1. Process Yield

Each of the plant set-ups (i.e., different pretreatment and different product separation technologies) was used for the simulation of biobutanol production (Figures 3–5). The same product separation method (in-situ stripping) was applied when different pretreatment were modeled. The same pretreatment (LMAA) was used when different product separation were simulated. A fixed amount of OPF (1.09×10^6 t) was used in each simulated models. The results of the simulation were presented elsewhere [23], as briefly summarized in Table 3.

The analysis also found that the biobutanol production cost that was produced while using LMAA pretreatment was the lowest, being mainly contributed by its low operating cost (utilities, materials, labor, maintenance and repairs, waste treatment, overhead, tax, insurance, depreciation, and capital charges costs). The huge gap in butanol production costs between LMAA and those of SAA and autohydrolysis pretreatment were due to the differences in the materials cost and utility cost.

Table 3. Products yield from different simulated plant set-up.

Parameters	LMAA	Auto-Hydrolysis	SAA	NaOH
Butanol ($\times 10^6$ L/y)	103.59	87.59	80.41	126.45
Acetone ($\times 10^6$ L/y)	42.33	35.82	32.88	51.45
Ethanol ($\times 10^6$ L/y)	11.41	9.67	8.88	14.05
Production cost * (\$/L)	1.58	3.15	5.28	1.79
	In-Situ Stripping	Adsorption	Pervaporation	Dual Extraction
Butanol ($\times 10^6$ L/y)	103.59	112.27	105.85	113.41
Acetone ($\times 10^6$ L/y)	42.33	45.91	43.52	41.10
Ethanol ($\times 10^6$ L/y)	11.41	12.58	12.50	12.32
Production cost * (\$/L)	1.58	6.29	1.86	1.71

* production cost of butanol.

3.2. Life-Cycle Energy Metrics

Tables 4 and 5 show the life-cycle energy metrics comparisons for cellulosic butanol production simulated while using different pretreatment and product separation technologies, respectively. The fossil energy input was coming from natural gas combustion for heat and power generation in the CHP system. In general, the variation of electricity that was required by all models was small as compared to the variation of heat needed between models.

Table 4. Energy metrics of pretreatment technologies simulated.

Parameters	Energy Requirement (MJ/L *)			
	LMAA	Auto-Hydrolysis	SAA	NaOH
Process heat	16.55	78.47	25.71	17.38
Electricity	7.20	14.46	9.26	6.75
Excess electricity (to grid)	5.61	48.93	11.74	8.16
Total energy required	23.75	92.93	34.97	24.13
Co-product energy credit	11.90	11.90	11.90	11.90
NEV	21.71	−4.15	16.61	23.87
NER (MJ/MJ)	1.91	0.96	1.48	1.99
FER (MJ/MJ)	4.89	1.37	3.89	6.47

* the units are MJ/L butanol unless otherwise stated; LMAA—low moisture anhydrous ammonia; SAA—soaking in aqueous ammonia; NaOH—soaking in sodium hydroxide solution; NEV—net energy value; NER—net energy ratio; and, FER—fossil energy ratio. Co-product energy credit calculated based on production of 1 L butanol (when 1 L butanol is produced, 0.409 L acetone, and 0.110 L ethanol were also produced).

Table 5. Energy metrics of product separation technologies simulated.

Parameters	Energy Requirement (MJ/L *)			
	In-Situ Stripping	Adsorption	Pervaporation	Dual Extraction
Process heat	16.55	23.44	13.19	15.89
Electricity	7.20	6.67	6.24	5.62
Excess electricity (to grid)	5.61	11.54	4.08	5.22
Total energy required	23.75	30.11	19.43	21.51
Co-product energy credit	11.90	11.91	12.10	10.76
NEV	21.71	21.30	24.69	22.42
NER (MJ/MJ)	1.91	1.71	2.27	2.04
FER (MJ/MJ)	4.89	3.07	7.24	4.92

* the units are MJ/L butanol unless otherwise stated; NEV = net energy value; NER = net energy ratio; FER = fossil energy. Co-product energy credit calculated based on production of 1 L butanol (production of 1L of butanol will also produce 0.409 L acetone and 0.110L ethanol in in-situ stripping model, 0.409 L acetone and 0.112 L ethanol in adsorption model, 0.411 L acetone and 0.118 L ethanol in pervaporation model, 0.362 L acetone and 0.106 L ethanol in dual extraction model).

Among all of the pretreatment technologies evaluated, the autohydrolysis pretreatment model recorded the highest heat and electricity requirements because of the high amount of feedstock loading (hence increased milling work) and operated at a higher temperature than the other pretreatments. In fulfilling the high demand for energy, the CHP system in autohydrolysis model also generated a high amount of electricity that was sold to the grid. As mentioned in [23], SAA pretreatment has the lowest efficiency and it required the highest amount of feedstock when compared to other pretreatments. Therefore, the SAA model was also generated the highest amount of fibers residues for the CHP system. The other pretreatment processes modeled have approximately similar total energy requirements.

When comparing different product separation technologies, in-situ stripping model recorded the highest electricity requirement (7.20 MJ/L), while the adsorption model recorded the highest heat requirement (23.44 MJ/L). The highest total energy requirement was recorded by the adsorption model (30.11 MJ/L), while the pervaporation process required the least energy (19.43 MJ/L). In general, all of the simulated models produced the same amount of fibers residues that were used to fuel the CHP system. However, varying products yield from each model rendered a different value of energy per unit butanol (Table 4). Similar to the earlier simulations, those required the highest amount of heat had the highest amount of excess electricity sold to the grid. As elaborated in [23], the adsorption process needed a high amount of heat in the products purification section due to the high volume of water that is available in the process.

NEV, NER, and FER were calculated to assess the energy performance of the processes. A positive NEV value is desired for biofuel to be a substitute for fossil fuel, because it means that more energy was generated than consumed [49]. Among pretreatment technologies evaluated, NaOH pretreatment model recorded the highest NEV (23.87 MJ/L), followed by LMAA, SAA, and autohydrolysis pretreatment models (Table 4). When comparing different product separation technologies, the pervaporation model recorded the highest NEV (24.69 MJ/L), followed by dual extraction, in-situ stripping, and adsorption model (Table 5). As a comparison, Tao et al. [49] recorded an NEV of 90 MJ/GGE (~15.00 MJ/L) for n-butanol produced from corn stover, and Morey et al. [41] reported NEV of 30.50 MJ/L for ethanol produced from corn stover.

For NER, a value that is higher than 1.0 is desired, which indicates the positive energy balance [42]. NER value for NaOH pretreatment model was the largest (1.99) as compared to other pretreatment technologies, while the pervaporation model recorded the highest NER value among all of the product separation approaches (2.27). Only the autohydrolysis pretreatment model had NER lower than 1.0, which indicated that it used higher energy for production process than the energy available in the products.

Baral et al. [50], who treated corn stover for butanol production recorded NER of 1.78, 1.80, 1.73, 0.41, and 3.69 from the steam explosion, DA, AFEX, ionic liquid, and biological pretreatments, respectively. When only considering chemical pretreatment methods (DA and AFEX), their NER values

were not far different from those that were recorded in this study. It could serve as a baseline value for this study, although they were assessing different pretreatment methods.

Another comparison on the NER value was from those recorded by [49], which were 2.8 and 2.2 for corn stover-based n-butanol and isobutanol, respectively, higher than almost all NER values that were recorded in this study. However, their NER values without electricity displacement credits were 1.5 and 1.4 for n-butanol and isobutanol, respectively, which were similar to those that were recorded in almost all models in this study before the inclusion of electricity credits (1.68, 0.43, 1.14, 1.65, 1.68, 1.32, 2.06, and 1.80 for LMAA, autohydrolysis, SAA, NaOH, in-situ stripping, adsorption, pervaporation, and dual extraction models respectively—data not shown in the results table). It might indicate that their production plant had generated a greater amount of excess electricity than those that were generated in this study, probably because of the low consumption, or because of the high requirement of process steam that at the same time produced a high amount of electricity. In general, the NER value of cellulosic butanol cannot compete with the NER value of the cellulosic ethanol. Cronin et al. [15] reported an NER value in the range of 4.96–9.00 for cellulosic ethanol that was produced from AFEX- and DA-treated corn stover, switchgrass, and miscanthus.

FER measures the degree of renewability of the produced butanol. The larger the FER indicates that the process generated a considerable output of renewable energy in comparison to the low amount of fossil energy input. FER for NaOH pretreatment model was the greatest (6.47) when compared to the other pretreatments, while FER for the pervaporation model was the highest (7.24) among other product separation technologies evaluated. No available study has provided any FER value of cellulosic butanol for comparison purposes.

Nevertheless, direct comparison with cellulosic ethanol showed agreement with the FER value that was obtained in this study; Morey et al. [41] reported FER of 5.31 for corn stover ethanol, while [51] estimated an FER value of cellulosic ethanol in the range of 4.4–6.6 [52]. These FER values are far better than that of gasoline, which is 0.8. In general, there was no direct relationship between NEV, NER, and FER, i.e., those that recorded the highest NEV did not necessarily have the highest NER and FER. Nevertheless, all of the evaluated technologies have recorded values that were almost similar to available works. The LMAA pretreatment model recorded neither the best nor the lowest values of all energy metrics evaluated, which suggests its prospect for commercial application.

3.3. Process Emissions

All of the energy metrics evaluated above did not show any relationship with the emissions that were generated by the process, i.e., a process that has the highest energy metrics values does not necessarily have a specific amount (highest or lowest) of emissions. Tables 6 and 7 tabulate the results of gate-to-gate process emissions from butanol production plant simulated while using different pretreatment and product separation technologies, respectively. The evaluated emissions include CO₂, N₂O, and CH₄, which then differentiated between biogenic and non-biogenic. In general, the process with a high-energy requirement recorded high emissions, which were mostly generated from the energy generation process (CHP system).

Table 6. Feedstocks consumed, water consumed, and emissions from different pretreatment models simulated.

Parameters	LMAA	Auto-Hydrolysis	SAA	NaOH
Feedstocks consumed (kg/L)	10.48	12.39	13.56	8.21
Water consumed (m ³ /L)	0.06	0.25	0.07	0.06
Emissions				
CO ₂ , biogenic (kg/L)	10.32	15.47	16.81	13.10
CO ₂ , non-biogenic (kg/L)	5.56	41.90	8.74	5.54
N ₂ O, biogenic (g/L)	0.31	0.52	0.58	0.43
N ₂ O, non-biogenic (g/L)	0.01	0.07	0.02	0.01
CH ₄ , biogenic (g/L)	2.35	3.97	4.41	3.24
CH ₄ , non-biogenic (g/L)	0.09	0.70	0.15	0.09

Table 7. Water consumed and emissions from different product separation models simulated.

Parameters	In-Situ Stripping	Adsorption	Pervaporation	Dual Extraction
Water consumed (m ³ /L)	0.06	0.07	0.05	0.05
Emissions				
CO ₂ , biogenic (kg/L)	10.32	8.30	8.98	8.34
CO ₂ , non-biogenic (kg/L)	5.56	10.01	3.77	4.95
N ₂ O, biogenic (g/L)	0.31	0.29	0.30	0.18
N ₂ O, non-biogenic (g/L)	0.01	0.02	0.01	0.01
CH ₄ , biogenic (g/L)	2.35	2.17	2.30	2.15
CH ₄ , non-biogenic (g/L)	0.09	0.17	0.06	0.08

Baral et al. [50] conducted an LCA on the steam explosion, sulfuric acid, ammonia fiber explosion, ionic liquid, and biological pretreatments for butanol production from corn stover. They estimated biogenic CO₂ between 5.21–11.46 kg CO₂/L butanol, which was only overlapped with the LMAA pretreatment model in this study (10.32 kg CO₂/L butanol). However, when comparing different product separation methods, the biogenic CO₂ emission of the process recorded much lower results—10.32, 8.30, 8.98, and 8.34 kg CO₂/L butanol for in-situ stripping, adsorption, pervaporation, and dual extraction models, respectively. The higher CO₂ emission recorded in in-situ stripping model was mainly due to the longer fermentation process in this model because of the immediate solvents-removal effect.

According to the IPCC, only non-biogenic CO₂ emissions should be considered as greenhouse gas (GHG) emissions, which eventually contribute towards an increase in global warming potential (GWP). The biogenic CO₂ is not considered as GHG emission, because, throughout plants' life, they are conducting a photosynthesis process by taking CO₂ from the atmosphere [49]. The biogenic CO₂ emissions fractions in most of the simulated models were higher than that of non-biogenic, because of the large fraction of CO₂ generated during the fermentation process and the waste fibers burning in the CHP generation system.

The case was different for N₂O and CH₄ emissions, in which both biogenic and non-biogenic fractions from these emissions were considered as GHG emissions, because growing plants have no abilities to utilize those gases throughout its life. The higher biogenic N₂O and CH₄ emissions than those of non-biogenic came from the combustion of fibers residues in the CHP system. In all simulation cases, the amount of natural gas that was required for energy generation was multiple degrees higher than the available amount of fibers residues. It indicated that burning biomass in CHP generating higher emission than burning fossil fuel (natural gas). By using fibers residues as the fuel for CHP system, the cost that is associated with energy generation could be reduced [23]. However, it was creating more emissions.

3.4. Environmental Impacts

3.4.1. Global Warming Potential (GWP)

Table 8 tabulates the GWP that was calculated from the emissions data in Tables 6 and 7. Among all of the pretreatment models, LMAA had the lowest GWP (5.72 kg CO₂ eq. /L butanol), while the lowest GWP among all product separation models was recorded from pervaporation model (3.92 kg CO₂ eq. /L butanol). By subtracting the electricity sold to the grid in the calculation, 50%, 71%, 86%, and more than 100% reduction in GWP were recorded for LMAA, autohydrolysis, SAA, and NaOH pretreatment models, respectively. While the in-situ stripping, adsorption, pervaporation, and dual extraction product separation model recorded GWP reduction of 50%, 62%, 57%, and 53%, respectively, when the electricity credits were counted.

As a comparison, Tao et al. [49] recorded 29% and 35% GHG emissions reduction after the inclusion of electricity displacement credit for isobutanol and n-butanol production, respectively. Another available study on LCA of OPF-based biofuel recorded a GWP of 3.84 kg CO₂ equivalent/ kg bioethanol produced (value has excluded the plant cultivation stage that was included in their study) [53].

Table 8. Global warming potentials (GWP) of simulated cellulosic butanol production plants.

Models	GWP* (kg CO ₂ eq./L Butanol)	
	Without Electricity Displacement Credit	With Electricity Displacement Credit
Different pretreatment		
LMAA	5.72 (0.20)	2.87 (0.10)
Autohydrolysis	42.19 (1.51)	12.23 (0.44)
SAA	9.03 (0.32)	1.29 (0.05)
NaOH	5.75 (0.21)	−0.36 (−0.01)
Different product separation		
In-situ stripping	5.72 (0.20)	2.87 (0.10)
Adsorption	10.16 (0.36)	3.84 (0.14)
Pervaporation	3.92 (0.14)	1.70 (0.06)
Dual extraction	5.06 (0.18)	2.40 (0.09)

* values in parentheses are in kg CO₂ eq./MJ.

3.4.2. Other Environmental Impacts Potential

Table 9 shows the ecotoxicity and eutrophication potentials of all the simulated models. In general, ecotoxicity potential was very low for all models, with only decimals different between each. On the other hand, the eutrophication potentials showed slightly larger values, with the autohydrolysis pretreatment model and pervaporation model recording the highest value among all of the pretreatment and product separation models, respectively.

Table 9. Ecotoxicity and eutrophication potentials of simulated cellulosic butanol production plants.

Models	Ecotoxicity (CTU eco/L Butanol)	Eutrophication (kg N eq./L Butanol)
Different pretreatments		
LMAA	2.84×10^{-6}	0.011
Autohydrolysis	2.67×10^{-6}	0.012
SAA	2.72×10^{-6}	0.010
NaOH	2.72×10^{-6}	0.006
Different product separations		
In-situ stripping	2.84×10^{-6}	0.011
Adsorption	3.98×10^{-4}	0.118
Pervaporation	2.14×10^{-4}	0.142
Dual extraction	4.49×10^{-6}	0.011

Ecotoxicity is the measure of the toxic effect of chemical compounds on human and the whole ecosystems [48]. The eutrophication impact is the enrichment of nutrients (nitrogen and phosphorus) in the ecosystem. Phosphorus could have a more negative impact on freshwater, while nitrogen could give a negative effect on freshwater and air. Impaired breathing ability, limited visibility, and altered plant growth are among the effects of nitrogen to air [54,55].

LMAA pretreatment had slightly higher ecotoxicity and eutrophication impact potentials than the other alkali pretreatments assessed. Besides, the degree of eutrophication potential was increased if a small fraction of the NH₃ used was emitted, and it also caused acidification potential to occur (Table 10). It suggests that, to avoid severe environmental impacts from LMAA pretreatment, efficient unit operation enabling no pretreatment agent (NH₃) escape from the system and allowing for maximum NH₃ recycling must be in place, along with rigorous safety measures. The acidification impact potential indicates the increase in acidity of the local environment. Increased air acidification could cause damage to building, plant life, and ecosystems [48].

Ofori-Boateng & Lee [53] recorded 0.13 kg SO₂ eq., 0.13 kg N eq., and 0.04 CTU_{eco} of acidification, eutrophication, and ecotoxicity potentials, respectively, for each kg of ethanol produced. The LMAA pretreatment model in this study potentially generated a higher acidification impact potential if the pretreatment unit operations were not properly sealed, causing NH₃ to leak into the air. There was no previous LCA study on butanol production from OPF for a more appropriate comparison.

Table 10. Environmental impacts comparison from LMAA pretreatment model with varying anhydrous ammonia emitted.

Environmental Impacts Potential *	No Emission	5% Emission	9% Emission
GWP (kg CO ₂ eq./L butanol)	5.72	5.72	5.72
Ecotoxicity (CTUeco/L butanol)	2.84×10^{-6}	2.84×10^{-6}	2.84×10^{-6}
Acidification (kg SO ₂ eq./L butanol)	-	0.27	0.48
Eutrophication (kg N eq./L butanol)	0.011	0.028	0.042

* assessed based on emitted anhydrous ammonia only.

3.5. Effect of 100% Biomass-Fueled CHP to the GWP Performance

The biobutanol production plants have to rely on the electricity displacement credit to achieve a substantial reduction of GWP by burning natural gas in addition to the fibers residues in the CHP system (Table 8). By fueling the CHP system with only biomass, the EFB pellet was used as a replacement for natural gas, and the production plants generated only biogenic emissions (Table 11). Although burning biomass emitted higher CO₂, N₂O, and CH₄ when compared to burning natural gas, the total GWP of the plants were substantially lower than those using natural gas in CHP system since biogenic CO₂ is not considered in GWP impact calculation.

Table 11. Biogenic emissions from cellulosic butanol production plants employing 100% biomass-fueled combined heat and power (CHP) system.

Parameters	LMAA	Auto-Hydrolysis	SAA	NaOH
CO ₂ (kg/L)	41.29	232.40	65.62	44.43
N ₂ O (g/L)	1.64	9.86	2.68	1.77
CH ₄ (g/L)	12.49	75.03	20.40	13.50
Parameters	In-Situ Stripping	Adsorption	Pervaporation	Dual Extraction
CO ₂ (kg/L)	41.29	64.02	29.62	14.35
N ₂ O (g/L)	1.64	2.68	1.19	0.44
CH ₄ (g/L)	12.49	14.06	9.30	4.113

The well-to-tank GHG emissions of gasoline were reported in the range of 0.016–0.026 kg CO₂ eq./MJ butanol [56–58]. The GWP profiles that were recorded while using this option (100% biomass-fueled CHP), for most of the pretreatment and product separation approaches were below those values (Table 12), potentially giving total lower GHG emissions when further including the fuel products combustion, which could indicate the environmental preservation potential of the biobutanol produced.

Table 12. Global warming potentials (GWP) of cellulosic butanol production plants employing 100% biomass-fueled CHP system.

Models	GWP* (kg CO ₂ eq./L Butanol)	
	Without Electricity Displacement Credit	with Electricity Displacement Credit
Different pretreatment		
LMAA	0.80 (0.029)	0.47 (0.017)
Autohydrolysis	4.81 (0.172)	1.79 (0.064)
SAA	1.31 (0.047)	0.39 (0.014)
NaOH	0.87 (0.031)	0.12 (0.004)
Different product separations		
In-situ stripping	0.80 (0.029)	0.47 (0.017)
Adsorption	1.15 (0.041)	0.41 (0.015)
Pervaporation	0.59 (0.021)	0.31 (0.011)
Dual extraction	0.23 (0.008)	0.17 (0.006)

* values in parentheses are in kg CO₂ eq./MJ.

3.6. Applications, limitations, and Future Scope of Research

The assessed environmental impact potentials could be useful baseline data for a decision on butanol biorefinery development. In the U.S., most of the works on cellulosic butanol production are done by retrofitting the existing bioethanol plant [24]. In contrast, the countries where OPF or other oil palm biomass available in abundance are mostly developing countries where the effort in any green energy development is starting at a slower pace. Without any available commercial plant currently running, these data could potentially help the government or industry to get the whole idea about biobutanol without having to primarily rely on foreign expertise and investment.

The environmental impact potentials that were assessed in this work were conducted with the exclusion of the localized factor, such as emission area and potency of stressor [48]. Therefore, the obtained values of impact potentials are not site-specific. Nevertheless, they could be used as a preliminary assessment or decision-making tool in any potential site for the biobutanol refinery development. Future assessment of the more accurate environmental impacts potential should be conducted if any common site-specific conditions are known. Other than that, future research should be focused on Monte Carlo simulation to accurately determine the changes of impacts potential, along with the changes in energy consumption, specific emissions, or other important factors.

4. Conclusions

The results have shown that the LMAA pretreatment emissions performance was comparable to the conventional chemical pretreatment methods that were evaluated in this work. With the benefit of conducted without increased temperature, the LMAA pretreatment model recorded the lowest energy requirement when compared to other pretreatment models. Further study of the combination of LMAA pretreatment with different product separation approaches for butanol biorefinery has shown that the pervaporation process required the lowest energy as compared to other processes and, hence, it also recorded the lowest GWP. While taking the economic benefit into account, the dual extraction recovery process shows better potential for commercial application due to its low production cost and considerably low environmental impacts potential.

Author Contributions: N.M. conducted the modeling and wrote the original manuscript. K.A.R. directed the research and edited the manuscript. All authors have read and agreed to the published version of the manuscript.

Funding: This research received no external funding.

Conflicts of Interest: The authors declare no conflict of interest.

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