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A Parallel Estimation System of Stator Resistance and Rotor Speed for Active Disturbance Rejection Control of Six-Phase Induction Motor

Hamidreza Heidari ^{1,*}^(D), Anton Rassõlkin ¹^(D), Mohammad Hosein Holakooie ²^(D), Toomas Vaimann ¹^(D), Ants Kallaste ¹^(D), Anouar Belahcen ^{1,3}^(D) and and Dmitry V. Lukichev ⁴^(D)

- ¹ Department of Electrical Power Engineering and Mechatronics, Tallinn University of Technology, 19086 Tallinn, Estonia; anton.rassolkin@taltech.ee (A.R.); toomas.vaimann@taltech.ee (T.V.); ants.kallaste@taltech.ee (A.K.); anouar.belahcen@aalto.fi (A.B.)
- ² Department of Electrical Engineering, University of Zanjan, Zanjan 45371-38791, Iran; hosein.holakooie@znu.ac.ir
- ³ Department of Electrical Engineering, Aalto University, 11000 Aalto, Finland
- ⁴ Faculty of Control Systems and Robotics, ITMO University, 197101 St. Petersburg, Russia; lukichev@itmo.ru
- * Correspondence: haheid@taltech.ee

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Abstract: In this paper, a parallel estimation system of the stator resistance and the rotor speed is proposed in speed sensorless six-phase induction motor (6PIM) drive. First, a full-order observer is presented to provide the stator current and the rotor flux. Then, an adaptive control law is designed using the Lyapunov stability theorem to estimate the rotor speed. In parallel, a stator resistance identification scheme is proposed using more degrees of freedom of the 6PIM, which is also based on the Lyapunov stability theorem. The main advantage of the proposed method is that the stator resistance adaptation is completely decoupled from the rotor speed estimation algorithm. To increase the robustness of the drive system against external disturbances, noises, and parameter uncertainties, an active disturbance rejection controller (ADRC) is introduced in direct torque control (DTC) of the 6PIM. The experimental results clarify the effectiveness of the proposed approaches.

Keywords: active disturbance rejection controller (ADRC); direct torque control (DTC); full-order observer; sensorless; six-phase induction motor (6PIM); stator resistance estimator

1. Introduction

Three-phase induction motor drives have become a mature technology in the last years, but investigations into concepts of multiphase induction motor drives are still taking place. Multiphase drive systems have a nearly 40-year history of research and study due to their promising advantages against the conventional three-phase systems. The phase redundancy of the multiphase drives provides extra merits such as fault-tolerant operation, series-connected multimotor drive systems, asymmetry and braking systems. Six-phase induction motors (6PIMs) are known for its fault-tolerant capability, low rate of inverter switches, and low DC-link voltage utilization compared with its three-phase one [1–3]. On the other hand, the modular three-phase structure of the 6PIM allows the use of well-known three-phase technologies. The 6PIM is successfully used in special applications, such as electric ships, electric aircrafts, electric vehicles, and melt pumps, where the high reliability and continuity of the operation are critical factors for the system [4]. The phase redundancy of the 6PIM provides the ability of the open-phase fault-tolerant operation without any extra electronic components [5,6].

Among different structures of the 6PIM [4], the asymmetrical 6PIM with double isolated neutral points, which consists of two sets of three-phase windings spatially shifted by 30 electrical degrees,



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has attracted the interest of many researchers [7–10]. The traditional three-phase control strategies, including switching table-based direct torque control (ST-DTC) [7], modulation-based DTC [8], the field-oriented control (FOC) [9], and finite control set-model predictive control (FCS-MPC) [10], can be extended to 6PIM (or other multi-phase machines) with some modifications to use more freedom degrees that exist in multi-phase machines. DTC is a well-accepted technique due to its simplicity, quick dynamics, and robustness [11]. The modulation-based DTC strategy offers better phase current, torque, and flux response. On the contrary, this method has more complexity against conventional ST-DTC. The ST-DTC approach has straightforward and simple structure, but it is completely overshadowed by low-order harmonics due to unused voltage vectors in the losses subspaces. To overcome this restriction, the idea of duty cycle control is introduced by several researchers [12,13].

The rapid development of intelligent and high-performance control technologies has also brought about changes in the adjustable speed drive system for different industrial applications [14,15]. To operate safely and reliably under different conditions, there is a lot of debate nowadays about the main control strategy of the system [16,17]. Among different high-performance control strategies of drive systems, the DTC strategy has a straightforward algorithm. The DTC technique is inherently speed sensorless. Nevertheless, if an outer speed loop is added to the DTC, the speed value is also necessary. Sensorless three/multi-phase induction machine drives are widely addressed in the technical literature due to multiple shortcomings of shaft encoders [18–23]. To investigate the instability problem of the traditional rotor flux-based model reference adaptive system (MRAS) speed estimators in the regenerating-mode low-speed operation, a stator current-based and back electromotive force-based MRASs are addressed in [19,20], respectively. In [21], two modified adaptation mechanisms are proposed to replace the classical proportional-integral (PI) regulator. The full-order Luenberger and Kalman filter observers are discussed in [22,23], respectively. Providing a DTC drive system with parallel identification of the rotor speed and the stator resistance is a challenging task because the operation of the DTC scheme is severely dependent on the stator resistance. This problem is sporadically reported for three-phase induction machines (3PIMs) [24,25], where the rotor speed and the stator resistance estimators encounter an overlap due to limited freedom degrees of 3PIM. In this paper, the problem of parallel estimation is investigated using more freedom degrees of 6PIM.

The outer speed control loop of the DTC scheme conventionally contains the PI regulator to obtain torque command from speed error. In general, the control law of a PID regulator is a linear combination of proportional-integral-derivative terms, which is suitable for linear systems. For nonlinear systems, such as the 6PIM drive system, the PI regulator has been given a lot of attention due to its simplicity. However, it suffers from multiple problems including: (1) tuning of its parameters; (2) high sensitivity against noise and external disturbances; and (3) loss of efficiency due to oversimplified control law [26,27]. One promising technique to relatively get rid of the drawbacks of PI regulator is active disturbance rejection controller (ADRC) [26,28]. The ADRC is a nonlinear control scheme, which provides a robust control against noises, external disturbances, and parameter uncertainties. For these reasons, the ADRC technique has recently attracted more attention for electric drive systems. To address this issue, a modified FOC scheme based on first-order ADRCs for current and speed control loops is proposed in [29]. A combined active disturbance rejection and sliding-mode controller for an induction motor is presented to achieve total robustness [30].

The aim of this paper is to present an ADRC-based DTC scheme for sensorless 6PIM drives. The speed estimator is based on adaptive full-order observer, and its control law is designed using Lyapunov stability theorem. Besides the speed estimation system, a stator resistance estimator is proposed using additional degrees of freedom of the 6PIM to enhance the robustness of the sensorless DTC strategy against stator resistance uncertainties. The adaptation law for the stator resistance estimator is derived using the Lyapunov stability theorem to ensure its overall convergence.

The rest of this paper is organized as follows. Section 2 introduces the mathematical model of the 6PIM. Section 3 presents the design procedure of the adaptive full-order observer, the speed estimator,

and the stator resistance estimator. The DTC scheme of the 6PIM is discussed in Section 4, which includes the ST-DTC scheme, and ADRC in DTC. The experimental results are presented in Section 5. Finally, Section 6 summarizes the findings and concludes the paper.

2. Dynamic Model of 6PIM

There are two popular approaches for modeling of the multi-phase machines: (1) multiple d–q approach [9]; (2) vector space decomposition (VSD) approach [31]. The first method is exclusively used for modular three-phase structures-based multi-phase machines such as six-phase and nine-phase machines. However, the second method can be used for all types of multi-phase machines. In this research, the VSD approach is used, where a 6PIM with distributed windings is modeled in the three orthogonal subspaces, i.e., the $\alpha - \beta$, $z_1 - z_2$ and $o_1 - o_2$. Among them, only the $\alpha - \beta$ variables are in relation with electromechanical energy conversion, while $z_1 - z_2$ and $o_1 - o_2$ variables do not actively contribute to the torque production.

The schematic diagram of a six-phase voltage source inverter (VSI)-fed an 6PIM with two isolated neutral points is shown in Figure 1. The transfer between the normal a - x - b - y - c - z variables and $\alpha - \beta - z_1 - z_2 - o_1 - o_2$ variables is performed by T_6 transformation matrix as follows [31]:

$$T_{6} = \frac{1}{3} \begin{vmatrix} 1 & \frac{\sqrt{3}}{2} & -\frac{1}{2} & -\frac{\sqrt{3}}{2} & -\frac{1}{2} & 0 \\ 0 & \frac{1}{2} & \frac{\sqrt{3}}{2} & \frac{1}{2} & -\frac{\sqrt{3}}{2} & -1 \\ 1 & -\frac{\sqrt{3}}{2} & -\frac{1}{2} & \frac{\sqrt{3}}{2} & -\frac{1}{2} & 0 \\ 0 & \frac{1}{2} & -\frac{\sqrt{3}}{2} & \frac{1}{2} & \frac{\sqrt{3}}{2} & -1 \\ 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 \end{vmatrix}$$
(1)

By applying T_6 matrix to the voltage equations in the original six-dimensional system, the 6PIM model can be represented in the three orthogonal submodels, identified as $\alpha - \beta$, $z_1 - z_2$, and $o_1 - o_2$. The voltage space vector equations of the 6PIM in the $\alpha - \beta$ subspace are written as follows:

$$\boldsymbol{v}_s = \boldsymbol{R}_s \boldsymbol{i}_s + \boldsymbol{p} \boldsymbol{\Psi}_s \tag{2}$$

$$0 = R_r i_r + p \Psi_r - j \omega_r \Psi_r \tag{3}$$

The flux linkages are

$$\Psi_s = L_s i_s + L_m i_r \tag{4}$$

$$\Psi_r = L_m i_s + L_r i_r \tag{5}$$

where v, i, Ψ , R, and L represent voltage, current, flux linkage, resistance, and inductance, respectively, for stator (s subscript) and rotor (r subscript) quantities, and p denotes derivative operator. The electromagnetic torque produced by the 6PIM is expressed as

$$T_e = 3P \Psi_s \otimes \boldsymbol{i}_s \tag{6}$$

where *P* is pole pairs and \otimes denotes the cross product.

The 6PIM voltage equations in the $z_1 - z_2$ subspace are the same as a passive R-L circuit as follows:

$$v_{sz1} = R_s i_{sz1} + L_{ls} p i_{sz1} \tag{7}$$

$$v_{s22} = R_s i_{s22} + L_{ls} p i_{s22} \tag{8}$$

where L_{ls} is stator leakage inductance.

On the presumption that the stator mutual leakage inductances can be neglected, the 6PIM model in the $o_1 - o_2$ subspace has the same form of the $z_1 - z_2$ subspace. However, the applied 6PIM with

two isolated neutral points avoids zero-sequence currents because it contains two sets of balanced three-phase windings.



Figure 1. Six-phase two-level VSI-fed 6PIM.

3. Adaptive Full-Order Observer

The block diagram of the proposed R_s and ω_r estimators based on the adaptive state observer is shown in Figure 2. It contains the stator current and rotor flux observers, the stator resistance identifier, and the rotor speed estimator, which are discussed below.



Figure 2. The block diagram of the proposed parallel estimation system of the stator resistance and the rotor speed based on an adaptive full-order observer.

3.1. Stator Current and Rotor Flux Observers

The general form of state-space model of the 6PIM in the $\alpha - \beta$ subspace is

$$\begin{cases} \dot{x}_1 = A_1 x_1 + B_1 u_1 \\ y_1 = C_1 x + D_1 u_1 \end{cases}$$
(9)

Assuming stator current and rotor flux as state variables and using Equations (2) and (3), the elements of state-space representation in $\alpha - \beta$ subspace will be

$$x_1 = \begin{bmatrix} i_{s\alpha} & i_{s\beta} & \psi_{r\alpha} & \psi_{r\beta} \end{bmatrix}^T$$
(10)

$$A_{1} = \begin{bmatrix} \left(-\frac{R_{s}}{\sigma L_{s}} - \frac{1-\sigma}{\sigma T_{r}}\right)I & \frac{L_{m}}{\sigma L_{s}L_{r}}\left(\frac{1}{T_{r}}I - \omega_{r}J\right)\\ \frac{L_{m}}{T_{r}}I & -\frac{1}{T_{r}}I + \omega_{r}J \end{bmatrix}$$
(11)

$$B_1 = \begin{bmatrix} \frac{1}{\sigma L_s} I & O \end{bmatrix}^T$$
(12)

$$u_1 = \begin{bmatrix} v_{s\alpha} & v_{s\beta} \end{bmatrix}^T \tag{13}$$

$$y_1 = \begin{bmatrix} i_{s\alpha} & i_{s\beta} \end{bmatrix}^T \tag{14}$$

$$C_1 = \begin{bmatrix} I & O \end{bmatrix} \tag{15}$$

with

$$I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, J = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}, O = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$$
(16)

where $T_r = L_r / R_r$ is rotor time constant and $\sigma = 1 - L_m^2 / L_s L_r$ is leakage coefficient.

The state observer of the 6PIM has a similar form of state-space representation except that an additional compensation term based on error of measurable states and observer gain matrix is added to it. The state observer can be written as

$$\begin{cases} \dot{x}_1 = \hat{A}_1 \hat{x}_1 + B_1 u_1 + G_1 (\boldsymbol{i}_s - \boldsymbol{\hat{i}}_s) \\ \hat{y}_1 = C_1 \hat{x}_1 \end{cases}$$
(17)

where the marker $^{\wedge}$ indicates the estimated values, and G_1 is the observer gain matrix. The matrix A_1 contains unknown parameters of the 6PIM such as the rotor speed and the stator resistance. These parameters can be estimated by the designing of a suitable adaptation control law with a nonlinear theorem such as a Lyapunov stability theorem. It is worth mentioning here that the matrix A_1 also contains the rotor time constant. However, simultaneous estimation of the rotor speed, the rotor time constant, and the stator resistance is challenging because of persistency of excitation conditions problem [32]. Some techniques have recently been developed based on signal injection to provide persistent excitation [33], which suffer from steady-state torque and speed ripples. In this paper, the stator resistance is estimated from additional degrees of freedom of the 6PIM, while the rotor speed is provided using the 6PIM equations in $\alpha - \beta$ subspace. This procedure provides the stator resistance independent from the rotor speed.

The observer gain matrix G_1 must be designed to ensure stability and good dynamic response of the observer at a wide range of the speeds. Using pole-placement method, the elements of matrix G_1 is provided as [22,34]

$$G_{1} = \begin{bmatrix} g_{1} & g_{2} & g_{3} & g_{4} \\ -g_{2} & g_{1} & -g_{4} & g_{3} \end{bmatrix}^{T}$$
(18)

where

$$\begin{cases} g_1 = (1 - K_{po})(R_s L_r^2 + R_r L_m^2) / \sigma L_s L_r^2 \\ g_2 = (K_{po} - 1)\hat{\omega}_r \\ g_3 = (K_{po} - 1)(R_s L_s - K_{po} R_s L_r) / L_m \\ g_4 = (1 - K_{po})\hat{\omega}_r \sigma L_s L_r / L_m \end{cases}$$
(19)

where $K_{po} > 0$ is observer constant gain.

3.2. Stator Resistance Identification

In this paper, a stator resistance adaptation system is proposed using the machine model in the $z_1 - z_2$ subspace. This method can be utilized for any multi-phase machines. It is completely decoupled from the rotor speed and the rotor time constant, whereas most of the conventional stator resistance estimators, developed for three-phase machines, are related to these parameters. The proposed R_s estimator only depends on the stator leakage inductance L_{ls} , which can be approximately assumed to be constant.

The state-space model of 6PIM in the $z_1 - z_2$ subspace, with consideration of i_{sz1} and i_{sz2} as the state variables, can be derived from Equations (7) and (8) as follows:

$$\begin{bmatrix} \dot{i}_{sz1} \\ \dot{i}_{sz2} \end{bmatrix} = \begin{bmatrix} -\frac{R_s}{L_{ls}} & 0 \\ 0 & -\frac{R_s}{L_{ls}} \end{bmatrix} \begin{bmatrix} i_{sz1} \\ i_{sz2} \end{bmatrix} + \frac{1}{L_{ls}} \begin{bmatrix} v_{sz1} \\ v_{sz2} \end{bmatrix}$$
$$\begin{bmatrix} i_{sz1} \\ i_{sz2} \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} i_{sz1} \\ i_{sz2} \end{bmatrix}$$
(20)

In this case, the proposed states observer is given by

$$\begin{cases} \dot{x}_2 = \hat{A}_2 \hat{x}_2 + B_2 u_2 \\ \hat{y}_2 = C_2 \hat{x}_2 \end{cases}$$
(21)

It should be noted that a correction term $G_2(x_2 - \hat{x}_2)$ is neglected in Equation (21) due to the inherent stability of the observer.

The proposed adaptation law for the stator resistance estimation is

$$\hat{R}_s = K_{pr}\epsilon_{R_s} + K_{ir}\int\epsilon_{R_s}dt$$
⁽²²⁾

where K_{ir} and K_{pr} are the integral and proportional gains, respectively, and ϵ_{R_s} is the stator resistance error signal

$$\epsilon_{R_s} = \hat{i}_{sz1}(i_{sz1} - \hat{i}_{sz1}) + \hat{i}_{sz2}(i_{sz2} - \hat{i}_{sz2})$$
(23)

The proof for the stator resistance adaptation law is presented in Appendix A.

3.3. Rotor Speed Estimation

In order to design the speed adaptation law, it is considered as an unknown parameter. First, an appropriate positive definite function is chosen as the Lyapunov candidate. Then, the adaptation law is obtained using the Lyapunov criterion to ensure asymptotic stability of the system. The speed adaptation law is

$$\hat{\omega}_r = K_{p\omega}\epsilon_\omega + K_{i\omega}\int\epsilon_\omega dt \tag{24}$$

where $K_{p\omega}$ and $K_{i\omega}$ are proportional and integral gains, respectively, and ϵ_{ω} is the speed error signal as follows:

$$\epsilon_{\omega} = (i_{s\alpha} - \hat{i}_{s\alpha})\hat{\psi}_{r\beta} - (i_{s\beta} - \hat{i}_{s\beta})\hat{\psi}_{r\alpha}$$
⁽²⁵⁾

The proof for the speed adaptation law is presented in Appendix B.

4. DTC of 6PIM

4.1. ST-DTC Scheme

A six-phase VSI contains overall $2^6 = 64$ different voltage space vectors, 60 active, and four zero vectors, where the active voltage vectors are distributed in four non-zero levels depicted in Figure 3. The electrical angle of each sectors is 30° . The 6PIM phase-to-neutral voltages can be calculated as

$$\begin{bmatrix} V_a \\ V_b \\ V_c \\ V_x \\ V_y \\ V_z \end{bmatrix} = \frac{V_{dc}}{3} \begin{bmatrix} 2 & -1 & -1 & 0 & 0 & 0 \\ -1 & 2 & -1 & 0 & 0 & 0 \\ -1 & -1 & 2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 & -1 & -1 \\ 0 & 0 & 0 & -1 & 2 & -1 \\ 0 & 0 & 0 & -1 & -1 & 2 \end{bmatrix} \begin{bmatrix} S_a \\ S_b \\ S_c \\ S_x \\ S_y \\ S_z \end{bmatrix}$$
(26)

where $S_i = \{0, 1\}, i = \{a, x, b, y, c, z\}$ is the switching state. When $S_i = 1$ ($S_i = 0$), the corresponding stator terminal is connected to positive (negative) DC-link rail. The voltage space vectors are given by

$$v_s = \frac{1}{3} [V_a + aV_x + a^4 V_b + a^5 V_y + a^8 V_c + a^9 V_z]$$
⁽²⁷⁾

$$v_z = \frac{1}{3} [V_a + a^5 V_x + a^8 V_b + a V_y + a^4 V_c + a^9 V_z]$$
⁽²⁸⁾

where $v_z = v_{sz1} + jv_{sz2}$ and $a = e^{j\pi/6}$.

The flux estimator is obtained from

$$\psi_{s\alpha} = \int (v_{s\alpha} - \hat{R}_s i_{s\alpha}) dt \tag{29}$$

$$\psi_{s\beta} = \int (v_{s\beta} - \hat{R}_s i_{s\beta}) dt \tag{30}$$

and the toque estimator is obtained from (6). In the traditional ST-DTC, the torque and stator flux errors are applied to hysteresis regulators to provide the sign of torque (ϵ_T) and stator flux (ϵ_{ψ}). According to gained signals and also the position of stator flux, a proper large voltage vector is selected based on Table 1 during each sampling period. From Figure 3, the corresponding voltage vectors in the $z_1 - z_2$ subspace will produce large current harmonics, when only large voltage vectors are used to control the torque and flux. Hence, it can alleviate the current harmonics through reduction of the $z_1 - z_2$ components by applying a combined voltage vector during each sampling period. This technique is referred to as duty cycle control, where a virtual vector (synthesized by large and medium voltage space vectors) is applied to the inverter in each sampling period because the large and medium voltage vectors are in the opposite direction in the $z_1 - z_2$ subspace (see Figure 3). The duration of the applied vectors is calculated in order to reduce the average volt-seconds in the $z_1 - z_2$ subspace [4]. The block diagram of the proposed sensorless DTC strategy with the adaptive full-order observer is shown in Figure 4a. In this figure, the speed control loop is based on the ADRC strategy, which will be discussed in the next subsection.



Figure 3. The $\alpha - \beta$ (top side) and the $z_1 - z_2$ (down side) vector subspaces for a six-phase VSI.

ϵ_T	ϵ_{ψ}	Selected Voltage *	
1	1	V_{m+1}	
1	0	V_{m+4}	
0	1	V_0	
0	0	V_0	
$^{-1}$	1	V_{m-2}	
-1	0	V_{m-5}	
* m is sector number.			



Figure 4. Cont.



Figure 4. Block diagram of (a) the proposed sensorless DTC strategy; (b) ADRC.

4.2. ADRC in DTC

To enhance the robustness of the DTC technique against external disturbances and measurement noises, the ADRC is proposed to replace with the conventional PI regulator in the outer speed control loop. The block diagram of ADRC is shown in Figure 4b. It consists of three main elements: (1) nonlinear differentiator; (2) extended state observer; (3) nonlinear control law.

In some industrial applications, the command values are changed as step function, which is not suitable for the control system because of a sudden jump of output and control signals. To solve this problem, the nonlinear differentiator is used, which makes a reasonable transient profile from command signals for tracking [26]. The nonlinear differentiator can be expressed by

$$\begin{cases} v_1(k+1) = v_1(k) + hv_2(k) \\ v_2(k+1) = v_2(k) + hf_1(v_1(k) - v(k), v_2(k), r_0, h_0) \end{cases}$$
(31)

where f_1 is a nonlinear function as

$$f_1(v_1(k), v_2(k), r_0, h_0) = -\begin{cases} a(k)/h_0 & |a(k)| \le r_0 h_0 \\ r_0 \text{sign}(a(k)) & |a(k)| > r_0 h_0 \end{cases}$$
(32)

with

$$a(k) = \begin{cases} v_2(k) + y_0(k)/h_0 & |a(k)| \le r_0 h_0^2 \\ v_2(k) + (a_0(k) - r_0 h_0)/2 & |a(k)| > r_0 h_0^2 \end{cases}$$
$$y_0(k) = v_1(k) + h_0 v_2(k)$$
$$a_0(k) = \sqrt{(r_0 h_0)^2 + 8r_0 |y_0(k)|}$$

where r_0 and h_0 are the parameters of the nonlinear differentiator, and h is sampling period.

The extended state observer is an enhanced version of feedback linearization method to compensate the total disturbances of the system. Using this observer, the state feedback term can be estimated online; hence, it is an adaptive robust observer against model uncertainties and external disturbances. The extended state observer is represented as follows:

$$\begin{cases} z_1(k+1) = z_1(k) + h[z_2(k) - \beta_1 f_2(e(k), \alpha_1, \delta_1) + b_0 u(k)] \\ z_2(k+1) = z_2(k) - h\beta_2 f_2(e(k), \alpha_1, \delta_1) \\ e(k) = z_1(k) - y(k) \end{cases}$$
(33)

where the nonlinear function f_2 is defined as

$$f_2(e(k), \alpha, \delta) = \begin{cases} e(k)/\delta^{1-\alpha} & |e(k)| \le \delta\\ |e(k)|^{\alpha} \operatorname{sign}(e(k)) & |e(k)| > \delta \end{cases}$$
(34)

where α_1 , β_1 , β_2 , and b_0 are the parameters of the extended state observer.

The conventional PI controller is based on the linear combination of proportional and integral terms of error, which may degrade the performance of the DTC scheme. Different nonlinear combination of error can be presented to overcome this problem. In this paper, the following nonlinear control law is used:

$$\begin{cases} e_1(k) = v_1(k) - z_1(k) \\ u_0(k) = \beta_3 f_2(e_1(k), \alpha_2, \delta_2) \\ u(k) = u_0(k) - z_2(k) / b_0 \end{cases}$$
(35)

where α_2 , β_3 , and δ_2 are the parameters of nonlinear control law.

5. Experimental Validation

5.1. Description of Experimental Setup

The schematic and photograph of the experimental setup are shown in Figure 5a,b, respectively. The principal elements are

- a TMS320F28335-based digital signal processor (DSP) board.
- two custom-made two-level three-phase VSIs based on BUP 314D IGBTs and LEM LTS 6-NP current transducers.
- an LEM LV25-P voltage transducer.
- an Autonics incremental shaft encoder.
- a magnetic powder brake mechanically coupled to the 6PIM.
- a bridge rectifier.
- a 1-hp three-phase induction motor, which has been rewound to provide an asymmetrical 6PIM. The specifications of the 6PIM are shown in Table 2.



Figure 5. Cont.



Figure 5. Experimental setup (a) schematic (b) photograph.

Symbol	Quantity	Value
T_n	Nominal torque	2 Nm
P	Pole pairs	1
R_s	Stator resistance	$4.08 \ \Omega$
R_r	Rotor resistance	3.73 Ω
L_s	Stator inductance	443.6 mH
L_r	Rotor inductance	443.6 mH
L_m	Magnetizing inductance	429.8 mH
J	Moment of inertia	$0.000718 \text{ kg} \cdot \text{m}^2$

Table 2. The parameters of 6PIM.

5.2. Experimental Results

The performance of the proposed sensorless DTC strategy has been experimentally surveyed using DSP platform, programmed through Code Composer Studio (CCS v.3.3) and MATLAB. The IQmath and digital motor control (DMC) libraries have been used to provide optimized code. A 10 kHz sampling frequency with a 2 µs dead-band has been adopted. The experimental results have been captured using an Advantech PCI-1716 data acquisition card (DAQ) and serial port with LABVIEW and MATLAB, respectively. The serial communications interface (SCI) module has been employed to provide a serial connection between host PC and DSP. An incremental shaft encoder has been used to verify the performance of the speed estimation algorithm. All of the experiments have been carried out in sensorless mode as well as closed-loop adaptation of the stator resistance under various test scenarios, emphasizing on the low-speed region.

The experimental results of the proposed parallel estimation system of stator resistance and rotor speed under 50% initial stator resistance mismatch are shown in Figure 6. The speed command is 7% rated speed under rated load torque. In this test, the electric drive is allowed to start with a wrong stator resistance. This causes an error in estimated electromagnetic torque and actual speed. However, the estimated speed and the stator flux follow their reference values because of the controller action. It can be seen that the estimation error of the speed and the electromagnetic torque due to detuned stator resistance are removed within short seconds after activation of the stator resistance estimator at t = 5 s.



Figure 6. Experimental results of the proposed parallel estimation system under initial mismatch of stator resistance.

As already mentioned, the proposed parallel estimation system has the merit of avoiding overlap between stator resistance and rotor speed estimators, whereby the stator resistance is independently estimated from rotor speed using additional freedom degrees of 6PIM. The experimental results of estimated stator resistance under speed changes and load change are shown in Figure 7a,b, respectively. In Figure 7a, the speed command is changed as a step function from a very low speed to 17% rated speed, and, in Figure 7b, a load torque is suddenly applied to the motor at t = 2 s. It can be clearly adjudged that the adaptation process of stator resistance is independent of speed and load torque changes.

Disturbance-free operation of the ADRC-based speed controller is evaluated through a comparative study of its performance and the conventional PI regulator. The experimental results for the estimated speed under sudden load torque changes at 7% rated speed when the conventional PI and introduced ADRC are utilized as speed controllers are shown in Figure 8. As can be seen, applying the external load torque to the 6PIM leads to a larger overshoot (undershoot), when the conventional PI regulator is employed. The ADRC properly improves the disturbance rejecting capability, which in turn provides a robust performance against load torque changes.



Figure 7. Experimental results of the estimated stator resistance under (**a**) speed changes (**b**) load torque change.



Figure 8. Experimental results of the estimated speed with PI and ADRC-based speed controllers under load changes.

6. Conclusions

Multiphase electrical machines and drives have different advantages over their traditional three phase counterparts. In recent years, multiple research works have been published to explore the specific advantages of multiphase machines and drives. In this regard, a parallel estimation system of the stator resistance and the rotor speed for direct torque-controlled 6PIM was proposed in this paper. The speed estimator is based on an adaptive full-order observer, which estimates the speed signal using the 6PIM model in the $\alpha - \beta$ subspace, while the stator resistance estimator employs the 6PIM model in the $z_1 - z_2$ subspace. Hence, the stator resistance is identified independently of the rotor speed. The rotor speed- and the stator resistance-adaptation laws were derived using the Lyapunov stability theorem. The performance of the proposed sensorless DTC was experimentally investigated, where the obtained results confirmed its capabilities in terms of accuracy as well as no overlap between the stator resistance and the rotor speed estimators. In order to provide a robust performance for the DTC technique against external load torques, the PI regulator was replaced by an ADRC, as a well-known disturbance-free controller. The better performance of the DTC scheme based on ADRC was verified through a comparative study with the conventional PI regulator.

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Appendix A. The Design of Adaption Law for Stator Resistance Estimation

The quadratic Lyapunov function for asymptotic stability of the proposed stator resistance estimation system is defined as

$$\boldsymbol{V}_r = \boldsymbol{e_r}^T \boldsymbol{e_r} + \frac{\Delta R_s^2}{\lambda_r} \tag{A1}$$

where λ_r is a positive constant, $\Delta R_s = \hat{R_s} - R_s$, $\hat{R_s}$ is the estimated stator resistance, R_s is the real stator resistance, and e_r is the error matrix of the state variables in the $z_1 - z_2$ subspace as

$$e_r = x_2 - \hat{x}_2 = \begin{bmatrix} i_{sz1} - \hat{i}_{sz1} & i_{sz2} - \hat{i}_{sz2} \end{bmatrix}^T$$
 (A2)

The asymptotic stability of the stator resistance estimator is assured when the Lyapunov candidate function V_r is positive definite as well as its time derivative pV_r is negative definite. The time derivative of the Lyapunov candidate function is calculated as

$$p\boldsymbol{V}_r = \boldsymbol{e}_r^T p \boldsymbol{e}_r + p \boldsymbol{e}_r^T \boldsymbol{e}_r + \frac{2}{\lambda_r} \Delta R_s p \hat{R_s}$$
(A3)

With some mathematical manipulation, Equation (A3) can be written as

$$p\mathbf{V}_{r} = \mathbf{e}_{r}^{T}(A_{2} + A_{2}^{T})\mathbf{e}_{r} - [\mathbf{e}_{r}^{T}\Delta A_{2}\hat{x} + \hat{x}_{2}^{T}\Delta A_{2}^{T}\mathbf{e}_{r}] + \frac{2}{\lambda_{r}}\Delta R_{s}p\hat{R}_{s}$$
(A4)

The first term of Equation (A4) is inherently negative definite. The stability of the system is eventually assured, when the sum of the last two terms of Equation (A4) is zero as

$$\frac{2}{\lambda_r}\Delta R_s p\hat{R_s} - [\boldsymbol{e}_r^T \Delta A_2 \hat{x}_2 + \hat{x}_2^T \Delta A_2^T \boldsymbol{e}_r] = 0$$
(A5)

which leads to

$$\hat{R}_s = -\frac{\lambda_r}{2} \int \epsilon_{R_s} dt \tag{A6}$$

where the tuning signal ϵ_{R_S} is

$$\epsilon_{R_S} = \hat{i}_{sz1}(i_{sz1} - \hat{i}_{sz1}) + \hat{i}_{sz2}(i_{sz2} - \hat{i}_{sz2}) \tag{A7}$$

A PI regulator is employed to enhance the dynamic behaviour of the proposed estimator, instead of Equation (A6) as

$$\hat{R}_s = K_{pr}\epsilon_{R_s} + K_{ir}\int\epsilon_{R_s}dt$$
(A8)

where K_{ir} and K_{pr} are the integral and proportional constants.

Appendix B. The Design of Adaption Law for Speed Estimation

The Lyapunov candidate function for asymptotic stability of the speed estimation system is

$$\boldsymbol{V}_{\omega} = \boldsymbol{e}_{\omega}^{T} \boldsymbol{e}_{\omega} + \frac{\Delta \omega_{r}^{2}}{\lambda_{\omega}}$$
(A9)

where λ_{ω} is a positive constant, $\Delta \omega_r = \hat{\omega}_r - \omega_r$, and e_{ω} is the error matrix of the estimated and real values in $\alpha - \beta$ subspace as

$$e_{\omega} = \mathbf{x}_{1} - \hat{\mathbf{x}}_{1}$$

$$= \begin{bmatrix} i_{s\alpha} - \hat{i}_{s\alpha} & i_{s\beta} - \hat{i}_{s\beta} & \psi_{r\alpha} - \hat{\psi}_{r\alpha} & \psi_{r\beta} - \hat{\psi}_{r\beta} \end{bmatrix}^{T}$$
(A10)

In this case, the first-order time derivative of Lyapunov function can be deduced as

$$p\mathbf{V}_{\omega} = \mathbf{e}_{\omega}^{T}[(A_{1} - G_{1}C_{1}) + (A_{1} - G_{1}C_{1})^{T}]\mathbf{e}_{\omega}$$

$$+ (\mathbf{e}_{\omega}\Delta A_{2}\hat{x}_{2} + \hat{x}_{2}\Delta A^{T}\mathbf{e}_{\omega}) + \frac{2}{\lambda_{\omega}}\Delta\omega_{r}p\hat{\omega}_{r}$$
(A11)

The first term of Equation (A11) is guaranteed to be negative definite by suitable adopting of observer gain matrix G_1 . The Lyapunov stability criterion is satisfied, if the sum of second and third terms of Equation (A11) is zero. With some calculations, the adaptation law for speed estimator is acquired as

$$\hat{\omega}_r = K_{p\omega}\epsilon_\omega + K_{i\omega}\int\epsilon_\omega dt \tag{A12}$$

where the tuning signal ϵ_{ω} is

$$\epsilon_{\omega} = (i_{s\alpha} - \hat{i}_{s\alpha})\hat{\psi}_{r\beta} - (i_{s\beta} - \hat{i}_{s\beta})\hat{\psi}_{r\alpha}$$
(A13)

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