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Article

# **Influences of Sample Preparation on Nanoindentation Behavior of a Zr-Based Bulk Metallic Glass**

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**Abstract:** Influences of two different sample preparation methods, mechanical polishing and plunge cutting, on nanoindentation behavior of a Zr-based bulk metallic glass were studied. Mechanical polishing suppresses the serrated flow but promotes the creep. In contrast, plunge cutting promotes the serrated flow but suppresses the creep. However, hardness and elastic modulus obtained from these two methods are nearly the same.

Keywords: mechanical polishing; plunge cutting; nanoindentation; serrated flow; creep

## 1. Introduction

Due to unique mechanical properties such as high strength, modulus, hardness and elastic limit [1–5], bulk metallic glasses (BMGs) are considered as emerging structural materials since their discovery in 1960 [6,7]. However, BMGs are relatively brittle compared to their crystalline counterparts at room temperature, and the poor ductility and formation of shear bands induced by highly localized inhomogeneous deformation limit BMGs applications [8,9]. Formation of shear bands reduces the strength of BMGs, which leads to brittle fractures along the shear planes [10]. So, mechanical properties and the deformation mechanism of BMGs have been given more and more attention. Although compression and tensile testing of BMGs can now be carried out, instrumented indentation,

especially nanoindentation, remains as an important tool to study mechanical properties of BMGs because of its high spatial and temporal resolution [11]. Via nanoindentation, researchers have done many interesting works. Occurrence of the serrated flow and formation of shear bands underneath indents have been reported during indentation tests [12–15]. The serrated flow means that the load-displacement curve has regions of sudden displacement increase, without any corresponding increase of the load. In 2003, Schuh [12] reported that the serrated flow corresponds to activation of individual shear bands, and that it is strongly dependent on the indentation loading rate—slower indentation loading rates promote more conspicuous serrations, and rapid indentations suppress the serrated flow. There is a critical applied strain rate for each kind of BMGs, above which the serrated flow is completely suppressed. Similar conclusions were obtained by other researchers [16,17]. In 2004, Schuh [18] studied effects of both temperature and the loading rate on the serrated flow and attained a quantitative framework for their theory.

Previous researchers mainly focused on influences of the loading rate [16], the strain rate [17] and temperature [15] on the mechanical behavior of BMGs. As is well known, the pop-in phenomenon which is similar with the serrated flow has been observed in numerous materials, but occurrence of pop-in can be dramatically reduced by mechanically altering the surface. Many researchers have confirmed this conclusion. Oliver and Pharr [19] reported that while pop-in occurs regularly on an electropolished tungsten surface, it is entirely eliminated when the surface is prepared by mechanical polishing. Lucca *et al.* [20] showed that pop-in is suppressed in ZnO single crystals by mechanical polishing with  $1/4 \mu m$  and  $1 \mu m$  diamond abrasives. Wang *et al.* [21] undertook a systematic study of single-crystal Mo, and they found that the pop-in phenomenon is extremely sensitive to surface preparation details. In this paper, we will study influences of mechanical polishing and plunge cutting on nanoindentation behavior of a Zr-based bulk metallic glass, and evaluate the effects of different sample preparation methods on the serrated flow and creep of the bulk metallic glass.

#### 2. Materials and Experiments

Alloy ingots with a nominal composition of  $Zr_{46.5}Cu_{45}Al_7Ti_{1.5}$  in atomic percent were produced by arc-melting the mixtures of Zr, Cu, Al, and Ti elements in a Ti-gettered high-purity argon atmosphere, and more information about the BMG can be found in the literature [22]. The amorphous nature of the BMG was verified by an X-ray diffractometer (XRD, Cu,  $K_{\alpha}$  radiation). The result of the XRD is presented in Figure 1. There is only a broad diffraction peak, which corresponds to an amorphous structure. No peaks associated with any crystalline phase can be observed.

Firstly, mechanical polishing with 1.3  $\mu$ m Al<sub>2</sub>O<sub>3</sub> abrasive was carried out to prepare the sample surface. After polishing, the nanoindentation test by a Vickers indenter was conducted with the maximum load of 10 mN. In order to observe the serrated flow and study the influences of sample preparation on the serrated flow, a low load rate 0.04 mN/s was selected according to previous literatures [16,17]. The dwell time of 30 s was set to study the influence on creep. Then, plunge cutting was used to process the sample surface. Plunge cutting was carried out on an ultraprecision machine tool (AHN-05, JTEKT Corporation, Osaka, Japan), having a linear positioning resolution of 1 nm. A round-nosed single-crystal diamond tool with tool edge radius of 50 nm was used in the process. Depth

of cutting was set to 1  $\mu$ m at a constant cutting speed of 500 mm/min (0.0083 m/s). At such a low cutting speed, effects of cutting heat generation will be insignificant. Cutting oil (Bluebe #LB10) was used in the form of mist jet. Using the above-mentioned experimental conditions, a surface roughness (Ra < 5 nm) could be generated, which is suitable for nanoindentation tests. Using the same indenter and experimental parameters, the nanoindentation test was carried out on the plunge cutting surface.

Figure 1. XRD pattern of the as-cast Zr<sub>46.5</sub>Cu<sub>45</sub>Al<sub>7</sub>Ti<sub>1.5</sub> alloy.



### 3. Results and Discussion

The load-depth (P-h) curves of these two experiments are shown in Figure 2a. On the whole, these two curves are coincident well with each other, especially the unloading portions.

**Figure 2.** (a) Typical load-depth (*P*-*h*) curves of the Zr-based bulk metallic glass under different sample preparation methods; (b) Partial enlarged view of (a) highlighting details of the serrated flow.



According to the method of Oliver and Pharr [19], main mechanical parameters such as hardness and elastic modulus, which are related with the unloading portions of the P-h curves, are nearly the same. That is to say, these two sample preparation methods, mechanical polishing and plunge cutting, almost have no affect on the hardness and elastic modulus of the BMG. However, an obvious difference is observed from the loading portions. A more conspicuous serration flow appears in the

loading portion of the *P*-*h* curve obtained from the plunge cutting surface. Figure 2b shows a partially enlarged view of Figure 2a, highlighting details of the serrated flow. The loading portion of the *P*-*h* curve obtained from the mechanical polishing is relatively smooth. More detailed analysis, as follows, highlights this difference.

Define  $\Delta h_n$  and  $\Delta P_n$  as the depth difference and load difference of the n-th sampling point  $(h_n, P_n)$ and the previous sampling point  $(h_{n-1}, P_{n-1})$  respectively. So,  $\Delta h_n$  and  $\Delta P_n$  can be expressed as:

$$\Delta h_1 = h_1 \tag{1}$$

$$\Delta h_{\rm n} = h_{\rm n} - h_{\rm n-1} \,({\rm n} = 2, \, 3...) \tag{2}$$

$$\Delta P_1 = P_1 \tag{3}$$

$$\Delta P_{\rm n} = P_{\rm n} - P_{\rm n-1}, \, ({\rm n} = 2, \, 3...) \tag{4}$$

Because indentation experiments were carried out with the same loading rate and sampling rate, the load difference  $\Delta P_n$  is a constant but the depth difference  $\Delta h_n$  will change with the increase of the penetration depth. Especially, when the serrated flow appears in the *P*-*h* curve, for example in the loading portion as shown in Figure 2b, the value of the depth difference  $\Delta h_n$  will increase quickly and then decrease quickly with the increase of the penetration depth. Thus, a peak, which can be used to clearly identify the serrated flow, will appear. Height and width of peaks demonstrate the size of the serrated flow. Higher and wider peaks express larger and more conspicuous serrated flow.

Figure 3 shows the depth difference  $\Delta h_n$  as a function of the penetration depth. Because the indentation experiments were carried out with a constant loading rate, the depth difference  $\Delta h_n$  in the small depth region were larger than that in the large depth region. With the increase of the penetration depth, obvious peaks are observed from the curve of the plunge cutting surface. However, the curve of the mechanical polishing surface is relatively smooth. The number, height and width of peaks of the plunge cutting surface are larger than that of the mechanical polishing surface. So, the conclusion can be obtained that plunge cutting promotes more conspicuous serrations and mechanical polishing suppresses the serrated flow. In addition, position of the serrated flow can be easily determined from Figure 3.

**Figure 3.** Depth difference  $\Delta h_n$  as a function of the penetration depth under different sample preparation methods.



The recorded creep displacement curves of the Zr-based bulk metallic glass during the dwell time under different sample preparation methods are shown in Figure 4. During the dwell time, the creep displacement is observed to increase rapidly at the beginning of the dwell time, and then slow down and trend to be stable. It can also be seen that, creep displacement of the mechanical polishing surface is obviously larger than that of the plunge cutting surface. The maximum creep displacement of the mechanical polishing surface is about 1.078 nm while the maximum value of the plunge cutting surface is about 0.368 nm. Figure 4 shows that different sample preparation methods result in different creep rates. Mechanical polishing promotes the creep while plunge cutting suppresses the creep. Although the creep rates are different under different sample preparation methods, the transition point between the rapidly increase section and the slow down section is nearly the same at the timing point of 10 second.

**Figure 4.** Creep displacement curves of the Zr-based bulk metallic glass during the dwell time under different sample preparation methods.



### 4. Conclusions

Experimental results in this paper show that the two different sample preparation methods, mechanical polishing and plunge cutting, significantly affect the serrated flow and creep of the Zr-based bulk metallic glass. Mechanical polishing suppresses the serrated flow, but promotes the creep. In contrast, plunge cutting promotes the serrated flow, but suppresses the creep. However, hardness and elastic modulus obtained are nearly the same. The reason for these differing behaviors may be that different preparation methods induce different deformed layers because of dissimilar machining mechanism. The exact nature of these differences will be carefully studied by cross-sectional transmission electron microscope in the future. However, whatever the mechanism, the following two recommendations can be offered. First, a suitable and uniform sample preparation method should be established for mechanical testing of BMGs to maintain the uniformity and comparability of the experimental data because it affects the mechanical behavior of BMGs. Second, suitable manufacturing methods and processes should be selected for the application of shear bands, which increase the fatigue and damage of BMGs.

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