

## Article

# Monitoring Changes in Water Use Efficiency to Understand Drought Induced Tree Mortality

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**Abstract:** Forests are becoming increasingly vulnerable to rising tree mortality rates in response to warming and drought. In California, the most severe drought on record occurred from 2012 to 2016 and high tree mortality rates were observed in response to this prolonged drought. Differences in satellite-derived estimates of water-use efficiency (WUE) under normal (i.e.,  $WUE_{BASELINE}$ ) and drought conditions ( $\Delta WUE = WUE_{2014} - WUE_{BASELINE}$ ) captured variation in drought resilience, and is used here to understand patterns in tree mortality. Across California forests, a low  $WUE_{BASELINE}$  under normal conditions was indicative of a low drought resilience and was associated with increasing tree mortality rates. Forested areas with high drought frequency in recent years (2002–2015) and lower  $WUE_{BASELINE}$  were the most vulnerable to high post-drought tree mortality. Post drought tree mortality peaked in 2015 and tree mortality was detected in areas where bark beetles were active. Our results show that spatial and temporal changes in WUE can signal shifts in ecosystem resilience and that water-limited forests are sensitive to temperature- and precipitation-driven drought stress. Considering that forests with low resilience will be poised for dieback in the future if climates continue to feature rising temperatures without compensating increases in precipitation, it is becoming increasingly important that we understand drought vulnerability at the ecosystem level and how it changes over time with climate conditions.

**Keywords:** drought resistance; California forests; disturbance ecology; remote sensing; MODIS; ecological monitoring

## 1. Introduction

Rising temperatures combined with drought pose a compounding challenge to tree and forest health [1–3]. Of particular concern are potential increases in tree mortality associated with climate-induced physiological stress and interactions with other climate-mediated processes (i.e., insect outbreaks). Even though episodic mortality occurs in the absence of climate change, there is great concern that forests may become increasingly vulnerable to higher background tree mortality rates and large episodic die-offs in response to warming and drought [2,4,5]. Increases in the frequency, duration, and/or severity of drought and heat stress associated with climate change could fundamentally alter the composition, structure, and biogeography of forests [2]. With extreme events projected to become more frequent in the future [6], understanding and predicting the consequences of climate change on forested ecosystems is emerging as a large challenge for scientists [2,3,7].

Evaluating shifts in water use efficiency (WUE) under non-drought and drought conditions provides a good approximation of ecosystem resistance to drought [8]. Defined here as net primary productivity (GPP;  $g\ C\ m^{-2}$ ) per amount of water lost (evapotranspiration: ET;  $mm\ m^{-2}$ ), WUE links the biological (i.e., photosynthesis and transpiration) and physical (i.e., evaporation) processes that control carbon and water dynamics. An important measure of ecosystem functionality [9], variation in

WUE connotes ecosystem resistance by signaling severe changes in resource availability that lead to shifts in ecosystem function. Here, resistance is the capacity to absorb a disturbance (i.e., drought) and maintain the same function (i.e., productivity) and sensitivity (i.e., WUE) [8,10].

Studies have shown that WUE increases with aridity [8,11–17] and that water limited ecosystems tend to have higher WUE [11,12,18]. Across all systems, if a drought becomes severe enough, a breakdown in ecosystem resistance can lead to a reduction in WUE [8,14,15,17,19] and ecosystem type conversions [8,17]. A recent study evaluated drought resistance across California ecosystems by quantifying deviations in WUE in 2014 ( $WUE_{\text{Severe Drought}}$ ) from WUE under normal climate conditions ( $WUE_{\text{BASELINE}}$ ) [8]. Here, we aim to link shifts in WUE under drought conditions (i.e.,  $\Delta WUE = WUE_{\text{Severe Drought}} - WUE_{\text{BASELINE}}$ ) to tree mortality rates to understand at what point severe drought alters the structure and function of forests.

Extended drought has been linked directly to tree mortality in tropical and temperate forests [1,20–22] and is indirectly linked to tree mortality by triggering insect or pathogen outbreaks [23–27]. Drought often makes trees more vulnerable to cambium-eating insects and this vulnerability often results in episodes of high tree mortality [23,28]. Small changes in mortality rates can profoundly affect forest structure, composition, and function [29–31], making it essential that we improve our understanding of the conditions that enhance tree mortality rates and how mortality varies across the landscape.

The primary objective of this research is to use spatial and temporal patterns in WUE to understand drought induced tree mortality. In California, a prolonged drought occurred from 2012–2016 and high tree mortality rates were observed across forested ecosystems [5]. To enhance our understanding of how forested ecosystems respond to drought and how carbon dynamics change with major shifts in climate and extreme events, we evaluate shifts in WUE across California forests. Here, temporal shifts in WUE is thought to reflect drought induced changes in ecosystem structure and function. We hypothesize that forests with lower  $WUE_{\text{BASELINE}}$  and  $\Delta WUE$  will have higher maximum tree mortality rates. The frequency of drought years is also likely to be an important determinant of tree mortality. Studies have shown that a low  $WUE_{\text{BASELINE}}$  is indicative of low drought resilience [8] and that extended droughts often lead to enhanced tree mortality rates [4]. In California forests, more trees die in years with below normal precipitation, and increases in tree mortality are often evident only for multi-year droughts (2–5 years) [4].

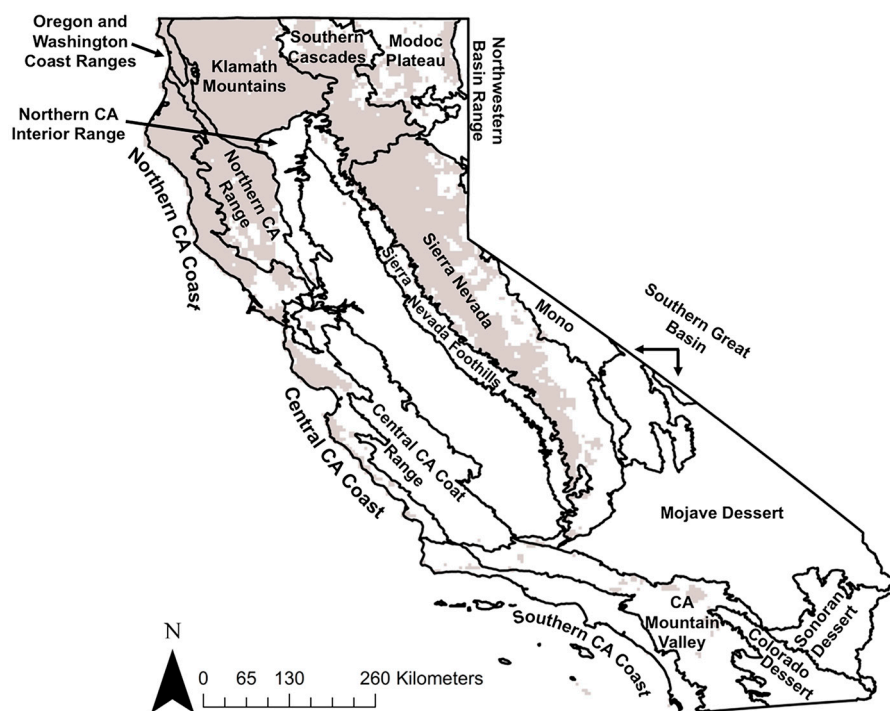
Forests of California are of particular interest because they include the largest and oldest trees on Earth. California relies on forested watersheds to support water provisioning, carbon storage, timber products, ecotourism, and recreation. This is the first study to examine drought induced shifts in WUE using satellite imagery and relate it to tree mortality across forested ecosystems in California. This research is essential to enhancing our understanding of how forested ecosystems respond to drought and how carbon dynamics change with major shifts in climate and extreme events. Climate change projections suggest that extreme droughts will become more frequent in the future [32], making it important that we develop efficient techniques to monitor and quantify changes in ecosystem structure and function.

## 2. Materials and Methods

### 2.1. California Forests

California extends across 20 ecoregions that span the Mediterranean division [33]. Forests occupy nearly a third of the state [34] and most are found in the mountainous areas (i.e., the Klamath, Sierra Nevada, and Coast ranges) and along the state's north and central coasts (Figure 1). About 58% of forests are dominated by coniferous species: Douglas fir (*Pseudotsuga menziesii* (Mirb.) Franco), ponderosa pine (*Pinus ponderosa* Douglas ex C. Lawson), sugar pine (*Pinus lamertiana*), Jeffrey pine (*Pinus jeffreyi* Balf.), incense cedar (*Cupressaceae calocedrus*), white fir (*Abies concolor* (Gordon) Lindley ex Hildebrand), red fir (*Abies magnifica* A. Murray), and other true fir species. Hardwood forest types cover an additional 13 million acres (40% of forested land area). The major hardwood forest

types are western oak, tanoak/laurel, and other hardwoods. The Moderate Resolution Imaging Spectroradiometer (MODIS) land cover type (MCD12Q1) was used to develop a forest cover layer for the entire state. The most recent annual land cover data available (2012) was used to identify all forested area within the state. Land cover types for forests (evergreen needleleaf, evergreen broadleaf, deciduous needleleaf, deciduous broadleaf, and mixed forests) were dissolved to create a single forest layer (Figure 1). This approach reduces errors associated with classification, which are largely concentrated among classes (i.e., forests) that encompass ecological and biophysical gradients [35].

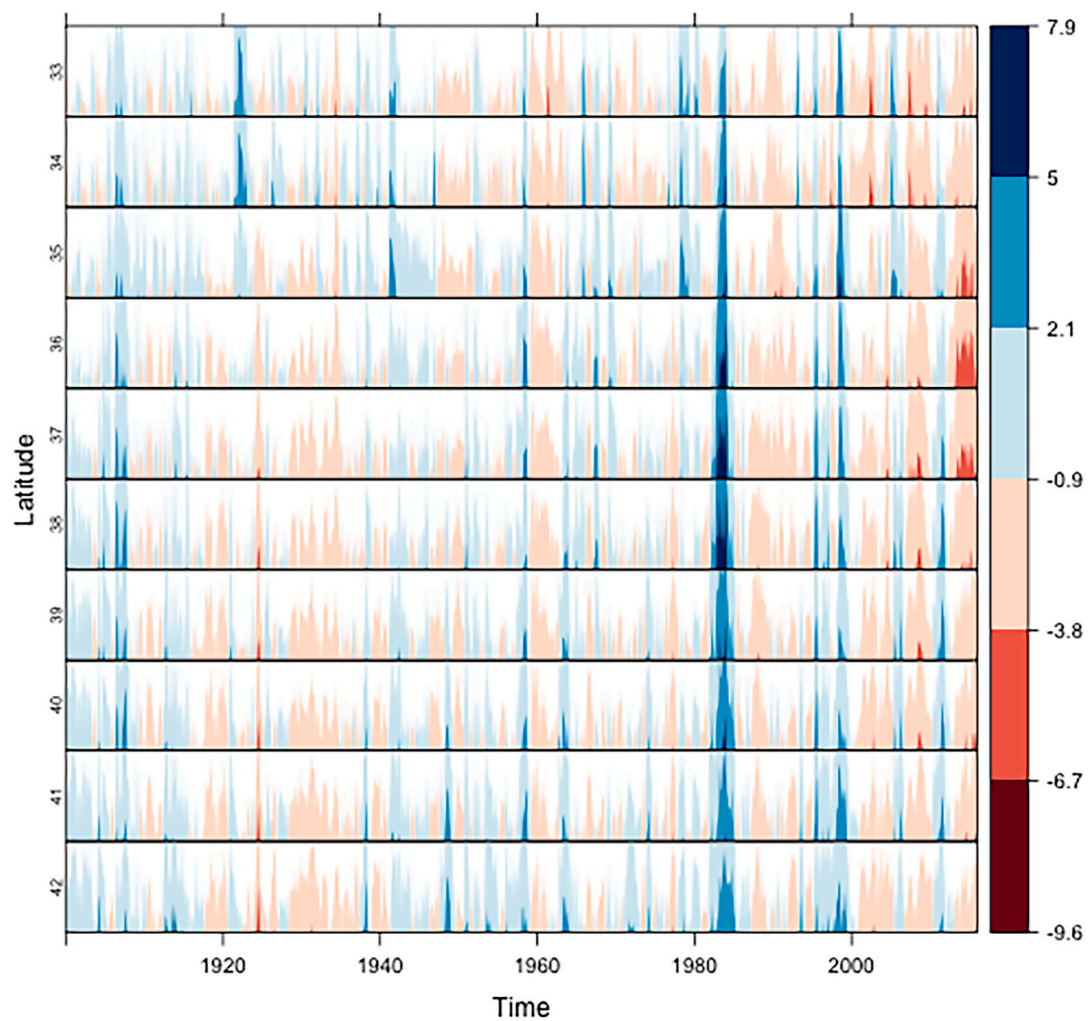


**Figure 1.** California’s distinct combinations of climate, elevation, and soil types create a unique mix of ecoregions and forest types. Forested areas are shown in grey and white areas represent non-forested land cover that was not included as part of this study. MODIS land cover type (MCD12Q1) in 2012 was used to identify forested areas [35]. The climate ecoregion layer was produced by the Forest Service Ecological Information and Mapping (ECOMAP) Team (<https://data.fs.usda.gov/geodata>).

## 2.2. The Most Severe Drought on Record

In California, the 2012–2016 drought was the most severe on record. With forested areas representing 43% of the state’s natural area (Figure 1), multiple years of extended severe drought (Diffenbaugh et al., 2015; Figure 2) make California an important case study to evaluate the use of remote sensing products to understand large-scale patterns in tree mortality. The self-calibrated Palmer drought severity index (scPDSI; Table 1; Figure 2) was used to quantify drought severity and to calculate the frequency of severe drought (2002–2015). The scPDSI is an improved version of the Palmer Drought Severity Index, which has been widely used to monitor drought conditions [4,36–43]. Monthly scPDSI data was available through the Western Regional Climate Center (<https://www.wrcc.dri.edu/>). The scPDSI ranges from −10 to 10, with the number indicating the magnitude and the sign denoting (+) wetter than average or (−) drier than average conditions for a location based on historical climate and sensitivity to changes in water availability [38]. Values of scPDSI between −0.4 and 0.4 denote average conditions and absolute values greater than 3 are indicative of severe conditions. Severe conditions occur based on the history of the location and are not determined relative to a default location [38]. The scPDSI has a similar range of variability in diverse climates, allowing for more

exact comparisons between locations and times [38,44]. The scPDSI has been widely used for drought characterization [44–46].



**Figure 2.** Mean monthly scPDSI for all forested areas within California by latitude. The 2012–2016 drought was the most severe on record in terms of drought severity and duration.

**Table 1.** Federally funded datasets were used to evaluate patterns in tree mortality across California forests. Data was obtained from the National Aeronautics and Space Administration (NASA), the United States Department of Agriculture (USDA), and the United States Forest Service (USFS).

Variables	Description	Study Period	Resolution	Source
Forest Cover	MODIS land cover type (MCD12Q1)	2012	500 m	NASA
scPDSI	Self-calibrated Palmer drought severity index	1900–2016	4 km	USDA
GPP	MODIS gross primary productivity (MOD17; $\text{g C m}^{-2} \text{ year}^{-1}$ )	2002–2014	1 km	NASA
ET	MODIS evapotranspiration (MOD16; $\text{ET mm year}^{-1}$ )	2002–2014	1 km	NASA
Tree Mortality	Aerial detection surveys (TPH)	2013–2016	1 km	USFS
FIA Plots	FIA stand dynamics for forested ecosystems	2011–2016		USFS



### 2.3. Ecosystem Water Use Efficiency

Water use efficiency is recognized as an important characteristic of productivity in various natural scientific disciplines and has been used recently at the ecosystem level [8,16,47–51]. Ecologists commonly use the ratio of ecosystem fluxes such as NPP [8,16,52], net ecosystem productivity/exchange (NEP/NEE) [49,50], or gross primary productivity (GPP) [48] to water loss (ET or transpiration; [19,48,53] as a measure of WUE.

We obtained MODIS GPP (MOD17) [54,55] and ET (MOD16) [56] data that were processed and made available by the Numerical Terradynamic Simulation Group at the University of Montana to calculate WUE [8] (Table 1). A comparison of annual WUE (2007–2014) derived from MODIS layers and WUE measured with the eddy covariance method at three tower sites in California forests showed that MODIS WUE captured temporal trends in WUE ( $R^2 = 0.40$ ;  $p < 0.001$ ), although gross primary productivity ( $R^2 = 0.78$ ;  $p < 0.001$ ) was overestimated and ET was underestimated ( $R^2 = 0.90$ ;  $p < 0.001$ ) [8]. Differences between tower WUE and MODIS WUE were likely due to the limited sampling period in Eddy covariance data (2007 to 2014 for most tower sites). Additional limitations of this comparison are that tower sites represent just three forest types in the Sierra Nevada's (oak pine forest, ponderosa pine forest, and Sierra mixed conifer forests), the sample size was small ( $n = 16$ ), there were large differences in the sampling area for tower sites (hundreds of meters) and MODIS pixels (4 km), and comparisons occur mostly during drought years preventing an extensive evaluation of how tower based WUE varies overtime in comparison to MODIS WUE.

Deviations in WUE in 2014 ( $WUE_{\text{Severe Drought}}$ ) from  $WUE_{\text{BASELINE}}$  (i.e.,  $\Delta WUE$ ) were used to explore patterns in maximum tree mortality rates (2013–2016) for all forested areas in California. We defined  $WUE_{\text{BASELINE}}$  by extracting values of annual WUE under normal conditions (i.e., mean annual scPDSI between  $-0.04$  and  $0.04$ ) from 2002–2013. Values were averaged across climate ecoregions (<http://data.fs.usda.gov/geodata>) to fill gaps in forested locations that were not under normal conditions from 2002–2013 [8]. The lag between 2014  $\Delta WUE$  and maximum tree mortality rates in 2015–2016 will aide our ability to capture drought induced mortality, which is often delayed [4].

### 2.4. Tree Mortality

The USDA Forest Service Forest Health and Monitoring (FHM) program conducts aerial detection surveys for California forest (USFS Region 5) annually and data is available from 1978 to the present ([https://www.fs.usda.gov/detail/r5/forest-grasslandhealth/?cid=fsbdev3\\_046696](https://www.fs.usda.gov/detail/r5/forest-grasslandhealth/?cid=fsbdev3_046696)). Surveys were done by flying over the forests and sketch mapping areas of mortality, recording the mortality agent, forest type, and affected trees per hectare (TPH). Mortality was detected by identifying yellow to reddish brown tree crowns. Areas identified by discolored tree crowns were categorized by (a) damage type (i.e., mortality, top kill, defoliation); (b) the number of trees affected (TPH); and (c) the affected tree species. Surveyors also recorded the probable damage-causing agent (i.e., fire, bark beetles, etc.). If the damage-causing agent was unknown at the time of the flight, the local Forest Service entomologist and/or pathologist determined the likely agent. Generally, areas with  $<2.47$  TPH of mortality were considered to have “background” or “normal” levels of mortality and were not mapped. Although, if low levels of mortality were indicative of a localized pest-related event then areas were mapped. Annual mortality surveys were compiled (2013–2016) and the maximum TPH was used to develop a gridded mortality layer. This layer was designed to match the resolution of WUE layers (1 km; Table 1).

The maximum tree mortality layer is an estimate of maximum tree mortality rates within a 1 km pixel. While appropriate for examining large-scale patterns in tree mortality, it is useful to understand patterns in tree mortality that exist at finer scales. Forest Inventory and Analysis (FIA) data will be used to evaluate plot level patterns in tree mortality. We will compare annual FHP data to FIA plots ( $n = 1390$ ) that were measured in 2013–2016. Although there are 6000 FIA plots in California, only a portion of plots are measured in a given year ( $\sim 10\%$ ). Mortality rates observed on a plot level (TPH  $\text{year}^{-1}$ .) are unlikely to reflect landscape mortality patterns recorded in the FHP survey. In areas

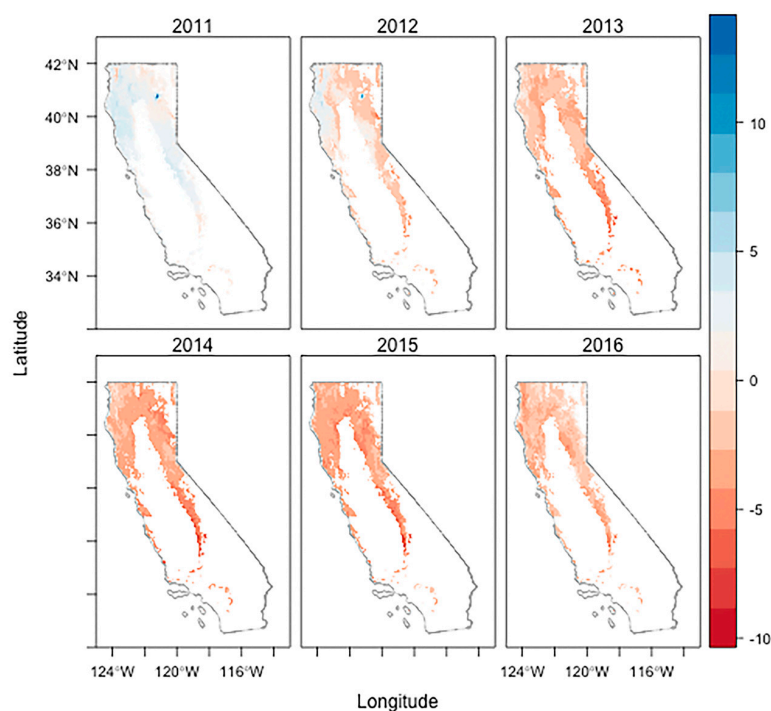
where tree mortality was sketch mapped, plots are likely to have higher than background ( $>2.47$  TPH) mortality rates.

### 2.5. Statistical Analysis

We used a regression tree approach to estimate maximum tree mortality rates (TPH) in California forests. Tree-based models are a supervised machine learning method commonly used in ecology for exploratory data analysis and prediction, due to their simplistic nonparametric design. This approach recursively partitions the data into increasingly homogenous groups based on values that minimize a loss function (i.e., Sum of Squared Errors (SSE) for regression). Tree-based models were developed in R [57] using the package rpart [58,59]. The rpart package creates a regression tree based on binary splits that maximize homogeneity and minimizes impurity. The output is a single decision tree that can be further “pruned” or trimmed back using the cross-validation error statistic to reduce over-fitting. Regression trees are ideally suited for the analysis of complex ecological data [60]. We used this approach to estimate maximum tree mortality rates [58,60,61], and included  $\Delta WUE$ ,  $WUE_{\text{BASELINE}}$ , mean annual scPDSI in 2014 and 2015, and the frequency of severe drought (i.e., mean annual scPDSI  $< -3$ ) from 2002–2015 in the model as explanatory parameters. We evaluated post drought maximum mortality rates using the FHP mortality data and used the FIA data to evaluate tree mortality patterns at the plot level. Data processing was carried out using the program R 3.3.2 [57] and the packages rgdal [62], and raster [63].

## 3. Results

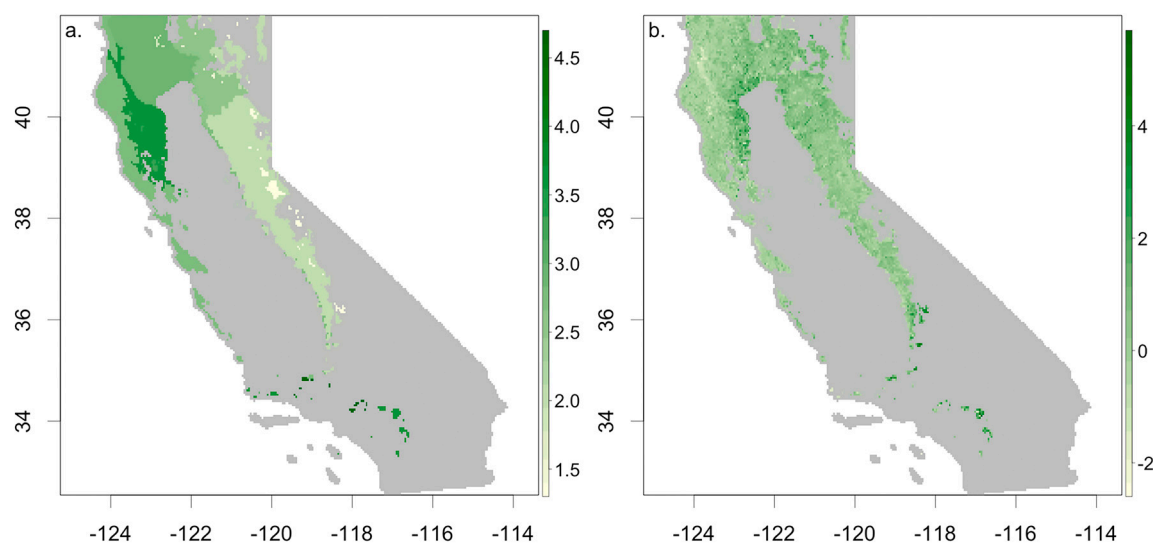
In California, drought conditions began in 2012 after a brief wet period (Figures 2 and 3). In 2013, all forested areas were under severe drought. The majority of the state,  $\sim 80\%$ , was in extreme to extraordinary drought in 2014 and  $\sim 100\%$  was in severe drought or worse. These conditions were maintained throughout 2016, making the 2012–2016 drought the longest, most severe drought on record. The frequency of severe drought (2002–2016) ranged from 1 to 11 years with a mean of four years. The majority of forested area (52%) had a severe drought frequency that was  $\geq 3$  years.



**Figure 3.** Spatial and temporal patterns in mean annual scPDSI for forested areas in California. Drought condition began in 2012 and intensified and persisted throughout 2016.

### 3.1. Ecosystem Water Use Efficiency

Determined under normal, non-drought conditions,  $WUE_{\text{BASELINE}}$  varied across California forests and ecoregions (Figure 4a). Ranging between 1.3 to 4.7 g C/mm H<sub>2</sub>O,  $WUE_{\text{BASELINE}}$  was generally higher in arid regions. The highest  $WUE_{\text{BASELINE}}$  occurred in the southern portion of the state, in the California Mountain Valley and to the north in the Northern California Range (>2 g C/mm H<sub>2</sub>O). The lowest  $WUE_{\text{BASELINE}}$  occurred in the eastern half of the state in the Modoc Plateau and the Sierra Nevada's.  $WUE$  declined in many areas under drought conditions, compared to  $WUE_{\text{BASELINE}}$ , and in 2014  $\Delta WUE$  ranged between −2.6 and 5.7 (g C/mm H<sub>2</sub>O; Figure 4b). While resilient ecosystems maintained or increased (70% of forested land) in  $WUE$  under severe drought conditions, 30% of forested area had a  $\Delta WUE$  that was less than 0.

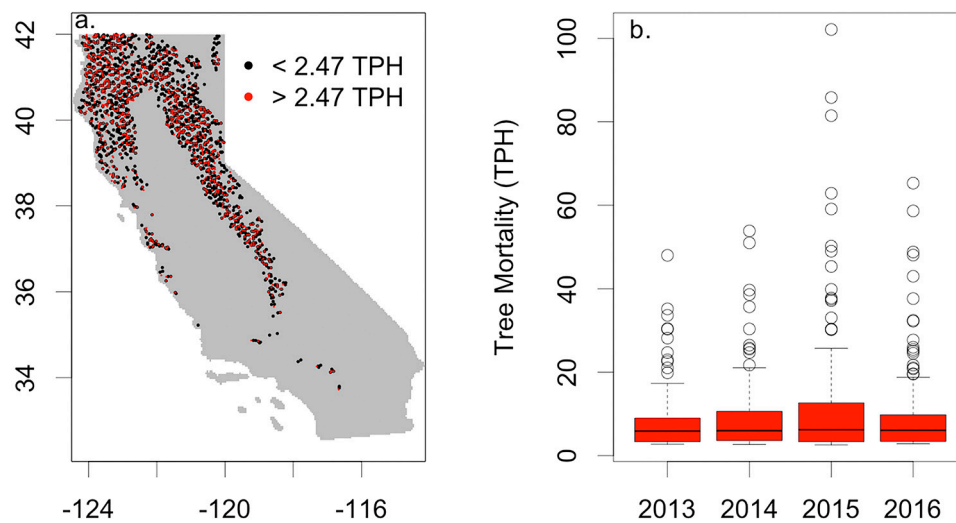


**Figure 4.** Variation in (a)  $WUE_{\text{BASELINE}}$  and (b)  $\Delta WUE$  across California forests. Similar to patterns observed across California ecosystems [8],  $WUE_{\text{BASELINE}}$  was highest in arid regions and  $\Delta WUE$  in 2014 indicated that some areas exhibited resilience while others showed a decline in  $WUE$  from the baseline under extreme drought conditions. The latitude and longitude are shown along the axes.

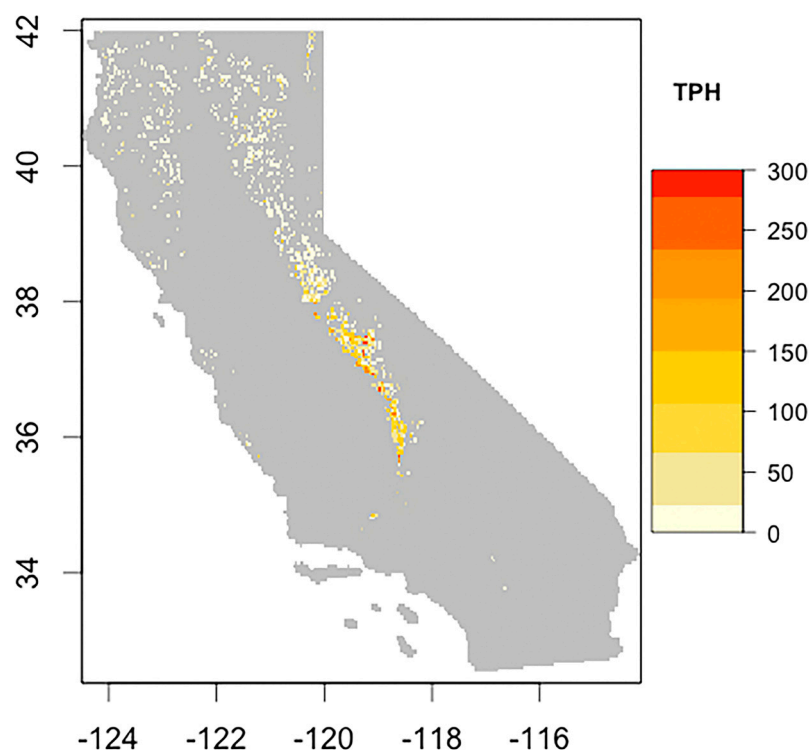
### 3.2. Drought Induced Tree Mortality

Patterns in plot level data indicate that mortality was patchy and peaked in 2015 (Figure 5). In 2013, 38% of FIA plots measured in forested areas ( $n = 334$  plots) exhibited elevated tree mortality rates (TPH > 2.47) and by 2016 elevated mortality increased to 44% of FIA plots measured ( $n = 331$ ). Just 104 FIA plots overlapped with FHP mapped mortality from 2013–2016. Just less than half (46%) of those plots exhibited elevated mortality rates. The mean tree mortality rate in FIA plots with elevated tree mortality was similar across drought years (Figure 5b), although the maximum TPH was 48 TPH in 2013, which increased to 102 TPH in 2015 and 65 TPH in 2016.

In 2011, prior to the onset of drought (2012), 1.7 million dead trees (1.3 million ha) were detected in areas where bark beetles were active (FHP mortality survey). Between 2002 and 2016, the majority of forested land (63%) was under severe drought conditions for more than 3 years. Trees killed increased in 2014 to 2.9 million trees and escalated substantially in 2015 to 26 million trees. FIA plots suggest that tree mortality rates peaked in 2015, which is the year with the greatest increase in impacted area mapped by FHP. By 2016, 59 million trees were killed and the majority of these trees (82%; 8 million ha) were in areas with active bark beetles. Maximum tree mortality rates ranged from 0 to 300 TPH, with the highest rates occurring in the Sierra Nevada's (Figure 6).



**Figure 5.** (a) Forest Inventory Analysis (FIA) plots with elevated tree mortality rates (red) denote the patchy nature of mortality within forested areas. (b) Maximum post drought tree mortality in elevated FIA plots peaked in 2015. Latitude and longitude are shown along the axes.



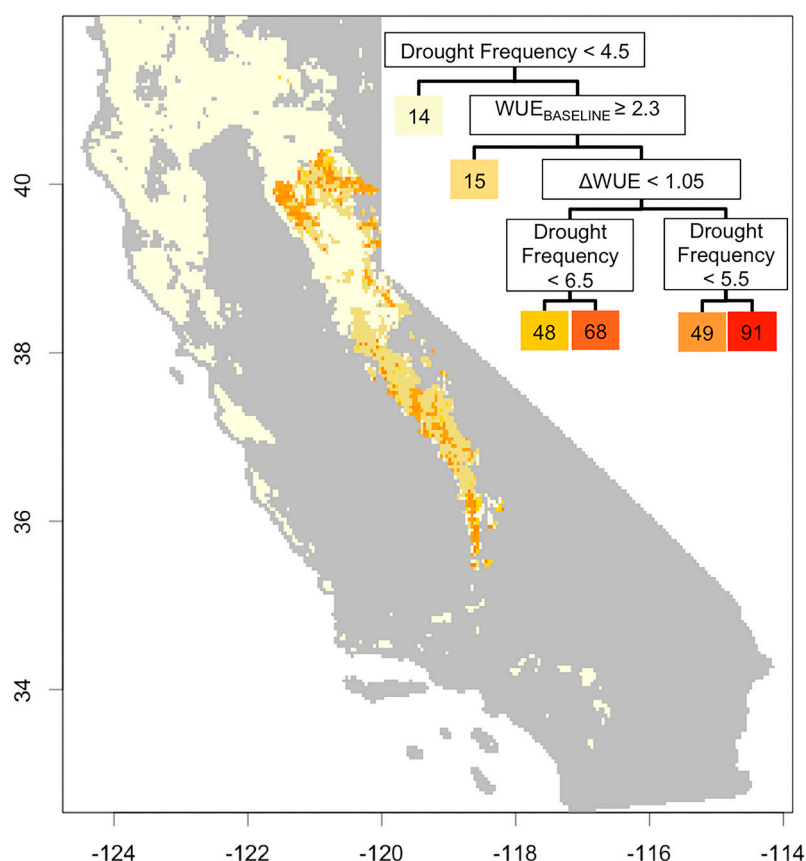
**Figure 6.** Maximum tree mortality rates (2013–2016) (trees per hectare; TPH). Mortality data was derived from aerial detection surveys of California forest (USFS Region 5) 2013–2016 (<http://egis.fire.ca.gov/TreeMortalityViewer/Help.html>). Latitude and longitude are shown along the axes.

### 3.3. Understanding Drought Induced Tree Mortality

Drought frequency,  $WUE_{\text{BASELINE}}$ , and  $\Delta WUE$  were important for explaining drought induced maximum tree mortality rates at the ecosystem scale (Figure 7). Tree mortality was classified into six classes: 14, 15, 48, 49, 68, and 91 TPH. The majority of forested area had an estimated mortality rate of 14 TPH (63%), and just 2% of all forested land had mortality rates as high as 91 TPH. California forest with a drought frequency <4.5 years had the lowest average tree mortality (14 TPH).



Where drought frequency was  $>4.5$  years,  $WUE_{\text{BASELINE}}$  was important for understanding the level of enhanced drought induced mortality rates. Even under high severe drought frequencies ( $>4.5$  years), a  $WUE_{\text{BASELINE}} \geq 2.35$  g C/mm H<sub>2</sub>O was associated with a very small increase in tree mortality rates (15 TPH). Where  $WUE_{\text{BASELINE}}$  was  $<2.35$  g C/mm H<sub>2</sub>O, dramatic increases in tree mortality co-occurred with the interaction of  $\Delta WUE$  and the frequency of severe drought. Surprisingly, lower values of  $\Delta WUE$  were associated with lower tree mortality rates when severe drought frequency was high. Variable importance, the reduction in mean squared error attributed to each variable, was greatest for drought frequency (0.28), followed by  $WUE_{\text{BASELINE}}$  (0.21), and  $\Delta WUE$  (0.1). Together, drought frequency,  $WUE_{\text{BASELINE}}$ , and  $\Delta WUE$  accounted for 23% of the variation in drought induced tree mortality rates.



**Figure 7.** Estimates of maximum tree mortality (TPH) across California forests. The regression tree model of tree mortality showed that areas with high drought frequencies (years) and a low  $WUE_{\text{BASELINE}}$  ( $<2.3$  g C/mm H<sub>2</sub>O) exhibited elevated tree mortality rates. Where  $WUE_{\text{BASELINE}}$  was  $<2.3$  g C/mm H<sub>2</sub>O drought frequency and  $\Delta WUE$  (g C/mm H<sub>2</sub>O) were essential in understanding changes in tree mortality rates. Latitude and longitude are shown along the axes.

#### 4. Discussion

A critical link between carbon and water cycles in terrestrial ecosystems, WUE is an effective way of assessing ecosystem response to drought [8,13,48,50,64,65]. Our results show that drought frequency,  $WUE_{\text{BASELINE}}$ , and  $\Delta WUE$  captured variation in post drought maximum tree mortality rates in California forests. As hypothesized, forested areas with lower  $WUE_{\text{BASELINE}}$  were the most vulnerable to high post drought tree mortality. Surprisingly, the effects of  $\Delta WUE$  varied and were dependent on severe drought frequency. Previous research suggested that a lower  $\Delta WUE$  was indicative of a decline in ecosystem resilience under drought conditions [8]. While this may be true, in forested ecosystems a  $\Delta WUE > 0$  was associated with the highest tree mortality rates when

drought frequencies where high. Combined with high drought frequencies (>5 years) in recent years, substantial increases in drought induced tree mortality were detected in areas where bark beetles were active. Here, changes in WUE were in response to extreme prolonged drought and were important for understanding patterns in forest dieback.

Mortality rates have been increasing since 1983 in California forests [66]. This increase in mortality is thought to be a response to the gradual temperature-driven rise in water stress, pests and pathogens [66]. The steady gradual increase in mortality has been concentrated in small trees [66] and until the most recent drought (2012–2015), climate conditions remained below thresholds that could cause large-scale forest die-back. In the 2012–2016 drought, the cumulative rainfall deficit was described as a one in a 1000-year event [37], and resulted in severely reduced snowpack, soil moisture, groundwater, and reservoir stocks [5]. This increase in water deficit, combined with high air temperatures and insect infestations, generated a large pulse of tree mortality in California [5]. Unlike the gradual increase in tree mortality since 1983, the 2012–2016 drought led to mortality in large trees [5]. Approximately 10.6 million ha of forest containing up to 888 million large trees experienced measurable loss in canopy water content [5]. Severe canopy water losses of greater than 30% occurred over 1 million ha, affecting up to 58 million large trees [5].

Drought affects virtually every plant process and the magnitude of the effect depends on drought severity and duration as well as the plant's developmental stage [28,67]. One of the most sensitive and immediate responses to water stress at the cellular level is a reduction in growth processes (i.e., cell division and enlargement) [67]. Photosynthesis itself declines only slowly until water stress becomes moderate to severe [67,68]. Monitoring changes in WUE allows us to track changes in photosynthesis by monitoring shifts in greenness and measuring how greenness scales with water availability. Although photosynthesis declines with drought conditions, carbon uptake per mm of ET often becomes more efficient, resulting in an increase in WUE. Our results indicate that a low  $WUE_{BASELINE}$  at the ecosystem scale was linked to enhanced mortality rates and that the interaction of the frequency of severe drought and  $\Delta WUE$  were important for understanding high rates of drought induced tree mortality.

Substantial evidence indicates that drought stress promotes insect outbreaks, which are typically preceded by unusually warm, dry weather [28]. Drought provides a more favorable thermal environment for insect growth and because insects have limited thermoregulatory capacities, the higher air and host plant temperatures associated with drought enable them to grow and reproduce in a more optimal temperature regime. Optimal temperature regimes, without changes in food quality, will permit insects to grow faster and larger, suffer less mortality, and lay more eggs per unit of ingested food [28]. Leaf yellowing, higher temperatures, and greater infrared reflectance of drought-stressed plants may make them more attractive or acceptable to insects [28] and some plants become more susceptible to certain insects during severe drought owing strictly to a decline in defenses [28]. Our results suggest that spatial and temporal patterns in  $WUE_{BASELINE}$  and  $\Delta WUE$  detected in areas with high mortality rates are a reflection of both climate-induced physiological stress (declines in photosynthesis under severe drought) and interactions with other climate-mediated processes (i.e., bark beetle outbreaks).

## 5. Conclusions

Water-limited forests are sensitive to temperature-driven drought stress, and may be poised for dieback if future climates continue to feature rising temperatures without compensating increases in precipitation [66]. Climate change is expected to increase average temperatures in California by about 2.4 °C in the next 100 years, but the prevailing precipitation regime is not expected to change [69–71]. Our findings strongly suggest that if severe drought becomes more frequent, we can expect regional scale forest change [5]. An increase in the frequency of severe drought has the potential to cause rapid vegetation change through drought-induced forest dieback as different species replace contemporary forest dominants [4], and may have cascading effects on forest fire susceptibility and severity, animal

habitat, biological diversity, water resources, and carbon sequestration. Monitoring changes in WUE captures ecosystem scale response to drought and can be used to understand drought vulnerability and how it changes over time with climate conditions.

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**Conflicts of Interest:** The authors declare no conflict of interest.

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