

Review

Impacts of Beech Bark Disease and Climate Change on American Beech

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Abstract: American beech (*Fagus grandifolia* Ehrh.) is a dominant component of forest tree cover over a large portion of eastern North America and this deciduous, mast-bearing tree species plays a key role in these forest ecosystems. Beech bark disease (BBD) is a scale insect-fungus complex that has caused the decline and death of afflicted beech trees. This disease has become a common feature in North American forest landscapes. Resistance to BBD is at the level of the beech scale (*Cryptococcus fagisuga* Lind.). Beech scale attack predisposes the tree to subsequent infection by *Neonectria* fungi. The impact of this tree disease has been shown to be significant, particularly in beech dominated forests. Scale-free trees (resistant to BBD) have been reported to range from only 1% to 3% in infested stands, with estimates ranging from 80–95% for overall infestation (for all beech within the current North American range). In addition to BBD, overall beech health will be directly impacted by climate change, if one specifically considers the expected fluctuations in precipitation leading to both drought periods and flooding. Beech is particularly sensitive to both extremes and is less resilient than other broad leaf tree species. Although the increase in global temperatures will likely shift the current range of the American beech, milder winters and less snowpack will favor propagation and survival of the beech scale. This review aims to present the current outlook for American beech in light of climate change. The natural history of the American beech and the onslaught of BBD during the last century will be covered, followed by the potential effects of a changing climate on BBD-infested forests. Evidence from models of European beech (*Fagus sylvatica*) and other host-pathogen systems will supplement data directly gathered to evaluate BBD in American beech. We present the case that although climate change is likely to be a confounding factor in the continued loss of American beech, increasing our understanding of possible mechanisms of resistance and environmental factors that may influence susceptibility of American beech to BBD can inform proactive management strategies.

Keywords: American beech; *Fagus grandifolia* Ehrh.; beech bark disease; BBD; *Cryptococcus fagisuga* Lind.; *Neonectria* fungi; climate change

1. Introduction

This literature review aims to provide the reader with sufficient background to appreciate the role of the American beech (*Fagus grandifolia* Ehrh.) in forest ecosystems of North America, the pathology and impact of beech bark disease (BBD) on this species, and the potential effects of climate change on the overall health of both beech and the forests they populate.

In exploring the natural history of American beech, the broad scale of this geographic range will be presented and explained. Beech provides forest cover in different forest cover types. The implications and role of this shade-tolerant species in forest structure will also be discussed. American beech regularly produce large seed crops (every two to eight years) that provide an important food source for small mammals and birds as well as deer and bear.

Described as “an old disease in a new place” [1], BBD in American beech is the result of an inadvertent introduction of a European pathogen to North America. The current health status of American beech, BBD infestation rates, and prognosis for beech will be systematically presented with data from stand analyses in both the United States and Canada, while also providing a historical context.

The potential impacts of climate change on American beech are first considered in the absence of BBD, taking advantage of available studies focused on both American and European beech (*Fagus sylvatica*). This review focuses primarily on impacts of increased temperature as well as drought. Following general consideration, the impacts of climate change on beech will be revisited in light of intensive infestation by BBD. A brief but comprehensive overview of the possible mechanisms of resistance and environmental factors that are most likely to influence susceptibility of American beech to BBD will then be discussed.

In addition to lessons and projections from studies in American and European Beech on the impacts of climate change on BBD-infested American beech, additional correlations will be drawn to and from other pathogen-host models, in particular hemlock and the hemlock wooly adelgid, and white pine and the mountain pine beetle. Current plans and projections for beech forest management in light of both BBD and climate change, including propagation of resistant beech, grafting efforts and reintroduction efforts will also be presented.

2. Natural History of the American Beech

American beech is a monoecious, flowering, late successional tree species endemic to eastern North America. The only native member of the *Fagus* genus in the Americas, American beech is among the most widely distributed hardwoods on the North American continent (Figure 1) [2]. Its range extends from Nova Scotia to the northern edge of Florida and to the eastern border of Wisconsin, the western border of Mississippi and into some parts of Texas and Oklahoma [3–5]. Researchers have also documented that American beech persists, albeit very rarely, in a few small, unique microclimates in the cloud forests of Mexico (*Fagus grandifolia* var. *mexicana*) [6]. Additionally, though predominantly an inland species, American beech is also found in coastal regions and may infrequently become a locally dominant species in previously fire-damaged forests along the southern New England coast [7]. Though the importance of winter temperatures has long been emphasized as a key explanatory tool for the northern limit of tree species in North America [8,9], research regarding the climatic controls on worldwide beech distribution has suggested that the northern and southern limit of American beech may be equally well explained by low growing season temperatures and high summer temperatures, respectively [2,10]. Researchers have noted that, whereas there are no known American beech stands in Newfoundland, there are some stands found in southern Quebec. Winter temperatures at sites in Newfoundland at similar latitudes to the beech range in Quebec are higher than in Quebec, whereas average growing season temperatures are much lower. Thus, it is possible that average temperature of the growing season, considered in conjunction with average coldest winter temperatures, is an important determining factor for American beech’s northern limit.

Likely due in part to the broad scale of this geographic range, American beech is associated with a wide variety of other trees. In New England, American beech is a dominant feature of forest cover in three different forest cover types, Beech-Sugar Maple, Sugar Maple-Beech-Yellow Birch, and Red Spruce-Sugar Maple-Beech [11]. Notable other associated trees and forest cover types include Balsam Fir forest types in New England, Michigan, Wisconsin and Minnesota, Oak-Hickory forest types across some of the northern central United States and southern magnolias in the southeastern United States [4,12,13].

Specific soil types certainly favor European beech (as would be expected for American beech). In European beech, calcareous soils seem to counteract the potentially negative effect of certain pollutants [14]. Decline of overall forest health due to soil acidification and intense agricultural fertilizer continues in both Europe and North America. As noted by Tubbs and Houston, American

beech seems able to tolerate a soil pH ranging from 4.0 to 6.0 and multiple specific soil types, namely those of the orders Alfisol, Oxisol, and Spodosol, though soils with a large humus layer appear to be favored [4,15]. In line with its large geographic distribution, American beech grows in a range of soil conditions.



Figure 1. Present geographic distribution of the American Beech. This map was created using ArcGIS and the documented range of American Beech is depicted in green. The data were downloaded from the United States Geological Survey’s Geosciences and Environmental Change center website [5].

Throughout the range of environments that are home to American beech, there are two consistent characteristics exhibited by American beech. Firstly, they are typically classified as “very tolerant” of shade [15] and, as their Latin name implies, are shade creators. In beech dominated (or co-dominated) forests, this results in a distinctively shaded forest floor, creating an inability for less shade tolerant saplings to establish themselves. It is for this reason that some American beech forests have a very minimal understory other than beech or similarly shade tolerant species. It is not uncommon for beech dominated forests to have substantial beech sprouting that appears to be even more robust under adverse conditions [16] including beech bark disease [17–19]. The beech understory effectively outcompetes other less shade tolerant trees. The second ubiquitous characteristic of American beech is that it produces abundant mast that is utilized as food by a variety of species, a factor that is especially important in northern hardwood forests where they tend to be the only trees that produce edible nuts [4]. Species today that rely on the beech mast include black bear, marten, deer, porcupine, raccoons, squirrels and blue jays [20]. In the past, beech mast served as the principal food source for the passenger pigeon, and indeed some researchers and naturalists have speculated that widespread clearing of beech-dominated forests may have been a contributing factor in the decline of the now-extinct species [21,22].

Additionally, Garneau et al. found a contribution of abiotic (rainfall, temperature, land management practices) and soil factors on small mammal diversity in beech-dominated forests. They have stressed the importance of long term (versus short term) analyses to better grasp the intermingling roles of beech mast, forest structure and various biotic and abiotic factors [23].

3. Beech Bark Disease

Disruption of beech forests by human development and pathogens has had long lasting effects. Entire forests were clear cut in the Eastern United States in the 1800s. Even before the stands recovered, beech bark disease arrived in Maine (via Nova Scotia) in the early 1900s. Beech bark disease (BBD) is the result of a scale infection (*Cryptococcus fagisuga* Lind.) followed by a *Neonectria* fungal invasion (Figure 2). The scale insect was inadvertently brought to North America by importation of ornamental European beech. European beech are more tolerant to infection and show more resistance to the beech scale relative to their North American counterparts [24]. The infection rates of beech by this pair have proved to be devastating in the United States; an 80–90% rate of infection within a stand is not atypical. Today, in measured stands, scale-free trees (resistant to BBD) have been reported to range from only 1% to 3% [25,26] with estimates ranging from 80–95% for overall infestation (for all beech within the current North American range) with 50% dead or dying from BBD [27]. The scale is often hidden under the leaf litter and will begin its attack at the tree base. Unless the litter is removed and the bark at the tree base is carefully examined, a tree may only appear (temporarily) to be resistant to BBD.

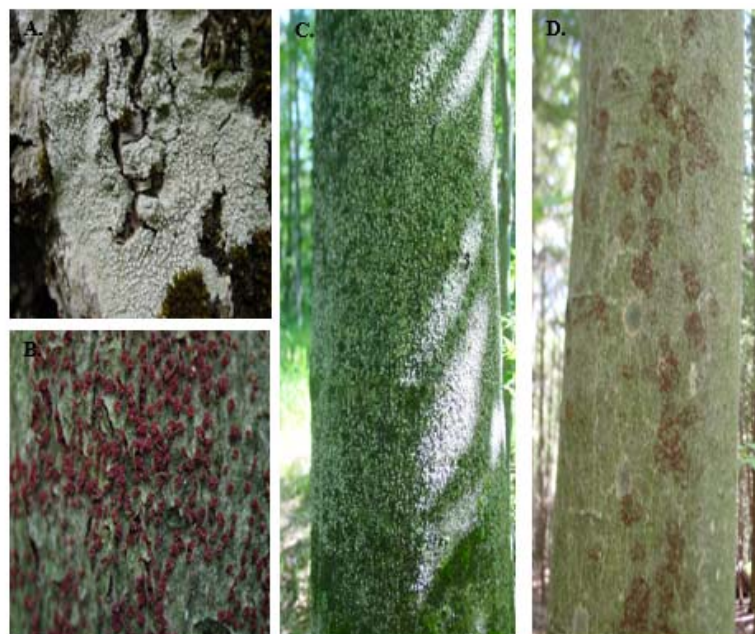


Figure 2. Visible signs of pathogens responsible for Beech Bark Disease. (A) Intense patch of wax created by overwintering Beech scale (*Cryptococcus fagisuga* Lind.); (B) Fruiting bodies of the *Neonectria* fungal infection (typically *N. ditissima* and/or *N. faginata*); (C) Typical “whitewashing” phenotype of scale infested Beesh; (D) *Neonectria* fungal infection. Multiple raised circular red welts are commonly seen. Photos by Natalie Coe.

Pioneering work in understanding the mechanism of this disease was carried out by Ehrlich. He was the first to effectively and systematically document BBD in the United States within approximately thirty years of its arrival [28]. Continued efforts by a number of scientists, including notably among others, Shigo, Houston, and Wainhouse, have increased our understanding of the pathology of this disease [19,24,25,29–33]. The fungus primarily associated with BBD was originally identified and named as *Neonectria coccinea* var. *faginata* [34], but this is now understood to be two species, namely

Neonectria faginata and *Neonectria coccinea*. *Neonectria faginata* is considered a North American species and *Neonectria coccinea* is thought to be a European species, whereas *Neonectria ditissima* (previously known as *Neonectria galligena*) is found on both continents [35] and is considered most responsible for BBD in Europe [27]. In North America, *Neonectria ditissima* and *Neonectria faginata* are considered the pathogens specifically responsible for BBD. Photographs provided in Figure 2 represent the phenotypic expression of this fungal genus, and are not intended to allow one to differentiate these species. *Bionectria ochroleuca* is another species that has been documented on severely infected and dying beech in both the United States and Canada [36]. Work by Jung has elucidated another potential pathogen, namely *Phytophthora*, that may also be contributing to BBD in Europe [37].

Current projections from the USDA Forest Service predict that BBD will spread throughout the entire beech range by 2025 [1,27]. Conservative models (without “stochastic jumps”) estimate that the front will advance approximately 15 km/year [38]. The spread of BBD is initiated by an “advancing front”; beech scale is carried by wind, animals or even by humans moving harvested or downed infested beech [20,27,39]. Stages relative to BBD infestation have been designated as pre-infestation, advancing front, killing front and aftermath [20,32].

Unfortunately for American beech, selection for resistance to scale infestation has only just begun as they have not co-evolved with the exotic and invasive European pathogens introduced to the continent in the late 1800s [24,40]. A 2015 study in Germany designed to examine the effect of forest stand management on scale infestation reported rates of infestation ranging from 16% to 35% [41], compared to much greater rates of infestation in American beech. European beech is more resistant than American beech to BBD based on overall infestation rates in each species [24].

In Europe, BBD is an endemic disease whereas in North America BBD displays characteristics of an epiphytotic disease, typical for epidemic as well as endemic development of the disease [20,42]. We cannot ignore the concept of the “climate envelope” and the fact that the geographic range of beech has been speculated to move north [43] in light of what can already be considered an epidemic. It had been assumed, prior to 2005, that the beech range would be able to migrate rapidly based on fossil pollen data, yet new evidence has required revisiting these older models. Based on genetic analyses utilizing 17 beech haplotypes, the spread of the tree is no longer estimated to track with the predicted warming. The rate is now less than the required minimum of 100 m/year [43]. Richard Pearson provides a commentary on McLachlan et al. and agrees that the molecular evidence helps to more fully examine the question of migration rates. He does question the climate envelope model itself and points to the need for more inclusive modeling that not only incorporates envelope models and species dispersal, but life-history dynamics, land use and human-induced climate change [44]. These data do not take into account that more than 90–95% (estimates will vary regionally but are very high regardless) of the trees are infected, dying or dead from BBD in the United States or the overall reduction in mast (viable seed) over time that results from BBD. Undoubtedly BBD, if it does not kill highly susceptible trees within a few growing seasons, it will hinder the growth of a wide range of susceptible trees, in both second growth and old growth forests [45], and also decrease the overall mast of a stand.

Morin and Liebhold also point out that beech bark disease is not working in isolation—additional pests, such as the wooly adelgid, are simultaneously attacking hemlocks in the same forests [46]. Impacts on not one, but two late-successional species, could have compounding effects on forest structure. An additional study by Krasny and DiGregorio looked at the concomitant impact of BBD and gypsy moths on beech as gap makers (defined as standing live trees with >50% branch loss, standing dead, and treefall). Over the six year study, the land gap area increased from approximately 20% to slightly over 30% [47].

Evans et al. have postulated comprehensive models to evaluate potential and, in some scenarios, substantial changes, that BBD may have on forest structure [48]. Incorporated into these hypothetical models are the assumptions of a disturbance threshold effect on BBD severity and a significant ecological role for beech root sprouting, both of which are predicted to contribute to markedly different outcomes for regional forest structure depending on current and historical forest composition.

4. The Impacts of Climate Change on American Beech

Geßler et al. have provided an excellent review specifically on projected impacts of climate change for European beech pointing out that by 2100, there is an expectation that global surface temperature will be elevated by 1.5–5.8 °C [49]. Correlates for American beech that can be drawn from this study include high susceptibility to the impacts of drought, as well as the opposite extreme, water-logging and flooding [49] that will undoubtedly impact both seedlings and adult beech. Availability of water has a tremendous impact on beech biomass relative to other suspected factors such as interspecies competition.

Droughts and elevated summer temperatures have already been attributed to global climate change. These circumstances are not unexpected conditions for North American beech stands. Systematic assessment of environmental factors that influence the overall health of European beech in southern Britain can provide a reasonable framework for the closely related American beech. One particular study used the extent of crown thinness, overall crown architecture and leaf color to determine the health of beech in different environments. A significant negative impact on crown chlorosis in response to drought and particularly high summer temperatures was documented [14].

As previously mentioned, in line with its large geographic distribution, American beech grows in a range of soil conditions. Due to the variable soil conditions in which American beech exists, the complexity of these effects insofar as they are related to changes in soil dynamics is likely to be even greater still. In recent years, there has been a concerted effort, especially within the realm of biogeochemistry, to understand the interaction between soil and atmospheric carbon dynamics with special attention given to the role of climate change in modulating these interactions [50–52]. Much of the research of this nature is of great relevance to the future of American beech stands. For instance, some experimental studies have suggested that decreased snow cover in the winter (a result of increased winter temperatures) that leads to soil freezing may significantly alter the leaching of inorganic nitrogen and phosphorus in northern hardwood forests [53–56], in which American beech is frequently a component. However, there exists no clear, *specific* consensus in the scientific literature as to how soil ecosystems will respond to global climate change or how those changes will translate into specific changes in forest communities, insofar as we are aware. Even general changes that might be expected do not have universal acceptance. For example, some researchers agree that an increase in average temperature ought to result in increased soil microbial respiration rates and, thus, an increase in the amount of carbon lost from soil ecosystems [57]. Yet, regional warming could increase evaporation rates, drying soil, with different impacts on microbial respiration rates. Also, much of the North American beech population is covered in snow pack at least during part of the year—so water in this case may not be a limiting factor for overall microbial activity. As Campbell et al. make evident in their extensive review of the literature concerning climate change and biogeochemical cycling in the northeastern forests of North America, the predictability of climate change impacts on soil dynamics is fraught with uncertainty, particularly with respect to the interactions between various effects of climate change (such as that between nitrogen deposition and increases in atmospheric CO₂ concentrations), the extent of the CO₂ fertilizer effect, and the potential feedback mechanisms at play in various climate change scenarios [57].

In addition to variability in soil conditions, American beech is also found at a wide range of elevations, specifically at higher elevations in the southeastern United States and lower elevations in northeastern North America [4]. This will be important to consider as the range of beech moves both in latitude (northward) and to higher elevations (assuming increased temperatures) as predicted with climate change. The beech range itself is expected to be displaced farther north in response to climate change over the next century; changes in the latitudinal range of beech may be a reasonable ecological response to attempt to effectively compensate for increasing temperatures along the Eastern United States but caveats to this theory will be discussed later (see section on Considerations for Beech Forest Management). Additionally, the United States Forest Service has modeled predictions in the change of suitable habitat for 134 tree species endemic to the United States. Under the assumptions of

the General Circulation Model scenarios, the Forest Service predicts both a northern and westward expansion of the potential suitable habitat for American beech. Cumulatively, they predict that there will be an approximate increase in the area of land that is suitable for beech growth of 10.7 percent, assuming that global carbon emissions remain at their current level [58].

It should be noted that studies in European beech have shown that mature beech leaves increase their antioxidative properties (by increasing the expression of key protective enzymes such as superoxide dismutase and glutathione reductase) in response to increased temperatures. Although this adaption is not specific to beech, Polle and Morawe speculate that this might be an adaptive measure to protect beech in light of increasing temperatures and elevated ozone during the summer months [59]. Perhaps in light of climate change impacts, natural selection will favor beech with protective genomic adaptations. Although beech trees are considered to be relative newcomers on a geological time scale [2], on an evolutionary time scale since the Holocene migrations, genetic selection has occurred.

In 2010, Kramer et al. put forth a compelling argument for the need to consider “local differentiation” when developing species expansion models in response to climate change (in this case with evidence from European beech) [60]. Allozyme analysis, haplotype analyses and proteomic studies have shown genetic variation among several tested populations [61–63]. Our laboratory has found genetic heterogeneity both among and between large tree stands in the Green and Adirondack Mountains through microsatellite analyses (Cohojari, O., Malik, E. and Coe, N., manuscript in preparation). Our results show genetic variation in trees within Vermont as compared to New York, and both Vermont and New York populations are genetically distinct from published data for Michigan [64]. There is no reason to not consider regional adaptations to particular microenvironments and a variation in the responses of sub-populations of beech to expected changes in precipitation and elevated temperatures expected due to climate change.

5. Impacts of Climate Change on BBD-Infested American Beech: Lessons and Projections from Studies in American and European Beech

Drought conditions will decrease nitrogen soil uptake capacity of beech which will in turn reduce growth [49]. Interestingly, should bark nitrogen levels be lower in certain American beech populations, this may inadvertently protect them from host invasion in that the scale prefer old growth (to secondary growth) trees, presumably because of higher bark nitrogen levels. This protection will be only short term and relative to the natural range of nitrogen bark concentration in a given stand. A study looking specifically at the effect of bark nitrogen levels on infestation in both old-growth and second-growth forests showed a positive correlation between bark nitrogen concentration and infestation [65]. Lower bark nitrogen may benefit a stand overall, but will not prevent infestation. This phenomenon has also been noted in European beech [41]. Additionally, Wargo has documented an increase in both specific amino acids and the total amino acid concentration in bark of infested compared to non-infested trees [66]. It should be noted that Latty and colleagues showed that the increased nitrogen levels in bark in diseased trees is a cause, not the result, of infestation [65]. Importantly, regardless of total bark nitrogen content in an individual tree or forest type, overall tree health will be compromised as drought will reduce stomatal conductance and the impacts can be exacerbated if there is more understory competition.

Dukes et al. present a synthesis of the current literature regarding the interaction between “nuisance” species and changing climate dynamics, wherein BBD is used as one of six case studies [67]. In this portion of the review, the authors note that the scale insect associated with BBD is not able to withstand minimum winter daily temperatures below -34°C and, as such, BBD has historically been absent from certain regions of northern Maine and Canada. However, they mention research conducted by Kasson and Livingston that demonstrates a northern movement of BBD that may be attributable to increased winter temperatures and, therefore, more suitable habitat for the scale insect [26]. Furthermore, Dukes et al. note the complexity of the issue by pointing out that the scale insects, as demonstrated by Grove and Houston [68] use insulated space beneath the snowpack at the

base of the tree as refugia during the winter. Thus, there is also potential for increased scale mortality as warming winter temperatures decrease snowpack.

As the range of the American beech marches northward, there is no reason to not assume that the pathogen will accompany its host. The scale and fungus have robustly moved to new host beech and are expected to reach the entire range. This would mean that the pathogens were able to travel the entire latitudinal range of the United States in less than one hundred years. The Canadian Maritime provinces have already endured massive beech die off, estimating only 3.3% to be resistant to BBD there now [69]. Therefore moving north into farther regions of Canada would not be unprecedented and can be predicted with reasonable confidence as average minimum temperatures during winter are expected to rise. The likely pattern we will see may mimic what has already occurred although with some caveats and perhaps a bit of good news. Beech scale prefer larger beech trees. As mentioned previously, the spread of BBD is initiated by an “advancing front”. During this stage, beech trees with relatively larger dbhs may be preferentially attacked. This supposition is based on evidence that larger trees with greater concentrations of bark nitrogen and old growth forests are particularly hard hit initially [27,70,71]. As beech move north, it may take some time for mature and larger trees to become established and the scale infestation may lag behind significantly. Once the scale has entered a stand though, even the smallest of susceptible trees are not safe. It is not uncommon to see saplings in the Northeastern United States covered with scale. Beech trees are strikingly resilient though, and trees that have been removed from campsites due to obvious peripheral damage by BBD, have surprisingly appeared to maintain inner bark integrity. This may be the case for extended periods of time which can explain why a visibly infected beech can still have a reasonably full crown with little sign of chlorosis. However, highly infected trees are also more susceptible to beech snap and beech snag (loss of tree limbs) so the risk foresters managing heavily infested beech have to consider is well substantiated. As the scale is able to take hold in a region, this area becomes known as the “killing front” [27]. BBD resistance is at the level of the scale and potentially resistant trees are easier to identify at this stage. The “aftermath zone” is just as its name implies; this is the term referred to the forest once the scale and the fungus have ravaged through. The first report of a killing phase of beech in an aftermath forest was reported in 2012. A comprehensive study in Northern Maine provides direct evidence as to the potential impacts of climate change in the region. Over a four year period, beginning in 2000, a series of mild winters occurred, with temperatures remaining above -30°F . This time period coincided with less than average autumn rainfall (2000–2003) and a severe drought (1999–2002). The result was a resurgence in the death of beech trees [26].

6. Impacts of Climate Change on BBD-Infested American Beech: Applied Examples from Other Pathogen-Host Models

Climate change will have an impact on susceptibility and spread of BBD as it will influence overall tree health. Although we do not have deterministic modeling for how climate change will impact different regions and forest types in the Eastern United States specifically, climate change will likely influence biotic and abiotic factors that will in turn influence BBD. The impacts to be considered are nutrient and water availability and temperature. Nutrient and water availability will likely favor and increase the reproductive capacity of the scale as average temperatures begin to rise and winters become milder, providing less robust seasonal checks on population growth and maintenance. Temperatures are expected to rise 1°C in the next eighty years and strongly favor insect pest survival and reproduction, yet the impact of climate change on fungal populations is admittedly less clear [67]. Recent work has explored the expected impacts of climate change on the range of the hemlock wooly adelgid (*Adelges tsugae*) [71]. This pest has a similar lifecycle and attack mechanism as compared to the beech scale. Both organisms infect by way of a stylet insertion. The hemlock wooly adelgid attacks at the base of needles at the twig level, whereas the beech scale attacks the bark itself. Paradis et al. provide convincing arguments that as climate change promotes elevated winter temperatures, the wooly adelgid will be well suited to move farther north [71]. Although

modeling data focused on the specific projected geographic expansion of beech scale is not available as we are aware to date, drawing correlates to expectations for another scale pathogen in our region seems reasonable.

Given the subsequent changes in environmental characteristics between varying elevations, and considering the potential differential effects of climate change on high and low elevation ecosystems, researchers should consider how changes in elevation may modulate the dispersal and impact of tree disease. For example, some research has suggested that increasing outbreaks of mountain pine beetle (*Dendroctonus ponderosae*) infestations in previously unaffected, high elevation, white bark pine (*Pinus albicaulis*) stands are driven by a warming climate which expands the beetle's habitat suitability to higher elevations [72], whereas other researchers have demonstrated that the susceptibility of sessile oak (*Quercus petraea*) to infection with powdery mildew may naturally increase with elevation [73]. Our laboratory has found similar results in American beech that align with the pathogen-host patterns found between powdery mildew and sessile oak wherein higher elevations do not appear to provide expected protection from persistent pests.

Mitton and Ferrenberg have published a 2012 article on the impact of climate change on the lifecycle of the mountain pine beetle. They have shown that flight stage starts earlier (and therefore lasts longer) and provided evidence for two generations per year for certain brood [74] versus the typically expected one generation per year. These findings have been met with resistance by Bentz and Powell that argue against the possibility of a bivoltine life cycle in mountain pine beetle based on the lack of thermal energy available and required for this phenomenon to occur in the Western United States [75]. Although specific changes in pathogen life cycle(s) will need to be further examined, it is agreed that ranges are most definitely changing. Areas that had been inhospitable in prior decades (too cold) are now becoming more ideal.

Given the geographical extent of American beech, we expect the effects of global climate change on BBD progression to be diverse in some respects. The scale can overwinter quite efficiently in even bitterly cold conditions. Warmer winters may favor the survival and fecundity of both the scale and fungus. The scale will typically infect beech starting from the base (protected by leaf cover) but regardless of its first point of attack, it can cover the full perimeter of the trunk relatively quickly. A highly susceptible tree will go from little to no infection to dead from BBD in less than ten years. Regardless of the potential subtype or variation of regional *Nectria* fungi, the genus has proved to be quite deadly to beech. There is no doubt that climate change favors propagation of the pathogen duo responsible for BBD, with particular support for the scale, and although the beech range is expected to expand north, if temperatures continue to rise, this adaptive advantage to the pathogen is lost.

7. Considerations for Beech Forest Management

Multiple studies support the hypothesis that resistance to the scale is a genetically inheritable trait in both European beech [40] and American beech [63,76–78]. Field testing has shown that trees that remain symptom free in stands long affected by BBD are resistant to the beech scale insect [25,79,80]. Several research studies have looked for the underlying mechanisms of resistance to the scale insect and have indicated that structural differences, nutrient partitioning, or the overexpression of pathogen fighting enzymes may play a role. A review of the literature to systematically present multiple factors that may influence resistance is outlined in Table 1. Increasing our understanding of possible mechanisms of resistance to BBD and environmental factors that may influence susceptibility of American beech to BBD can inform proactive management strategies in light of climate change.

Additionally, Wargo has argued for the potential role of the concentration of phenolic compounds in inner versus outer bark of infected trees [71]. These types of studies can be confounded by the fact that it is not clear whether a chemical change confers (or does not confer) resistance to BBD or is actually a result of the infestation itself. Information from microarray and proteomic comparative data sets will ideally move efforts for screening for resistance and restoring beech forests in light of stressors of BBD compounded by climate change forward. Two groups have put forth data to evaluate

differential mRNA [76] and protein profiles [62] of bark from trees that are more or less susceptible to beech bark disease but the pace of this work has been limited by the lack of genomic and cDNA sequences widely available for American beech to date.

Table 1. An overview of the possible mechanisms of resistance and environment factors that may influence susceptibility of American beech to Beech Bark Disease (BBD) during the “killing front”.

Possible Mechanisms of Resistance	Environmental Factors
Structural (endogenous to host) Stone cell layers of cortex may provide structural barrier(s) to scale stylet [80]	Structural (exogenous to host) Forest type and forest dynamics [81] Forest structure [38] Species composition and site factors [82]
Nutritional access (pathogen perspective) Nutrition partitioning allows tree to discourage infestation [40] Higher bark nitrogen may provide more desirable habitat for the beech scale [65,66]	Nutritional availability (host perspective) Nutrients and water [26] Drought conditions [14]
Differential gene expression Differential gene expression in disease resistant versus BBD-susceptible beech trees [62,76]	Location attributes Stand-level [28]

In considering the influence of climate change in synergy with disease pathology, it is necessary to consider all the environmental factors that may be influenced or linked to climate change within the context of both physical parameters (slope, aspect, etc.) and genetic conditions that may predispose trees to susceptibility or resistance.

Ideal management now would include the introduction of disease-resistant beech into more northern reaches of the current range to help expedite the migration and increase the likelihood that seed will be dispersed at a rate demanded by elevated temperature and drought conditions. As beech move north, it may take some time for mature and larger trees to become established and the scale infestation may lag behind significantly. Perhaps before this potential pathogen-host match up occurs, our ability to screen American beech trees for resistance, or purposefully plant resistant trees may supersede the disease progression and allow us to prevent and control further infestation.

In anticipation of this, Jennifer Koch and her colleagues at the USDA Forest Service Northern Research Station are currently developing an American beech breeding program focused on the propagation of BBD-resistant beech [83]. Our laboratory has worked with the USFS to use the technique first developed by Houston [79] and then expanded upon by Koch [84] to allow us to successfully screen beech for BBD-resistance at our own sites, as have additional researchers. Through this collaborative approach, we can work together to test, protect and propagate American beech truly resistant to BBD. These trees are currently being developed as potential regional stock for grafting and restoration efforts [78].

Nearly twenty years ago, Lindner put forward a forest gap model analysis to help evaluate the impacts of climate change on forest ecosystems [85]. He pointed out the inevitable interspecific competitions that will occur in light of limited resources. Interestingly, with beech, we have already seen the impacts of excessive beech sprouting (‘beech hell’) on sugar maple populations in the Northern United States [18,48]. Although American beech does not garner the interest of European beech for commercial harvesting, it is important that we learn from what has been done in Europe when considering management of American beech. Biomass production (wood density) has been shown to be influenced by temperature in European beech [86]. Concerning beech forests in the United States, managing for “climatically well-adapted forest composition” and “maximized tree species diversity” as initially described by Lindner certainly make the most sense still today [85]. Studies in European beech have shown a positive response of beech to thinning to reduce competition. It is speculated that thinning can counteract the impacts of increased temperature as beech are particular sensitive to water availability [87].

Based on an extensive comparative study of BBD in North America, Great Britain, France and Germany, “there is relatively little consistent or conclusive evidence to link environmental factors to population trends of *C. fagi* or to the cause of beech bark disease” [88]. A recent study in Germany focused on European beech management has shown no correlation between management practices and the infestation rate of the beech scale [41]. On the other hand, necrotic disease of beech was shown to increase dramatically (approximately 2% to nearly 18%) after less ideal forestry practices (e.g., cutting interventions mid-summer) were used [89]. Furthermore, research in 2006 found differential survival rates and health outcomes in high vigor American beech trees over a ten-year period dependent on whether the area was clear-cut or a buffer of surrounding trees was left post-harvest. In the study, high vigor American beech was more likely to experience decline or death if left standing amid a clear-cut site. The authors ultimately recommended that a buffer be left around beech trees when a forest is harvested for its timber [90]. Thus, both the season of harvest and the specific harvesting methods may affect the long-term health of beech stands and, as a result, their chances of survival. As we consider more careful monitoring and management of beech in North America, it will be ideal to not inadvertently increase the spread of BBD or adversely impact stands of American beech that may be otherwise healthy.

Hamelin of Vermont Fish and Wildlife has developed a management program to specifically enhance mast in BBD-infested forests in the Northeast [91]. Within five years of the discovery of BBD in Michigan, and with an expectation that it would kill at least half of the mature beech trees [92], McCullough and colleagues developed management strategies for beech forests at various stages (pre-infestation, advancing front, killing front and aftermath) [20]. Cale et al. warn that “conditions within the current aftermath zone are a harbinger of what most beech stands may look like in the near future” in anticipation of additional and substantial *Neonectria* invasions [93] and in fact, bark phosphorous levels may be more relevant [94]. This is a more recent and disturbing finding when considering overall beech health.

8. Conclusions

The environmental impact of drought and elevated temperatures in North America, coupled with the continuing progression of BBD, is poised to greatly diminish the integrity of beech-dominated forests. The impacts of climate change will not brighten the future for BBD-infected forests from the perspective of the American beech. The expected changes in temperature and precipitation favor the exotic, invasive pathogens, not the beech. As beech have been selected for BBD-resistance in the microevolution of the past century, climate change will yet again provide additional pressures and selection. The genetic heterogeneity of the current beech populations will most surely be tested, but with concrete restoration efforts currently underway, we may have an anthropocentric solution to a human induced problem.

Leak has looked at the impacts of BBD in the Bartlett Experimental Forest in New Hampshire for over fifty years. His findings support the positive impact of selective removal of infested beech on overall beech stand health. However, as we continue to manage our forests in light of the impacts of climate change, Leak himself notes that we should treat these results with caution. “It is quite likely that other regions have different levels of genetic resistance to the beech bark disease, and possibly different strains of scale and *Nectria* as well. Possibly, trees in the Bartlett stands that we thought to be resistant could be reinfected by new strains of the disease complex” [95].

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