

Article

# Disentangling the Complex Effects of Socioeconomic, Climatic, and Urban Form Factors on Air Pollution: A Case Study of China

Yupeng Liu <sup>1,2</sup>, Jianguo Wu <sup>1,3,\*</sup> and Deyong Yu <sup>1,\*</sup> 

<sup>1</sup> Center for Human-Environment System Sustainability (CHESS), State Key Laboratory of Earth Surface Processes and Resource Ecology (ESPRE), Faculty of Geographical Science, Beijing Normal University, Beijing 100875, China; ypliu@iue.ac.cn

<sup>2</sup> Key Lab of Urban Environment and Health, Institute of Urban Environment, Chinese Academy of Sciences, Xiamen 361021, Fujian, China

<sup>3</sup> School of Life Sciences and School of Sustainability, Arizona State University, Tempe, AZ 85287, USA

\* Correspondence: Jingle.Wu@asu.edu (J.W.); ydy@bnu.edu.cn (D.Y.); Tel.: +1-480-965-1063 (J.W.); +86-10-5880-0085 (D.Y.)

Received: 30 December 2017; Accepted: 8 March 2018; Published: 12 March 2018

**Abstract:** China's tremendous economic growth during the past three decades has resulted in worsening air quality in most of its cities. However, the spatiotemporal patterns and underlying drivers of air pollution in China remain poorly understood. To address this issue, we used stepwise regression to identify major socioeconomic, climatic, and urban form factors influencing air pollution in 69 major cities across China. Our results showed that social factors such as population size and density were positively correlated with emissions of PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>x</sub>, and SO<sub>2</sub>. Economic factors such as Gross Domestic Product (GDP) and GDP of secondary industry were positively correlated with industry and transportation emissions but negatively correlated with residential emissions of air pollutants. Urban form attributes such as measures of urban fragmentation and contiguity were important in explaining patterns of emissions from residential, power generation, and transportation sectors. As for climatic factors, higher precipitation, higher wind speed, and higher temperatures were all negatively correlated with air pollution levels. We also found that the effects of socioeconomic, climatic, and urban form factors on air pollution levels varied considerably among seasons and between the annual and seasonal scales. Our findings have useful implications for urban planning and management for controlling air pollution in China and beyond.

**Keywords:** fine particulate matter; air pollution index; built-up area; urban sprawl; urban morphology

## 1. Introduction

Over the past three decades, rapid urbanization in China has been unprecedented in terms of both speed and scale and more than half of its population now live in cities [1,2]. However, China's tremendous economic achievements have resulted in a number of environmental problems, including the deterioration of air quality [3–6]. Increased air pollution may lead to the cardiopulmonary morbidity and mortality of people, especially the young and elderly [1,7,8].

High PM<sub>2.5</sub> concentrations have occurred over a vast region of China since the end of the 20th century [9–11]. Increased SO<sub>2</sub> emissions have mainly resulted from human activities, such as industrial emissions [12]. Emissions of nitrogen oxide (NO<sub>x</sub>) and carbon monoxide (CO), and concentrations of ozone (O<sub>3</sub>) increased dramatically due to the increased number of vehicles [13], and were accompanied by an increase in emissions of greenhouse gases (e.g., CO<sub>2</sub>) [14,15]. Recent studies have related these spatial patterns of air pollution to environmental and socioeconomic factors depending on

distinguished mechanisms [6,16–19]. For example, wind not only dissipates air pollutants in the city, but also transports pollutants from industrial areas to urban areas [12,20]. Compact cities with mixed land uses were reported to have less transportation emissions than sprawl cities [17,21], but also could trap more air pollutants from urban construction, thereby leading to higher pollution concentrations [22]. Bechle et al. (2011) [6] found that air pollution levels first increased with rising income levels (GDP per capita), and then decreased when GDP per capita exceeded \$30,000, an observation that seems consistent with the Environmental Kuznets' Curve [23].

Overall, air pollution and its spatiotemporal patterns are influenced by a number of socioeconomic and environmental factors [6,16,21,22,24–28]. However, a comprehensive understanding of the underlying drivers of air pollution in China's cities is still lacking from multiple perspectives (air pollutant emissions and concentrations) and time scales (year and season). Thus, the main objectives of this study were (1) to identify the major factors influencing urban air pollutant emissions, and (2) to understand how climatic, socioeconomic, and urban form factors may affect urban air pollution patterns in China.

## 2. Materials and Methods

### 2.1. Study Cities

High concentrations of PM<sub>2.5</sub>—much higher than the 10 µg/m<sup>3</sup> standard set by World Health Organization (WHO) air quality guidelines—occurred over a vast region of China since 1999, ranging from southern Inner Mongolia to Guangdong latitudinally, and from the east coast to central Sichuan longitudinally, plus the southern part of Xinjiang Province [9,11]. In this study, we selected 69 cities across this region based on data availability (Figure 1).

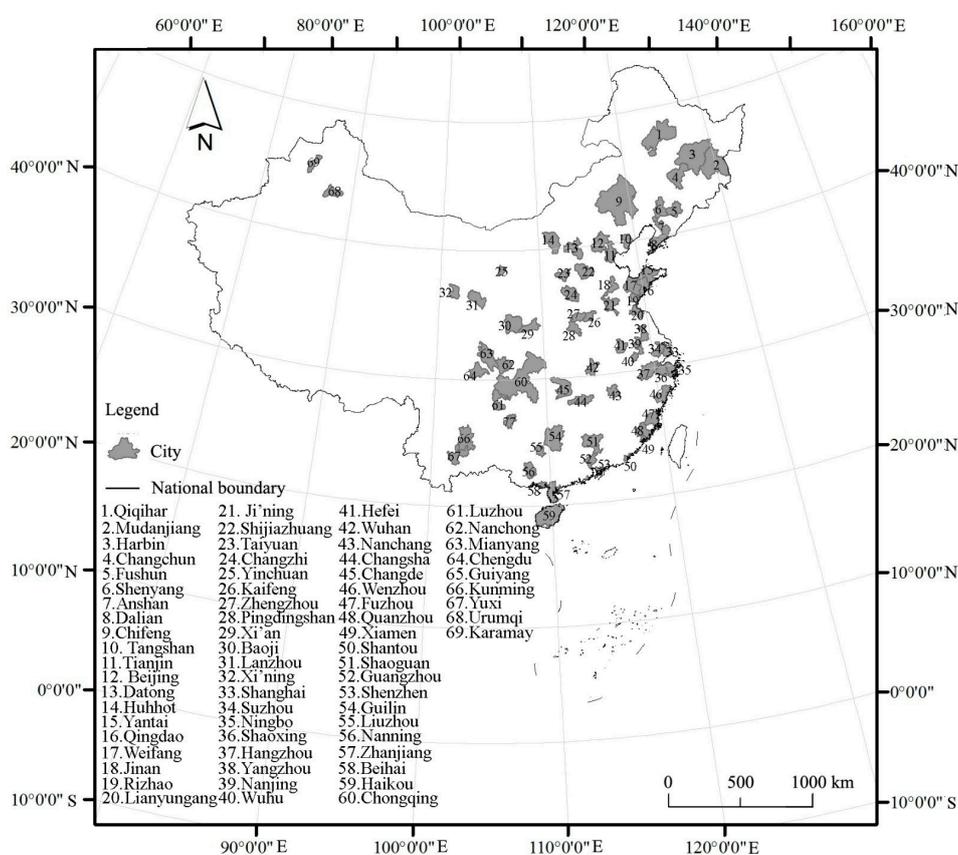


Figure 1. Locational map of 69 Chinese cities for this study.

## 2.2. Potential Socioeconomic, Climatic, and Urban Form Factors

Previous studies have shown that urbanization, industrialization, and economic development can all contribute to air pollution [3,12,13,16,18,29,30]. Sand-dust storms in spring [4], secondary aerosol generation O<sub>3</sub>, and PM<sub>2.5</sub> pollution in summer [31], agriculture biomass burning in autumn [32], and coal burning for winter heating [20] are the main drivers for seasonal air pollution events, whereas climatic conditions, such as high humidity and low wind speed, are key environmental factors [33]. Thus, we collected air pollution data, socioeconomic data, climatic data, and land use/cover data for the 69 cities in China based on data availability (Figure 1).

### 2.2.1. Air Pollution Measures

Air Pollution Index (API) and PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>x</sub>, and SO<sub>2</sub> emissions from industry, power generation, residential sector, and transportation were used to represent air pollution levels (Table 1). Daily API data for 69 cities in 2010 were downloaded from the Chinese Ministry of Environmental Protection website (MEP) (<http://www.zhb.gov.cn/>). The API level is based on the levels of three atmospheric pollutants, including SO<sub>2</sub>, NO<sub>2</sub>, and PM<sub>10</sub> measured by monitoring stations for each city.

**Table 1.** Urban form, socioeconomic factors, climatic variables, and air pollution measures considered in this study.

Category	Measure	References
Air pollution measures	Emissions of PM <sub>2.5</sub> , PM <sub>10</sub> , NO <sub>x</sub> , and SO <sub>2</sub>	[26,34]
	Air Pollution Index (API)	[4,35]
Socioeconomic factors	Gross Domestic Product (GDP)	[6]
	GDP per capita	[22]
	GDP of secondary industry	–
	Per capita GDP of secondary industry	–
	Population size	[6,36,37]
Climatic factors	Population density	[22,25,36–38]
	Temperature	[22,26,30,39]
	Precipitation	[30]
	Wind speed	[6,30,39]
	Relative humidity	[30,39]
Urban form metrics	Sunshine duration	[30]
	Total built-up Area (TA)	[22]
	Mean Patch Area (MPA)	[22,24]
	Percentage of Landscape (PLAND)	[40]
	Patch Density (PD)	[40]
	Largest Patch Index (LPI)	[16]
	Edge Density (ED)	[16]
	Landscape Shape Index (LSI)	[16]
	Area Weighted Mean Fractal Dimension (AWMFD)	[16]
	Clumpiness index (CLUMPY)	[16]
Aggregation Index (AI)	–	

Anthropogenic emissions of air pollutants for each city in 2010 were extracted from the database created by [34] which was generated according to the Multi-resolution Emission Inventory for China (MEIC) (Table 1). The MEIC is a bottom-up emission inventory framework developed and maintained by Tsinghua University, China, using a technology-based methodology to calculate air pollutant emissions [34]. The database included emissions from more than 700 anthropogenic sources that were aggregated into four categories: power generation, industry, residential sector, and transportation [34]. For example, the emissions from combustion of coal, oil, gas, biomass, and waste (e.g., municipal and industrial waste) for power generation were classified into power generation category. The industry category included the emissions from combustion of coal, oil, gas, waste, and biomass and non-combustion processes (e.g., brick production and iron sintering). The vehicle

emissions from combustion of oil and gas were classified into transportation category. The emissions from combustion of coal and biomass for heating and combustion of gas for cooking were classified into residential sector.

### 2.2.2. Climatic Factors

Data on daily temperature ( $^{\circ}\text{C}$ ), precipitation (mm), wind speed (m/s), relative humidity (%), and sunshine duration (h) were obtained from the China Meteorological Data Sharing Service System (<http://cdc.nmic.cn>) (Table 1). Annual and seasonal averaged values of each climatic factor were calculated from daily data and selected as potentially climatic factors.

### 2.2.3. Socioeconomic Factors

Data on Gross Domestic Product (GDP in Chinese Yuan, CNY), GDP of secondary industry (CNY), and population size (permanent residents) in 2010 were derived from the Statistical Yearbook of the National Bureau of Statistics (<http://www.stats.gov.cn/>) as potential socioeconomic factors. For each city, we divided the overall GDP and GDP of secondary industry by population to calculate per capita GDP and per capita GDP of secondary industry. Population for each city was divided by its total area within administrative boundary to calculate population density (Table 1).

### 2.2.4. Urban Form Metrics

Ten class-level landscape metrics were used to represent urban form in this study: Total built-up Area (TA), Patch Density (PD), Mean Patch Area (MPA), Percentage of Landscape (PLAND), Largest Patch Index (LPI), Area Weighted Mean Fractal Dimension (AWMFD), Edge Density (ED), Landscape Shape Index (LSI), Clumpiness index (CLUMPY), and Aggregation Index (AI), all of which were computed for the urban patch type (see Appendix A for detailed acronyms and descriptions of these metrics). TA and PLAND are area-related composition indicators that represent total built-up area and its proportion, respectively. PD, MPA, and LPI are different measures of the degrees of fragmentation of built-up areas. ED indicates boundary abundance of built-up area patches. LSI and AWMFD are measures of the shape complexity of built-up areas. CLUMPY and AI measure the degrees of clumping and the aggregation of built-up areas. The main justification for choosing these 10 metrics is that they have been widely used to characterize the spatial extent, fragmentation, shape complexity, and connectivity of urban landscapes [41–47], and have been related to urban air pollution in previous studies [6,16,17,21,22,24,26,48].

All these urban class-level metrics were computed using FRAGSTATS v4.2 [49], based on land use/cover data in 2010 with a spatial resolution of  $1 \times 1$  km. The land use/cover data were obtained from the National Science & Technology Infrastructure of China, National Earth System Science Data Sharing Infrastructure (<http://www.geodata.cn>). The original dataset had six land cover types (forest, cropland, grassland, barren land, water body, and built-up area), and for the purpose of our analysis they were classified into two classes: built-up area and non-built-up area. The built-up area is a geographic region dominated by non-vegetated, human-constructed elements (proportion of built-up area higher than other five land use/cover types), such as settlements, buildings, roads, runways, and industrial facilities [2]. The non-built-up area included another five land use/cover types. The extent of each city was delineated as the total area within administrative boundaries of a prefecture-level city.

## 2.3. Quantifying the Relationships of Air Pollution with Socioeconomic, Climatic, and Urban Form Metrics

We used two sets of stepwise regression models (21 in total) to address the two research objectives. The first set of stepwise regression models (a total of 16) was used to identify the main factors associated with the emissions of air pollutants. In these models, the independent variables included six socioeconomic factors and 10 urban form factors, and the dependent variables were emissions of  $\text{PM}_{2.5}$ ,  $\text{PM}_{10}$ ,  $\text{NO}_x$ , and  $\text{SO}_2$  from industry, power generation, residential sector, and transportation, respectively. Climatic factors were not included as the independent variables because they did not contribute to air

pollutant emissions directly. The second set of stepwise regression models (a total of 5) was used to examine which factors were important to explaining the variations of air pollution levels on multiple time scales. In these models, the independent variables included five climatic factors, six socioeconomic factors, and 10 urban form factors, and the dependent variables were annual and seasonal API in spring, summer, autumn, and winter, respectively. The results of regression include correlation coefficient ( $R$ ), adjust coefficient of determination ( $\text{Adj. } R^2$ ), F-test value (F-value), and probability value ( $p$ -value). Correlation coefficient is a measure of the strength between dependent and independent variables. Adjust coefficient of determination equals the proportion of the variance in the dependent variable that is predictable from the independent variables and gives some information about the goodness of fit of a model. F-value is the ratio of explained and unexplained variance and is used to compare regression models that have been fitted to data. Probability value is the probability of the statistical summary of a given model would be the same as the actual observed results and usually provides a significance level of the test. All these analyses were performed with SPSS v18.0 (IBM, Armonk, NY, USA).

### 3. Results

#### 3.1. Spatial Patterns of Air Pollution in Chinese Cities

High values of API occurred in most of the 69 cities of China, especially those in the Northeast, the Beijing-Tianjin-Hebei region, and central Sichuan, plus Urumqi in Xinjiang Province (Figure 2a). High emissions of  $\text{PM}_{2.5}$ ,  $\text{PM}_{10}$ ,  $\text{NO}_x$ , and  $\text{SO}_2$  occurred in cities located in the North China Plain (Beijing, Tianjin, Hebei, Shandong, Henan, northern Jiangsu, and northern Anhui) and the middle and lower reaches of the Yangtze River Basin (Figure 2b–e). Moreover, a large amount of  $\text{SO}_2$  was emitted from those cities located in the Sichuan Basin (Figure 2e).

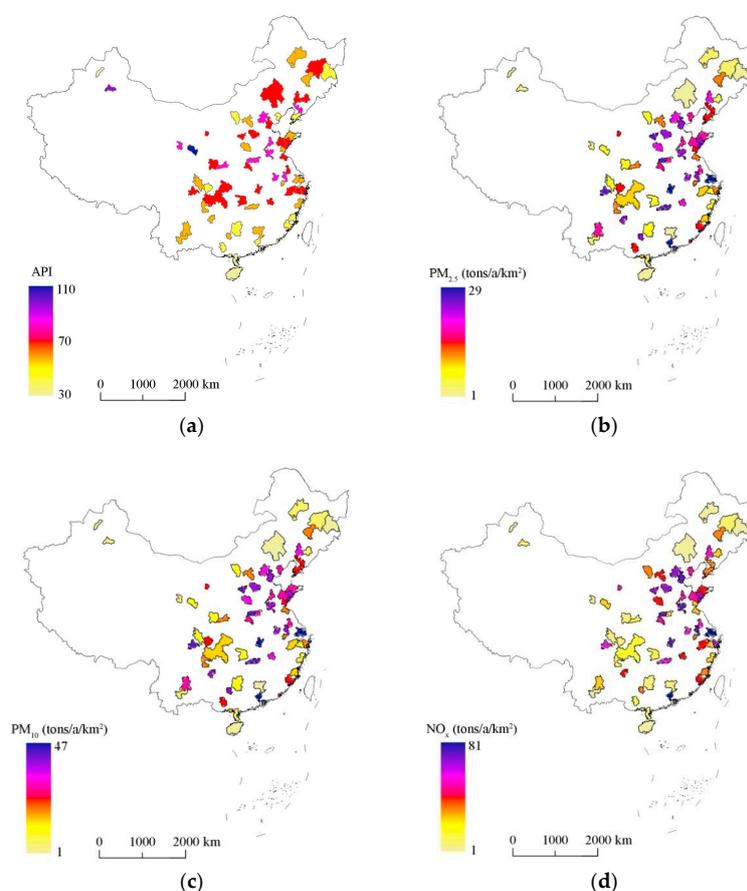
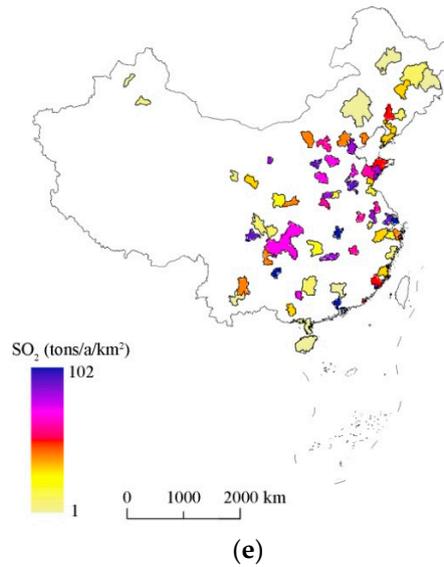


Figure 2. Cont.

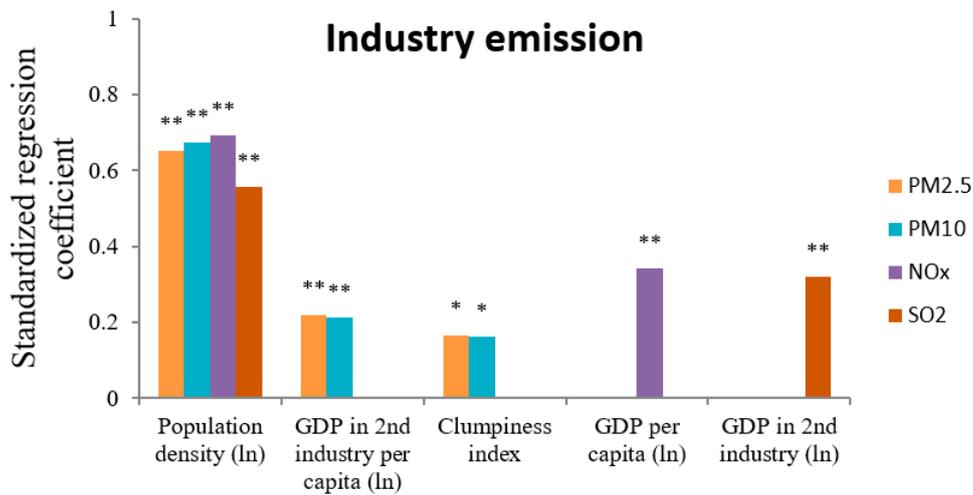


**Figure 2.** (a–e) Maps of (a) Air Pollution Index (API) and emissions of (b) PM<sub>2.5</sub>, (c) PM<sub>10</sub>, (d) NO<sub>x</sub>, and (e) SO<sub>2</sub> in 69 Chinese cities, 2010.

### 3.2. Effects of Socioeconomic Factors and Urban Form on Emissions of Air Pollutants

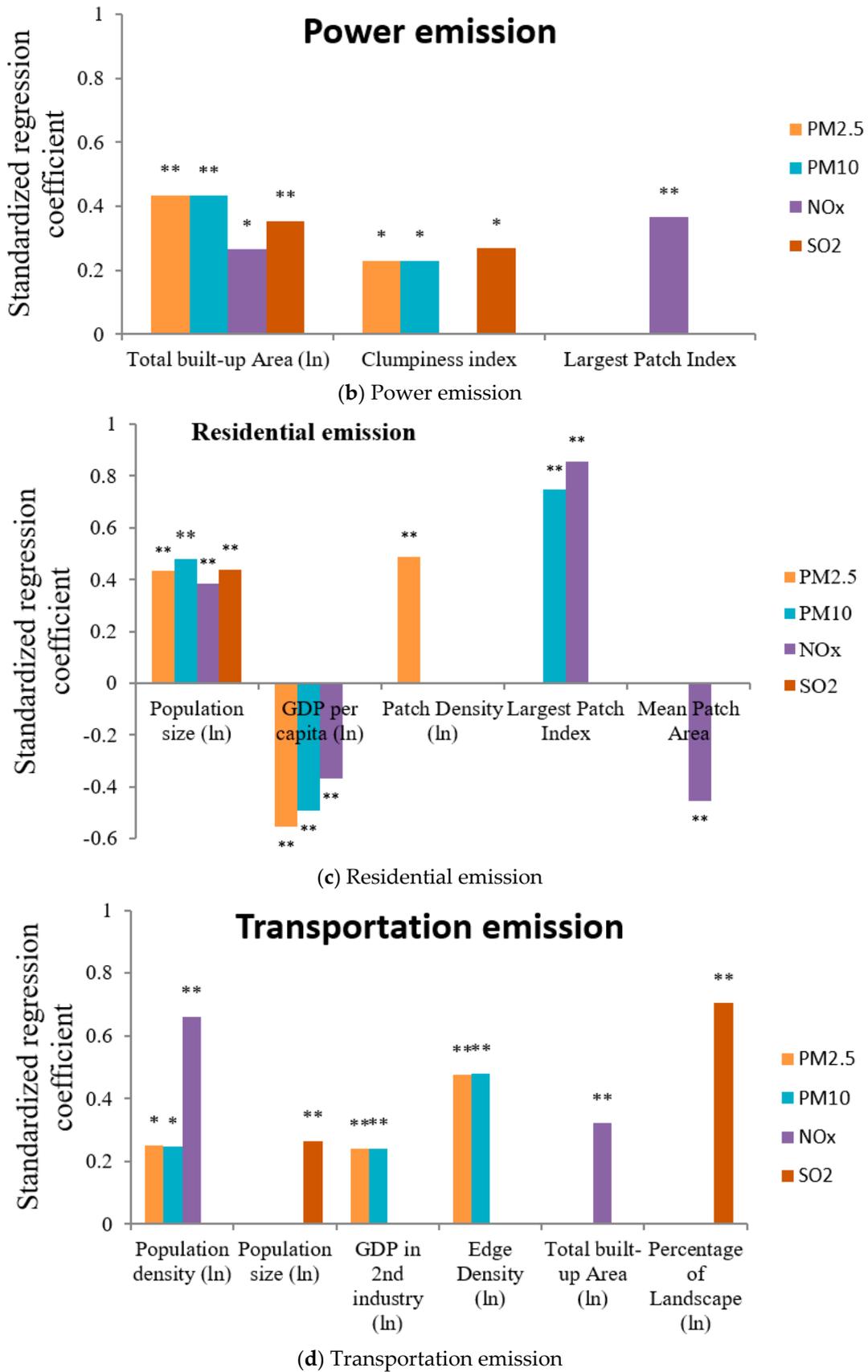
#### 3.2.1. Industrial Emissions

In general, air pollutant emissions from industry were positively correlated with socioeconomic factors and urban form metrics. The regression models performed well in predicting industry emissions of PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>x</sub>, and SO<sub>2</sub>, with adjusted R<sup>2</sup> values of 0.685, 0.707, 0.771, and 0.631, respectively (Table 2). More specifically, these regression models identified population density, per capita GDP of secondary industry, and clumpiness as the best predictor variables for PM<sub>2.5</sub> and PM<sub>10</sub> emissions; population density and per capita GDP as the best predictor variables for NO<sub>x</sub> emissions; and population density and GDP of secondary industry as the best predictor variables for SO<sub>2</sub> emissions (Figure 3a). All the above selected factors were significantly and positively correlated with the industry emissions of air pollutants (Figure 3a).



(a) Industry emission

Figure 3. Cont.



**Figure 3.** Standardized stepwise regression coefficients for predicting emissions of PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>x</sub>, and SO<sub>2</sub> based on socioeconomic and urban from factors. \*\* *p*-value < 0.01, \* *p*-value < 0.05.

**Table 2.** Stepwise regression results of air pollutant emissions from different sources in relation to socioeconomic and urban form factors for 69 Chinese cities.

Source	Type of Air Pollutant	R	Adj. R <sup>2</sup>	F-Value	p-Value
Industry	PM <sub>2.5</sub>	0.836	0.685	50.349	<0.001
	PM <sub>10</sub>	0.849	0.707	55.733	<0.001
	NO <sub>x</sub>	0.884	0.771	77.102	<0.001
	SO <sub>2</sub>	0.801	0.631	59.222	<0.001
Power Generation	PM <sub>2.5</sub>	0.546	0.277	14.022	<0.001
	PM <sub>10</sub>	0.544	0.275	13.873	<0.001
	NO <sub>x</sub>	0.572	0.307	16.079	<0.001
	SO <sub>2</sub>	0.5	0.227	10.981	<0.001
Residential Sector	PM <sub>2.5</sub>	0.683	0.441	18.894	<0.001
	PM <sub>10</sub>	0.676	0.432	18.255	<0.001
	NO <sub>x</sub>	0.768	0.564	23.004	<0.001
	SO <sub>2</sub>	0.439	0.181	15.983	<0.001
Transportation	PM <sub>2.5</sub>	0.875	0.755	70.815	<0.001
	PM <sub>10</sub>	0.875	0.754	70.536	<0.001
	NO <sub>x</sub>	0.874	0.757	107.001	<0.001
	SO <sub>2</sub>	0.867	0.744	99.606	<0.001

### 3.2.2. Emissions from Power Generation

Urban size and form had significant effects on air pollutant emissions from power generation. The values of adjusted R<sup>2</sup> of the regression models for power-generation emissions of PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>x</sub>, and SO<sub>2</sub> were 0.277, 0.275, 0.307, and 0.227, respectively (Table 2). Total built-up area and clumpiness index were the best predictor variables for emissions of PM<sub>2.5</sub>, PM<sub>10</sub>, and SO<sub>2</sub> (Figure 3b). Total built-up area and largest patch index of built-up area were the best predictor variables for NO<sub>x</sub> emissions (Figure 3b). A significantly positive relationship was observed between the power-generation emissions of air pollutants and the selected factors (Figure 3b).

### 3.2.3. Residential Emissions

In general, residential air pollutant emissions were positively correlated with urban size and form metrics, but negatively with economic factors. The values of adjusted R<sup>2</sup> of the regression models for residential emissions were 0.441, 0.432, 0.64, and 0.181 for PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>x</sub>, and SO<sub>2</sub>, respectively (Table 2). More specifically, residential emissions of PM<sub>2.5</sub> were positively correlated with population size and patch density, but negatively with per capita GDP. Residential emissions of NO<sub>x</sub> were positively correlated with population size and largest patch index of built-up area, but negatively with per capita GDP. Residential emissions of PM<sub>10</sub> were positively correlated with population size and largest patch index of built-up area, but negatively correlated with per capita GDP (Figure 3c). Only population size was significantly correlated with residential SO<sub>2</sub> emissions, and a positive relationship was observed between them (Figure 3c).

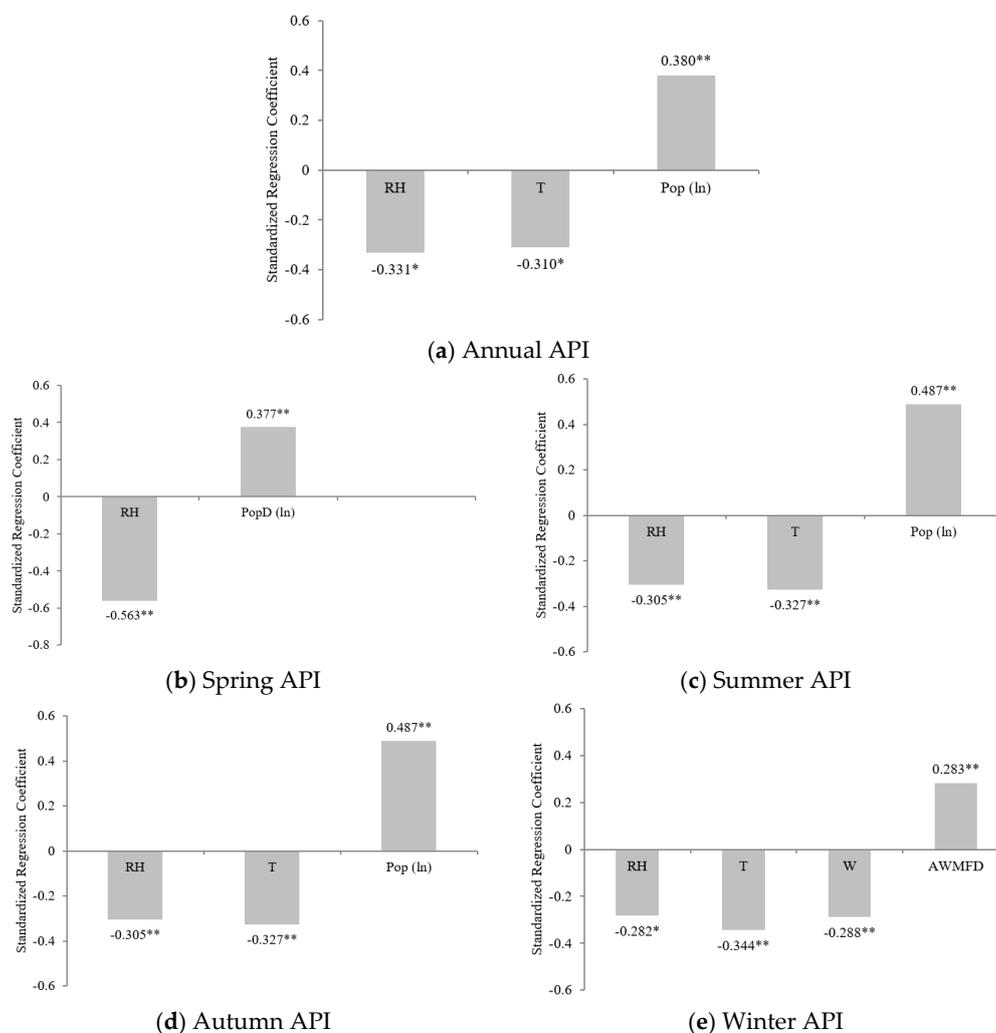
### 3.2.4. Transportation Emissions

Air pollutant emissions from transportation were positively correlated with socioeconomic factors and urban form metrics. The regression models performed well in predicting transportation emissions of PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>x</sub>, and SO<sub>2</sub>, with adjusted R<sup>2</sup> values of 0.755, 0.754, 0.757, and 0.744, respectively (Table 2). More specific, major determinants of transportation emissions of PM<sub>2.5</sub> and PM<sub>10</sub> selected by regression models were population density, GDP of secondary industry, and edge density (Figure 3d). Population density and total built-up area were the best predictors for transportation emissions of NO<sub>x</sub> (Figure 3d). Population size and percent built-up area were the two predictors for transportation

emissions of SO<sub>2</sub> (Figure 3d). All the above selected factors were significantly and positively correlated with the transportation emissions of air pollutants (Figure 3d).

### 3.3. Effects of Climatic, Socioeconomic, and Urban Form Factors on API

In general, API levels were positively correlated with socioeconomic factors (e.g., population size and density) and urban form metric (e.g., AWMFD), but negatively with climatic factors (e.g., humidity, precipitation, temperature, and wind). More specifically, the adjusted R<sup>2</sup> value of regression models for annual API was 0.408 ( $p$ -value < 0.001) (Table 3). The annual API was positively correlated with population size but negatively with relative humidity and temperature (Figure 4a). The values of adjusted R<sup>2</sup> of regression models for seasonal API were 0.309 ( $p$ -value < 0.001) in spring, 0.269 ( $p$ -value < 0.001) in summer, 0.124 ( $p$ -value = 0.005) in autumn, and 0.359 ( $p$ -value < 0.001) in winter (Table 3). Spring API was positively correlated with population density but negatively with relative humidity (Figure 4b); summer API was positively correlated with population size but negatively with relative humidity and temperature (Figure 4c); autumn API was positively correlated with population size but negatively with precipitation (Figure 4d); and winter API was positively correlated with AWMFD but negatively with humidity, temperature, and wind speed (Figure 4e).



**Figure 4.** (a–e). Standardized coefficients in stepwise regressions for predicting Air Pollution Index (API) based on socioeconomic, climatic, and urban form factors. T = temperature; P = precipitation; RH = relative humidity; W = wind speed; Pop = population; PopD = population density; AWMFD = area weighted mean fractal dimension of built-up area. \*\*  $p$ -value < 0.01, \*  $p$ -value < 0.05.

**Table 3.** Stepwise regression results of API in relation to climatic, socioeconomic, and urban form factors in different seasons for 69 Chinese cities.

Dependent Variable	R	Adj. R <sup>2</sup>	F-Value	p-Value
Annual API	0.665	0.408	12.704	<0.001
Spring API	0.574	0.309	16.181	<0.001
Summer API	0.549	0.269	9.348	<0.001
Autumn API	0.387	0.124	5.825	0.005
Winter API	0.63	0.359	10.512	<0.001

## 4. Discussion

### 4.1. Which Socioeconomic and Urban Form Factors Were Related to Pollutant Emissions?

Our results indicated that socioeconomic variables were the dominant factors in predicting industry and residential emissions of air pollutants, with population density being the most important factor for industry emissions (Figure 3). Population density as a social factor was consistently and positively correlated with all kinds of emissions, followed by economic factors including GDP of secondary industry or per capita GDP of secondary industry. This phenomenon was probably due to the fact that industry dominated the economy in densely populated Chinese cities, which generally emitted a large amount of air pollutants. Larger urban population size and higher density tended to generate more residential and transportation emissions, and thus had higher contributions in Figure 3c,d. We also found that high-income cities (per capita GDP) experienced lower residential emissions. This might result from tighter environmental regulations and more public expenditures for lowering air pollutants emissions in high-income cities [22]. Moreover, sprawling cities, with higher patch density, lower mean patch size, and smaller largest patch index of built-up area, tended to generate more emissions of air pollutants due to its lower energy use efficiency than compact cities. A recent study has reported that the central heating systems in Chinese cities may reduce the urban household energy consumptions and PM<sub>2.5</sub> emissions [50].

In contrast with the main factors for industrial and residential emissions, the composition and configuration attributes of the urban landscape were more important for explaining power and transportation emissions. Large cities, which had more extensive total built-up areas and more contiguous built-up areas (indicated by higher values of clumpiness index and largest patch index) tended to have greater power demands. However, parts of these power demands were fulfilled by those power plants located in other cities or even provinces, and needed a long distance power transportation. This discrepancy between local usage and emissions at other places may be the reason why the values of regression coefficients in the power generation sector were relatively lower than those values in industrial, residential, and transportation sectors (local emissions dominated). Moreover, fragmented cities with highly convoluted, irregular boundaries (higher edge density) were expected to have higher non-point emissions (primarily from automobiles) [16], as well as higher secondary aerosol contributions (e.g., NO<sub>2</sub> and SO<sub>2</sub>) to PM pollution [31]. In addition, higher GDP of secondary industry and population size (or population density) tend to have higher demands for transporting goods and commuting services, often leading to higher local air pollutant emissions.

### 4.2. How Did Effects of Climatic, Socioeconomic, and Urban Form Factors on Air Pollution Change with Season?

Our results show that all the three kinds of factors (climatic, socioeconomic, and urban form factors) may potentially affect API levels. Specifically, API levels were positively correlated with socioeconomic and urban form factors, but negatively with climatic factors. More precipitation helped decrease API levels due to the wet deposition effect [39]. In winter, relatively low horizontal wind speeds and higher urban shape complexity (fractal dimension) may hinder the transport of clean air over urban areas [29,30], thereby increasing API levels. More sunshine hours in winter

can increase surface temperature and enhance temperature gradients in the vertical direction, thus helping the dissipation of air pollution [51,52]. From an urban form perspective, cities with high shape complexity (higher AWMFD) may decrease accessibility and enhance mobility needs and emissions of air pollutants, and are expected to have higher air pollution levels [22].

#### 4.3. Implications for Urban Planning and Management

Our results indicate that improving energy efficiency and structure would be important to improve long-term air quality through implementing a series of economic, technological, and industrial policies. For example, Beijing has closed high-pollution industries [53,54], but it still needs to control coal consumption, improve energy efficiency, and promote cleaner energy. Moreover, tighter environmental regulations are helpful for reducing primary emissions of NO<sub>2</sub> and SO<sub>2</sub> and preventing high levels of secondary PM pollution [31]. From an urban form perspective, we found urban air pollution (including emissions of pollutants and API) generally increased with city and economic size and both the compositional and configurational attributes of urban form were related to air pollution. These findings also indicate that concerns with air pollution in China should also be addressed through spatial policies aiming at controlling the expansion of built-up areas and reducing urban fragmentation. Continuous urban areas enhance connectivity, reduce mobility requirements and car dependency, and promote cleaner transport options such as biking and walking [6,17,21]. In addition, enhancing atmospheric convection is helpful for preventing air pollution events. For example, designing wind corridors and decreasing building heights can facilitate pollutant dissipation.

## 5. Conclusions

Our study has disentangled the effects of socioeconomic, climatic, and urban form factors on air pollution in 69 Chinese cities and has produced several important findings. Overall, our result supports the view that a continuous and non-densely populated city can improve its air quality. China needs to take drastic measures to improve its air quality because long-term exposure in a high pollution environment has serious detrimental impacts on human health. The results of our study should be useful for designing effective urban planning and policies to control air pollution by explicitly recognizing major sources and drivers.

**Acknowledgments:** This research was supported by the Chinese Ministry of Science and Technology through the National Basic Research Program of China (2014CB954301, 2014CB954303) and the Fund for Creative Research Groups of National Natural Science Foundation of China (No. 41621061). We thank the members of the Center for Human-Environment System Sustainability (CHESS) at Beijing Normal University for their suggestions on this study. The National Earth System Science Data Sharing Infrastructure, National Science & Technology Infrastructure of China (<http://www.geodata.cn>) is also gratefully acknowledged for data supporting.

**Author Contributions:** Jianguo Wu and Deyong Yu conceived and designed the experiments; Yupeng Liu performed the experiments, analyzed the data, and wrote the paper; Jianguo Wu and Deyong Yu revised the paper.

**Conflicts of Interest:** The authors declare no conflict of interest.

## Appendix

**Table A1.** Descriptions of the selected landscape metrics in this study [49].

Landscape Metric	Equation	Unit	Description
Total Area (TA)	$TA = \sum_{j=1}^n a_{ij}$	km <sup>2</sup>	where $a_{ij}$ is the area (km <sup>2</sup> ) of a patch $ij$ .
Patch Density (PD)	$PD = \frac{n_i}{A}$	Number of patches per km <sup>2</sup>	where $n_i$ is the number of patches of class $i^*$ and $A$ is the total landscape area (km <sup>2</sup> ).

Table A1. Cont.

Landscape Metric	Equation	Unit	Description
Mean Patch Area (MPA)	$MPA = \frac{\sum_{i=1}^n a_{ij}}{n_i}$	km <sup>2</sup>	where $a_{ij}$ is the area (km <sup>2</sup> ) of a patch $ij$ and $n_i$ is the number of patches of class $i^*$ .
Percentage of Landscape (PLAND)	$PLAND = \frac{\sum_{i=1}^n a_{ij}}{A} \times 100$	%	where $a_{ij}$ is the area (km <sup>2</sup> ) of a patch $ij$ and $A$ is the total landscape area (km <sup>2</sup> ). The result is multiplied by 100 to convert to percentage.
Largest Patch Index (LPI)	$LPI = \frac{\sum_{i=1}^n \max(a_{ij})}{A} \times 100$	%	where $\max(a_{ij})$ is the area (km <sup>2</sup> ) of the largest patch $ij$ and $A$ is the total landscape area (km <sup>2</sup> ). The result is multiplied by 100 to convert to percentage.
Area Weighted Mean Fractal Dimension (AWMFD)	$AWMFD = \frac{\sum_{i=1}^m \sum_{j=1}^n \left[ \left( \frac{2 \ln(0.25 p_{ij})}{\ln(a_{ij})} \right) \left( \frac{a_{ij}}{A} \right) \right]}{m}$	–	where $m$ is the number of patch types, $n$ is the number of patches of a class, $p_{ij}$ is the perimeter (m) of patch $ij$ , $a_{ij}$ is the area (m) of patch $ij$ , and $A$ is the total landscape area (m <sup>2</sup> ).
Edge density (ED)	$ED = \frac{E_i}{A_i}$	km per km <sup>2</sup>	where $E_i$ is the total length of patch edges of class $i^*$ and $A_i$ is the total area of patches of class $i^*$ .
Landscape Shape Index (LSI)	$LSI = \frac{0.25 E_i}{\sqrt{A_i}}$	–	where $E_i$ is the total length of patch edges of class $i^*$ and $A_i$ is the total area of patches of class $i^*$ .
Clumpiness index (CLUMPY)	$G_i = \left( \frac{g_{ii}}{\sum_{k=1}^m g_{ik}} \right)$ $CLUMPY = \begin{cases} \frac{G_i - P_i}{1 - P_i} & G_i \geq P_i \\ \frac{G_i - P_i}{1 - P_i} & G_i < P_i; P_i \geq .5 \\ \frac{G_i - P_i}{-P_i} & G_i < P_i; P_i < .5 \end{cases}$	–	Where $g_{ii}$ is the number of like adjacencies between pixels of class $i^*$ , $g_{ik}$ is the number of adjacencies between pixels of class $i^*$ and class $k$ , and $P_i$ is the proportion of the landscape occupied by patch type $i^*$ .
Aggregation Index (AI)	$AI = \frac{g_{ii}}{\max - g_{ii}} \times 100$	%	where $g_{ii}$ is the number of like adjacencies (joins) between pixels of class $i^*$ and $\max - g_{ii}$ is the maximum number of like adjacencies between pixels of class $i^*$ . The result is multiplied by 100 to convert to percentage.

## References

- Wu, J.; Xiang, W.; Zhao, J. Urban ecology in China: Historical developments and future directions. *Landsc. Urban Plan.* **2014**, *125*, 222–233. [[CrossRef](#)]
- Liu, Z.; He, C.; Zhou, Y.; Wu, J. How much of the world's land has been urbanized, really? A hierarchical framework for avoiding confusion. *Landsc. Ecol.* **2014**, *29*, 763–771. [[CrossRef](#)]
- Shao, M.; Tang, X.; Zhang, Y.; Li, W. City clusters in China: Air and surface water pollution. *Front. Ecol. Environ.* **2006**, *4*, 353–361. [[CrossRef](#)]
- Lue, Y.; Liu, L.Y.; Hu, X.; Wang, L.; Guo, L.L.; Gao, S.Y.; Zhang, X.X.; Tang, Y.; Qu, Z.Q.; Cao, H.W.; et al. Characteristics and provenance of dustfall during an unusual floating dust event. *Atmos. Environ.* **2010**, *44*, 3477–3484. [[CrossRef](#)]
- Huang, G. PM<sub>2.5</sub> opened a door to public participation addressing environmental challenges in China. *Environ. Pollut.* **2015**, *197*, 313–315. [[CrossRef](#)] [[PubMed](#)]
- Bechle, M.J.; Millet, D.B.; Marshall, J.D. Effects of income and urban form on urban NO<sub>2</sub>: Global evidence from satellites. *Environ. Sci. Technol.* **2011**, *45*, 4914–4919. [[CrossRef](#)] [[PubMed](#)]
- Schwartz, J.; Dockery, D.W.; Neas, L.M. Is daily mortality associated specifically with fine particles? *J. Air Waste Manag. Assoc.* **1996**, *46*, 927–939. [[CrossRef](#)] [[PubMed](#)]
- Pope, C.A.; Dockery, D.W. Health effects of fine particulate air pollution: Lines that connect. *J. Air Waste Manag. Assoc.* **2006**, *56*, 709–742. [[CrossRef](#)] [[PubMed](#)]
- Van Donkelaar, A.; Martin, R.V.; Brauer, M.; Boys, B.L. Use of satellite observations for long-term exposure assessment of global concentrations of fine particulate matter. *Environ. Health Perspect.* **2015**, *123*, 135–143. [[CrossRef](#)] [[PubMed](#)]

10. Ma, Z.; Hu, X.; Sayer, A.M.; Levy, R.; Zhang, Q.; Xue, Y.; Tong, S.; Bi, J.; Huang, L.; Liu, Y. Satellite-based spatiotemporal trends in PM<sub>2.5</sub> concentrations: China, 2004–2013. *Environ. Health Perspect.* **2016**, *124*, 184–192. [[CrossRef](#)] [[PubMed](#)]
11. Liu, Y.; Wu, J.; Yu, D. Characterizing spatiotemporal patterns of air pollution in China: A multiscale landscape approach. *Ecol. Indic.* **2017**, *76*, 344–356. [[CrossRef](#)]
12. Wang, L.; Xu, J.; Yang, J.; Zhao, X.; Wei, W.; Cheng, D.; Pan, X.; Su, J. Understanding haze pollution over the southern Hebei area of China using the CMAQ model. *Atmos. Environ.* **2012**, *56*, 69–79. [[CrossRef](#)]
13. Fritze, J.J. Urbanization, energy, and air pollution in China. In *Urbanization, Energy, and Air Pollution in China*; The National Academies Press: Washington, DC, USA, 2004; p. 1.
14. Gurney, K.R.; Mendoza, D.L.; Zhou, Y.; Fischer, M.L.; Miller, C.C.; Geethakumar, S.; de la Rue du Can, S. High resolution fossil fuel combustion CO<sub>2</sub> emission fluxes for the United States. *Environ. Sci. Technol.* **2009**, *43*, 5535–5541. [[CrossRef](#)] [[PubMed](#)]
15. Mendoza, D.; Gurney, K.R.; Geethakumar, S.; Chandrasekaran, V.; Zhou, Y.; Razlivanov, I. Implications of uncertainty on regional CO<sub>2</sub> mitigation policies for the U.S. onroad sector based on a high-resolution emissions estimate. *Energy Policy* **2013**, *55*, 386–395. [[CrossRef](#)]
16. Bereitschaft, B.; Debbage, K. Urban form, air pollution, and CO<sub>2</sub> emissions in large U.S. metropolitan areas. *Prof. Geogr.* **2013**, *65*, 612–635. [[CrossRef](#)]
17. Borrego, C.; Martins, H.; Tchepel, O.; Salmim, L.; Monteiro, A.; Miranda, A.I. How urban structure can affect city sustainability from an air quality perspective. *Environ. Model. Softw.* **2006**, *21*, 461–467. [[CrossRef](#)]
18. Lv, B.; Cao, N. Environmental performance evaluation of Chinese urban form. *Urban Stud.* **2011**, *18*, 38–47. (In Chinese)
19. Requia, W.J.; Roig, H.L.; Koutrakis, P.; Rossi, M.S. Mapping alternatives for public policy decision making related to human exposures from air pollution sources in the Federal District, Brazil. *Land Use Policy* **2016**, *59*, 375–385. [[CrossRef](#)]
20. Tao, M.; Chen, L.; Wang, Z.; Ma, P.; Tao, J.; Jia, S. A study of urban pollution and haze clouds over northern China during the dusty season based on satellite and surface observations. *Atmos. Environ.* **2014**, *82*, 183–192. [[CrossRef](#)]
21. Martins, H. Urban compaction or dispersion? An air quality modelling study. *Atmos. Environ.* **2012**, *54*, 60–72. [[CrossRef](#)]
22. Cárdenas Rodríguez, M.; Dupont-Courtade, L.; Oueslati, W. Air pollution and urban structure linkages: Evidence from European cities. *Renew. Sustain. Energy Rev.* **2016**, *53*, 1–9. [[CrossRef](#)]
23. Grossman, G.M.; Krueger, A.B. Environmental Impacts of a North American Free Trade Agreement. NBER Working Paper No. w3914. 1991. Available online: <https://ssrn.com/abstract=232073> (accessed on 1 May 2017).
24. Cho, H.-S.; Choi, M. Effects of compact urban development on air pollution: Empirical evidence from Korea. *Sustainability* **2014**, *6*, 5968–5982. [[CrossRef](#)]
25. Gaigné, C.; Riou, S.; Thisse, J.-F. Are compact cities environmentally friendly? *J. Urban Econ.* **2012**, *72*, 123–136. [[CrossRef](#)]
26. Stone, B. Urban sprawl and air quality in large US cities. *J. Environ. Manag.* **2008**, *86*, 688–698. [[CrossRef](#)] [[PubMed](#)]
27. Mansfield, T.J.; Rodriguez, D.A.; Huegy, J.; MacDonald Gibson, J. The effects of urban form on ambient air pollution and public health risk: A case study in Raleigh, North Carolina. *Risk Anal.* **2015**, *35*, 901–918. [[CrossRef](#)] [[PubMed](#)]
28. Lu, C.; Liu, Y. Effects of China's urban form on urban air quality. *Urban Stud.* **2015**, *53*, 2607–2623. [[CrossRef](#)]
29. Hess, P.; Kinnison, D.; Tang, Q. Ensemble simulations of the role of the stratosphere in the attribution of northern extratropical tropospheric ozone variability. *Atmos. Chem. Phys.* **2015**, *15*, 2341–2365. [[CrossRef](#)]
30. Jiang, N.; Dirks, K.N.; Luo, K.H. Effects of local, synoptic and large-scale climate conditions on daily nitrogen dioxide concentrations in Auckland, New Zealand. *Int. J. Climatol.* **2014**, *34*, 1883–1897. [[CrossRef](#)]
31. Huang, R.; Zhang, Y.; Bozzetti, C.; Ho, K.; Cao, J.; Han, Y.; Daellenbach, K.R.; Slowik, J.G.; Platt, S.M.; Canonaco, F.; et al. High secondary aerosol contribution to particulate pollution during haze events in China. *Nature* **2014**, *514*, 218–222. [[CrossRef](#)] [[PubMed](#)]
32. Shi, T.; Liu, Y.; Zhang, L.; Hao, L.; Gao, Z. Burning in agricultural landscapes: An emerging natural and human issue in China. *Landsc. Ecol.* **2014**, *29*, 1785–1798. [[CrossRef](#)]

33. Zhang, X.; Wang, Y.; Lin, W.; Zhang, Y.; Zhang, X.; Zhao, P.; Yang, Y.; Wang, J.; Hou, Q.; Che, H.; et al. Changes of atmospheric composition and optical properties over Beijing—2008 Olympic Monitoring Campaign. *Bull. Am. Meteorol. Soc.* **2009**, *90*, 1633–1651. [[CrossRef](#)]
34. Li, M.; Zhang, Q.; Kurokawa, J.; Woo, J.H.; He, K.B.; Lu, Z.; Ohara, T.; Song, Y.; Streets, D.G.; Carmichael, G.R.; et al. Mix: A mosaic Asian anthropogenic emission inventory for the MICS-Asia and the HTAP projects. *Atmos. Chem. Phys. Discuss.* **2015**, *15*, 34813–34869. [[CrossRef](#)]
35. Clark, L.P.; Millet, D.B.; Marshall, J.D. Air quality and urban form in U.S. Urban areas: Evidence from regulatory monitors. *Environ. Sci. Technol.* **2011**, *45*, 7028–7035. [[CrossRef](#)] [[PubMed](#)]
36. Tsai, Y.-H. Quantifying urban form: Compactness versus ‘sprawl’. *Urban Stud.* **2005**, *42*, 141–161. [[CrossRef](#)]
37. Ewing, R.; Schieber, R.A.; Zegeer, C.V. Urban sprawl as a risk factor in motor vehicle occupant and pedestrian fatalities. *Am. J. Public Health* **2003**, *93*, 1541–1545. [[CrossRef](#)] [[PubMed](#)]
38. Chrysoulakis, N.; Lopes, M.; San José, R.; Grimmond, C.S.B.; Jones, M.B.; Magliulo, V.; Klostermann, J.E.M.; Synnefa, A.; Mitraka, Z.; Castro, E.A.; et al. Sustainable urban metabolism as a link between bio-physical sciences and urban planning: The bridge project. *Landsc. Urban Plan.* **2013**, *112*, 100–117. [[CrossRef](#)]
39. Elminir, H.K. Dependence of urban air pollutants on meteorology. *Sci. Total Environ.* **2005**, *350*, 225–237. [[CrossRef](#)] [[PubMed](#)]
40. Irwin, E.G.; Bockstael, N.E. The evolution of urban sprawl: Evidence of spatial heterogeneity and increasing land fragmentation. *Prac. Natl. Acad. Sci. USA* **2007**, *104*, 20672–20677. [[CrossRef](#)] [[PubMed](#)]
41. Buyantuyev, A.; Wu, J.; Gries, C. Multiscale analysis of the urbanization pattern of the phoenix metropolitan landscape of USA: Time, space and thematic resolution. *Landsc. Urban Plan.* **2010**, *94*, 206–217. [[CrossRef](#)]
42. Buyantuyev, A.; Wu, J. Urban heat islands and landscape heterogeneity: Linking spatiotemporal variations in surface temperatures to land-cover and socioeconomic patterns. *Landsc. Ecol.* **2010**, *25*, 17–33. [[CrossRef](#)]
43. Wu, J.; Jenerette, G.D.; Buyantuyev, A.; Redman, C.L. Quantifying spatiotemporal patterns of urbanization: The case of the two fastest growing metropolitan regions in the United States. *Ecol. Complex.* **2011**, *8*, 1–8. [[CrossRef](#)]
44. Li, C.; Li, J.; Wu, J. Quantifying the speed, growth modes, and landscape pattern changes of urbanization: A hierarchical patch dynamics approach. *Landsc. Ecol.* **2013**, *28*, 1875–1888. [[CrossRef](#)]
45. Li, J.; Li, C.; Zhu, F.; Song, C.; Wu, J. Spatiotemporal pattern of urbanization in Shanghai, China between 1989 and 2005. *Landsc. Ecol.* **2013**, *28*, 1545–1565. [[CrossRef](#)]
46. Wu, J.; Shen, W.; Sun, W.; Tueller, P.T. Empirical patterns of the effects of changing scale on landscape metrics. *Landsc. Ecol.* **2002**, *17*, 761–782. [[CrossRef](#)]
47. Wu, J. Effects of changing scale on landscape pattern analysis: Scaling relations. *Landsc. Ecol.* **2004**, *19*, 125–138. [[CrossRef](#)]
48. Chen, J.; Zhu, L.; Fan, P.; Tian, L.; Laforteza, R. Do green spaces affect the spatiotemporal changes of PM<sub>2.5</sub> in Nanjing? *Ecol. Process.* **2016**, *5*, 7. [[CrossRef](#)] [[PubMed](#)]
49. McGarigal, K.; Cushman, S.A.; Ene, E. FRAGSTATS V4: Spatial Pattern Analysis Program for Categorical and Continuous Maps. Computer Software Program Produced by the Authors at the University of Massachusetts Amherst: Amherst, MA, USA, 2012. Available online: <http://www.umass.edu/landeco/research/fragstats/fragstats.html> (accessed on 5 January 2017).
50. Guan, D.; Su, X.; Zhang, Q.; Peters, P.G.; Liu, Z.; Lei, Y.; He, K. The socioeconomic drivers of China’s primary PM<sub>2.5</sub> emissions. *Environ. Res. Lett.* **2014**, *9*, 024010. [[CrossRef](#)]
51. Pardyjak, E.R.; Fernando, H.; Joseph, S.; Hunt, J.C.R.G.; Anderson, J. A case study of the development of nocturnal slope flows in a wide open valley and associated air quality implications. *Meteorol. Z.* **2009**, *18*, 85–100. [[CrossRef](#)] [[PubMed](#)]
52. Pope, R.; Wu, J. Characterizing air pollution patterns on multiple time scales in urban areas: A landscape ecological approach. *Urban Ecosyst.* **2014**, *17*, 855–874. [[CrossRef](#)]
53. Gao, J.; Yuan, Z.; Liu, X.; Xia, X.; Huang, X.; Dong, Z. Improving air pollution control policy in China—A perspective based on cost–benefit analysis. *Sci. Total Environ.* **2016**, *543*, 307–314. [[CrossRef](#)] [[PubMed](#)]
54. Zhang, H.; Wang, S.; Hao, J.; Wang, X.; Wang, S.; Chai, F.; Li, M. Air pollution and control action in Beijing. *J. Clean. Prod.* **2016**, *112*, 1519–1527. [[CrossRef](#)]

