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# Rice Cultivation Methods and Their Sustainability Aspects: Organic and Conventional Rice Production in Industrialized Tropical Monsoon Asia with a Dual Cropping System

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Abstract: Options to tackle the sustainability challenges faced in the production of rice, including global and local environmental perspectives, need to be discussed. Here, the global warming potential, water consumption and cumulative energy demand were analyzed using a life-cycle assessment to highlight the sustainability aspects of rice production in Taiwan, where a mixed organic and conventional rice production with a dual cropping system is practiced. The results show that the conventional farming method practiced in Houbi district contributes less to global warming and annual water consumption and consumes less energy than the organic method practiced in Luoshan village on a grain weight basis. It is also more lucrative for farmers because of the higher rice yield. Considering the yield ratio based on the data from two districts, the regional characteristics are more responsible for these differences. Giving up dual cropping to avail water to other sectors by fallowing during the second cropping season is preferable from the GHG emission and productivity perspectives. However, because water shortages usually occur in the first cropping season, it is more realistic to fallow during the first cropping season when domestic and other industrial users have the higher priority. The results presented here can serve as the foundation for exploring the possibilities of options, such as new biorefinery technologies and water allocation policies, in relation to influences on GHG emissions and the national self-sufficiency of rice.

**Keywords:** life-cycle assessment; rice; organic farming; water consumption; energy consumption; greenhouse gas emissions

# 1. Introduction

Rice (*Oryza sativa*) is an important crop worldwide. In 2013, the total world production was 741 million tons, with 91% from Asia [1]. Many of the leading rice-producing countries are in tropical monsoon Asia, such as Thailand, Indonesia and India. Unlike Japan, where there is only one cropping season (CS) in a year [2], some of the countries in tropical monsoon Asia grow rice two or three times a year [3,4], owing to high temperatures, sufficient solar irradiation and abundant water. Taiwan is located on the Tropic of Cancer: the northern part of the island has a subtropical monsoon climate, while the southern part has a tropical monsoon climate. Similar to the other tropical monsoon countries, it is a suitable place for cultivating rice, and there are two CSs in a year.

The rice industry is also known to be a major source of greenhouse gas (GHG) emissions and a consumer of fresh water [5–7]. GHG emissions have been considered a cause of climate change [8],

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and fresh water is a natural resource that humans need for cooking, drinking and washing, as well as for industrial manufacturing. In tropical monsoon Asia, industrialization is increasing. The industrial demand for water competes with the agricultural demand, especially for rice because of the huge water consumption of rice paddies.

The dilemma between economic growth and protection of agricultural sectors caused by water resource scarcity has recently been apparent in Taiwan. To ensure that an adequate water supply exists for the industrial area where optoelectronics and semiconductor industries are concentrated, the government asked farmers to keep their farms fallow when water in the area is limited [9]. The other resource used widely in the rice industry is energy. Energy use itself may not directly affect the environment; however, the construction and operation of power plants, by means of local land use, influence biodiversity and degrade air quality. Considering energy therefore serves as a proxy for many environmental impacts other than global warming and water consumption.

The other challenge for the rice industry, as for other agricultural industries, is the ageing of farmers. In Taiwan, for example, the average age of farmers was 58.6 years in 2000 and 61.2 years in 2005 [10], while the average retirement age is 56.6 [11]. The average age of rice farmers is almost 70 [12]. This shows the reluctance of the Taiwanese working-age population to take up farming as a career. Tsai [13] pointed out that the lack of willingness is mainly due to the low and unstable income of farmers. This is a threat to the sustainability of agriculture and the food security of Taiwan, which is ~34% self-sufficient. However, the domestic sufficiency for rice was around 107% in Taiwan in 2011 [14]. Therefore, there is an opportunity for the industry to shift to added value, environmentally-friendly production methods that can attract young workers.

Organic agriculture has been considered as one of the potential ways to address these challenges. It may reduce the energy consumption and environmental impacts, such as GHG emissions [15–18]. Organic products also tend to command higher prices than conventional ones. In addition, there are policies in Taiwan to subsidize organic farmers [19]. However, it is not yet clear to what extent the higher cost of organic rice farming will lead to environmental and economic sustainability or whether it is able to provide a sufficient amount of rice to consumers. The introduction of new technologies or production systems in combination with rice production may be other options that can attract young generations. The production of biofuels, or the rotation of the farm with other crops, such as sugarcane, or microalgae for the production of high added-value chemicals may be candidates. In considering such options, benchmark information for current rice production practices on economic and environmental aspects is necessary.

Here, the environmental and economic aspects of organic and conventional rice production in tropical monsoon Asia with a dual cropping system are assessed based on case studies in Taiwan. No judgement is made about whether organic or conventional rice production is better, because assessing only some of the impacts might not reflect the overall sustainability of the systems [20]. Instead, the reasons for the differences exhibited by these two farming methods are discussed via life-cycle assessment (LCA) and the interviews conducted.

# 2. Materials and Methods

## 2.1. Study Area

The assessment of conventional rice production was based on farms in Houbi District, Tainan City, in southwestern Taiwan, with 3500 hectares of rice paddies. Houbi is known as one of the largest granaries in Taiwan [21]. The organic farms assessed in this study were located in Luoshan, Fuli Township, Hualien County, eastern Taiwan. We chose different regions for our assessment because 65% of the organic rice paddies are in eastern Taiwan (38% located in Hualien County), but only 13% of the organic rice paddies are in southern Taiwan (0.9% located in Tainan City) [22]; the organic rice farms in eastern Taiwan would be more representative of the organic rice industry in Taiwan.

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The reasons for this distribution may be, for example, the policy of the region and the willingness of the farmers [23,24].

The organic and conventional farms were in different regions of Taiwan, but share many characteristics. The latitude of the two locations was similar. In addition, both of the soils in Houbi and Luoshan have mostly a loamy texture [25,26]. The quality of the rice from these regions is regarded as very high in Taiwan [27,28]. Further information to characterize the farms in Houbi and Luoshan is shown in Table 1.

#### 2.2. Methods and Data

The environmental impacts of the conventional and organic rice produced in a dual cropping system were studied using LCA, a method that has been applied widely in the agricultural field. Meisterling *et al.* [17] used LCA case studies to discuss decisions to reduce GHGs from organic and conventional wheat. Brentrup *et al.* [29] reported how to adapt the LCA methodology to assess agricultural systems. Fukushima and Chen [30] assessed GHG emissions from sugarcane farming in Taiwan. Roy *et al.* [31] reviewed LCA studies on different food products. According to ISO 14040 (ISO 2006), there are four main stages in LCA: (1) goal and scope definition; (2) inventory analysis; (3) impact assessment; and (4) interpretation. There were two system boundaries: rice cultivation included only on-farm activities, while rice production also included the post-harvest processes (milling and refinery). The results were discussed on both an area basis (per fen) and a dried mass basis (per kg-dry grain and per kg-dried rice).

**Table 1.** Climatic- and rice cultivation-related information for conventional and organic farms of this study. The commonly-used local unit for area is fen, which is 0.1 hectares.

	Conventional Farm	Organic Farm *	
Location	Houbi District, Tainan City (southwestern Taiwan)	Luoshan, Fuli Township, Hualien County (eastern Taiwan)	
	Average (30 years): 24.3 °C	Average (30 years): 23.4 °C	
Temperature [32]	Lowest: 17.6 (January)	Lowest: 18.0 °C (January)	
	Highest: 29.2 °C (July)	Highest: 28.5 °C (July)	
	Annual: 1698.2 mm	Annual: 2176.8 m	
Precipitation [32]	Lowest: 14.4 mm (December)	Lowest: 62.2 mm (January)	
	Highest: 395.1 mm (August)	Highest: 399.2 mm (September)	
Crop season (CS) [33,34]	First: January–May	First: January–May	
Crop season (C3) [33,34]	Second: July-October or early November	Second: July-October or early November	
Viold of vice [22,24]	First CS: 810–990 kg·dry·grain·fen <sup>-1</sup>	First and second CS:	
Yield of rice [33,34]	Second CS: 585–630 kg·dry·grain·fen <sup>-1</sup>	- 420–540 kg·dry·grain·fen <sup>-1</sup>	
Rice breed [33,34]	Taigeng No. 9	Kaohsiung No. 139	
Seeding density [33–35]	9.6 kg·seed·fen <sup>-1</sup> ·CS <sup>-1</sup>	5.5 kg·seed·fen <sup>-1</sup> ·CS <sup>-1</sup>	
Farming activities [33,34] Ploughing (twice), transplanting (once applying fertilizer and pesticide (4 times and harvesting (once)		Ploughing (2–3 times), transplanting (once), organic fertilizer and organic pesticide applying (2–3 times), weeding (2–4 times), harvesting (once)	

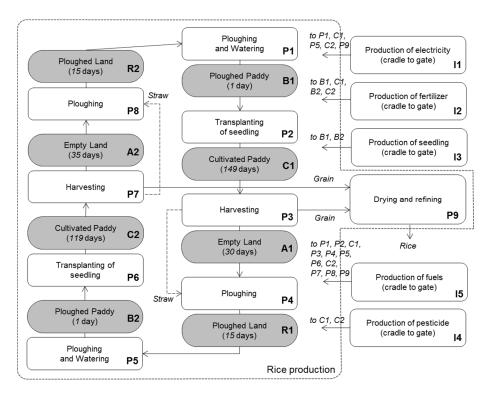
<sup>\*</sup> Sometimes, organic farms need more farming activities (e.g., ploughing and weeding) because they depend more on the annual conditions and farm dynamics.

## 2.2.1. Goal and Scope Definition

The scope of the study (Figure 1) includes the agricultural processes (*i.e.*, ploughing, watering, seedling transplanting, farm management activities, such as fertilizing and pesticide spraying, and harvesting) and post-harvest processes (*i.e.*, drying and refining). The farm management activities were not shown as an individual process, but were included in cultivation (C) boxes. I boxes show the

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inputs of the system. P boxes represent the processes involved. B, C, A and R boxes represent the status of the paddies, where B means before cultivation, C means cultivation, A means after cultivation and R means with residues (rice straw) as green manure. Transportation of fertilizer, pesticide and machinery from stores or suppliers to farms was included, but the transportation of fertilizer and pesticide from factory to stores, grains from farms to mill factory and rice from mill factory to consumers was excluded from the scope of the study.



**Figure 1.** Scope of the analysis for rice production in Taiwan. I: inputs; P: processes. B, C, A, and R are the condition of the rice paddy with its duration in a year, which are before cultivation, cultivation, after cultivation and with residues, respectively. P1–8 take place on farm, while P9 is operated in a factory.

Fresh water consumption (m³), cumulative energy demand (energy consumption, MJ) and GHG emissions (kg-CO<sub>2</sub> equiv.) were analyzed. Open burning of rice straw was one of the major sources of air pollution until the prohibition of open burning [36]; however, this is not the case any longer. Therefore, the impacts brought by open burning were not included in this study.

GHG emissions included the emissions of carbon dioxide ( $CO_2$ ), methane ( $CH_4$ ) and nitrous oxide ( $N_2O$ ). They were converted into  $CO_2$ -equiv. using global warming potential (GWP) with a timeframe of 100 years, where the GWPs of  $CO_2$ ,  $CH_4$  and  $N_2O$  were 1, 25 and 298, respectively [37]. Both the direct (e.g., from the burning of diesel and from rice paddies) and indirect emissions (e.g., from producing diesel, fertilizer and pesticide) were considered. However, GHG emissions from rice paddies (boxes B, C, A and R) include only  $CH_4$  and  $N_2O$ . Miyata *et al.* [38] and Yao and Chen [39] found that when paddies are flooded, the  $CO_2$  fluxes are very low. Because during the cropping seasons, rice paddies are mostly flooded, Yao and Chen [39] suggested that it is not necessary to discuss the  $CO_2$  emission from soil when analyzing the  $CO_2$  fluxes of rice, but the photosynthesis and respiration. Gathorne-Hardy [40] also claimed that even though methanogenesis produces equal amounts of  $CO_2$  and  $CH_4$ , from the climate perspective, it is the quantity of  $CH_4$  that reaches the atmosphere that matters. Regarding the  $CO_2$  fluxes from photosynthesis and respiration, we used the assumption that they are balanced when the crops are consumed and that the same quantity is released into the atmosphere [41,42], so  $CO_2$  was excluded from the calculation of GHG emissions from rice paddies.

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Water consumption was calculated for boxes P1, C1, P5, C2 and I3 according to the farming activities [33,34]. Water used for the irrigation and production of seedlings (seed immersing, soil preparation and the growth of seedlings) was included.

The calculation of energy consumption considered both direct (energy used on the farm, e.g., diesel and electricity) and indirect (energy used outside of the farm, e.g., energy used in producing fertilizer and pesticide) energy input [43]. However, only fossil energy was considered in this study, but the inputs of solar radiation and labor were excluded [43], because the use of fossil energy becomes very insignificant in the total energy flow when solar energy is included [44], and human labor and fossil energy are too different to be expressed in the same units [45]. In our case studies, the conventional farms were located on the plains of southwestern Taiwan, but the organic farms were in eastern Taiwan and surrounded by mountains. In eastern Taiwan, there is no clear dry season [32]; therefore, the demand for the irrigation of farms should be less than that of farms in southwestern Taiwan, where the dry season occurs around November–April [32] and covers almost the entire first CS. The different geographic conditions may also result in different irrigation methods and distance of goods transportation. Nevertheless, the GHG emissions from rice paddies could also be influenced by the different locations because of their different temperatures and soil conditions [46].

To explore potentials for a lower GHG, more profitable and less water consuming transition to organic farming from conventional farming, a sensitivity analysis on yield scenarios was performed. Data for a virtual organic farm located in the same area as the conventional farms was developed. Namely, the distance of goods transportation, demand for irrigation water, irrigation method and subsequent energy consumption, GHG emissions and costs were assumed to be the same as those for conventional farms. The corresponding GHG emission factors from rice paddies to the new setting were also used; these emission factors are described in the following section. The yield of the virtual farm was assumed to take a range of yield (-10%-+30%) of the yield of the organic farm in eastern Taiwan).

Another sensitivity analysis was performed to assess the consequences of leaving the rice fields fallow in each CS for conventional and organic farms, on GHG emission and water saving.

# 2.2.2. Life Cycle Inventory Analysis

Inventory data were collected from three sources: interviews (two conventional rice farmers, one organic rice farmer, one seedling farmer, one mill factory and one from the Farmers' Association of Houbi District), the literature and databases. The data used and their sources are summarized in Table 2. The details of each data category are described in the following section. Of these, the power consumption of machinery, the type and amount of synthetic chemical and organic compounds used, seedling preparation and the drying and refining of rice grain were individual farm (P1–8 in Figure 1) or individual factory (P9) data, while the other data were regionally averaged. The interviewed farms, farmers and factory were recommended by the local farmers' associations to represent the regional situation.

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Table 2. Data sources of rice production process. Lai, Lin and Lee are farmers in the study area.

Data Category		Source
Field operat	ion	
Type of machinery, distance between machinery suppliers and farms, time for working on fields Efficiency of engine, size of paddy GHG emissions and cumulative energy demand of the machinery	-	Lai [33] and Lin [34] Literature review Database: Ecoinvent Centre [47], National Renewable Energy Laboratory [48] and Institute for Environment and Sustainability [49]
Chemicals and Organ	ic co	mpounds
Type, amount and active ingredients GHG emissions and cumulative energy demand	-	Lai [33], Lin [34] and literature review Database: Ecoinvent Centre [47] and Nielsen <i>et al.</i> [50]
Seedling		
Type and power of machinery, the amount of chemicals and organic compounds, amount of water and amount of seed Active ingredients of chemicals and organic compounds GHG emissions and cumulative energy demand of the use of chemicals, organic compounds and machinery		Lee [35] Literature review Database: Ecoinvent Centre [47]
Irrigation	ı	
Ways to conduct water into paddy, electricity bill Regional irrigation water used, price of electricity GHG emissions and cumulative energy demand	- - -	Lai [33] and Lin [34] Literature review Database: Ecoinvent Centre [47] and Bureau of Energy, Taiwan [51]
Rice padd	ies	
GHG emissions from rice paddy	-	Literature review
Drying and re	finin	g
Type of machinery, cost of electricity, work hours GHG emissions and cumulative energy demand of the machinery	-	Lin [52] Database: Ecoinvent Centre [47] and Bureau of Energy, Taiwan [51]

# (1) Field Operations

Farm owners usually hire workers who own machines to conduct the farming activities, such as ploughing and harvesting, because they can pay wages for the intensive workload and avoid the investment cost of the machines. The inventory data needed for field operations included the type of agricultural machinery (Table 3), the efficiency of the engine (45% [53]), the distance between the suppliers of machinery and the farms (the distance traveled by truck, to transport agricultural machinery from the suppliers to the farms; 4 km and 8 km per working day in Houbi District and Luoshan Village, respectively), the time the machinery worked on the field (15 min·fen<sup>-1</sup>) and the times the truck was used in one CS.

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Machinery	Specification (hp: Horsepower)
Ploughing machine	135 hp
Transplanting machine	21 hp
Spraying machine	6 hp
Harvester	105 hp
Truck	80 hp, 4-ton load

**Table 3.** Data sources of rice production process.

The equation to calculate the direct energy consumption of the ploughing machine, transplanting machine, spraying machine and harvester can be expressed as:

$$E_{machine} = P \times 0.736 \, kW \times T \times 3.6 \, MJ \div Eff \tag{1}$$

where:

 $E_{\text{machine}} = \text{direct energy consumption of using the machine (MJ·fen}^{-1});$ 

P = power of the machine (hp);

T = the time the machine worked on the field (h·fen<sup>-1</sup>);

Eff = efficiency of the engine of the machine (%).

The direct energy consumption of machinery was then multiplied by the cumulative energy demand from diesel production, becoming the indirect energy consumption of machinery.

The equation to calculate the energy consumption of the truck (direct + indirect) can be expressed as:

$$E_{truck} = E_{cum} \times D \times t \times L \div S \tag{2}$$

where:

 $E_{\text{truck}} = \text{energy consumption of the truck (MJ·fen}^{-1});$ 

 $E_{cum}$  = cumulative energy demand of using the truck (MJ·ton-km<sup>-1</sup>);

D = distance traveled of the truck (km·time<sup>-1</sup>);

t = times the truck was used in one CS (time);

L = load of the truck (ton);

S = size of the paddy on which the machines worked (fen).

## (2) Chemicals and Organic Compounds

Fertilizers and pesticides are the chemicals and organic compounds used in the rice industry. Associated emissions and energy consumption (Tables 4 and 5) were calculated based on their active ingredients. If the type of active ingredient was not in the database, another chemical or organic compound from the same class was used, as recommended in the literature [42]. The GHG emission and energy consumption were assumed to be zero for one of the organic pesticides, chili water, because the used chili was cultivated by the farmers themselves without using fertilizer and pesticide. Water consumption for diluting pesticide was calculated based on the instructions on the bottle.

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Fertilizer		Active Ingredients (%)					Amount
		NH <sub>4</sub>	NO <sub>3</sub>	P <sub>2</sub> O <sub>5</sub>	K <sub>2</sub> O	Organic Matter	$(kg \cdot fen^{-1} \cdot CS^{-1})$
		Co	nvention	al			
Ammonium sulfate	21	21	_	_	_		40
TaiFer * #1 Compound fertilizer	20	10	8	5	10		40
TaiFer * #39 Compound fertilizer	12	6	_	18	12		80
		(	Organic				
Hao Le Te #2 Compound organic fertilizer	5			2	2	84	140

**Table 4.** Data entries for fertilizer. CS, cropping season.

## (3) Seedlings

In Taiwan, machines are used to put together soil mixed with fertilizer, seeds and spraying pesticide to prepare the seedlings. The machine is 4 hp and is capable of dealing with 1500 boxes per hour [35]. Thirty boxes of seedlings (320 g·seed·box<sup>-1</sup>) are used per conventional fen paddy, and 25 boxes are used per organic fen paddy (220 g·seed·box<sup>-1</sup>). Pesticides were not used when preparing organic seedlings. Because the name of the organic fertilizer used in seedling preparation was unknown, Hao Le Te #2 compound organic fertilizer, which was used in CS by farmers, was assumed to be the one applied.

Pesticide	Active Ingredient (Chemical Class)	Ecoinvent	Amount (kg·fen <sup>-1</sup> ·CS <sup>-1</sup> )
	Conventional		
Chuan Chi Chu [54]	Mefenacet + bensulfuron-methyl [55] (sulfonylurea [56])	(Sulfonyl) urea compounds	0.091 [54]
Hsi To Sheng [54]	Edifenphos [55] (phosphorothioate [57])	Fungicide	0.100 [54]
Wen Sha Ning [54]	Pencycuron [55] (Phenylurea [57])	Diuron	0.046 [54]
Chung Ching Ching [54]	Alpha-cypermethrin [55] (pyrethroid [58])	Pyrethroid compounds	0.006 [54]
Hsing Nung Sheng [54]	Mancozeb [55] (dithiocarbamate [57])	Mancozeb	0.043 [54]
	Organic		
Camellia meal [34]	Camellia meal [34]	Rape meal	6 [34]
Chili water [34]	Chili [32]	_	350 [34]

Table 5. Data entries for pesticide.

## (4) Irrigation

Houbi farmers usually pump water into the rice paddy and pay 1000 New Taiwan Dollars (TWD) per fen per CS for electricity [33]. The energy consumption of irrigation could be calculated using the Taiwan Power Company's price of electricity [59] (see the Appendix). Because electricity in Taiwan has summer (June–September, 2.1–6.71 TWD·kWh<sup>-1</sup>) and non-summer (October–May) prices (2.1–5.28 TWD·kWh<sup>-1</sup>), even though for both the first and second CS, farmers pay 1000 TWD, the actual electricity consumption in these two CSs was different. Both groundwater and water from reservoirs were used directly without any treatment, so no GHG emissions were considered to be associated with it. Farmers in Luoshan transport water from nearby rivers with irrigation ditches; therefore, no energy consumption or GHG emissions were associated with irrigation in this region. The amount of irrigation water was obtained from the database of the Water Resources Agency, Taiwan [60] (averaged from 2001–2009). Water from reservoirs, other sources of surface water and groundwater were included in the calculation of irrigation water [61]. This database recorded the irrigation water used in the whole area, but did not separate the amount used for organic and conventional farms.

<sup>\*</sup> Taiwan Fertilizer Co., Ltd.

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#### (5) Rice Paddies

Paddy status was categorized into four periods: before cultivation (B), cultivation (C), after cultivation (A) and ploughed with rice straw (R). Numbers were added after the name of the period to distinguish between different CSs. For example, B1 and B2 represent the B period in the first CS and in the second CS, respectively. The relationship between those periods is shown in Figure 1. Because both B and C periods were flooded, B lasted for only one day and the difference caused by seedlings may be small, the GHG emission factors used for these two periods were the same. Because both periods A and R were dry, the factors used for GHG emissions were also the same. To adapt those numbers into this study, the length of the first CS was assumed to be 150 days, and the second CS was assumed to be 120 days according to the Taiwan Agriculture and Food Traceability System [54].

Emission factors of CH<sub>4</sub> measured in southwestern Taiwan were used to calculate the emissions of conventional farms. The factors were determined by Huang  $et\ al.\ [62]$  based on measurements during the first and second CS (periods B and C) at 6 a.m. and 12 p.m. and were computed using the summation of CH<sub>4</sub> emission in the different sampling stages of rice plants [62]. There was no data available for the A and R periods. Therefore, we used the factors determined during the first and second CS when they were left fallow (no rice cultivated) [62] and modified with the length of the period (see the footnotes of Table 6), because both the A and R periods and the fallowed B and C periods were not flooded with water. The measured N<sub>2</sub>O emission factors in southwestern Taiwan are also only available during the first and second CS [63], but not the A and R periods. The N<sub>2</sub>O emission rates were determined by Yang  $et\ al.\ [63]$  at a 0.5-h interval for 1.0 h by measuring the changes of N<sub>2</sub>O concentrations (the net change between N<sub>2</sub>O emission and sink) in the acrylic chamber. The emission factors for the A and R periods were from the measurement in western Taiwan [64] instead. This measurement was made during A2 and R2, but could represent the emission from A1 and R1, as well, because the soil nitrogen content is relatively low in all of these periods [65].

Qin  $et\ al.\ [66]$  measured the emission of CH<sub>4</sub> and N<sub>2</sub>O of the organic and conventional rice paddy with intermittent irrigation per unit area during the whole rice-growing season in southeastern China. The ratios of CH<sub>4</sub> and N<sub>2</sub>O emission of the organic to the conventional rice paddy were 1.23 and 0.34, respectively. These are the only published comparative data of CH<sub>4</sub> and N<sub>2</sub>O emission on organic and conventional rice paddies up to date. These values were used to adjust the emission factors of CH<sub>4</sub> and N<sub>2</sub>O emission in periods B and C of organic farms in Luoshan (see the footnotes of Table 6), because only measurements on conventional farms in eastern Taiwan [63,67] are available. Emission factors for periods A and R were taken from conventional farms in southwestern Taiwan, but also adjusted with the ratio of organic to conventional paddies [66] because of the lack of more appropriate data. For the virtual organic farm in Houbi, the emission factors of both CH<sub>4</sub> and N<sub>2</sub>O were taken from conventional farms in Houbi, but were also adjusted with the ratio of organic to conventional paddies measured by Qin  $et\ al.\ [66]$ , so they could represent the emission of organic farms in Houbi.

Farm	Conventional		Organic (I	Luoshan)	Organic (Houbi)			
GHG	CH <sub>4</sub> <sup>a</sup>	N <sub>2</sub> O <sup>b</sup>	CH <sub>4</sub> <sup>a</sup>	N <sub>2</sub> O <sup>b</sup>	CH <sub>4</sub> <sup>a</sup>	N <sub>2</sub> O <sup>b</sup>		
	Normal cropping season							
B1, C1 (first CS)	6.15 <sup>c</sup>	0.045 <sup>d</sup>	15.83 <sup>e</sup>	0.060 <sup>f</sup>	7.59 <sup>g</sup>	0.016 <sup>h</sup>		
B2, C2 (second CS)	25.3 <sup>c</sup>	0.051 <sup>d</sup>	22.69 <sup>i</sup>	0.0003 <sup>j</sup>	31.20 <sup>k</sup>	$0.018^{1}$		
A1, R1 (summer fallow)	0.47 m	0.030 <sup>n</sup>	0.59 °	0.010 p	0.59 °	0.010 <sup>p</sup>		
A2, R2 (winter fallow)	1.08 <sup>q</sup>	0.030 <sup>n</sup>	1.33 <sup>r</sup>	0.010 <sup>p</sup>	1.33 <sup>r</sup>	0.010 <sup>p</sup>		
	Fallow season (no rice cultivated)							
B1, C1	1.58 <sup>c</sup>	0.030 n	1.95 s	0.010 p	1.95 s	0.010 p		
B2, C2	2.58 <sup>c</sup>	0.030 n	3.19 <sup>t</sup>	0.010 p	3.19 <sup>t</sup>	0.010 <sup>p</sup>		
A1, R1	0.47 m	0.030 <sup>n</sup>	0.59 °	0.010 p	0.59 °	0.010 <sup>p</sup>		
A2, R2	1.08 <sup>q</sup>	0.030 <sup>n</sup>	1.33 <sup>r</sup>	0.010 p	1.33 <sup>r</sup>	0.010 <sup>p</sup>		

**Table 6.** Emission factors of rice paddies for conventional and organic farms.

The CH<sub>4</sub> emission factors of fallow seasons were only available for the conventional farms in southwestern Taiwan during the B and C periods [62]. Therefore, the ratio of CH<sub>4</sub> emission of the organic to the conventional rice paddy derived from Qin *et al.* [66] was used to calculate the CH<sub>4</sub> emission factors of the B and C periods in fallow seasons of organic farms in Houbi. The CH<sub>4</sub> emission factors of the B and C periods in fallow seasons of organic farms in Luoshan were assumed to be the same as the one of organic farms in Houbi. The CH<sub>4</sub> emission factors of the A and R period of the fallow season were assumed to be the same as the ones of the A and R period of the normal cropping season.

The  $N_2O$  emission factors of fallow seasons were not available, so they are assumed to be the same as the ones of the A and R periods of the normal cropping season, because in these periods, the paddies were not flooded.

All of the emission factors are shown in Table 6.

## (6) Drying and Refining

Interviews were conducted at a mill factory in Houbi that dealt with 6100 tons of grain per year. The cost of electricity in this factory was around 1,350,000 TWD per year [52]. The price of industrial electricity in Taiwan was around 2.52 TWD·kWh<sup>-1</sup> [68]. In other words, around 535,714 kWh per year were consumed. Grains harvested in the first CS are dried during the plum rain season (May–June). Because of the humid weather, 48 hours to dry one batch of grains harvested in the first CS were needed, but only 36 h for the grains from the second CS, which were dried in a less humid season. The drying machine was 19.5 hp, and each batch was 20 tons of grain. The efficiency of the drying machine is assumed to be the same as the other agricultural machinery (45%). The residue to product ratio (RPR) of rice was 0.267 [69].

The equation used to calculate the energy consumption from diesel used by the drying machine can be expressed as:

$$E_{drying} = P_{drying} \times 0.736 \, kW \times T_{drying} \times 3.6 \, MJ \div Eff \div G \tag{3}$$

 $<sup>\</sup>begin{array}{l} {}^{a} \; \overline{\text{kg} \cdot \text{fen}^{-1}; \, ^{b} \; g \cdot \text{fen}^{-1} \cdot h^{-1}; \, ^{c} \; \text{original data from Huang} \; \textit{et al.} \; [62]; \, ^{d} \; \text{original data from Yang} \; \textit{et al.} \; [63]; \, ^{e} \; 3.56} \\ \times \; 10^{-3} \; \overline{\text{kg} \cdot \text{fen}^{-1} \cdot h^{-1}} \; [67] \; \times \; 1.2 \; \times \; 24 \; h \; \times \; 150 \; \text{day} \; \times \; 1.23 \; [66]; \, ^{f} \; 1.74 \; \times \; 10^{-4} \; \overline{\text{kg} \cdot \text{fen}^{-1} \cdot h^{-1}} \; [63] \; \times \; 0.34 \; [66]; \, ^{g} \; 6.15 \; \overline{\text{kg} \cdot \text{fen}^{-1} \cdot h^{-1}} \; [62] \; \times \; 1.23 \; [66]; \, ^{h} \; 0.045 \; \overline{\text{g} \cdot \text{fen}^{-1} \cdot h^{-1}} \; [63] \; \times \; 0.34 \; [66]; \, ^{k} \; 25.3 \; \overline{\text{kg} \cdot \text{fen}^{-1} \cdot h^{-1}} \; [67] \; \times \; 1.2 \\ \times \; 24 \; h \; \times \; 120 \; \overline{\text{day}} \; \times \; 1.23 \; [66]; \, ^{j} \; 1.00 \; \times \; 10^{-6} \; \overline{\text{kg} \cdot \text{fen}^{-1} \cdot h^{-1}} \; [63] \; \times \; 0.34 \; [66]; \, ^{k} \; 25.3 \; \overline{\text{kg} \cdot \text{fen}^{-1}} \; [62] \; \times \; 1.23 \; [66]; \, ^{l} \; 0.051 \; \overline{\text{g} \cdot \text{fen}^{-1} \cdot h^{-1}} \; [63] \; \times \; 0.34 \; [66]; \, ^{m} \; 1.58 \; \overline{\text{kg} \cdot \text{fen}^{-1}} \; [62] \; \div \; 150 \; \overline{\text{day}} \; (\text{length of the first CS}) \; \times \; 45 \; \overline{\text{day}} \; (\text{length of summer fallow}); \, ^{n} \; Lai \; \textit{et al.} \; [64]; \; \text{ranges from} \; 0.002 - 0.059 \; \overline{\text{g} \cdot \text{N}_{2}} \, \text{O·47} \; \overline{\text{kg} \cdot \text{fen}^{-1}} \; [62] \; \times \; 1.23 \; [66]; \, ^{p} \; 0.03 \; \overline{\text{g} \cdot \text{fen}^{-1} \cdot h^{-1}} \; [64] \; \times \; 0.34 \; [66]; \, ^{q} \; 2.58 \; \overline{\text{kg} \cdot \text{fen}^{-1}} \; [62] \; \div \; 120 \; \overline{\text{day}} \; (\text{length of the second CS}) \; \times \; 50 \; \overline{\text{day}} \; (\text{length of winter fallow}); \, ^{r} \; 1.08 \; \overline{\text{kg} \cdot \text{fen}^{-1}} \; [62] \; \times \; 1.23 \; [66]; \, ^{s} \; 1.58 \; \times \; 1.23 \; [66]; \, ^{s} \; 2.58 \; \times \; 1.23 \; [66]. \, \end{array}$ 

#### where:

 $E_{drying}$  = direct energy consumption of the drying machine (MJ·fen<sup>-1</sup>);

P<sub>drying</sub> = power of the drying machine (hp);

 $T_{drying}$  = the time that the drying process takes (h·batch<sup>-1</sup>);

Eff = efficiency of the engine of the drying machine (%);

G = amount of grain for 1 batch (kg·batch<sup>-1</sup>).

Table 7. Entries for the financial flow of conventional (Houbi) and organic (Luoshan) rice farms, one CS.

Conventional (Houbi)				Organic (Luoshan)				
Category	Price (TWD·unit <sup>-1</sup> )	Amount (unit·fen <sup>-1</sup> )	Unit	Category	Price (TWD·unit <sup>−1</sup> )	Amount (unit-fen $^{-1}$ )	Unit	
Expenditure								
	Chemicals				Organic compo	unds		
Ammonium sulfate	250	1	pack	Hao Le Te #2	10	140	kg	
TaiFer <sup>a</sup> #1	310	1	pack	Camellia meal	15	6 <sup>d</sup>	kg	
TaiFer a #39	350	2	pack				Ü	
Chuan Chi Chu	175	1	pack					
Hsi To Sheng	580	0.2	bottle					
Wen Sha Ning	290	0.2	bottle					
Chung Ching Ching	320	0.1	bottle					
Hsing Nung Sheng	250	0.066	bottle					
			Power					
Electricity	1000	-	fen	Electricity	1000	-	fen	
			Wages					
Ploughing	550	2	time	Ploughing	550	3	time	
Transplanting	550	1	time	Transplanting	600	1	time	
Pesticide spraying	200	4	time	Harvesting	1100	1	time	
Harvesting	900	1	time	Weeding	500	4	time	
Seedling	30	30	box	Seedling	30	30	box	
Income								
Dry grain	21.3	810 <sup>b</sup> , 585 <sup>c</sup>	kg	Dry grain	28	420 e	kg	

 $<sup>^</sup>a$  Taiwan Fertilizer Co., Ltd.;  $^b$  in the first CS;  $^c$  in the second CS;  $^d$  only used in the second CS;  $^e$  in both the first and the second CS.

## (7) Economic Aspects

The expenditure and income of rice farmers were taken from personal communications with farmers [33,34,70] and previous studies [59,71,72]. Data used for conventional and organic farms are shown in Table 7. The different costs of wages between conventional and organic farms may be a result of the different average wages and the accessibility of labor in the regions. Conventional seedling and organic seedling have the same price per box, but less seeds were used in one box of the organic farms [34,35]. In organic farming, the cost of chili water (which acts as pesticide) was zero because it was cultivated and prepared by the farmers. Because the chili water was spread by farmers themselves, no extra wages were needed. Some of the wages differed between conventional and organic farming. Possible reasons were that the labor cost was different between the interviewed areas (southwestern and eastern Taiwan) and the share price of machinery was different in conventional and organic farming because of the number of farmers working on it. The cost of fuel for operating machinery was included in the wages. The rice yields were 810, 585 and 420 kg·fen<sup>-1</sup> for the first CS for conventional farms, the second CS for conventional farms and both CSs for organic farms, respectively (lower range values from the interviews; Table 1).

#### 3. Results and Discussion

## 3.1. Sustainability Aspects

## 3.1.1. GHG Emissions

The GHG emissions of rice cultivation in the second CS were higher than in the first CS for both Houbi (conventional) and Luoshan (organic) farms (Table 8). The main difference between these two CSs was the emissions from the rice paddies. Yang *et al.* [63] reported that in Taiwan, CH<sub>4</sub> emission in the second CS was higher than in the first CS because of high organic matter degradation with high temperature at the flooding, transplanting and active tilling stages in the second CS. Yang *et al.* [63] also claimed that N<sub>2</sub>O emission was higher in the first CS because of intermittent irrigation and high temperature at the later growth stage. The main contributors of GHG emissions in these two CSs were different: power generation caused most of the GHG emissions in the first CS, and rice paddies released most of the GHGs in the second CS. On an annual basis, rice paddies contributed the highest amount of GHG emissions, with CH<sub>4</sub> having the strongest effect.

It is expected that organic farms have less GHG emissions by avoiding synthetic fertilizer and pesticide, but this was countered by the extra emissions from rice paddies. This may be due to: (1) the higher amount of organic matter from the organic fertilizers offered the predominant source of methanogenic substrates; and (2) the pesticide applied in conventional farms inhibited the activity of  $CH_4$ -producing microorganisms as a side effect [66].

Dubey [73] listed some of the chemicals proved to inhibit CH<sub>4</sub> production. Carbofuran, the chemical used in the study done by Qin *et al.* [66] (the literature we used as the reference for adjusting the CH<sub>4</sub> emission from conventional farms to organic farms), was included on the list [73]. Therefore, it is possible that in a conventional farm that does not use this type of chemical, the CH<sub>4</sub> emissions can be more. The adjusting factor (conventional to organic) may be overestimated for Houbi, because we did not find use of these chemicals. Therefore, the actual CH<sub>4</sub> emission of organic farms in this study could be less. While a review done by Linquist *et al.* [74] showed that with the same N application rate, the CH<sub>4</sub> emission is higher when organic fertilizer is used, Niggli *et al.* [75] stated that methane emissions of organic rice production equal those of conventional ones. Considering these findings from the literature, to understand the effect of less CH<sub>4</sub> emission from the organic rice paddy on our results, we assumed different levels; 5%, 10%, 15% and 20% less than the reference value. The 20% less scenario equals the CH<sub>4</sub> emission from the conventional rice paddy in eastern Taiwan.

We found that when the  $CH_4$  emission from the organic rice paddy decreased by every 5%, the overall yearly GHG emissions decreased by 4% accordingly. The overall yearly GHG emissions were 1204, 1155, 1106 and 1057 kg- $CO_2$  equiv. fen<sup>-1</sup> when the  $CH_4$  emission from the organic rice paddy were 5%, 10%, 15% and 20% less, respectively. To draw a more solid conclusion on  $CH_4$  emission, further investigation (e.g., the measurement of the  $CH_4$  emission of organic rice farms in eastern Taiwan or studies about the different  $CH_4$  emission between the organic and the conventional rice paddy) is needed to see which emission scenario is closer to reality.

**Table 8.** GHG emissions and resource consumption of conventional (Houbi) and organic (Luoshan) rice cultivation (*i.e.*, P1–8 in Figure 1) on a farm area basis. For conversion to a kg-dry grain basis, use 810 kg-dry·grain·fen<sup>-1</sup>, 585 kg-dry·grain·fen<sup>-1</sup> and 420 kg-dry·grain·fen<sup>-1</sup> for the first CS (conventional), second CS (conventional) and both CSs (organic), respectively.

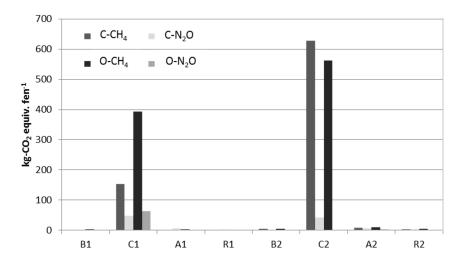
	Conv	ventional (Hou	ıbi)	Organic (Luoshan)		
Impact	First CS	Second CS	Annual	First CS	Second CS	Annual
	Unit *	Unit *	Unit *	Unit *	Unit *	Unit *
GHG emissions	715	1206	1920	577	706	1282
Chemical/Organic compound	149	149	298	46	49	95
Fertilizer	146	146	292	46	46	93
Pesticide	3	3	6	0	2	2
Power	282	249	531	40	40	80
Fuel	42	42	85	40	40	80
Electricity	239	207	446	_	_	_
Rice paddy	215	698	913	468	585	1053
Methane	157	644	801	400	581	981
Nitrous oxide	58	54	112	68	4	72
Seedling	18	18	35	12	12	24
Water consumption	1257	1030	2287	3537	3257	6794
Irrigation	1253	1025	2278	3534	3252	6786
Pesticide	1	1	2	1	1	1
Seedling	3	5	7	3	5	7
Seed disinfection	0.02	0.02	0.03	-	_	_
Washing/immersing	0.06	0.06	0.13	0.02	0.02	0.03
Soil preparation	0.01	0.01	0.02	0.01	0.01	0.02
Bud greening	3	5	7	3	5	7
Energy consumption	6120	5530	11,650	943	1025	1968
Fuel	962	962	1924	942	942	1885
Electricity	4289	3699	7988	_	_	_
Fertilizer	812	812	1625	1	1	1
Pesticide	57	57	113	0	82	82

<sup>\*</sup> Unit: kg-CO<sub>2</sub> equiv.·fen<sup>-1</sup>, m³-water·fen<sup>-1</sup> and MJ·fen<sup>-1</sup>, for GHG emissions, water and energy consumption, respectively.

The difference in electricity-related emissions between organic and conventional farms was because of the different geographic conditions of the case farms: the conventional farms were located on plains, with no rivers or springs nearby, but the organic farms were surrounded by mountains, with good access to the river. Therefore, the conventional farms had extra electricity demands for pumping water into the paddies.

Figure 2 shows the GHG emissions from rice paddies under different farming methods and periods. For both conventional and organic farms, most of the GHGs were released in the C period because it was also the longest period. The  $CH_4$  emissions were lower in C1 than C2 because of the lower temperature and higher redox potential in C1 [76]. The  $N_2O$  emission was higher in the first CS because of the higher temperature in its later growth stage [63].

The CH<sub>4</sub> emission of the C period for conventional farms in Houbi and organic farms in Luoshan was calculated based on data reported by Huang *et al.* [62] and Peng *et al.* [67], respectively. Peng *et al.* [67] reported that CH<sub>4</sub> emission becomes higher when the amount of N application is higher. However, during the first CS, the N application from Huang *et al.* [62] was higher (140 kg·N·ha<sup>-1</sup>·CS<sup>-1</sup>) than from Peng *et al.* (120 and 90 kg·N·ha<sup>-1</sup> for the first and second CS, respectively). Therefore, we assumed that the difference was probably due to the different climate conditions, soil properties and the growth of rice.



**Figure 2.** GHG emissions from rice paddies under different farming methods and periods. C represents conventional; O represents organic.

In conventional farms, the GHG emissions per kg dry grain were 0.8, 1.9 and 1.3 kg-CO<sub>2</sub>-equiv., for the first CS, the second CS and annual production, respectively. The emission per kg dry grain in organic farms was higher than in conventional farms (1.3, 1.6 and 1.5 kg-CO<sub>2</sub>-equiv., for the first CS, the second CS and annual production, respectively) due to the lower grain yield. However, when the CH<sub>4</sub> emission from organic rice paddy are 20% less, the GHG emissions per kg dry grain in organic farms in Luoshan are lower than those of conventional farms in Houbi (1.25 kg-CO<sub>2</sub>-equiv.). The GHG emissions per kg of rice were higher than those of per kg dry grain (Table 9) because grains need to go through the post-harvest processes to become rice (final product). These post-harvest processes (1) remove husks from the grain, so that the total weight of the rice is less than the total weight of grains, and (2) need energy to do the drying and refining and, hence, increase the total amount of GHG emission.

**Table 9.** GHG emission and energy consumption of conventional and organic rice on a kg-dried rice basis. For conversion to fen basis, use 810 kg-dry·grain·fen<sup>-1</sup>, 585 kg-dry·grain·fen<sup>-1</sup> and 420·kg-dry·grain·fen<sup>-1</sup> for first CS (conventional), second CS (conventional) and both CSs (organic), respectively.

Impact	Conv	zentional (Hou	ıbi)	Organic (Luoshan)			
impact	First CS	Second CS	Annual	First CS	Second CS	Annual	
GHG emissions *	1.1	2.5	1.7	1.8	2.2	2.0	
Cultivation	1.0	2.4	1.6	1.7	2.1	1.9	
Drying and refining	0.1	0.1	0.1	0.1	0.1	0.1	
Energy consumption *	11.6	13.8	12.5	4.9	4.9	4.9	
Cultivation	9.6	12.0	10.6	2.8	3.1	3.0	
Drying and refining	2.0	1.9	2.0	2.1	1.9	2.0	

<sup>\*</sup> Unit: kg-CO<sub>2</sub> equiv.·kg-dried·rice<sup>-1</sup> and MJ·kg-dried·rice<sup>-1</sup>, for GHG emissions and energy consumption, respectively.

## 3.1.2. Water Consumption

Water consumption discussed in this study refers to the water from reservoirs, other sources of surface water and groundwater introduced to the production system. The water consumption of the second CS was lower than the first CS in both conventional and organic farms (Table 8). Of the total water consumption, most water was used for irrigation. Less irrigation water was demanded in the second CS because of the higher precipitation in the second CS [32]. However, because the average

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temperature and the rate of water evaporation in the bud greening period (July) in the second CS were higher, a greater amount of water was used for seedling preparation in the second CS.

Water consumption was 1.6, 1.8 and 1.6 m³·dry·grain<sup>-1</sup> for conventional farms and 8.4, 7.8 and 8.1 m³·dry·grain<sup>-1</sup> for organic farms, for the first CS, second CS and the annual consumption, respectively. There was no water used in the post-harvest process (P9). Organic rice from Luoshan required more water than conventional rice on the area and weight basis. However, this result was mainly due to the regional difference. The amount of irrigation water was obtained from the Water Resources Agency, Taiwan [60], which was the best source we found. Unfortunately, this database does not describe the methods they used to calculate the amount of irrigation water, so the reason for such a huge regional difference is unknown.

## 3.1.3. Energy Consumption

The differences in the regional conditions and farming methods resulted in varied major contributors in rice production. For conventional farms in Houbi, energy consumption was higher in the first CS (Table 8) because the electricity consumption for pumping irrigation water is higher. Among all of the categories, electricity for pumping irrigation water also contributed the most to energy consumption. On the other hand, for organic farms in Luoshan, the second CS consumed more energy than the first CS because of the application of organic pesticide (camellia meal) in the second CS.

The energy consumption per kg of conventional grain was 7.6, 9.5 and 8.4 MJ and per kg organic grain was 2.2, 2.4 and 2.3 MJ, for the first CS, the second CS and the annual consumption, respectively. The main reason for this huge difference is the use of electricity in the conventional farms in Houbi, which is a function of the geographic conditions of farms rather than the farming method itself.

Table 9 shows the energy consumption per kg of rice. The total energy consumption of drying and refining in the first CS was higher than in the second CS because of the more humid weather in the grain-drying period of the first CS.

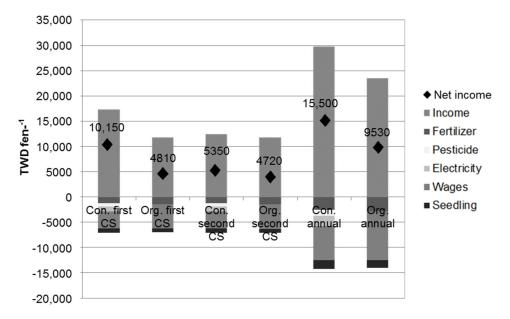
We calculated the energy consumption of agricultural machinery and the drying machine with their maximum power. However, the most used power of the machines is normally not the maximum power. This means that the energy consumption of agricultural machinery and the drying machine reported in this study may be an overestimation.

## 3.1.4. Economic Aspects

The economic aspects are discussed on an area basis. For conventional rice production in Houbi, the total expenditure of cultivation was the same in the first and the second CSs (Figure 3), because all of the farming processes and the use of chemicals and seedlings were the same. Wages made up the greatest proportion of the entire expenditure, followed by chemicals, power and then seedlings. Farmers' income was higher in the first CS because of the higher rice yield in the first CS.

On the other hand, for organic rice cultivation in Luoshan, the total expenditure was higher in the second CS than the first CS because of the use of organic pesticide in the second CS. Wages were also the main expenditure in organic farming. There was no expenditure for electricity because farmers in this region used no electricity for irrigation. The income of the two CSs was the same.

Organic farming in Luoshan had less expenditure on pesticide, while conventional farming spent less on the payment of wages. The difference in wages between conventional and organic farms was greater than the difference in pesticide cost. The conventional farms in this study had to pay for electricity because of the geographic condition. The total expenditure of organic farms was slightly less than that of conventional farms. In addition, the price of organic rice was higher than the conventional rice. Even so, the income from the same area of rice paddy for conventional farmers was greater than that for organic farmers due to the difference in rice yield. The difference in income between conventional and organic rice was greater than the difference of total expenditure, which resulted in a higher net income for conventional farms (Figure 3).



**Figure 3.** Financial balance of conventional and organic rice cultivation. The diamonds and numbers above represent the net income of each CS.

## 3.2. Potentials of Organic Farming in Houbi District

Many of the differences between conventional and organic farms in this study were from factors such as climatic and geographic conditions, rather than the farming method itself. In this section, we address the potentials of organic farming in Houbi district from the sustainability perspectives using sensitivity analysis. To eliminate the peripheral factors, an organic farm with the local conditions of the conventional farms was assumed. Then, we have assessed five levels of yield for the virtual organic rice in Houbi, which are 10% less, the same, 10% more, 20% more and 30% more than the yield of organic rice in Luoshan. This means that the analysis is based on the assumption that the yield is mainly dependent on the farming method. The 30% more scenario is about the upper range of the yield of organic rice in Luoshan (Table 1).

The results of these five scenarios are shown in Table 10. Compared to the organic farms in Luoshan, the environmental impacts of this virtual farm in Houbi were higher for all five scenarios on weight (per kg) and on area (per fen) bases, except for water consumption. The net income was higher when the yield of the virtual farm was 10%, 20% and 30% higher than the organic farm in Luoshan. The environmental impacts were also higher than the ones brought by conventional farms in Houbi for all five scenarios on the weight basis, but were slightly lower on the area basis. The net income was lower for all five scenarios. With the assessed range, the potential of organic farming in Houbi seems to have a small opportunity to bring environmental and economic benefits. If we want to produce organic rice in Houbi with GHG emissions, water consumption, energy consumption and net income equivalent to those in Luoshan, according to the previous settings, the yields of organic dry grain in Houbi per year need to be 1.28-, 0.30-, 2.8-, and 1.09-times the organic rice yield in Luoshan per year, respectively (Table 11).

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**Table 10.** Impacts and annual net income associated with the organic rice produced in the virtual farm in Houbi. The yield of the virtual farm was subject to the analysis based on the yield of the organic farm in Luoshan.

Impacts and Annual Net Income (Unit)	Yield Scenarios (on Luoshan Basis)					
impacts and Annual Net Income (Only)	-10%	+0%	+10%	+20%	+30%	
GHG (kg-CO <sub>2</sub> -equivkg-dried-rice <sup>-1</sup> )	2.9	2.6	2.4	2.2	2.0	
Water consumption ( $m^3 \cdot kg$ -dried·rice <sup>-1</sup> )	3.4	3.1	2.8	2.6	2.4	
Energy consumption (MJ⋅kg-dried·rice <sup>-1</sup> )	19.0	17.3	15.9	14.8	13.8	
Annual net income (TWD·fen <sup>-1</sup> )	5178	7530	9882	12234	14586	

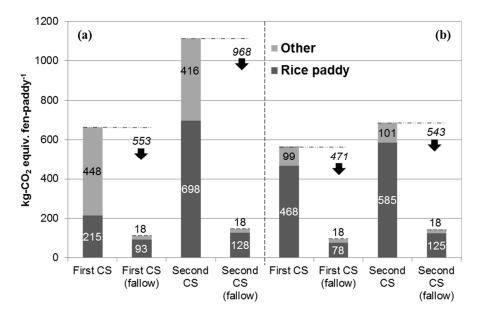
**Table 11.** Rice yield needed for the virtual organic farm, Houbi, to have equivalent impact and income as other farms (unit: kg-dry·grain·fen<sup>-1</sup>·y<sup>-1</sup>).

Farms	Equivalent					
rainis	GHG Emissions	Water Consumption	<b>Energy Consumption</b>	Net Income		
Organic farm, Luoshan	1073	254	2405	912		
Conventional farm, Houbi	1246	1142	980	1125		

However, if we change the basic assumption on the sensitivity analysis, the opportunity looks different. If we want to produce organic rice in Houbi with GHG emissions, water consumption, energy consumption and net income equivalent to those of conventional rice in Houbi, according to the previous settings, the yields of organic dry grain in Houbi per year need to be 0.89-, 0.82-, 0.70- and 0.81-times the conventional rice yield in Houbi per year, respectively (Table 11). The yield ratio of organic to conventional grain was 0.60 for Houbi and Luoshan (0.60–0.67 according to the interview; Table 1). Seufert *et al.* [77] presented the average organic-to-conventional yield ratio at 0.75 for agricultural products in general and 0.74 for cereals. De Ponti *et al.* [78] found an even higher ratio for rice (86%–105%, on average 94%). The yield ratios required by the organic rice in virtual Houbi to compete with conventional rice in Houbi are 0.70–0.89 in this study. If so, there is a potential to switch to organic farming in Houbi that is sustainable for the region.

# 3.3. Fallow and Non-Fallow Period

In southwestern Taiwan (where Houbi is located), the government asks farmers to suspend their rice cultivation in order to ensure a sufficient industrial water supply during water shortages [9,79]. Figure 4 shows the GHG emissions during normal cropping and fallow (with green manure cultivated) periods. Emissions from farming activities in the fallow period were very small, although there were some activities related to green manure cultivation. The fallowed first CS had lower emissions than the fallowed second CS in both conventional and organic farms. However, fallowing during the second CS led to a higher reduction of GHG emissions than fallowing during the first CS. Therefore, if one of the CS needed to be fallow because of a water shortage, from the GHG emissions reduction point of view, the second CS should be the fallowed one. Nevertheless, in reality, water shortages in southwestern Taiwan usually happen in winter and spring, which meets the first CS, but not the second CS. Consequently, it is usually requested that the first CS be fallowed. GHG emissions and water shortage problems are difficult to investigate at the same time.



**Figure 4.** Rice cultivation-related GHG emissions in fallow and non-fallow periods in Taiwan. The arrows and the numbers above depict the reductions in GHG by fallowing in the different seasons. Rice paddy: GHG emissions from the rice paddy; other: GHG emissions from the associated rice cultivation processes (see Figure 1). (a) Conventional farms in Houbi; (b) organic farms in Luoshan.

This issue could also be discussed in another way. Table 12 shows the effect of saving water in each CS from the viewpoints of GHG emissions and rice production. Under both conventional and organic farming methods, saving water in the second CS reduces GHG emissions more than in the first CS. The reduction in rice production was smaller when water in the second CS was saved for conventional farms and was about the same for organic farms. Therefore, from both the GHG and productivity perspectives, fallowing the second CS is recommended.

**Table 12.** Effect of saving 1 m<sup>3</sup> water on GHG emissions and rice production. Assessments made based on conventional farms in Houbi and organic farms in Luoshan.

Fallow CS	kg-CO <sub>2</sub> -equiv.	kg-dry-grain
First CS, conventional	-0.44	-0.64
Second CS, conventional	-0.94	-0.57
First CS, organic	-0.13	-0.12
Second CS, organic	-0.17	-0.13

## 4. Conclusions

Production of one kg of rice grain on conventional farms in Houbi generated less GHG emissions and consumed less water and energy than on organic farms in Luoshan. The conventional farms brought more income for farmers. These results are highly dependent on the higher yield of the conventional farming method in Houbi district compared to Luoshan village.

The results of this study contradicted those in the literature that claim organic farming brings fewer environmental impacts than conventional farming. However, this may be attributed to differences in locations. Although located on the same island, differences in regions can bring significant differences in the yield of rice and management practices. The water and energy consumption of rice production is influenced more strongly by site conditions than by farming methods. According to the results of sensitivity analysis and the literature, the required magnitude of improvement in rice yield of organic farming for Houbi District, which could improve its sustainability aspects of rice production, is potentially possible. It is recommended that pilot experiments on organic farming be performed in

Houbi and in other rice-producing areas to draw more solid conclusions, because there seems to be potentials in improving the environmental and economic aspects of rice production in areas practicing conventional rice production.

Water is saved by fallowing in one of the seasons. Saving water entails lower GHG emissions  $(0.1-1.0 \text{ kg}\cdot\text{CO}_2\cdot\text{equiv.})$  and lower rice production  $(0.1-0.7 \text{ kg}\cdot\text{dry}\cdot\text{grain})$  on a cubic meter basis. This study also suggested that it is better for both GHG emission reduction and productivity to fallow the second CS, when there is a water shortage and one of the CSs needs to be fallowed. However, a water shortage is more likely to occur in the first CS than the second CS. The GHG emissions, food supply and water shortage issues must be traded off when making a decision about which season should be fallowed.

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**Author Contributions:** Hung-Chun Lin and Yasuhiro Fukushima conceived of and designed the research. Hung-Chun Lin interviewed the farmers and factory, collected data and analyzed the data, Hung-Chun Lin wrote the paper, Yasuhiro Fukushima reviewed and commented on the paper.

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## Appendix: Calculation of the Electricity Consumption

In Taiwan, electricity has summer (June–September) and non-summer (October–May) prices (Table A1). Depending on the amount of electricity used per month (recorded by electricity meters), the price for 1 kWh could also be different. In this study, we assumed that the electricity consumption for every month during the CS was the same. The cost of electricity in both the first and second CS was 1000 TWD·fen<sup>-1</sup>. The average size of farm owned by farmers in Taiwan is 10 fen [80]. Therefore, we assumed that one electricity meter recorded the electricity consumption for 10 fen.

• For the first CS (B and C periods last for around five months, January–May)

```
1000 TWD·fen^{-1} × 10 fen = (2.10 TWD·kWh^{-1} × 120 kWh·month^{-1} + 2.68 TWD·kWh^{-1} × 210 kWh·month^{-1} + 3.61 TWD·kWh^{-1} × 170 kWh·month^{-1} + 4.48 TWD·kWh^{-1} × 127.6 kWh·month^{-1}) × 5 month
```

 $(120 \text{ kWh} \cdot \text{month}^{-1} + 210 \text{ kWh} \cdot \text{month}^{-1} + 170 \text{ kWh} \cdot \text{month}^{-1} + 127.6 \text{ kWh} \cdot \text{month}^{-1}) \times 5 \text{ month} = 3138 \text{ kWh}$ 

The electricity consumption for the first CS was 313.8 kWh⋅fen<sup>-1</sup>.

• For the second CS (B and C periods last for around four months, July–October)

```
1000 \ TWD \cdot fen^{-1} \times 10 \ fen = (2.10 \ TWD \cdot kWh^{-1} \times 120 \ kWh \cdot month^{-1} + 3.02 \ TWD \cdot kWh^{-1} \times 210 \ kWh \cdot month^{-1} + 4.39 \ TWD \cdot kWh^{-1} \times 170 \ kWh \cdot month^{-1} + 5.44 \ TWD \cdot kWh^{-1} \times 176.6 \ kWh \cdot month^{-1}) \times 3 \ month + (2.10 \ TWD \cdot kWh^{-1} \times 120 \ kWh \cdot month^{-1} + 2.68 \ TWD \cdot kWh^{-1} \times 210 \ kWh \cdot month^{-1} + 3.61 \ TWD \cdot kWh^{-1} \times 170 \ kWh \cdot month^{-1} + 4.48 \ TWD \cdot kWh^{-1} \times 176.6 \ kWh \cdot month^{-1}) \times 1 \ month  (120 kWh \cdot month^{-1} + 210 kWh \cdot month^{-1} + 170 kWh \cdot month^{-1} + 176.6 kWh \cdot month^{-1}) \times 4 \ month = 2706 kWh
```

The electricity consumption for the second CS was  $270.6 \text{ kWh} \cdot \text{fen}^{-1}$ .

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Electricity Consumption (kWh Month <sup>-1</sup> )	Price of Electricity	
	June to September (TWD·kWh <sup>-1</sup> )	October to May (TWD·kWh <sup>-1</sup> )
< 120	2.10	2.10
121–330	3.02	2.68
331–500	4.39	3.61
501–700	5.44	4.48
701–1000	6.16	5.03
> 1001	6.71	5.28

**Table A1.** Price of electricity in Taiwan [59].

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