



Article

# Time-Series Analysis Reveals Intensified Urban Heat Island Effects but without Significant Urban Warming

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Abstract: Numerous studies have shown an increased surface urban heat island intensity (SUHII) in many cities with urban expansion. Few studies, however, have investigated whether such intensification is mainly caused by urban warming, the cooling of surrounding nonurban regions, or the different rates of warming/cooling between urban and nonurban areas. This study aims to fill that gap using Beijing, China, as a case study. We first examined the temporal trends of SUHII in Beijing and then compared the magnitude of the land surface temperature (LST) trend in urban and nonurban areas. We further detected the temporal trend of LST (Trend<sub>LST</sub>) at the pixel level and explored its linkage to the temporal trends of EVI (Trend<sub>EVI</sub>) and NDBI (Trend<sub>NDBI</sub>). We used MODIS data from 2000 to 2015. We found that (1) SUHII significantly increased from 4.35 °C to 6.02 °C, showing an intensified surface urban heat island (SUHI) effect, with an annual increase rate of 0.13 °C in summer during the daytime and 0.04 °C in summer at night. In addition, the intensification of SUHII was more prominent in new urban areas (NUA). (2) The intensified SUHII, however, was largely caused by substantial cooling effects in nonurban areas (NoUA), not substantial warming in urban areas. (3) Spatially, there were large spatial variations in significant warming and cooling spots over the entire study area, which were related to  $Trend_{NDBI}$  and  $Trend_{NDBI}$  significantly affected  $Trend_{LST}$  in a positive way, while the  $Trend_{EVI}$  had a significant positive effect (p = 0.023) on  $Trend_{LST}$ only when EVI had an increasing trend. Our study underscores the importance of quantifying and comparing the changes in LST in both urban and nonurban areas when investigating changes in SUHII using time-series trend analysis. Such analysis can provide insights into promoting city-based urban heat mitigation strategies which focused on both urban and nonurban areas.

**Keywords:** urban heat island; land surface temperature; trend analysis; urban warming; urban ecology; Beijing

#### 1. Introduction

More than 50% of the world population is now living in cities [1]. Rapid urbanization results in remarked changes of natural land to developed land, leading to a series of environmental issues [2–8]. Urban heat island (UHI), a phenomenon where urban areas have higher temperatures than surrounding nonurban areas, is a well-known example associated with urbanization [9–12]. Elevated temperatures in urban areas due to UHI may increase energy consumption [13–15], alter vegetation phenology [16] and biodiversity [17], and lead to severe air pollution [18,19]. In addition, UHI can also directly affect the comfort and health of urban residents [20,21].

Urban heat island intensity (UHII), the difference in temperature (air temperature and/or land surface temperature, LST) between urban and rural areas [10,11], is one of the most important indicators that has been used to quantify the magnitude of the UHI effect [15]. Numerous studies have been conducted to investigate UHII, aiming at addressing the following two questions: (1) What is the spatiotemporal heterogeneity (i.e., spatial, diurnal, seasonal, and annual variations) of UHII at the city scale? (2) How does UHII and its spatiotemporal variation relate to the social and biophysical properties of urban and rural areas, such as urban size and urban–rural enhanced vegetation index (EVI) difference? Previous studies have found strong and significant seasonal and diurnal variations in UHII [22–27]. For example, the daytime surface urban heat island intensity (SUHII) was significantly higher in summer than in winter for all the cities in the Yangtze River Delta Urban Agglomeration [25] and other major cities in China [26], and it was more intense compared to in nighttime [10]. The UHII and its spatiotemporal variations are related to urban and rural characteristics, including biophysical and social attributes [10,24–29]. For example, UHII had a strong negative relationship with the difference between the urban and rural vegetation indexes [10,25,27,29–31], but a significantly positive relationship with urban–rural nighttime lights [26].

Previous studies have shown that UHII increased along with urban expansion [32–36] in cities such as Beijing [37–40], Shanghai [41], Wuhan [42], and Lanzhou [22] and also in urban agglomerations [32,43]. A decrease in UHII was also observed in a few cities [33,34,44]. However, few studies have analyzed the spatial heterogeneity of temporal trends of UHII [30] or have further investigated whether such intensification (or alleviation) of UHII is caused by urban warming or the cooling of surrounding regions [28,35]. Changes in UHII could be a result of changes in temperature in urban areas or their surrounding rural areas or both. However, it is unclear whether the increasing or decreasing trends of UHII are due to urban warming, cooling in surrounding rural areas, or different rates of warming/cooling between urban and nonurban areas. Clearly, different processes resulting in intensification/alleviation of UHII would have different social and ecological consequences and thereby different implications for urban planning and management [28,45,46]. Answers to these questions would greatly enhance our understanding on changes in UHII and provide important insights into urban heat mitigation and adaption.

Additionally, it is important to understand the major factors that drive the changes in temperature in both urban and rural areas and thereby the change in UHII. A large number of studies have shown that differences in temperature between urban and nonurban areas and within urban areas is significantly related to spatial patterns of land use and land cover [47–49]. Considerable research has demonstrated the significant cooling effects of urban vegetation and significant warming effects of impervious surfaces, using limited datasets and space-for-time substitution methods [49–53]. Increasing vegetation abundance, for example, the enhanced vegetation index (EVI), can greatly reduce ambient air temperatures and LST [54–57]. In contrast, increased impervious surfaces result in elevated temperatures [49,50,58]. Few studies, however, have investigated how the changes in land use/land cover affect the trends of temperature due to continuous and rapid urbanization from the perspective of a time-series analysis [44,59,60]. It is important to identify the regions with significant trends in increases or decreases of temperature and to understand how land cover change could affect the UHI effect. Addressing such questions requires the use of long-term time-series data and trend analysis.

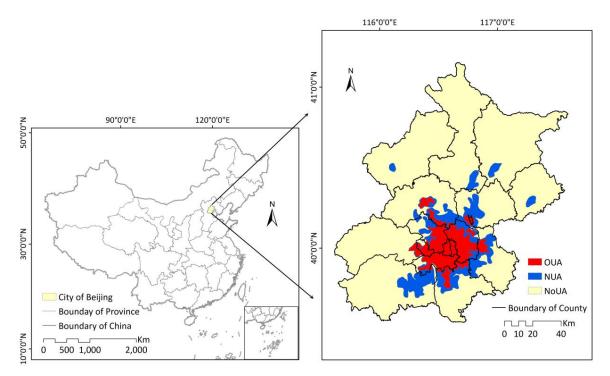
Here we chose Beijing, China, as a case study. The overarching goal of this study was to understand the changes in SUHII in summer and the processes and major drivers of such changes. Specifically, the objectives of this study were to (1) quantify the trend of SUHII and its spatial heterogeneity; (2) compare the temporal trends of LST in urban and nonurban areas to understand the processes of changes in SUHII and further analyze the spatial heterogeneity of temporal trends of LST; and (3) examine the effects of temporal trends of EVI and normalized difference build-up index (NDBI) on temporal trends of LST.

Remote Sens. 2019, 11, 2229 3 of 23

#### 2. Materials and Methods

### 2.1. Study Area

Beijing, the capital city of China, was chosen as the study area in this research (Figure 1). It is located in the northwestern part of the North China Plain (latitude: 39°28′–41°25′N, longitude: 115°25′–117°30′E) and has a monsoon-influenced humid continental climate characterized by hot and humid summers but cold and dry winters. It has an area of approximately 16,800 km² and had a total population of more than 22 million in 2016 [61]. In recent decades, Beijing has experienced rapid urban expansion, with large areas of natural and agricultural land being converted into urban build-up areas [62], which has led to deteriorating urban heat [37,39].



**Figure 1.** The study area: the Beijing metropolitan area (OUA: old urban areas, the urban area developed before 2000; NUA: new urban areas, the urban areas developed between 2000 and 2015; NoUA: nonurban areas).

# 2.2. Identification of Different Urban Zones

We mapped the study area into two different parts, urban areas (UA) and nonurban areas (NoUA), in 2015. Further, we subdivided UA into two different zones, namely urban areas developed before the year 2000 (hereafter referred to as old urban areas, OUA) and urban areas developed between 2000 and 2015 (hereafter referred to as new urban areas, NUA). The UA and NoUA were mapped following the methods detailed in Reference [37]. Briefly, we first created fishnets with a size of 900 m  $\times$  900 m in order to approximately match the resolution of MODerate-resolution Imaging Spectroradiometer (MODIS) products. We then calculated the percent of developed land in each fishnet in both 2000 and 2015 using a land cover dataset derived from 30-m Landsat Thematic Mapper (TM) data with an overall accuracy of over 96% [63,64]. We defined the fishnets as urbanized fishnets if the percent of developed land was greater than 50% [26,37,65]. Finally, the urbanized fishnets in 2015 were merged to generate UA, and the urbanized fishnets in 2000 were merged to generate OUA. The NUA were generated by removing OUA from UA. Relatively small land cover changes occurred in OUA from 2000 to 2015, but there were dramatic changes occurred in the NUA, where many different types of land cover (e.g., farmland, forest) were transformed into developed land.

Remote Sens. 2019, 11, 2229 4 of 23

#### 2.3. LST, EVI, and NDBI from MODIS Products

A total of 416 images from MODIS Land Surface Temperature L3 product MOD11A2 Version 6, with a spatial resolution of 1000 m, were used in this study. The MOD11A2 product provides 8-day averaged LST based on daily LST produced by a split-window algorithm [66,67]. We calculated the mean LST for each summer (June to August) from 2000 to 2015.

We used EVI data derived from MODIS Vegetation Index L3 product MOD13A2 (224 total images, Version 6). The MOD13A2 product has a spatial resolution of 1000 m and provides a vegetation index for a 16-day time period by choosing the best available value from the 16-day period [68]. We calculated the mean EVI for each summer from 2000 to 2015. The EVI has been widely used to measure vegetation abundance [26]. It has improved sensitivity over high biomass regions and improved vegetation monitoring capability through a decoupling of the canopy background signal and a reduction in atmosphere influences [68]. NDBI data in this study were derived from MODIS Surface Reflectance L3 product MOD09A1 Version 6, with a spatial resolution of 500 m and a total of 416 images. The MOD09A1 product provides an estimate of the surface spectral reflectance of Bands 1–7, corrected for atmospheric conditions [69]. NDBI is defined as

$$NDBI = (RSWIR - RNIR)/(RSWIR + RNIR), \tag{1}$$

where *RSWIR* and *RNIR* are the surface spectral reflectance of Band 6 and Band 2, respectively [70]. To match the spatial resolutions of LST and EVI data, we resampled the MOD09A1 to 1000 m and then calculated the mean NDBI for each summer from 2000 to 2015. All MODIS products used in this study from Terra were acquired at 10:30/22:30 local time [71].

#### 2.4. The Calculation of Temporal Trends for SUHII, LST, EVI, and NDBI

We first calculated SUHII at the city level for the three urban zones, namely the OUA, NUA, and UA. The SUHII for each urban zone was defined as the difference in averaged LST between the urban areas (i.e., OUA, NUA, and UA) and the nonurban areas (NoUA). We then calculated the SUHII at the pixel level for each pixel in the UA, which was the difference between the LST of each pixel in the UA and the averaged LST in the NoUA. After we obtained the data of SUHII, LST, EVI, and NDBI from 2000 to 2015, we investigated the temporal trends of such variables (e.g., SUHII) at every pixel using ordinary least squares (OLS) linear regression models [36,43,72]. Here we use  $Trend_{LST}$  as an example to illustrate the calculation of  $Trend_{LST}$ ,  $Trend_{SUHII}$ ,  $Trend_{EVI}$ , and  $Trend_{NDBI}$ . We ran multiple OLS models at each pixel, with LST as the dependent variable and the year as the independent variable, to examine the regression coefficient, which was labeled as  $Trend_{LST}$  and used to measure the trend and annual magnitude of the change in LST. We tested the significance at the 0.05 level. For example, a positive  $Trend_{LST}$  with p < 0.05 means that LST at a specific pixel increased significantly from 2000 to 2015.

# 2.5. Statistics Analysis

Linear regression analysis was used to examine the temporal trends of SUHII, LST, etc., and to examine the effects of EVI and NDBI on LST across space for each year, with the NDBI and EVI as predictors and LST as the response variable. We compared the relative importance between different study zones of effects of NDBI and EVI on LST from 2000 to 2015 using standardized coefficients (i.e., beta coefficients) [49,53,73,74]. Finally, focusing only on the pixels with significant  $Trend_{EVI}$ ,  $Trend_{NDBI}$ , and  $Trend_{LST}$  during the daytime, we used Pearson correlation analysis and multiple linear regression to examine the effects of  $Trend_{EVI}$  and  $Trend_{NDBI}$  on  $Trend_{LST}$  and the standardized coefficients to compare the relative importance of  $Trend_{EVI}$  and  $Trend_{NDBI}$ . Figure 2 shows a flowchart of this study.

Remote Sens. **2019**, 11, 2229 5 of 23

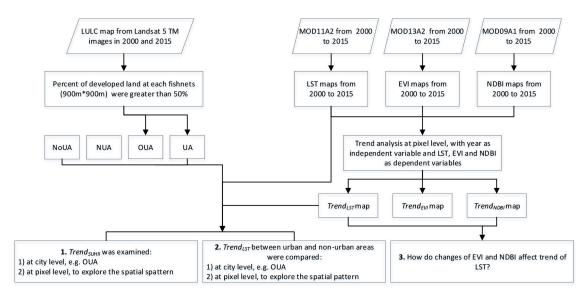


Figure 2. A flowchart of this study.

#### 3. Results

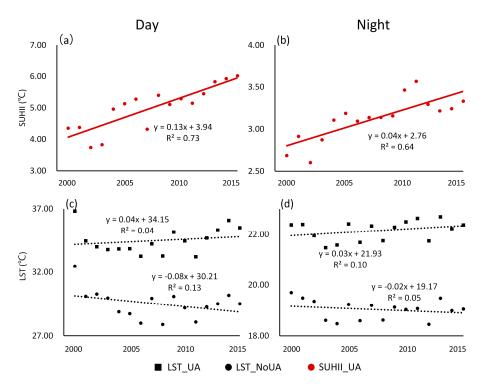
#### 3.1. The Urban Heat Island Effect Was Intensified in Beijing from 2000 to 2015

There was a significant increasing trend in SUHII in urban areas (UA) from 2000 to 2015, suggesting that the UHI effect was intensified in Beijing (Figure 3). During the daytime, SUHII in UA increased from  $4.35\,^{\circ}\text{C}$  in 2000 to  $6.02\,^{\circ}\text{C}$  in 2015 (Figure 3), with a mean of  $5.01\,^{\circ}\text{C}$ , a standard deviation of  $0.70\,^{\circ}\text{C}$  (Table A1), and a rate of  $0.13\,^{\circ}\text{C}$ /year (Figure 3). Compared to the daytime results, nighttime SUHII had a similar increasing trend from 2000 to 2015, but with smaller values (from  $2.68\,^{\circ}\text{C}$  to  $3.33\,^{\circ}\text{C}$ ) (Table A1) and a rate of  $0.04\,^{\circ}\text{C}$ /year (Figure 3).

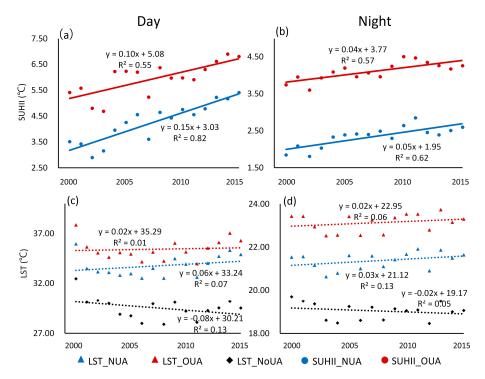
Additionally, OUA and NUA had a significant increasing temporal trend of daytime SUHII from 2000 to 2015 (Figures 3 and 4). Compared to OUA, the averaged daytime SUHII in NUA was smaller (5.94  $^{\circ}$ C in OUA vs. 4.27  $^{\circ}$ C in NUA) (Table A1). However, the increasing rate of daytime SUHII in NUA (0.145  $^{\circ}$ C/year) was higher than that in OUA (0.102  $^{\circ}$ C/year) (Figure 4), suggesting that NUA faced more rapid daytime warming than OUA (Figure 4). Similar patterns of nighttime SUHII were observed for OUA and NUA, but OUA had a slightly weaker trend (Figure 4).

Spatially, most of the areas in OUA had a significantly positive *Trend*<sub>SUHII</sub> during the daytime, except for a few locations, such as the Beijing Olympic Park, which had negative *Trend*<sub>SUHII</sub> (Figure 5). Compared to OUA, NUA usually had a smaller mean but a larger standard deviation of SUHII from 2000 to 2015 (Table A1). Additionally, NUA had large proportional areas with a higher increasing rate of *Trend*<sub>SUHII</sub>, especially in the southeast UA (Figure 5). Similarly, most of the areas in UA had a positive *Trend*<sub>SUHII</sub> during the nighttime (Figure 6), and NUA usually had large proportional areas with a higher increasing rate of *Trend*<sub>SUHII</sub> (Figure 6) compared to OUA and UA. Obviously, SUHII was usually lower at nighttime than daytime both in NUA and OUA (Figures 5 and 6).

Remote Sens. 2019, 11, 2229 6 of 23

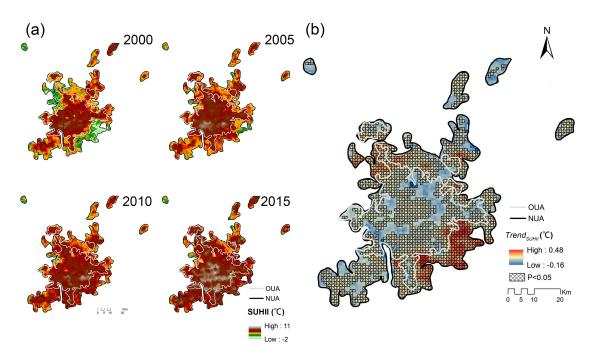


**Figure 3.** The trend of surface urban heat island intensity (SUHII) in UA and the trend of daytime land surface temperature (LST) in UA and NoUA (**a**,**c**) and at nighttime (**b**,**d**) from 2000 to 2015. LST\_UA means averaged summer LST in urban areas (UA), LST\_NoUA means averaged summer LST in nonurban areas (NoUA), and SUHII\_UA means the SUHII in urban areas (UA).

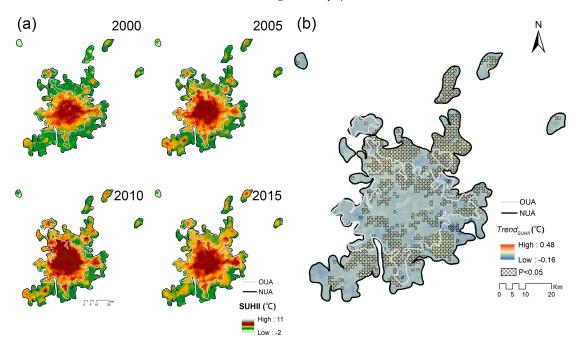


**Figure 4.** The temporal trends of SUHII and LST in OUA and NUA in the daytime (**a**,**c**) and nighttime (**b**,**d**) from 2000 to 2015. LST\_NUA means averaged summer LST in NUA, LST\_OUA means averaged summer LST in OUA, LST\_NOUA means averaged summer LST in NoUA, SUHII\_NUA means the SUHII in NUA, and SUHII\_OUA means the SUHII in OUA.

Remote Sens. 2019, 11, 2229 7 of 23



**Figure 5.** The spatial heterogeneity of SUHII in the years 2000, 2005, 2010, and 2015 (a) and temporal trends of SUHII ( $Trend_{SUHII}$ ) in urban areas (b) during the daytime. Pixels filled with crosshatches are locations where SUHII increased or decreased significantly (p < 0.05).



**Figure 6.** The spatial heterogeneity of SUHII in the years 2000, 2005, 2010, and 2015 (**a**) and temporal trends of SUHII ( $Trend_{SUHII}$ ) in urban areas (**b**) during the nighttime. Pixels filled with crosshatches are locations where SUHII increased or decreased significantly (p < 0.05).

# 3.2. The Rate of Cooling in Nonurban Areas Was Larger than the Rate of Warming in Urban Areas

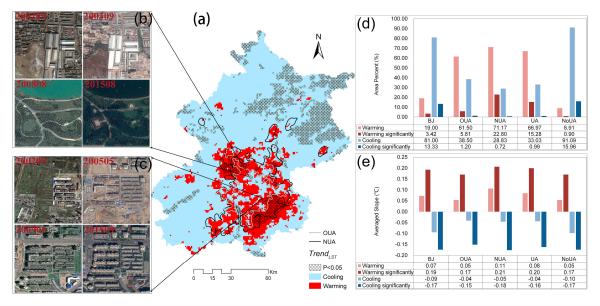
This significant increasing trend of averaged SUHII in UA, however, was largely caused by the cooling trend in NoUA because of their relatively larger magnitudes of the cooling rate, although it was also strengthened by the relatively weak warming trend in UA (Figure 3). The daytime LST in UA had a very weak increasing trend, with a rate of 0.04 °C from 2000 to 2015 (Figure 3c). In contrast, there was a stronger trend in the decrease of LST in NoUA, with an annual cooling rate of 0.08 °C (Figure 3c).

Remote Sens. **2019**, 11, 2229 8 of 23

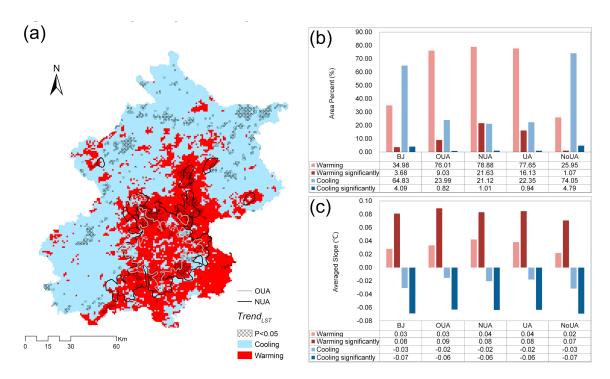
Consequently, there was a significant increasing trend in SUHII in UA (Figure 3a). Similarly, a warming trend (i.e., positive  $Trend_{LST}$ ) was observed in UA, but a cooling trend (i.e., negative  $Trend_{LST}$ ) with a greater rate occurred in NoUA, which led to an increase of SUHII in UA during nighttime (Figure 3b,d).

Both OUA and NUA had significant increasing trends of daytime SUHII from 2000 to 2015 (Figure 4c). Compared to OUA, the averaged SUHII in NUA was smaller (Table A1). However, the increasing rate of SUHII in NUA (0.15 °C/year) was higher than that in OUA (0.10 °C/year) (Figure 4a), suggesting that NUA faced more rapid warming than OUA did (Figure 4a). The OUA had a very weak trend in LST increase (0.02 °C/year), whereas NUA had a stronger warming trend (0.06 °C/year) during the daytime (Figure 4c). Such warming rates, however, were both smaller than the cooling rate in NoUA (0.08 °C/year) (Figure 4c). Therefore, the intensified SUHII was largely due to the cooling in NoUA and also due to the relatively weak warming in both OUA and NUA. Ultimately, the cooling rate in NoUA outweighed the warming rate in the NUA and OUA and resulted in an increasing trend of SUHII in the two suburban areas (Figure 4a,c). During nighttime, similar patterns were observed for OUA and NUA, but NUA had a slightly weaker increasing trend of LST during nighttime (Figure 4).

Spatially, there were large variations in significant warming and cooling spots for the entire study area, as well for different zones (Figures 7 and 8). During the daytime, most UA had positive  $Trend_{LST}$  (Figure 7a,c,d), while only a few spots, such as the Beijing Olympic Park, had a negative  $Trend_{LST}$  in OUA (Figure 7a,b). The area percentage of significant warming in UA (15.28%) and OUA (5.81%) was smaller than that of significant cooling in NoUA (15.96%), except for NUA with a large proportion of the zone (22.80%) having a significant increase in  $Trend_{LST}$  (Figure 7a,d). Additionally, the averaged significant cooling rate in NoUA was 0.17 °C/year, similar to the warming rate in OUA, although smaller than that in NUA (0.21 °C/year) and UA (0.20 °C/year) (Figure 7e).



**Figure 7.** The statistical results of daytime  $Trend_{LST}$  at the pixel level over all of Beijing (BJ) and its different study zones. (a) Spatial heterogeneity of  $Trend_{LST}$ . Pixels filled with crosshatches are locations where LST increased or decreased significantly (p < 0.05). (b) An example (Beijing Olympic Park) showing that land cover change during this period led to decreased LST. (c) An example showing that land cover change during this period led to increased LST. (d) The percentage of area where LST increased or decreased in different study zones. (e) The averaged slope of different LST increases or decreases in different study zones.



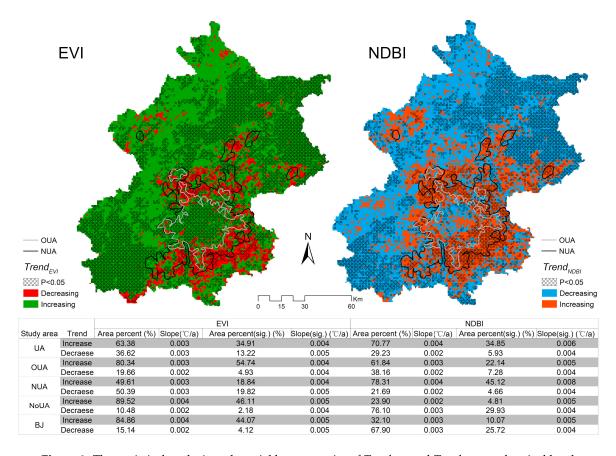
**Figure 8.** The statistics of  $Trend_{LST}$  at the pixel level over Beijing (BJ) and its different study zones during nighttime. (a) Spatial heterogeneity of  $Trend_{LST}$ . Pixels filled with crosshatches are locations where LST increased or decreased significantly (p < 0.05). (b) The percentage of area where LST increased or decreased in different study zones. (c) The averaged slope of different LST increases or decreases in different study zones.

The spatial pattern of  $Trend_{LST}$  from 2000 to 2015 during the nighttime, however, was largely different from that during the daytime (Figure 8). One of the most noticeable difference was that a much larger proportion of the entire study area had a positive  $Trend_{LST}$  during the nighttime compared to during the daytime (Figure 7a, Figure 8a). The area percent of urban warming in UA (OUA and NUA included) was similar to that of cooling in NoUA (Figure 8b) and similar to the comparison of averaged magnitudes (Figure 8c).

### 3.3. The Trends of EVI and NDBI Significantly Affected LST Trends

Most of the NoUA had a positive  $Trend_{EVI}$  from 2000 to 2015, with 46.11% of the areas having a significant trend, with EVI increasing (Figure 9). Both the OUA and NUA had large area percentages with positive  $Trend_{NDBI}$  during this period (Figure 9). The percentage of areas in NUA experienced a significant increasing trend in NDBI was 45.12%, which was larger than that in OUA (22.14%) (Figure 9), suggesting that more areas in NUA faced with quick urbanization that natural land was transformed to impervious area. In contrast, the percentage of areas in OUA had a significant increasing trend (i.e., significantly positive  $Trend_{EVI}$ ) was 54.74%, which was larger than that in NUA (18.84%), and even NoUA (Figure 9). Spatially, EVI increased where NDBI decreased, especially in urban areas (i.e., NUA).

Remote Sens. 2019, 11, 2229 10 of 23



**Figure 9.** The statistical analysis and spatial heterogeneity of  $Trend_{EVI}$  and  $Trend_{NDBI}$  at the pixel level over Beijing (BJ) and its different study zones during the daytime. Pixels filled with crosshatches are locations where EVI or NDBI increased or decreased significantly (p < 0.05).

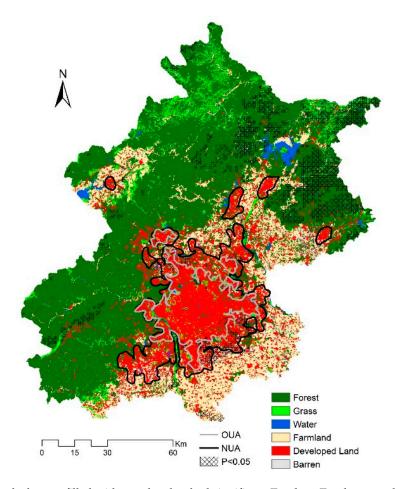
The results from OLS multiple linear regressions, with NDBI and EVI as the predictors and LST as the response variable, showed that in all analytical areas, EVI had significantly negative effects on LST, while NDBI had positive effects every year from 2000 to 2015. However, their relative importance on affecting LST varied by zones and changed over time (Table 1). In UA, EVI and NDBI played a nearly equally important role in predicting LST, and their relative importance remained largely unchanged over time, as indicated by the standardized coefficients (Table 1). In contrast, in surrounding NoUA, NDBI played a much more important role in predicting LST than EVI did, similarly to NUA (Table 1). On the contrary, in OUA, EVI played a more important role than NDBI did from 2000 to 2004, and then the two factors played a similar role in predicting LST (Table 1) Larger variations in LST were explained jointly by EVI and NDBI in OUA than NUA (Table 1). As for  $R^2$  in UA and NoUA, in the first few years,  $R^2$  values were larger in UA, but after 2009, the opposite was found.

**Table 1.** Standardized coefficients from the ordinary least squares (OLS) multiple linear regressions, with the NDBI and EVI as the predictors and LST as the response variable (p < 0.05 except for the bold).

		2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
OUA	EVI	-0.60	-0.55	-0.59	-0.45	-0.52	-0.37	-0.36	-0.41	-0.36	-0.40	-0.37	-0.25	-0.30	-0.31	-0.43	-0.44
	NDBI	0.23	0.27	0.26	0.29	0.28	0.43	0.38	0.37	0.45	0.35	0.41	0.46	0.46	0.47	0.37	0.34
	$R^2$	0.51	0.60	0.63	0.46	0.57	0.53	0.46	0.52	0.56	0.44	0.47	0.40	0.48	0.50	0.50	0.47
NUA	EVI	-0.22	-0.15	-0.31	-0.20	-0.33	-0.21	-0.17	-0.36	-0.29	-0.19	-0.26	-0.21	-0.22	-0.28	-0.27	-0.19
NUA	NDBI	0.60	0.55	0.46	0.48	0.39	0.46	0.41	0.38	0.42	0.43	0.44	0.48	0.43	0.45	0.41	0.53
	$R^2$	0.47	0.43	0.49	0.39	0.42	0.38	0.28	0.44	0.41	0.32	0.40	0.39	0.34	0.43	0.37	0.43
UA	EVI	-0.51	-0.40	-0.50	-0.41	-0.46	-0.37	-0.32	-0.45	-0.41	-0.41	-0.42	-0.34	-0.36	-0.38	-0.47	-0.39
UA	NDBI	0.41	0.46	0.39	0.41	0.41	0.47	0.45	0.40	0.44	0.36	0.38	0.44	0.41	0.42	0.31	0.40
	$R^2$	0.58	0.66	0.72	0.57	0.66	0.60	0.52	0.61	0.60	0.50	0.52	0.48	0.48	0.53	0.49	0.51
NoUA	EVI	-0.26	-0.21	-0.15	0.04	-0.39	-0.09	-0.04	-0.39	-0.31	0.01	-0.07	-0.04	-0.29	-0.22	-0.07	-0.13
	NDBI	0.49	0.34	0.45	0.72	0.28	0.65	0.67	0.34	0.40	0.72	0.72	0.72	0.52	0.60	0.75	0.68
	$R^2$	0.44	0.26	0.31	0.49	0.38	0.50	0.49	0.43	0.40	0.50	0.60	0.56	0.55	0.62	0.64	0.62

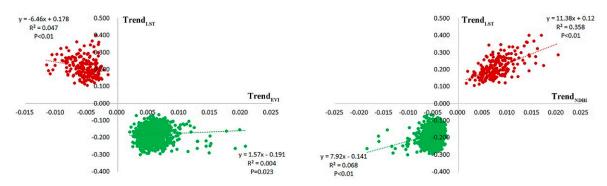
Remote Sens. 2019, 11, 2229 12 of 23

We further explored how the changes in EVI and NDBI influenced LST, considering the pixels with significant  $Trend_{EVI}$ ,  $Trend_{NDBI}$ , and  $Trend_{LST}$  (Figure 10). For pixels with significantly positive  $Trend_{LST}$ ,  $Trend_{EVI}$  had a significantly negative relationship with  $Trend_{LST}$ , while  $Trend_{NDBI}$  had a significantly positive one (Figure 11). This result suggested that the magnitudes of temporal trends of EVI and NDBI would significantly affect the magnitude of the temporal trend of LST in long-term time series. Decreased LST ( $Trend_{LST} < 0$ ) was related to increased EVI ( $Trend_{EVI} > 0$ ) and decreased NDBI (*Trend*<sub>NDBI</sub> < 0) significantly, meaning that LST would decrease when EVI increased and NDBI decreased significantly (Figure 11). A positive relationship between Trend<sub>NDBI</sub> and Trend<sub>LST</sub> was found, indicating that a higher  $Trend_{NDBI}$  was related to a higher  $Trend_{LST}$  (Figure 10). Interestingly,  $Trend_{EVI}$ had a weak but significantly positive relationship with  $Trend_{LST}$  (p = 0.023), which was different from the negative relationship between those two when  $Trend_{EVI}$  was negative. This result indicated that an increase in EVI would lead to a decrease in LST. However, a more rapid increase of EVI did not lead to a more rapid decrease of LST. In fact, the larger increasing rate of EVI was associated with a slower decreasing rate of LST. In addition, Trend<sub>NDBI</sub> was a more important predictor of Trend<sub>LST</sub>, playing a much more important role in predicting  $Trend_{LST}$  than  $Trend_{EVI}$ , as suggested by the standard coefficients (Table 2).



**Figure 10.** Pixels that are filled with crosshatches had significant  $Trend_{EVI}$ ,  $Trend_{NDBI}$ , and  $Trend_{LST}$  and were considered to analyze how the changes in EVI and NDBI could influence LST, with the background map indicating the land cover of Beijing in 2015 (derived from 30-m Landsat images) [63,75].

Remote Sens. 2019, 11, 2229 13 of 23



**Figure 11.** Relationships between  $Trend_{EVI}$ ,  $Trend_{NDBI}$ , and  $Trend_{LST}$ . Note: a positive  $Trend_{LST}$  means LST increased significantly at a specific pixel and at different rates of warming. A positive  $Trend_{EVI}$  means EVI increased significantly at the specific pixels at a different increasing rate, and it was the same for  $Trend_{NDBI}$ .

**Table 2.** The results of a regression analysis considering pixels with significant trends in changes in EVI, NDBI, and LST at a 0.05 level.

Variables	Coefficient	Standard Coefficient	$R^2$
Trend <sub>EVI</sub>	-4.74 23.83	-0.14 0.67	0.86
$Trend_{NDBI}$	23.83	0.67	

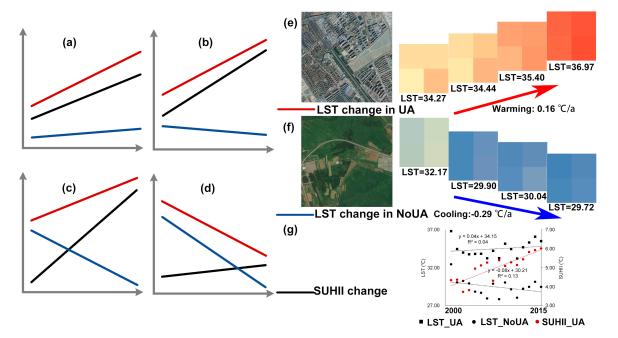
#### 4. Discussion

# 4.1. Understanding the Process of Intensified SUHII by Comparing the Differences in LST Trends in Urban and Nonurban Areas

The Beijing metropolitan area had intensified SUHI, as suggested by the increasing trend of SUHII from 2000–2015. This result is consistent with that from a large amount of studies, which found that with urban expansion, the UHII (both SUHII and UHII in terms of air temperature) tends to increase [33,37,39,41,43,60,72,76–78]. Compared to old urban areas (OUA in this study), the new urbanized and urbanizing areas (NUA in this study) had a more rapid intensification of UHI, which was indicated by both the SUHII and LST values, similarly to findings from previous results [34,42,60,79]. What is new in this study is that we further revealed that such intensification in Beijing was largely due to the cooling trend in surrounding nonurban areas but not due to significant warming in urban areas. While both the cooling trend in nonurban areas and the warming trend in urban areas contributed to such intensification, the magnitude of the cooling rate in nonurban areas was much larger than that of the warming rate in urban areas. This result has significant implications for urban heat mitigation and adaptation. The cooling trend in the surrounding nonurban areas was likely due to the ecological restoration projects conducted in Beijing, especially the Million Mu Trees Campaign, which greatly increased the coverage of forests [80]. While the urban areas from 2000-2015 overall had an increasing LST trend, a relatively large proportion (38.50%) of OUA had a trend of cooling. This was likely due to increased efforts dedicated to urban greening in Beijing, such as the "Plant Where Possible" policy in the urban core areas [81,82], which have led to the establishment of lots of new urban vegetation and parks in old urban areas [81,83]. Such greening efforts can help reduce LST in urban areas [53,54,59,84]. However, LST in the new urban areas generally had a trend of increase, and at a higher rate compared to old urban areas. Urban expansion, especially edge expansion and leapfrogging in NUA [75,85,86], typically includes replacing vegetation and natural land with impervious surfaces, which changes characteristics toward lower albedo, more anthropogenic heat (e.g., more cars, more air conditioning), higher heat storage (e.g., more tall buildings), and lower evapotranspiration in urban areas [87–90]. These changes thereby lead to the increase of LST. However, it is worth noting that even though LST had an increasing trend in the new urban areas, the annual warming rate was small (Figure 4). This was also a result of the great efforts that Beijing has devoted to urban greening. For example, a regulation

was enforced in 2010 that requires that the percentage cover of vegetation be greater than 30% in all newly built residential areas.

Our study underscores the importance for urban heat mitigation strategies of quantifying and reporting the temporal trends of LST in both urban and surrounding nonurban areas when investigating SUHI and its changes. Such points have been conducted in previous studies [33,36], which found significantly intensified SUHII but with different LST changes between urban and nonurban areas [33,36], and also revealed that the cooling in rural areas (e.g., because of EVI enhancement) could explain such intensified SUHII (e.g., around 22.5%) [35]. What's new in this study is that we further gave four potential scenarios that have intensified SUHII based on a comparison between LST change in urban and nonurban areas: (a) LST increased in both urban and nonurban areas, but at a greater magnitude of warming rate in urban areas (Figure 12a); (b) the LST in urban areas increased, while it decreased in nonurban areas. The warming rate in urban areas outweighed the cooling rate in surrounding nonurban areas (Figure 12b). (c) The LST in urban areas increased, while it decreased in nonurban areas. The cooling rate in surrounding nonurban areas outweighed the warming rate in urban areas (Figure 12c). (d) The LST decreased in both urban and nonurban areas, but at a greater magnitude of cooling in nonurban areas (Figure 12d). While SUHII may increase along with urbanization, as has been shown in many previous studies, intensified SUHI as a result of scenario d would be the best (and scenario a would be the worst) from the perspective of urban sustainable development. Our classification differed from the classification which was based on seasonal and diurnal variations of LST changes in Reference [36]. Our classification, which focused on the LST changes in both urban and nonurban areas, could be more important for specific cities in city-based urban heat mitigation strategies designing.



**Figure 12.** Four possible categories of LST change in both urban and nonurban areas attributed to an increase in SUHII (**a**–**d**). And the results from Beijing belong to scenario c (**g**), in which LST incrased in UA (**e**), but decreased in NoUA (**f**).

The changes in urban temperature were the results of warming (e.g., urban heat island) and cooling effects (e.g., urban cool island) in urban areas [28,37]. A warming effect due to urban expansion (both in the horizontal and vertical dimensions, and both in urban land intensification and urban population concentration) may lead to an increase in temperature in urban areas. Meanwhile, increased vegetation cover due to the great efforts of urban greening policies [81], plus vegetation management such as irrigation [28], can result in a decrease in temperature. Changes in temperature depend on the net effects of warming and cooling [28]. Similarly, rapid urbanization could increase temperature in nonurban areas because of the strong effect of urban areas on surrounding nonurban areas, such as great changes in rural landscapes [41]. Meanwhile, along with urbanization, the migration of rural populations to urban areas and great greening polices lead to the restoration of ecosystems in nonurban areas, which can make the nonurban area temperatures lower [91]. Changes in temperature in rural areas depend on the net effects of these two opposite processes as well.

In scenario d (as an example), an increase in SUHII is the result of a decrease in temperature in both urban and rural areas. In contrast, in scenario a, an increase in SUHII is the result of an increase in temperature in both urban and rural areas. While the trends in SUHII are similar, that is, increases in both scenarios, a and d, their implications for human health and urban and regional sustainability are totally different. Beijing generally fits in scenario c, with a smaller magnitude of warming rate in urban areas than in the cooling rate in nonurban areas. This is likely due to greening efforts dedicated to urban areas [81], which can effectively alleviate the continuous increase of urban temperatures. These great greening polices, which lead to the restoration of ecosystems in rural areas, can make the temperature lower in nonurban areas as well [91].

The classification of cities into one of the four types with increasing SUHIIs could provide more information on urban heat and help promulgate greening regulations according to the specific conditions of urban and rural areas. It should be noted that the classification of a city into one of the types may vary by the environmental context of a specific city and may change with time (daytime or nighttime or in different seasons). Therefore, cross-city comparison research would be desirable in future studies to design city-based heat mitigation strategies.

## 4.2. Understanding the Effect of Land Cover Changes on LST Change by Using Long-Term Time Series Data

EVI and NDBI both significantly affected LST. However, their relative importance in predicting LST varied by zone and year. Our results show that NDBI played a more important role in predicting LST in new urban areas and surrounding nonurban regions, which is similar to findings from previous studies [57,92]. Many previous studies found that impervious abundance index, such as NDBI or percent cover of impervious surface area (ISA), was more important than vegetation abundance index, such as EVI, the normalized vegetation index (NDVI), or percent cover of vegetation [57,92–95]. The relative importance of the two variables, however, was different in old urban areas. EVI generally played a slightly more important role in predicting LST than NDBI did in old urban areas (Table 1). Old urban areas in Beijing typically have less vegetation coverage than new urban areas and surrounding nonurban regions [63,81]. This result may suggest that increasing vegetation coverage in old urban areas could lead to better cooling effects [53]. Additionally, although increasing vegetation generally could lower LST, the relationship between vegetation and LST varied spatially across the city. Such variation may be related to other characteristics of vegetation (e.g., quality) in addition to the amount of vegetation [96]. For example, previous studies have shown that local climate zones can be a useful tool in exploring the relationship between vegetation and LST by considering the different vegetation classes in terms of height and density of vegetation [97]. Future research that uses local climate zones to better understand the relationship between EVI and LST is highly desirable.

Additionally, the temporal trend of EVI and NDBI could also lead to LST variability (significantly). Our results showed that *Trend<sub>EVI</sub>* was negatively related to *Trend<sub>LST</sub>* only when EVI decreased, which was consistent with results from previous studies [44,60], indicating that the higher the rate of the loss of existing urban vegetation was, the more rapid the LST increase was [60]. However, our results also showed that  $Trend_{EVI}$  positively affected  $Trend_{LST}$  significantly when EVI increased (i.e.,  $Trend_{EVI}$  was positive), which was slightly different from the results found in Wang et al., 2016 [60], suggesting that the magnitude of increase of new urban vegetation might not result in the same amount of magnitude decrease in LST. In other words, with an increase in new urban vegetation, its effects on or capacity to lower LST declined. This was likely due to the fact that newly generated urban vegetation did not have the same cooling capacity as the the existing vegetation did [59]. For example, compared to mature trees, newly planted trees generally are shorter and have less tree canopy coverage, and therefore the same amount of vegetation may provide less shading and evapotranspiration but may lead to a decrease in albedo because of more underlying bared soil. Consequently, although a gain in trees could ameliorate urban heat, if we just focus on an increase in the amount of urban vegetation, such as creating new urban vegetation rapidly, the cooling effect will not be high as we expect [59]. These results highlight the importance of not only considering increases in the amount of vegetation, but also improving its quality [54,98].

We also found that the change in NDBI played a much more important role in predicting LST change than did the change in EVI. These results were different from previous work that showed that a cooling effect of increased vegetation cover was actually stronger than the heating effect due to urban development and expansion [60]. However, it should be noted that Wang et al. (2016) focused on two time slices (i.e., 2000 and 2014), but we used the temporal trends of EVI and NDBI from a time series of remote sensing data, which could potentially reduce the effects just from one or two years. It should be noted that although aggregating LST to a summer mean level greatly increased the spatial coverage of LST pixels, it reduced the temporal resolution of the LST data, and thus could have potentially resulted in information loss compared to the use of entire LST scenes individually.

#### 5. Conclusions

This study aimed to reveal whether the intensification of SUHI is mainly caused by urban warming or the cooling of surrounding nonurban regions and to investigate the potential drivers of LST change. Using time-series analysis, we found that (1) there was a significant increasing trend in SUHII from 2000 to 2015, suggesting intensified SUHII effects in Beijing, especially in OUA. (2) This significant intensified SUHII, however, was largely due to a cooling trend in the NoUA, not to significant warming in UA. While both the cooling trend in NoUA and the warming trend in UA contributed to such intensification, the magnitude of cooling in NoUA contributed much more to the intensification than did warming in the UA. Spatially, there was a smaller percentage of areas with significant urban warming in OUA than the percentage of areas with significant cooling in NoUA. Locations with cooling trends also occurred in UA, particularly in OUA. (3) The EVI and NDBI could affect LST significantly (negatively and positively, respectively), but with varied importance in different study zones and time. (4)  $Trend_{EVI}$  significantly affected  $Trend_{LST}$  positively when EVI increased, suggesting that a more rapid increase in new urban vegetation (EVI increase) could lead to a slower decrease in LST but not to a quicker decrease in LST, as we expected.

This study also presents a research framework that underscored the importance for urban heat mitigation strategies of comparing and reporting the temporal trends of LST in both urban and surrounding nonurban areas when investigating SUHII and its changes. The framework highlighted that it is not enough to focus on SUHII and its changes alone, which might even be misleading. It also suggests that this is necessary for city-based urban heat mitigation strategies. This is because an intensified SUHI may be caused by totally different warming/cooling processes in urban and nonurban areas. Therefore, more city-based research and cross-city comparisons are highly desirable. Additionally, this study also enhances our understanding of evidence and the drivers of intensified urban heat island effects.

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### Variables and Acronyms

SUHII Surface urban heat island intensity

UA Urban areas, urban areas developed before 2015

OUA Old urban areas, urban areas developed before the year 2000

NUA Nonurban areas, rural areas that were not urbanized before the year 2015 NoUA Nonurban areas, rural areas that were not urbanized before the year 2015

 $Trend_{SUHII}$  Temporal trend (i.e., the coefficient) of SUHII from 2000 to 2015, both at a city and pixel level Trend<sub>LST</sub> Temporal trend (i.e., the coefficient) of LST from 2000 to 2015, both at a city and pixel level

 $Trend_{NDBI}$  Temporal trend (i.e., the coefficient) of NDBI from 2000 to 2015 at a pixel level Trend<sub>EVI</sub> Temporal trend (i.e., the coefficient) of EVI from 2000 to 2015 at a pixel level

# Appendix A

**Table A1.** The statistics, including mean and standard errors, for SUHII from 2000 to 2015 in UA, OUA, and NUA. For example, Mean\_UA and std\_UA mean the mean value and standard errors of SUHII in UA in different years during the day and at night.

Year	Day							Night						
	Mean_UA	std_UA	Mean_OUA	std_OUA	Mean_NUA	std_NUA	Mean_UA	std_UA	Mean_OUA	std_OUA	Mean_NUA	std_NUA		
2000	4.38	1.74	5.42	1.24	3.52	1.62	2.72	1.32	3.75	1.08	1.86	0.79		
2001	4.42	1.65	5.59	1.19	3.45	1.31	2.94	1.27	3.96	1.02	2.10	0.72		
2002	3.77	1.42	4.81	1.07	2.92	1.04	2.64	1.27	3.60	1.03	1.82	0.80		
2003	3.86	1.39	4.70	1.14	3.18	1.17	2.91	1.27	3.94	0.95	2.05	0.78		
2004	5.01	1.60	6.24	1.23	4.00	1.04	3.14	1.26	4.10	1.04	2.35	0.77		
2005	5.18	1.48	6.24	1.12	4.30	1.10	3.22	1.23	4.20	0.97	2.40	0.74		
2006	5.32	1.40	6.20	1.19	4.60	1.09	3.13	1.15	3.97	0.98	2.43	0.72		
2007	4.36	1.35	5.24	1.10	3.64	1.05	3.18	1.18	4.08	0.94	2.43	0.76		
2008	5.46	1.51	6.39	1.32	4.70	1.16	3.17	1.20	3.97	1.06	2.51	0.84		
2009	5.15	1.35	5.98	1.09	4.47	1.11	3.20	1.35	4.25	1.08	2.32	0.82		
2010	5.34	1.24	5.99	1.05	4.81	1.10	3.50	1.39	4.51	1.18	2.66	0.91		
2011	5.20	1.30	5.92	1.16	4.61	1.05	3.59	1.19	4.47	0.98	2.86	0.77		
2012	5.51	1.41	6.31	1.20	4.85	1.19	3.33	1.37	4.36	1.18	2.47	0.80		
2013	5.88	1.33	6.62	1.10	5.28	1.16	3.25	1.37	4.27	1.17	2.40	0.86		
2014	5.99	1.49	6.90	1.12	5.23	1.30	3.28	1.20	4.18	0.87	2.53	0.89		
2015	6.08	1.53	6.82	1.24	5.49	1.46	3.37	1.20	4.27	0.90	2.62	0.85		
Averaged	5.01	0.70	5.94	0.65	4.27	0.77	3.12	0.26	4.11	0.25	2.34	2.34		

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Remote Sens. 2019, 11, 2229 21 of 23

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