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Article

Remote Sensing Image Interpretation for Urban Environment Analysis: Methods, System and Examples

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Abstract: Remote sensing imagery has been widely used in urban growth and environment analysis with many effective and advanced strategies being developed. However, most of these approaches are separated from each other. There is an urgent need to combine different modules into some practical processing chains. Firstly, we present a comprehensive analysis of key processing chains in applying remote sensing images to urban environment analysis from such aspects as Land Use/Land Cover (LULC), urban landscape ecology, Urban Heat Islands (UHIs), vegetation and water monitoring, change detection, urban ecological security assessment and urban environmental mapping. Secondly, an integrated system, namely Urban Environment Analysis System (UEAS), is implemented based on the aforementioned processing chains to analyze urban environment using multi-temporal and multi-source remotely sensed data. Several case studies are demonstrated to confirm the effectiveness of the integrated system and the combined processing chains. The contributions of this paper lie in introducing ensemble learning to urban environment remote sensing, combining remote sensing derived information with thematic models for urban environment assessment, and developing an integrated system for urban environment analysis.

Keywords: urban remote sensing; Urban Environment Analysis System (UEAS); Land Use/Land Cover (LULC) classification; Urban Heat Islands (UHIs); Land Surface Temperature (LST); Change Detection (CD)

1. Introduction

Effective and sustainable urban management increasingly requires advanced techniques to obtain various and up-to-date information on the pattern, state, characteristics, and development of an urban environment [1]. Remotely sensed imagery is an effective data source for urban environment analysis that is inherently suited to provide information on urban land cover characteristics and their changes over time at various spatial and temporal scales [2–6]. In the past decades, remote sensing has been widely used in various applications, such as urban structure extraction, urbanization monitoring, change detection, and so on [5,7–13]. With the development and innovations in data, technologies, and theories in the wider arena of earth observation, urban remote sensing has rapidly gained popularity among a wide variety of communities from many aspects such as Land Use/Land Cover (LULC) mapping, Urban Heat Islands (UHIs) analysis, impervious surface area estimation and urban ecological security assessment [12].

Many algorithms and models have been developed to analyze urban environment [5–13]. However, they are usually implemented with independent functions in separate modules. Since different remotely sensed images have various spatial, temporal, spectral, and radiometric characteristics, it is challenging to produce accurately thematic information and quantitative indicators using only one model. Therefore, it is important to combine some useful but separated modules into efficient processing chains, re-organize different image processing tasks from the viewpoint of urban environment analysis, and integrate remote sensing derived thematic information with thematic models for practical uses. In this paper, we present a comprehensive analysis of key techniques for remote sensing analysis of urban environment, such as LULC, urban landscape ecology, UHIs, vegetation and water extraction, change detection and urban ecological security assessment. After that, an integrated system, namely Urban Environment Analysis System (UEAS), is implemented for the analysis of urban expansion and environmental changes using multi-temporal and multi-source remotely sensed data.

The contributions of this paper are: (1) proposing practical image processing chains for urban environment analysis by re-organizing and combining generally different and separate tools; (2) applying ensemble learning to an urban area to improve the performance of image classification and change detection; (3) combining remote sensing derived information with thematic models for

urban environment assessment and (4) developing an integrated system for urban environment analysis using multi-source and multi-temporal remotely sensed images.

The rest of this paper is organized as follows. A systematic review of key techniques for urban environment analysis is presented in Section 2. In Section 3, several key processing chains are proposed. The implementation of UEAS is briefly introduced in Section 4. In addition, we also provide several studies conducted by UEAS. Finally, Section 5 concludes the work with some remarks.

2. Key Elements and Approaches for Remote Sensing Analysis for Urban Environment

2.1. LULC Classification and Change Detection over the Urban Area

Image classification and change detection are two of the most popular techniques for monitoring LULC in urban areas. Remote sensing image classification or change detection is a complex process which requires consideration of many factors. The major steps include selection of remotely sensed data, determination of a proper classification or detection system, image preprocessing, feature selection/extraction, selection of suitable classification or detection approaches, post-classification processing and accuracy assessment [14]. A suitable classification or detection system and remotely sensed imagery should be chosen according to the characteristic of images, land cover types, atmospheric condition, the objective of study, and the capacity of hardware and software. Generally speaking, classification and change detection techniques can be grouped into two main categories: supervised and unsupervised. The former needs a number of samples for training the supervised classifier or detector according to prior knowledge of the study area and used dataset. Representative methods include Post-Classification Comparison (PCC) [15], Support Vector Machine (SVM) [16] and Artificial Neural Network (ANN) [17]. The latter directly derives spectral clusters and extracts ground changes without support from ground samples. Typical algorithms consist of Iterative Self Organizing Data Analysis (ISODATA), K-means for classification, image differencing [18], Principal Component Analysis (PCA) [19], and Change Vector Analysis (CVA) for change detection [20].

2.2. Urban Heat Islands

Land Surface Temperature (LST) is an important parameter and plays a significant role in urban environment analysis, climate change, and ecology monitoring [10]. Remote sensing has been viewed as one of the most effective tools for LST inversion and urban heat islands analysis. Thermal Infrared (TIR) data are used to retrieve LST by measuring Top Of Atmosphere (TOA) radiances [21]. Various approaches, including radiative transfer and split-window techniques, *etc.*, have been developed and extensively used in the literature [22,23]. In this paper, Mono-Window Algorithm (MWA), Single-Channel Algorithm (SCA) and improved Temperature and Emissivity Separation algorithm (TES) are used to retrieve LST from Landsat TM and ASTER TIR channels. The details of these approaches can be found in [22–24].

2.3. Vegetation and Water Body Extraction over the Urban Area

Vegetation and water extraction are significant activities in determining water balance, estimating geomorphological change and are meaningful in many applications such as urban planning, disaster

assessment, flood forecasting, and wetland conservation [25–29]. Vegetation extraction approaches can be divided into the following groups: vegetation indexes, classification, vegetation abundances, and greenness component derived from K-T transform. Among them, Normalized Difference Vegetation Index (NDVI) is the most popular one.

The commonly used algorithms for the extraction of water bodies can be grouped as follows: classification algorithms, band thresholds, and indexes based methods [30]. The most widely used one is Normalized Difference Water Index (NDWI). Wang *et al.* utilized MDWI for rapid water information extraction in China coastal zones [31]. Ruan *et al.* applied NDVI, NDWI and single band density slicing methods for water extent extracting [32]. Cui *et al.* used NDWI to remove the humidity effect in the LST retrieval process [33]. Water information extracted by NDWI is mixed with other non-water information, especially in the case of urban areas. Modified NDWI (MNDWI) is more suitable with respect to NDWI when the major part of the image is the built-up area. Ratio index models have an advantage for water body extraction for the dense river network, numerous ponds and the manmade interference region [32,34].

2.4. Evaluation of Urban Landscape and Ecology

Landscape pattern is the spatial arrangement of landscape elements in different sizes and shapes. It affects ecological processes such as transfer of substances, energy, and information, resulting in alteration of the landscape function. Analyzing landscape pattern can be used to study landscape spatial structure change. Landscape pattern is a measure of an ecosystem's ability to prove habitat, prevent environmental degradation, and support other natural processes. In order to study the pattern change, different landscape metrics, including diversity index, shape index, patch density, mean of patch area distribution, and the landscape fractal dimension, are often adopted [35].

2.5. Urban Ecological Security Assessment

Ecological security is a recent concept, but because of its short history, it has no universally accepted definition, parameters, or appropriate research methods [36–38]. Scientists have adopted both broad and narrow concepts. Broad concepts comprise natural, economic, and social ecological security, while narrow concepts include ecosystems which are influenced by the natural aspect of human activities in specific areas [39]. Ecological security should be assessed on different scales. Global scale means processes of global change, regional scale means processes in specific areas and urban scale represents urban development. Increasing concerns over "urban ecological security" are now giving priority to strategies with regard to reconfiguring cities and their infrastructures to secure their ecological and material reproductions [40].

Different models are adopted in ecological security evaluation, such as the Pressure-State-Response (PSR) model, the Environment-Society-Economy (ESE) model and the Environment-Resource (ER) model [41]. In particular, the PSR model has been applied most widely in many fields due to its advantages, such as easy-to-use structure, understandable and neutral descriptions of environmental systems, flexible adjustment in spatial scales, easy extension, and synthetic considerations.

2.6. Urban Environmental Mapping

Ecological unit mapping has become one of the primary contents of urban and rural ecological planning [42]. On the basis of the city information, urban ecological unit mapping is used to divide ecological units into different elements (for instance, public land use, buildings, vegetation, roads and water bodies) and to record their spatial and attribute data [43].

The methodologies for ecological unit mapping can be roughly divided into three categories: selective mapping for focus areas, regional mapping based on typical samples, and whole region mapping based on remote sensing [44]. On the support by Geographic Information System (GIS) and Remote Sensing (RS) technologies, the major steps for urban environmental unit mapping include [43]: data collection; the preliminary interpretation of remotely sensed data (tone or color, shadow, size, shape, texture, pattern); site information collection, and field investigation; the classification of ecological units and validation; and field verification for ecological unit maps.

In order to address the requirements of urban environment remote sensing, a systematic chart summarizing the approaches in different modules used for urban environment analysis is shown in Figure 1. These modules are given specialized names of LULC, LST (corresponding to UHIs), thematic mapping, change detection and integration.





3. Design of Effective Remote Sensing Image Processing Chains for Urban Environment Analysis

As we discussed before, most of the tools and algorithms are separated. In order to process remote sensing data more effectively, we managed to combine different modules and re-organize related tasks to form some processing chains for urban remote sensing. These processing chains will be implemented in our integrated system.

LULC: LULC maps can be produced by both single classifiers and classifier ensembles. The main topologies of classifier ensembles are concatenation, parallel and hierarchical combination. Concatenation combination treats the former classification results as an input of the next classifier. Parallel combination methods integrate the individual classification results without any mutual interaction, to be combined according to certain algorithms. Hierarchical ones combine both concatenation and parallel classifier configurations. More details can be seen in [45,46]. The parallel and concatenation combination algorithms are realized in the LULC module.

UHIs: In this processing chain, UHIs maps, UHIs distribution status and relationship between LST and different indexes are necessary. NDVI is one of the primary functions during UHI inversion routines. It is given by the formula as, NDVI = (NIR - RED)/(NIR + RED), where NIR and RED are near infrared and red channel of remotely sensed data, respectively. Emissivity could be calculated by the NDVI map or classification map. For UHIs analysis, LST profiles from two directions along north to south or east to west can be derived. LST retrieval algorithms include MWA, SCA, the radiative transfer equation, and the TES are implemented.

Vegetation and water extraction: In this processing chain, the methods for vegetation and water extraction are realized. These approaches include Modified Soil Adjusted Vegetation Index (MSAVI), threshold, NDVI, NDWI, and MNDWI [47,48].

Chang detection: There are three processing chains in the change detection module: Coarse To Fine Change Detection (CTF_CD), SVM based CD, and Fusion based CD. In the CTF_CD, two groups of CD methods can be selected. One is the coarse data change detection suitable for low spatial resolution images, including the PCA, CVA and Independent Component Analysis (ICA) techniques. The other is the fine data change detection designed for high spatial resolution images, including image differencing, ratio and SVM techniques. In the SVM based CD, two-date remote sensing imageries are used to automatically generate the difference image. Furthermore, the training set is imported to train an SVM detector to generate the final CD map. In the Fusion based CD submenu, there are two levels of fusion operations. The former is the pixel/feature level in which the original multi-date remote sensing data are directly imported to generate the result. The latter is the decision level fusion in which the results should be produced by combing the previous pixel/feature fusion results.

Model integration: In this advanced module, LULC processing chain, LST retrieval processing chain and UHIs analysis functions are integrated as automatic/semi-automatic workflow processing with few manual interventions. Two processing chains, including NDVI based and classification based techniques for LST retrieval, are integrated to predict and simulate urban thermal environment. A PSR model and a weighted summation model are integrated with remote sensing derived information to conduct some thematic assessment to urban environment.

4. Software System and Case Applications

Based on the aforementioned analysis, we developed a software system, namely the Urban Environment Analysis System (UEAS), including basic modules and advanced modules for urban environment analysis. These software routines were developed mainly by Interactive Data Language (IDL) (http://www. exelisvis.com/language/en-US/Company/CompanyHome.asp IDL introduction link). A part of them is combined with ENVI functions. The main functional modules embodied as menu items include (1) LULC: MLC, SVM, BPNN, parallel and concatenation classifier ensemble strategy; (2) UHIs: NDVI, Emissivity, SCA algorithm for Landsat TM data (SCATM), WMA algorithm for Landsat TM data (WMATM), NDBI_LST (relationship between Normalized Difference Built-up Index and Land Surface Temperature analysis); (3) water body and vegetation extraction: threshold, NDVI, NDWI, MNDWI; (4) change detection: PCA_CD, SVM_CD, CTF_CD; (5) model integration: TES (Thermal environmental simulation). A screenshot of user interface is shown as Figure 2.

The UEAS is realized with a collection of IDL source files. In order to run these scripts, installation of a virtual machine of IDL 8.x or later is required. The freely distributed virtual machine can be downloaded from the homepage of EXELISVIS (http://www.exelisvis.com). Output binary data are saved as Tagged Image File Format (TIFF) images, which are easy to open using professional remote sensing software or universal image processing software.

Figure 2. Graphic user interface.



In the following subsections, several applications on two typical study areas are applied to demonstrate the effectiveness of the developed system. Multi-source remote sensing images with different spatial and spectral resolutions are used.

4.1. Land Cover Classification Using the Ensemble Learning Method

Ensemble learning aims at combining the outputs of individual learners to improve the performance of pattern recognition. Since it is difficult to select a best classifier for urban land cover classification, it is advantageous to employ ensemble learning to remote sensing image classification. Different classifiers are viewed as individual learners and their outputs are combined by some specific combination rules [14,46]. QuickBird multi-spectral image (four spectral bands, spatial resolution: 2.44 m) is used as experimental data. There are five classes of interest: water, built-up area, green area, vegetation, and barren soil. A study area is located in the northern suburb of Xuzhou city along the urban and rural connecting areas with an image size of 500×500 . In addition to the original multi-spectral bands, textural features (mean and variance) extracted by Gray Level Co-occurrence Matrix (GLCM) are treated as the classification input.

In our first experiment, the classification performances of Bagging and AdaBoost with three unstable classifiers, including J48 decision tree (J48DT), RBF neural network (RBFNN) and MLP neural network (MLPNN), were investigated. Bagging applies sampling with replacement to obtain independent training samples for individual classifiers. Boosting changed the weights of training samples according to the results of the previous trained classifiers, focusing on the wrong classified samples, making the final result by a weight vote rule. Overall accuracies of single and ensemble classifiers are summarized in Table 1. It can be seen from Table 1 that AdaBoost is superior to Bagging. In comparisons of the three base classifiers, the accuracies of AdaBoost are increased by 3.25, 1.94 and 2.25 percentage points. Furthermore, we studied the influence of bootstrapped sample size of Bagging to classification performance. Here, we chose four sample sizes: 25%, 50%, 75% and 100%. The general trend is that the overall accuracies increase slightly (less than 1%) when the sampling rate rises. The accuracy of RBFNN is a bit unsteady under different sampling rates. This may be due to the fact that the number iterations of RBFNN are not enough (only 10 in the experiment).

Base Classifier	J48 DT	MLPNN	RBFNN
Base classifier	92.42%	93.49%	92.83%
Bagging(25% samples)	94.22%	94.20%	93.76%
Bagging(50% samples)	94.55%	95.27%	93.39%
Bagging(75% samples)	94.30%	94.91%	93.40%
Bagging(100% samples)	94.93%	95.09%	93.36%
AdaBoost	95.67%	95.43%	95.35%

 Table 1. Accuracy of Bagging and AdaBoost using different base classifiers.

In the second experiment, eight parallel combination algorithms including majority vote, Bayesian average, logarithmic consensus, linear consensus, evidence theory, improved evidence theory, fuzzy integral and distance weighted dynamic classifier selection (DWDCS), were used to assess the performance. Different member classifiers were applied to different parallel combination methods. For Bayesian average, logarithmic consensus and linear consensus, the member classifiers are MLC, SVM, MLPNN, RBFNN and SFH-ARTMAP. The member classifiers for others are SVM, MLPNN, RBFNN, MEDC and J48DT. Figure 3 presents the classification results of MCS on parallel combination. Their accuracy statistics are shown in Table 2. Among the individual classifiers, SVM classifier

achieves the highest accuracy of 93.49%. Compared to SVM classifier, the Bayesian average achieves an accuracy of 94.99%, with improvement of 1.5%; Majority vote and fuzzy integral improve the accuracy from 93.49%–94.74% and 93.49%–94.37%, respectively. Other parallel combination approaches improve the accuracy slightly with an improvement of 0.28%–1%. The "local" ensemble method (DWDCS) results in 93.98% accuracy with an improvement of 0.49%. In conclusion, accuracies of the parallel combination approaches all yield higher classification accuracies than individual classifiers under different theoretical backgrounds.

Figure 3. Classification results obtained by parallel combination approaches. (a) Bayesian average method; (b) Linear consensus method; (c) Logarithmic consensus method; (d) Majority vote method; (e) DS evidence theory method; (f) Improved DS evidence theory method; (g) Fuzzy integral method; (h) DWDCS method.



Table 2. Classification accuracy of parallel classifier combination.

Combination Strategy	OA	Kappa	Combination Strategy	OA	Kappa
Bayesian average	94.99%	0.94	Evidence theory	93.76%	0.92
Logarithmic consensus	93.77%	0.92	Improved evidence theory	94.18%	0.93
Linear consensus	94.37%	0.93	Fuzzy integral	94.37%	0.90
Majority vote	94.74%	0.93	DWDCS	93.98%	0.92

4.2. Modeling and Analysis of the Urban Thermal Environment

LST is retrieved from Landsat TM image using the developed MWA and SCA algorithms. The result is shown as Figure 4. In order to illustrate the change distribution of UHIs, eight transects are presented in the image (Figure 4). Temperature profiles with different directions from the city center are calculated for analysis (Figure 5).



Figure 5. Transactions derived from LST data at 60 m and 900 m scales. (a) Temperature profile at 60m scale; (b) Temperature profile at 90m scale.



NDVI, NDBI maps and the relationship between average LST values and land cover types could be analyzed with the routine NDVI, NDBI, NDVI_LST and NDBI_LST. The results derived by these routines are shown as Figure 6. Both NDVI and NDBI maps are reduced to 60 m/pixel in order to match the spatial resolution of LST map. Figure 6a,c shows the spatial distribution of NDVI and NDBI, respectively. Bright areas express high NDVI (NDBI) values while low dark areas mean low NDBI (NDVI) values. Figure 6b indicates that there is a strong negative linear relationship between LST and NDVI. Figure 6d shows that there is a strong positive linear relationship between LST and NDBI.

4.3. Ecological Security Assessment

Ecological security assessment is implemented by an integration of remote sensing derived indicators, a PSR model and a weighted summation model. The PSR model is based on the concept of causality and includes three different types of indicators: pressure indicators, state indicators and response indicators [49]. The technical flow is demonstrated in Figure 7. A case study of urban security assessment on Xuzhou City has been published in [50]. Another case study of coastal wetland in Yancheng City, Jiangsu Province, P. R. China, is used to demonstrate the PSR model. Landsat TM/ETM+ data captured on 7 June 1992, 26 May 2002, 11 September 2000 and 13 May 2009 are selected as data sources for ecological security evaluation.

Figure 6. NDVI, NDBI and their relationships between LST. (a) NDVI map; (b) Relationship between LST and NDVI; (c) NDBI map; (d) Relationship between LST and NDBI.



Figure 7. Technical Flow of the ecological security evaluation.



Several indicators such as land cover, landscape structure, vegetation coverage, land surface temperature, and distribution of pollution sources can be derived from remotely sensed images. Other indicators such as groundwater, population density and statistical data are also used in the evaluation process. All data are normalized and organized in GIS. The indicators in the PSR model are overlapped with corresponding weights to obtain evaluation results of urban ecological security. Table 3 illustrates different types of indicators and their corresponding weights. Different analytical methods have been developed to investigate the dynamic change and spatial pattern of urban ecological security. The assessment results are reported in Figure 8 and Table 4. Based on the results, it is concluded that the ecological security situation of cost wetland in Yancheng city is mainly in a critical security state and the regions identified as "unsecure" present an increasing tendency due to rapid urbanization and coastal development. It is important for local government agencies or municipal bureaus to take ecological security information into account in their decision-making as reasonably as possible.

Target	Criteria	Indicators	Weights
	Pressure	Population density	0.1212
	(0.2024)	Human disturbance	0.0812
		Vegetation index	0.1361
Ecological	Ecological State Security (0.5503) Assessment	Degree of dominance	0.0767
		Degree of fragmentation	0.1088
Security		Fractal dimensionality	0.0660
Assessment –		Vulnerability	0.0514
		Ecological elasticity	0.1114
	D	Vegetation coverage	0.1114
	Kesponse	Soil moisture	0.0747
	(0.24/3)	Land surface temperature	0.0612

Table 3. Ecological security evaluation indicators and their weights.

Figure 8. Results of ecological security evaluation over the wetland of Yancheng for three dates. (a) 1992; (b) 2002; (c) 2009.



	Average Score	Average	Average Score of	Average Score of
	of Pressure	Score of State	Response	Ecological Security Score
1992	8.68	7.05	5.42	6.97
2002	8.21	6.42	5.32	6.51
2009	8.04	6.69	5.57	6.68

Table 4. Average score of costal wetland ecological security of Yancheng city.

4.4. Simulation and Prediction of Urban Thermal Environment

Landsat TM/ ETM+ images obtained from 26 March 1995, 3 April 2001 and 14 May 2007 were chosen to test the system for simulation and prediction. Firstly, the SCA method was selected to retrieve UHIs distribution maps from thermal infrared bands. The result is shown in Figure 9a. Circles in Figure 9a represent UHIs in the test area. In order to simulate the trend of UHIs, the quantitative UHIs distribution map should be transformed into a qualitative one. In this case, it is transformed to a temperature anomaly map. Anomaly values are average values of temperature. The anomaly value $J_{t,i}$ on the position of "*i*" at time "*t*" is given by $J_{t,I} = T_{t,I} - T_i$, $T_{t,i}$ is the temperature value on the position of "*i*" at time "*t*" and T_i is an average value of the temperature on the position of "*i*" [51]. Then thermal environmental trends were simulated by the Cellular Automata (CA)_Markov model. Processing chains for trend simulation using the CA_Markov model include initial data acquisition and preprocessing, determining the rules of cellular transformation and simulation. The UHIs map of 2007, which is shown in Figure 9b, is simulated on the basis of UHIs distribution maps obtained from 1995 and 2001. Accuracies of simulation maps could be assessed based on the contrast of the true UHIs map and the simulated map.

Figure 9. UHIs map obtained by SCA retrieval and simulation method in 2007 (a) SCA method; (b) Simulation result.



5. Conclusions

In order to promote the applications of remote sensing for urban environment analysis, it is important to bridge the requirements of urban environment and the capabilities of image processing, making the advanced remote sensing image processing tools and algorithms applicable to practical urban environment analysis. In this paper, different approaches of remotely sensed data processing for urban environment analysis are integrated into some practical processing chains. The advanced UEAS are successfully implemented with the motivation of automatically/semi-automatically environment monitoring and analysis for LULC, UHIs, water body, change detection, landscape ecology, and ecological security aspects, which meet requirements of urban environment analysis. The preliminary results conducted by several case studies are encouraging. The developed system is a reasonable supplement to existing commercial tools. This system is very useful and convenient for urban environment analysis, decision-making support using multi-temporal and multi-source remotely sensed data.

Despite the advantages of the processing chains and the developed system, the ecological security evaluation and landscape analysis modules still depend on extra open source/free software, and other missing points need to be further investigated in the near future.

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Author Contributions

Peijun Du led the research work, proposed the idea and structure of this manuscript, wrote the introduction and conclusion parts, and integrated the contributions from all authors as a whole. Pei Liu integrated the modules and codes, tested the package elements and wrote LST and urban thermal environment parts. Junshi Xia implemented the LULC classification methods, wrote the corresponding part and revised the full paper. Li Feng collaborated with Peijun Du to integrate the work from different authors, and made the literature review on urban landscape ecology and ecological security assessment. Sicong Liu contributed to urban change detection and presentation improvement. Kun Tan was the co-leader of the group and involved in the algorithm implementations through the paper. Liang Cheng evaluated the system by some case studies and collaborated with Peijun Du on the production of this paper.

Conflicts of Interests

The authors declare no conflict of interest.

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