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# Spatio-Temporal Relationships between Optical Information and Carbon Fluxes in a Mediterranean Tree-Grass Ecosystem

Javier Pacheco-Labrador <sup>1,\*</sup>, Tarek S. El-Madany <sup>1</sup>, M. Pilar Martín <sup>2</sup>, Mirco Migliavacca <sup>1</sup>, Micol Rossini <sup>3</sup>, Arnaud Carrara <sup>4</sup> and Pablo J. Zarco-Tejada <sup>5</sup>

<sup>1</sup> Max Planck Institute for Biogeochemistry, Hans Knöll Straße 10, Jena D-07745, Germany; telmad@bgc-jena.mpg.de (T.S.E.M.); mmiglia@bgc-jena.mpg.de (M.M.)

<sup>2</sup> Environmental Remote Sensing and Spectroscopy Laboratory (SpecLab), Institute of Economic, Geography and Demography (IEGD-CCHS), Spanish National Research Council (CSIC), C/Albasanz 26-28, 28037 Madrid, Spain; mpilar.martin@cchs.csic.es

<sup>3</sup> Remote Sensing of Environmental Dynamics Laboratory, Department of Earth and Environmental Sciences (DISAT), University of Milano-Bicocca, Piazza della Scienza 1, 20126 Milano, Italy; micol.rossini@unimib.it

<sup>4</sup> Fundación Centro de Estudios Ambientales del Mediterráneo (CEAM), Charles Darwin 14, Parc Tecnològic, 46980 Paterna, Spain; arnaud@ceam.es

<sup>5</sup> European Commission, Joint Research Centre (JRC), Directorate D—Sustainable Resources, Via E. Fermi 2749, I-21027 Ispra, Italy; pablo.zarco@ec.europa.eu

\* Correspondence: jpacheco@bgc-jena.mpg.de; Tel.: +49-3641-576-287

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**Abstract:** Spatio-temporal mismatches between Remote Sensing (RS) and Eddy Covariance (EC) data as well as spatial heterogeneity jeopardize terrestrial Gross Primary Production (GPP) modeling. This article combines: (a) high spatial resolution hyperspectral imagery; (b) EC footprint climatology estimates; and (c) semi-empirical models of increasing complexity to analyze the impact of these factors on GPP estimation. Analyses are carried out in a Mediterranean Tree-Grass Ecosystem (TGE) that combines vegetation with very different physiologies and structure. Half-hourly GPP ( $GPP_{hh}$ ) were predicted with relative errors ~36%. Results suggest that, at EC footprint scale, the ecosystem signals are quite homogeneous, despite tree and grass mixture. Models fit using EC and RS data with high degree of spatial and temporal match did not significantly improved models performance; in fact, errors were explained by meteorological variables instead. In addition, the performance of the different models was quite similar. This suggests that none of the models accurately represented light use efficiency or the fraction of absorbed photosynthetically active radiation. This is partly due to model formulation; however, results also suggest that the mixture of the different vegetation types might contribute to hamper such modeling, and should be accounted for GPP models in TGE and other heterogeneous ecosystems.

**Keywords:** Gross Primary Production (GPP); Remote Sensing (RS); footprint; mismatch; light use efficiency;  $f_{PAR}$ ; PRI; semi-empirical GPP model; MODIS GPP

## 1. Introduction

Monitoring of terrestrial carbon fluxes is critical to assess impact of human activities and Climate Change in ecosystem functions and distribution [1]. Vegetation carbon uptake or Gross Primary Production (GPP) is described as a combination of the amount of Photosynthetically Active Radiation (PAR) absorbed by vegetation and the efficiency with which this is used by photosynthesis [2,3].

Structural and biochemical variables of vegetation involved in this process can be inferred from radiation reflected or emitted at the top of canopy, and therefore globally mapped by remote sensors [4,5]. At ecosystem scale, carbon exchanges are measured using the eddy covariance technique [6]. Nonetheless, this approach is spatially limited because the spatial representativeness of the EC flux data is limited (from few meters to  $\sim 1$  km<sup>2</sup> around the Eddy Covariance (EC) system depending on the measurement and canopy height). The footprint climatology has been demonstrated as an essential tool to get information about the vegetation sampled by the EC flux measurements [7]. In this context, Remote Sensing (RS) becomes an opportunity to provide exhaustive spatial information of plant function and structure and therefore, estimate carbon uptake at global scale [8]. However, spatial and temporal mismatches between optical and flux measurements jeopardize the correct parameterization of predictive models [9,10]. EC footprint shows a large variability driven by wind direction and atmospheric stability that requires high or medium RS spatial resolution images to be characterized [7,11]. Such spatial resolutions can only be reached at global scale at the expenses of frequency of acquisition. The impact of pixel-footprint spatial mismatch on *GPP* estimation becomes more acute in heterogeneous environments that combine species with different ecological and phenological strategies or different land uses [12–14].

Among these heterogeneous surfaces, mixed tree–grass and shrub–grass vegetation associations are one of the most extensively and widely distributed on Earth [15]. They range from the tropics to the temperate bioclimatic regions covering  $\sim 27$  million km<sup>2</sup>. Despite the diversity of species, Tree-Grass Ecosystem (TGE) are all characterized by a combination of a sparse woody stratum (evergreen or deciduous trees) and grass layer, usually annual [15,16]. Tree coverage ranges 8–40% [17], which depends on climatic variables, but also resources availability, disturbance and the complex interactions occurring with grasses [16,18–20]. TGE are usually grazed; in fact only in development regions they guarantee the livelihoods of more than 600 million people [21]. At the same time, they are subject to large land use and Climate Change pressures [21]. TGE are inherently challenging for ecosystem and Earth system modeling since species interactions, responses to perturbation, their role in the climate system and feedbacks with the atmosphere are not well understood [15,16,22]. These ecosystems are also challenging for RS; the integration of heterogeneous signals at the pixel scale hampers the characterization of structure and function of the different vegetation types in these ecosystems [15,23,24]. To disentangle the contribution of the different elements in the scene prior knowledge, in-situ measurements or high spatial resolution imagery have been used [12,24–26].

The use of repeated high spatial resolution images enables the identification of pure species pixels within the flux footprint and has been proposed to better model the spatial and temporal dynamics of *GPP* of terrestrial ecosystems [24–27]. High or moderate spatial resolution data were used to assess the impact of spatial heterogeneity in different MODIS products [28–31]. More advanced methods combined high spatial resolution information and 2-D footprint modeling to assess footprint induced uncertainty [7] or the spatial representativeness of flux tower measurements [11]. Chen et al. [7] showed that uncertainty is related to the spatial variability and proposed using this approach for model parametrization. 2-D footprints have been also combined with coarse spatial resolution data [13].

In this paper, we analyze the impact of spatial heterogeneity on RS-based *GPP* models in a Mediterranean TGE using high spatial airborne hyperspectral images. A 2-D probability distribution modeling approach is used to match the EC footprint and the remotely sensed data. This matched dataset of RS and flux data is used to parameterize different predictive models in order to address the following research questions:

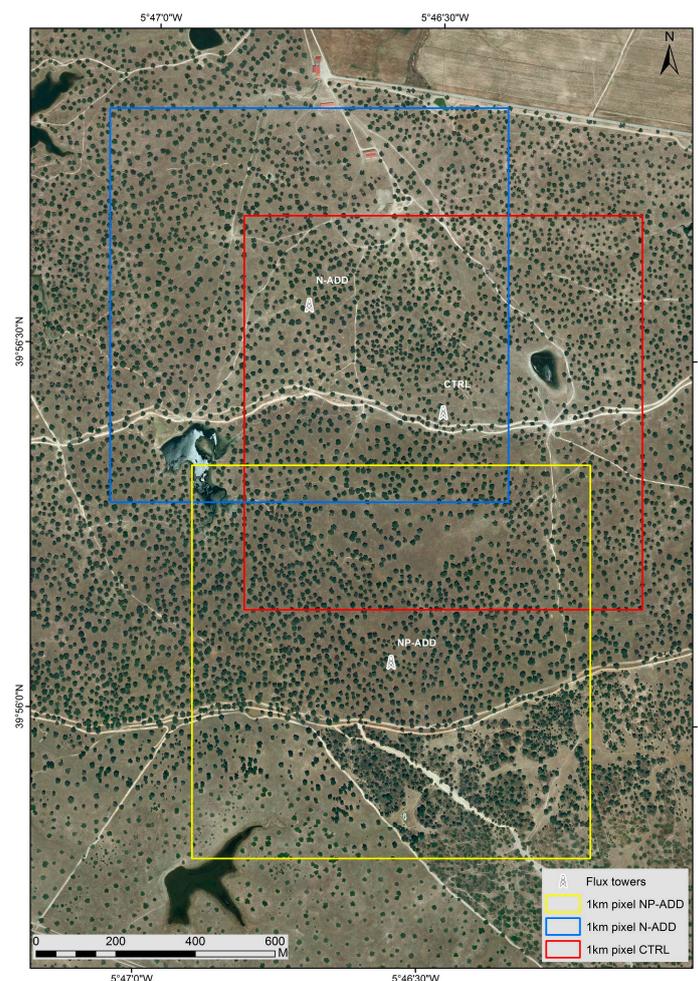
- (i) What is the impact of the spatial mismatch between EC and remote sensor footprints on the estimation of Half-hourly *GPP* ( $GPP_{hh}$ )? Moreover, what is the role of spatial heterogeneity in is matter?
- (ii) What is the impact of the temporal mismatch between RS data and fluxes on the estimation of  $GPP_{hh}$ ?

To understand which factors drive uncertainties when spatio-temporal mismatches occur (e.g., differences in meteorological conditions, in vegetation properties, etc.), we use four *GPP* models. These omit or differently describe light absorption and/or use efficiency and therefore their performance is expected to offer some information on the source of the uncertainties in the prediction of *GPP*.

## 2. Methods

### 2.1. Study Site

The study area is a managed Mediterranean TGE or “dehesa” located in Las Majadas del Tiétar, in Cáceres, Spain, located at 259 m a.s.l. ( $39^{\circ}56'29''\text{N}$ ,  $5^{\circ}46'24''\text{W}$ ) (Figure 1). Climate is Continental Mediterranean with an annual mean temperature of  $16.7^{\circ}\text{C}$  and annual mean precipitation  $\sim 700$  mm [32]. In summer, temperature goes over  $40^{\circ}\text{C}$  and only the 6% of the annual rainfall is accumulated [33]. The tree layer is mostly composed by scattered plants of *Quercus ilex* subsp. *ballota* L., an evergreen Mediterranean species; these are separated by 18.8 m (standard deviation,  $\sigma = 5.0$  m) so that the fractional cover is approximately 20%. Mean tree height is 7.9 m ( $\sigma = 0.9$  m), mean crown horizontal radius is 4.18 m ( $\sigma = 0.9$  m) and mean vertical radius is 2.7 m ( $\sigma = 0.5$  m) [34]. The grass layer is rich in species such as *Rumex acetosella* L., *Erygium campestre* L., *Erodium cicutarium* L. or *Erodium botrys* (Cav.) with a variable spatial and temporal distribution. Grasses show a strong phenology, they usually peak in spring, senesce by summer, regrow in autumn immediately after the first rainfall and go dormant in winter. Cow grazing induces spatial variability and usually keeps the grass layer shorter than 30 cm.



**Figure 1.** Location of the flux towers in Majadas del Tiétar. Each square corresponds to a synthetic 1 km pixel centered on each one of the towers.

Three EC flux towers operate in the site. They are included in the FLUXNET research network (<http://fluxnet.ornl.gov/site/440>, last accessed on 16 May 2017) and monitor a large scale fertilization experiment (MaNiP) [35]. The first tower (CTRL) is operative since 2003; moreover, two additional towers were installed in April 2014. The former one (N-ADD) is located 435 m northwest of CTRL, and the latter (NP-ADD) 645 m on the south of CTRL. The location of the new towers was based on the CTRL tower footprint estimation based on ten years of existing measurements. The main wind directions at the site are east-northeast and west-southwest; therefore, the footprints display along this line and show, especially under daytime conditions, no sampling outside of the fertilized area of interest. Analyses carried out by El-Madany et al. [36] show no overlap between the 80% iso-lines of the footprint climatology for the three towers. Between December 2014 and March 2015, a fertilization experiment was carried out in the surroundings of the N-ADD and NP-ADD towers. An area of about 20 ha was fertilized with nitrogen and phosphorous + nitrogen in the N-ADD and NP-ADD towers, respectively [37].

## 2.2. Airborne and Ground Hyperspectral Data

Airborne hyperspectral images were acquired using the Compact Airborne Spectrographic Imager CASI-1500i (Itres Research Ltd., Calgary, AB, Canada), operated by the Instituto Nacional de Técnica Aeroespacial (INTA). Data were taken during campaigns between May 2011 and July 2015 supported by the projects BIOSPEC [38], FLUXPEC [39], CEOS-Spain [40], and the EUFAR TA DEHESyrE [41]. Eleven overpasses were selected for this work centered on the eddy flux towers (Table 1). The CASI sensor featured 144 spectral bands between 380 and 1050 nm, with a full width at half maximum (FWHM) of ~5.5 nm. CASI field of view (FOV) is 40°, providing approximately 0.90 m × 1.66 m pixels, which were resampled to 1 m size in the geometric correction. The nearest neighbor resampling was used to prevent spectral mixture. Atmospheric correction was carried out by the data provider using ATCOR-4<sup>TM</sup> and ancillary measurements of water vapor and aerosol optic thickness using a CIMEL CE318-NE sun photometer (Cimel Electronique, Paris, France) when available. Atmospheric correction was refined using the Empirical Line method [42] and ground spectral measurements of dark and bright calibration targets measured simultaneously to the overpasses using an ASD FieldSpec<sup>TM</sup> 3 spectroradiometer (Analytical Spectral Devices Inc., Boulder, CO, USA).

**Table 1.** Characteristics of the eleven overpasses of the Compact Airborne Spectrographic Imager (CASI) imagery acquired in the Majadas del Tiétar research area.

Date	GMT Time	Tower	Flight Azimuth (°)	Solar Azimuth (°)
5 May 2011	10:31	CTRL	120	170.1
4 October 2012	11:23	CTRL	118	127.2
8 April 2014	12:31	CTRL	127	163.2
8 April 2014	12:38	N-ADD	74	182.6
8 April 2014	12:55	NP-ADD	75	186.1
23 April 2015	11:47	CTRL	75	182.6
23 April 2015	11:55	N-ADD	75	162.1
23 April 2015	11:29	NP-ADD	74	166.4
3 July 2015	11:25	CTRL	74	153.8
3 July 2015	11:35	N-ADD	84	138.1
3 July 2015	11:07	NP-ADD	66	143.3

After atmospheric correction, images were classified using ENVI 5.1 + IDL 8.3.0 (Harris Geospatial Solutions, Boulder, CO, USA). Supervised classification based on Mahalanobis distance allowed discriminating the following classes: “water”, “grass”, “trees”, “shadows” and “soils/roads”, using both the noiseless Hemispherical-Directional Reflectance Factors (*HDRF*) between 425 and 980 nm and Spectral Vegetation Indices (SVI) (see Table S1 in the Supplementary Materials). Since spectral calibration of the airborne sensor varied between campaigns, *HDRF* at the center of the bands used for hyperspectral SVI computation was estimated by linear interpolation. In addition,

spectral measurements of 25 m × 25 m grass plots were obtained in the surroundings of the three towers simultaneously to the airborne overpasses. Field protocols are described in Mendiguren et al. [43]. Additionally, field spectral measurements were acquired in several dedicated campaigns between 2009 and 2015. For each flight campaign, some of the SVI computed from the field spectroscopy measurements collected in the grass plots close to the EC towers were compared to the “grass” airborne derived SVI averaged on a 1 km<sup>2</sup> square centered on each tower. The Pearson correlation coefficient ( $r$ ) and the  $p$ -value ( $p$ ) were used to assess the strength of the relationships.

### 2.3. Eddy Covariance Data and Footprint Analysis

Carbon dioxide (CO<sub>2</sub>), water and energy fluxes were measured using the EC technique. Wind velocity components were measured using an ultra-sonic anemometer (SA-Gill R3-50; Gill Instruments Limited, Lymington, UK) and water vapor and CO<sub>2</sub> fluctuations with an enclosed-path infrared gas analyzer (LI-7200, LI-COR Biosciences Inc., Lincoln, NE, USA) positioned at 15 m. EC raw data—including the three dimensional wind velocities ( $u, v, w$  in m/s), sonic temperature ( $T_s$  in K), and dry CO<sub>2</sub>/H<sub>2</sub>O mixing ratios—were collected at 20 Hz. Data were processed with EddyPro software (version 5.2.0, LI-COR Biosciences Inc., Lincoln, NE, USA) as described in Perez-Priego et al. [32].

A quality flag was assigned to each half-hourly flux according to Mauder and Foken [44] and Vicker and Mahrt [45]; data flagged as low quality or during rain events were removed from the dataset. Data with low turbulent mixing were identified and discarded from analysis according to the algorithm developed by Papale et al. [46] and implemented in the R EddyProc R Package [47,48]. The procedure was applied separately to all the towers and a friction-velocity ( $u^*$ ) threshold of 0.14 m/s was used for further filtering. CO<sub>2</sub> fluxes were corrected for CO<sub>2</sub> storage using the single point correction according to Greco and Baldocchi [49]. The resulting Net Ecosystem Exchange ( $NEE$ ) estimates were then partitioned in  $GPP$  and ecosystem respiration ( $R_{eco}$ ) according to Reichstein et al. [50] using the R EddyProc R Package [47,48]. From the final dataset, only high-quality  $GPP$  data coming from daytime (Global incoming radiation,  $R_g > 10 \text{ W} \cdot \text{m}^{-2}$ ) were used.

As surrogate of the uncertainty in the  $GPP_{hh}$  ( $\sigma_{GPP_{hh}}$ ) was used the random uncertainty of  $NEE$  ( $e$ ) derived from the standard deviation of the marginal distribution sampling of the gap-filling procedure [50]. This methodology represents one way to assess the random error of the  $NEE$  [46,51,52].  $\sigma_{GPP_{hh}}$  should account also for the formal propagation of the uncertainty introduced by the determination of the  $u^*$  threshold used to filter the data with low turbulence conditions (e.g., [46]). However, in this study we did not formally propagate this uncertainty because we are focusing on daytime and mainly on midday fluxes measured with high  $PAR$  and; in these conditions,  $e$  and  $NEE$  are strongly correlated because  $NEE$  is dominated by photosynthesis [53]. Additionally, under daytime/midday conditions the  $u^*$  threshold is usually exceeded and no filtering is applied.  $\sigma_{GPP_{hh}}$  was calculated in order to weight a cost function which includes prior information on the model parameters (see Section 2.5). Therefore, for this purpose, the uncertainties in  $NEE$  and  $GPP$  are comparable because they are strongly correlated.

Footprint climatology was computed using a modified version of the 2-D model developed by Hsieh et al. [54] and updated by Detto et al. [12]. Footprints were integrated in periods of 30 min, similarly as the EC variables. Specific details can be found in [55] and El-Madany et al. [36].

### 2.4. Eddy Covariance Footprint and Hyperspectral Data Integration

For each overpass, optical and footprint climatology data were combined in a period of ±15 days around each airborne campaign. To do so, half-hourly 2-D probability distribution functions representing the contribution of each pixel to the measured carbon fluxes ( $P_{fp}$ ) were convolved with imagery. This provided a footprint-weighted spectrum ( $S_{fp}$ ), this is, the averaged optical properties of the footprint area contributing during that specific time to the EC system. First,  $S_{fp}$  only weighted the different vegetated classes (“grass” and “trees”) according to their probability of occurrence in the footprint area, their spectral properties and the  $P_{fp}$  of each pixel. In a second step, convolution was

applied including also “shadows/water” and “soil/roads” classes in order to analyze their impact on  $S_{fp}$  and the later estimation of  $GPP$ . Spatial convolution was only applied to daytime data and it was limited to a  $1 \times 1 \text{ km}^2$  square centered on each EC tower, which was large enough to contain EC footprints during daytime. Since the  $P_{fp}$  spatial resolution—5 m—was coarser than the one in the images, the corresponding  $P_{fp}$  value of each pixel was linearly interpolated to pixel coordinates  $(x, y)$ . Interpolation is suitable for smooth surfaces as those presented by  $P_{fp}$ . The half-hourly  $S_{fp}$  of a single class ( $j$ ) can be calculated as shown in Equation (1):

$$S_{fp,j}(t) = \frac{1}{\sum_{i=1}^m P_{fp,j}([x(i), y(i)], t)} \cdot S_j(\lambda, [x, y]) * P_{fp,j}([x, y], t)^T, \quad (1)$$

where  $\lambda$  stands for wavelength and  $S$  is a  $n$ -by- $m$  matrix containing the  $HDRF$  (or  $SVI$ ) of the  $m$  pixels selected in the surrounding of the EC tower, and  $n$  is the number of spectral bands or indices. Pixels are defined by their Cartesian coordinates.  $P_{fp}$  is a 1-by- $m$  vector with the pixel probability of contribution to the measured fluxes at a given time ( $t$ ). Since  $P_{fp,j}$  will correspond exclusively to the pixels of a single class, it is normalized to 1. Resulting  $S_{fp}$  is an  $n$ -by-1 vector with the spectrum (or the  $SVI$ ) of the vegetation contributing to the fluxes at that time, and as observed from a remote sensor. It should be noted that part of the grass is always occluded by three crowns.

Similarly, the  $S_{fp}$  of different  $k$  classes ( $S_{fp,mix}$ ) can be combined as follows:

$$S_{fp,mix}(t) = \frac{\sum_{j=1}^k \left( S_{fp,j}(t) \cdot \sum_{i=1}^q P_{fp,j}([x(i), y(i)], t) \right)}{\sum_{j=1}^k \left( \sum_{i=1}^q P_{fp,j}([x(i), y(i)], t) \right)}, \quad (2)$$

where  $q$  stands for the individual length of the  $P_{fp}$  of each class  $k$ . This way, the  $S_{fp}$  of the category “vegetation” is built combining the respective spectra or  $SVI$  of the classes “grass” and “trees”. In addition, the  $S_{fp}$  including all the classes—labeled “all”—was computed in this way.

In this approach, the same image is used for a period of 1 month; this assumes that optical properties of land surfaces did not vary within the  $\pm 15$  days around each campaign. This assumption is unrealistic, but can be used to assess the impact of the temporal mismatch between optical and flux data on the estimation of  $GPP$ . To do so, in Section 2.5, we analyze  $GPP$  models performance over time to see if errors increase as a function of the temporal distance to the flight campaign.

In addition, we computed the average spectral signature and indices of squared synthetic pixels centered on each EC tower using all the classes. These synthetic pixels had sizes of 250, 500 and 1000 m (spectral data are labeled as  $S_{P250}$ ,  $S_{P500}$  and  $S_{P1000}$  respectively). In Section 2.5, we compare the performance of models predicting  $GPP$  using spectral information from the different  $S_{fp}$  and  $S_p$  computed in order to assess the impact of the spatial mismatch between EC and RS footprints.

### 2.5. $GPP$ Models: Definition, Inversion and Analysis

According to Monteith [2],  $GPP$  results from the product of the incoming  $PAR$ , the fraction of it absorbed by green vegetation ( $f_{PAR}$ ) and the Light Use Efficiency ( $\epsilon$ ) (Equation (3)). Optically-based  $GPP$  predictive models can be developed using  $SVI$  as proxies of the parameters in the Monteith’s model [4].

$$GPP = PAR \cdot f_{PAR} \cdot \epsilon, \quad (3)$$

In this work, we fit and test the performance of four different  $GPP$  models considering different degrees of complexity, and of spatial and temporal mismatch between optical and EC observations. The first three models (MOD1–MOD3) make use of  $PAR$  and optical information and have already been tested by several authors using field spectroscopy data [32,56,57]. These models replace Monteith’s equation parameters by linear functions of  $SVI$ . We used the Normalized Difference Vegetation Index ( $NDVI$ ) [58] (Equation (4)) and Photochemical Reflectance Index ( $PRI$ ) [59] (Equation (5)) to represent  $f_{PAR}$  and  $\epsilon$  respectively. Moreover, we assessed the performance of different  $PRI$  formulations designed

to minimize the effect of vegetation structure and pigment contents in the estimation of  $\varepsilon$ . Specifically, we tested  $PRI_{515}$  (Equation (6)) [60] which was found less sensitive to canopy structure than  $PRI$ ; the Normalized  $PRI$  ( $PRI_{norm}$ , Equation (7)) [61] which was related to water stress and normalizes for different canopy chlorophyll concentrations; and the Calibrated  $PRI$  ( $CPRI$ , Equation (8)) [62] also normalized by pigment content. Notice that all  $PRI$ -based indices are formulated in such a way that they are positively related with  $\varepsilon$ .

$$NDVI = \frac{HDRF_{800} - HDRF_{680}}{HDRF_{800} + HDRF_{680}}, \quad (4)$$

$$PRI = \frac{HDRF_{531} - HDRF_{570}}{HDRF_{531} + HDRF_{570}}, \quad (5)$$

$$PRI_{515} = \frac{HDRF_{531} - HDRF_{515}}{HDRF_{531} + HDRF_{515}}, \quad (6)$$

$$PRI_{norm} = \frac{PRI}{RDVI \cdot \left( \frac{HDRF_{700}}{HDRF_{670}} \right)}, \quad (7)$$

where  $RDVI$  is the Renormalized Difference Vegetation Index [63].

$$CPRI = PRI - (0.645 * \ln(mNDVI_{705}) + 0.0688), \quad (8)$$

where  $mNDVI_{705}$  is the Red-Edge Normalized Difference Vegetation Index [64].

The fourth model (MOD4) is based on the algorithm of the MODerate-resolution Imaging Spectroradiometer (MODIS)  $GPP$  product (MOD17A2) [65]. MOD4 models the  $\varepsilon$  parameter as the product of the maximum  $\varepsilon$  ( $\varepsilon_{max}$ ) and a scalar ranging between 0 and 1 that expresses photosynthetic down-regulation; the last is formulated combining linear ramp functions of vapor pressure deficit ( $VPD$ ) and air temperature ( $T_{air}$ ) (Equation (9)).

$$\varepsilon = \varepsilon_{max} \cdot \left( \frac{VPD_{max} - VPD}{VPD_{max} - VPD_{min}} \right) \cdot \left( \frac{T_{air} - T_{MINmin}}{T_{MINmax} - T_{MINmin}} \right), \quad (9)$$

where  $VPD_{max}$  and  $T_{MINmin}$  are the maximum  $VPD$  and the minimum  $T_{air}$  values at which photosynthesis is completely down-regulated ( $\varepsilon = 0$ ). Complementarily,  $VPD_{min}$  and  $T_{MINmax}$  are the minimum  $VPD$  and the minimum  $T_{air}$  at which photosynthesis is not regulated ( $\varepsilon = \varepsilon_{max}$ ). In addition,  $f_{PAR}$  is described by the Beer's law (Equation (10)) depending on an extinction coefficient ( $k$ ) and the Leaf Area Index ( $LAI$ ) [66]; the second was modeled using a linear function of  $NDVI$  (Table 3). As the MOD17A2, we assume  $k = 0.5$  [65].

$$f_{PAR} = 1 - e^{(-k \cdot LAI)}, \quad (10)$$

Table 2 shows the parametric equations of the models fit against observed half-hourly footprint-weighted SVI,  $GPP_{hh}$  and micro-meteorological data ( $PAR$ ,  $VPD$  and/or  $T_{air}$ ).  $PAR$  was assumed to be the 45% of  $R_g$  [65] measured in the EC towers.

**Table 2.** Optically-based Gross Primary Production ( $GPP$ ) parametric models inverted against observed half-hourly  $GPP$  ( $GPP_{hh}$ ) and micro-meteorological variables.

Model	Equation
MOD1	$GPP = a_1 \cdot NDVI + a_2$
MOD2	$GPP = (a_1 \cdot NDVI + a_2) \cdot PAR$
MOD3	$GPP = (a_1 \cdot NDVI + a_2) \cdot (a_3 \cdot PRI + a_4) \cdot PAR$
MOD4	$GPP = a_1 \cdot \left( \frac{a_2 - \min(\max(a_3, VPD), a_2)}{a_2 - a_3} \right) \cdot \left( \frac{\max(\min(a_5, T_{air}), a_4) - a_4}{a_5 - a_4} \right) \cdot \left( 1 - e^{(-0.5 \cdot (a_6 \cdot NDVI + a_7))} \right) \cdot PAR$

The least square nonlinear curve-fitting optimization method implemented in the Matlab<sup>®</sup> function LSQCURVEFIT. In addition, bootstrap technique [67] with 500 subsamples was applied to quantify the uncertainty of the estimated model parameters. Parameter constrain was only applied to MOD4—the MODIS algorithm—where  $a_4$  and  $a_5$ , representing  $T_{\text{MINmin}}$  and  $T_{\text{MINmax}}$ , respectively, were bounded in the ranges  $(-15, 50)$  and  $(0, 50)$  each with a uniform distribution. Parameters  $a_{1-3}$  representing  $\varepsilon_{\text{max}}$ ,  $VPD_{\text{max}}$  and  $VPD_{\text{min}}$ , respectively, as well as  $a_6$ —the  $NDVI-LAI$  slope—were forced to be larger than 0. In addition, the large equifinality existing between  $\varepsilon_{\text{max}}$  and  $f_{\text{PAR}}$  was handled by imposing prior information of model parameters  $a_{6-7}$  describing the  $NDVI-LAI$  relationship. To do so, a linear model was fit using the averaged data from 16 field campaigns held during the FLUXPEC [39] project. In each campaign, approximately  $\sim 13$  plots of  $25 \text{ m} \times 25 \text{ m}$  were sampled. Grass vegetation samples and field spectroscopy data were acquired as described in Mendiguren et al. [43]; however this time only green fraction of  $LAI$  was used. Tree  $LAI$  was estimated  $\sim 1.426 \text{ m}^2/\text{m}^2$  using hemispherical cameras [68] and assumed stable over time. Tree crown  $NDVI$  was the mean  $NDVI$  of the “trees” class from all the CASI images within the 1 km pixel centered on each tower. “Grass” and “trees” variables were linearly combined with a proportion of 100% and 20% respectively [69].

For MOD1–MOD3, the cost function used for the inversion was the sum of the squared differences between calculated and predicted  $GPP_{\text{hh}}$ . For MOD4, the cost function accounted for the prior information in coefficients  $a_{6-7}$  and was computed as in Jacquemoud et al. [70] (Equation (11)):

$$\delta^2 = \sum_{i=1}^g \left( \frac{GPP_{\text{hh},i} - GPP_{\text{pred},i}}{\sigma_{GPP_{\text{hh},i}}} \right)^2 + \sum_{j=6}^7 \left( \frac{a_j - a_j^{\text{prior}}}{\sigma_{a_j}} \right)^2, \quad (11)$$

where  $g$  is the number of observations,  $GPP_{\text{hh},i}$  and  $GPP_{\text{pred},i}$  are each observed and predicted half hourly  $GPP$ , and  $\sigma_{GPP_{\text{hh},i}}$  is the corresponding uncertainty of  $GPP_{\text{hh}}$  (see Section 2.3). In the second term of the equation,  $a_j$  are the parameters retrieved by model inversion,  $a_j^{\text{prior}}$  is the model parameter estimated using independent data, and  $\sigma_{a_j}$  the corresponding uncertainties of the priors. These are the coefficients of the abovementioned  $NDVI-LAI$  relationship. Errors of the linear model fit were propagated to obtain the 95% confidence intervals of the coefficients, and these converted to a standard deviation assuming a normal distribution.

To assess the impact of temporal mismatch between optical and EC data on  $GPP_{\text{hh}}$  estimation, models were fit with two different datasets. The first is the “Flight” dataset (and models), and only makes use of all the  $S_{\text{fp}}$  and EC data acquired the same day of the flight campaigns. “Flight” models predicted  $GPP_{\text{hh}}$  for the  $\pm 15$  days surrounding each flight campaign. Within this period we expect that changes in vegetation—not tracked by updated imagery—could lead to larger errors far from the day of the campaigns. For comparison, we fit 30 “Daily” modes using all the  $S_{\text{fp}}$  and EC data of the eleven overpasses corresponding to each single day of the period. On one side, this allows to evaluate model robustness against varying meteorological conditions within a narrow time window around the campaigns, while vegetation status can be assumed stable. On the other, further in time from the imagery acquisition, this could reveal model sensitivity to changes in vegetation properties which are not recorded by frequent flight campaigns. The impact of these changes would be assessed via model performance degradation and comparison between “Daily” and “Flight” models. For the comparison of the model performances, we computed the coefficient of determination  $R^2$ , the Relative Root Mean Squared Error (RMSE) and Akaike’s Information Criterion (AIC) [71]

Partial correlation analysis was used to assess if differences between the variables involved in the models could explain the errors in predicted  $GPP_{\text{hh}}$ . To do so, we computed the difference ( $D$ ) between the value of each variable with the value shown the same half-hour during the day of each flight campaign (Equation (12)). This was done for all the days in the study period.  $D$  was calculated for the  $GPP_{\text{hh}}$ , footprint-weighted  $NDVI$  ( $NDVI_{\text{fp}}$ ), the different versions of  $PRI$  ( $PRI_{\text{fp}}$ ),  $PAR$ , time ( $t$ ) and the ration ratio  $T_{\text{air}}/VPD$ —which should be related to  $\varepsilon_{\text{stress}}$  according to the MODIS algorithm.

$$D_{i,hh} = x_{d=i,hh} - x_{d=0,hh} \quad (12)$$

where  $x$  is any of the abovementioned variables and  $d$  is the number of days to the date when images were acquired and the subscript “hh” means a half hour period of the day an  $i \in (-15, 15)$ .

### 3. Results

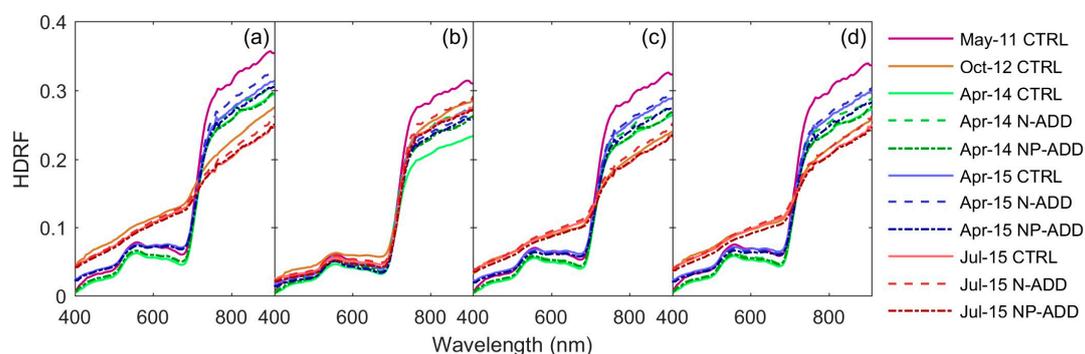
#### 3.1. Airborne Hyperspectral Imagery

Image classification allowed separating the two different vegetation layers and the non-vegetated surfaces. Table 3 shows the percentage per category within the 1 km<sup>2</sup> square centered on each EC tower and date: “grass” represents between 68.42% and 79.49% of the surface as observed by the sensor, whereas “trees” represent between 13.83% and 21.55%. “Soils and roads” coverage is lower than 2%. In addition, “water and shadows” range between 3.98% and 11.55%.

**Table 3.** Frequency of the different classes in the airborne imagery.

Date	Tower	Grass (%)	Trees (%)	Soil and Roads (%)	Water and Shadows (%)
5 May 2011	CTRL	75.15	18.39	1.83	4.64
4 October 2012	CTRL	68.42	18.47	1.56	11.55
8 April 2014	CTRL	74.17	19.41	1.21	5.21
8 April 2014	N-ADD	74.81	17.40	1.36	6.43
8 April 2014	NP-ADD	71.28	21.55	1.08	6.09
23 April 2015	CTRL	77.13	17.18	1.04	4.65
23 April 2015	N-ADD	77.37	15.42	1.05	6.16
23 April 2015	NP-ADD	75.10	17.45	0.62	6.83
3 July 2015	CTRL	79.49	13.83	1.38	5.30
3 July 2015	N-ADD	79.08	15.41	1.53	3.98
3 July 2015	NP-ADD	75.03	17.46	0.97	6.54

Figure 2 shows the mean spectra of the vegetation classes separated (Figure 2a,b), mixed (Figure 2c) and of all the classes (Figure 2d). As can be observed, “grass” (Figure 2a) shows a large variability, whereas “trees” (Figure 2b) optical properties are more stable. This is in agreement with the phenological behavior of each class. Since “grass” is more abundant, it governs “vegetation” HDRF variability, whereas the remaining classes have a low effect. The figure also shows that the differences between grass spectral features of the three towers increase in 2015 after fertilization.

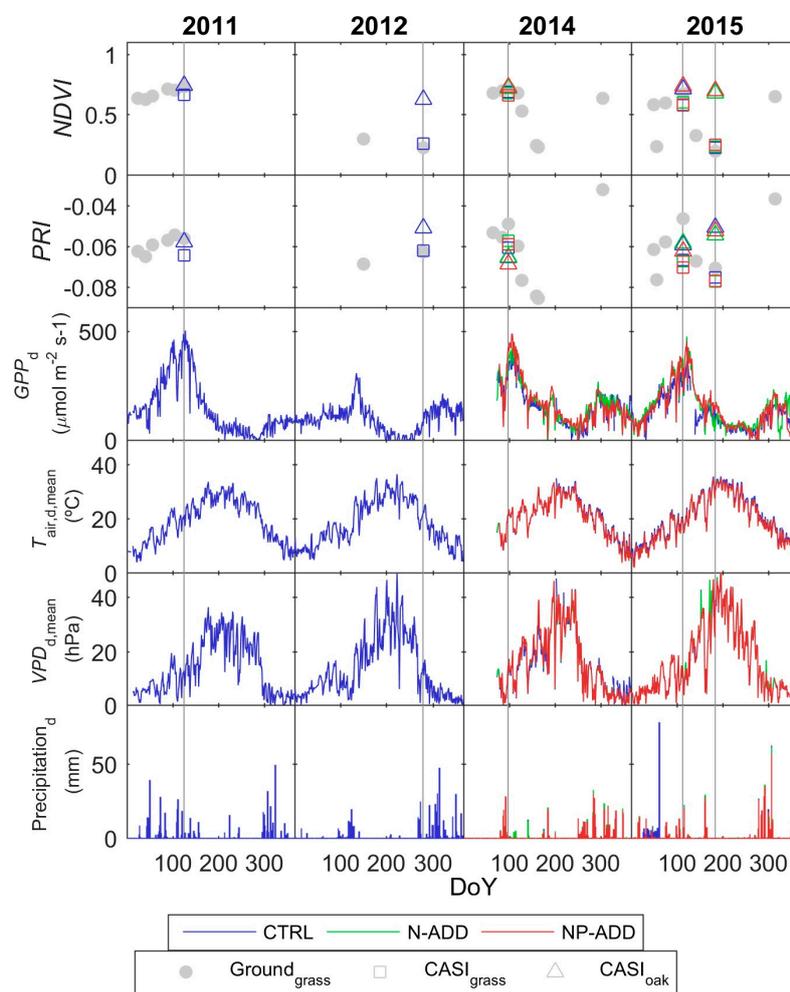


**Figure 2.** Mean spectra of different covers within a 1 km<sup>2</sup> square centered on the Eddy Covariance (EC) towers: (a) Grass; (b) Trees; (c) Vegetation (grass + trees); and (d) All covers.

#### 3.2. Eddy Covariance and Optical Data

Figure 3 shows the optical and flux data acquired during the flight campaign periods. *NDVI* and *PRI* data are shown in the first two rows (Figure 3a,b). Indices derived from field spectroscopy data

acquired during several ground campaigns in  $25\text{ m} \times 25\text{ m}$  plots are shown as example of the temporal dynamics in the ecosystem. In addition, the SVI derived from the mean spectra of “trees” and “grass” covers in the  $1\text{ km}^2$  square centered on each tower (Figure 2) are shown. “Grass” NDVI derived from ground and airborne sensors are similar, and vary more than trees NDVI along the year. “Grass” NDVI derived from CASI and ground measurements were strongly related ( $r = 0.99, p < 0.05$ ), whereas the same relationship was weaker for PRI ( $r = 0.69, p < 0.05$ ). CASI “grass” PRI was related positively with NDVI ( $r = 0.74, p < 0.05$ ); which was confirmed by field spectroscopy data ( $r = 0.88, p < 0.05$ ). On the contrary, the same indices presented a negative relationship ( $r = -0.69, p < 0.05$ ) in CASI “trees”. For all the “vegetation” pixels, both indices were positively correlated ( $r = 0.65, p < 0.05$ ). The four formulations of PRI presented different degrees of correlation with the original index. For “grass” (and “vegetation”) pixels, all the indices were significantly correlated ( $p < 0.05$ ); PRI was positively correlated with  $PRI_{515}$  and  $PRI_{norm}$ , and negatively with CPRI. For “trees” pixels, PRI was positively related with  $PRI_{norm}$ , and negatively with  $PRI_{515}$  and CPRI ( $p < 0.05$ ).



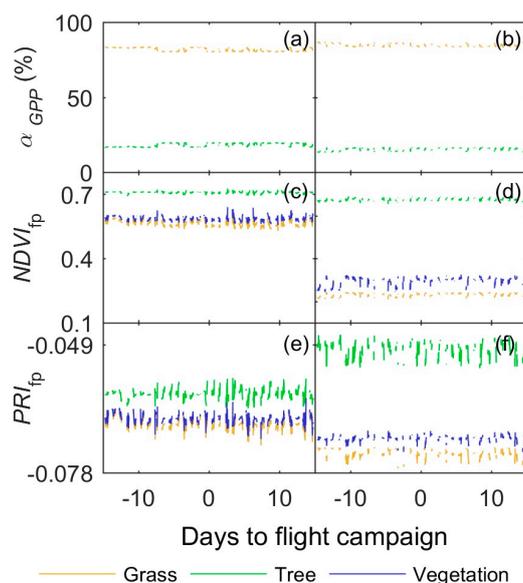
**Figure 3.** Optical (spectral vegetation indices), flux (daily accumulated GPP) and meteorological (daily mean air temperature ( $T_{air}$ ), vapor pressure deficit (VPD) and daily accumulated precipitation) data acquired in the site of Majadas del Tiétar in the years of the airborne flight campaigns.

Figure 3c–f shows the yearly trends of daily meteorological variables: daily cumulated GPP ( $GPP_d$ ) (Figure 3c), daily mean  $T_{air}$  ( $T_{air,d,mean}$ ) (Figure 3d), and VPD ( $VPD_{d,mean}$ ) (Figure 3e).  $GPP_d$  peaks in spring, reaches minimum values during the summer and recovers during autumn after the onset of the rainfall. There is a large inter-annual variability in GPP; 2012 was the driest year, with low

precipitation in winter and spring and  $GPP_d$  presented low values, except for a brief rise in spring. Autumn precipitation triggered a recovery of the vegetation activity more pronounced than in previous years. More precipitation was observed in spring in 2011, 2014 and 2015; this was followed by a quick drought in late spring/early summer and then by a later recovery after autumn rains. Some differences in  $GPP_d$  can be observed between the three towers in 2015, which are larger after nutrient addition [37].

### 3.3. Footprint Climatology and Hyperspectral Data Integration

The convolution of the estimated  $P_{fp}$  on the hyperspectral images provided not only weighted representative spectra of vegetation contributing to half-hourly fluxes; but also the vegetation classes' relative contribution or weight within the EC footprint ( $\alpha_{GPP}$ )—as observed from a remote sensor. An example is shown in Figure 4a,b, where the values of  $NVDI_{fp}$  (Figure 4c,d) and  $PRI_{fp}$  (Figure 4e,f) of each vegetation class separated and combined are presented. Data shown correspond to the 31 days selected around the flight over the CTRL tower in two very different phenological stages: April 2015 (left column) and July 2015 (right column).  $\alpha_{GPP}$  (Figure 4a,b) is similar for the different dates, remaining stable in average for each of the 31 days of the study period.  $\alpha_{GPP}$  temporal variability is governed by footprint size and direction. Grass  $NVDI_{fp}$  shows large differences between the two dates; though much lower for trees. On the contrary, “trees”  $PRI_{fp}$  varies more between dates than “grass”  $PRI_{fp}$ .



**Figure 4.** Footprint induced variability in optical signals acquired over the control (CTRL) tower on: 23 April 2015 (a,c,e); and 3 July 2015 (b,d,f), on the relative area of the different vegetation covers contributing to:  $GPP_{hh}$  (a,b); the Normalized Vegetation Index ( $NDVI_{fp}$ ) (c,d); and the Photochemical Reflectance Index ( $PRI_{fp}$ ) (e,f).

As shown in Figure 4,  $NDVI$  footprint-induced variability is much lower than changes induced by phenology. For each overpass, the standard deviation of each SVI is calculated within the 31 days study period. The averaged standard deviation for all the overpasses is larger for “grass” (subindex “g”) ( $\bar{\sigma}_{NDVI,g} = 1.52$ ) than for “trees” (subindex “t”) ( $\bar{\sigma}_{NDVI,t} = 0.61$ ). However, when  $NDVI_{fp}$  of both categories are mixed as a function of  $\alpha_{GPP}$  (subindex “veg”), variability increases ( $\bar{\sigma}_{NDVI,veg} = 1.72$ ). In the case of  $PRI$ , “grass” and “trees” variability is equal ( $\bar{\sigma}_{PRI,g} \bar{\sigma}_{PRI,t} = 0.17$ ) and reduces when mixed ( $\bar{\sigma}_{PRI,veg} = 0.15$ ). Footprint-induced variability was also related to vegetation status in some cases. Considering the 11 overpasses, “grass”  $NDVI_{fp}$  was positively related to standard deviation  $\sigma_{NDVI,g}$  ( $r = 0.77$ ,  $p < 0.05$ ), whereas “trees”  $NDVI_{fp}$  was negatively related to  $\sigma_{NDVI,t}$  ( $r = -0.55$ ,  $p < 0.10$ ).

“Grass”  $PRI_{fp}$  was related to  $\sigma_{PRI,g}$  ( $r = 0.71$ ,  $p < 0.05$ ), whereas in “trees” the relationship was not significant. For “vegetation” pixels,  $PRI_{fp}$  was significantly related to  $\sigma_{PRI,veg}$  ( $r = 0.60$ ,  $p < 0.10$ ).

### 3.4. GPP Models Performance

Table 4 shows the median model coefficients and the corresponding 95% confidence intervals estimated by Bootstrap. In models MOD1–MOD3, coefficient  $a_1$  is almost always positive, suggesting a direct relationship between  $NDVI$  and  $f_{PAR}$ . However, in MOD3- $PRI_{515}$  the coefficient is negative and the confidence interval overlaps negative values suggesting a slope close to 0. In MOD3, the median value of coefficient  $a_3$  is always positive, suggesting a positive relationship with  $\varepsilon$ . However, confidence intervals overlap 0 in all the cases. For MOD4 inversion, a linear model predicting  $LAI$  from  $NDVI$  was fit ( $r^2 = 0.72$ ,  $RMSE = 0.28$  m<sup>2</sup>/m<sup>2</sup>); prior estimates of  $a_6$  and  $a_7$  coefficients were (2.826, −0.430) with respective uncertainties (0.544, 0.359). Estimated coefficients were close to the priors with narrow confidence intervals. The remaining coefficients in MOD4 can be compared to values used by MODIS GPP algorithm for Savannas [65]. Estimated coefficient  $a_1$  (representing  $\varepsilon_{max}$ ) median is 1.644 gC/MJ similar to the MODIS  $\varepsilon_{max}$  (1.206 gC/MJ). Coefficients  $a_2$  and  $a_3$  represent  $VPD_{max}$  and  $VPD_{min}$  respectively, the first is larger than the value used in MODIS (31.0 hPa) and the second is lower than the MODIS parameter (6.5 hPa). Coefficients  $a_4$  and  $a_5$  representing  $T_{MINmin}$  and  $T_{MINmax}$  respectively are quite close to MODIS parameters (−8 °C and 11.39 °C, respectively). In addition,  $VPD_{max}$  and  $T_{MINmax}$  present wide confidence intervals.

**Table 4.** Parameters with 95% confidence intervals for the different models retrieved using the “Flight” dataset.

Model	$a_1$	$a_2$	$a_3$	$a_4$	$a_5$	$a_6$	$a_7$
MOD1	0.654 ∈ (0.592, 0.724)	−0.112 ∈ (−0.143, −0.082)					
MOD2	1.186 ∈ (1.071, 1.295)	−0.208 ∈ (−0.261, −0.156)					
MOD3 $PRI$	4.022 ∈ (1.197, 5.761)	−0.553 ∈ (−0.827, −0.190)	4.063 ∈ (−3.556, 5.823)	0.540 ∈ (0.202, 1.047)			
MOD3 $PRI_{515}$	−0.163 ∈ (−3.149, 2.089)	2.415 ∈ (−0.237, 3.633)	2.166 ∈ (−0.439, 3.995)	−0.042 ∈ (−0.143, 1.237)			
MOD3 $PRI_{norm}$	0.990 ∈ (0.849, 1.102)	−0.071 ∈ (−0.183, 0.315)	0.965 ∈ (−0.272, 1.162)	1.066 ∈ (0.755, 1.329)			
MOD3 $CPRI$	1.014 ∈ (0.771, 1.480)	−0.226 ∈ (−0.379, −0.108)	0.906 ∈ (−0.351, 1.534)	0.826 ∈ (0.162, 1.426)			
MOD4	1.644 ∈ (0.375, 2.832)	72.230 ∈ (0.844, 134.347)	2.186 ∈ (0.127, 23.907)	−7.809 ∈ (−15.000, 6.360)	11.490 ∈ (1.137, 37.015)	2.362 ∈ (2.119, 3.163)	−0.433 ∈ (−0.657, −0.309)

Table 5 shows the statistics  $R^2$ ,  $RRMSE$  and  $AIC$  for the different model fits using the “vegetation” and “all” covers from the “Flight” dataset. Footprint and pixel-based approaches are compared for synthetic pixels of different size. Model fits using footprint climatology and “vegetation” pixels ( $S_{fp,veg}$ ) show similar performances in terms of  $R^2$  and  $RRMSE$ . MOD1 achieves the lowest  $R^2$  (0.65) and  $RRMSE$  (35.89%), but also the largest efficiency. MOD2–MOD3 present similar errors and fits ( $R^2 \in (0.67–0.68)$ ;  $RRMSE \in (36.71–37.66)$ ), and thus the most efficient model is the simplest one (MOD2). MOD3- $PRI_{515}$  achieves best performance in all the statistics. MOD4 presents the largest  $R^2$  (0.72) and an intermediate  $RRMSE$  (37.19%), as well as the lowest efficiency. The combination of footprint climatology without discrimination of non-vegetated pixels (subindex “all”) ( $S_{fp,all}$ ) reduces the performance of all models, where MOD3- $PRI_{515}$  and MOD4 are the least sensible. In the table, the statistics of model fits using all the pixels within synthetic squared pixels centered on each tower of different sizes— $S_{P250,all}$ ,  $S_{P500,all}$ ,  $S_{P1000,all}$ —present similar performances than  $S_{fp,all}$ . In most cases  $R^2$  increases;  $RRMSE$  either increases or decreases and in all cases  $AIC$  increases.

Figure 5a–h shows  $R^2$  and  $RRMSE$  of the different models using the  $S_{fp,veg}$  dataset. In each subplot, the statistics of the “Flight” and “Daily” models are compared. For simplicity, only results of

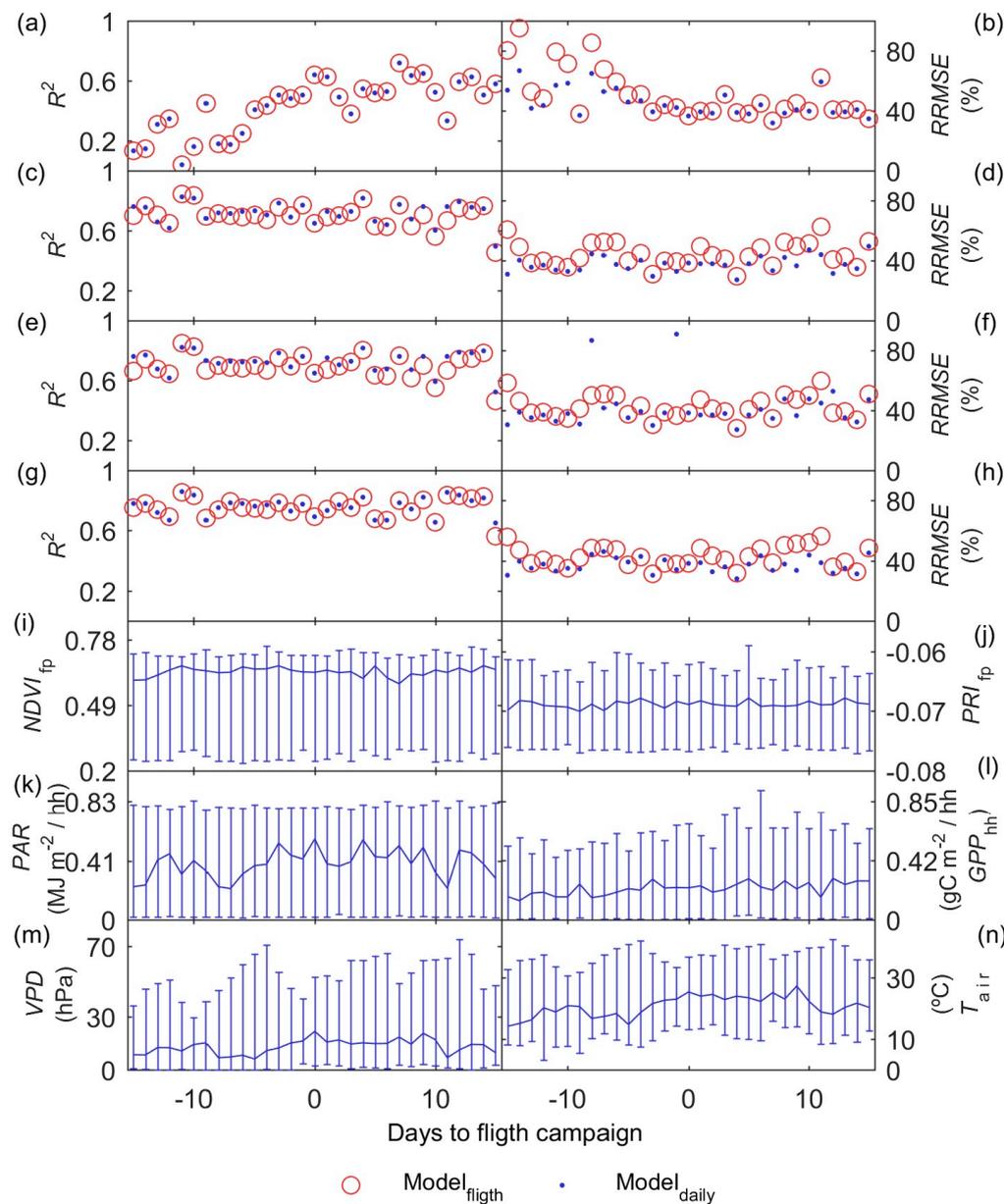
MOD-PRI are shown. Different PRI formulations show almost identical performances. In addition, Figure 5i–n presents the range of variation and the median of the variables used to fit the models using daytime data of each of the “Daily” datasets, which integrate data from the 11 overpasses. As can be observed, in the  $\pm 15$  days period around the flight campaigns, the spectral indices  $NDVI_{fp}$  (Figure 5i) and  $PRI_{fp}$  (Figure 5j) as sampled from the same image show small variations in terms of range or median values; nonetheless the second is more variable. Meteorological variables show larger variability:  $PAR$  (Figure 5k) keeps ranges relatively constant in the period analyzed while the median shows large variations. Maximum median values match airborne campaigns.  $VPD$  (Figure 5m) and  $T_{air}$  (Figure 5n) show larger variations both in median and ranges compared to  $PAR$ .  $GPP_{hh}$  (Figure 5l) also shows a pronounced variability and maximum values not always occur during the campaigns. Predicted-observed statistics compare the performance of two models fit using the “Flight” and “Daily” datasets. MOD1  $R^2$  (Figure 5a) are the same for the “Flight” and the “Daily” models since these are just a linear combination of one predictive variable. However,  $RRMSE$  (Figure 5b) differ and show that “Daily” models perform better in periods where meteorological conditions were different compared to those of the day of the flight. Differences are mainly noticed in the period before flight campaigns, when also maximum  $GPP_{hh}$  reaches lower values than the rest of the month considered in the analysis. For MOD2 (Figure 5c,d), MOD3-PRI (Figure 5e,f) and MOD4 (Figure 5g,h), “Flight” and “Daily”  $R^2$  and  $RRMSE$  differences are smaller.

**Table 5.** Statistics for the different model fits using the “Flight” dataset as well as the weighted spectral signature of vegetation covers or different synthetic pixel sizes.

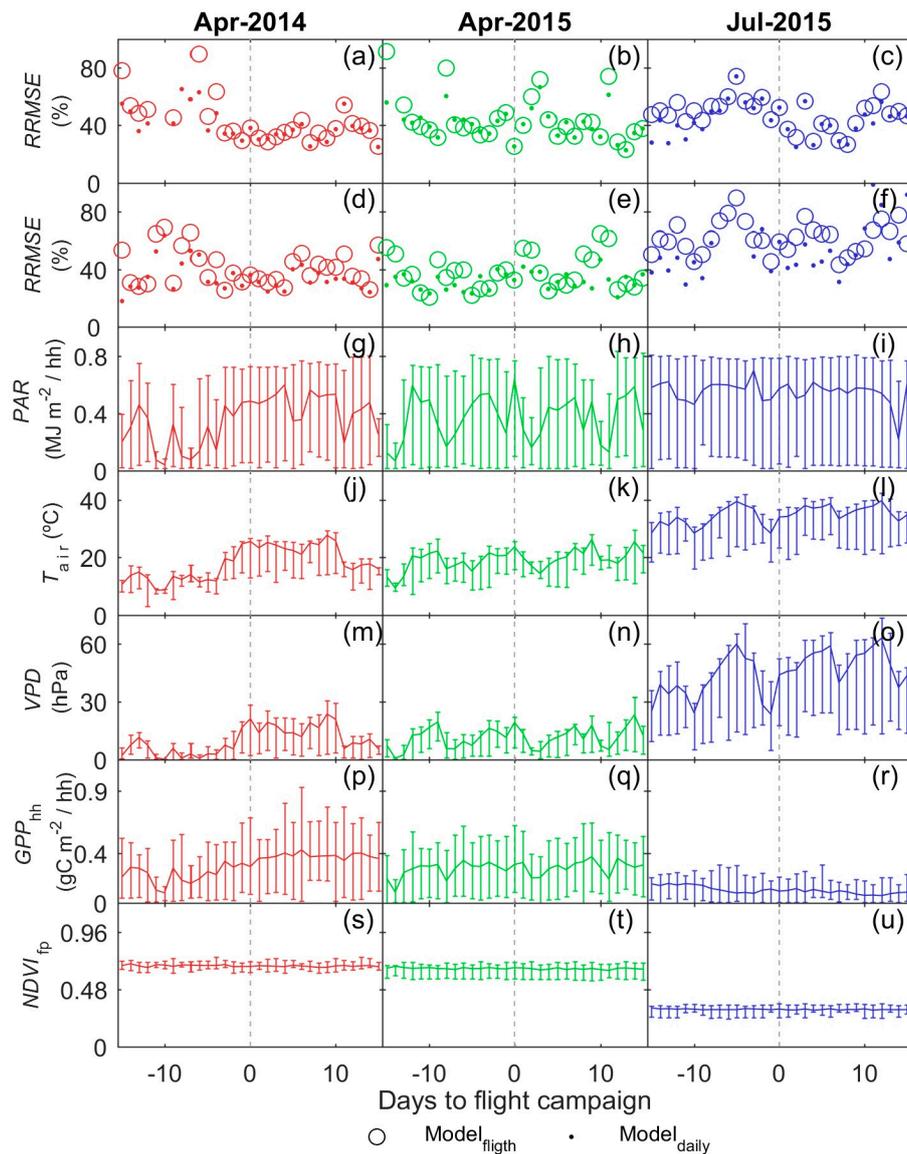
Statistics	MOD1	MOD2	MOD3 PRI	MOD3 PRI <sub>515</sub>	MOD3 PRI <sub>norm</sub>	MOD3 CPRI	MOD4
Models fit with $S_{fp,veg}$							
$R^2$	0.65	0.67	0.67	0.68	0.67	0.67	0.72
$RRMSE$	35.89	37.39	37.66	36.71	37.32	37.09	37.19
AIC	460.15	460.23	464.25	464.19	464.23	464.22	470.22
Models fit with $S_{fp,all}$							
$R^2$	0.64	0.66	0.66	0.67	0.67	0.67	0.71
$RRMSE$	36.11	37.71	39.64	36.85	37.54	37.13	37.32
AIC	460.16	460.25	464.35	464.20	464.24	464.22	470.23
Models fit with $S_{P250,all}$							
$R^2$	0.65	0.68	0.67	0.69	0.67	0.69	0.72
$RRMSE$	36.38	37.70	65.40	36.85	37.88	36.90	37.75
AIC	494.26	494.34	499.44	498.29	498.35	498.29	504.34
Models fit with $S_{P500,all}$							
$R^2$	0.65	0.70	0.70	0.69	0.69	0.69	0.72
$RRMSE$	36.31	36.60	36.71	36.50	36.62	36.75	36.50
AIC	494.26	494.28	498.28	498.27	498.28	498.29	504.27
Models fit with $S_{P1000,all}$							
$R^2$	0.64	0.69	0.69	0.69	0.69	0.70	0.72
$RRMSE$	36.97	36.67	37.00	39.34	36.55	38.85	36.70
AIC	494.30	494.28	498.30	498.42	498.27	498.40	504.28

Figure 6 shows a deeper analysis on these effects. Each column summarizes data from a single flight campaign. For comparison, we only present the campaigns where the three eddy flux towers were operative: April 2014 (first column), April 2015 (second column) and July 2015 (third column). Only “Flight” and “Daily”  $RRMSE$  of the simplest (MOD1) and the most complex (MOD4) models are shown in the first two rows. Below,  $PAR$  (Figure 6g–i),  $T_{air}$  (Figure 6j–l),  $VPD$  (Figure 6m–o),  $GPP_{hh}$  (Figure 6p–r) and footprint-weighted  $NDVI_{fp}$  (Figure 6s–u) are presented. Results show that “Flight” MOD1 (Figure 6a–c) and MOD4 (Figure 6d–e)  $RRMSE$  increase, compared to “Daily” models, in periods when meteorological variables largely differ from those found the same days of the airborne campaigns. The days following the flight campaign in April 2014 are more similar than in April 2015;

this campaign was surrounded by cloudy days where  $PAR$ ,  $T_{air}$  and  $VPD$  dropped, increasing errors as well as the distance between “Flight” and “Daily”  $RRMSE$ . In general, MOD1 is more sensible to these changes since it does not include meteorological variables. In July 2015  $RRMSE$  is rather sensitive to changes in  $T_{air}$  and  $VPD$ , and it is remarkable that MOD4 has difficulties to predict  $GPP_{hh}$  under large  $VPD$  conditions. In addition, on these dates, the metric  $RRMSE$  is more sensitive to errors since  $GPP_{hh}$  is low.



**Figure 5.** Predicted-Observed statistics of the models: MOD1 (a,b); MOD2 (c,d); MOD3- $PRI$  (e,f); and MOD4 (g,h). Daily ranges of variation and median of the input variables:  $NDVI_{fp}$  (i);  $PRI_{fp}$  (j); photosynthetically active radiation ( $PAR$ ) (k); and measured:  $GPP_{hh}$  (l);  $VPD$  (m); and  $T_{air}$  (n).



**Figure 6.** MOD1 RRMSE (a–c); and MOD4 RRMSE (d–e). Ranges and median values of:  $PAR$  (g–i);  $T_{air}$  (j–l);  $VPD$  (m–o);  $GPP_{hh}$  (p–r); and  $NDVI_{fp}$  (s–u). In each column, data correspond to the three overpasses (one on each eddy flux tower) of a single date: April 2014 (first column), April 2015 (second column) and July 2015 (third column).

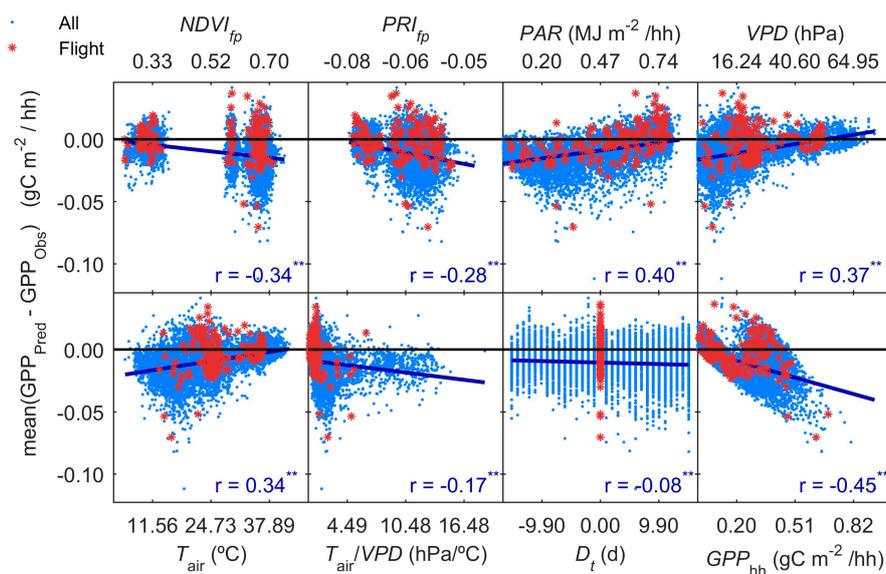
### 3.5. GPP Models Error Analysis

To understand what factors drive model performances, model errors ( $GPP_{hh,pred} - GPP_{hh,obs}$ ) are compared to the distance between the half-hourly values of the variables:  $NDVI_{fp}$  ( $D_{NDVI}$ ); the different formulations of  $PRI_{fp}$  ( $D_{PRI}$ ),  $PAR$  ( $D_{PAR}$ ),  $T_{air}/VPD$  ( $D_{Tair/VPD}$ ), and  $GPP_{hh}$  ( $D_{GPP}$ ); and the distance in days to the flight campaigns ( $D_t$ ). Partial regression coefficients ( $r_{err|D}$ ) and the corresponding  $p$ -value are presented in Table 6. In all the models, error values are inversely related to  $D_{GPP}$  which means that the models underestimate  $GPP_{hh}$  when it is larger than the day of the flight.  $D_{PAR}$  explains a substantial part of the errors in all the models;  $r_{err|D}$  is positive meaning that  $GPP_{hh}$  is underestimated when  $PAR$  is lower than the day of the flight. The remaining variables show lower explanation power.  $D_t$  is not significant in all the cases; errors are inversely and non-significantly related to  $D_{Tair/VPD}$  in all the models but MOD1 and MOD4 where relationships are positive and significant. SVI  $r_{err|D}$  are also low and in general negative for  $PRI_{fp}$  and positive for  $NDVI_{fp}$ .

**Table 6.** Partial correlation coefficients and significance between  $GPP_{hh}$  prediction errors and the Euclidean distance between each half-hourly input variable the day of the flight campaigns and the surrounding days.

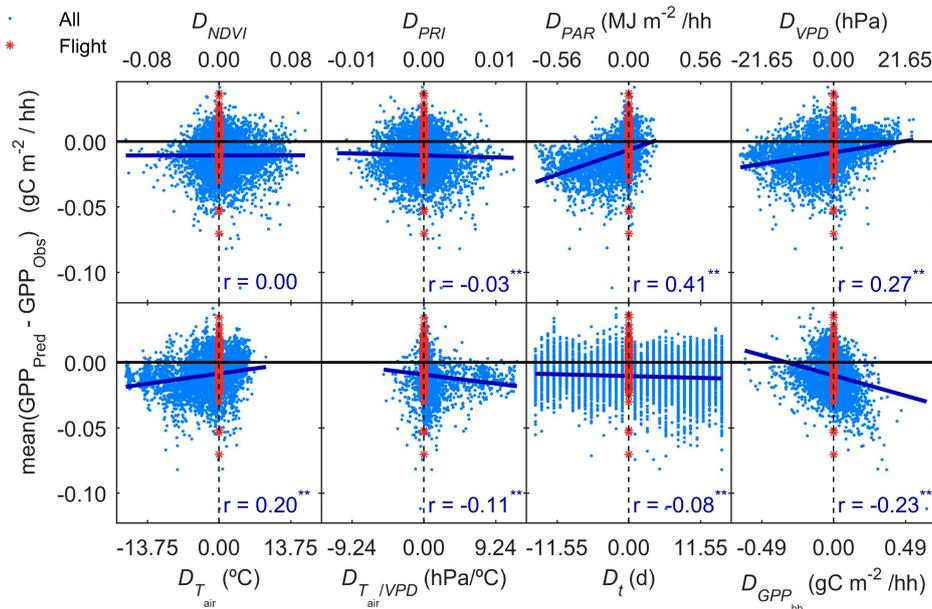
Model	Statistic	$D_{NDVI}$	$D_{PRI}$	$D_{PAR}$	$D_{T_{air}/VPD}$	$D_t$	$D_{GPP}$
MOD1	$r_{err D}$	0.10	-0.06	0.04	0.24	0.02	-0.61
	$p$	0.00	0.00	0.03	0.00	0.16	0.00
MOD2	$r_{err D}$	0.06	-0.03	0.55	-0.02	0.02	-0.49
	$p$	0.00	0.04	0.00	0.31	0.17	0.00
MOD3-PRI	$r_{err D}$	0.07	-0.01	0.56	-0.02	0.02	-0.47
	$p$	0.00	0.67	0.00	0.21	0.28	0.00
MOD3-PRI <sub>515</sub>	$r_{err D}$	0.05	-0.03	0.56	-0.02	0.02	-0.51
	$p$	0.00	0.09	0.00	0.24	0.21	0.00
MOD3-PRI <sub>norm</sub>	$r_{err D}$	-0.02	0.06	0.55	-0.02	0.02	-0.50
	$p$	0.16	0.00	0.00	0.34	0.16	0.00
MOD3-CPRI	$r_{err D}$	-0.08	-0.12	0.55	-0.02	0.02	-0.49
	$p$	0.00	0.00	0.00	0.14	0.19	0.00
MOD4	$r_{err D}$	0.11	-0.09	0.49	0.04	0.02	-0.47
	$p$	0.00	0.00	0.00	0.01	0.21	0.00

To better understand the previous results, we analyzed the direct dependence of errors on model variables (Figure 7) and their differences with respect to the days of the flight campaign (Figure 8). Figure 7 shows the averaged error of MOD2–MOD4 vs. the different variables involved in the models. MOD1 is excluded since it behaves differently than the others. Error range increases with  $NDVI_{fp}$  and  $PRI_{fp}$  while Pearson  $r$  is negative.  $GPP_{hh}$  is underestimated in situations with low-mid  $PAR$ ,  $VPD$  and  $T_{air}$  values ( $r \geq 0.34$ ). Both over and underestimation occur at low  $T_{air}/VPD$  values, and underestimation at mid-high  $T_{air}/VPD$ . In addition, underestimation is higher at high  $GPP_{hh}$ . Time to the flight day show a very weak but significant relationship when errors from MOD2–MOD4 are averaged, which was not observed for each individual model.



**Figure 7.** Mean error of MOD2–MOD4 vs. different variables. The adjusted linear model and Pearson correlation coefficient of the whole dataset are shown with the respective significance degree (\*\*  $p < 0.05$ ; \*  $p < 0.10$ ). In addition, data corresponding of the date of each flight are flagged.

Similarly, Figure 8 shows the averaged errors from MOD2–MOD4 vs. the differences previously used in the partial correlations analysis (MOD1 errors are excluded since behaved differently). As can be seen,  $GPP_{hh}$  is underestimated in conditions of lower  $PAR$  ( $D_{PAR} < 0$ ), lower  $VPD$  and lower  $T_{air}$  than those found the day of the flights, as well as when  $GPP_{hh}$  and  $T_{air}/VPD$  are larger. However, the largest errors are found for  $T_{air}/VPD$  under conditions very similar to those of the flight. Footprint-weighted SVI and time distance to the flight show little effect.



**Figure 8.** Mean error of MOD2–MOD4 vs. the difference of different variables respect to their values each half-hour the days of the flight campaigns. The adjusted linear model and Pearson correlation coefficient of the whole dataset are shown with the respective significance degree (\*\*  $p < 0.05$ ; \*  $p < 0.10$ ). In addition, data corresponding of the date of each flight are flagged.

#### 4. Discussion

In this work, we assess the effect of different sources of uncertainty in the optically-based modeling of  $GPP_{hh}$  in a Mediterranean TGE. Different models based on optical and meteorological data have been tested to predict  $GPP_{hh}$ . Despite using detailed EC footprint climatology to spatially match spectral and flux data, none of the models achieved high precision ( $RRMSE \sim 36\%$ ). With these results, we try to answer the two scientific questions posed at the end of the Introduction.

- (i) Results show that, even in this ecosystem, relatively heterogeneous at the small scale but homogeneous at larger scale, the impact of the spatial mismatch between EC and RS footprints on the estimation of  $GPP$  is not very important. This means that when footprint climatology and RS pixels present similar characteristics, the spatial mismatch between EC footprint and optical footprint can become less relevant than other sources of variability or uncertainty. In our case, the impact of non-vegetated surfaces is low, and trees and grasses are quite homogeneously mixed at footprint and mid-low spatial resolution RS scales (Table 3, Figure 4). However, we hypothesize that the mix of trees and grasses hamper the accurate modeling of photosynthesis and  $GPP_{hh}$  in this type of ecosystem with simple light use efficiency approaches or semi empirical models.
- (ii) Results also suggest that the impact of the temporal mismatch between RS data (i.e., flight overpass) and fluxes was low. Only slight increases of the errors were related to this mismatch. In general, “Daily” and “Flight” models showed similar performances as soon as they included  $PAR$  (MOD2–MOD4), and differences in  $RRMSE$  were sensitive to variations in meteorological variables. In our site, grasses show a strong phenological variability which might overrule trees

contribution to RS and EC signals. Therefore, we hypothesize that larger accuracies or continuous acquisition of RS data would be needed to determine the actual impact of changes in vegetation properties between consecutive mid-temporal resolution remote observations.

We analyzed models of increasing complexity, expecting them to become more sensitive to spatial and temporal mismatches between RS and EC data. However, performance increased only slightly once models accounted for incoming  $PAR$  (MOD2–MOD4). In addition, errors were related to the magnitude (Figure 7) and/or the differences between the values of meteorological and optical variables when comparing “Flight” and “Daily” datasets (Table 6, Figure 8). This questions how accurately did models manage to represent  $\epsilon$  and/or  $f_{PAR}$ . Failure to properly model these variables could be explained by the combination in this TGE of two different types of vegetation that present very different dynamics, variabilities and functions. Grass presents quite dynamic seasonal cycles dominated by  $LAI$  and pigment content variations, whereas evergreen trees rather modify  $\epsilon$  and  $f_{PAR}$  than  $LAI$ .

Failure in the modeling of light use efficiency can be differently explained for each model. MOD1–MOD2 ignore  $\epsilon$  and therefore poor performance was expected. However, MOD1 achieved lowest  $RRMSE$  and maximum efficiency. Nonetheless, this model splits predictions in two groups of extreme  $GPP_{hh}$  values (not shown) and is not sensitive to intermediate values of  $GPP_{hh}$ . MOD3, includes  $\epsilon$  as a linear function of different formulations of  $PRI$ . Such relationships have been mainly found when comparing instantaneous  $PRI$ -based indices with daily or midday light use efficiency [56,57,72–75], or when simultaneously observing similar canopies under contrasting stress levels [60,76,77]. However, estimation of instantaneous  $\epsilon$  is complex and has been tackled using continuous multi-angular observations [78,79]. Nonetheless, empirical and theoretical works question to what extent the facultative component of  $PRI$  rather than structural, directional and pigment-pool effects can induce these relationships [76,80–82]. In our case, none of the  $PRI$  formulations added significant information about light use efficiency, whereas Perez-Priego et al. [83] found that a  $PRI$ -based index was a good predictor of grass  $GPP$  in the same ecosystem. The combination of grass and sparse trees could hamper any  $PRI$ -based modeling of  $\epsilon$  in our case. Trees  $PRI$  showed large variability which suggests that crown geometry and self-shadowing could operate as a strong confounding factor. Overall, results suggest that in a heterogeneous ecosystem as a TGE,  $PRI$  snapshots might be rarely linked to instantaneous  $\epsilon$ , and that more advanced approaches should be used to account for structural and pigment-related effects of trees and grasses. MOD4 slightly raised  $R^2$  but also was the least efficient model. Large equifinality between  $f_{PAR}$  and  $\epsilon_{max}$  led to senseless coefficients (not shown) and had to be constrained using prior knowledge of the  $NDVI$ - $LAI$  relationship. Some authors already reported the sensitivity of this parameter, and suggested modifying  $\epsilon_{max}$  to improve  $GPP$  prediction [84,85]. Retrieved parameters are quite close to those estimated for MOD17A2 in Savanna ecosystems. Large confidence intervals found in  $VPD$  and  $T_{air}$  limits could be explained by covariance of these variables [65]; in fact MODIS,  $VPD$  limits were independently parameterized from the BIOME-BGC model [86]. In addition, Chen et al. [85] used soil water content to stabilize solutions. Retrieved  $VPD_{max}$  doubled the MODIS value, which could mean that, in this site, photosynthesis does not stop under high  $VPD$ . This hypothesis should be handled carefully since the assumption of linear relationships between  $\epsilon_{stress}$  and  $T_{air}$  and  $VPD$  might be unrealistic, and others could be more suitable [87,88]. Nonetheless, larger tolerance to  $VPD$  could be explained in this site by the fact that trees have access to deep water reservoirs. In fact, a significant reduction of leaf water content in these trees was only observed during an extremely dry summer [89]. Finally, despite including meteorological variables, MOD4 performance was comparable to other models. This suggests that  $\epsilon$  and  $f_{PAR}$  should be separately modeled at least for the main vegetation types of the ecosystem (trees and grasses). In addition, other variables better describing their respective resources availability such as soil water content could improve modeling [85,90] and contribute to separate the different physiologies. The authors recognize the limitations of the semi-empirical approaches and the relatively small dataset size (11 images). However, in the case of MOD4, the similarity to MODIS parameters suggests high robustness.

The description of  $f_{PAR}$  provided by the different models might also result inadequate in TGE and structurally complex ecosystems. The SVI-based [4] or the Beer-Lambert law-based (Equation (11)) approaches might require that the assumption of homogeneity and random distribution of leaves holds [91]. However, dispersed trees add a geometric component to scattering [92,93] that modifies radiation distribution over vegetation. In fact,  $NDVI-f_{PAR}$  relationships of different nature have been found in grass savannas [94,95]. Background, directional effects and pixel heterogeneity could also compromise the estimation of  $f_{PAR}$  [96,97]. SVI not used in this work have been proposed to minimize some of these effects, as the Soil Adjusted Vegetation Index [98] or the Enhanced Vegetation Index [99] and are prescribed for heterogeneous landscapes such as TGE [100]. In other cases, indices maximizing sensitivity to canopy chlorophyll such as the MERIS terrestrial chlorophyll index (MTCI) were reported as better estimators of  $f_{PAR}$  [56,101]. However, SVI-based  $f_{PAR}$  modeling does not explicitly describe radiation interaction in a heterogeneous 3-D structure, and only more advanced approaches could robustly improve  $f_{PAR}$  representation in TGE ecosystems. In this work, error analysis reveals that  $GPP_{hh}$  is underestimated in a wide range of sun zenith angles and at mid-low  $PAR$  conditions, but that overestimation is maximum at low sun zenith angles ( $<30^\circ$ ), and large  $PAR$  conditions. Tree shadows would reduce the actual amount of radiation absorbed by grass; though, this effect would be also compensated at the largest sun zenith angles by diffuse radiation. Ignoring this fact might led to estimate a light response curve that saturates quicker than it actually does when using data from sunny days (as in “Flight” datasets); which could explain  $GPP_{hh}$  underestimation in cloudy days or at mid-low  $PAR$  levels.

Overall, at footprint and mid-low RS scales, the study site behaves quite homogeneously, so that the contribution of trees and grasses to the spectroradiometric and flux measurements remains stable. Footprint climatology approaches achieved significant improvements against pixel-based approaches when different covers were separated in the footprint area, in large blocks [7,13,14]; which is not the case in the Majadas site. However, this approach could be more suitable when trees and grasses (or soil, [12]) are modeled separately. The authors recognize that there is a spatial mismatch between  $NEE$  and ecosystem respiration, and therefore in the computation of  $GPP$ . However, the impact of this mismatch should remain low compared to other sources of uncertainty analyzed in this work.

## 5. Conclusions

Spatio-temporal mismatches between EC and RS footprints are a recognized challenge to model terrestrial biospheric fluxes. In this paper, we analyzed the impact of these mismatches on  $GPP_{hh}$  estimation in a heterogeneous TGE. Results suggest that these ecosystems could behave quite homogeneously at mid-low spatial resolutions such as the one of the EC footprint; and that the impact of such mismatches could be lower than inaccurate characterization of  $\epsilon$  and/or  $f_{PAR}$ . Inadequate modeling of these parameters is partly due to model formulation; however, we hypothesize that, in heterogeneous ecosystems, such as TGE, the combination of vegetation types with very different eco-physiological responses and a complex structure could introduce large uncertainties. Remote modeling of carbon fluxes in TGE ecosystems should aim to separate contributions and dynamics of trees and grasses, and account for the impact of the 3-D structure on  $f_{PAR}$ .

**Supplementary Materials:** The following are available online at [www.mdpi.com/2072-4292/9/6/608/s1](http://www.mdpi.com/2072-4292/9/6/608/s1). Table S1: Characteristics of the eleven overpasses of the CASI imagery acquired in the Majadas del Tiétar research area.

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**Author Contributions:** Javier Pacheco-Labrador processed hyperspectral imagery, carried out analyses and wrote the majority of the manuscript. Tarek El-Madany calculated footprint climatology. Tarek El-Madany, Mirco Migliavacca and Arnaud Carrara processed EC data. M. Pilar Martín and Mirco Migliavacca organized field and airborne campaigns. M. Pilar Martín provided one of the figures. Mirco Migliavacca, M. Pilar Martín, Micol Rossini, Arnaud Carrara, and Pablo J. Zarco-Tejada contributed to analysis design, supervised and contributed to the discussions.

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