

# Supplementary Materials: Mapping Smallholder Yield Heterogeneity at Multiple Scales in Eastern Africa

Zhenong Jin <sup>1,\*</sup>, George Azzari <sup>2</sup>, Marshall Burke <sup>1,2</sup>, Stephen Aston <sup>3</sup>, and David B. Lobell <sup>2</sup>

<sup>1</sup> Department of Earth System Science and Center on Food Security and the Environment, Stanford University, Stanford CA 94305, USA; gazzari@stanford.edu (G.A.); mburke@stanford.edu (M.B.); dlobell@stanford.edu (D.B.L.)

<sup>2</sup> National Bureau of Economic Research, Cambridge, MA 02138, USA;

<sup>3</sup> One Acre Fund, Kigali, Rwanda; step.aston@oneacrefund.org

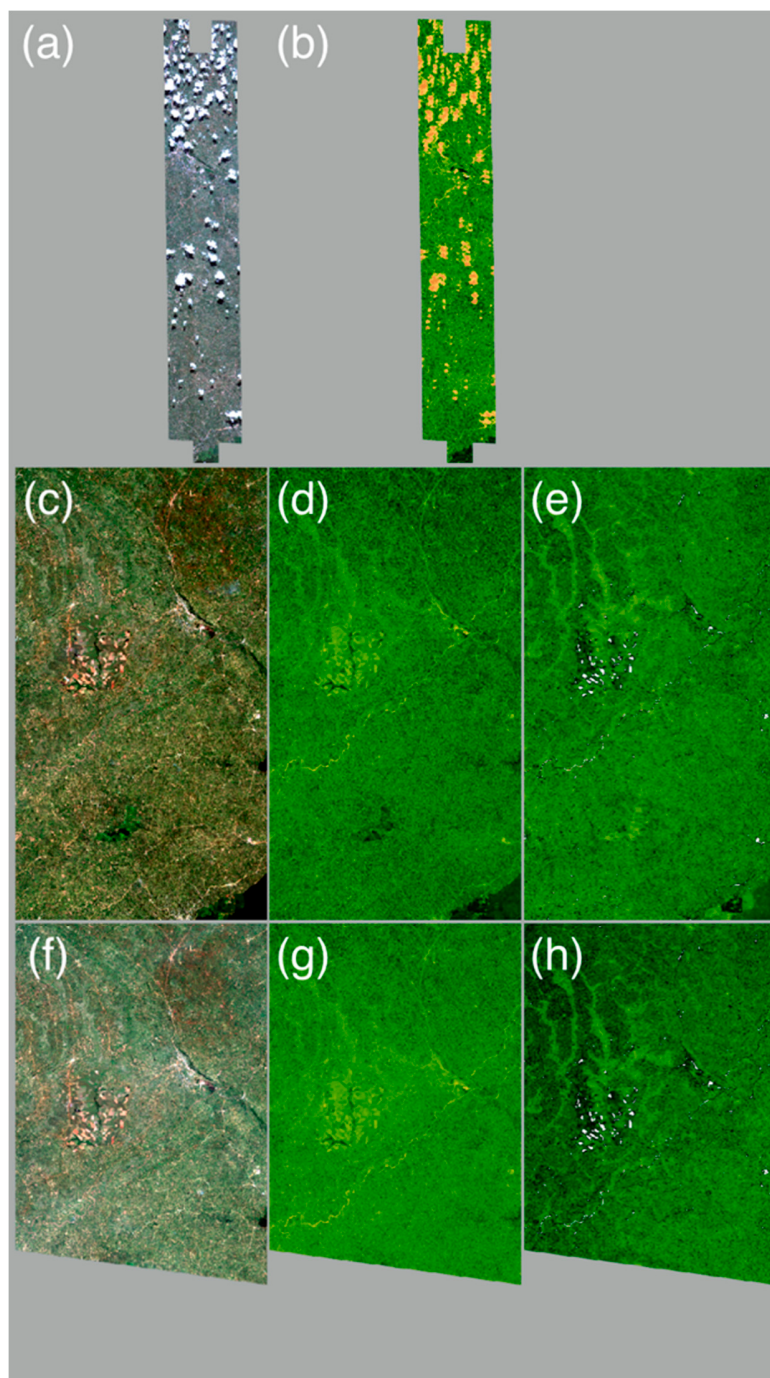
\* Correspondence: jinzn@stanford.edu; Tel.: +1-765-586-3254

**Table S1.** Summary of the Regression Model Trained for the Bungoma-Kakamega (B-K) Subregion.

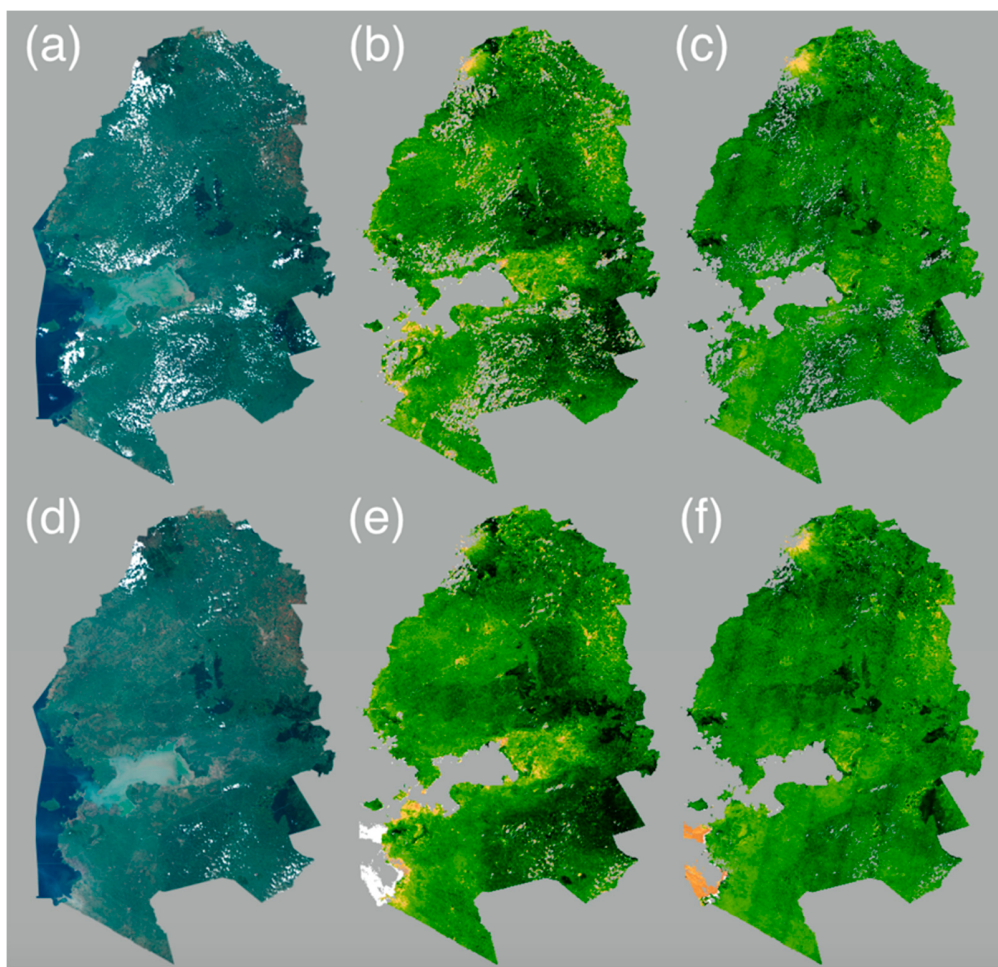
| Simulation trial |      |                  |               | Equation                                                                                                                                | R <sup>2</sup> |
|------------------|------|------------------|---------------|-----------------------------------------------------------------------------------------------------------------------------------------|----------------|
| Phenology        | VI   | Yield estimation | Sowing window |                                                                                                                                         |                |
| Default          | GCVI | Yields           | Wide          | $14.8554 + 0.4371 \times \text{GCVI138} - 1.8078 \times \text{GCVI153} + 1.4802 \times \text{GCVI156} - 0.5432 \times \text{Tavg}$      | 0.17           |
| Default          | GCVI | Yields           | Narrow        | $7.9138 + 1.0641 \times \text{GCVI138} - 2.9265 \times \text{GCVI153} + 2.4508 \times \text{GCVI156} - 0.2498 \times \text{Tavg}$       | 0.40           |
| Default          | GCVI | Biomass×HI       | Wide          | $11.64934 + 0.35819 \times \text{GCVI138} - 1.36924 \times \text{GCVI153} + 1.18473 \times \text{GCVI156} - 0.38335 \times \text{Tavg}$ | 0.37           |
| Default          | GCVI | Biomass×HI       | Narrow        | $11.5167 + 1.6353 \times \text{GCVI138} - 2.4630 \times \text{GCVI153} + 1.9681 \times \text{GCVI156} - 0.4061 \times \text{Tavg}$      | 0.38           |
| Default          | MTCI | Yields           | Wide          | $15.8687 + 0.4371 \times \text{GCVI138} - 1.8330 \times \text{MTCI153} + 1.5008 \times \text{MTCI156} - 0.5432 \times \text{Tavg}$      | 0.17           |
| Default          | MTCI | Yields           | Narrow        | $9.3847 + 1.0641 \times \text{GCVI138} - 2.9673 \times \text{MTCI153} + 2.4850 \times \text{MTCI156} - 0.2498 \times \text{Tavg}$       | 0.40           |
| Default          | MTCI | Biomass×HI       | Wide          | $12.21995 + 0.35819 \times \text{GCVI138} - 1.38833 \times \text{MTCI153} + 1.20124 \times \text{MTCI156} - 0.38335 \times \text{Tavg}$ | 0.37           |
| Default          | MTCI | Biomass×HI       | Narrow        | $13.0472 + 1.6353 \times \text{GCVI138} - 2.4973 \times \text{MTCI153} + 1.9955 \times \text{MTCI156} - 0.4061 \times \text{Tavg}$      | 0.38           |
| Calibrated       | GCVI | Yields           | Wide          | $0.59674 + 0.55030 \times \text{GCVI138} - 2.71861 \times \text{GCVI153} + 2.71910 \times \text{GCVI156} + 0.04045 \times \text{Tavg}$  | 0.66           |
| Calibrated       | GCVI | Yields           | Narrow        | $-5.2216 - 0.05361 \times \text{GCVI138} - 0.26337 \times \text{GCVI153} + 0.83266 \times \text{GCVI156} + 0.28027 \times \text{Tavg}$  | 0.98           |
| Calibrated       | GCVI | Biomass×HI       | Wide          | $4.57129 + 0.44064 \times \text{GCVI138} - 1.91961 \times \text{GCVI153} + 1.94524 \times \text{GCVI156} - 0.11839 \times \text{Tavg}$  | 0.63           |
| Calibrated       | GCVI | Biomass×HI       | Narrow        | $-0.81769 - 0.01955 \times \text{GCVI138} + 0.24329 \times \text{GCVI153} + 0.25935 \times \text{GCVI156} + 0.10092 \times \text{Tavg}$ | 0.91           |
| Calibrated       | MTCI | Yields           | Wide          | $0.59522 + 0.55030 \times \text{GCVI138} - 2.75651 \times \text{MTCI153} + 2.75701 \times \text{MTCI156} + 0.04045 \times \text{Tavg}$  | 0.66           |
| Calibrated       | MTCI | Yields           | Narrow        | $-6.98215 - 0.05361 \times \text{GCVI138} - 0.26704 \times \text{MTCI153} + 0.84427 \times \text{MTCI156} + 0.28027 \times \text{Tavg}$ | 0.98           |
| Calibrated       | MTCI | Biomass×HI       | Wide          | $4.49201 + 0.44064 \times \text{GCVI138} - 1.94637 \times \text{MTCI153} + 1.97236 \times \text{MTCI156} - 0.11839 \times \text{Tavg}$  | 0.63           |
| Calibrated       | MTCI | Biomass×HI       | Narrow        | $-2.37211 - 0.01955 \times \text{GCVI138} + 0.24668 \times \text{MTCI153} + 0.26297 \times \text{MTCI156} + 0.10092 \times \text{Tavg}$ | 0.91           |

**Table S2.** Summary of the Regression Model Trained for the One Acre Fund (1AF) Region.

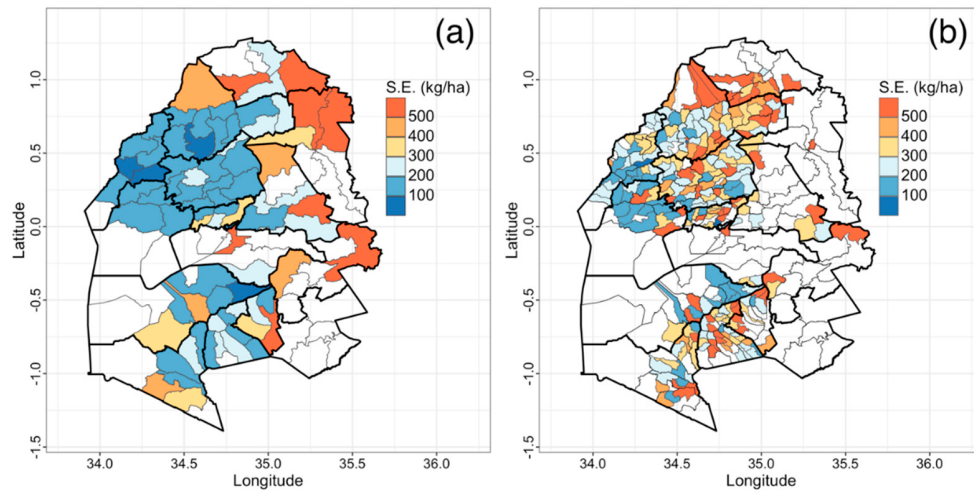
| Phenology  | Simulation trial |                  |               | Equation                                                                                                         | R <sup>2</sup> |
|------------|------------------|------------------|---------------|------------------------------------------------------------------------------------------------------------------|----------------|
|            | VI               | Yield estimation | Sowing window |                                                                                                                  |                |
| Default    | GCVI             | Yields           | Wide          | $3.90999 - 0.21373 \times \text{GCVI}_{148} + 0.34775 \times \text{GCVI}_{158} - 0.04304 \times \text{Tavg}$     | 0.11           |
| Default    | GCVI             | Yields           | Narrow        | $5.18547 - 0.47434 \times \text{GCVI}_{148} + 0.59711 \times \text{GCVI}_{158} - 0.12513 \times \text{Tavg}$     | 0.23           |
| Default    | GCVI             | Biomass×HI       | Wide          | $6.501371 - 0.17072 \times \text{GCVI}_{148} + 0.283187 \times \text{GCVI}_{158} - 0.138939 \times \text{Tavg}$  | 0.14           |
| Default    | GCVI             | Biomass×HI       | Narrow        | $7.88014 - 0.38817 \times \text{GCVI}_{148} + 0.52579 \times \text{GCVI}_{158} - 0.22747 \times \text{Tavg}$     | 0.27           |
| Default    | MTCI             | Yields           | Wide          | $3.49553 - 0.21671 \times \text{MTCI}_{148} + 0.3526 \times \text{MTCI}_{158} - 0.04304 \times \text{Tavg}$      | 0.11           |
| Default    | MTCI             | Yields           | Narrow        | $4.80579 - 0.48095 \times \text{MTCI}_{148} + 0.60543 \times \text{MTCI}_{158} - 0.12513 \times \text{Tavg}$     | 0.23           |
| Default    | MTCI             | Biomass×HI       | Wide          | $6.153562 - 0.1731 \times \text{MTCI}_{148} + 0.287135 \times \text{MTCI}_{158} - 0.138939 \times \text{Tavg}$   | 0.14           |
| Default    | MTCI             | Biomass×HI       | Narrow        | $7.45456 - 0.39358 \times \text{MTCI}_{148} + 0.53312 \times \text{MTCI}_{158} - 0.22747 \times \text{Tavg}$     | 0.27           |
| Calibrated | GCVI             | Yields           | Wide          | $1.861028 + 0.074265 \times \text{GCVI}_{148} + 0.356754 \times \text{GCVI}_{158} + 0.003527 \times \text{Tavg}$ | 0.46           |
| Calibrated | GCVI             | Yields           | Narrow        | $2.066364 - 0.002254 \times \text{GCVI}_{148} + 0.484443 \times \text{GCVI}_{158} - 0.028138 \times \text{Tavg}$ | 0.62           |
| Calibrated | GCVI             | Biomass×HI       | Wide          | $4.057345 + 0.097473 \times \text{GCVI}_{148} + 0.264205 \times \text{GCVI}_{158} - 0.078523 \times \text{Tavg}$ | 0.47           |
| Calibrated | GCVI             | Biomass×HI       | Narrow        | $4.276406 + 0.078029 \times \text{GCVI}_{148} + 0.359302 \times \text{GCVI}_{158} - 0.112858 \times \text{Tavg}$ | 0.64           |
| Calibrated | MTCI             | Yields           | Wide          | $0.528091 + 0.075301 \times \text{MTCI}_{148} + 0.361728 \times \text{MTCI}_{158} + 0.003527 \times \text{Tavg}$ | 0.46           |
| Calibrated | MTCI             | Yields           | Narrow        | $0.575184 - 0.002285 \times \text{MTCI}_{148} + 0.491197 \times \text{MTCI}_{158} - 0.028138 \times \text{Tavg}$ | 0.62           |
| Calibrated | MTCI             | Biomass×HI       | Wide          | $2.938849 - 0.098832 \times \text{MTCI}_{148} + 0.267889 \times \text{MTCI}_{158} - 0.078523 \times \text{Tavg}$ | 0.47           |
| Calibrated | MTCI             | Biomass×HI       | Narrow        | $2.92395 + 0.079117 \times \text{MTCI}_{148} + 0.364311 \times \text{MTCI}_{158} - 0.112858 \times \text{Tavg}$  | 0.64           |



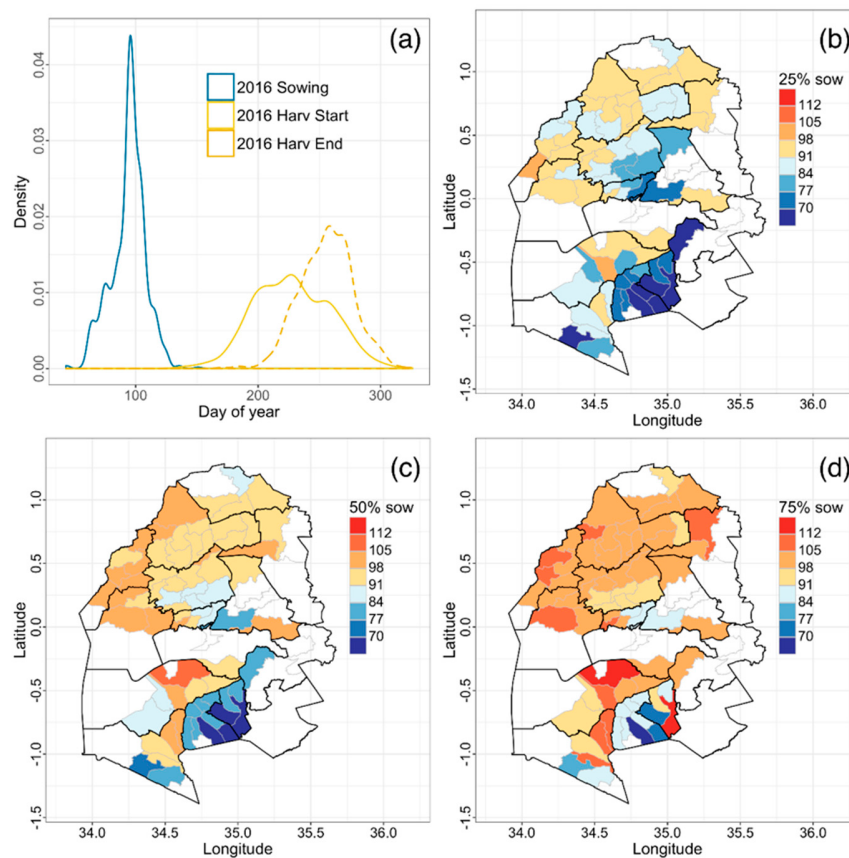
**Figure S1.** Snapshots of Skysat imagery in raw (a) and GCVI (b) on May 17<sup>th</sup>, RapidEye imagery in raw (c, f), GCVI (d, g) and MTCI (e, h) on June 1<sup>st</sup> and June 4<sup>th</sup>, respectively.



**Figure S2.** Snapshots of Sentinel-2 imagery in raw (a, d), GCVI (b, e) and MTCI (c, f) on May 27<sup>st</sup> and June 7<sup>th</sup>, respectively.



**Figure S3.** Standard error of One Acre Fund (1AF) crop cut yields aggregated to the District (a) and the Division (b) level.



**Figure S4** Distribution of farmer-reported sowing date, harvest start and end in 2016 for the B-K subregion (a), and the spatial pattern of sowing date at the District level.