

Type of the Paper (Article- Supplementary Data)

# Mouse-Derived Isograft (MDI) *in vivo* Tumor Models

## I. Spontaneous sMDI Models: Characterization and Cancer Therapeutic Approaches

### - Supplementary Data -

Peter Jantscheff <sup>1a\*</sup>, Janette Beshay <sup>1a</sup>, Thomas Lemarchand <sup>2</sup>, Cynthia Obodozie <sup>1</sup>, Christoph Schächtele <sup>1</sup>, Holger Weber <sup>1,\*</sup>

<sup>1</sup>In Vivo Pharmacology, Proqinase GmbH, Breisacher Str. 117, 79106 Freiburg, Germany, peter.jantscheff@t-online.de; Janette\_Beshay@web.de; c.obodozie@proqinase.com; c.schaechtele@proqinase.com; h.weber@proqinase.com

<sup>2</sup>TPL Pathology Labs, Sasbacher Str. 10, 79111 Freiburg, Germany, lemarchand@tpl-path-labs.com

\*Correspondence: peter.jantscheff@t-online.de; Tel: +49-7666-913-0396; h.weber@proqinase.com ; Tel.: +49-761-769996-17331733

<sup>a</sup>Peter Jantscheff and Janette Beshay contributed equally to this work.

Received: date; Accepted: date; Published: date

### 1. Supplementary Data

Suppl\_data\_sMDI\_cMDI\_models – Cancers.docx – containing:

#### 1.1 Tab. S1 – sMDI\_RNA-Seq

p 02

**Tab. S1a - sMDI\_RNA-Seq:** Expression of tyrosine kinase receptor (TKR) genes in selected sMDI JA-0009, and cMDI JA-2011 and JA-2042

**Tab. S1b - sMDI\_RNA-Seq:** Expression of immune population marker genes (T-, B-, NK-cells, Mφ, myeloid cells) in selected sMDI JA-0009, and cMDI JA-2011 and JA-2042

**Tab. S1c - sMDI\_RNA-Seq:** Expression of IFN-γ signature genes in selected sMDI JA-0009, and cMDI JA-2011 and JA-2042

#### 1.2 Fig. S1 – sMDI\_RNA-Seq

p 07

**Fig. S1a i – iii** – sMDI\_RNA-Seq: FPKM-Values

**Fig. S1b i – iii** – sMDI\_RNA-Seq: Internal Relative Expression

#### 1.3 Doc. S1-sMDI: sMDI - Histological and Pathological Analysis

p 11

The document summarizes detailed histopathological analysis of primary and derived tumors of various sMDI models inclusive respective large microphotographs

#### 1.4 Doc. S2-sMDI

p 58

Material, Methods & Results: Efficacy studies with anti-mPD-1 and anti-mCTLA-4 in seven syngeneic standard mouse tumor models

#### 1.5 Fig. S2-sMDI

p 59

Efficacy studies with anti-mPD-1 and anti-mCTLA-4 in seven syngeneic standard mouse tumor models

#### 1.6 Doc. S3-sMDI

p 61

Methods & Results: Establishment, efficacy study and flow cytometric characterization of mPD-1-resistant MC38-CEA relapsed subline

#### 1.7 Fig. S3-sMDI

p 62

Establishment, efficacy study and flow cytometric characterization of mPD-1-resistant MC38-CEA relapsed subline

1.1 Tab. S1 – sMDI\_RNA-Seq

Tab. S1a - sMDI\_RNA-Seq: Expression of tyrosine kinase receptor (TKR) genes in selected sMDI JA-0009, and cMDI JA-2011 and JA-2042

| Gene   | Gene description                               | Gene expression (FPKM values) |          |          |          |          |          |
|--------|--|-------------------------------|----------|----------|----------|----------|----------|
|        |  | JA-0009                       |          | JA-2011  |          | JA-2042  |          |
|        |  | sample 1                      | sample 2 | sample 1 | sample 2 | sample 1 | sample 2 |
| Kdr    | kinase insert domain protein receptor, VEGF-R2 | 8.579                         | 10.474   | 7.432    | 13.084   | 6.298    | 8.009    |
| Fgfr1  | fibroblast growth factor receptor 1            | 122.697                       | 138.217  | 166.383  | 137.789  | 112.092  | 118.213  |
| Fgfr2  | fibroblast growth factor receptor 2            | 1.146                         | 0.031    | 4.227    | 2.491    | 0.937    | 1.072    |
| Pdgfrb | platelet derived growth factor receptor, beta  | 40.534                        | 34.803   | 60.614   | 51.228   | 62.505   | 72.651   |
| Met    | met proto-oncogene                             | 120.096                       | 83.597   | 24.139   | 30.064   | 33.087   | 36.542   |
| Ret    | ret proto-oncogene                             | 0.225                         | 0.179    | 1.058    | 0.653    | 0.076    | 0.084    |
| Flt3   | FMS-like tyrosine kinase 3                     | 0.378                         | 0.276    | 0.240    | 0.292    | 1.259    | 1.437    |
| Axl    | AXL receptor tyrosine kinase                   | 172.031                       | 163.433  | 203.023  | 210.440  | 261.356  | 242.636  |
| Kit    | KIT proto-oncogene receptor tyrosine kinase    | 2.162                         | 1.856    | 4.323    | 5.736    | 5.162    | 6.012    |
| Egfr   | epidermal growth factor receptor               | 7.592                         | 7.015    | 9.604    | 11.132   | 13.700   | 15.918   |
| Alk    | anaplastic lymphoma kinase                     | 0.087                         | 0.166    | 0.010    | 0.021    | 0.073    | 0.112    |
| Ephb4  | Eph receptor B4                                | 28.023                        | 25.817   | 13.665   | 10.399   | 22.000   | 24.307   |
| ErbB2  | erb-b2 receptor tyrosine kinase 2              | 7.034                         | 6.063    | 9.046    | 7.539    | 7.018    | 6.076    |
| ErbB4  | erb-b2 receptor tyrosine kinase 4              | 0.000                         | 0.000    | 0.000    | 0.000    | 0.000    | 0.000    |
| Igf1r  | insulin-like growth factor I receptor          | 9.052                         | 9.258    | 8.474    | 7.896    | 8.069    | 8.482    |
| Mst1r  | macrophage stimulating 1 receptor (c-          | 1.309                         | 0.488    | 4.416    | 3.608    | 1.397    | 0.656    |
| Tek    | TEK receptor tyrosine kinase, TIE2             | 3.116                         | 4.038    | 12.923   | 14.449   | 1.985    | 2.598    |
| Flt4   | FMS-like tyrosine kinase 4, VEGF-R3            | 2.852                         | 3.971    | 3.777    | 5.235    | 2.801    | 4.222    |

## Whole transcriptome shotgun sequencing-based (RNA-Seq) Expression pattern of tyrosine kinase receptor (TKR) gene family

RNA-isolation and RNA-Seq were performed by StarSeq, Mainz, Germany as whole transcriptome shotgun sequencing analysis from samples of sMDI JA-0009 and cMDI JA-2011 and JA-2042. RNA-Seq comparisons were performed based on respective FPKM (fragments per kilobase million) values. Since we could not yet determine the definite tissue of origin of the outgrowing MDI tumors, it was not possible to compare tumor gene expression with its respective -unfortunately unknown- normal tissue equivalent. Thus, we performed these experiments as a means of proof of principle, using the example of three gene families which are related to tumor malignancy or anti-tumoral immune response in three different MDIs.

Table S1a summarizes duplicate FPKM values of eighteen genes of tyrosine kinase receptor (TKR) gene family separately determined from single RNA-Seq experiment raw data from two distinct, frozen stored tumor samples of one single passage of JA-0009, JA-2011 or JA-2042 MDI each.

Duplicate FPKM values do reflect an objective gene expression pattern within the individual MDI models. Up to 1,000-fold different expression patterns comparing FPKM values of individual TKR genes were observed. For example, anaplastic lymphoma kinase, Alk (mean FPKM: 0.126), showed very weak (less than 1,000-fold lower) expression, compared with AXL receptor tyrosine kinase, Axl (mean FPKM: 167.732), whereas erb-b2 receptor tyrosine kinase 4, Erbb4, was not expressed at all in sMDI JA-0009 model. However, since normal tissue equivalents of MDIs are unknown, one only could speculate about putative malignancy-dependent variances.

*Tab. S1b- sMDI\_RNA-Seq - Expression of immune population marker genes (T-, B-, NK-cells, MΦ, myeloid cells) in selected sMDI JA-0009, and cMDI JA-2011 and JA-2042*

| Gene  | Gene description                                     | Gene expression (FPKM values) |          |          |          |          |          |
|-------|--|-------------------------------|----------|----------|----------|----------|----------|
|       |  | JA-0009                       |          | JA-2011  |          | JA-2042  |          |
|       |  | sample 1                      | sample 2 | sample 1 | sample 2 | sample 1 | sample 2 |
| Ptprc | protein tyrosine phosphatase, receptor type, C, CD45 | 37.323                        | 30.111   | 16.357   | 15.704   | 30.151   | 28.046   |
| Cd19  | CD19 antigen   | 0.017                         | 0.086    | 0.025    | 0.000    | 0.245    | 0.111    |
| Cd3d  | CD3 antigen, delta polypeptide                       | 0.793                         | 0.873    | 0.447    | 0.267    | 3.658    | 3.015    |
| Cd4   | CD4 antigen  | 1.952                         | 0.759    | 1.971    | 0.965    | 33.392   | 30.082   |
| Cd8b1 | CD8 antigen, beta chain 1                            | 0.608                         | 0.404    | 0.875    | 0.346    | 4.468    | 4.068    |
| Foxp3 | forkhead box P3                                      | 0.124                         | 0.117    | 0.309    | 0.123    | 0.685    | 0.587    |
| Cd44  | CD44 antigen   | 217.964*                      | 154.191* | 80.282   | 88.770   | 113.863  | 115.516  |
| Il2ra | interleukin 2 receptor, alpha chain, CD25            | 0.508                         | 0.449    | 0.248    | 0.112    | 0.734    | 0.689    |

|        |   |         |         |        |        |        |        |
|--------|---|---------|---------|--------|--------|--------|--------|
| CD11b  | integrin alpha M                                  | 59.742  | 47.742  | 33.733 | 44.577 | 22.264 | 21.607 |
| Adgre1 | adhesion G protein-coupled receptor E1, F4/80     | 35.325  | 30.841  | 25.396 | 23.390 | 75.824 | 67.878 |
| Mrc1   | mannose receptor, C type 1, CD206                 | 40.398  | 32.636  | 70.140 | 91.794 | 95.140 | 82.647 |
| Itgax  | integrin alpha X, CD11c                           | 7.283   | 3.457   | 3.435  | 3.349  | 7.650  | 7.708  |
| Ncr1   | natural cytotoxicity triggering receptor 1, NKp46 | 4.617   | 3.767   | 0.354  | 0.286  | 2.626  | 1.900  |
| Itga2  | integrin alpha 2, CD49b                           | 5.220   | 3.428   | 1.137  | 1.731  | 1.189  | 1.887  |
| Ly6C   | lymphocyte antigen 6 complex, locus C1            | 303.057 | 337.000 | 41.151 | 51.858 | 41.983 | 36.347 |

**Whole transcriptome shotgun sequencing-based (RNA-Seq) expression pattern of Immune Population Marker (IPM) gene family**

Table S1b summarizes duplicate FPKM (fragments per kilobase million) values of fifteen genes of immune population marker (IPM) gene family separately determined from single RNA-Seq experiment raw data from two distinct, frozen stored tumor samples of a single passage of JA-0009, JA-2011 or JA-2042 MDI each.

Duplicate FPKM values do reflect an objective gene expression pattern within the individual MDI models (JA-0009, JA-2011, or JA-2042). Up to 1,000-fold different expression pattern comparing FPKM values of individual IPM genes were observed. For example, CD19 antigen, Cd19 (mean FPKM: 0.052), showed very weak (less than 1,000-fold lower) expression, compared with CD44 antigen, Cd44 (mean FPKM: 186.078), or lymphocyte antigen 6 complex, locus C1, Ly6C (mean FPKM: 320.029) in sMDI JA-0009 model. However, since normal tissue equivalents of MDIs are unknown, one only could speculate about putative malignancy-dependent variances.

*Tab. S1c – sMDI\_RNA-Seq - Expression of IFN-γ Signature genes in selected sMDI JA-0009, and cMDI JA-2011 and JA-2042*

| Gene  | Gene description                     | Gene expression (FPKM values) |          |          |          |          |          |
|-------|--------------------------------------|-------------------------------|----------|----------|----------|----------|----------|
|       |                                      | JA-0009                       |          | JA-2011  |          | JA-2042  |          |
|       |                                      | sample 1                      | sample 2 | sample 1 | sample 2 | sample 1 | sample 2 |
| Il2rg | interleukin 2 receptor subunit gamma | 42.246                        | 39.482   | 19.460   | 20.503   | 62.764   | 49.006   |
| Cxcr6 | chemokine (C-X-C motif) receptor 6   | 1.257                         | 0.773    | 1.433    | 0.318    | 9.097    | 6.613    |
| Cd3d  | CD3 antigen, delta polypeptide       | 0.793                         | 0.873    | 0.447    | 0.267    | 3.658    | 3.015    |
| Cd2   | CD2 antigen                          | 1.575                         | 0.898    | 0.597    | 0.706    | 4.033    | 2.505    |
| Itgal | integrin alpha L                     | 6.752                         | 4.789    | 3.783    | 3.901    | 12.127   | 11.312   |

|        |  |        |        |        |        |         |        |
|--------|--|--------|--------|--------|--------|---------|--------|
| Tagap  | T cell activation Rho GTPase activating protein    | 4.636  | 5.536  | 4.918  | 3.987  | 7.021   | 7.502  |
| Ciita  | class II transactivator                            | 0.161  | 0.136  | 0.659  | 0.513  | 14.236  | 12.366 |
| Ptprc  | protein tyrosine phosphatase, receptor             | 37.323 | 30.111 | 16.357 | 15.704 | 30.151  | 28.046 |
| Cxcl9  | chemokine (C-X-C motif) ligand 9                   | 4.238  | 2.831  | 1.393  | 0.923  | 45.016  | 24.324 |
| Ccl5   | C-C motif chemokine ligand 5                       | 41.223 | 36.996 | 7.127  | 7.141  | 79.711  | 65.278 |
| Nkg7   | natural killer cell group 7                        | 7.640  | 7.409  | 2.421  | 1.104  | 21.513  | 20.296 |
| Gzma   | granzyme A   | 24.492 | 15.757 | 1.510  | 1.445  | 2.996   | 3.072  |
| Prf1   | perforin 1 (pore forming protein)                  | 10.770 | 6.110  | 0.600  | 0.709  | 2.331   | 2.125  |
| Ccr5   | chemokine (C-C motif) receptor 5                   | 63.335 | 62.056 | 15.800 | 17.280 | 30.596  | 28.809 |
| Cd3e   | CD3 antigen, epsilon polypeptide                   | 0.818  | 0.675  | 1.223  | 0.438  | 7.494   | 6.838  |
| Gzmk   | granzyme K   | 0.940  | 0.437  | 0.290  | 0.000  | 0.587   | 1.035  |
| Ifng   | interferon gamma                                   | 0.039  | 0.099  | 0.112  | 0.000  | 1.273   | 0.848  |
| Gzmb   | granzyme B   | 26.809 | 12.568 | 0.783  | 0.792  | 1.399   | 0.905  |
| Pdcd1  | programmed cell death 1                            | 1.520  | 1.512  | 0.676  | 0.380  | 6.870   | 5.895  |
| Slamf6 | SLAM family member 6                               | 2.433  | 2.073  | 1.518  | 0.929  | 3.694   | 3.940  |
| Cxcl13 | chemokine (C-X-C motif) ligand 13                  | 26.792 | 6.986  | 0.176  | 0.566  | 2.667   | 0.784  |
| Cxcl10 | chemokine (C-X-C motif) ligand 10                  | 78.838 | 79.382 | 8.332  | 6.334  | 19.421  | 10.466 |
| Ido1   | indoleamine 2,3-dioxygenase 1                      | 0.771  | 1.136  | 0.128  | 0.000  | 0.033   | 0.000  |
| Lag3   | lymphocyte-activation gene 3                       | 3.108  | 2.039  | 2.392  | 1.374  | 8.097   | 6.592  |
| Stat1  | signal transducer and activator of transcription 1 | 44.663 | 38.088 | 11.567 | 10.864 | 31.248  | 24.513 |
| Cxcl11 | chemokine (C-X-C motif) ligand 11                  | 14.251 | 11.497 | 0.686  | 0.563  | 2.390   | 0.793  |
| Il12b  | interleukin 12b                                    | 0.067  | 0.042  | 0.072  | 0.026  | 1.628   | 1.269  |
| Il12a  | interleukin 12a                                    | 0.218  | 0.092  | 0.052  | 0.056  | 0.119   | 0.000  |
| Irf1   | interferon regulatory factor 1                     | 53.329 | 49.470 | 66.608 | 44.893 | 108.433 | 98.369 |
| Tbx21  | T-box 21   | 0.344  | 0.327  | 0.118  | 0.177  | 1.057   | 0.906  |
| Ctla4  | cytotoxic T-lymphocyte-associated protein 4        | 0.491  | 0.209  | 0.161  | 0.103  | 0.879   | 0.632  |
| Cd274  | CD274 antigen, PD-L1                               | 6.746  | 4.850  | 3.991  | 4.090  | 4.693   | 2.803  |

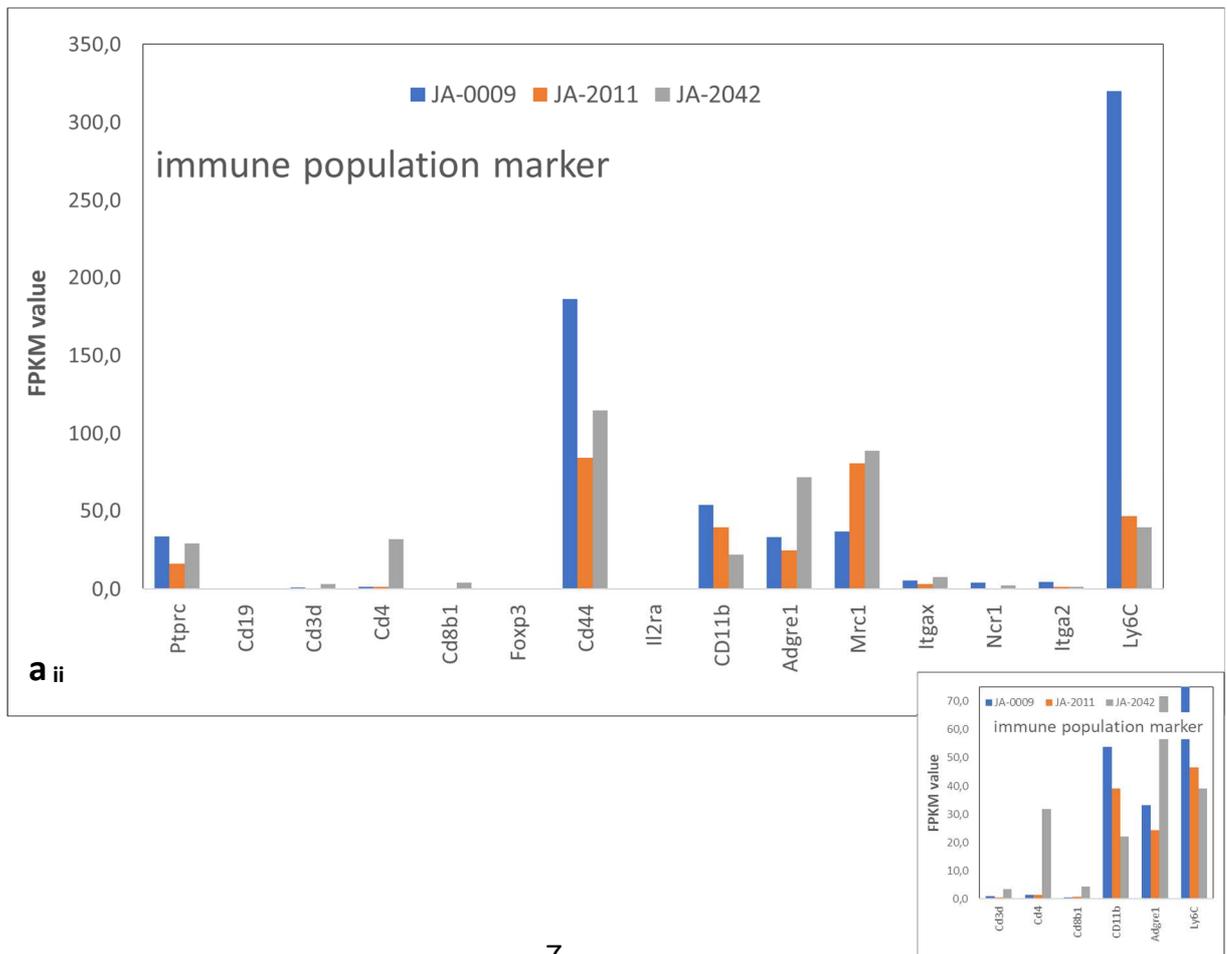
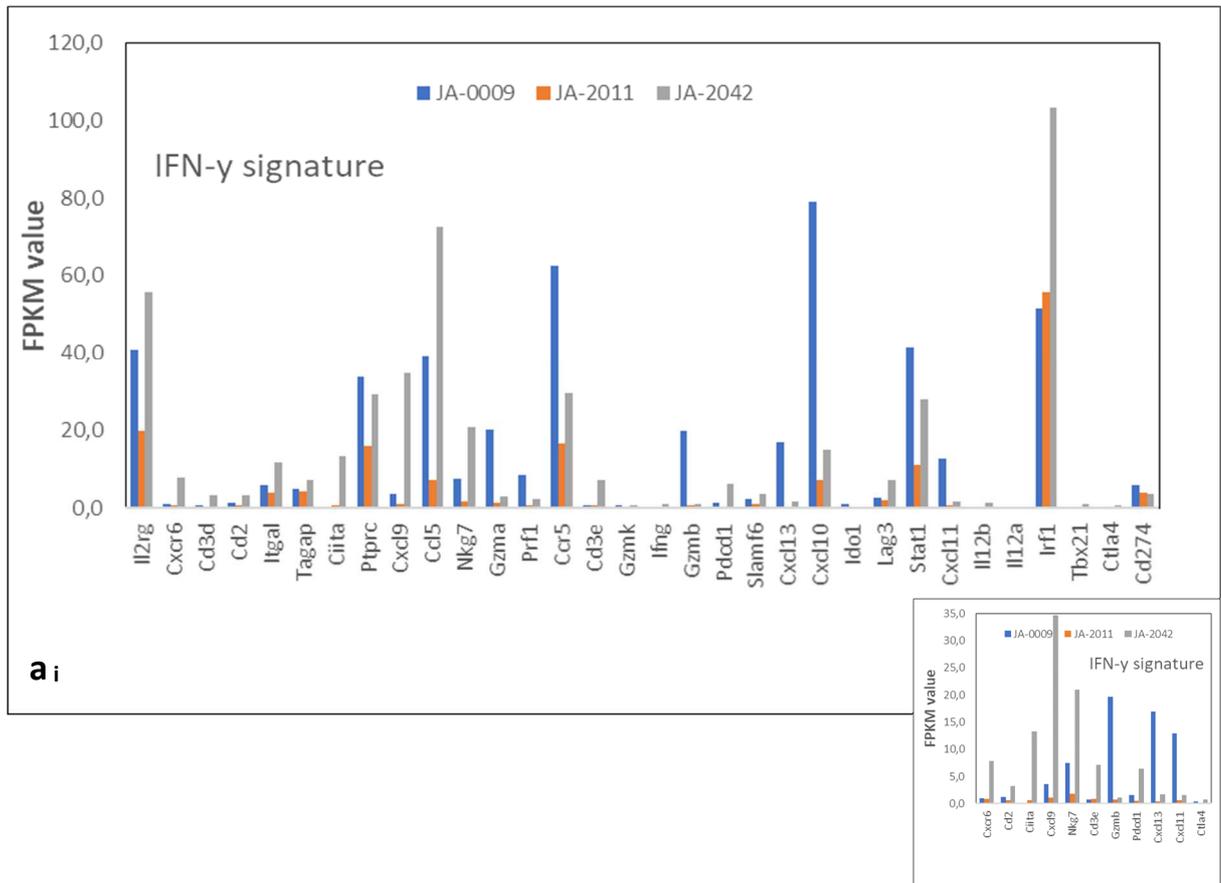
---

Whole transcriptome shotgun sequencing-based (RNA-Seq) expression pattern of IFN- $\gamma$  signature (IFNGS) gene family

Table S1c summarizes duplicate FPKM (fragments per kilobase million) values of thirty-two genes of IFN- $\gamma$  signature (IFNGS) gene family separately determined from single RNA-Seq experiment raw data from two distinct, frozen stored tumor samples of a single passage of JA-0009, JA-2011 or JA-2042 MDI each.

Duplicate FPKM values do reflect an objective gene expression pattern within the individual MDI models (JA-0009, JA-2011, or JA-2042). Up to 1,000-fold different expression pattern comparing FPKM values of individual genes could be observed. For example, interleukin 12b, Il12b (mean FPKM: 0.054), showed very weak (less than 1,000-fold lower) expression, compared with chemokine (C-X-C motif) ligand 10, Cxcl10 (mean FPKM: 79.110) in sMDI JA-0009 model. However, since normal tissue equivalents of MDIs are unknown, one only could speculate about putative malignancy-dependent variances.

1.2 Fig. S1 – *sMDI\_RNA-Seq*



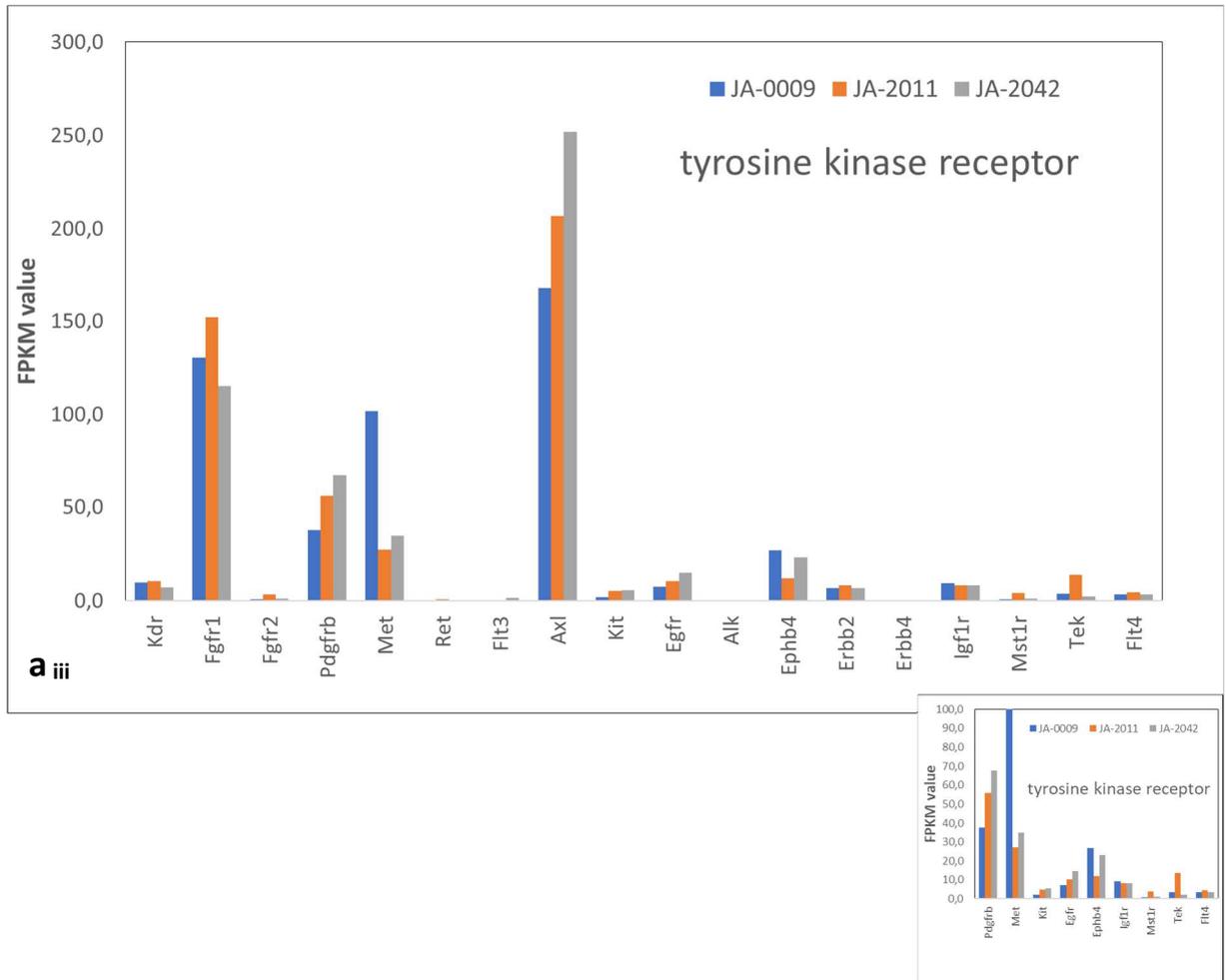
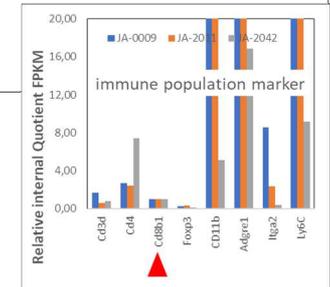
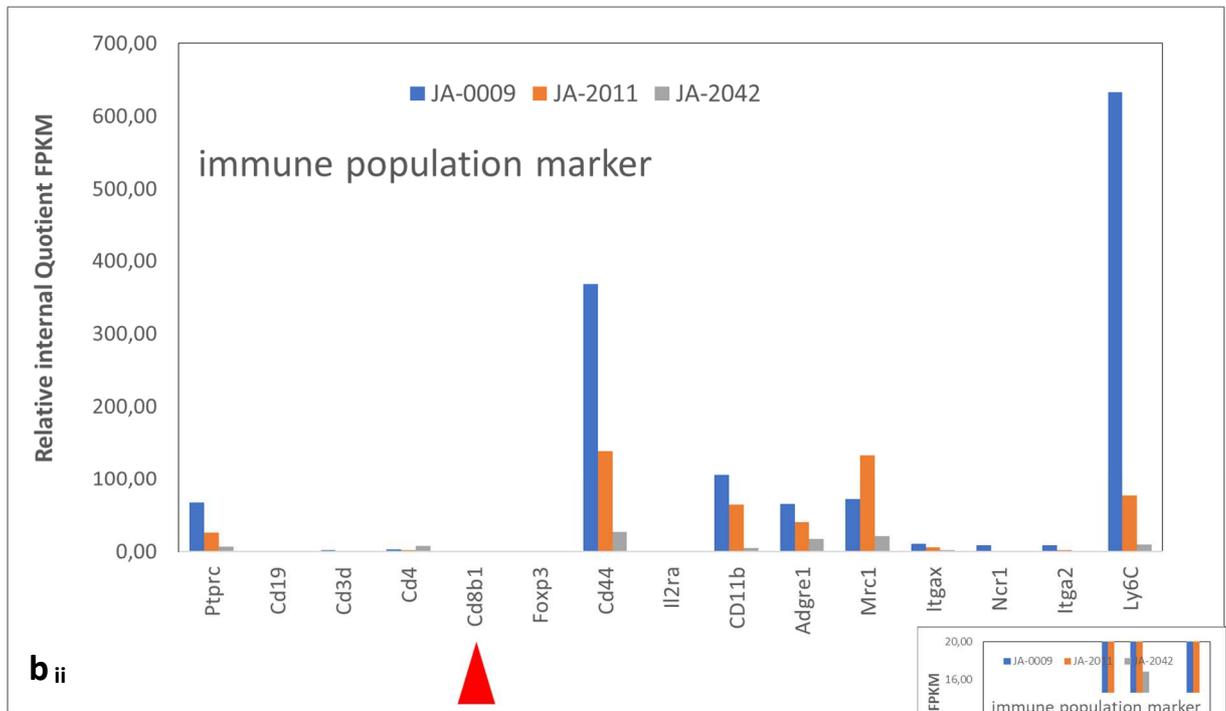
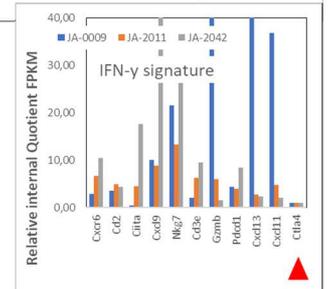
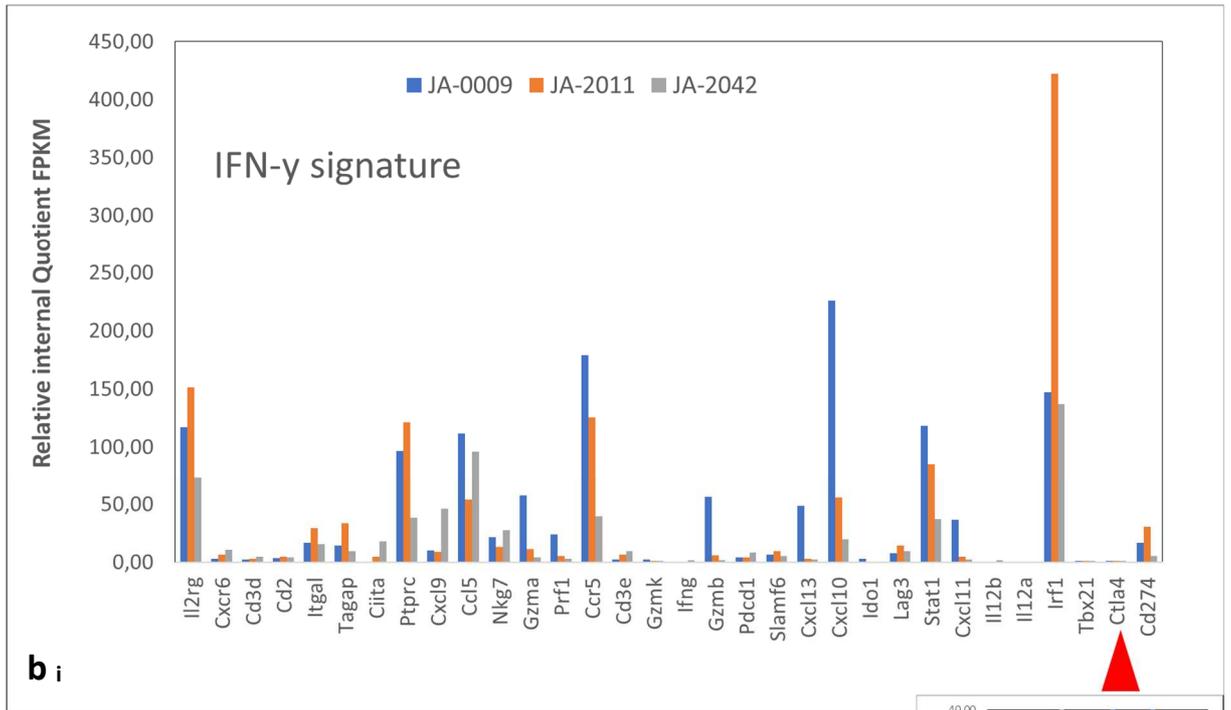


Fig. S1a – sMDI\_RNA-Seq: FPKM-Values

Graphical presentation of RNA-seq determined FPKM-Values of various genes of three gene families in three MDI (one sMDI, and two cMDI) models. The observed expression pattern for most tested IFNGS (i), IPM (ii), and TKR (iii) genes was similar in all three MDI models, with high gene expression (FPKM values > 100) of some, low or moderate (FPKM values between 1 – 50) of most other, and not detectable expression (FPKM value = 0) of some further genes. Since the FPKM (fragments per kilobase million) values were not determined in simultaneous experiments a direct comparison of gene expression between the individual MDI models was not possible. Thus, we compared internal relative gene expression (Figs. S1b) in the various models. Small inserts show gene expression of selected gene with other y-axis scaling.

Fig. S1b – sMDI\_RNA-Seq: Internal Relative Expression



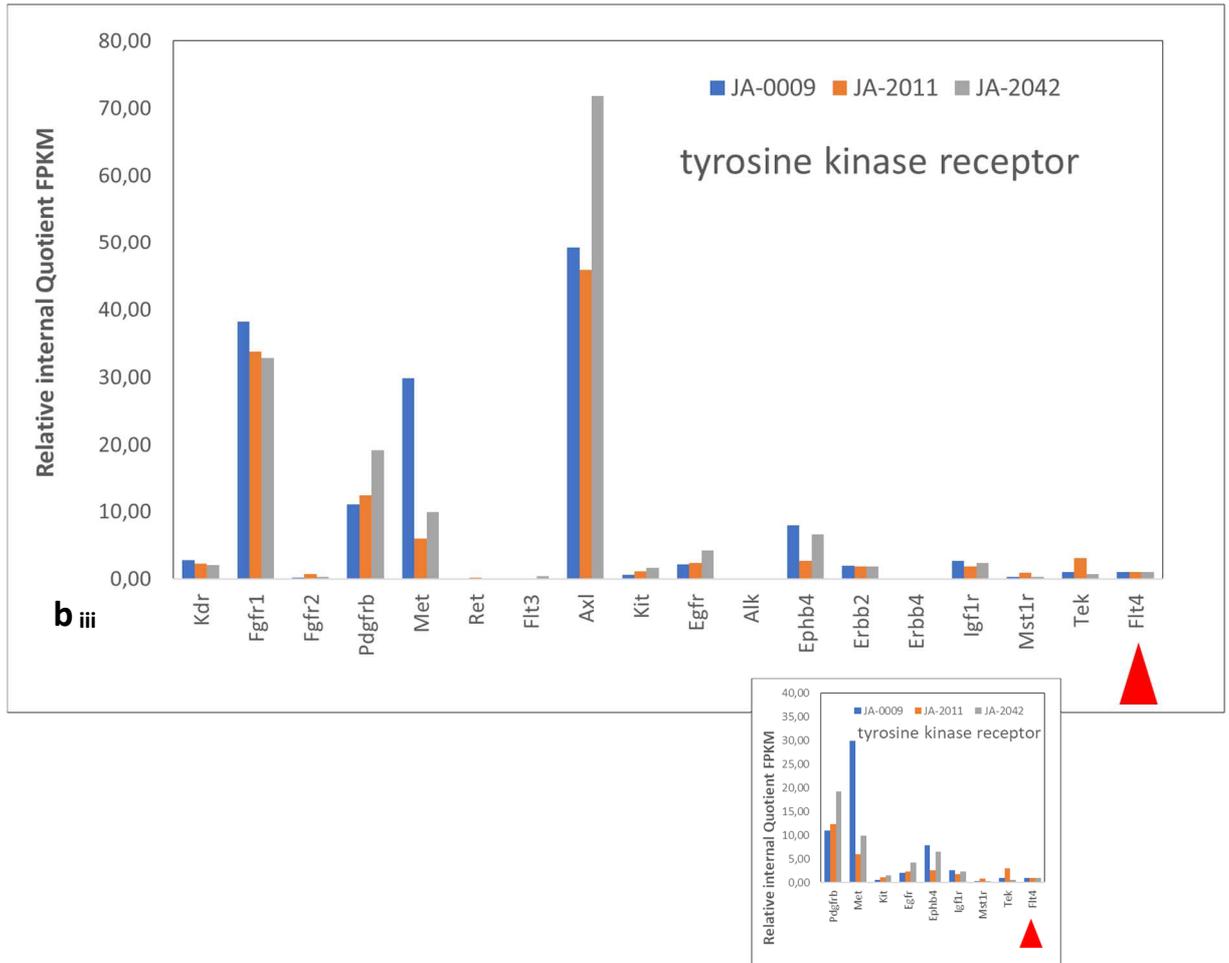


Fig. S1b – sMDI\_RNA-Seq: Internal Relative Expression

Graphical presentation of Internal Relative Expression (IRE) of RNA-seq determined FPKM-Values of various genes of three gene families in the three (one sMDI, and two cMDI) MDI models. The IRE gives one an impression on the strength and variabilities of single gene expression within one gene family of the individual MDI models. IRE was calculated as quotient comparing individual gene expression (FPKM) within individual MDI models each, related to the expression of an internal, low FPKM-value reference gene (red triangle). Data are shown as n-fold of expression of respective reference gene. For each gene family one common reference gene, *Ctla4* (IFN  $\gamma$  signature), *Cd8b1* (immune population marker), and *Flt4* (tyrosine kinase receptor) was selected within the MDI models. Relative tumor specific gene expression (IRE) in the individual MDI tumors, JA-0009, JA-2011, and JA-2042 shows that in some cases genes, i.e. *Fgfr1* (TKR), display a very similar relative expression, whereas in other cases, i.e. *Irf1* (IFNGS), *Ly6C* (IPM), or *Met* and *Axl* (TKR), respectively, relative gene expression in the various models differs strikingly. Small inserts show gene expression of selected gene with other y-axis scaling.

---

### 1.3 Doc. S1 - sMDI: sMDI - Histological and Pathological Analysis

The document summarizes detailed histopathological analysis of primary and derived tumors of various sMDI models inclusive respective large microphotographs

#### Doc. S1-sMDI

#### Pathological investigations on index and recipient mice with the present sMDI tumors:

##### Table of Contents

|   |    |
|---|----|
| JA-0011 sMDI: Histiocytic Sarcoma (HS) / Histiocyte-associated Lymphoma (HAL) ..... | 14 |
| JA-0013 sMDI: Malignant Lymphoma (ML).....  | 22 |
| JA-0018 sMDI: Malignant Lymphoma (ML).....  | 27 |
| JA-0034 sMDI: Malignant Lymphoma (ML).....  | 29 |
| JA-0021 sMDI: Malignant Lymphoma (ML).....  | 31 |
| JA-0009 sMDI: Adenocarcinoma, anaplastic, invasive .....                            | 35 |
| JA-0017 sMDI: Adenocarcinoma, complex type .....                                    | 42 |
| JA-0023 sMDI: Adenocarcinoma, mammary gland .....                                   | 46 |
| JA-0032 sMDI: Adenocarcinoma, Lung.....   | 56 |
| Literature cited:.....  | 58 |

##### List of Figures

|   |    |
|---|----|
| Figure 1: Macroscopic aspects of the JA-0011 neoplasm index case.....                                     | 14 |
| Figure 2: Index case 011-14, DBA/2N ♀, histiocytic tumor microscopic aspect in liver I.....               | 15 |
| Figure 3: Index case 011-14, DBA/2N ♀, histiocytic tumor microscopic aspect in liver II.....              | 16 |
| Figure 4: Index case 0011-14; aspects the histiocytic sarcoma in the kidney.....                          | 17 |
| Figure 5: Index case 011-14, DBA/2N ♀, histiocytic tumor aspect in oviduct.....                           | 18 |
| Figure 6: Case 011-14/1298-16, DBA/2N ♀, tumor microscopic aspect in spleen.....                          | 19 |
| Figure 7: Case 011-14/0052-17, DBA/2N ♀, histiocytic tumor: microscopic aspect in liver .....             | 20 |
| Figure 8: Lineage derived tumor 0052-17: aspect of tumor lineage in the spleen.....                       | 21 |
| Figure 9: Macroscopic aspects of index case of tumor line JA-0013 .....                                   | 22 |
| Figure 10: Index case of JA-0013: high magnification view of the neoplastic tissue .....                  | 23 |
| Figure 11: Lineage case of JA-0013: 0026-17 high magnification view of the neoplastic tissue ...          | 24 |
| Figure 12: Lineage case of JA-0013: 1562-16 high magnification view of the neoplastic tissue ...          | 25 |
| Figure 13: Lineage case of JA-0013: 0027-17 medium magnification view of the neoplastic tissue .<br>..... | 26 |

|             |   |    |
|-------------|---|----|
| Figure 14:  | Macroscopic aspect of JA-0018 (case 18-14).....   | 27 |
| Figure 15:  | Index neoplasm of sMDI JA-0018 (15-14) noted in the lungs of a ♀ CBA/J mouse.....   | 27 |
| Figure 16:  | Low, medium and high magnification of 0113-17 malignant lymphoma (ML) derived from index case 0018-14 tumor, subcutis, adipose and mammary.....                     | 28 |
| Figure 17:  | Macroscopic aspect of <b>JA-0034</b> .....  | 29 |
| Figure 18:  | Low and high magnification of the malignant lymphoma (ML) index 0034-14.....  | 30 |
| Figure 19:  | Medium magnification of the daughter malignant lymphoma case 1426-17.....   | 30 |
| Figure 20:  | Spontaneous neoplasm study (study #10445): normal skin tissue with a mammary teat, female mouse 0021/14.....  | 31 |
| Figure 21:  | Early malignant lymphoma localization in a lymph node – Index 0021-14.....  | 32 |
| Figure 22:  | Spontaneous neoplasms (study #10445): malignant lymphoma, female SCID <i>bg/bg</i> mouse 1571/16.....   | 33 |
| Figure 23:  | Macroscopic aspects of the index tumor <b>009-14</b> (index case for lineage JA-0009).....  | 35 |
| Figure 24:  | Medium high magnification of the tumor index case 009-14, with glandular and anaplastic/ spindle shaped differentiation.....  | 36 |
| Figure 25:  | High magnification of the tumor index case 009-14, with clear evidence of epidermoid differentiation, suggesting an epidermoid carcinoma.....                       | 37 |
| Figure 26:  | A moderate high magnification view of 009-14 showing spindle shaped cell differentiation.....   | 38 |
| Figure 27:  | Low magnification view of the index neoplasia 009-14.....   | 39 |
| Figure 28:  | Low magnification aspect of the transmitted tumor 1286/16 from index case 09-14.....  | 40 |
| Figure 29:  | High magnification aspect of the transmitted tumor 1286/16.....   | 41 |
| Figure 30 : | Gross aspects of the index neoplasm 0017-14.....  | 42 |
| Figure 31:  | Image ID: 780_#10445_tu01_0017-14_0017-14_HE_10x_1-AdK--mixed tumor-index-case, HE stain, original magnification: 100x.....   | 43 |
| Figure 32:  | Image ID: 780_#10445_tu_0017-14_1234-16_HE_20x_2-AdK-wKeratinization-mixed tumor-complex-myoepiith-epiith2lmesenchT-wC, HE stain, original magnification: 200x..... | 44 |
| Figure 33:  | Image ID: 780_#10445_tu01_0096-17_0017-14_HE_20x_2-AdK--mixed tumor- trab-K, HE stain, original magnification: 200x.....  | 45 |
| Figure 34:  | Low (left) and mid (right) magnification views of the index tumor from the kidney....   | 47 |
| Figure 35:  | High magnification view of the index renal tumor.....   | 47 |
| Figure 36:  | Index tumor of the subcutaneous tumor: microscopic morphological aspects.....   | 48 |
| Figure 37:  | Lineage tumor aspect in subcutaneous tissue: example of <b>0005-17</b> .....  | 49 |
| Figure 38:  | Case 0005-17: High magnification reveals the tubulopapillary structure with necrotic cells in lumens and supportive stroma.....                                     | 50 |
| Figure 39:  | Lineage tumor aspect in kidney: example of <b>0001-17</b> .....   | 51 |
| Figure 40:  | Lineage tumor aspect in subcutaneous tissue: example of <b>1620-16</b> .....  | 52 |
| Figure 41:  | Spontaneous neoplasms (study #10445): papillary cystic adenocarcinoma, female mouse 0547/17, hematoxylin and eosin stain, subcutis.....                             | 53 |

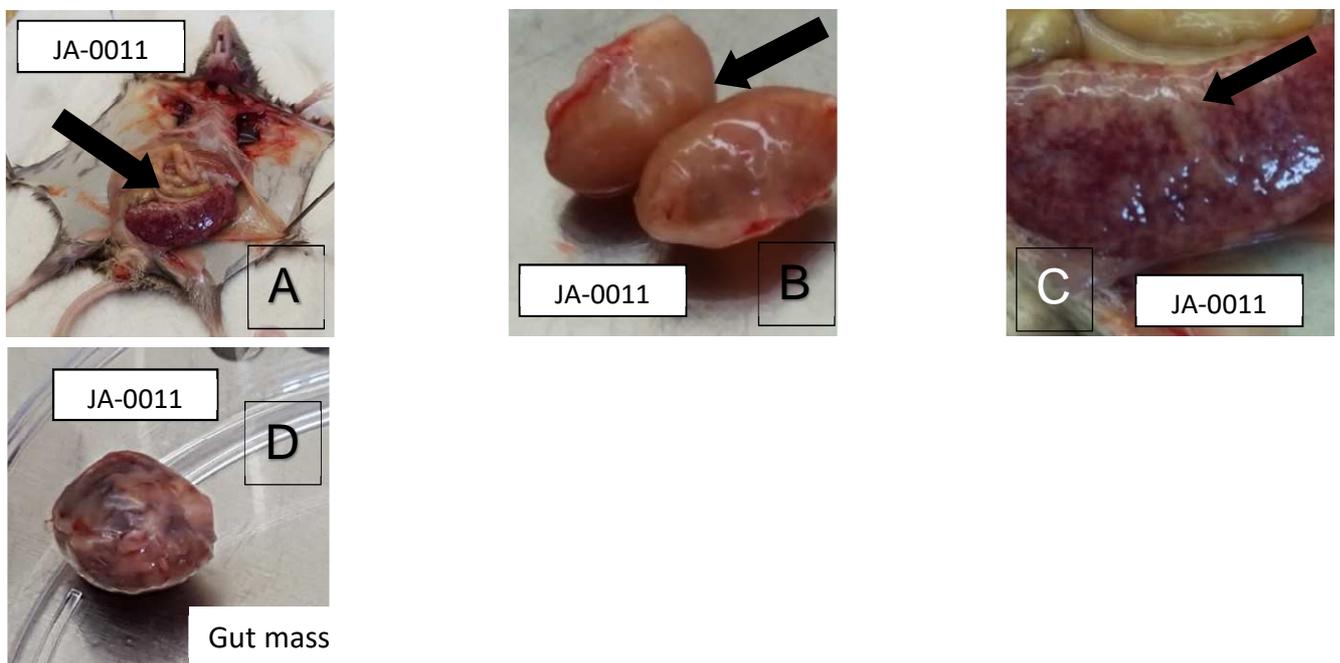
---

|            |  |    |
|------------|--|----|
| Figure 42: | Spontaneous neoplasms (study #10445): papillary cystic adenocarcinoma, female mouse 0544/17, skin .....      | 54 |
| Figure 43: | Spontaneous neoplasms (study #10445): papillary adenocarcinoma, lung, male mouse 0032/14 .....               | 56 |
| Figure 44: | Spontaneous neoplasms (study #10445): adenocarcinoma, solid, clear cells, subcutis, male mouse 0055/17 ..... | 57 |
| Figure 45: | Low magnification of tumor aspect overview and high view detail ( <b>thumbnails</b> ) .....                  | 58 |

---

## JA-0011 sMDI: Histiocytic Sarcoma (HS) / Histiocyte-associated Lymphoma (HAL)

Index tumor **JA-0011** (Fig. 2) was classified as a histiocytic sarcoma (HS) or histiocyte-associated lymphoma (HAL), as localized in several tissues (liver, gut, kidney, spleen – see [Figure 1](#) to [Figure 5](#)), with both histiocytic and lymphocytic components. Re-transplanted subcutaneous tumors, **0339-17**, **4007-16**, or **0057-17** also invaded spleens e.g. **1298-16** ([Figure 6](#)) and/or livers e.g. **0052-17** ([Figure 7](#)) of recipient mice, with either lymphoblastic (e.g. **1298-16**) or histiocytic predominant differentiation. These features are topographical and histological characteristics of these hemolymphopoietic neoplasms.



**Figure 1:** Macroscopic aspects of the **JA-0011** neoplasm index case

**A:** the spleen is markedly enlarged (**arrow**) and the liver pale yellow and enlarged. **B:** Kidneys are also paler than normal and enlarged; one kidney has segmental more important pale discoloration (**arrow**) **C:** Close up view of the spleen (see A), showing the white mottling (**arrow**) discoloration. **D:** mass noted in the abdominal cavity, of obvious tumoural origin, but without identifiable tissue of origin.

**Diagnosis:** Neoplasm of Hemolymphopoietic origin: most compatible with: histiocytic sarcoma (HS) or histiocyte-associated lymphoma (HAL)

**Main features:** multicentric tumor composed of sheets and bundles of histiocytic cells in various tissues, including, but not limited to spleen, liver, kidney, oviducts, with:

- presence of syncytial multinucleate cells (Hallmark of the neoplasm)
- significant infiltration of neoplastic cells by polymorphonuclear leukocytes (neutrophils, eosinophils), often immature (band granulocytes) erythropoietic cells and lymphoid cells
- presence of inflammation

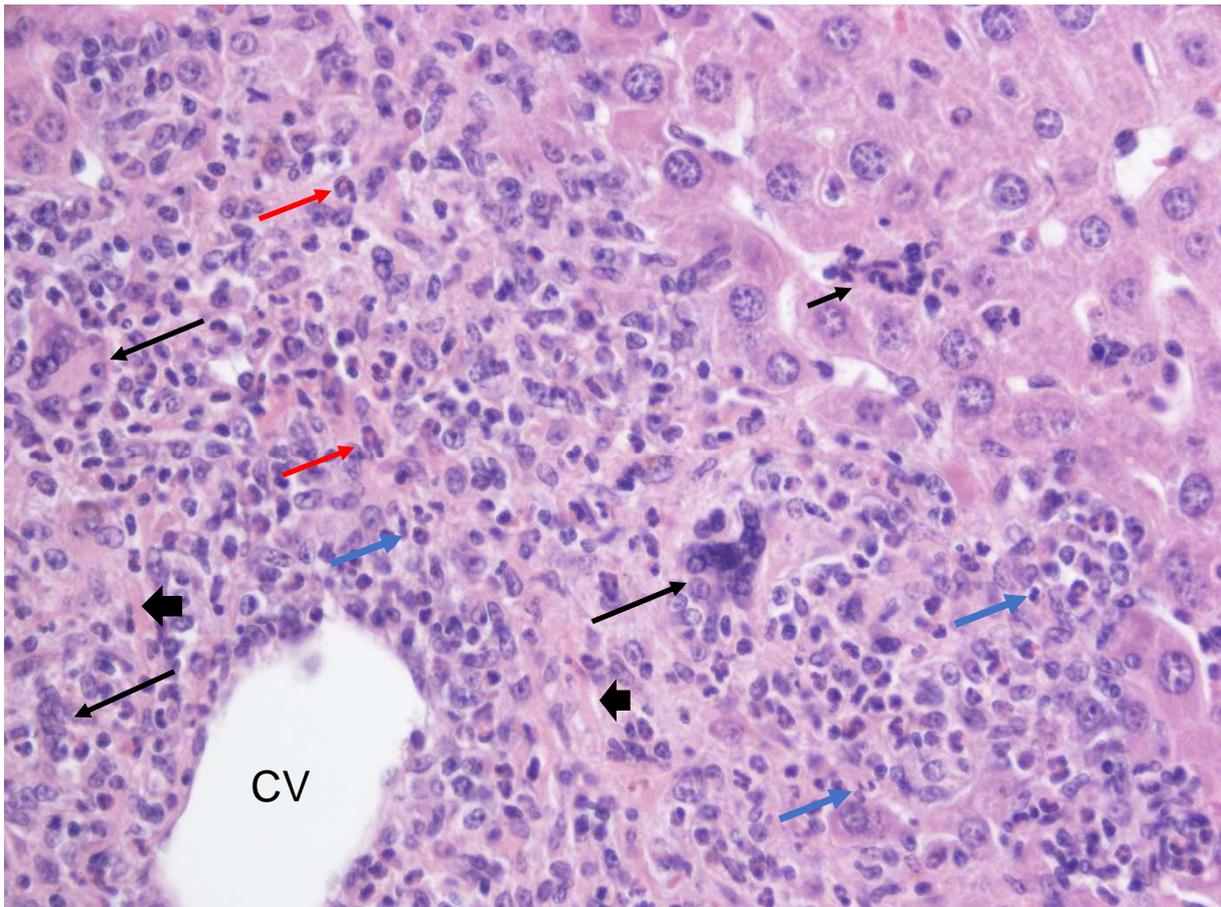


Figure 2: Index case 011-14, DBA/2N ♀, histiocytic tumor microscopic aspect in liver I

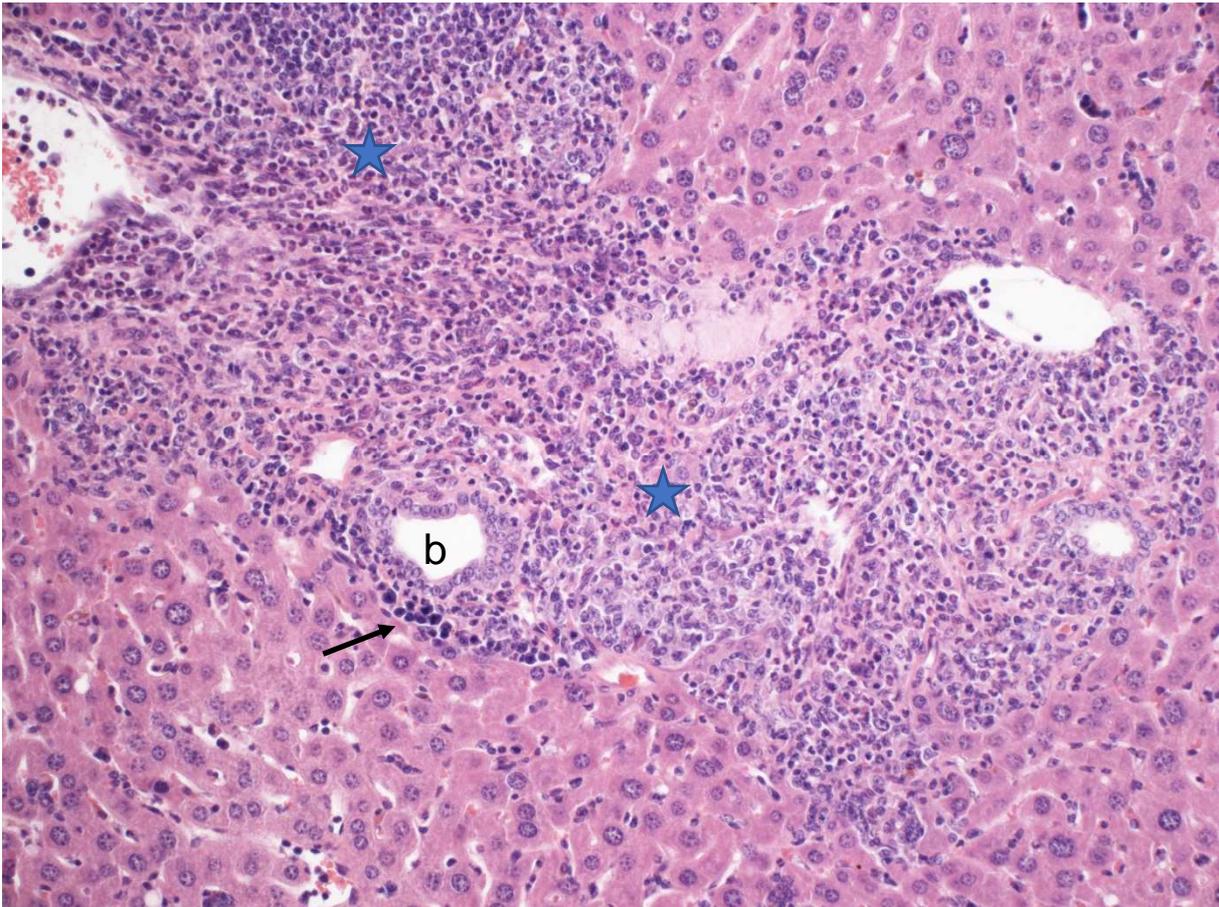
The malignant proliferation is characterized by sheets and bundles of pale macrophage-like histiocytic cells, which progressively compress, invade and replace the hepatic parenchyma and by the presence of many multinucleate giant cells (**long black arrows**). There is also relatively significant associated inflammation and/or recruited mixed leukocytes, a majority of which is characterized by histiocytes/macrophages infiltrates cytologically within normal limits, a few of which in a necrotic/degenerated form (small black arrow in the upper left quadrant area). Accompanying this histiocytic and macrophagic proliferation, are significant infiltrates of polymorphonuclear (PMN) leukocytes, associating both neutrophils (**blue arrows**) and some eosinophils (**red arrows**). On this particular high magnification view centered on a central vein (**CV**), the neoplastic proliferation (cell type) is not particularly obvious. The neoplastic process is chronic (probably relatively indolent/slow growing neoplasm), as judged by the associated florid fibrosis accompanying the predominantly granulomatous-like inflammation (see arrowhead **◄**).

Index case: mouse ID number 011-14, strain DBA/2N ♀, HE stain, original magnification: 400x.

Morphological diagnosis: Histiocytic Sarcoma/ Histiocyte-associated lymphoma.

Abbreviation: HS/HAL

**Image ID:** 780\_#10445\_liver\_0011-14\_HE\_40x\_1\_syncytial-giant-cell\_inflammation



**Figure 3:** Index case 011-14, DBA/2N ♀, histiocytic tumor microscopic aspect in liver II

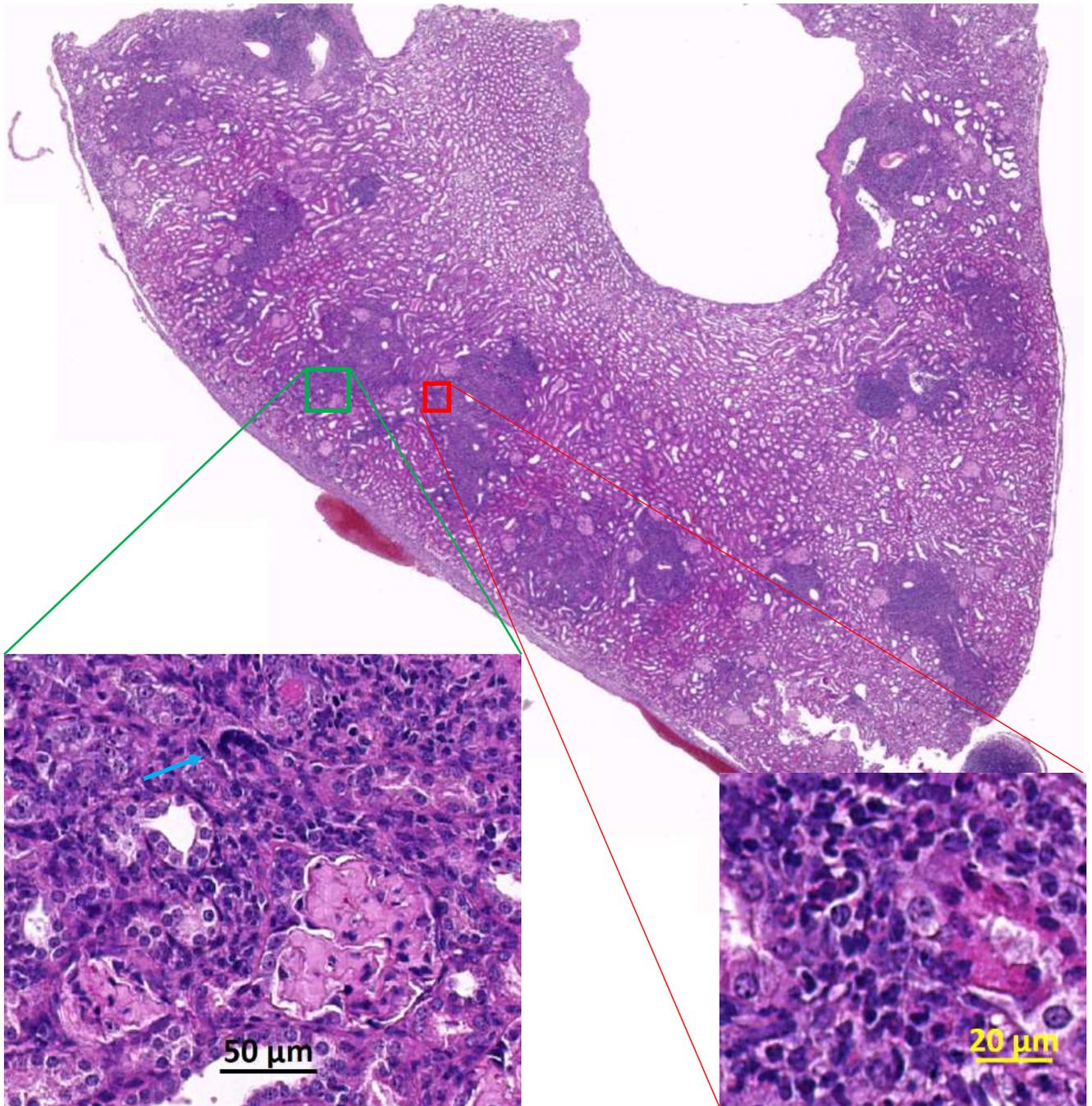
Index case: mouse ID number 011-14, strain DBA/2N ♀ same case as in [Figure 2](#); HE stain, original magnification 200x.

The portal areas of the liver have diffuse marked mononuclear and histiocytic diffuse infiltration of the connective tissue ([blue stars](#)), with a significant population of large blastic cells and cells most compatible morphologically with small lymphocytes. The mixed inflammatory cell infiltration is similar in intensity and type to [Figure 2](#). There is also associated erythropoiesis (extramedullary hematopoiesis – [black arrow](#)). b = small bile duct.

Morphological diagnosis: Histiocytic Sarcoma/ Histiocyte-associated lymphoma.

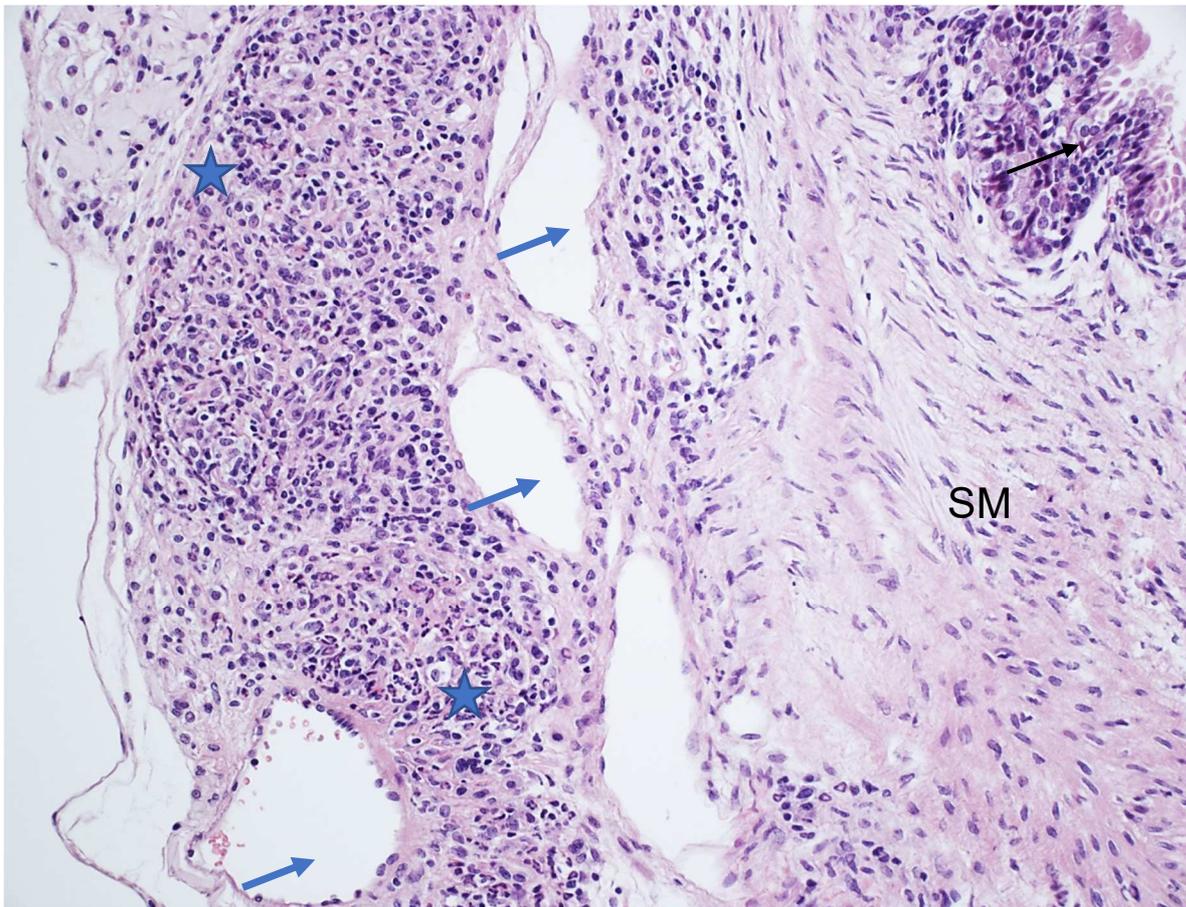
Abbreviation: HS/HAL

**Image ID:** 780\_#10445\_liver\_0011-14\_Liver\_HE\_20x\_1\_HS\_pport-area.



**Figure 4:** Index case 0011-14; aspects the histiocytic sarcoma in the kidney

Similar foci as in the liver (indicating secondary localizations) are scattered in the cortex of the kidney cortex, with glomerular hyalinosis (left green inset) and features of infiltrating cells similar to the liver: presence of multi-nucleate giant cells (blue arrow), and various proportions of histiocytes, granulocytes and small lymphocytes (right small red inset) with evidence of associate tubular degeneration and regeneration.



**Figure 5:** Index case 011-14, DBA/2N ♀, histiocytic tumor aspect in oviduct.

Index case: mouse ID number 011-14, strain DBA/2N ♀, HE stain, original magnification: 400x  
Cavitary organ composed of a smooth muscle wall and ciliated pseudostratified epithelium (**black arrow**): Female reproductive tract, most compatible with oviduct.

A proliferative infiltrate similar to liver (see [Figure 2](#) and [Figure 3](#)) and kidney is noted (**blue stars**), markedly thickening the serosal surface of a cavitary organ most compatible with oviduct adjacent to ovary. This proliferative tissue induces marked dilatation of blood and lymph vessels (**blue arrows**). SM = smooth muscle layer of the oviduct.

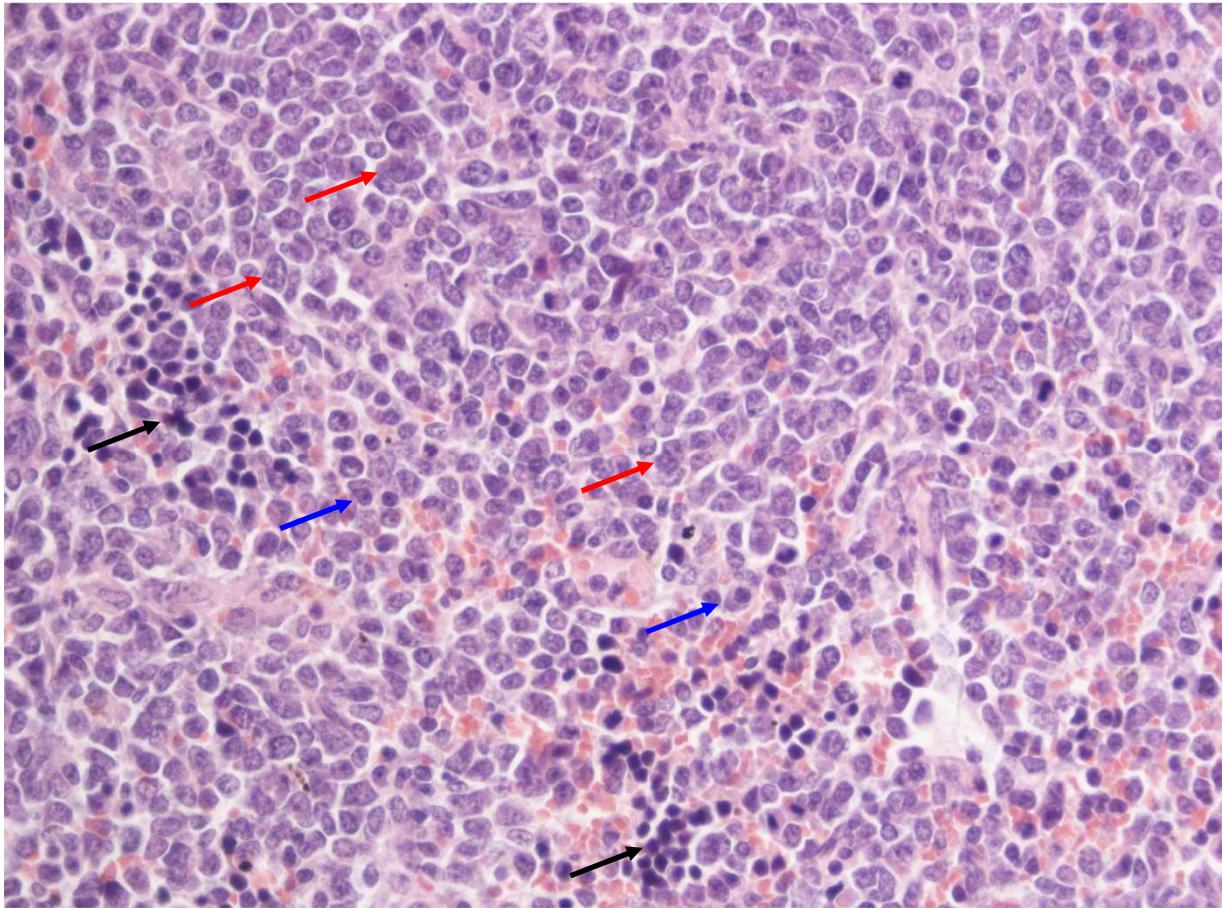
Consequently, it may be surmised that the intestinal mass was most probably a heavily invaded ovary (see [Figure 1](#) panel D). Ovary is a frequent primary or secondary localization of histiocytic sarcoma (see [Reference 1](#))

Morphological diagnosis: Histiocytic Sarcoma/ Histiocyte-associated lymphoma.

Abbreviation: HS/HAL

**Image ID:** 780\_#10445\_ORG\_0011-14\_Darm\_HE\_40x\_1\_HS

## Isografts – example 1: 1298-16



**Figure 6:** Case 011-14/1298-16, DBA/2N ♀, tumor microscopic aspect in spleen

Mouse ID #1298-16 **spleen tissue**; from index case: mouse ID number 011-14, strain DBA/2N ♀. HE stain, original magnification: 400x.

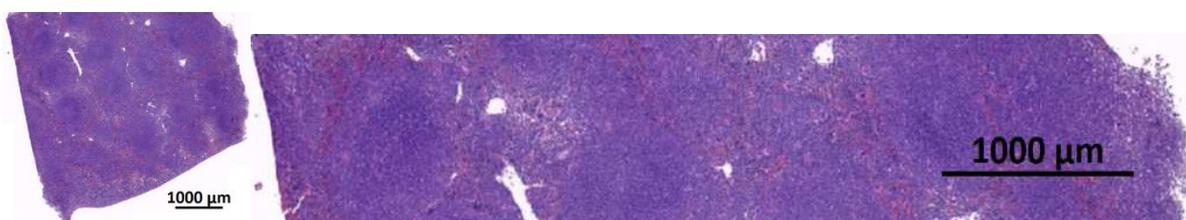
Diffusely (almost entirely) erasing the structure of the white and red pulp of the spleen are diffuse relatively homogenous sheets (see **thumbnails** below) of immature large “blastic” mononuclear cells most compatible morphologically with immature lymphoblasts (**red arrows**). Some of the differentiated cells have morphology suggesting plasma cells (**blue arrows**). There is also extramedullary erythropoiesis (**black arrows**). In this case, early infiltration in the liver features similar cells as in the spleen, with few inflammatory cells (data not shown).

This demonstrate the lymphoma potential of this particular cell lineage.

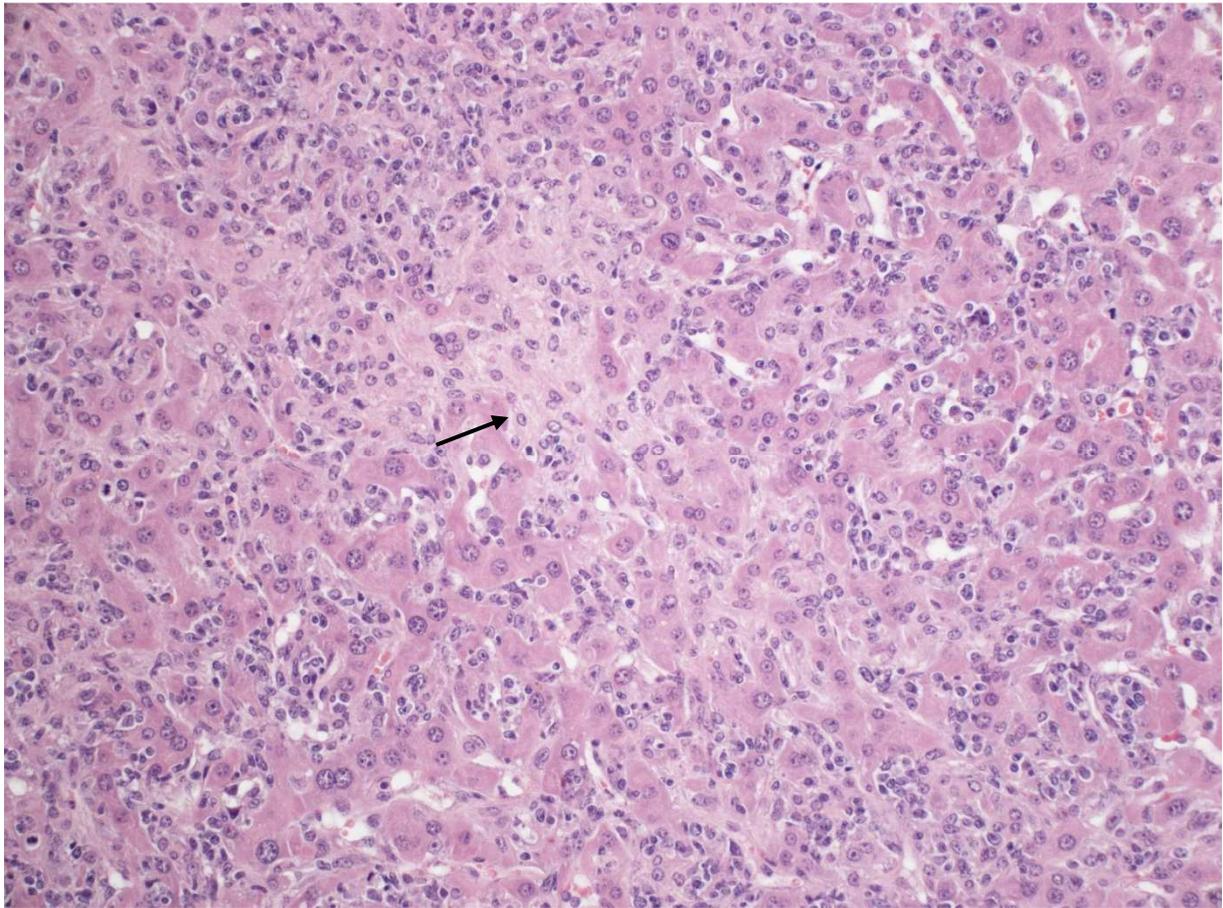
This phenotypic variability would warrant further molecular pathology characterization by immunohistochemistry and in situ hybridization when re-used in future studies

Morphological diagnosis: Malignant lymphoma.

**Image ID:** 780\_#10445\_mz\_0011-14\_1298-16\_HE\_40x\_1\_lymphoma



## Isografts – example 2: 0052-17



**Figure 7:** Case 011-14/0052-17, DBA/2N ♀, histiocytic tumor: microscopic aspect in liver

Mouse ID #0052-17; from index case: mouse ID number 011-14, strain DBA/2N ♀. HE stain, original magnification: 200x.

Notice the almost pure central proliferation of histiocytic cells widely thickening the sinusoids (**black arrow**). Histiocytes have homogenous pale nuclei and amorphous pale pink abundant cytoplasm with indistinct boundaries and low/absent anaplastic or pleomorphic features, making them as typical histiocytes/macrophages. Furthermore, these cells closely resemble typical hypertrophic Kupffer cells, replacing the normal looking fenestrated endothelial covering of sinusoids. Other cells at the vicinity are of various types, including small, mainly medium-sized and few large lymphocytes.

This phenotypic variability of this lineage JA-0011 would warrant further molecular pathology characterization by immunohistochemistry and in situ hybridization when re-used in future studies

Morphological diagnosis: Histiocytic Sarcoma/ Histiocyte-associated lymphoma.

Abbreviation: HS/HAL

**Source file:** Image ID: 780\_#10445\_leb\_0011-14\_0052-17\_HE\_20x\_1\_HS

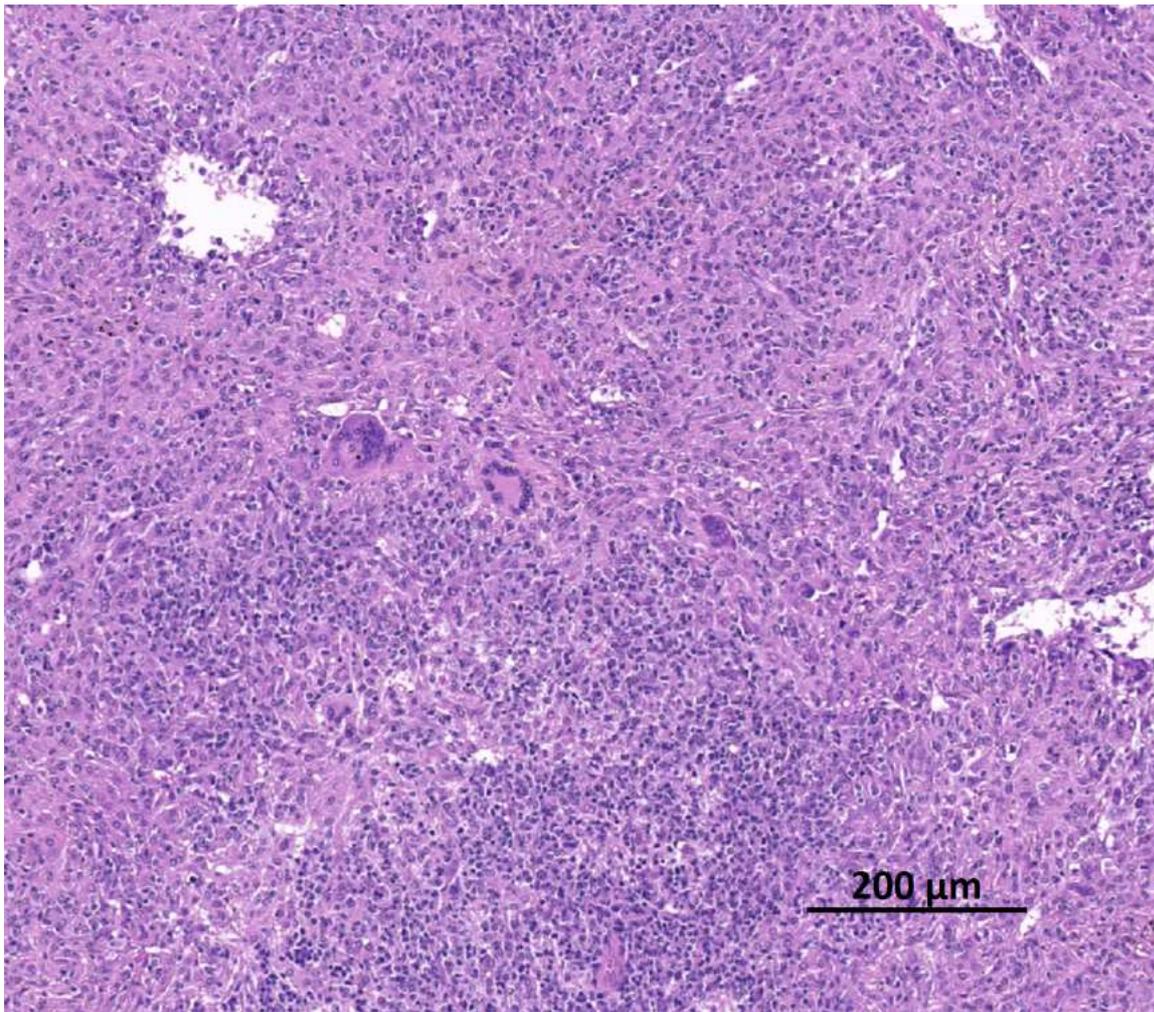
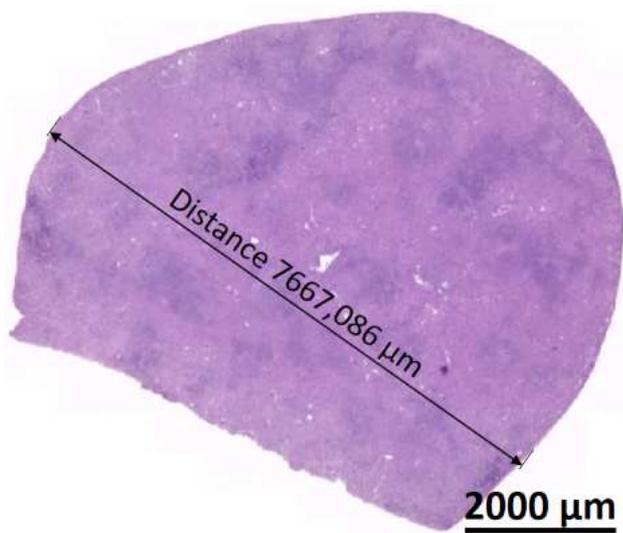


Figure 8: Lineage derived tumor 0052-17: aspect of tumor lineage in the spleen

The spleen is grossly enlarged (**see thumbnail below**) and the red pulp is diffusely invaded with sheets of histiocytic and cells with occasional to numerous giant multinucleate cells. The white pulp is also invaded and partially erased by the neoplastic process. Contrast with case in Figure 6, where a malignant lymphoma is present in the spleen of case 1298-16.

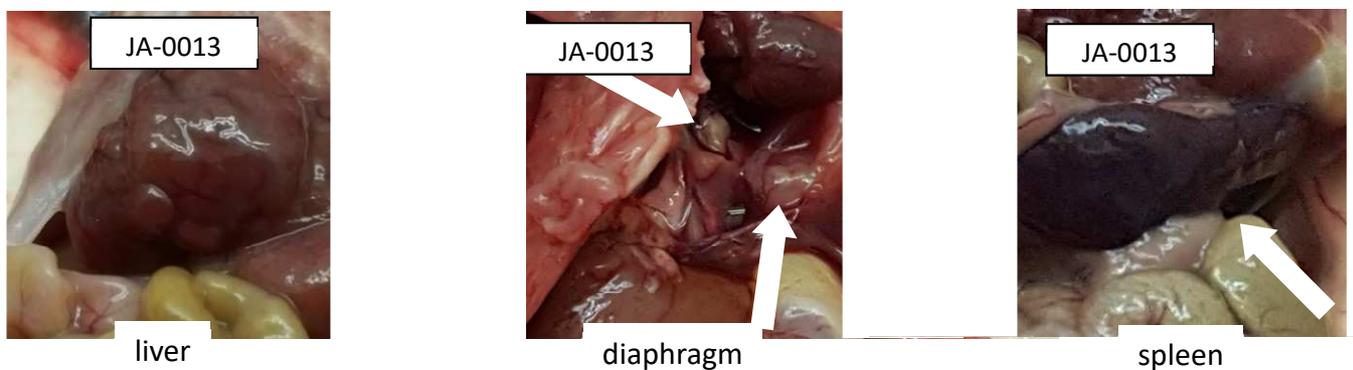


---

## JA-0013 sMDI: Malignant Lymphoma (ML)

The phenotype of tumor **JA-0013** as exemplified by specimens **0013-14** (index case) and mice **1562-16**, **0026-17**, **0027-17** and **0028-17** (Fig. 2) was most consistent with malignant lymphoma, which was probably of B-cell lineage, as judged by the presence of infiltration of blastic cells with immunoblastic or plasmacytic differentiation ([Figure 10](#)) plasma and Mott cells (plasma cells containing Russell bodies) and the presence of large histiocytoid cells (see [Figure 10](#)). It had a remarkable phenotypic stability (see [Figure 11](#) and [Figure 12](#)). One daughter re-transplanted tumor studied microscopically, **0027-17**, had an intriguing finding, non-neoplastic giant multinucleate giant cells, leading to suspect a possible complication with an opportunistic organism (see [Figure 13](#)).

Gross aspects were also typical of malignant lymphoma (see [Figure 9](#))



**Figure 9:** Macroscopic aspects of index case of tumor line **JA-0013**

There is markedly enlarged liver, 2 masses in pulmonary hilus in the thorax (behind the diaphragm) and a markedly enlarged spleen

**Primary differential diagnosis:** Lymphoma, lymphoblastic (with histiocytoid large cells) - most likely lineage origin: B cells

Immunohistochemistry would be useful for precise lineage definition.

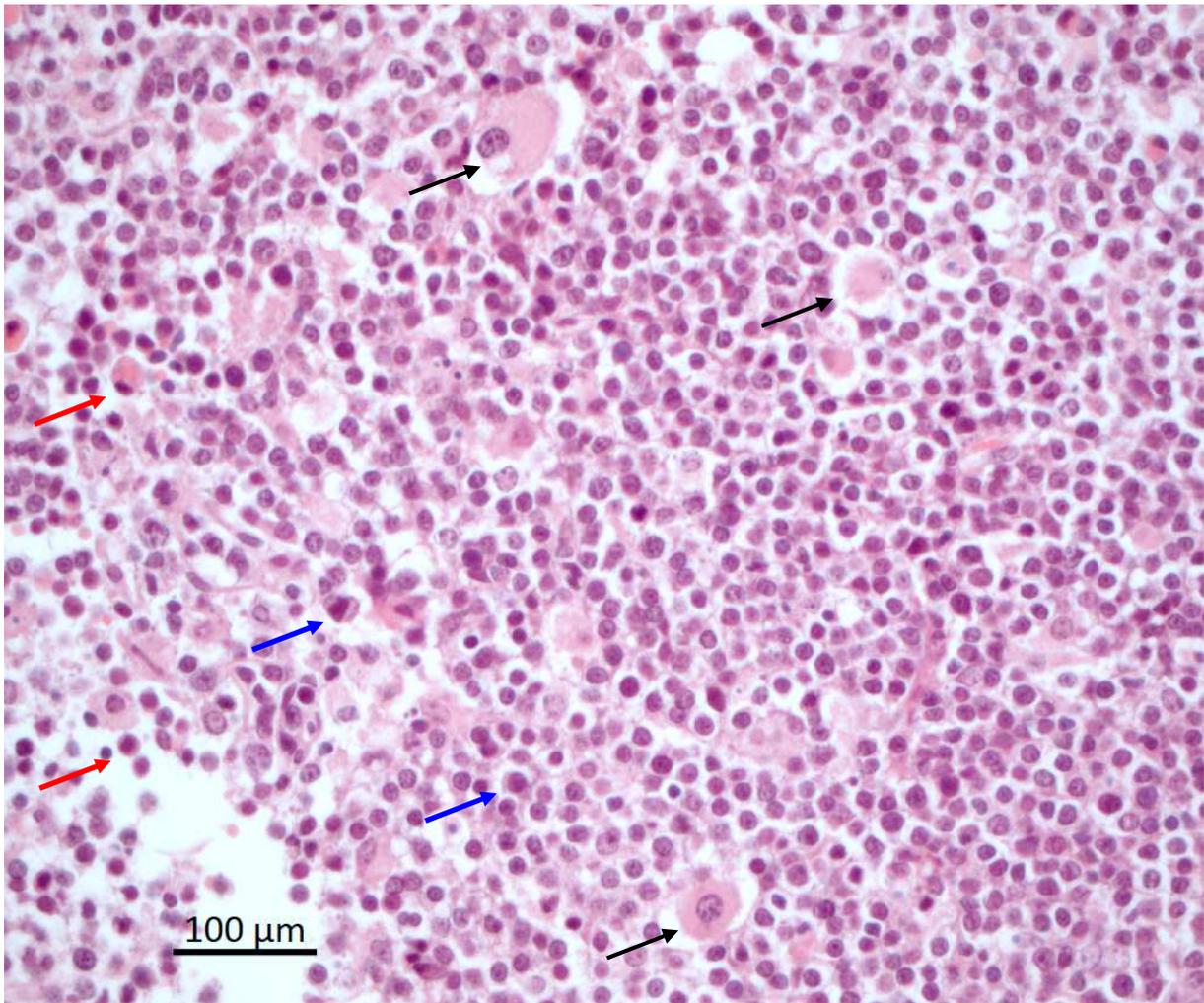


Figure 10: Index case of JA-0013: high magnification view of the neoplastic tissue

This specimen from pulmonary hilus/diaphragmatic masses exhibits sheets of malignant mid-sized blastic lymphocytes with presence of large, 50-70 µm diameter atypical cells with a pale glassy eosinophilic cytoplasm (**black arrows**). The sheets are irregularly infiltrated with smaller cells with a stem cell morphology, which could be of neoplastic origin or myeloid in origin (related to a response to anemia) and with plasmacytic cells, either neoplastic like (**blue arrows**) suggesting plasmacytic differentiation, or typical plasma cells with Russel bodies (Mott cells – see **red arrows**). Tumor infiltrating lymphocytes and other normal host inflammatory cells cannot be appraised without special molecular pathology techniques based on HE-stained sections and morphology alone.

Hematoxylin eosin stain, 400 x original magnification.

Morphological diagnosis: Malignant lymphoma.

Abbreviation: ML

**Source file:** 2019-01-24 19\_40\_09-TPL780-#10445-dia-0013-14\_0013-14\_1\_400x\_2-index-lymphoma.czi - ZEN 2.3 lite

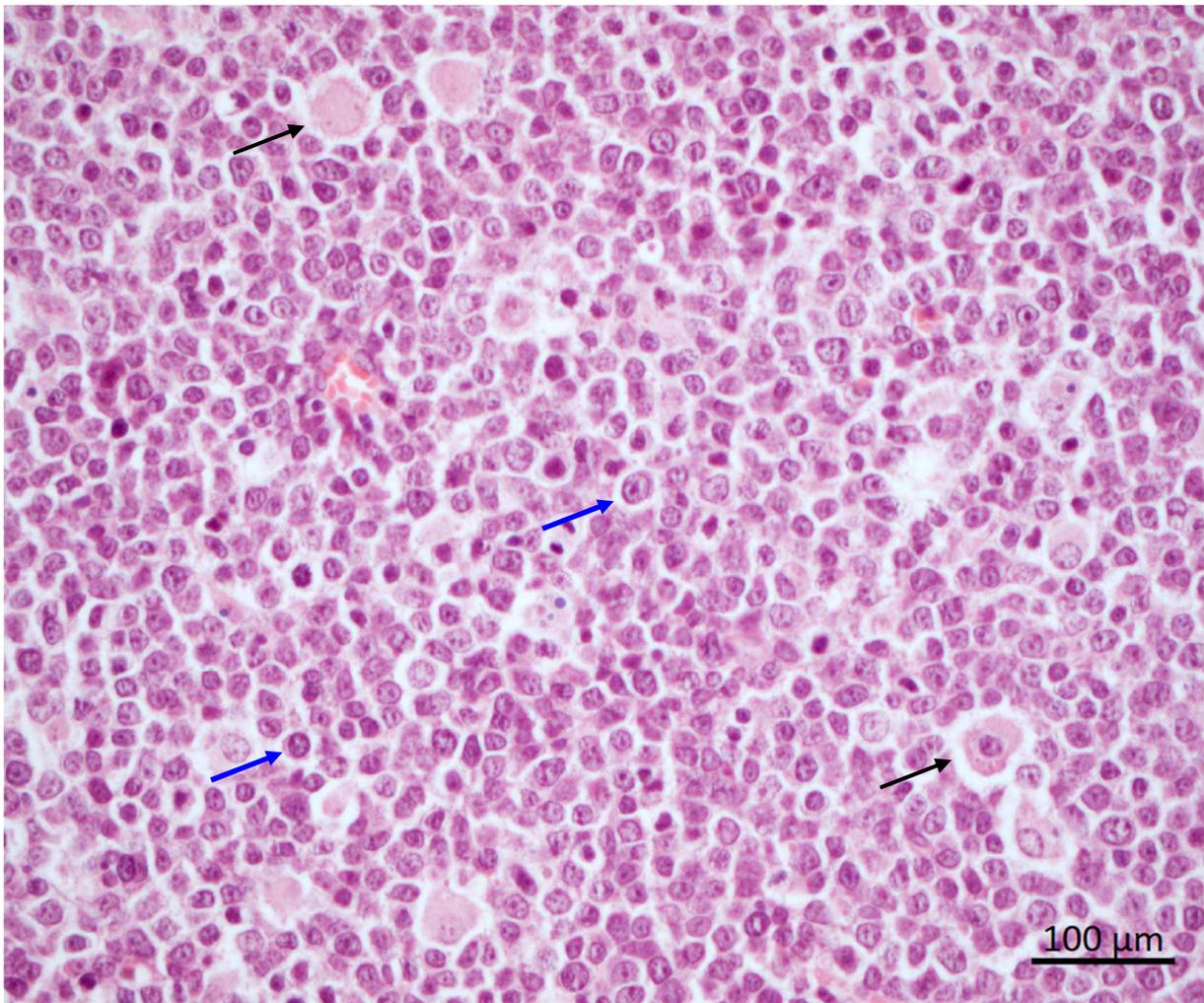


Figure 11: Lineage case of JA-0013: 0026-17 high magnification view of the neoplastic tissue

This tumor is derived from index case: 0013-14

When comparing to the index case, there is remarkable phenotypic stability in the tissue of this particular mouse, with the conservation of the large histiocytoid cells (**black arrows**) and the plasmacytic differentiation, with scant eccentric cytoplasm (**blue arrows**). All these simple morphologic features are most typical of B-cell differentiation and are indicating phenotypic stability, at least morphologically. B cell or T cell may be verified by immunohistochemistry.

Morphological diagnosis: Malignant lymphoma.

Abbreviation: ML

Cancer lineage: lymphocytes

**Source file:** 019-01-24 19\_40\_09-TPL780-#10445-dia-0013-14\_0013-14\_1\_400x\_2-index-lymphoma.czi - ZEN 2.3 lite.png

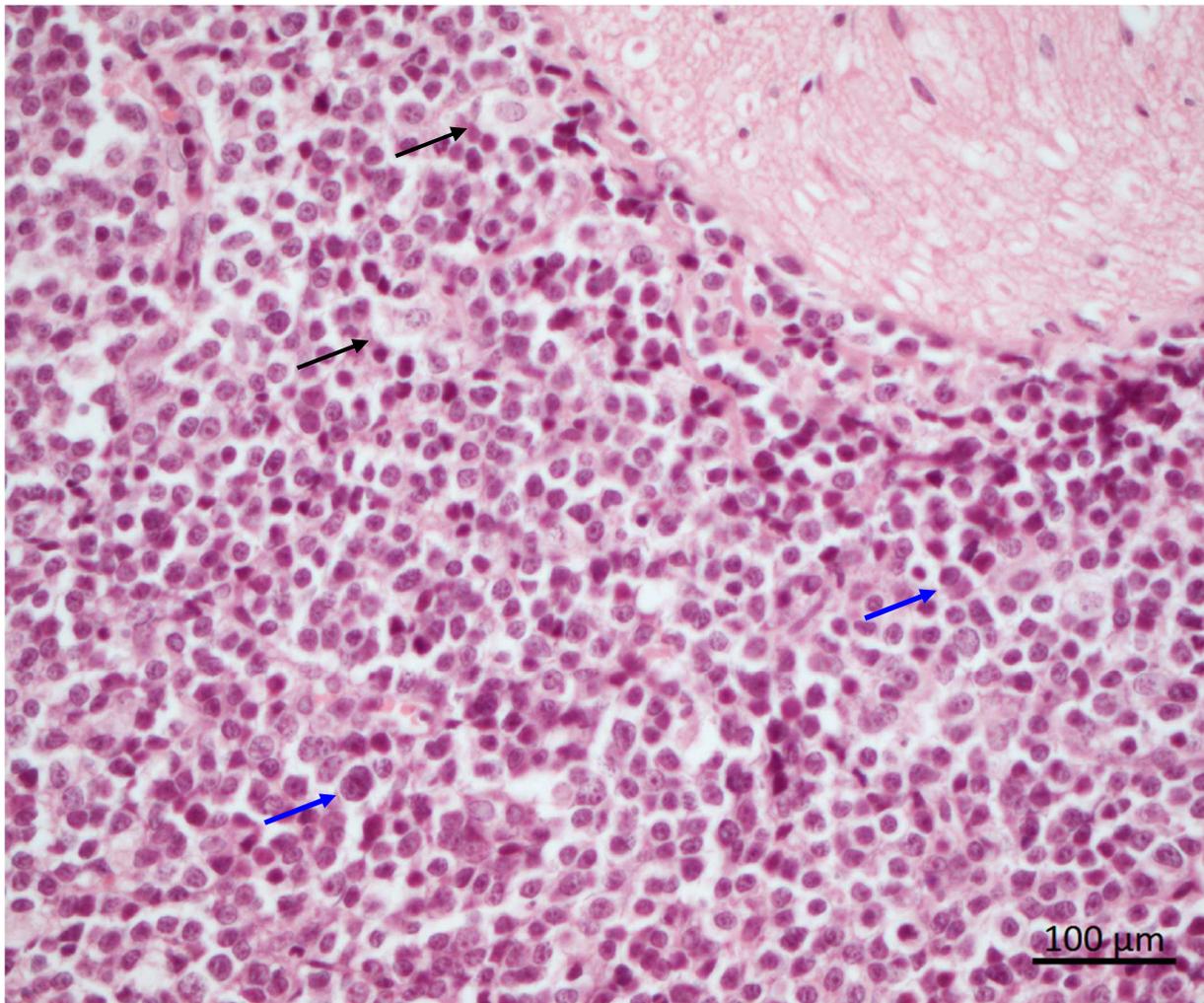


Figure 12: Lineage case of JA-0013: 1562-16 high magnification view of the neoplastic tissue

This tumor is derived from index case: 0013-14

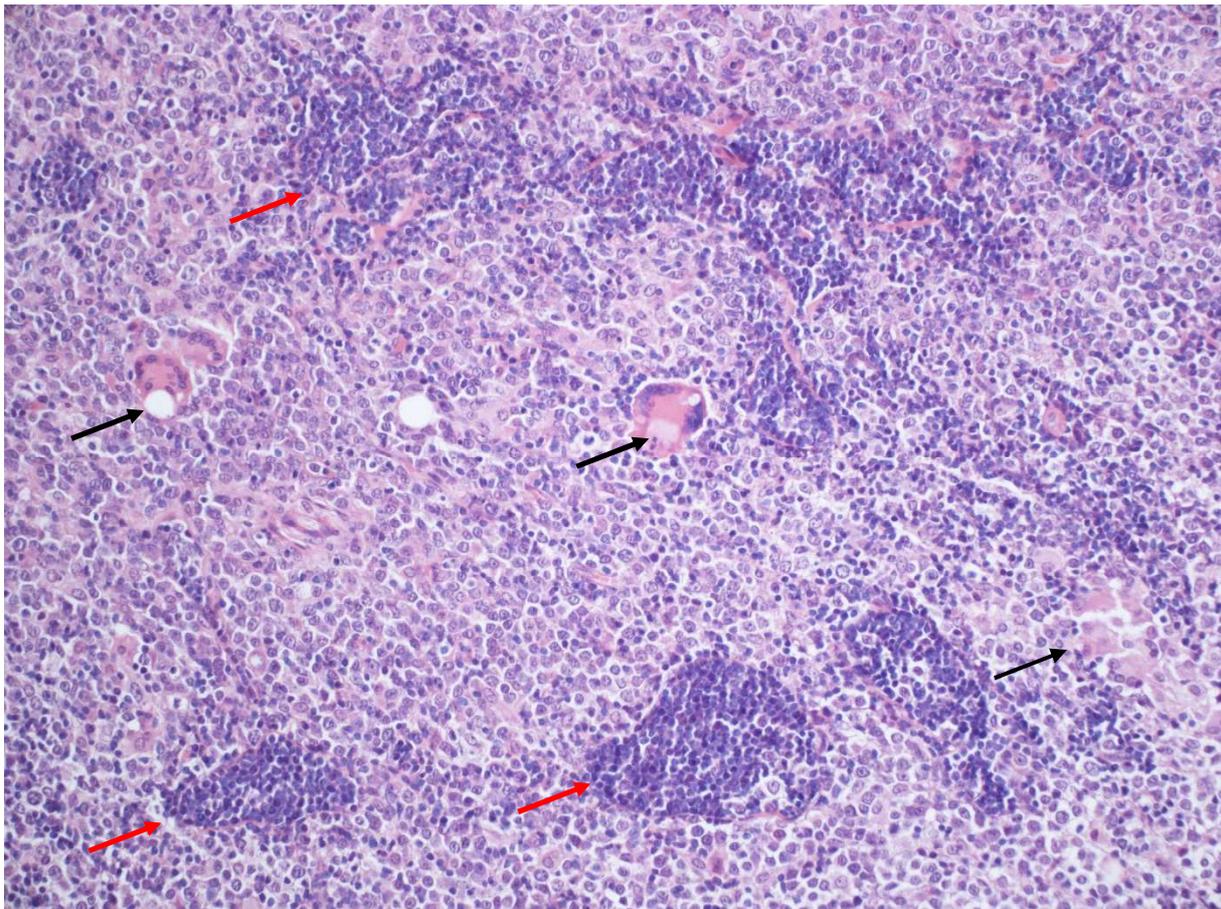
When comparing to the index case, there is remarkable phenotypic stability in the tissue of this particular mouse, with the conservation of the large histiocytoid cells (**black arrows**) and the plasmacytic differentiation, with scant eccentric cytoplasm (**blue arrows**). All these simple morphologic features are most typical of B-cell differentiation and are indicating phenotypic stability, at least morphologically.

Morphological diagnosis: Malignant lymphoma.

Abbreviation: ML

Cancer lineage: lymphocytes

**Source file:** 019-01-24 20\_31\_32-TPL780-#10445-dia-0013-14\_1562-16\_1\_400x\_2-lymphoma-with large-histiocytic-like-.png



**Figure 13:** Lineage case of JA-0013: 0027-17 medium magnification view of the neoplastic tissue

Mouse ID #0027-17; from index case: mouse ID number 013-14, ♀ strain C57BL/6 albino.

HE stain, original magnification: 200x.

Tissue of origin: very likely lymph node (presence of lymphatic vessels – not present in the field above) and lymphatic sinuses filled with small lymphocytes (see **red arrows** – architecture partially preserved)

There is partial erasing of lymphoid architecture by homogenous sheets of round cells most compatible with proliferating (blastic) lymphocytes and scattered rare multinucleate giant cells (MGCs) that have large empty vacuoles or vacuoles containing pale amorphous pale gray material (**arrows**) and are not considered to be neoplastic.

This finding of non-neoplastic giant multinucleate giant cells is intriguing, and leads to suspect a possible complication with an opportunistic organism.

B cell markers would be useful to characterize the proliferation.

Morphological diagnosis: Malignant lymphoma.

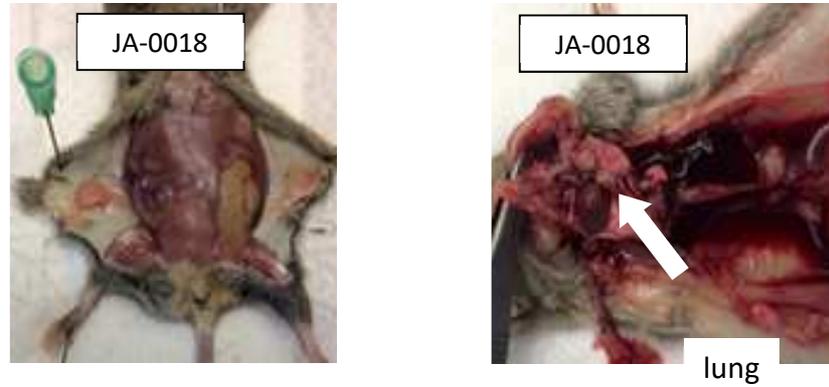
Abbreviation: ML

**Image ID:** 780\_#10445\_tu\_0013-14\_0027-17\_1\_HE\_20x\_1-Lymphoma-with-non-neoMGCs

JA-0018 sMDI: Malignant Lymphoma (ML)

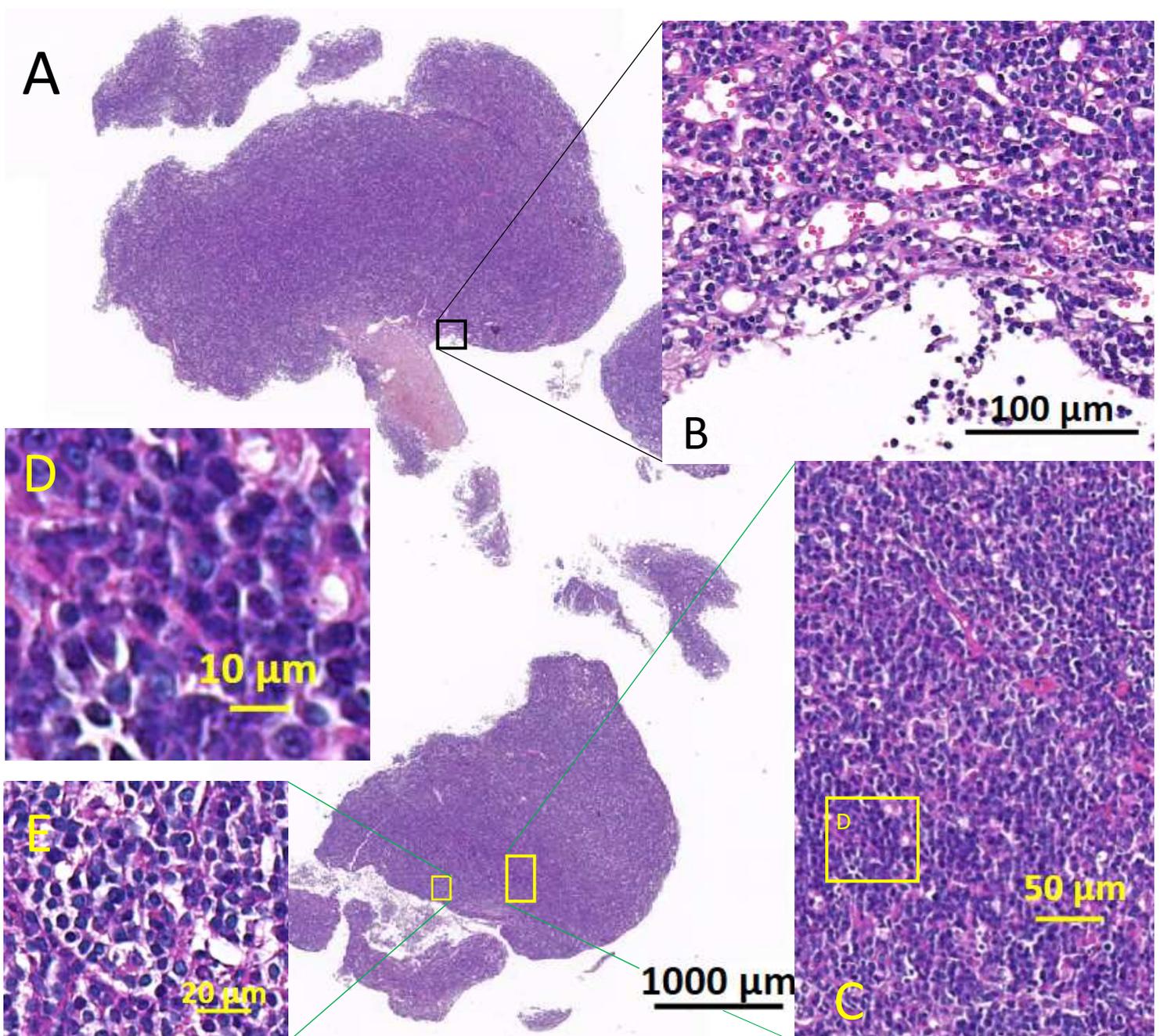
CBA/J ♀ derived tumor **JA-0018/0113-17**

Figure 14: Macroscopic aspect of **JA-0018** (case 18-14)



Malignant lymphoma with small lymphocytes – original localization studied: lung

Figure 15: Index neoplasm of sMDI JA-0018 (15-14) noted in the lungs of a ♀ CBA/J mouse



**Legend of Figure 15 in previous page:**

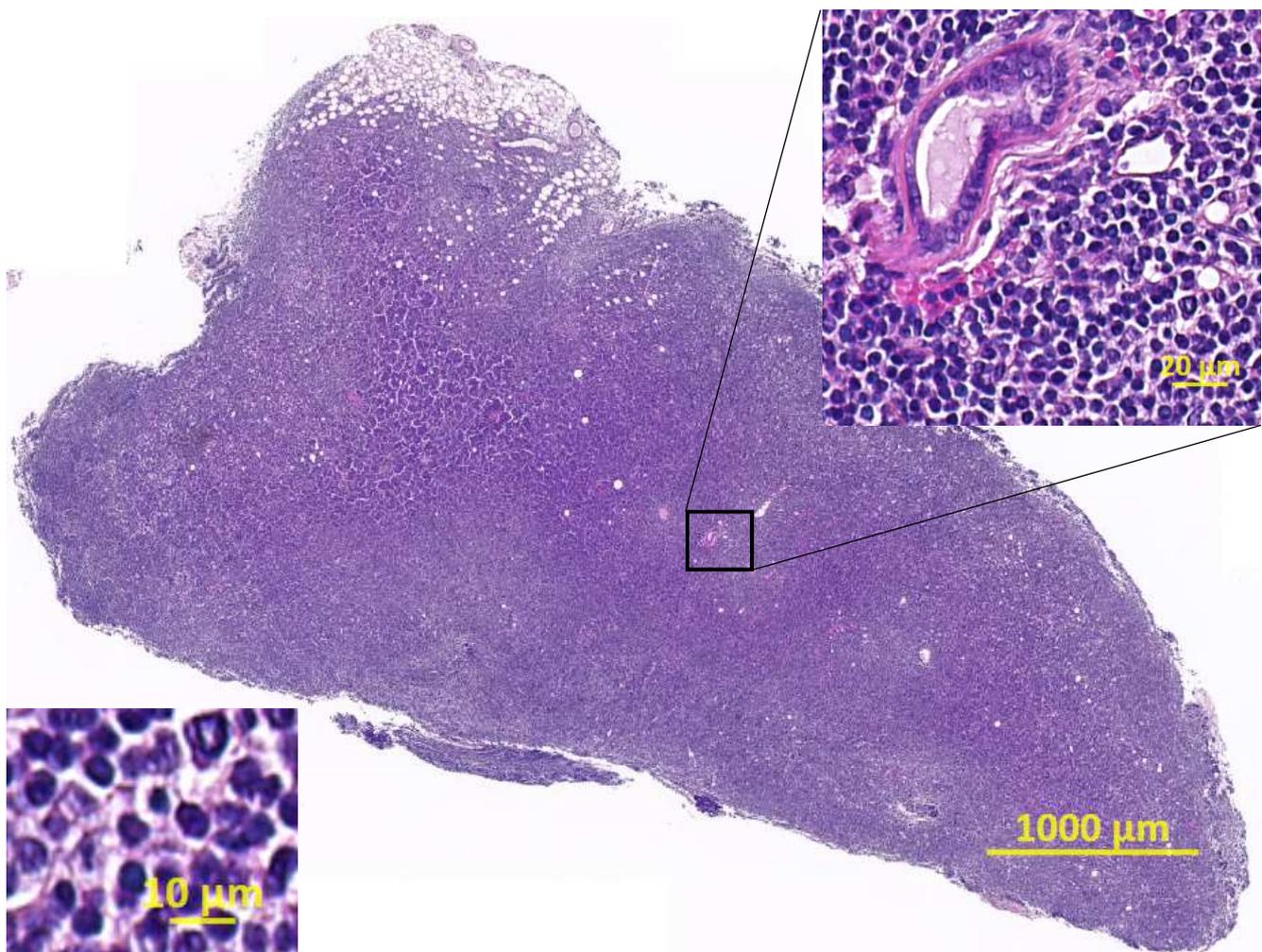
**A:** Low magnification fragments of the pulmonary neoplasm, exhibiting homogenous deeply basophilic sheets of neoplastic tissue that almost completely the pre-existing morphology of the lung and pleura.

**B:** This view adjacent to the pleura and mesothelial lining (**black arrow**) shows non-coalescing infiltration with small lymphocytes, with partial preservation of architecture.

**C, D, E:** medium (C) and high (D, E) magnifications of the neoplasm with small to medium-sized 5-8  $\mu\text{m}$  lymphocytes with pseudo cord (packet) formation (white arrows) in preexisting pulmonary structures, notably pre-existing alveoli (E), mimicking a neuro-endocrine tumor.

**Source file:** TPL811\_17\_HE\_11975\_18\_14\_10\_4\_17\_Lu

**Figure 16:** Low, medium and high magnification of 0113-17 malignant lymphoma (ML) derived from index case 0018-14 tumor, subcutis, adipose and mammary



CBA/J ♀: The proliferation is composed of homogenous sheets of small ( $\sim 5 \mu\text{m}$  in diameter) to mid-sized ( $\sim 5-8 \mu\text{m}$ ) well differentiated lymphocytes, which are diffusely invading into the subcutis, isolating small ducts and ductules of the mammary gland (see exocrine gland profile of upper right inset). Immunophenotyping would be desirable if this sMDI would be further used as a tumor model.

**Source file:** TPL811\_17\_HE\_10445\_Tu\_0113\_17.czi

---

## JA-0034 sMDI: Malignant Lymphoma (ML)

In index case **JA-0034/0034-14** index case with **1426-17 0036-17** and **0042-17** as examples of subsequent tumors are all phenotypically stable lymphoma. These lymphoma cells also are characterized by small cell morphology

no *in situ* Foto

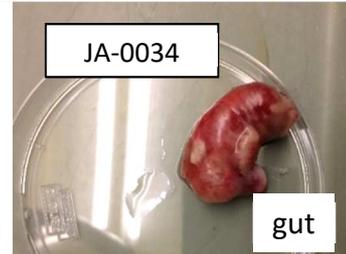
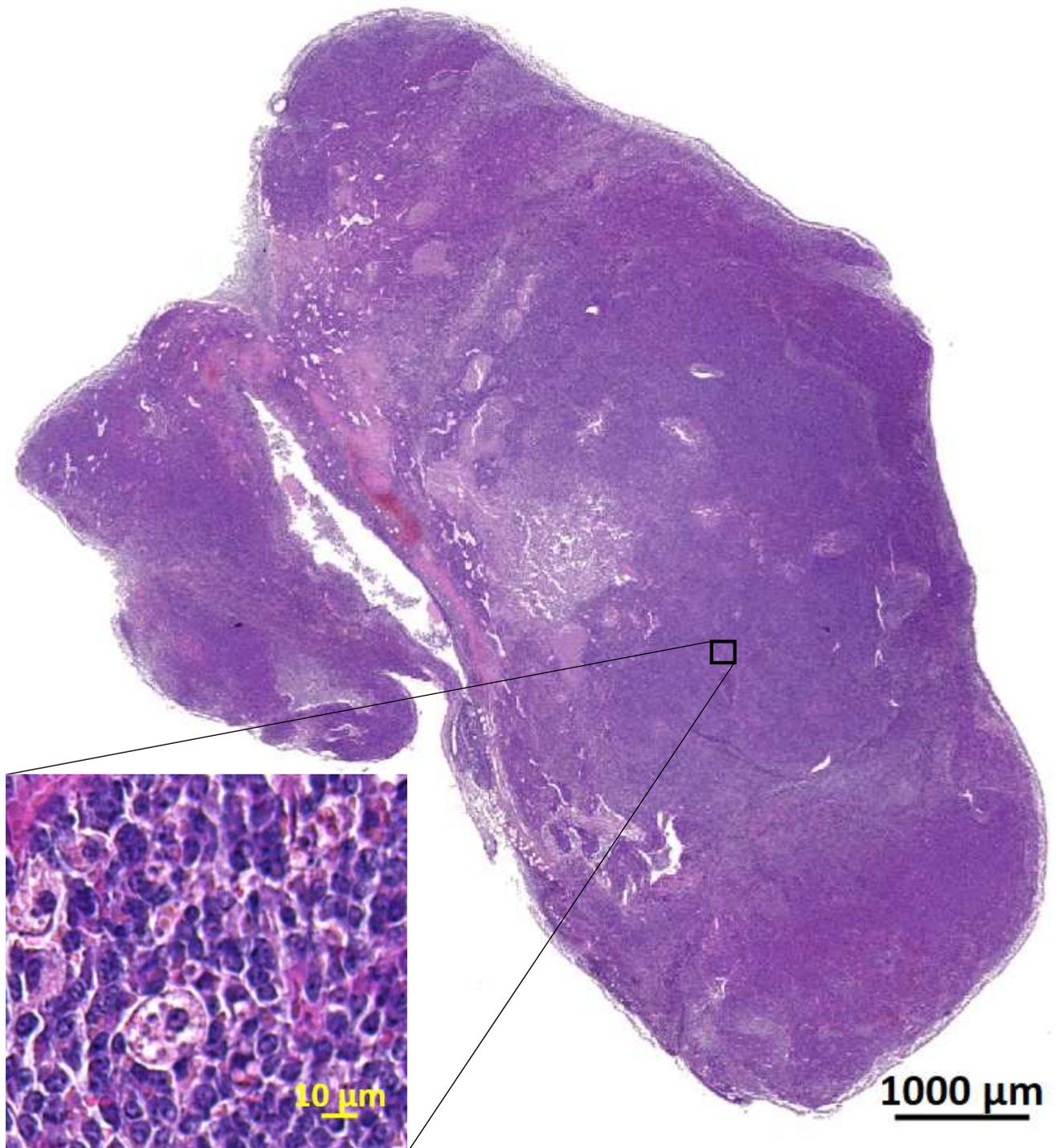


Figure 17: Macroscopic aspect of **JA-0034**



---

**Figure 18:** Low and high magnification of the malignant lymphoma (ML) index 0034-14

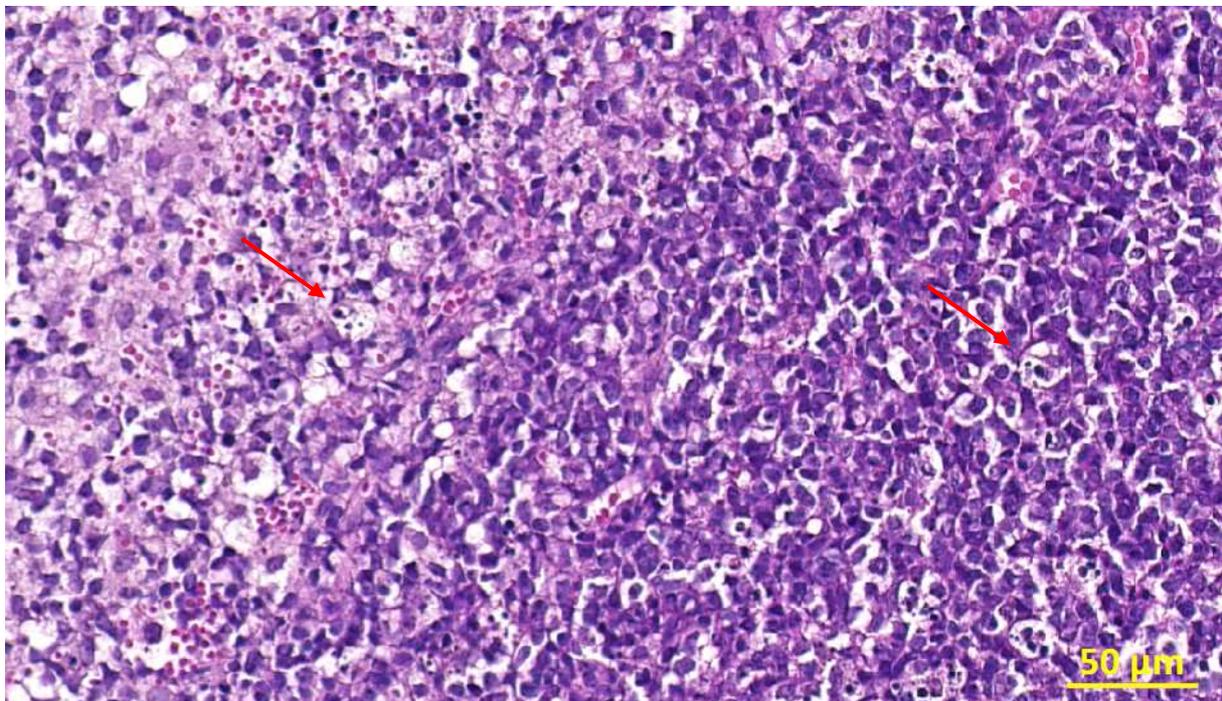
**In previous page:** The proliferation is composed of homogenous sheets of small (~ 5 µm in diameter) to mid-sized (~ 7 µm) well differentiated lymphocytes, which are diffusely invading into the subcutis or a pre-existing lymph node. **Inset:** cells are 7-8 µm and there are also evidence of macrophages with phagocytized apoptotic debris (“starry sky” aspect)..

Morphological diagnosis: Malignant lymphoma, small cells, intestine

**Source files:**

2019-01-24 12\_09\_36-#10445darm\_34-14\_34-14.czi - ZEN 2.3 lite.png

2019-01-24 12\_12\_07-#10445darm\_34-14\_34-14.czi - ZEN 2.3 lite.png



**Figure 19:** Medium magnification of the daughter malignant lymphoma case 1426-17

This specimen is in the subcutis adipose tissue, with diffuse invasion. The lymphoma cells are small/medium sized, measuring 7-8 µm and there is also as in index case macrophages with phagocytized apoptotic debris (“starry sky” aspect) – see **red arrows**.

The morphological similarity with the index case (see [Figure 18](#)) is striking.

Morphological diagnosis: Malignant lymphoma, small cells, intestine

**Source file:**

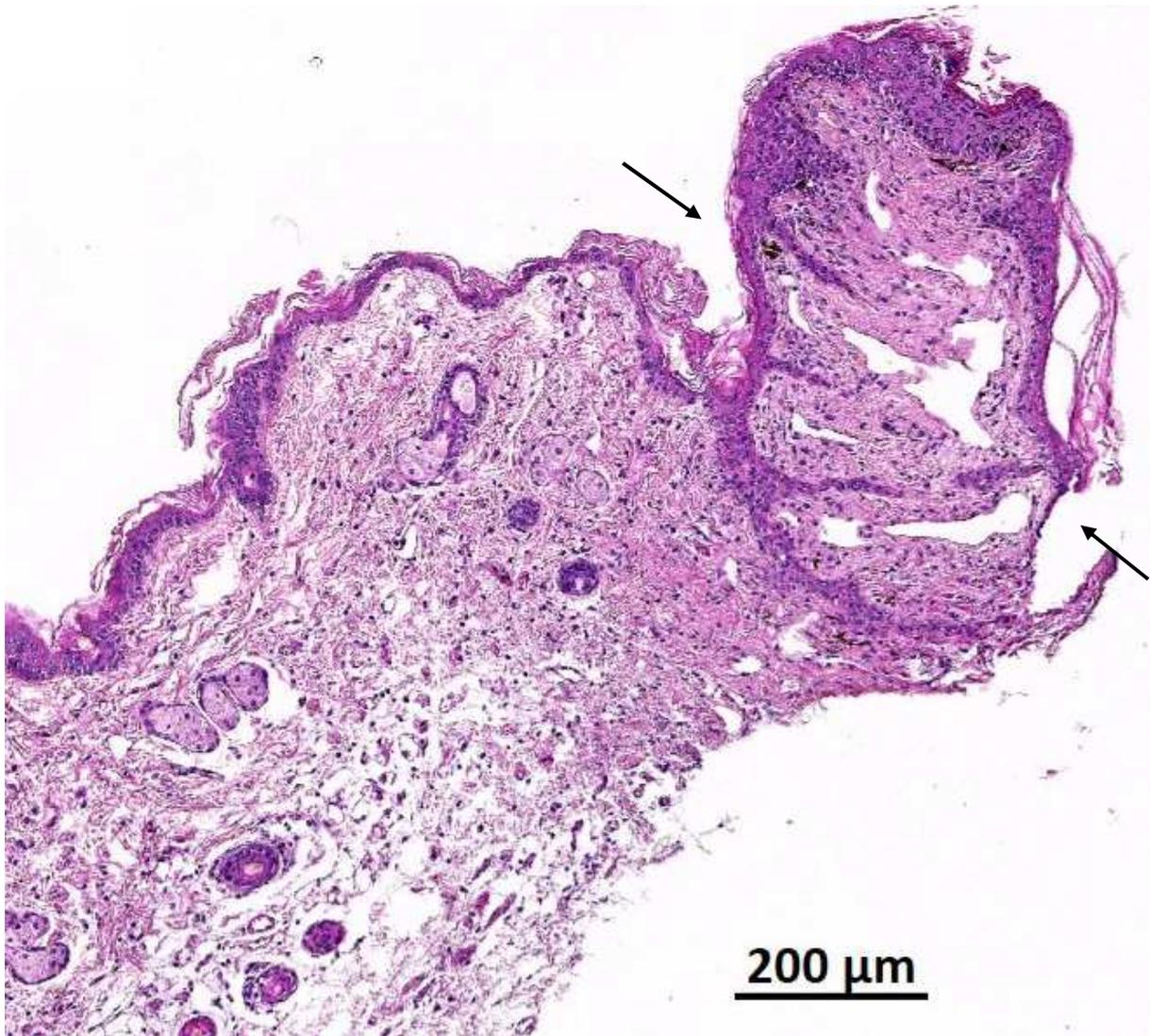
TPL Study Phase Number 780/17: 2019-01-25 12\_33\_53-#10445tu(da)\_34-14\_1426-17.czi - ZEN 2.3 lite.png

JA-0021 sMDI: Malignant Lymphoma (ML)

In the case of tumor **JA-0021** (Fig. 2), starting from various suspicious tissues, an enlarged lymph node from female C3H/HeJ mouse was re-transplanted. Although weakly growing in primary recipient SCID/bg mouse **1205-16**.

no *in situ* Foto

**Figure 20:** Spontaneous neoplasm study (study #10445): normal skin tissue with a mammary teat, female mouse 0021/14



Source: 2017-10-25 15\_11\_37-TPL811\_17\_HE\_10445\_1\_mamma\_021\_14\_recut-Rotate-03 - ZEN 2.3 lite

Index case: mouse ID number 0021-14, strain C3H/HeJ, sex: ♀.

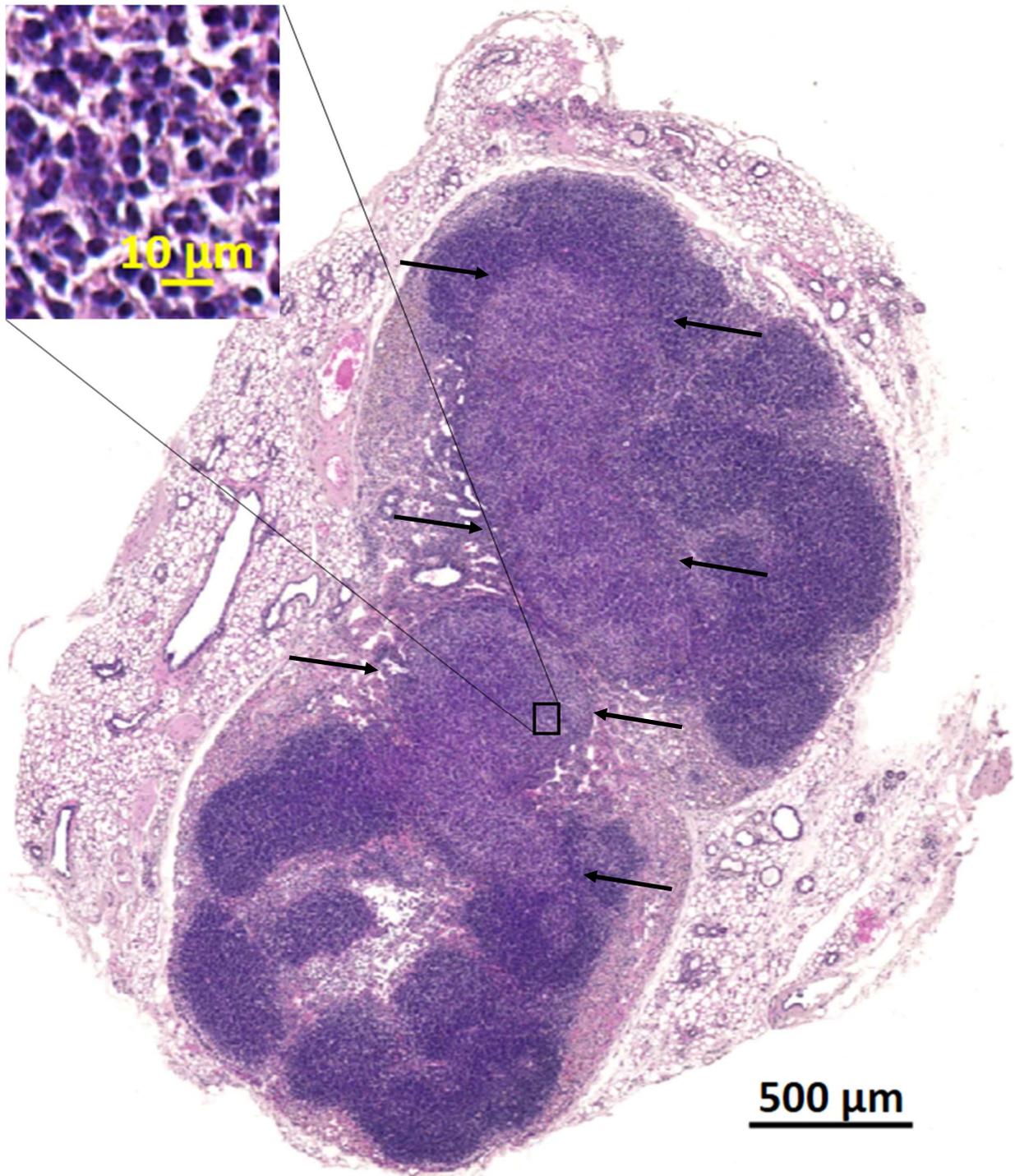
These are all normal tissues: there is no evidence of tumors, even after several recuts.

The source neoplasm was not located in this sample.

Morphological diagnosis: Within normal limits (normal skin and teat – see **black arrows**).

Abbreviation: NA (not applicable); ID = identification

Figure 21: Early malignant lymphoma localization in a lymph node – Index 0021-14

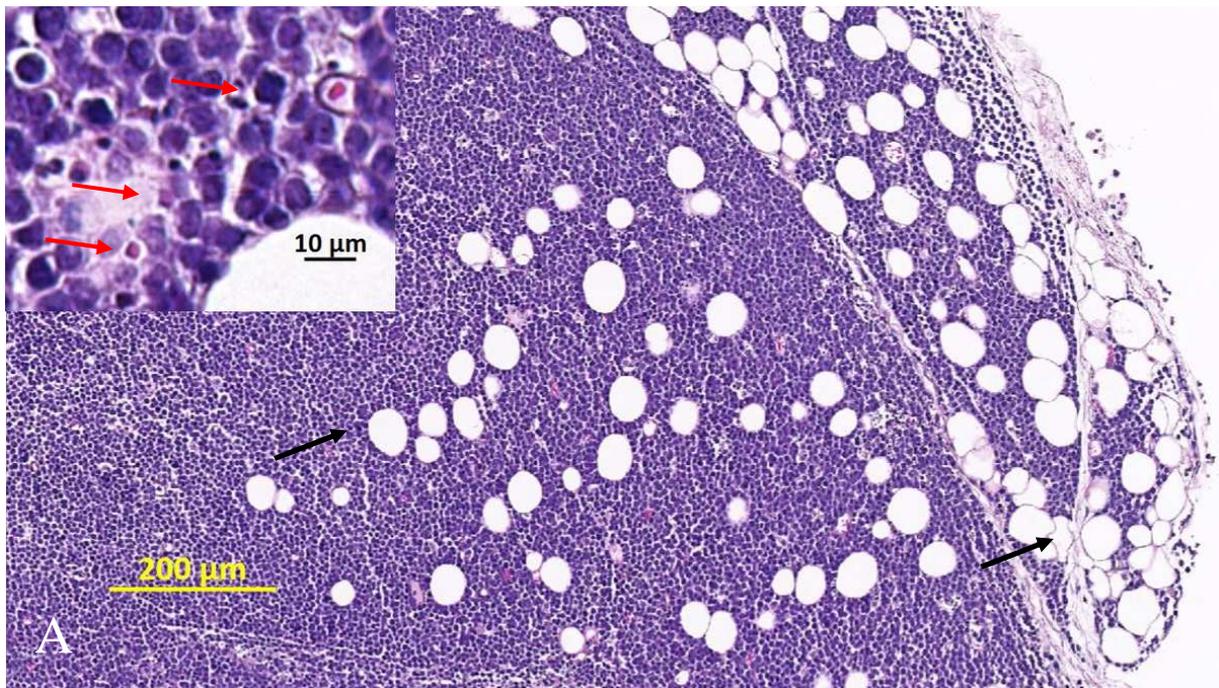


The para-cortical region of this sub-cutaneous lymph node has an early invasion with homogenous sheets of small lymphocytes (see **black arrows** to bracket the foci). Although an atypical lymphocytic immune response cannot be strictly rule out on an isolated case, the context suggests these early sheets of cells to represent malignant lymphoma. The surrounding tissue is mammary gland tissue in adipose tissue of subcutis (panniculus). **Inset:** The lymphocytes are small and homogenous in morphology, measuring 5-7  $\mu\text{m}$  in diameter.

**Source file:** C:\Users\TLEMARCHAND\Documents\ProQinase-Paper-Project\neue-bilds\2019-01-25 11\_09\_23-TPL811\_HE\_10445-1\_21-14.czi - ZEN 2.3 lite.png

Morphological diagnosis: Malignant lymphoma, panniculus/sub-cutis, early invasion

**Figure 22:** Spontaneous neoplasms (study #10445): malignant lymphoma, female SCID *bg/bg* mouse 1571/16



Source: 2017-10-25 14\_51\_40-TPL811\_17\_HE\_10445\_Tu\_1571\_16.czi - ZEN 2.3 lite

2018-01-22 18\_25\_10-TPL811\_17\_HE\_10445\_Tu\_1571\_16.czi - ZEN 2.3 lite

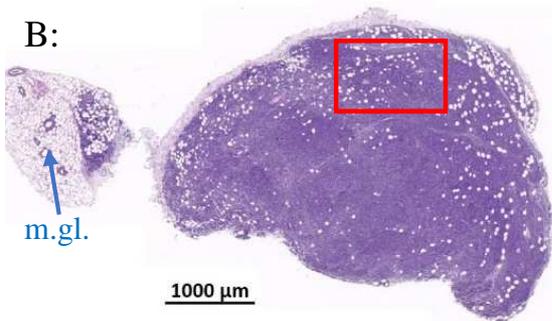
Index case: mouse ID number 0021-14, strain C3H/HeJ, sex: ♀.

**Figure 22 A legend:**

There is partial to complete erasing of the normal lymphoid architecture of a lymphoid organ by homogenous sheets of small (5 to 7 μm) round cells (see inset for details), most compatible with blastic lymphocytes, which invade the adjacent tissues, here most probably the adipose tissue of the subcutis and/or the skin (**black arrows** and **blue arrow** below in **B** showing mammary gland tissue). There is evidence of mitoses and apoptosis (inset of **A**: **red arrows**). Further characterization of the lymphoma would be an advantage.

Morphological diagnosis: Malignant lymphoma, panniculus/sub-cutis, ♀ SCID *bg/bg*.

**Figure 22 B: Low magnification of tumor aspect overview (thumbnail):**



**Source photo file (B):** 2018-01-22 18\_20\_25-TPL811\_17\_HE\_10445\_Tu\_1571\_16.czi - ZEN 2.3 lite

The **red rectangle** indicates the part of the neoplasm, which is depicted above

**Blue arrow, m.gl.** = mammary gland

---

**Comments on Tumor lineage JA-0011 sMDI:**

This case is a borderline one because 2 situations might have arisen:

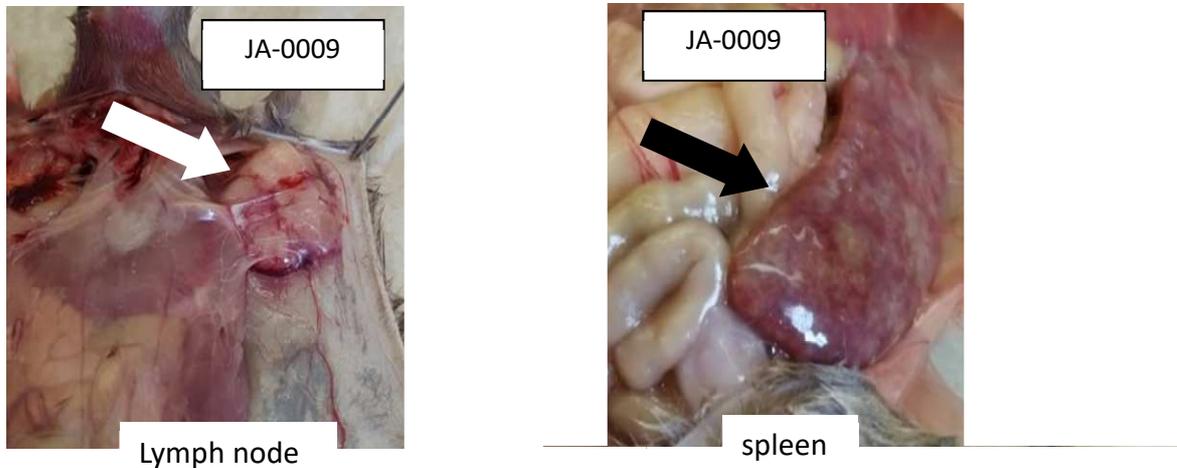
1. The tumor lineage might have had indeed originated from cells present in this early invasion by small lymphocyte neoplastic cells noted in [Figure 21](#) above
2. this tumor might have spontaneously arisen from the immuno-suppressed beige mouse 1571/16 ([Figure 22](#)), and might not be derived from the index case 021-14.

IHC could be useful to further characterize the tumors and confirm lineage.

---

## JA-0009 sMDI: Adenocarcinoma, anaplastic, invasive

Tissue of origin of tumor **JA-0009/ 1286-16**



**Figure 23:** Macroscopic aspects of the index tumor **009-14** (index case for lineage JA-009)

The index animal had a subcutaneous mass in the lymph node region and a markedly enlarged spleen, suggesting a malignant lymphoma (see [Figure 23](#)). Histology showed the “lymph node” tumor to be a transmissible neoplasia of epithelial glandular origin ([Figure 27](#) and [Figure 24](#)) located in the skin/subcutis with possible anatomical localization in/adjacent to a lymph node or other organ (origin could be apocrine sweat gland, mammary gland etc.). It was an aggressive neoplasm with abundant epidermoid ([Figure 25](#) – actually predominant feature of the daughter transmitted neoplasm 1286/16 see [Figure 28](#) and [Figure 29](#)) and spindle cell differentiation ([Figure 26](#)). As the spleen was not sampled for microscopic evaluation, the presence of metastases of the epithelial neoplasm (carcinoma) could not be verified, and therefore a concomitant neoplasm of the hemolymphopoietic lineage (leukemia or lymphoma) cannot be strictly ruled out in this particular case.

**Histological classification:** Skin, subcutis, epithelial neoplasia, glandular (tubular), epidermoid and spindle shape cell differentiation

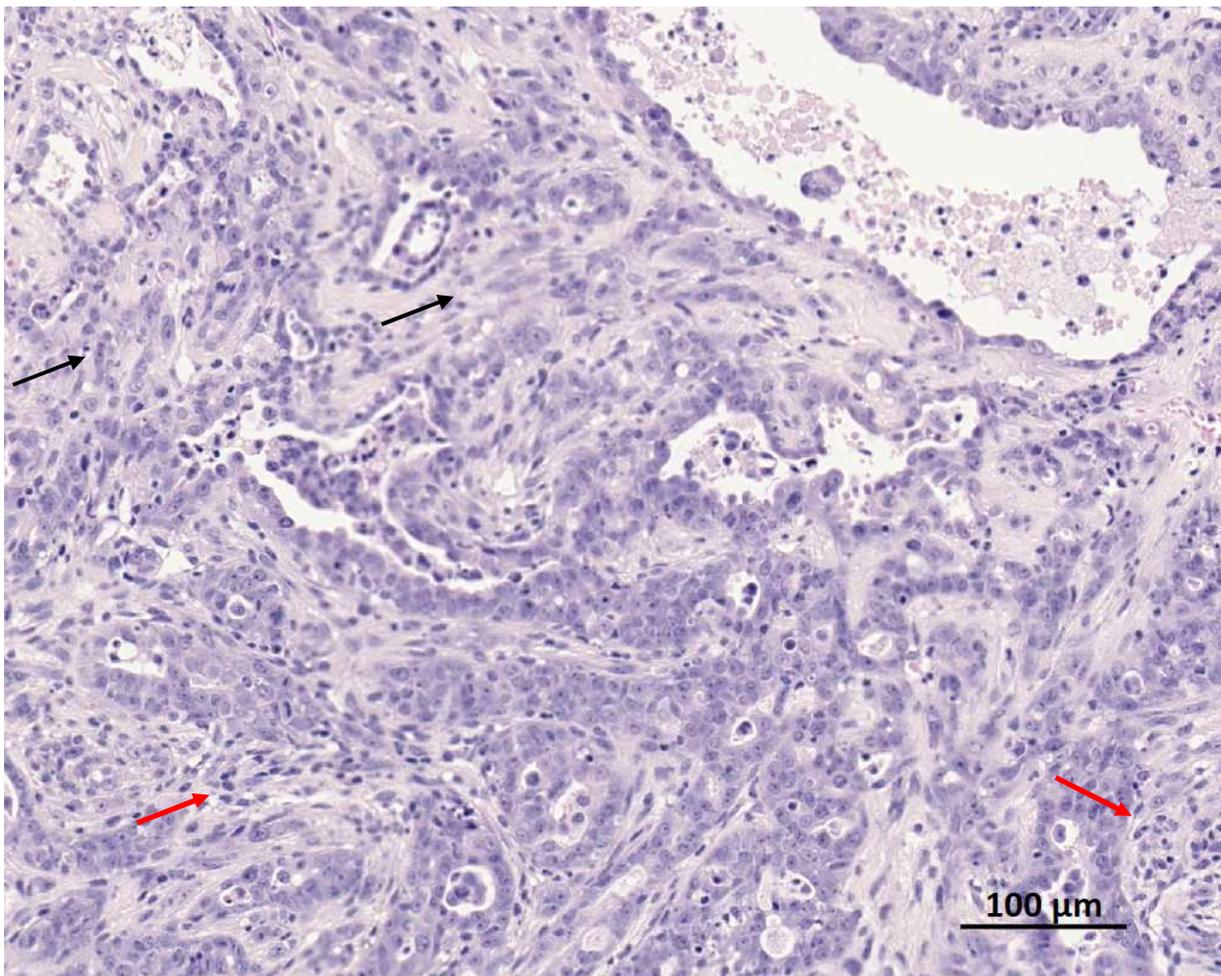
**Diagnosis:** Adenocarcinoma, solid, invasive, NOS

**Main features:** Solid (trabecular), invasive, anaplastic

**Mitotic index:** 3,25 mitoses per high power fields (phpf) for index case 009-14 and 3.125 mitoses phpf for the derived tumor 1286/16.

**Necrosis:** Yes (coagulative, disintegrative, with neutrophils), SCN (pyknosis, karyorrhexis), compatible with presence of apoptosis - Overall necrosis grade : minimal

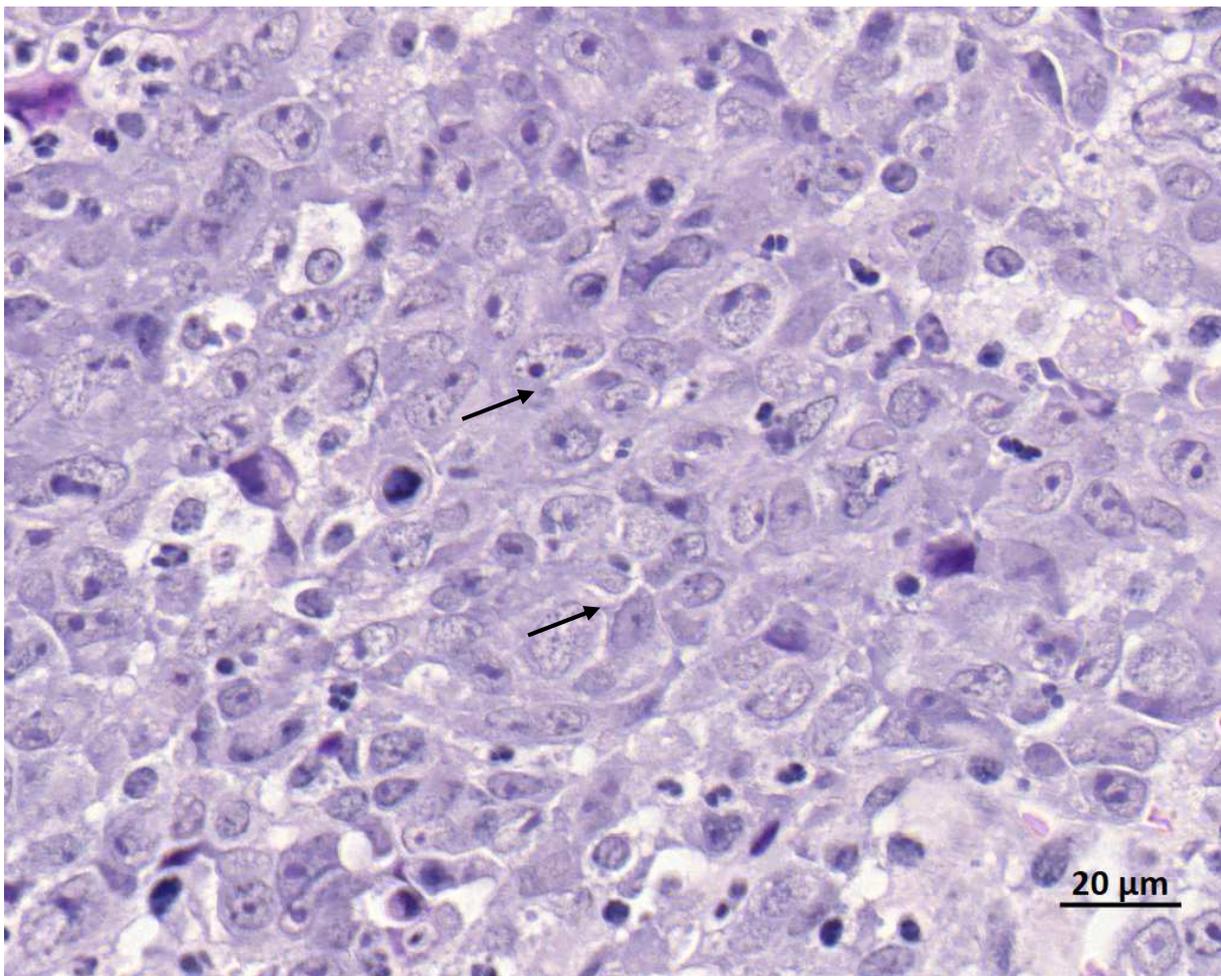
**Main differential diagnosis:** epidermoid carcinoma, carcinosarcoma (malignant glandular mixed cell tumour), anaplastic carcinoma



**Figure 24:** Medium high magnification of the tumor index case 009-14, with glandular and anaplastic/ spindle shaped differentiation

Medium high magnification of the tumor index 009-14, showing evidence of glandular differentiation, with areas of anaplastic/spindle shaped differentiation (**black arrows**). Host inflammatory cells are moderately numerous (**red arrows**).

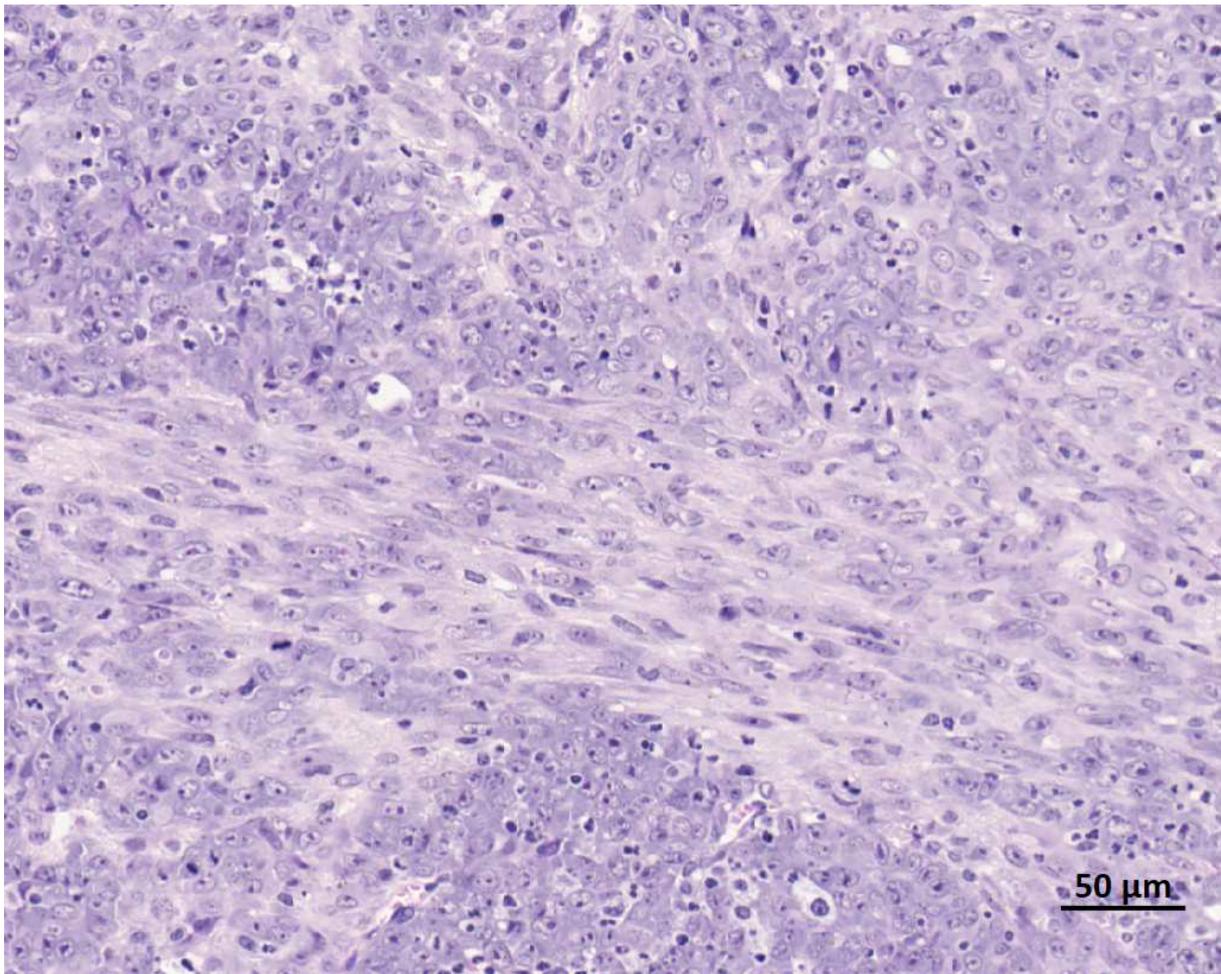
**Source file:** 2019-01-23 16\_25\_06-TPL\_601\_16\_009\_14\_Tu.czi - ZEN 2.3 lite.png



**Figure 25:** High magnification of the tumor index case 009-14, with clear evidence of epidermoid differentiation, suggesting an epidermoid carcinoma

The intercellular bridges are diffusely very distinct in this thin section of the tumor (**black arrows**).

**Source file:** 2019-01-24 21\_34\_29-TPL\_601\_16\_009\_14\_Tu.czi - ZEN 2.3 lite.png



**Figure 26:** A moderate high magnification view of 009-14 showing spindle shaped cell differentiation

The epithelial to mesenchymal transition and the epidermoid differentiation, with a clear anaplastic (isolated neoplastic cells) and spindle-shaped neoplastic cells (suggesting a malignant mixed cell tumor of glandular origin or carcinosarcoma) suggest the neoplasm to be of salivary or apocrine sweat gland or also possibly of mammary gland origin.

**Source file:** 2019-01-24 22\_11\_08-TPL\_601\_16\_009\_14\_Tu.czi - ZEN 2.3 lite.png

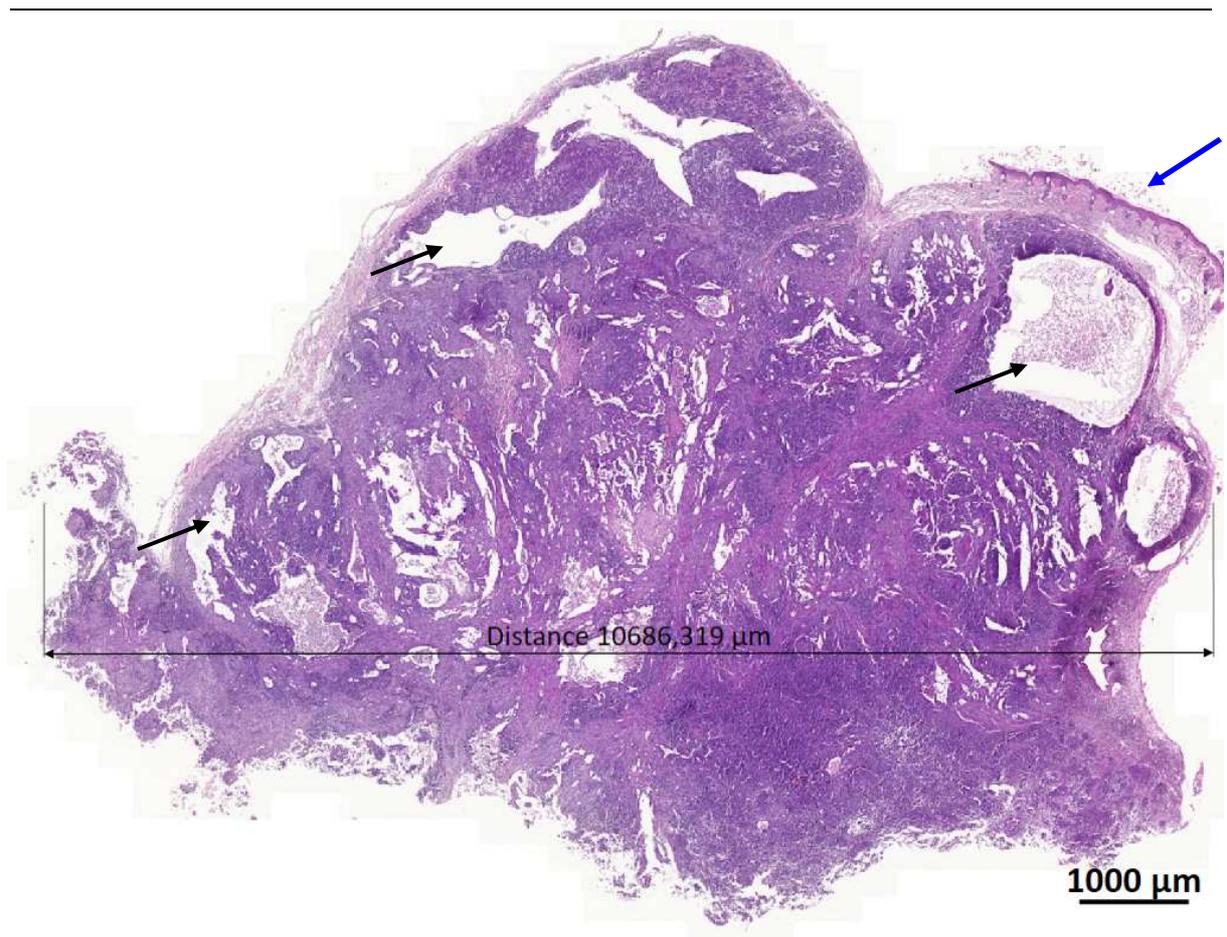
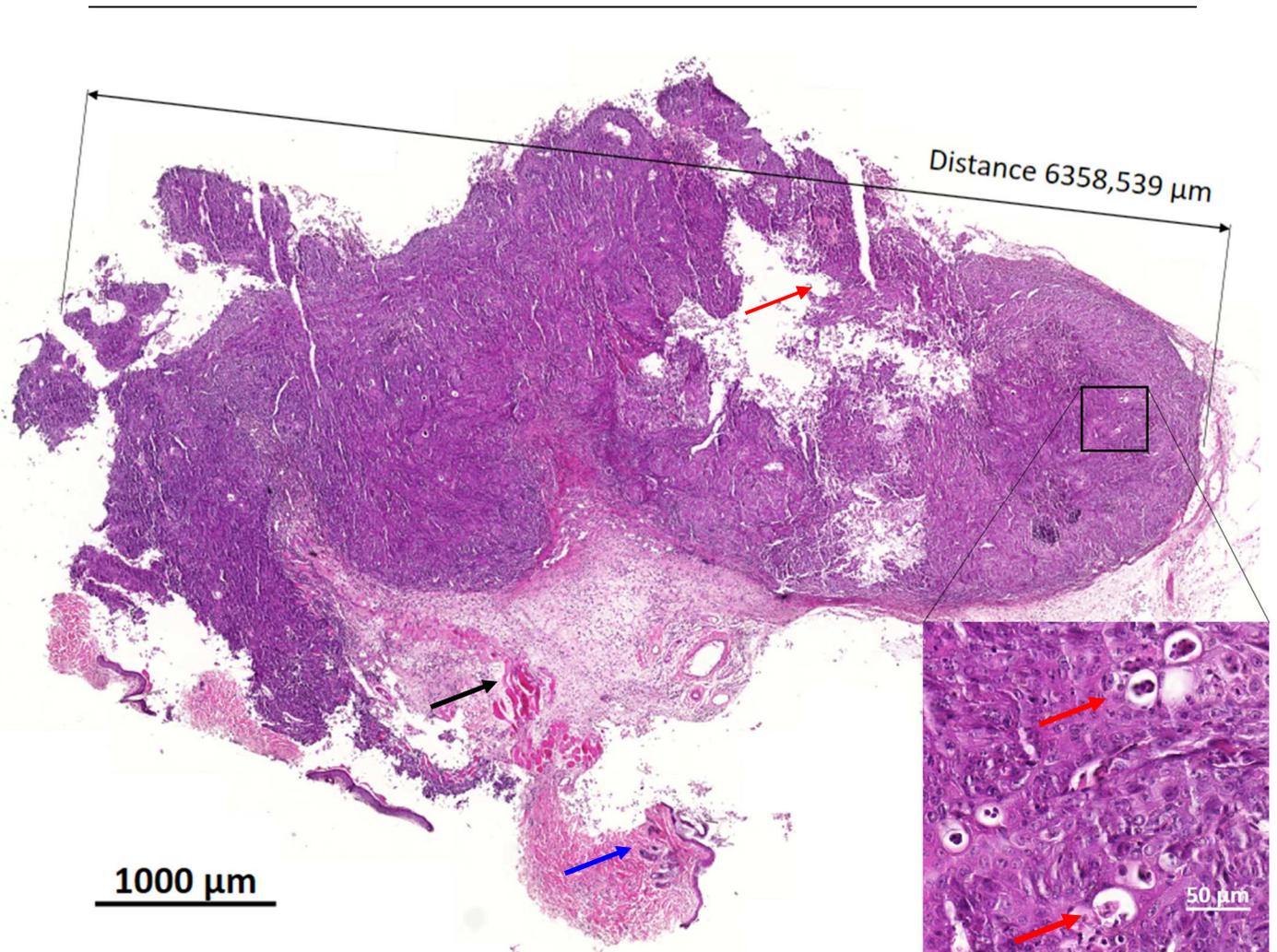


Figure 27: Low magnification view of the index neoplasia 009-14

There is presence of cysts with papillary proliferations and many tubular profiles, with abundant evidence of secretion materials and necrotic debris, demonstrating the glandular origin of this aggressive neoplasm (**black arrows**). The tumor is clearly cutaneous/sub-cutaneous (**blue arrow**).

**Source file:** 2019-01-24 22\_20\_14-009\_14.czi - ZEN 2.3 lite.png



**Figure 28:** Low magnification aspect of the transmitted tumor 1286/16 from index case 09-14

Low magnification aspect of the transmitted tumor 1286/16: essentially solid aspect with still limited evidence of mucinous and/or glandular differentiation (inset: **red arrows**). The solid epidermoid carcinoma component is now predominant, almost exclusive, with more eosinophilia associated with keratinization. The tumor is also developed in the subcutis adjacent to cutaneous muscle (**black arrow**) and right under the skin (**blue arrow**).

**Source files:**

2019-01-24 23\_10\_30-1286\_16.czi - ZEN 2.3 lite.png

2019-01-24 22\_57\_44-1286\_16.czi - ZEN 2.3 lite.png

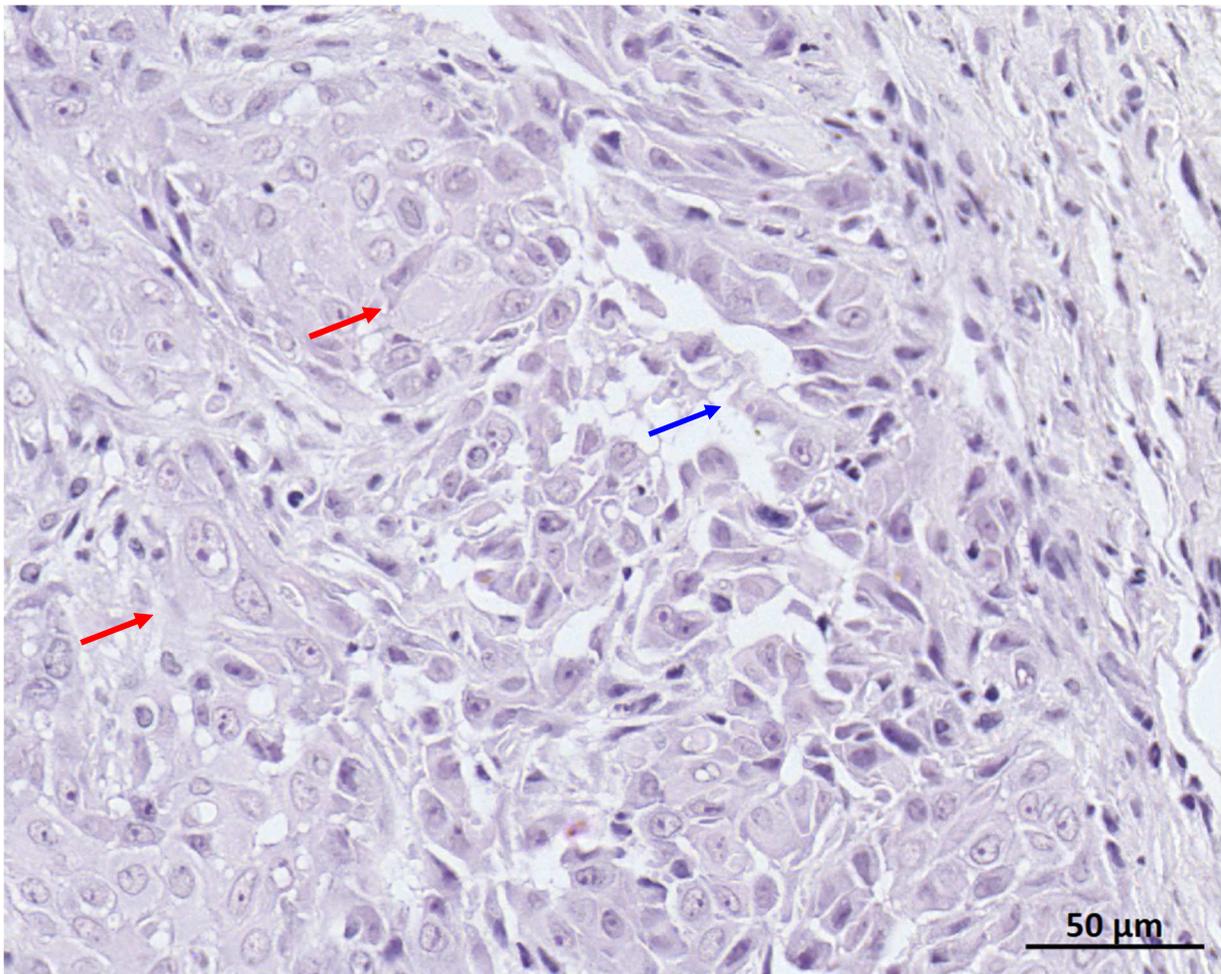


Figure 29: High magnification aspect of the transmitted tumor 1286/16

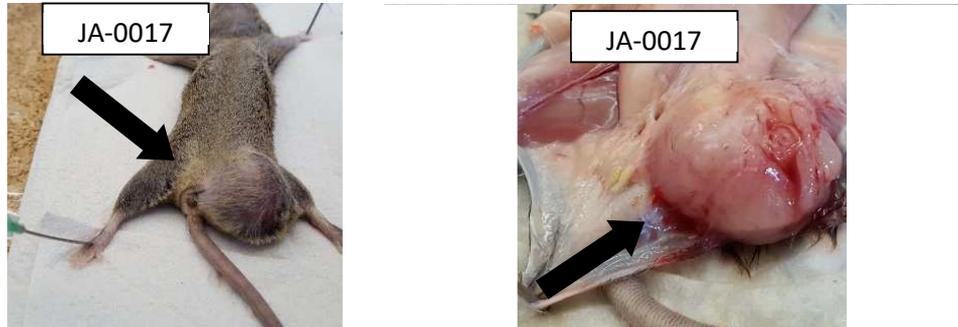
High magnification aspect of the 1286/16 with epidermoid differentiation and abundant pale eosinophilic cytoplasm (**red arrows**) and acantholysis with pseudo-glandular formation (**blue arrow**). The epidermoid differentiation is in this case the main component and therefore a differential diagnosis is **epidermoid carcinoma**

**Source file:** 2019-01-24 22\_08\_31-TPL\_601\_16\_009\_14\_Tu\_1286.czi - ZEN 2.3 lite.png

---

## JA-0017 sMDI: Adenocarcinoma, complex type

Index case **JA-0017/ 0096-17** seemed at first analysis to exhibit some significant degree of phenotypic drifting. Tumors from the two daughter mice (1234-16 and 0096-17)

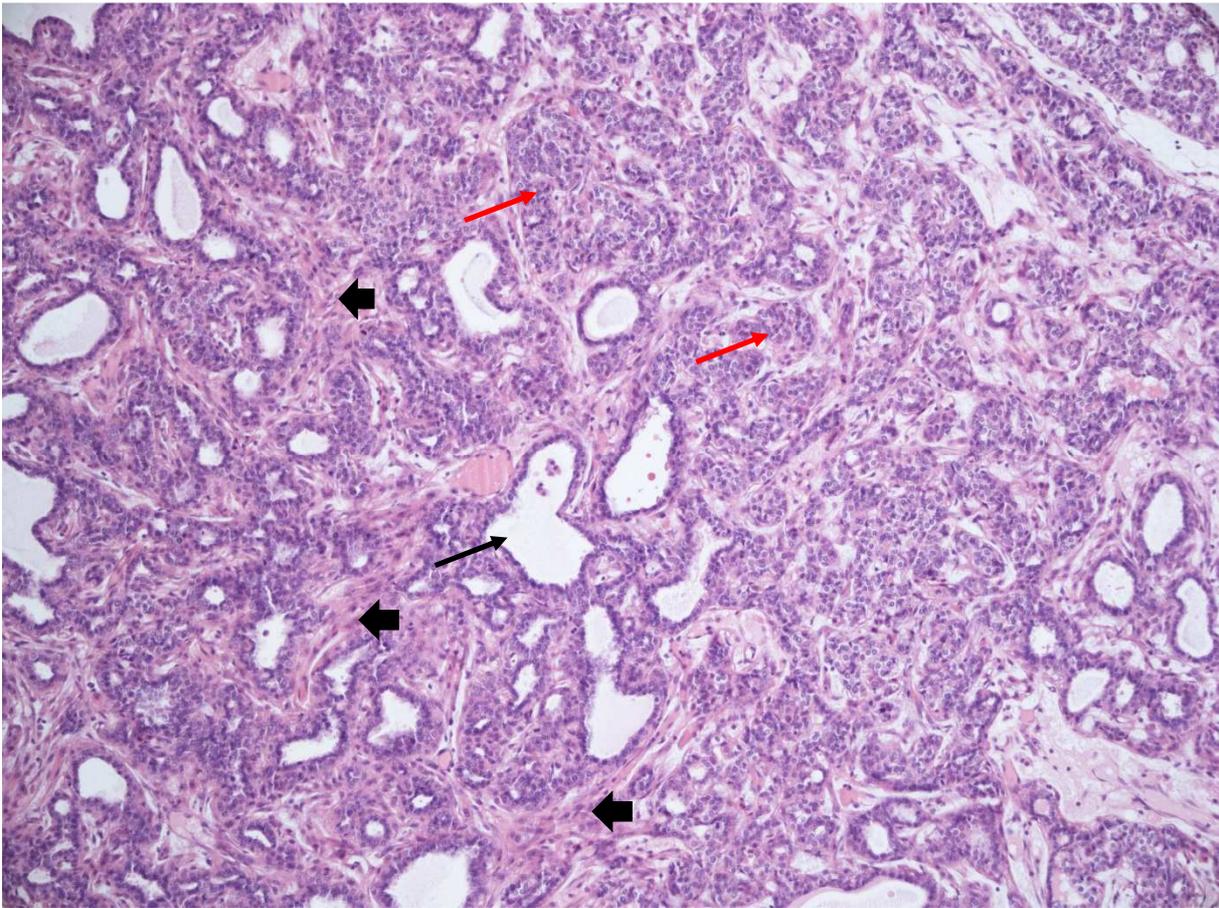


**Figure 30** : Gross aspects of the index neoplasm 0017-14

### **Adenocarcinoma, complex type, or "mixed cell tumor",**

The proliferation is composed of both myoepithelial and glandular elements with preserved ductular elements. These elements are well differentiated and benign looking.

This is an epithelial proliferation from an exocrine gland, of undetermined origin. The complex nature (see below) suggests it to be most likely of apocrine sweat gland or mammary gland origin.



**Figure 31:** Complex adenocarcinoma (mixed tumor) -index-case, HE stain, original magnification: 100x

Index case: mouse ID number 017-14. Strain CBA/J ♀

This is an epithelial proliferation from an exocrine gland, of undetermined origin. The complex nature (see below) suggests it to be most likely of apocrine sweat gland or mammary gland origin.

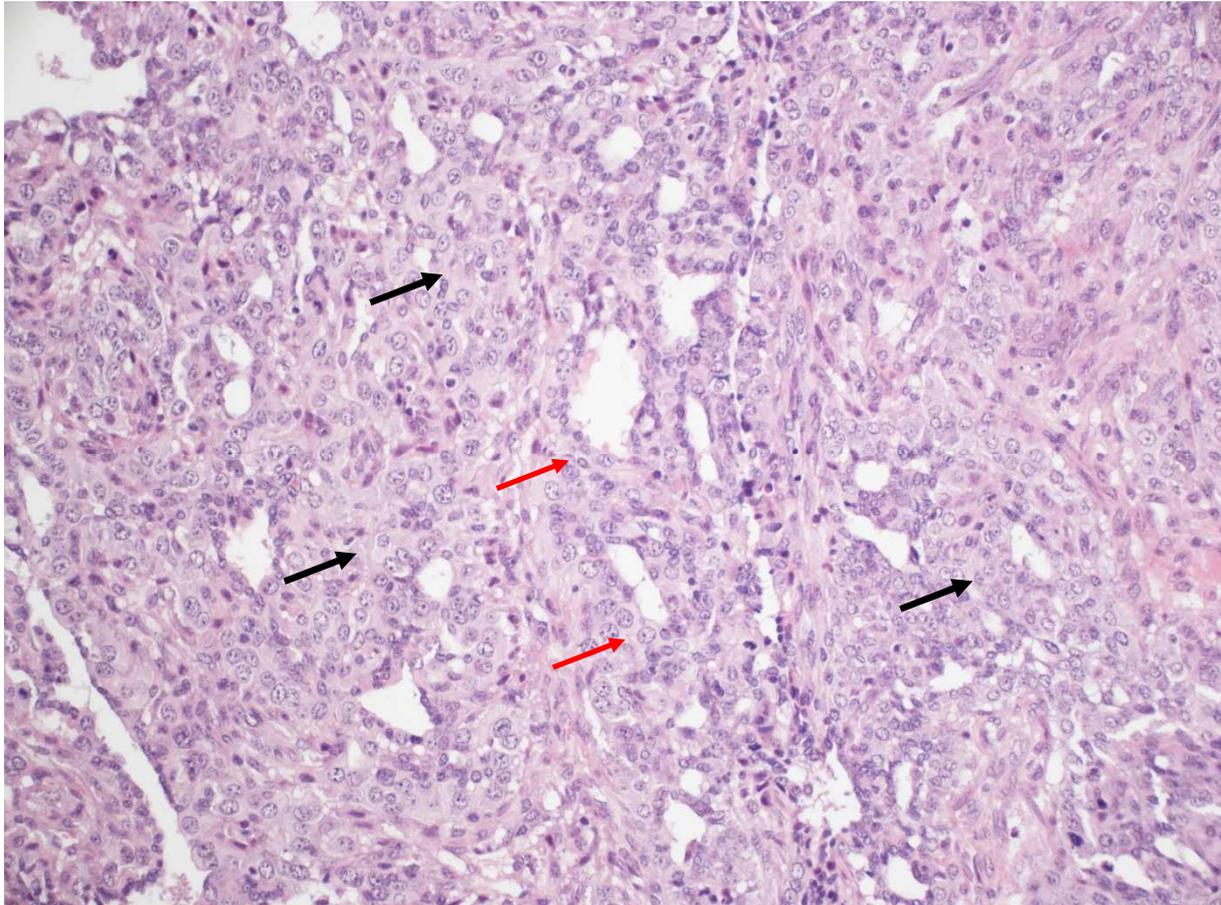
The proliferation is composed of both myoepithelial (**arrowheads** ) and glandular elements (**red arrows**) with preserved ductular elements (**black arrow**). These elements are well differentiated and benign looking. This neoplasm was however transmitted.

Morphological diagnosis: Complex adenocarcinoma/Mixed Tumor/Carcinosarcoma.

Abbreviation: c-ADK/MT/KS

Image ID: 780\_#10445\_tu01\_0017-14\_0017-14\_HE\_10x\_1-AdK--mixed tumor-index-case, HE stain, original magnification: 100x

**Figure 32:** Complex adenocarcinoma (mixed tumor) – myoepithelial aspect, derived case mouse 1234-16, HE stain, original magnification: 200x



Mouse ID #1234-16; from index case: mouse ID number 017-14. Strain CBA/J ♀.

The proliferative component of this tumor is mostly myoepithelial (**black arrows**) with well differentiated glandular elements (**red arrows**).

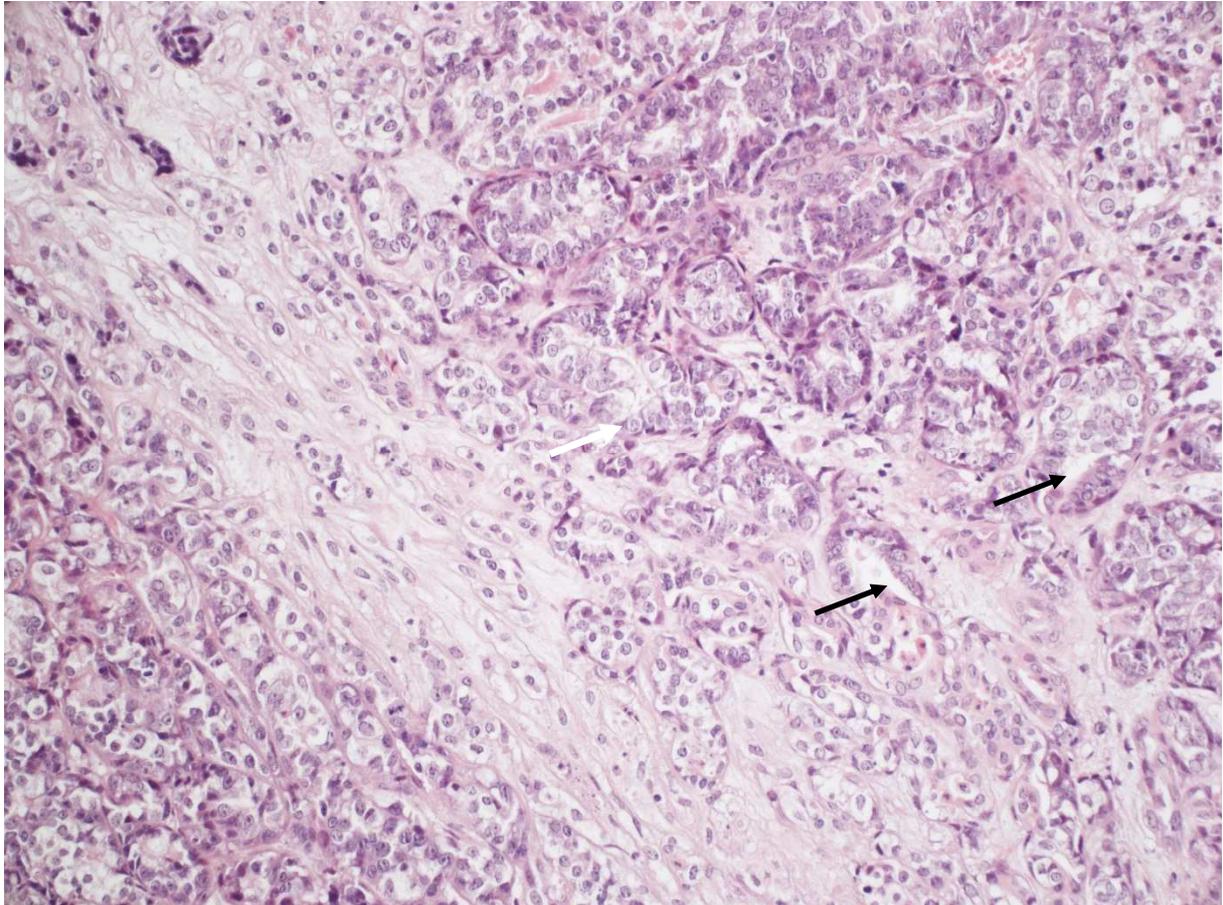
Morphological diagnosis: Complex adenocarcinoma/Mixed Tumor/Carcinosarcoma.

Abbreviation: c-ADK/MT/KS

Image ID: 780\_#10445\_tu\_0017-14\_1234-16\_HE\_20x\_2-AdK-wKeratinization-mixed tumor-complex-myoepiith-epiith2ImesenchT-wC,

HE stain, original magnification: 200x

**Figure 33:** Complex adenocarcinoma (mixed tumor) – trabecular clear cell aspect, derived case mouse 0096-17, HE stain, original magnification: 200x



Mouse ID #0096-17; from index case: mouse ID number 017-14. Strain CBA/J ♀.

Trabecular carcinoma composed of epithelial cells with clear cytoplasm, with occasional luminal differentiation (**black arrows**).

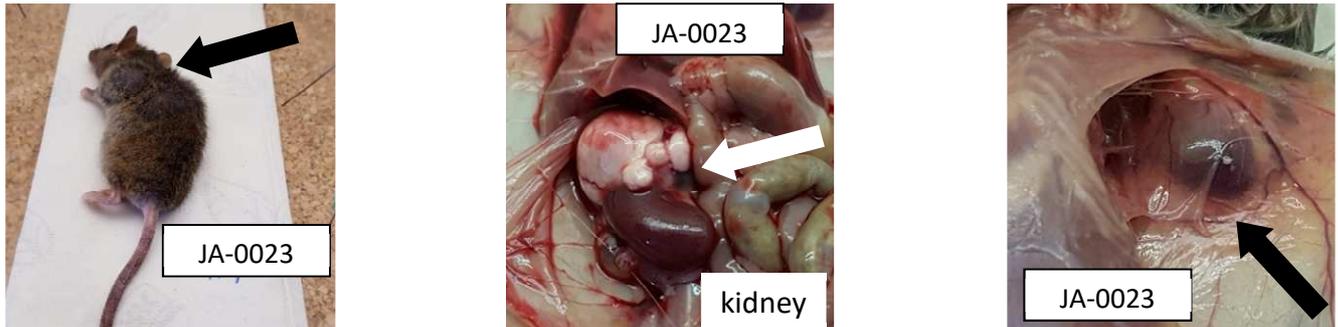
Morphological diagnosis: Trabecular carcinoma, possibly from the index complex adenocarcinoma/Mixed Tumor/Carcinosarcoma.

Abbreviation: c-ADK/MT/KS

Image ID: 780\_#10445\_tu01\_0096-17\_0017-14\_HE\_20x\_2-AdK--mixed tumor- trab-K, HE stain, original magnification: 200x

## JA-0023 sMDI: Adenocarcinoma, mammary gland

**JA-0023** (Fig. 3) were obtained by growing spontaneous tumors either from a primary invasive ADK of mammary gland origin (back tumor – see [Figure 36](#)) or a clear cell carcinoma (CCC)-like tumors from the kidney of the single index mouse 0023-14 ([Figure 34](#) and [Figure 35](#)). Morphologically, all mouse derived (sMDI) tumors, including a derived (daughter) tumor noted in the kidney of another mouse, e.g. **0001-17** ([Figure 39](#)), had morphological features identical or the closest to the mammary index tumor of the back JA-0023 in 0023-14. These 2 index neoplasms and all daughter sMDI, e.g. **0001-17**, **0005-17**, **1620-16**, **0544-17** and **0547-17** (see below) are presented below.



**Renal tumor:** index case: Carcinoma/adenocarcinoma with clear cell differentiation /Clear Cell Renal Carcinoma – see [Figure 34](#) and [Figure 35](#).

**Mammary gland:** Invasive ductal cystic-papillary adenocarcinoma with desmoplasia and lymphatic emboli – see index [Figure 36](#) and lineate [Figure 37](#) to [Figure 42](#).

- peripheral perineoplastic lymphocytic infiltration, moderate
- hemorrhage and erythrophagocytosis by macrophages (not shown in photos below)

Given the aggressiveness of the primary tumor (i.e. neoplastic emboles) it is very likely the tumor origin of the tumor lineage s-MDI JA-.0023 is the mammary gland, and not the kidney.

The papillary cystic tumor of mammary gland origin has excellent phenotypic stability (see [Figure 37](#) to [Figure 42](#)).

Figure 34: Low (left) and mid (right) magnification views of the index tumor from the kidney

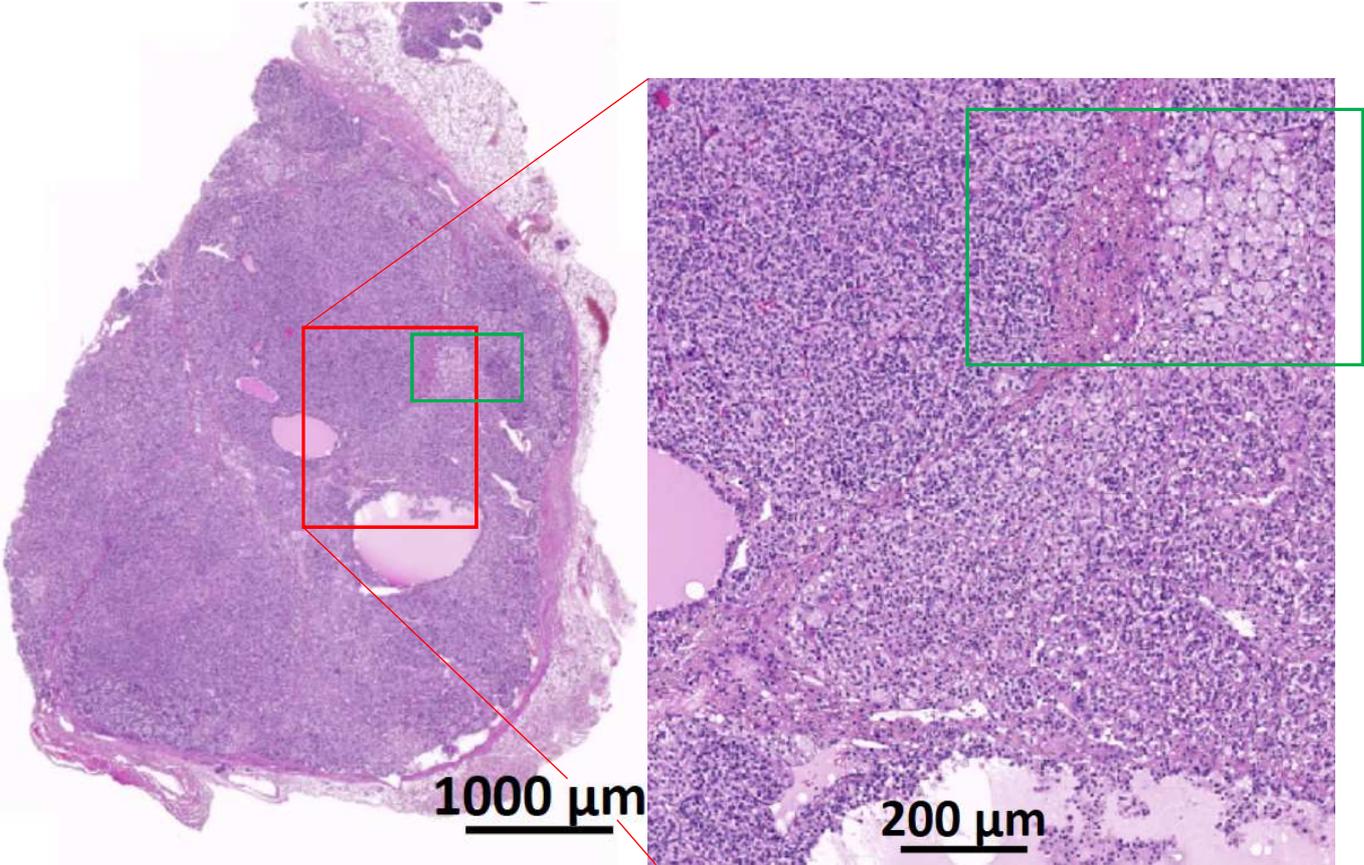
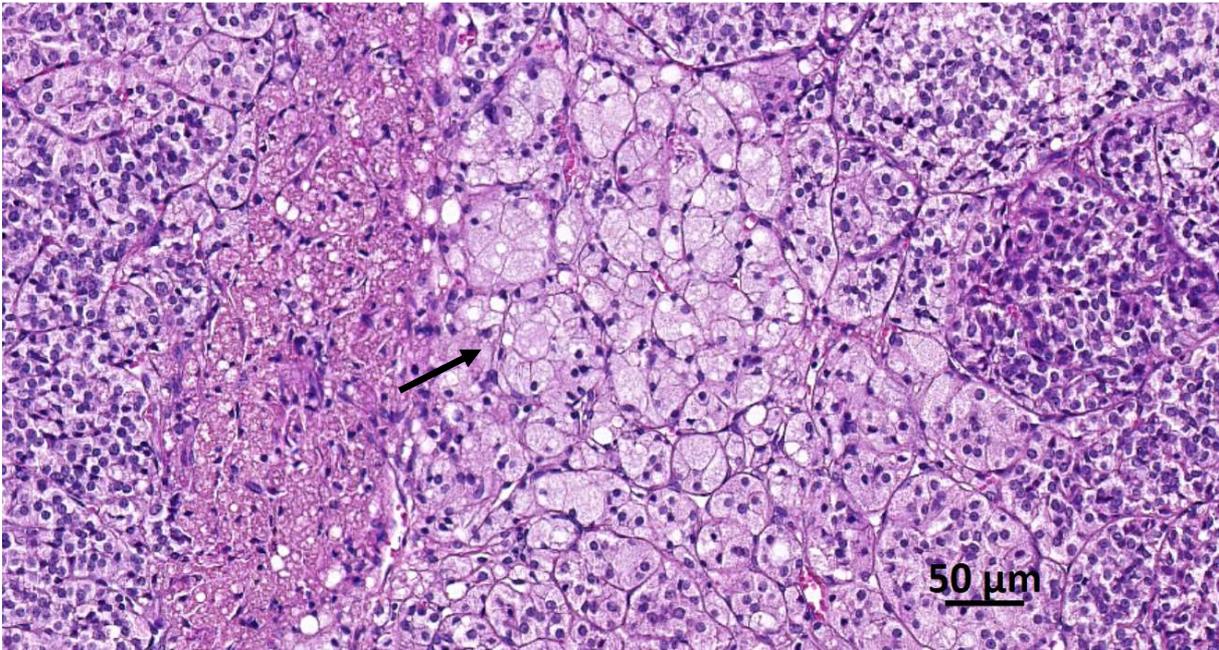
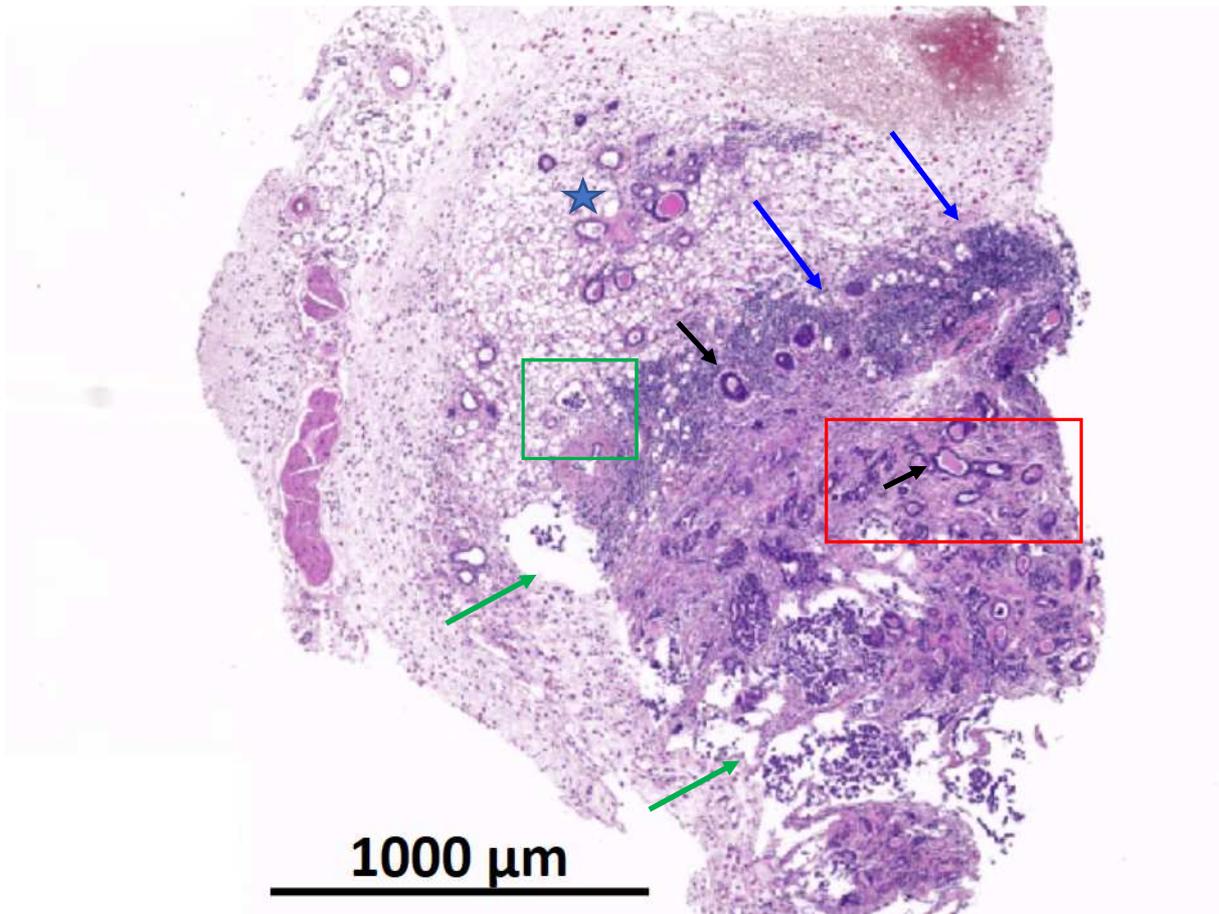


Figure 35: High magnification view of the index renal tumor

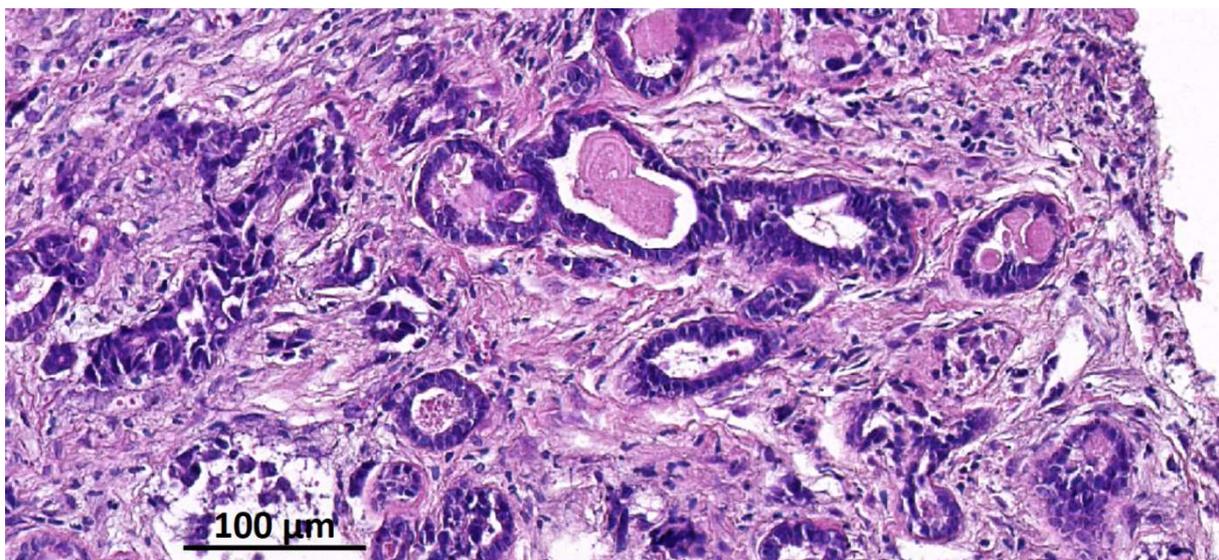


Green square inset: High magnification view of the clear cell differentiation in the index tumor (black arrow), which is focally reminiscent of a clear cell renal carcinoma, the most common renal neoplasm in humans. Source file: 2019-02-03 21\_43\_30-10445\_tu\_nie\_0023-14\_0023-14.czi - ZEN 2.3 lite.png

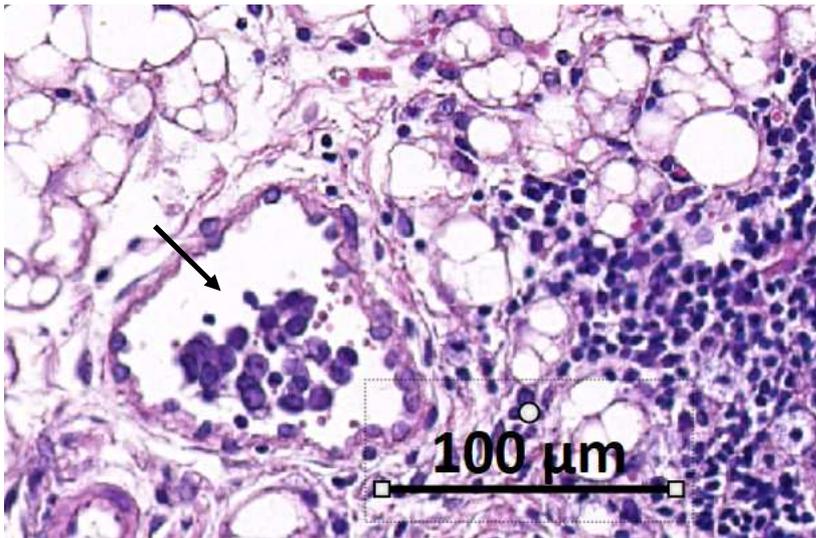
Figure 36: Index tumor of the subcutaneous tumor: microscopic morphological aspects



Low magnification aspect of the subcutaneous neoplasm: there is a mass developed from the mammary gland (★) and in the adipose tissue, of malignant glandular ductular profiles with varying glandular secretion (**black arrows**), invading into the adjacent subcutis and eliciting an abundant fibroplasia (desmoplastic change) and abundant lymphoid response (**blue arrow**) and dilated lymphatics and blood vessels (**green arrows** and **green square**).

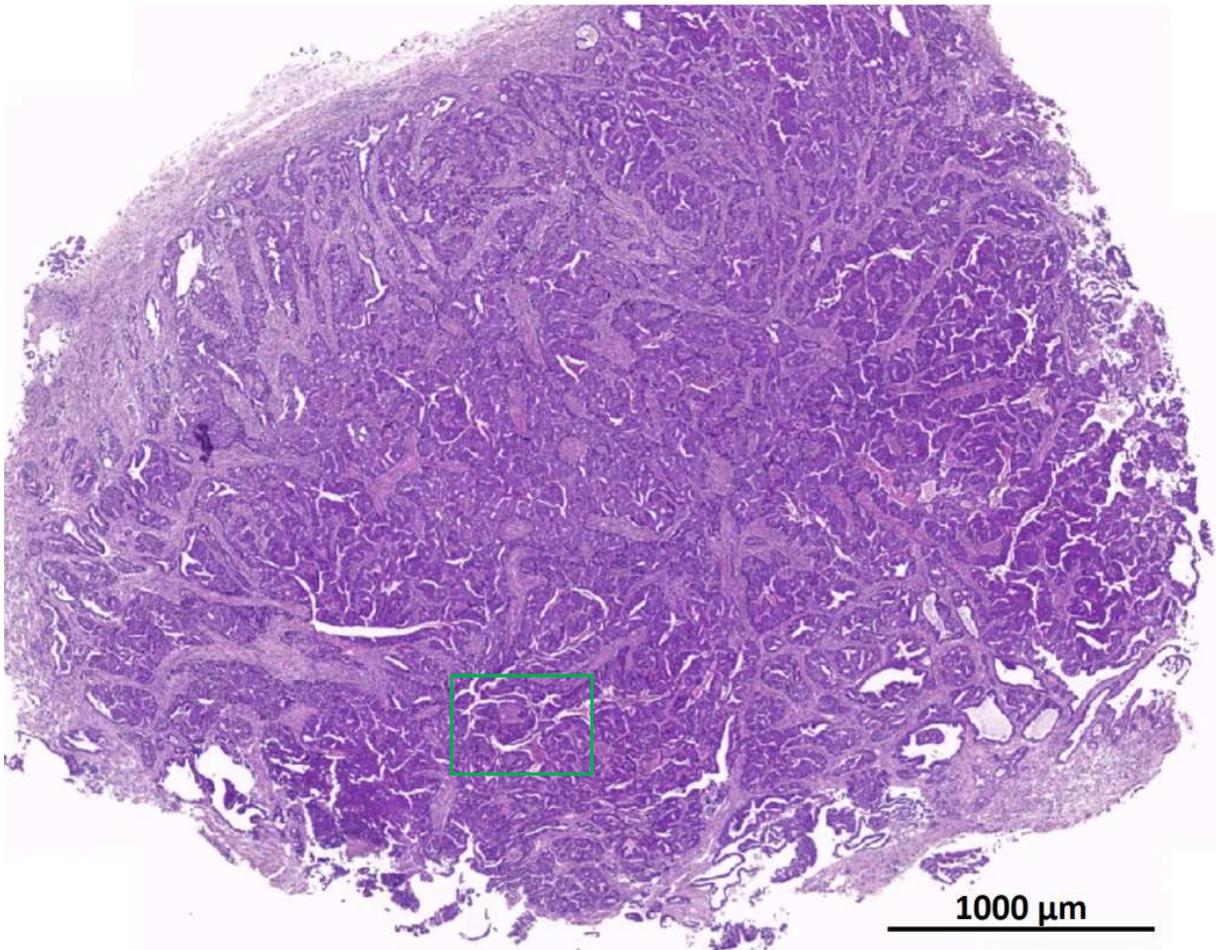


**Red square:** The tumor consists of ductular profiles developed in a dense fibroblastic tissue.



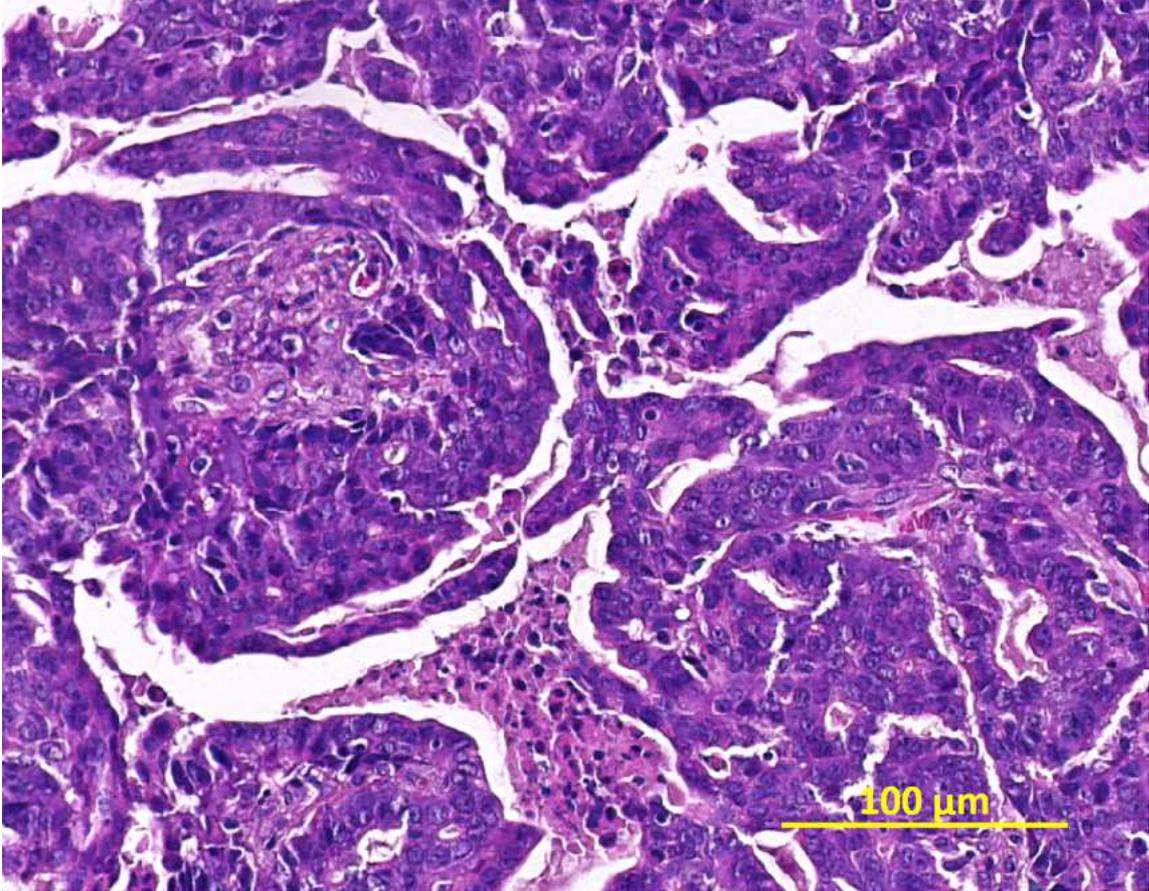
**Green square:** an example of individualized neoplastic cells in a vein (see **black arrow**) with a few red blood cells. On the right of the photo there is numerous small mononuclear cells, most compatible with small lymphocytes.

**Figure 37:** Lineage tumor aspect in subcutaneous tissue: example of **0005-17**



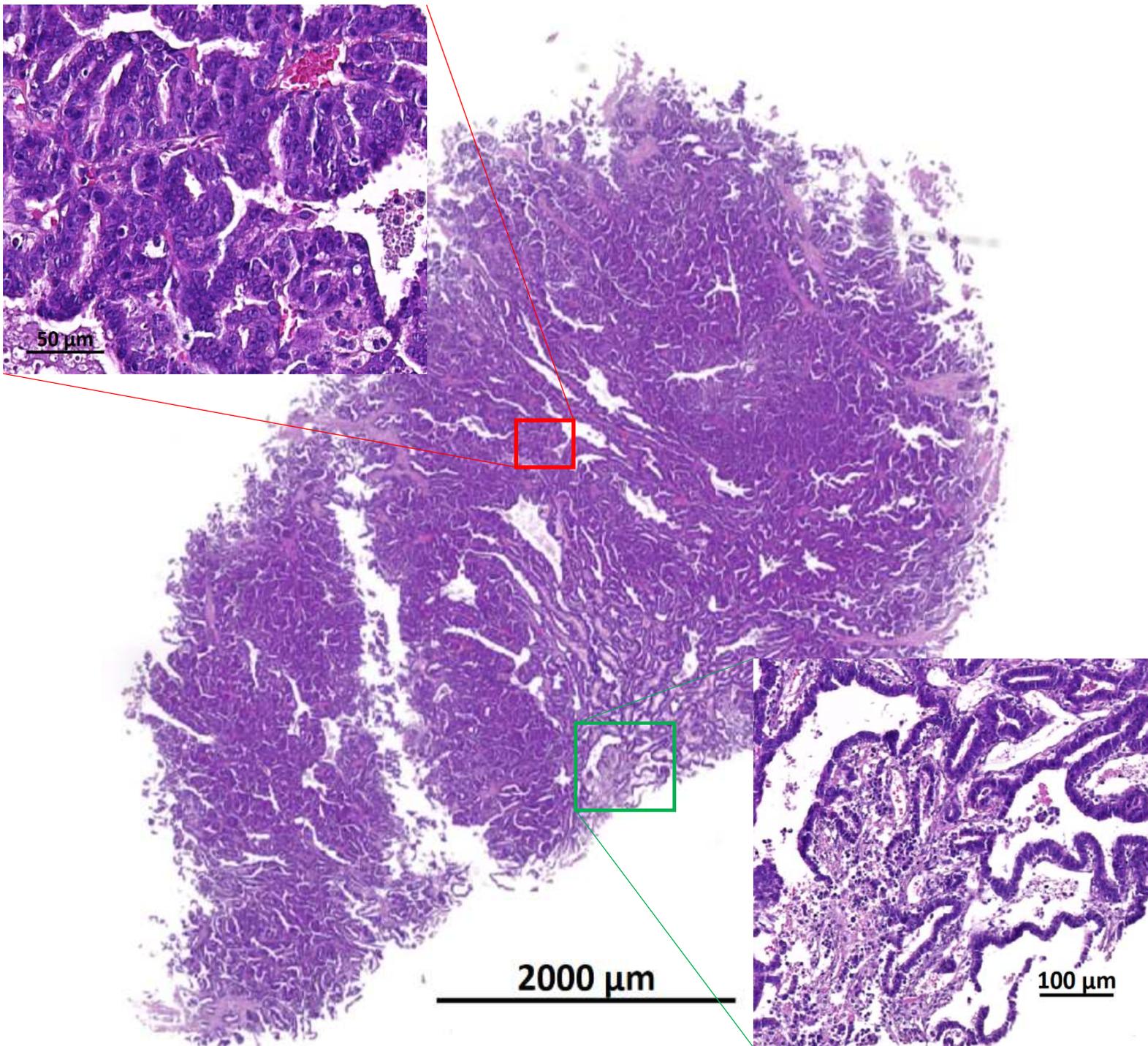
The neoplasm 005-17 is similar to neoplasm 0023-14 from the subcutis (see [Figure 36](#)), with a somewhat more papillo-tubular than tubular and less desmoplastic morphology **Source file:** 2019-02-04 15\_42\_24-10445\_tu\_rue\_\_0023-14\_\_0005-17.czi - ZEN 2.3 lite.png

Figure 38: Case 0005-17: High magnification reveals the tubulopapillary structure with necrotic cells in lumens and supportive stroma



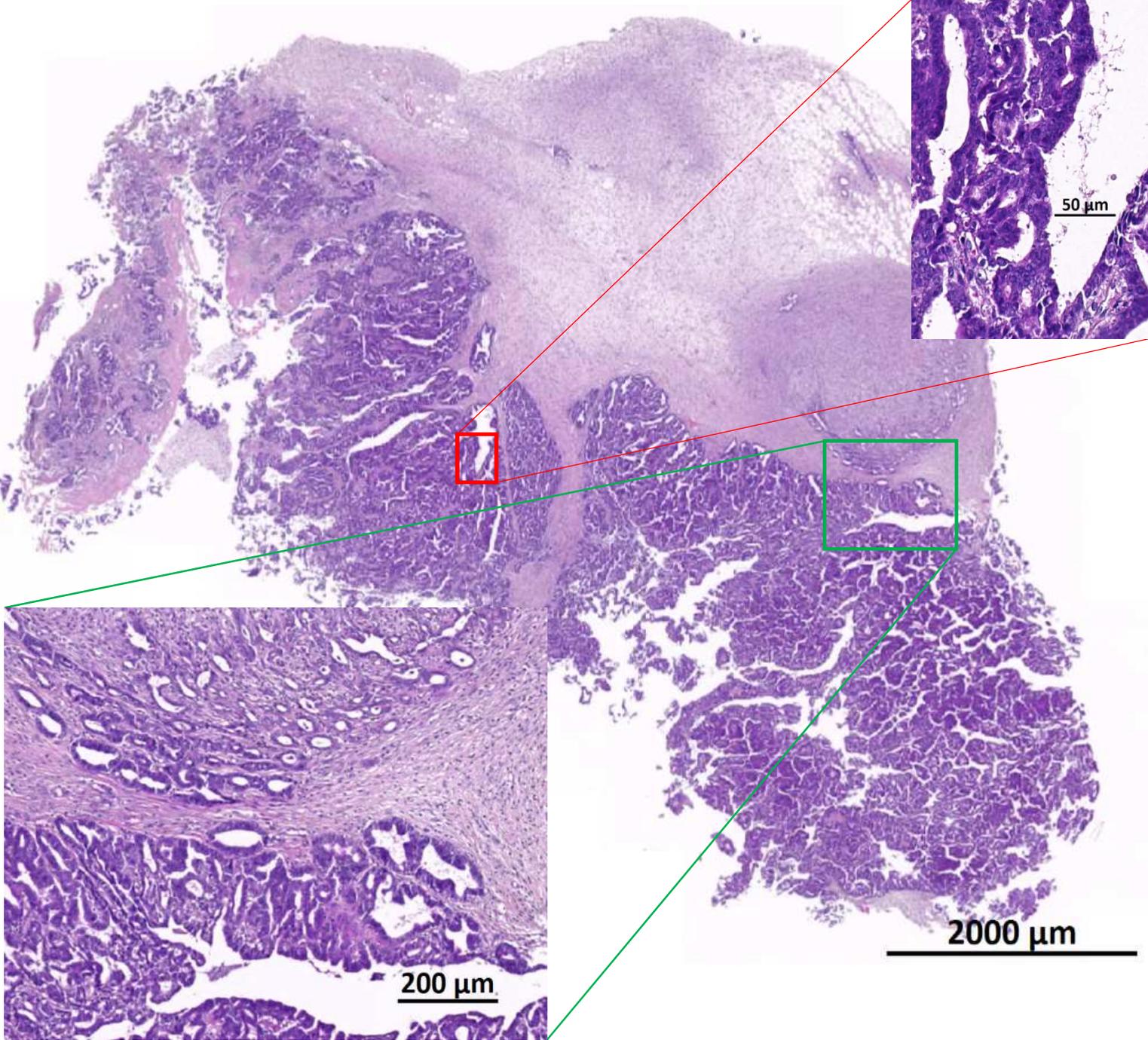
High magnification is more cellular than the index tumor from the subcutis (see Figure 36).

Figure 39: Lineage tumor aspect in kidney: example of 0001-17



Notice the tumor aspect is very different from the renal tumor from the index case 0023-14 (see [Figure 35](#)) but similar morphologically to all other tumors from the lineage (see [Figure 38](#), [Figure 40](#), [Figure 41](#) and [Figure 42](#)), including the subcutaneous index mammary cancer ([Figure 36](#)). High magnification is identical to the lineage tumor 0005-17 ([Figure 38](#)). There is no evidence of normal renal tissue on the section examined. The tubulopapillary pattern is present, as well as the host immune response (see lower inset of the [green square](#))

Figure 40: Lineage tumor aspect in subcutaneous tissue: example of 1620-16



This daughter subcutaneous tumor is like all the tumors of the lineage, with however regions with more distinct desmoplasia and abundant lymphoid infiltration (see **green square** inset). Cytological aspect of the tubulopapillary proliferations are very similar in all the tumors from this lineage, indicating phenotypic stability.

**Figure 41:** Spontaneous neoplasms (study #10445): papillary cystic adenocarcinoma, female mouse 0547/17, hematoxylin and eosin stain, subcutis

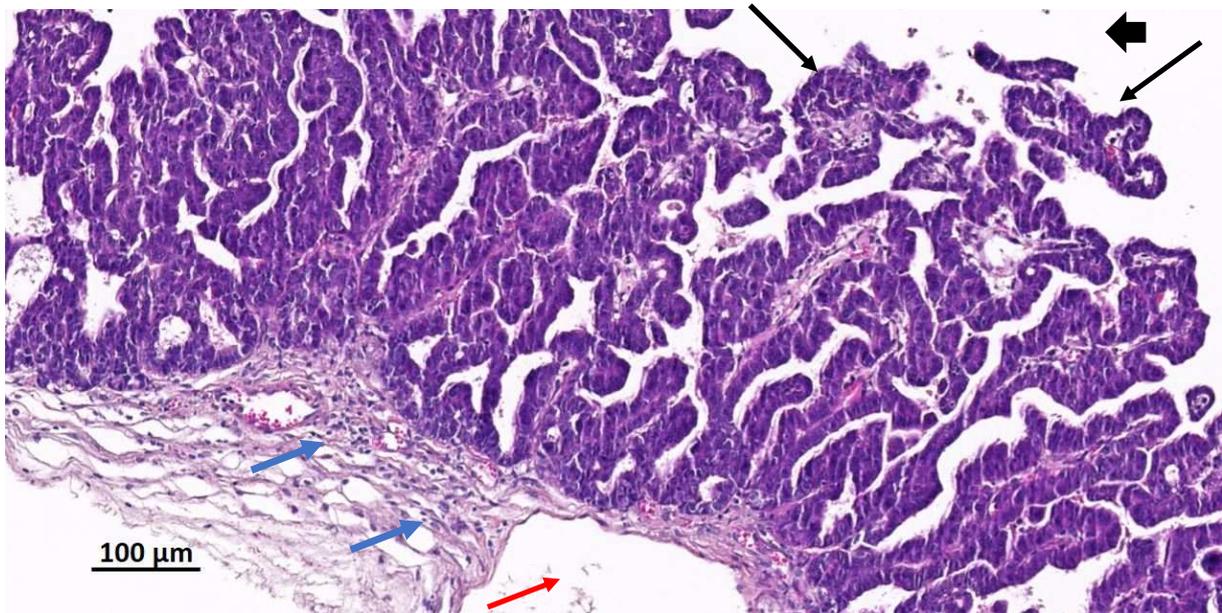


Image ID: 2017-10-25 15\_23\_04-TPL811\_17\_HE\_10445\_Tu\_0547\_17.czi - ZEN 2.3 lite

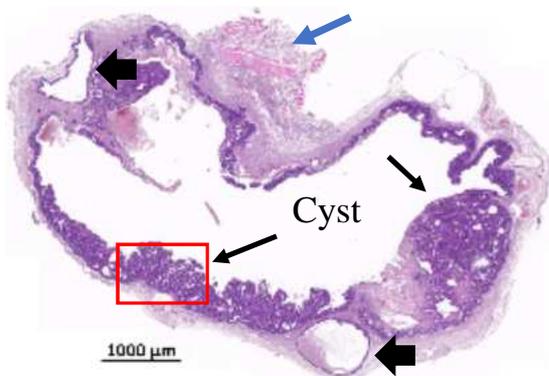
Index case: mouse ID number 023-14, strain C3H/HeJ ♀. Female C3H/HeJ 0547/17

The index case was previously studied in phase study TPL Path Labs TPL780-17.

The malignant proliferation is characterized by epithelial cell proliferation forming tubules and papillary (glandular) differentiation (**black arrows**), developed in a 6.8-millimeter long, multi-locular cystic cavity (arrowhead **◄**). Accompanying this epithelial malignant tumor of glandular origin are slight to moderate, stromal mononuclear leukocyte infiltrates, associating both small lymphocytes (**blue arrows**) and some macrophages. A lymphatic vessel is markedly dilated (**red arrow**).

Morphological diagnosis: Adenocarcinoma (Adk), papillary-cystic, mammary gland.

**Low magnification of tumor aspect overview (thumbnail):**



The **red rectangle** indicates the part of the cyst wall, which is depicted above at higher magnification.

**Source photo file:** 2017-10-25 14\_55\_01-TPL811\_17\_HE\_10445\_Tu\_0547\_17.czi - ZEN 2.3 lite

Low magnification view of tumor case ID # 0547. The neoplasia is developed in 7x4-mm cyst, with a multi-locular pattern (development of secondary cysts – see arrowheads **◄**).

**Figure 42:** Spontaneous neoplasms (study #10445): papillary cystic adenocarcinoma, female mouse 0544/17, skin

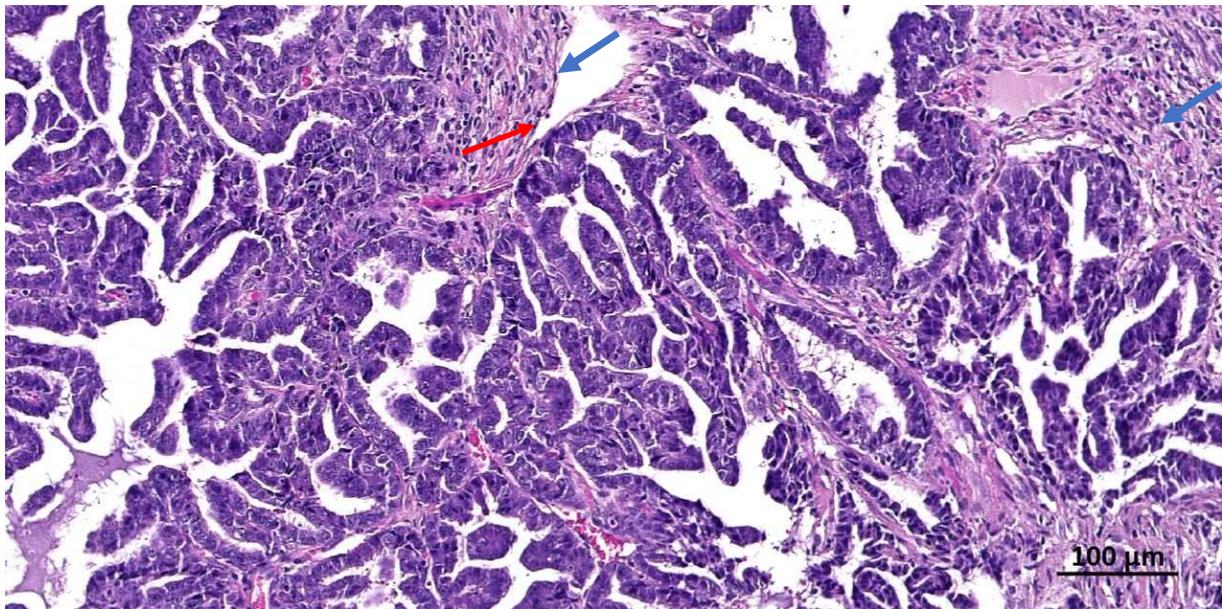


Image ID: 2017-10-25 15\_20\_49-TPL811\_17\_HE\_10445\_Tu\_0544\_17-Rotate-01 - ZEN 2.3 lite

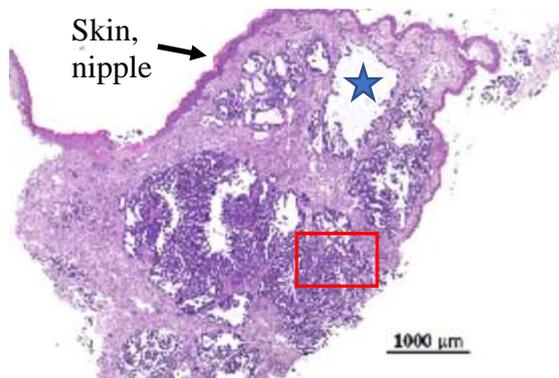
Index case: mouse ID number 023-14, strain C3H/HeJ ♀. Female C3H/HeJ 0544/17

Hematoxylin and eosin stain

Notice the striking similarity in morphology when comparing with neoplasms from animals 0001-17 or 0005-17 ([Figure 37](#), [Figure 38](#), [Figure 39](#)) to 0547/17 (see [Figure 41](#)). Stromal infiltration with mononuclear cells is of similar nature and degree (**blue arrows**). Stroma also has similar levels of tumor-infiltrating lymphocytes (TIL). Although rather well differentiated, this neoplasm is clearly malignant, with good seeding potential, with also compression related dilated lymphatic vessels (**red arrow**). Cytological features of this tumor and the ones from all JA0023 derived tumors are very similar, near identical. The neoplasm is strikingly invasive in the adjacent stroma (not shown).

Morphological diagnosis: Adenocarcinoma (AdK), papillary-cystic, skin, mammary gland.

**Low magnification of tumor aspect overview (thumbnail):**



The **red rectangle** indicates the part of the tumor, which is depicted above at higher magnification.

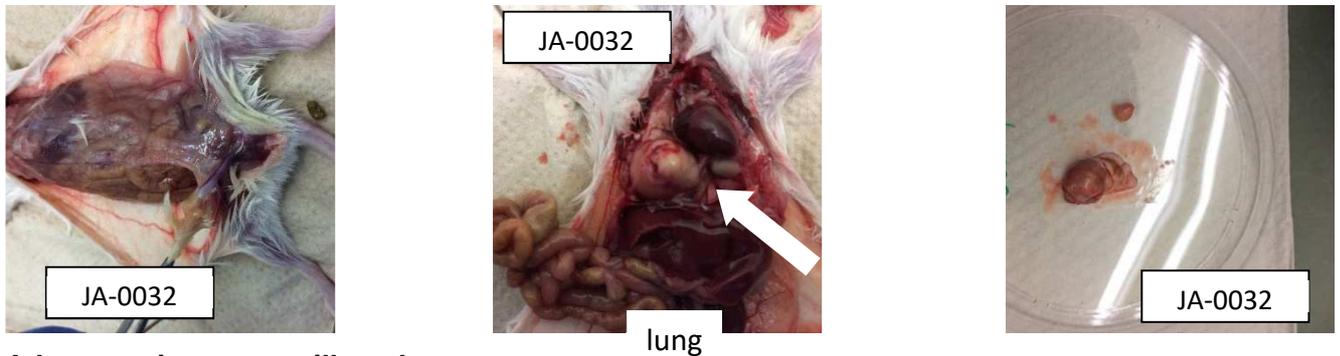
**Source photo file:** 2017-10-25 15\_08\_41-TPL811\_17\_HE\_10445\_Tu\_0544\_17-Rotate-01 - ZEN 2.3 lite

---

At sub-gross examination, this ~ 3.6-mm thick tumor is developed in several multi-lobulated dilated or cystic (★) spaces, composed of tubule and papillary proliferations.

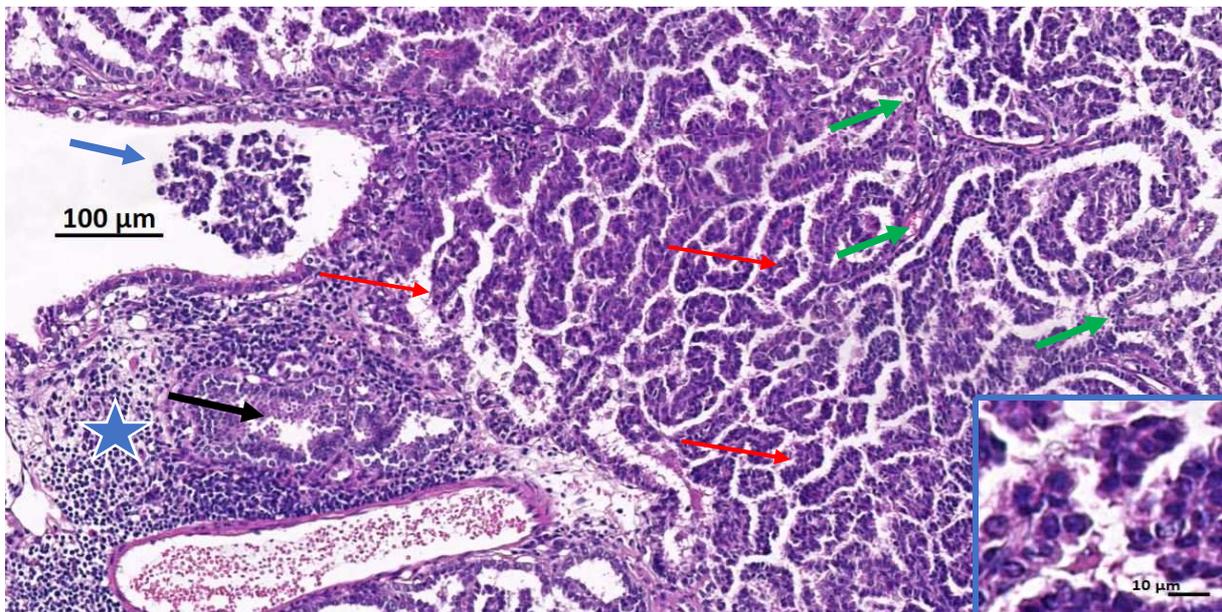
## JA-0032 sMDI: Adenocarcinoma, Lung

**JA-0032/ 0055-17** (Fig. 3). The original index sample was identified as a typical bronchiolo-alveolar papillary adenocarcinoma



**Adenocarcinoma, papillary, lung**

**Figure 43:** Spontaneous neoplasms (study #10445): papillary adenocarcinoma, lung, male mouse 0032/14



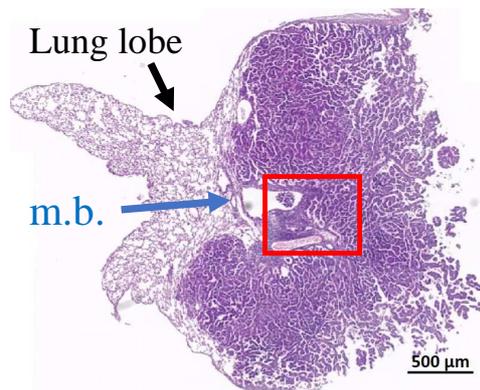
Index case: mouse ID number 0032-14, strain BALB/c, sex ♂.

Developed and invading the alveolar parenchyma are small cords, festoons and papillary structures supported by a delicate stroma, composed of mid-sized (~ 10 μm) cuboidal cells resembling type II pneumocytes (see **inset** and **red arrows**). This proliferative alveolar tissue invades the nearby main bronchus (**blue arrow**). The pre-existing alveolar walls, obscured by the proliferative tissue, as well as the delicate stroma induced by the neoplastic tissue is variably thickened by collagenous tissue and infiltrated by moderate numbers of small mononuclear cells (**green arrows**). The BALT has moderate lymphoid depletion (**★**) and focal invasion by neoplasm (**black arrow**).

Image ID: 2017-10-25 15\_17\_08-TPL811\_17\_HE\_10445\_Tu\_32\_15\_3\_4\_17\_Lu.czi - ZEN 2.3 lite

Morphological diagnosis: Adenocarcinoma (AdK), papillary-cystic

**Low magnification of tumor aspect overview (thumbnail):**



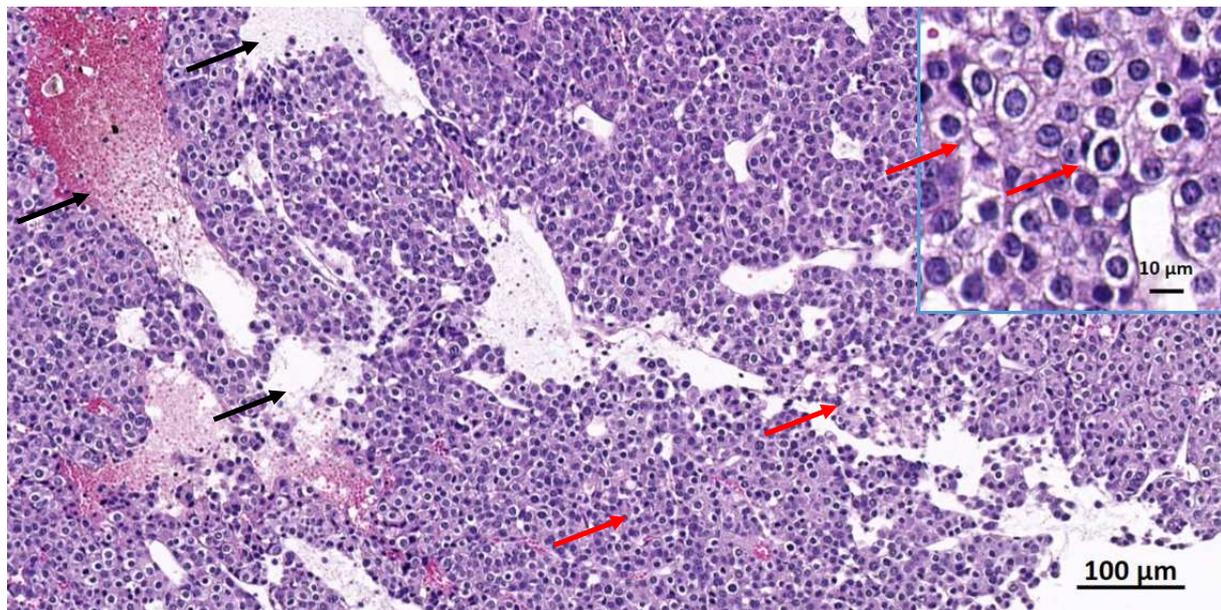
The **red rectangle** indicates the part of the neoplasm, which is depicted above

**Blue arrow, m.b.** = main bronchus

**Source photo file:** 2017-10-25 15\_15\_07-TPL811\_17\_HE\_10445\_Tu\_32\_14\_3\_4\_17\_Lu.czi  
- ZEN 2.3 lite

At sub-gross examination, this ~ 3.5-mm long neoplasm is developed in several multi-lobulated dilated or cystic ( ) spaces, composed of tubule and papillary proliferations.

**Figure 44:** Spontaneous neoplasms (study #10445): adenocarcinoma, solid, clear cells, subcutis, male mouse 0055/17



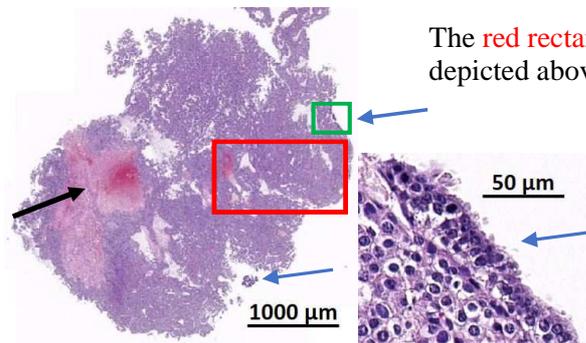
Source: 2017-10-25 15\_19\_23-TPL811\_17\_HE\_10445\_Tu\_0055\_17.czi - ZEN 2.3 lite

Index case: mouse ID number 0032-14, strain BALB/c, sex ♂

This case is not straightforward because the aspect of the source index case (see [Figure 43](#)) is morphologically very different from this derived one: in male 055/17, there is solid very fragile (due to nearly absent supportive stroma and secondary tearing and hemorrhage – see **black arrows**) solid proliferation of mid-sized ~ 10 µm polyhedral to cuboidal epithelial cells with characteristically clear (“rarefied”) cytoplasm ( see **red arrows**). Anisopoikilocytosis and nuclear variability are slight to moderate. This tumor is developed in the subcutis, locally when transplanted.

Morphological diagnosis: Adenocarcinoma (Adk), solid, clear cells, subcutis.

Figure 45: Low magnification of tumor aspect overview and high view detail (thumbnails)



The red rectangle indicates the part of the tumor, which is depicted above, the green rectangle is depicted below:

Source photo files: 2018-01-22 16\_07\_12- and 2018-01-23 08\_40\_54- TPL811\_17\_HE\_10445\_Tu\_0055\_17.czi - ZEN 2.3 lite:

On the edge of the proliferation, small foci of epithelial cells similar to the case 0032-14 (blue arrows). The black arrow indicates a large area of necrosis and hemorrhages.

#### Comments on JA-0032 sMDI:

This tumor from 0055-17 is most probably derived from the index lung Adk. The clear cell aspect most probably is to be related to surfactant secreting type II pneumocytes - IHC would be useful to prove this hypothesis. Standard HE histology cannot prove it.

This situation is a frequent encounter, when the sites of grafting is different from the original organ of origin. An orthotopic (matching site in the lung) engraftment would be desirable if one is willing to recreate the original morphology (see the recent publication in [Reference 2](#) for the pancreatic cancer situation).

In this particular case, if further used in research and development, orthotopic engraftments would be highly recommended, although heterotopic (ectopic) may be used, as long as the presence of key characteristics such as the surfactant and areas of alveolar morphology can be demonstrated

#### Literature cited:

[Reference 1](#) James E. Talmadge, Marc E. Key and Ian R. Hart, 1981; "Characterization of murine ovarian reticulum cell sarcoma of histiocytic origin" May 1981 *Cancer Research* vol. 41(4):1271-80

[Reference 2](#): Wanglong Qiu, and Gloria H Su. 2013; "Development of orthotopic pancreatic tumor mouse models" *Methods in molecular biology (Clifton, N.J.)* vol. 980 (): 215-23. <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC4049460/>

---

#### 1.4 Doc. S2-sMDI - Material, Methods & Results: Efficacy studies with anti-mPD-1 and anti-mCTLA-4 in seven syngeneic standard mouse tumor models

**Implantation protocol for efficacy studies using immune checkpoint antibodies for cell line-based syngeneic tumor models:** Cells from tissue culture were washed twice and suspended in PBS. On day 0 between  $1 \times 10^5$  and  $1 \times 10^6$  colon carcinoma cells MC38-CEA (CPQ 449\*) in female C57BL/6N, colon carcinoma cells CT26.WT (CPQ 238) in female BALB/c, lung carcinoma cells LL/2 (CPQ 423) in female C57BL/6N, melanoma Clone M3 cells (CPQ 422) in female DBA/2N, mamma carcinoma 4T1 cells (CPQ 272) in female BALB/c, renal carcinoma RENCA cells (CPQ 307) in female BALB/c, and melanoma B16.F10 cells (CPQ 365\*\*) in female C57BL/6N were injected subcutaneously according to the respective tumor model.

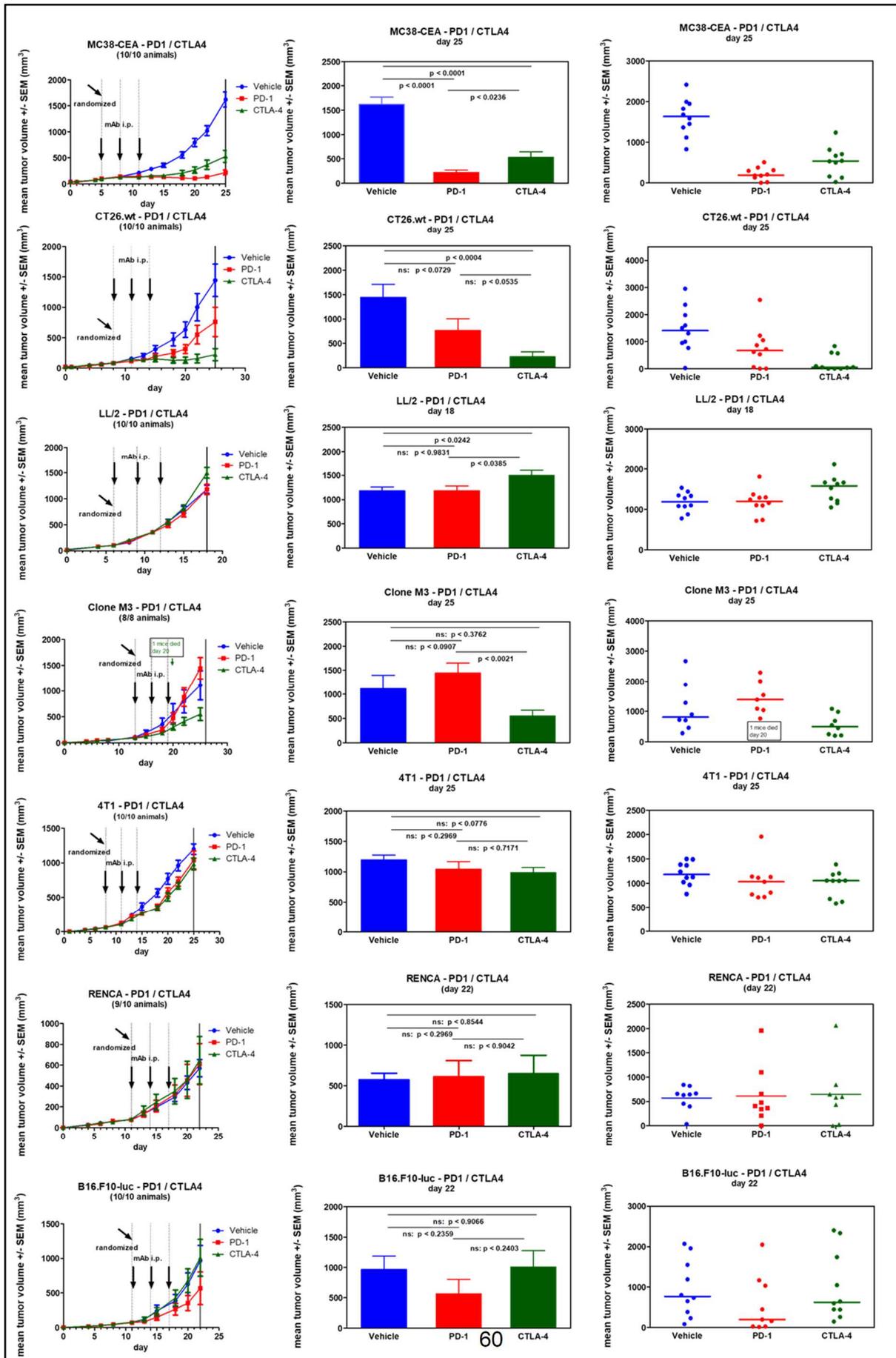
\*Cell lines are stock of ProQinase GmbH, In Vivo Pharmacology (CPQ-numbered), and derived from ATCC, LGC Standards GmbH, Germany. Carcinoembryonic Antigen (CEA) transfected MC38-CEA were kindly provided from Joanna Bereta, PhD, Jagiellonian University, Krakow, Poland. \*\*B16.F10 cells were regularly selected for high metastatic capacity by i.v. application to induce *in vivo* metastases, followed by *in vitro* re-culturing of lung metastasis [1].

**Study design for efficacy studies using immune checkpoint antibodies for cell line-based syngeneic standard tumor models:** Mice were implanted with above mentioned cell lines according to implantation protocol. Tumor sizes were calculated according to the formula  $W^2 \times L / 2$  (L= length and W= the perpendicular width of the tumor,  $L > W$ ). When mean tumor size achieved a volume of about 100 - 200 mm<sup>3</sup>, tumor-bearing animals were randomized (between days 4 - 20 - according to respective tumor model) into 3 groups of 8 - 12 animals. For randomization, a robust automated random number generation within individual blocks was used (MS-Excel 2016).

**Results** are shown in Figure S1. Both colon carcinoma models, MC38-CEA and CT26.WT were significantly sensitive to anti-ICPI treatment: MC38-CEA growth was significantly reduced both, by anti-mCTLA-4 and anti-mPD-1 treatment. Growth of CT26.WT was significantly reduced by anti-mCTLA-4 treatment but showed only a non-significant reduction by anti-mPD-1 treatment. The other cell line-based syngeneic tumor standard models displayed no or no significant inhibition. A reduction of tumor growth that was not significant was observed in Clone M3 for treatment with anti-mCTLA4 antibodies and in B16.F10 for treatment with anti-mPD-1 treatment.

The strong inhibition of MC38-CEA contrasts with results of others [2], not seeing any effects by anti-ICPI antibodies in the MC38 model which probably results from transfection with CEA which acts as potential neoantigen in the cells (see also Doc. S2 and Fig. S2). As demonstrated by flow cytometry, the number and subpopulations of tumor-infiltrating immune cells does already differ in the two model subvariants (Fig. 4b) and [2]. Probability (P) was tested with parametric unpaired t test (GraphPad Prism 5.04) compared to PBS vehicle control. Differences were determined as not significant with  $ns > 0.050$  and significant \* with  $p < 0.050$ , \*\* with  $p < 0.010$ , or \*\*\* with  $p < 0.001$ .

1.5 Fig. S2– SMD - Efficacy studies with anti-mPD-1 and anti-mCTLA-4 in seven syngeneic standard mouse tumor models



---

*Fig. S1-sMDI - Efficacy of anti-ICPI antibodies in seven established syngeneic standard mouse tumor models*

The tumors cells of colon carcinoma MC38-CEA, colon carcinoma CT26.WT, lung carcinoma LL/2, melanoma Clone M3, mamma carcinoma 4T1, renal carcinoma RENCA, and melanoma B16.F10 were each implanted subcutaneously into mice. Animals were randomized at tumor volumes of 100 - 200 mm<sup>3</sup> between days 5 - 13 according to the respective model into 8 mice per group. Mice were treated three times (dotted lines) i.p. with anti-ICPI antibodies and PBS as vehicle control: vehicle – blue (10 ml/kg), anti-mPD-1 – green (10 mg/kg), and anti-mCTLA-4 – red (10 mg/kg). Tumor volumes are shown as growth curve (curve chart), mean of groups (bar graphs), and individual values of single mouse per group (dot plots). Probability (P) was tested with parametric unpaired t test (GraphPad Prism 5.04) compared to PBS vehicle control. Differences were determined as not significant with ns > 0.050 and significant \* with p < 0.050, \*\* with p < 0.010, or \*\*\* with p < 0.001.

---

## 1.6 Doc. S3-sMDI - Material, Methods & Results: Establishment, efficacy and characterization of mPD-1-resistant MC38-CEA relapsed subline

**Establishment of MC38-CEA-PD1-res:** A PD-1-resistant subline of MC38-CEA was established (Fig. S2A) from mouse 0007-15 which was part of the comparative study of seven standard syngeneic *in vivo* mouse tumor models (Fig. S1). The MC38-CEA tumor was completely regressed by 3-fold anti-mPD-1 treatment after day 25. Extended monitoring of this mouse showed weak, but again spontaneously regressing tumor growth between days 30 - 40. A striking relapse started with day 50. Re-treatment with mPD-1 starting on day 60 did no longer inhibit, in contrast to primary treatment (Fig. S1), tumor growth during further monitoring (Fig. S2A). On day 88 the mouse was sacrificed, tumor was removed sterile, cut into small pieces and cultured with RPMI 1640 medium in petri dishes. From outgrowing cells, a stable cell line was established by *in vitro* culture, frozen stored and designated as mPD-1-resistant MC38-CEA (MC38-CEA-PD1-res).

**Implantation protocol and study design:** Implantation and study design for MC38-CEA-PD1-res cell line was performed according the MC38-CEA protocols as described in Doc.S1-sMDI

**Efficacy testing of MC38-CEA-PD1-res tumors:** mPD1 resistant MC38-CEA cell line was implanted day 0. Since growth of the resistant cells was delayed compared to parental cells, randomization at tumor volumes of 40 - 80 mm<sup>3</sup> in 8 animals per group was performed only on day 13 (versus day 5 in parental cells – Fig. S2B). Starting on randomization day, mice with relapse-derived, MC38-CEA-PD-1 resistant tumors (Fig. S2C 1-3) were treated three times (dotted lines) i.p. with anti-ICPI antibodies and PBS as vehicle control: vehicle – blue (10 ml/kg), anti-mPD-1 – green (10 mg/kg), and anti-mCTLA-4 – red (10 mg/kg). As shown in Figs. S2C growth of the PD1-resistant tumors was insensitive to renewed mPD-1 treatment till day 25. Although anti-mCTLA-4 antibodies showed an about 50 % reduction of growth, also this difference was not significant. In contrast, parental colon carcinoma MC38-CEA was sensitive to mPD-1 as well as mCTLA-4 treatment (Fig. S2B). Probability (P) was tested with parametric unpaired t test (GraphPad Prism 5.04) compared to PBS vehicle control. Differences were determined as not significant with ns > 0.050 and significant \* with p < 0.050, \*\* with p < 0.010, or \*\*\* with p < 0.001.

**Flow cytometric analysis:** Expression of Carcinoembryonic Antigen (CEA) in transfected MC38-CEA and PD1-resistant MC38-CEA-PD1-res cells was compared using a panel of CEA-specific or CEA-family specific (13H10, HD11, CC11, AH119 or control (anti-PD-L1) antibodies [3,4] according flow cytometric analysis performed in the main paper. FACS buffer was used as vehicle control. The data clearly show that mPD-1-res MC38-CEA cells do actually express lower amount or no CEA anymore, whereas the parental cells express. Since ATCC MC38 was not anti-mPD-1 sensitive [2], we assume that probably CEA was the neoantigen on MC38 tumors, which was targeted by anti-mPD-1 treatment in MC38-CEA parental cells. Loss of this antigen made the cells insensitive to anti-mPD-1 immunotherapy. If the insignificant effect of mCTLA-4 also results from reduced CEA expression or might result from the fact that tumor volume in the vehicle group of mPD-1 resistant cells was only a half of tumor volume of parental cells at study end on day 25, remains to be verified.

**Conclusion:** Long-term observation of e.g. immuno- or chemotherapeutic treatment-dependent tumor regression as well as relapse later on, opens possibilities to establish actually therapy resistant sublines or -models, e.g. potential therapy-resistant MDI-models. This provides new possibilities to test clinically relevant concepts against tumors getting therapy resistant.

1. Jantscheff, P.; Schlesinger, M.; Fritzsche, J.; Taylor, L.A.; Graeser, R.; Kirfel, G.; Furst, D.O.; Massing, U.; Bendas, G. Lysophosphatidylcholine pretreatment reduces VLA-4 and P-selectin-mediated B16.F10 melanoma cell adhesion *in vitro* and inhibits metastasis-like lung invasion *in vivo*. *Mol Cancer Ther* 2011, 10, 186-197.
2. Mosely, S.I.; Prime, J.E.; Sainson, R.C.; Koopmann, J.O.; Wang, D.Y.; Greenawalt, D.M.; Ahdesmaki, M.J.; Leyland, R.; Mullins, S.; Pacelli, L., et al. Rational selection of syngeneic preclinical tumor models for immunotherapeutic drug discovery. *Cancer Immunol Res* 2017, 5, 29-41.
3. Jantscheff, P.; Bottger, V.; Price, M.; Micheel, B.; Kaiser, G.; Zotter, S.; Kotsch, M.; Grossmann, H.; Karsten, U. Production and characterization of monoclonal antibodies against carcinoembryonic antigen (cea). *Biomed Biochim Acta* 1991, 50, 1261-1267.
4. Jantscheff, P.; Terracciano, L.; Lowy, A.; Glatz-Krieger, K.; Grunert, F.; Micheel, B.; Brummer, J.; Laffer, U.; Metzger, U.; Herrmann, R., et al. Expression of ceacam6 in resectable colorectal cancer: A factor of independent prognostic significance. *J Clin Oncol* 2003, 21, 3638-3646.

1.7 Fig. S3– sMDI - Establishment, efficacy and characterization of mPD-1-resistant MC38-CEA subline with anti-ICPI antibodies in syngeneic standard mouse tumor models

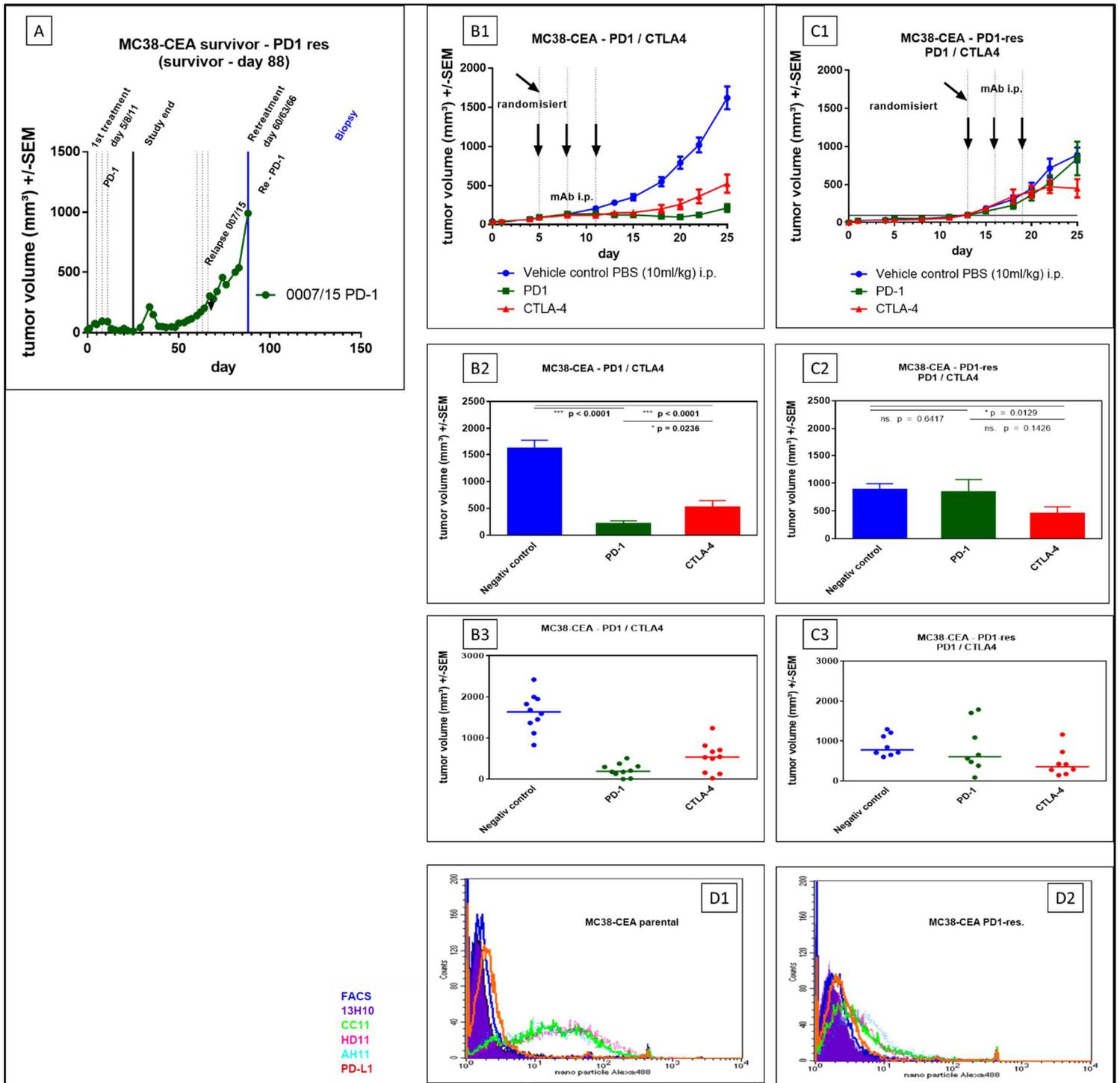


Fig. S2-sMDI - Establishment, efficacy and characterization of mPD-1-resistant MC38-CEA subline with anti ICPI antibodies in syngeneic standard mouse tumor models

Long-term observation of anti-mPD1 treatment caused regression of subcutaneous MC38-CEA colon carcinoma tumor growth in C57BL/6N female mouse 0007-15\*, showed striking relapse with day 50 (A). Whereas MC38-CEA parental cells were sensitive to mPD1 as well as CTLA-4 treatment (B), newly established cell line MC38-CEA-PD1-res was insensitive (C) to three-fold (dotted lines) i.p. treatment with anti-ICPI antibodies, compared with PBS as vehicle control [vehicle (10 ml/kg), anti-mPD-1 (10

---

mg/kg), and anti-mCTLA-4 (10 mg/kg)]. Parental MC38-CEA (D1) and resistant subline (D2) also displayed striking different surface CEA expression as determined by flow cytometry analysis.  
\*Mouse 007/15 was part of the comparative study of seven standard syngeneic *in vivo* mouse tumor models which is shown in Fig. S1