

Figure S1. Baseline pulmonary function tests according to treatment modality: forced expiratory volume in 1 second (A); diffusing capacity of the lung for carbon monoxide (B). * Lines refer to median and interquartile range. Abbreviations: IMRT, intensity-modulated radiation therapy; PBSPT, pencil beam scanning proton therapy.

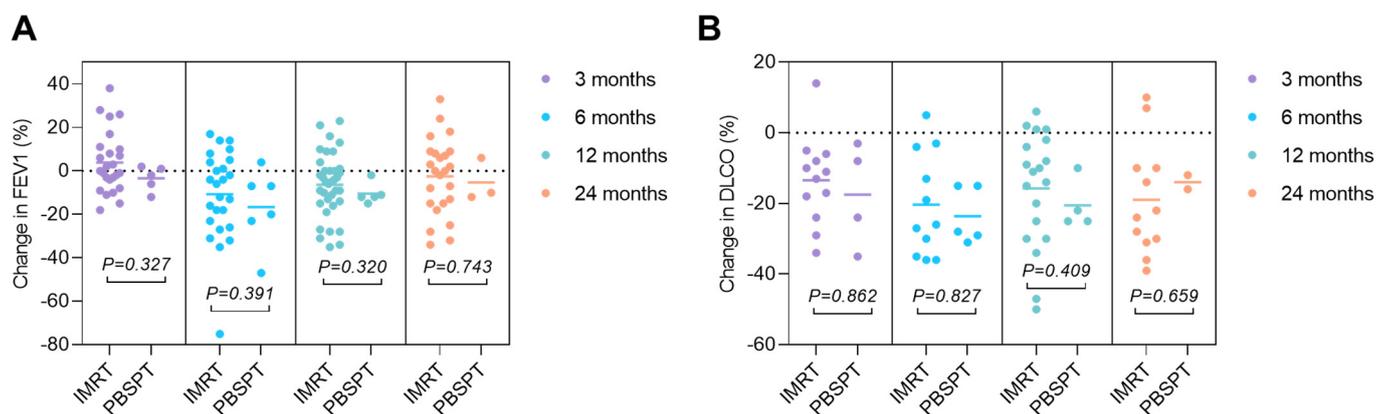


Figure S2. Changes in pulmonary function test after treatment: forced expiratory volume in 1 second (A); diffusing capacity of the lung for carbon monoxide (B). (Each dot represents results of pulmonary function tests from individual patients).

Table S1. Dose volume parameters according to the treatment modality.

	IMRT <i>n</i> = 194	PBSPT <i>n</i> = 25	<i>p</i> -value
Median total dose (range), GyE	66.0 (60.0-74.0)	66.0 (59.4-74.0)	0.580
Fractional dose, <i>n</i> (%)	2.0 GyE 2.2 GyE	9 (36.0) 16 (64.0)	0.398
Median biologically effective dose (range), Gy	80.5 (72.0-88.8)	80.5 (72.0-88.0)	0.650
	Median [IQR]	Median [IQR]	
Gross tumor volume, cc	98.8 [56.2;192.8]	118.4 [88.7;238.6]	0.154
CTV, cc	283.6 [184.8;475.9]	369.0 [263.5;512.6]	0.107
PTV, cc	532.6 [366.4;776.7]	611.4 [441.9;887.2]	0.150
CTV _{95%} , %	99.9 [99.4;100.0]	99.9 [99.3;100.0]	0.905
CTV _{100%} , %	96.2 [95.0;97.3]	96.7 [95.0;99.0]	0.314
PTV _{95%} , %	97.1 [94.1;99.0]	94.8 [93.6;97.1]	0.013
Total lung			
Mean dose, GyE	18.9 [15.0;21.3]	14.0 [11.8;16.0]	<0.001
V _{5GyE} , %	54.7 [47.1;63.3]	32.9 [27.2;40.5]	<0.001
V _{10GyE} , %	43.3 [35.9;49.8]	28.6 [23.7;36.1]	<0.001

V _{20GyE} , %	32.1 [25.6;37.3]	23.9 [20.8;27.4]	<0.001
Esophagus			
Maximum dose, GyE	71.2 [68.7;72.9]	69.7 [68.6;71.5]	0.042
V _{45GyE} , %	27.5 [17.7;37.3]	26.2 [10.2;38.8]	0.901
V _{55GyE} , %	21.1 [10.1;29.5]	20.7 [6.3;32.2]	0.774
V _{66GyE} , %	7.0 [0.7;16.1]	9.5 [0.1;18.1]	0.484
Heart			
Mean dose, GyE	12.8 [6.8;20.9]	7.7 [5.3;12.6]	0.006
V _{30GyE} , %	14.6 [5.2;27.3]	9.1 [6.4;17.2]	0.091
V _{45GyE} , %	7.7 [2.8;17.3]	5.8 [3.0;11.2]	0.273
V _{50GyE} , %	5.7 [2.0;13.2]	4.8 [2.4;9.3]	0.517
Spinal cord			
Maximum dose, GyE	42.6 [40.5;44.6]	31.0 [22.6;38.4]	<0.001

Abbreviations: IMRT, intensity-modulated radiation therapy; PBSPT, pencil beam scanning proton therapy; SMD, standardized mean difference; GyE, gray equivalent; CTV, clinical target volume; PTV, planning target volume; V_{XX%} = volume receiving XX% of the prescription dose; V_{XXGyE} = volume receiving more than XX GyE.

Table S2. Comparison of target coverage and normal tissue sparing with matched intensity-modulated radiation therapy (IMRT) and intensity-modulated proton therapy (PBSPT) plans.

	IMRT Median [IQR]	PBSPT Median [IQR]	<i>p</i> -value
Target			
CTV _{min} , GyE	55.8 [43.7;62.0]	67.0 [49.9;59.7]	0.711
CTV _{max} , GyE	73.3 [71.5;75.2]	72.7 [71.5;73.8]	0.442
V _{95%} , %	99.8 [99.3;100.0]	99.9 [99.3;100.0]	0.173
V _{100%} , %	97.4 [96.4;98.3]	96.7 [95.0;99.0]	0.275
PTV _{min} , GyE	35.0 [30.6;51.0]	39.8 [26.7;45.6]	0.916
PTV _{max} , GyE	73.2 [71.6;75.2]	72.7 [71.6;73.8]	0.252
V _{95%} , %	96.7 [93.9;98.5]	94.8 [93.5;97.1]	0.075
V _{100%} , %	85.3 [82.4;86.6]	85.8 [80.6;87.1]	0.653
Normal organ			
Both Lung			
D _{mean} , GyE	18.0 [15.1;23.1]	14.0 [11.8;16.0]	<0.001
V _{5GyE} , %	52.4 [48.7;69.6]	32.9 [27.2;40.5]	<0.001
V _{10GyE} , %	40.1 [34.8;49.8]	28.6 [23.7;36.1]	<0.001
V _{20GyE} , %	28.7 [26.9;38.5]	23.9 [20.8;27.4]	<0.001
Esophagus			
D _{max} , GyE	71.0 [65.2;73.1]	69.7 [68.6;71.5]	0.241
V _{45GyE} , %	22.4 [8.1;29.3]	26.1 [10.2;38.8]	0.017
V _{55GyE} , %	15.7 [4.1;25.9]	20.7 [6.3;32.2]	0.027
V _{66GyE} , %	4.3 [0;15.0]	9.5 [0.0;18.1]	0.001
Heart			
D _{mean} , GyE	14.1 [9.6;23.9]	7.7 [5.3;12.6]	<0.001
V _{30GyE} , %	16.0 [11.9;35.0]	9.1 [6.4;17.2]	<0.001
V _{45GyE} , %	8.3 [5.2;17.4]	5.8 [3.0;11.2]	0.000
V _{50GyE} , %	8.3 [3.7;13.7]	4.8 [2.4;9.3]	0.001
Spinal cord			
D _{max} , GyE	41.7 [39.3;44.0]	31.0 [22.6;38.4]	0.275

Abbreviations: IMRT, intensity-modulated radiation therapy; PBSPT, pencil beam scanning proton therapy; IQR, interquartile range; GyE, gray relative biologic effectiveness; V_{XX%} = volume receiving XX% of the prescription dose; D_{mean}, mean dose; D_{max}, maximum dose; V_{XXGyE} = volume receiving more than XX GyE.