

Article

# Activity and Mechanism of Antimicrobial Peptide-Mimetic Amphiphilic Polymethacrylate Derivatives

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Abstract: Cationic amphiphilic polymethacrylate derivatives (PMAs) have shown potential as a novel class of synthetic antimicrobials. A panel of PMAs with varied ratios of hydrophobic and cationic side chains were synthesized and tested for antimicrobial activity and mechanism of action. The PMAs are shown to be active against a panel of pathogenic bacteria, including a drug-resistant *Staphylococcus aureus*, compared to the natural antimicrobial peptide magainin which did not display any activity against the same strain. The selected PMAs with 47–63% of methyl groups in the side chains showed minimum inhibitory concentrations of  $\leq 2-31 \mu g/mL$ , but cause only minimal harm to human red blood cells. The PMAs also exhibit rapid bactericidal kinetics. Culturing *Escherichia coli* in the presence of the PMAs did not exhibit any potential to develop resistance against the PMAs. The antibacterial activities of PMAs against *E. coli* and *S. aureus* were slightly reduced in the presence of physiological salts. The activity of PMAs showed bactericidal effects against *E. coli* and *S. aureus* in both exponential and stationary growth phases. These results demonstrate that PMAs are a new antimicrobial platform with no observed development of resistance in bacteria. In addition, the PMAs

permeabilized the *E. coli* outer membrane at polymer concentrations lower than their MIC values, but they did not show any effect on the bacterial inner membrane. This indicates that mechanisms other than membrane permeabilization may be the primary factors determining their antimicrobial activity.

**Keywords:** antimicrobials; amphiphilic polymers; host-defense peptides; antibiotic resistance

#### 1. Introduction

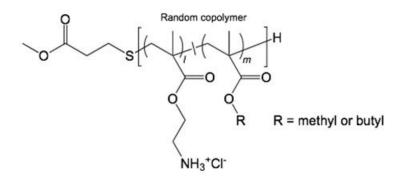
Host defense peptides have long attracted scientific and commercial interest because of their ability to kill bacteria without causing harm to human cells [1]. In general, these peptides display a broad spectrum of activity and rapid killing. Although the molecular details of their antimicrobial mechanism of action are a subject of ongoing debate, it is generally thought that these peptides bind to the bacterial surface and insert themselves into the hydrophobic region of the cell membrane. Subsequently, the bound/inserted peptides compromise membrane integrity, causing leakage of cytoplasmic contents and ultimately bacterial cell death. Alternatively, some peptides penetrate into the cytoplasm and interact with DNA, RNA, and/or cytoplasmic enzymes, resulting in the inhibition of macromolecule function [2]. These mechanisms of peptide antimicrobial activity seem to be especially advantageous because the development of resistance in bacteria is limited compared to conventional antibiotics [1]. Although there is significant diversity of antimicrobial peptides found in nature, the majority share common structural features, specifically in the formation of amphiphilic conformations. In addition, the mechanism does not rely on a specific receptor-ligand type binding, which is a likely contributing factor to the observed lack of resistance development. These properties support new peptidomimetic designs that incorporate the physiochemical parameters found in antimicrobial peptides such as their cationic charge, hydrophobicity, and amphiphilic structures which are key determinants in the mode of antimicrobial action [3-6]. This design approach has been successfully generalized to abiotic oligomers and macromolecules such as designed synthetic peptides [7-9] and peptidomimetics including β-peptides [10-12], arylamides [13], and peptoids [14,15]. These peptides and their mimics are a good basis for new antibiotics, however, issues associated with low stability in vivo, unknown systemic toxicity, and high manufacturing cost present challenges to their implementation as therapeutic agents or in biomedicine.

Synthetic polymers have been widely used as biocides in aqueous solutions [16-20] or tethered on surfaces [21-25]. These polymers have cationic, amphiphilic structures, which are designed to disrupt bacterial membranes with concomitant cell death [26]. However, these polymers often cause toxicity to human cells or their toxicity profiles are not fully characterized, which render these polymers suitable for disinfections or biocides, but not for therapeutic use. To address these issues, a newer approach has been to design synthetic polymers mimicking the functions and structural features of the less toxic host defense peptides. This approach was successfully applied to the development of new types of polymer antimicrobials over the last decade, including copolymers of  $\beta$ -lactams [27], polynorbornenes [28], polymethacrylates [29,30], and polystyrenes [31]. Further fine-tuning of the polymers' physiochemical

properties by modifying the cationic functionality, amphiphilic balance, and polymer sizes has yielded favorable improvements in antimicrobial activity and selective toxicity to bacteria relative to human red blood cells [30,32-35]. In addition to these examples of peptidomimetic polymers, it has been recently reported that several other polymer scaffolds such as oligo(oxazoline)s [36], PEGylated poly(vinyl pyridine)s [37], and polyoxetanes with quaternary ammonium and PEG-like side chains [38] display antibacterial activity and selectivity. In contrast to the high cost associated with manufacturing peptides, the preparation of these polymers is inexpensive and facile, enabling production on the industrial scale. In addition, the versatility of polymer chemistry enables a wide exploration of the structural and chemical parameters which can be modified to optimize molecular properties for potent, selective activity.

We have previously reported the antibacterial and hemolytic activities in a library of amphiphilic polymethacrylate derivatives (PMAs) with small molecular size (2–10 kDa), multiple cationic charges, and hydrophobic side chain groups (Figure 1) [30,39,40]. By tuning the composition of hydrophobic and cationic groups, the activity of PMAs was optimized for selective toxicity to bacteria over human cells: they displayed potent antibacterial activity against *Escherichia coli* in the low  $\mu$ M range and moderate to low hemolytic activity. Their activities are comparable to the natural antibacterial peptide magainin, suggesting that the PMAs have potential as new antibacterial platforms for further development. The previous structure-activity relationship studies, however, were limited to measurements of minimum inhibitory concentrations (MICs) against *E. coli* and hemolytic activity. More comprehensive studies of the PMAs biological activity are requisite for assessment of their potential usefulness as antimicrobials.

Figure 1. Chemical structure of amphiphilic polymethacrylate derivatives (PMAs).



In this study, we investigated the bactericidal action of amphiphilic PMAs and assessed the potential usefulness of PMAs as a broad-spectrum antimicrobial through a more detailed characterization of the mechanism of action. Based on our previous studies with an extensive library of PMAs [30,39,40], we designed representative compounds with molecular size (2.2–2.8 kDa) similar to antimicrobial peptides which bear various ratios of primary amine groups and short alkyl groups in the side chains to investigate the optimal composition for potent activity. Previous work has shown that the ratio of hydrophobic to cationic groups is a critical factor in the balance between non-specific membrane disruption and selective antibacterial activity [39]. Herein, we report the *in vitro* activity of these PMAs against a panel of clinically relevant bacterial strains, their bactericidal kinetics, the propensity of bacteria to develop PMA-resistance, and their antibacterial activity in the presence of physiological salts. In addition, we

also investigated the potential antimicrobial mechanism of these PMAs by examining the permeabilization of outer and inner membranes of *E. coli* as well as model lipid bilayers.

## 2. Experimental Section

## 2.1. Materials

2,2'-azobisisobutyronitrile (AIBN), Triton X-100 and the bee venom toxin melittin (purity > 85%) were purchased from Sigma-Aldrich and used without further purification. Methylmethacrylate (MMA), butylmethacrylate (BMA), methyl 3-mercaptopropionate (MMP), ethanolamine, di-*tert*-butyldicarbonate, methacryloyl chloride, and MgCl<sub>2</sub> were purchased from Acros and used without further purification. Reagent grade solvents, NaCl, and CaCl<sub>2</sub> were purchased from Fisher and used without further purification. The antimicrobial peptide magainin -2 (purity > 90%) was purchased from AnaSpec Inc. Antibiotics norfloxacin (NOR; purity ~99.7%) and ciprofloxacin (CIP; purity ~98%) were obtained from MP Biomedicals, and LKT Laboratories, respectively. Mueller-Hinton broth (MHB), brucella broth and agar were purchased from Difco Laboratories. Human red blood cells (Red Blood Cells Leukocytes Reduced Adenine Saline Added) were obtained from the American Red Cross Blood Services Southeastern Michigan Region.

## 2.2. Polymer Synthesis

Free radical polymerization of *N*-(*tert*-butoxycarbonyl)aminoethyl methacrylate (Boc-AEMA) with an alkyl methacrylate was carried out as previously described [40] with some modifications. Briefly, Boc-AEMA and alkyl methacrylates (various ratios, 0.5 mmol total), MMP (16.7  $\mu$ L, 0.15 mmol) and AIBN (0.82 mg, 0.005 mmol) dissolved in acetonitrile (0.5 mL) in a sealed borosilicate glass test tube were deoxygenated with N<sub>2</sub> bubbling for 2 min and then stirred at 60–70 °C in a mineral oil bath for 20 h. Solvent was evaporated and the crude polymer was purified by size exclusion chromatography (Sephadex LH-20 gel, methanol) monitored by thin layer chromatography (ethyl acetate:hexane 1:1). Fractions containing unreacted monomers and MMP were discarded. The remaining fractions were concentrated, dissolved in 1.25 M HCl in methanol (5–10 mL), and stirred at room temperature for 2 h to cleave the protecting groups. Excess acid was removed by N<sub>2</sub> flushing and the polymers were twice precipitated from methanol into diethylether. The precipitates were collected by centrifugation and lyophilized to afford the random copolymers bearing primary amine groups in the form of ammonium chloride salts. The polymers were characterized by <sup>1</sup>H NMR to determine the mole percentage of alkyl groups (MP<sub>alkyl</sub>) and degree of polymerization (DP) as previously described in detail [30,39,40].

# 2.3. Antibacterial Testing

Antibacterial activity of polymers was determined in a standard microbroth dilution assay according to the Clinical and Laboratory Standards Institute guidelines (CLSI [41]) with suggested modifications by R.E.W. Hancock Laboratory (University of British Columbia, Vancouver, British Columbia, Canada [42]) and Giacometti *et al.* [43] for testing cationic agents. Each polymer was dissolved in 0.01% acetic acid and acetic acid was used as a solvent control. The bacterial strains *Escherichia coli* ATCC<sup>®</sup> 25922<sup>™</sup>, *Staphylococcus aureus* ATCC<sup>®</sup> 25923<sup>™</sup>, *Pseudomonas aeruginosa* ATCC<sup>®</sup> 27853<sup>™</sup>,

Salmonella enterica subsp. enterica serovar Typhimurium ATCC<sup>®</sup> 14028<sup>™</sup>, and Bacillus subtilis ATCC<sup>®</sup> 6633<sup>™</sup> were aerobically cultured in MHB. MHB was prepared according to manufacturer's instructions and contained approximately 130 mM Na<sup>+</sup>, 110 mM Cl<sup>-</sup>, 0.4 mM Ca<sup>2+</sup> and 0.15 mM Mg<sup>2+</sup> (pH 7.3 [44]). Because of slow growth of *Enterococcus faecalis* ATCC<sup>®</sup> 29212<sup>™</sup> in MHB, tryptic soy broth was used for cultivating of this strain. An overnight culture of bacterial strains was regrown to exponential phase ( $OD_{600}$  of 0.5–0.6) and diluted to give the final concentration of bacteria on the microplate approximately  $5 \times 10^5$  CFU/mL. After addition of the test compounds at a 1/10 volume into a 96-well sterile assay plate (Corning #3359), the assay plate was incubated at 37 °C for 18 h. Bacterial growth was detected at OD<sub>600</sub> using Varioskan Flash microplate reader (Thermo Fisher). Where indicated, fixed concentrations of NaCl, MgCl<sub>2</sub>, and CaCl<sub>2</sub> were added into MHB. Heat-inactivated serum was used to examine the effect of serum on antibacterial activity of polymers in the absence potential problems with complement-mediated cell lysis. Anaerobic strain Propionibacterium acnes  $\text{ATCC}^{\textcircled{8}}$  6919<sup>TM</sup> was grown in brucella broth supplemented with hemin (5 µg/mL) and vitamin K<sub>1</sub> (10 µg/mL) in the anaerobic chamber with an atmosphere of 10% CO<sub>2</sub>, 10% H<sub>2</sub>, and 80% N<sub>2</sub>. Activity of polymers against P. acnes was determined by the broth dilution method according to CLSI M11-A6 guidelines [45]. The inoculum was 10<sup>6</sup> CFU/mL. The inoculated microplates (Falcon #3077) were incubated for 72 h at 37 °C in the anaerobic chamber. Each MIC experiment was independently repeated at least three times in triplicate on different days. The minimum inhibitory concentration (MIC) was defined as the lowest polymer concentration to completely inhibit bacterial growth. Because biological activity of compounds often depends on the assay conditions and the selected model organisms, it would be impractical to compare biological activity for different compounds reported in the literature. Therefore, we selected the natural host-defense peptide magainin-2 and the bee venom toxin melittin as reference standards in all biological assays.

#### 2.4. Bactericidal Kinetics

Time-kill studies were performed for the Gram-negative *E. coli* ATCC 25922 and the Gram-positive *S. aureus* ATCC 25923 to measure the time dependence of bactericidal activity by PMAs. Cells in exponential or stationary phase were diluted in MHB to give the final concentration of bacteria approximately  $5 \times 10^5$  CFU/mL and treated with  $2 \times$  MIC of PMAs. Aliquots from the assay were removed at certain time intervals and immediately diluted with 0.9% saline to remove the effects of the polymer. Viability was enumerated by serial dilution plating. After 24-h incubation, no regrowth of *E. coli* or *S. aureus* cultures containing PMAs was observed. Experiments were carried out two times and produced similar results.

#### 2.5. Resistance Study

The first MIC determination of polymers and two antibiotics CIP and NOR against *E. coli* ATCC 25922 was performed as described above. Bacteria samples from duplicate wells at the concentration of one-half MIC were removed and used to prepare the bacterial inoculation ( $5 \times 10^5$  CFU/mL) for the next experiment. This bacteria solution was then replaced on new 96-well plates with fresh dilutions of compounds. After incubation for 18 h at 37 °C, the change of MIC values was determined. This experiment was repeated each day for 21 successive passages.

## 2.6. Hemolysis

Human red blood cells (RBCs; 1 mL) were suspended in 9 mL of TBS buffer (10 mM Tris buffer, 150 mM NaCl, pH 7.3) and rinsed three times. The cell suspension (1 mL) was diluted by 29 mL of TBS to give 3.3% RBC (v/v). Cells were counted using a hematocytometer and  $\sim 2 \times 10^7$  cells were seeded per well. Polymer solutions (10 µL) and the RBCs (90 µL) were mixed on a 96-well assay plate (Corning #3359), and incubated with orbital shaking at 37 °C. After 1 h, the plate was centrifuged at 780 × g for 5 min, and an aliquot of supernatant (8 µL) from each well was diluted within TBS buffer (92 µL), and the absorbance of the released hemoglobin at 415 nm was measured. Hemolysis was determined relative to the positive lysis control TRITON-X (0.1 % v/v in water) and negative control buffer. HC<sub>50</sub> was defined as the polymer concentration causing 50% hemolysis, which was estimated with 95% confidence intervals by a curve fitting with the following equation:  $H = 100/(1 + (HC_{50}/[polymer])^n)$  where H is the percentage of hemolysis measured, and [*polymer*] is the total concentration of polymer. The fitting parameters were n and  $HC_{50}$ . Final HC<sub>50</sub> is reported as the average value from at least three experiments independently performed in triplicate on different days.

## 2.7. Inner Membrane Permeability Assay

A colony of *Escherichia coli* D31 was inoculated in a solution of Mueller-Hinton Broth (Difco) and Isopropyl  $\beta$ -D-1-thiogalactopyranoside (IPTG) (2 mM). The culture was incubated at 37° for 18 h. The overnight culture was then diluted 1:100 in fresh media and incubated at 37° until the OD<sub>600</sub> was 0.200–0.500. Using a 96-well polystyrene plate, PMAs and CTAB (cetyl trimethyl ammonium bromide) were serially diluted from stocks. Next Z-buffer (56.25 µL) was added to each well followed the *E. coli* culture (18.75 µL). Just prior to reading, ortho-Nitrophenyl- $\beta$ -galactoside (ONPG) dissolved in Z-buffer (15 µL, 4 mg/mL) was added to the wells. The absorbance at 420 nm was then monitored using a ThermoSkan plate reader for 90 min with shaking between individual readings to prevent cell settling. All assays were performed at least in triplicate.

## 2.8. Outer Membrane Permeability Assay

A colony of *Escherichia coli* D31 was inoculated in a solution of Mueller-Hinton Broth (Difco) and ampicillin (100 µg/mL). The culture was incubated at 37° for 18 h and then diluted 1:100 in fresh media and incubated at 37° until the OD<sub>600</sub> was  $\approx$ 0.200. The culture was then centrifuged at 2,500 rpm for 15 min, and the pellet resuspended in an equal volume of PBS buffer (10 mM phosphate, 200 mM NaCl, pH 7.0). The resuspended bacterial cells were added to the wells of a 96-well plate containing serially diluted PMAs or polymyxin B as a control. Nitrocefin was added to the solution to a concentration of 50 µg/mL. The plate was then monitored on a plate reader in the same format as the IM permeability procedure, except the sample absorbance was measured at 486 nm. All assays were performed at least in triplicate.

#### 3. Results and Discussion

#### 3.1. Activity Spectrum

Amphiphilic polymethacrylate derivatives (PMAs) containing cationic and hydrophobic alkyl side chains (methyl or butyl; Figure 1) in random sequence (Table 1) were prepared by free radical polymerization in the presence of a chain transfer agent, according to our previous report [40]. The polymers have low molecular weights of 2.2–2.8 kDa, which are comparable to those of the natural peptides magainin-2 and melittin. The hydrophobicity of polymers was varied by altering mole percentage of alkyl groups relative to the total number of monomeric units (MP<sub>alkyl</sub>) and by the length of the alkyl chains (methyl or butyl groups). The polydispersities of these polymers were unable to be determined due to the low solubility to a standard GPC solvent (THF). In our previous study, the boc-protected precursors of selected polymers prepared by the same polymerization method displayed a polydispersity index (PDI) of <1.5. We speculate that the polymers studied in this report also have a similar PDI. The PMA polymers are denoted as PM<sub>x</sub> or PB<sub>x</sub> (PM: copolymers with methyl groups, PB: copolymer with butyl groups, subscript x: mole percentage of alkyl side chains relative to the total number of monomeric units in a polymer chain, MP<sub>alkyl</sub>); for example, the PMA containing 47% methyl groups is referred to as PM<sub>47</sub>.

 $MP_{alkyl}^{d}$  (%)  $\mathbf{R}^{c}$ Polymer<sup>*b*</sup> DP <sup>e</sup> MW <sup>f</sup>(kDa)  $P_0$ 14 2.4 \_ 0  $PM_{12}$ methyl 12 14 2.3  $PM_{28}$ methyl 28 15 2.3  $PM_{47}$ 47 20 2.8 methyl 2.2 PM<sub>63</sub> methyl 63 17 27 2.7 **PB**<sub>27</sub> butyl 16 23 <sup>g</sup> Magainin-2 2.5 \_ 26 <sup>g</sup> Melittin 2.8

**Table 1.** Characterization of PMAs <sup>a</sup> and control peptides.

<sup>*a*</sup> previously reported in Reference [46]; <sup>*b*</sup> The mole % of alkyl methacrylate is denoted in subscript x of PM<sub>x</sub> or PB<sub>x</sub>; <sup>*c*</sup> The type of alkyl side chain; <sup>*d*</sup> Mole percentage of alkyl side chains, determined by <sup>1</sup>H NMR analysis; <sup>*e*</sup> Degree of polymerization, determined by <sup>1</sup>H NMR analysis; <sup>*f*</sup> Molecular weight of polymers, calculated from the molecular weight of monomers and chain transfer agent, MP<sub>alkyl</sub>, and DP; <sup>*g*</sup> The number of amino acid residues of magainin 2 [36] and melittin [37].

The PMAs were tested against a panel of clinically relevant bacterial pathogens (Table 2). We determined the minimum inhibitory concentration (MIC) by a turbidity-based broth microdilution assay as a first assessment of antibacterial activity of polymers. In general, the activity of PMAs critically depends on the hydrophobicity of the PMAs and the species of bacteria. In general, the MIC values of PM polymers (copolymers with methyl groups) for Gram-negative bacteria tested here decreased as the MP<sub>alkyl</sub> was increased (Figure 2). The homopolymer P<sub>0</sub> (no hydrophobic groups) displayed a high MIC of  $\geq$ 500 µg/mL, and the MIC of PM copolymers started to decrease by an order of magnitude around MP = 30% and reached low MIC (16–31 µg/mL) at the higher MP<sub>alkyl</sub> contents. This indicates that the polymer hydrophobicity enhances the antimicrobial activity, which is in agreement with the previous reports on PMAs [39,40]. As the MP<sub>alkyl</sub> is increased, the number of cationic amine groups decrease, which should reduce the electrostatic binding of polymers to negatively charged

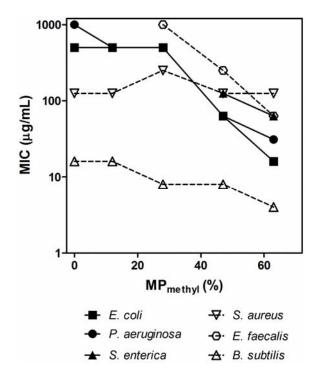
bacterial surfaces, potentially resulting in lower activity. However, the activity was enhanced with increasing MP<sub>alkyl</sub>, which indicates that the effect of hydrophobicity appears to be more influential factor in the polymers' antimicrobial activity. Although PMAs with MPalkyl higher than 63% were not examined, the activity could potentially be increased; however, the PMAs with high MP<sub>alkyl</sub> often precipitate in MH broth due to non-specific binding to the components in the broth [30]. The PMAs also likely form solution aggregates due to association of alkyl groups [39] which may result in no activity enhancement or even a decrease in activity. The MIC values at high MPs are lower or compatible to those of magainin-2 and melittin, indicating that the antibacterial activities of PMAs are comparable to or even higher than those of the natural peptides. The MIC for Gram-positive Enterococcus faecalis and Bacillus subtilis also decreased with increasing the MP in parallel with the results for Gram-negative bacteria. However, increasing MP<sub>methyl</sub> from 10% to 63% had no or little effect on the MIC against S. aureus, suggesting that the activity of PMAs against S. aureus is not strongly sensitive to changes in polymer hydrophobicity or the number of cationic groups in contrast to other bacteria. Notably, all polymers tested were active against the methicillin-resistant S. aureus (MRSA) with the same MICs as against the susceptible strain, although the control peptide magainin-2 was not active against MRSA (MIC > 500  $\mu$ g/mL). The P<sub>0</sub> and other PMAs with low MP<sub>methyl</sub> tend to be more selective to S. aureus and B. subtilis over other bacteria tested. Lienkamp et al. previously reported that cationic polynorbornenes showed significantly higher activity against S. aureus compared to E. coli [47,48]. The authors demonstrated that the double membrane structure of E. coil cell wall likely limits the access of the polymers to the cytoplasmic membrane [48]. Epand et al. reported that an acyl-Lys oligomer is bacteriostatic, and it does not compromise the cell membrane of S. aureus [49]. The authors speculate that the cell wall binding of acyl-Lys oligomer limits the diffusion of nutrients from extracellular environment and causes starvation. The same molecular mechanisms may explain the activity of PMAs in part; however, the basis of this effect by PMAs remains unclear. In addition, the selected PMAs were also highly active (MIC  $\leq 2 \mu g/mL$ ) against anaerobic bacteria *P. acnes* which is a major etiological agent of acne vulgaris; increasing resistance of this bacteria to standard antibiotic therapies reduces the efficacy of therapeutic agents and often leads to failure of dermatological treatment [50,51].

| Bacteria                         | MIC or HC <sub>50</sub> <sup><i>a</i></sup> (µg/mL) |                         |                  |                  |                  |                         |                  |                  |
|----------------------------------|---|-------------------------|------------------|------------------|------------------|-------------------------|------------------|------------------|
|                                  | P <sub>0</sub>                                      | <b>PM</b> <sub>12</sub> | PM <sub>28</sub> | PM <sub>47</sub> | PM <sub>63</sub> | <b>PB</b> <sub>27</sub> | Mag <sup>b</sup> | Mel <sup>c</sup> |
| Gram negative                    |   |                         |                  |                  |                  |                         |                  |                  |
| E. coli                          | 500   | 500                     | 500              | 63               | 16               | 16                      | 125              | 13               |
| P. aeruginosa                    | 1,000   | 500                     | 500              | 63               | 31               | 31                      | 500              | 100              |
| S. enterica                      | >1,000  | >1,000                  | >500             | 125              | 63               | 31                      | 500              | 50               |
| Gram positive                    |   |                         |                  |                  |                  |                         |                  |                  |
| S. aureus                        | 125   | 125                     | 250              | 125              | 125              | 16                      | >500             | 6                |
| S. aureus (MRSA <sup>e</sup> )   | 125   | 125                     | 250              | 125              | 125              | 16                      | >500             | 3                |
| E. faecalis                      | >1,000  | >1,000                  | 1,000            | 250              | 63               | 16                      | >500             | 6                |
| B. subtilis                      | 16  | 16                      | 8                | 8                | 4                | 4                       | 63               | 2                |
| P. acnes                         | n.d.  | n.d.                    | n.d.             | ≤2               | 2                | ≤0.5                    | n.d.             | n.d.             |
| Human red blood                  | >2,000  | >2,000                  | >2,000           | >2,000           | 114              | 13                      | >250             | 2                |
| cells ( $HC_{50}$ ) <sup>d</sup> | (12%)   | (35%)                   | (3%)             | (7%)             |                  |                         | (9%)             |                  |

**Table 2.** Activity spectra of PMAs and control peptides.

<sup>*a*</sup> HC<sub>50</sub>: Polymer concentration for 50% hemolysis, previously reported in reference [46]; <sup>*b*</sup> Magainin-2; <sup>*c*</sup> Melittin; <sup>*d*</sup> The hemolysis percentage at the highest polymer concentration (2,000  $\mu$ g/mL) is given in the parenthesis; <sup>*e*</sup> Methicillin-resistant *S. aureus*.

**Figure 2.** Activity spectrum of PM polymer series against G-negative (*open markers and dashed lines*) and G-positive (*closed markers and solid lines*) bacteria. The minimum inhibitory concentration (MIC) values  $> 1,000 \mu \text{g/mL}$  are not plotted.



As a first assessment of polymer toxicity, we evaluated the hemolytic activity of PMAs against human red blood cells (RBCs). The hemolytic activity was determined by monitoring release of hemoglobin into solution from RBCs, which reflects membrane permeabilization and cell lysis induced by the polymers [46]. The homopolymer and PM polymers did not exhibit adverse hemolytic activities ( $HC_{50} > 2,000 \mu g/mL$ ), except for PM<sub>63</sub> which showed an  $HC_{50}$  value of 114  $\mu g/mL$  (Table 2) [46]. To quantify the selectivity of polymers against bacteria over RBCs, selectivity index, defined as  $HC_{50}/MIC$ , for Gram-negative *E. coli* and Gram-positive *S. aureus* as representatives, was summarized in Table 3. Examining the selectivity indexes, the polymers PM<sub>47</sub> and PM<sub>63</sub> are relatively selective against bacteria over RBCs (Table 3).

| Polymer          | Selectivity index (HC <sub>50</sub> /MIC) |           |  |  |
|------------------|---|-----------|--|--|
|                  | E. coli                                   | S. aureus |  |  |
| P <sub>0</sub>   | >4  | >16       |  |  |
| $PM_{12}$        | >4  | >16       |  |  |
| $PM_{28}$        | >4  | >8        |  |  |
| $PM_{47}$        | >32                                       | >16       |  |  |
| $PM_{63}$        | 7.1                                       | 0.91      |  |  |
| PB <sub>27</sub> | 0.81                                      | 0.81      |  |  |
| Magainin-2       | >2  | -         |  |  |
| Melittin         | 0.15                                      | 0.33      |  |  |

Table 3. Selectivity of PMAs and control peptides.

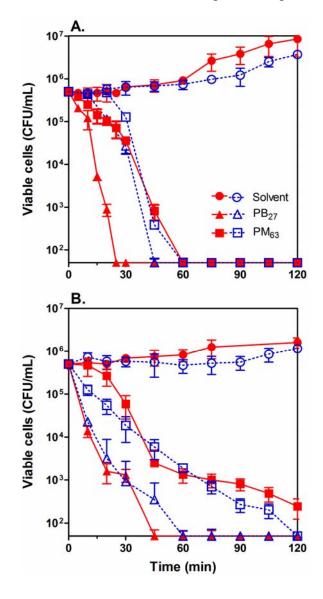
The PMA with longer alkyl chains (butyl), PB<sub>27</sub>, exhibited MIC at low  $\mu$ g/mL against all tested bacteria. PB<sub>27</sub> displayed an order of magnitude lower MIC value (MIC = 16  $\mu$ g/mL against *E. coli*) than PM<sub>28</sub> (MIC = 500  $\mu$ g/mL) while they have the similar mole percentages of hydrophobic groups (27–28 mol %) in a polymer chain and polymer length (DP = 15–16). This result indicates that polymers with more hydrophobic side chains are more active. Considering the activity enhancement with increasing MP<sub>alkyl</sub> for the PM polymers, these results support the notion that the overall hydrophobicity of PMAs increases the activity. PB<sub>27</sub> also caused significant hemolysis with an HC<sub>50</sub> value of 13  $\mu$ g/mL. The hemolytic activity of PB<sub>27</sub> likely reflects the hydrophobicity of butyl side chains; increasing the hydrophobicity beyond a threshold results in the loss of selectivity because the hydrophobic nature of polymers causes non-specific binding of polymers to RBCs and disruption of the cell membrane. This result is in agreement with our previous studies [39,40].

It has been previously reported that synthetic cationic polymers including random nylon polynorbones [53], poly(2-(dimethylaminoethyl)methacrylate copolymers [27,52], cationic derivatives [54], and oligo(oxazoline)s [36] are broad spectrum antimicrobials. In addition, a recent report from Wynne and coworkers indicated that random copolyoxetanes with PEG-like groups and C12-modified quaternary ammonium groups in the side chains showed MICs of low µg/mL against selected Gram-positive and negative bacteria [38]. These studies also support the notion that the polymer design using amphiphilic polycationic structures would have potential for new development of antimicrobials. It should be noted that the MIC and HC<sub>50</sub> values strongly depend on the assay conditions including bacterial strains, broth, assay plates, incubation time, etc. [55]. Therefore, the quantitative comparison of MIC and HC<sub>50</sub> values may be ambiguous. Accordingly, we limited the discussion in the qualitative comparison of activity profiles between other reports and the results in this study.

## 3.2. Bactericidal Kinetics

We next determined bactericidal kinetics for selected PMAs,  $PM_{63}$  and  $PB_{27}$ , against Gram-negative *E. coli* and Gram-positive *S. aureus* (Figure 3). As shown in Table 3,  $PM_{63}$  is a relatively selective antimicrobial agent against *E. coli* over RBCs, and  $PB_{27}$  exhibits non-selective biocidal characteristics which make these two polymers interesting models to examine with respect to their mechanism and characteristics. In order to examine the effect of bacterial growth phase on the activity of the polymers, the bacteria were subcultured from either the exponential or stationary growth phases. At a concentration of two times MIC, the polymers reduced the number of viable cells by 4-log or 99.99% within 60 min for *E. coli* and 60–120 min for *S. aureus* in both cultures. This implies that the killing kinetics of the PMAs is independent of the growth phase of the bacteria (Figure 3). It should be noted that  $PB_{27}$  showed somewhat faster killing of *E. coli* in the exponential phase relative to the stationary phase. It is, however, not clear at this point why  $PB_{27}$  displayed the different behavior. These results indicate that the PMAs are bactericidal against bacteria in both exponential and stationary phases, which suggests that the mechanism may not rely on any growth phase-specific metabolic activity or cellular physiology of the bacterial cells.

**Figure 3.** Kinetics of bactericidal activity of PMAs at  $2 \times \text{MIC}$  against *E. coli* (A) and *S. aureus* (B) subcultured from exponential (*red closed markers and solid lines*) and stationary (*blue open markers and dashed lines*) phase. The MICs of PB<sub>27</sub> are 16 µg/mL for both strains, and those of PM<sub>63</sub> are 16 µg/mL for *E. coli* and 250 µg/mL for *S. aureus*. Each point represents the mean of values from two separated experiments (±SD).

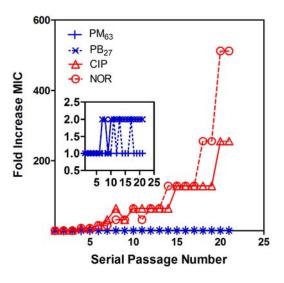


#### 3.3. PMA Resistance

One of the hallmarks of natural antimicrobial peptides is low level of resistance development in bacteria. In order to examine the likelihood of development of PMA-resistant bacteria, *E. coli* were serially subcultured in MHB containing sub-lethal concentrations (one-half MIC) of selected polymers of the selective  $PM_{63}$  and biocidal  $PB_{27}$  for up to 21 passages. The MIC values of  $PM_{63}$  and  $PB_{27}$  did not increase by more than a single two-fold dilution throughout the experiment (Figure 4). In contrast, the MIC values of the antibiotic fluoroquinolones norfloxacin (NOR) and ciprofloxacin (CIP), which inhibit DNA synthesis [56,57], started to increase after as few as four passages and finally increased 512-fold and 256-fold, respectively. After the 21st passage, the obtained NOR- and CIP-resistant strains of *E. coli* were subcultured in antibiotic-free medium. After 15 antibiotic-free passages, the

acquired resistance was persistent, indicating that the antibiotic resistance was not simply a physiological adaption. These CIP- and NOR-resistant strains were both still fully susceptible to PMAs, with the same MIC values as the CIP- and NOR-susceptible strains. Also, the *E. coli* incubated with  $PM_{63}$  and  $PB_{27}$  were still susceptible to CIP and NOR, with no increase in their MIC values after 21 passages. These results indicate that no cross-resistance developed between these fluoroquinolone antibiotics and the selected antibacterial PMAs tested here. It has been also reported that membrane-active cationic oligomers displayed no development of resistance in *S. aureus* [58,59]. These results highlight the potential of amphiphilic PMAs as an attractive class of new antimicrobials.

**Figure 4.** Susceptibility of PMAs and antibiotics to the development of resistance in *E. coli*. The initial MICs of the polymers  $PM_{63}$  and  $PB_{27}$ , and two conventional antibiotics ciprofloxacin (CIP) and norfloxacin (NOR) are 16, 16, 0.006, and 0.063 µg/mL, respectively. The increase in the MIC of after serial passages for  $PM_{63}$  (*solid line*) and  $PB_{27}$  (*dashes line*) is shown in the expansion in the inserted figure.

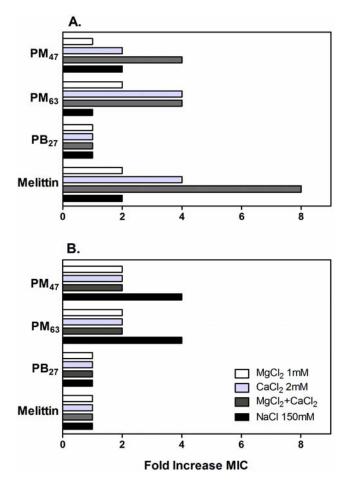


## 3.4. Salt Effects

It has been reported that the activity of antimicrobial peptides was significantly reduced in high ionic strength solutions [60-62]. It has been postulated that the increased salt concentration screens the electrostatic attraction between the cationic peptides and anionic binding sites on the bacterial cell envelopes where potential polymer binding sites such as anionic phospholipids, lipopolysaccharides (LPS) in Gram-negative bacteria, and teichoic acids in Gram-positive bacteria are occupied by the salt cations [63,64]. This masking effect reduces the affinity of antimicrobial peptides for bacterial surface, resulting in reduction of antibacterial activity. Similarly, the physiological salts could impair the electrostatic binding of the cationic PMAs to bacteria. In addition, since the salt ions also curtain the cationic charges of polymer side chains, the polymers may have more compact conformations or undergo aggregation under these conditions, which could reduce the effective concentration of active polymer chains and result in lower activity. The antibacterial activity of PB<sub>27</sub> was shown to be salt-resistant, indicating that the strong hydrophobicity of PB<sub>27</sub> likely outweighs the contribution of electrostatic affinity to binding with anionic targets on bacteria cell membranes.

In order to investigate these possibilities, the effects of additional physiological salts in MH broth on the antibacterial activity of the selected PMAs were determined. The activity of these PMAs against *E. coli* and *S. aureus* was inhibited by the addition of physiological salts as evidenced by up to an eight-fold increase in the MIC values (Figure 5). The MICs of PM<sub>47</sub> and PM<sub>63</sub> against *E. coli* increased two- to four-fold by addition of CaCl<sub>2</sub> and MgCl<sub>2</sub> to the growth medium. These salt conditions had no effect on the activity of PB<sub>27</sub>, while the activity of melittin decreased four- to eight-fold. In contrast to CaCl<sub>2</sub> and MgCl<sub>2</sub>, the addition of NaCl did not significantly affect the activity of PMAs against *E. coli*, even at a substantially higher NaCl concentration (150 mM) than those of CaCl<sub>2</sub> (2 mM) or MgCl<sub>2</sub> (1 mM). The MIC values of PMAs and melittin against *S. aureus* in the presence of CaCl<sub>2</sub> and MgCl<sub>2</sub> were equal to or two-fold greater than those in MH broth without salt additives. In addition, the MICs of PM<sub>47</sub> and PM<sub>63</sub> for *S. aureus* in the presence of NaCl increased four-fold.

**Figure 5.** Influence of physiological salts on the antibacterial activity of PMAs and melittin against *E. coli* (**A**) and *S. aureus* (**B**). Initial MIC values against *E. coli* or *S. aureus*—PM<sub>47</sub>: 63 or 125  $\mu$ g/mL, PM<sub>63</sub>: 16 or 125  $\mu$ g/mL, PB<sub>27</sub>: 16 or 16  $\mu$ g/mL, melittin: 13 or 6  $\mu$ g/mL.



In addition to potential effects on PMA binding to bacterial surfaces, the divalent cations  $Mg^{2+}$  and  $Ca^{2+}$  can neutralize and bridge the phosphate groups of LPS molecules on the outer membrane of Gram-negative strains, which is essential to maintaining the membrane integrity [65,66]. Hence, the inhibitory effect of  $Mg^{2+}$  and  $Ca^{2+}$  on the activity of PMAs against *E. coli* may indicate that the

antibacterial mechanism involves polymer binding to LPS and subsequent disruption of *E. coli* outer membrane.

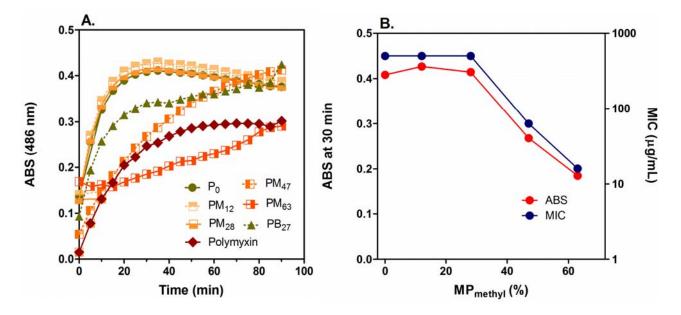
#### 3.5. Permeabilization of E. coli Membranes

In order to probe the antimicrobial mechanism of PMAs, we determined the effect of PMAs on the permeability of the outer (OM) and inner membranes (IM) of *E. coli*. The assays measure the ability of a chromogenic substrate to cross either the OM or the OM and IM to access a periplasmic or cytoplasmic enzyme, respectively. The OM permeability assay uses the periplasmic enzyme  $\beta$ -lactamase and the chromogenic substrate nitrocefin while the IM assay employs the cytoplasmic enzyme  $\beta$ -galactosidase and the chromogenic substrate ortho-nitrophenyl- $\beta$ -galactoside (ONPG). These substrates show very low permeability across the membrane under control conditions, but the permeability is enhanced if a polymer, peptide, or other membrane disrupting agent is present [67]. As the substrates cross the membrane(s), the appropriate enzymes produce the chromogenic product which can be monitored by changes in the absorbance in the samples [68,69].

The PMAs did not cause any permeabilization of the IM up to their MIC concentrations (Figure S1 in Supplementary Data) although they disrupt the OM (Figure 6(A)) (discussed below) and model membranes (Figure S2 in Supplementary Data) at polymer concentrations lower than MICs. This indicates that the IM permeabilization may not be the primary factor for bacterial growth inhibition or killing by the PMAs, and other mechanisms may exert the antimicrobial effect against *E. coli*. On the other hand, the PMAs induced significant permeabilization of the OM at low  $\mu$ g/mL concentrations (Figure 6(A)) compared to the positive control of polymyxin B. Cationic antimicrobial peptides are known to permeabilize the *E. coli* OM, which promotes uptake of the peptides into the peptidoglycan layer (self-promoted uptake) [70]. The OM permeabilization by PMAs could also enhance their access to the peptidoglycan layers. The inhibition of PMA activity by CaCl<sub>2</sub> and MgCl<sub>2</sub> (Figure 5), which stabilizes the OM structure, may support the notion that the PMA antimicrobial mechanism involves OM permeabilization as uptake process to cell surfaces.

The homopolymer  $P_0$  and copolymers  $PM_{12}$  and  $PM_{28}$  displayed the same OM permeabilization effect at 16 µg/mL and relatively high production rates of products although these polymers are much less active against *E. coli* (MIC = 500 µg/mL) than other more active polymers. The MIC value and absorbance (product formation) at 30 min after incubation (Figure 6(C)) are constant up to 30%  $MP_{methyl}$  and decreased as the  $MP_{methyl}$  was further increased. This suggests that PMAs with more cationic groups are more advantageous in OM permeabilization, but they are less active against *E. coli*. This reverse relationship between the activity and OM permeabilization suggests that the ability of PMAs to permeabilize the *E. coli* OM does not directly reflect their antibacterial activity. This observed phenomenon may be a function of kinetically "trapping" the most cationic PMAs in the negatively charged lipopolysaccharide layer of the OM and peptidoglycan layer resulting in altered OM permeability but an inability to transit to the IM. In addition, since the PMAs could permeabilize model membranes at low µg/mL concentrations (Supplementary Data), the concentration of polymers which transient to the IM would be significantly lower than in bulk solution or the total bound to the bacterial cell. This could support the notion that the cationic PMAs are trapped in the cell wall structures before reaching to the IM.

**Figure 6.** *E. coli* outer membrane permeabilization by PMAs (**A**) and the relationship between MIC and outer membrane (OM) permeabilization (**B**). The polymer concentrations are 16  $\mu$ g/mL except for PM<sub>63</sub> (13  $\mu$ g/mL). The polymyxin B concentration is 1.1  $\mu$ g/mL.



It has been reported that some peptides and synthetic mimics exert their antimicrobial effect by mechanisms other than permeabilization of inner or cytoplasmic membranes. For example, the antimicrobial peptide buforin II penetrates through bacterial cell membranes and interacts with DNA/RNA leading to lethal action [71], while the peptide mersacidin has been shown to inhibit cell wall synthesis by inhibiting lipid II synthesis [2,72]. A synthetic polynorbornene modified with guanidine groups also showed antimicrobial activity without disrupting bacterial membranes [53]. Pleurocin-derived peptides inhibit macromolecular synthesis in E. coli at sub-lethal concentrations but did not induce IM permeabilization over the same concentration range [73]. Similarly, PMAs could diffuse into the peptidoglycan layers after the OM permeabilization and perturb peptidoglycan integrity and functions, which may result in inhibition of the cell wall synthesis or cellular uptake of metabolites, which has been postulated as a potential component of the mechanism of action of some peptides [74]. The PMAs might also penetrate the inner membranes without significant disruption and interact with intracellular targets. Although the molecular mechanisms and cellular targets remain unclear at this point, PMAs could have multiple targets, and the underlying individual mechanisms may not be mutually exclusive, but rather complementary or synergistic. Further investigation will provide insight into the mode and mechanism of antimicrobial action of these polymers.

#### 4. Conclusions

In this report, we characterized the bactericidal action and hemocompatibility of PMAs in order to assess their potential for biomedical applications. The PMAs studied here displayed a broad spectrum of activity against clinically important bacterial pathogens, including the antibiotic-resistant *S. aureus*, with MIC values lower than that of the natural host-defense peptide magainin-2. The activity of PMAs

showed bactericidal activity against *E. coli* and *S. aureus* subcultured from both exponential and stationary growth phases. In addition, PMAs showed low susceptibility to resistance development in *E. coli*. Furthermore, no cross-resistance with the traditional antibiotics CIP and NOR was detected after 21 passages in the presence of PMAs. The activity of PMAs was only slightly reduced in the presence of physiological salts. Since these polymers are not likely to form defined secondary structures such as an  $\alpha$ -helix, the biological activity of amphiphilic PMAs does not rely on the polymer conformations, but rather the physical properties of cationic functionality and hydrophobicity in the polymer chain. Therefore, the design principles gleaned from studies on PMAs may be applicable to other synthetic polymer backbones. Taken together, these results demonstrate that PMAs are a new, versatile antimicrobial platform without any observed risk of resistance development in bacteria.

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