

## Article

# Near-Surface Ozone Variations in East Asia during Boreal Summer

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**Abstract:** This study examined the variability of near-surface (850 hPa) ozone during summer in East Asia using simulations from 12 models participating in the Chemistry–Climate Model Initiative (CCMI). The empirical orthogonal function (EOF) analysis of non-detrended ozone shows that the first (second) EOF mode is characterized by a monopole (dipole) structure that describe 83.3% (7.1%) of total variance. The corresponding the first principle component (PC1) time series exhibits a gradually increasing trend due to the rising anthropogenic emission, whereas PC2 shows interannual variation. To understand the drivers of this interannual variability, the detrended ozone is also analyzed. The two leading EOF patterns of detrended ozone, EOF1 and EOF2, explain 37.0% and 29.2% of the total variance, respectively. The regression results indicate that the positive ozone anomaly in East Asia associated with EOF1 is caused by the combination of net ozone production and transport from the upper atmosphere. In contrast, EOF2 is associated with the weakened western Pacific subtropical high during the La Niña decaying summer, which tends to decrease monsoon precipitation, thus increasing ozone concentration in China. Our results suggest that the El Niño–Southern Oscillation (ENSO) plays a key role in driving interannual variability in tropospheric ozone in East Asia.

**Keywords:** tropospheric ozone; East Asian summer monsoon; western Pacific subtropical high; El Niño–Southern Oscillation; Chemistry–Climate Model Initiative (CCMI)

## 1. Introduction

Tropospheric ozone is a major air pollutant that can damage human skin and lungs, reduce agricultural output, and increase levels of premature mortality [1]. It is also one of the greenhouse gases that lead to a rise in the surface temperature by absorbing long-wave radiation energy at the surface of the Earth and re-releasing the energy [2,3]. Tropospheric ozone can be introduced directly from the stratosphere [4–6], or formed via the photochemical reactions of ozone precursors such as methane (CH<sub>4</sub>), carbon monoxide (CO), nitrogen oxides (NO<sub>x</sub>), and volatile organic compounds (VOCs) [7]. The concentration of tropospheric ozone has been reported as high in the mid-latitude regions of the Northern Hemisphere, where dense urbanization and industrialization has led to significant emissions of ozone precursors due to human activity [8].

The distribution and concentration of near-surface ozone also depends on the atmospheric conditions [9–11]. When the incident solar radiation energy is strong, the production of ozone is promoted, meaning that ozone concentrations tend to be higher during the summer under strong sunlight. Ozone can persist for long periods when the atmosphere is stable and there is little wind. As temperatures increase, the rate of chemical reactions in the atmosphere is accelerated and the production of ozone increases [12,13]. Tropospheric ozone is also often transported from regions where the concentration is high due to its long-enough lifetime (weeks to months) [2,14], leading to an increase in the concentration

in areas where ozone is not generally produced [8]. Ozone is more prevalent at higher altitudes in the troposphere of the mid-latitudes. Therefore, downward motion leads to more surface ozone by the transport of higher ozone air from the upper troposphere. In addition, when stratospheric ozone flows directly into the troposphere because of tropopause folding or the development of a strong low-pressure system, the concentration of ozone also increases in the upper-middle troposphere [15,16]. The interannual variability such as the East Asian monsoon and El Niño-Southern Oscillation (ENSO) can also result in the redistribution of tropospheric ozone [9–11,17,18]. For example, the tropical Pacific ozone concentration varies with the location and intensity of the anomalous vertical motions associated with the Walker circulation that is closely tied to ENSO [19–21]. Note that if the various factors affecting ozone concentration act in combination, the characteristics of ozone variation will differ significantly from region to region.

East Asia has recently undergone a strong trend of increasing ozone [8], which can be associated with the increase in ozone precursor emissions [22,23]. The East Asia is dominated by southerly winds originating from the western North Pacific Ocean during the boreal summer that affect both climate [24] and air quality [17]. Inland regions that are far from the ocean are subjected to active ozone production under strong solar radiation, and because of the increase in excited oxygen atoms ( $O^1D$ ) that catalytically destroy ozone [25], the moistened air coming from the ocean results in a decrease of ozone. This may result in a significant difference in the ozone concentration between the inland regions and the oceanic area [26,27]. It has become increasingly important to trace the location of the precipitation bands and the magnitude of the effects of these bands because of the influence of the strong summer monsoon in order to predict the weather in East Asia. The location at which a precipitation band lies is likely to be saturated, leading to the development of ascending motions and an associated decrease in the concentration of ozone [18]. The precipitation bands are affected not only by the atmospheric circulation in the vicinity of East Asia, but also by factors in distant regions. Therefore, if the effects of remote atmospheric phenomenon (such as the ENSO and Arctic Oscillation) over the western North Pacific are identified, this will help to predict and prepare for variations that may occur in the tropospheric ozone concentration.

To analyze interannual variability or long-term variability that lasts for several years, observational data that have been measured over decades should be used. However, available observations of surface ozone tend to cover only relatively short periods over large areas that encompass several countries in East Asia, which leads to inconsistencies in the measurement sites or methods. Simulated model data covering long periods can therefore serve as a good option for supplementing the limitations of observational data. Here, we used the simulated data from the long-term integrated Chemistry–Climate Model Initiative (CCMI) project to analyze the statistical characteristics of the near-surface (850 hPa) ozone during summer in East Asia. The CCMI project was implemented to develop an online chemistry–atmosphere interaction model that can be used to study the interaction between the atmospheric chemistry and the climate [28]. Several studies have been conducted on the tropospheric jet stream and the depletion of ozone in the Antarctic using CCMI data [29], together with large-scale tropospheric transport properties among the models [30]. However, few studies have been carried out concerning the relationship between ozone precursors and atmospheric variabilities in relation to the characteristics of surface ozone in East Asia.

In this study, dominant spatiotemporal patterns were identified by applying empirical orthogonal functions (EOFs) to the non-detrended and detrended near-surface (850 hPa) ozone data. The relationship between the leading modes of ozone concentration and atmospheric variables such as winds and geopotential height were analyzed together with the variability in the synoptic scale of the ozone precursors ( $NO_x$  and CO). The effect of the western Pacific subtropical high and ENSO on the East Asian ozone variability was also investigated, as these phenomena are known to affect the climate during the summer in East Asia.

## 2. CCMI Data and Analysis Method

The CCMI was initiated by combining the International Global Atmospheric Chemistry (IGAC) and Stratosphere–troposphere Processes And their Role in Climate (SPARC) to understand the chemical–climate interactions for past, present, and future climates [28,31,32]. The REF-C1 simulation that corresponds to the current climate was used for our analysis. In this scenario, observational data such as sea surface temperature, sea ice, and emissions were set as the boundary fields for the period 1960–2010. Natural background emissions, aerosols from volcanic activities, solar radiation, and greenhouse gas emissions from human activities were utilized as the Coupled Model Intercomparison Project Phase 5 (CMIP5) historical simulation standard. The data presented in [33] were set as the annual average for ozone and aerosol precursors.

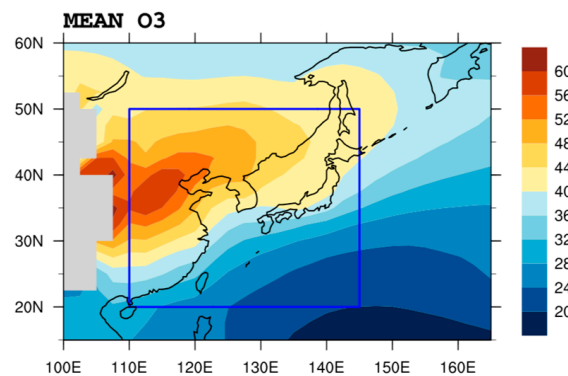
We selected 12 models out of the 24 possible models in the CCMI, as these models had most of the variables for our analysis (Table 1). The variables analyzed are as follows: 850 hPa ozone (vmro3), nitrogen monoxide (vmrno), nitrogen dioxide (vmrno2), carbon monoxide (vmrco), geopotential height (zg), temperature (ta), zonal wind (ua), meridional wind (va), vertical velocity (wa), omega (wap), ozone production rate (o3prod), ozone loss rate (o3loss), precipitation (pr), and the flux of surface downward shortwave radiation (rsds). The notations in parentheses are the variable names used in the CCMI outputs.

**Table 1.** List of Chemistry–Climate Model Initiative (CCMI) models used in this study.

Model	Center and Location	Resolution	References
CCSRNIES-MIROC3.2	NIES, Japan	T42 L34	[34,35]
CMAM	CCCma, Environment and Climate Change Canada, Canada	T47 L71	[36,37]
EMAC-L47MA	MESSy-Consortium, Germany	T42 L47	[38,39]
EMAC-L90MA	MESSy-Consortium, Germany	T42 L90	[38,39]
GEOSCCM	NASA/GSFC, USA	2.0° × 2.5°, L72	[40–43]
GRIMs-CCM	Seoul National University, Korea	1.9° × 1.875°, L47	[44]
MOCAGE	GAME/CNRM Meteo-France, France	2.0° × 2.0°, L47	[45,46]
MRI-ESM1r1	MRI, Japan	T <sub>L</sub> 159 L80	[47–49]
NIWA-UKCA	NIWA, NZ	3.75° × 2.5°, CP60	[50–52]
SOCOL3	PMOD/WRC and IAC ETHZ, Switzerland	T42 L39	[53,54]
ULAQ-CCM	University of L'Aquila, Italy	T21 CP126	[55]
UMUKCA-UCAM	University of Cambridge, UK	3.75° × 2.5° L60	[51,56]

The vertical velocity (wa) was converted to omega ( $wap = -wa \times \rho \times g$ ,  $\rho$ : air density,  $g$ : gravitational acceleration) [57], and the net ozone production rate was calculated as the difference between the rate of ozone production and the rate of ozone loss. The period analyzed is 1979–2010, and all variables were re-gridded to the same horizontal grid of 2.5° × 2.5° and 17 vertical layers before analysis. We used the multi-model mean (MMM) for each variable by averaging across models to improve the quality of calculations, since MMM tends to outperform individual models [58]. Figure 1 shows the MMM near-surface ozone during summer (June–July–August) with high concentration in East Asian continental region and low concentration in the equatorial western Pacific. The elevated ozone concentration in East Asia is attributed to increases in the anthropogenic emissions of precursors [23,59]. It is also noted that the low level of ozone in the equatorial western Pacific is caused by enhanced convection [60].

To focus on the interannual variability, MMM anomalies were calculated by removing the seasonal cycle. The empirical orthogonal function (EOF) analysis [61] was then applied to reveal the dominant spatiotemporal variability of summer ozone in the East Asian region (20° N–50° N and 110° E–145° E; see box in Figure 1). Notably, given any space-time fields (i.e., here, MMM ozone anomaly), EOF analysis captures a set of orthogonal spatial patterns along with those associated with principal components (PC time series). The linear regression analysis was also applied against the PC time series to access the relationship between the EOF modes of near-surface ozone and atmospheric fields.

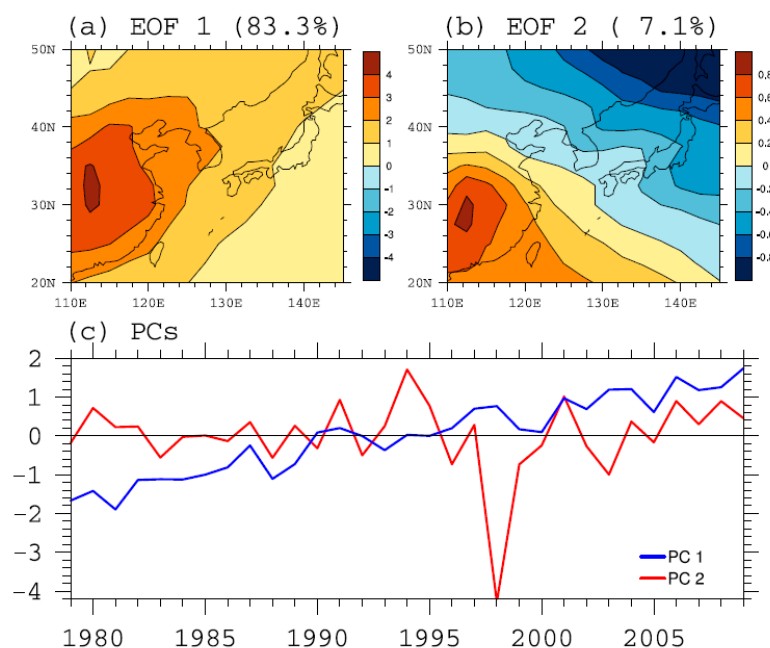


**Figure 1.** Multi-model mean (MMM) surface (850 hPa) ozone concentration (ppbv) during summer from 1979 to 2010. Rectangular box denotes the East Asian region (20°–50° N and 110°–145° E).

### 3. Results and Discussion

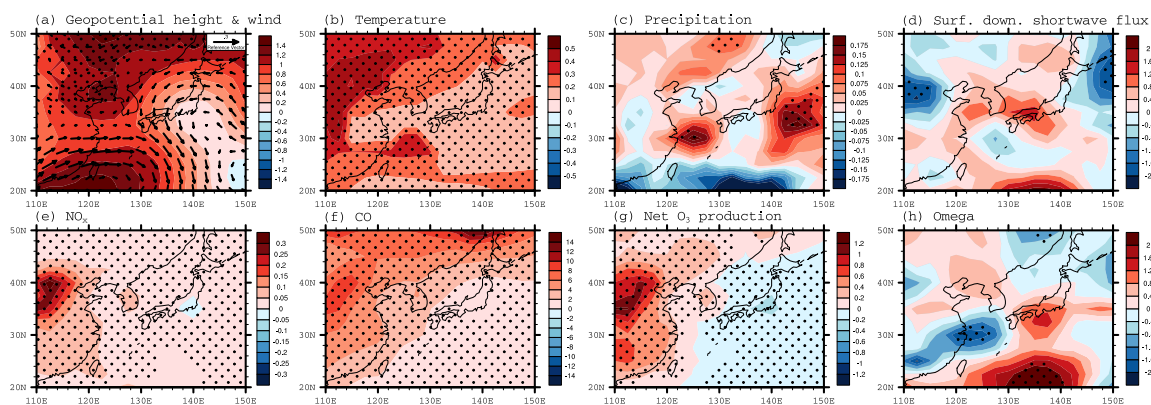
#### 3.1. Increasing Trend of Ozone Concentration During Summer in East Asia

The results of the EOF analysis of the MMM ozone data for the East Asian region (20°N–50° N and 110°E–145° E) during the summer are shown in Figure 2, which depicts the two leading modes of the East Asian ozone at 850 hPa. The first mode (EOF1) shows a positive signal throughout East Asia centered in China, accounting for 83.3% of the total ozone variability (Figure 2a). By contrast, the second mode (EOF2) exhibits a dipole pattern with a positive ozone anomaly center in China and a negative northeastern region, which explains only 7.1% of the total variance (Figure 2b). The associated PC time series (PC1 and PC2, respectively) show a significant increasing trend with some interannual variability superimposed for EOF1, but prominent interannual variability for EOF2 (Figure 2c). This increasing trend in PC1, as mentioned above, clearly reflects the increase in the emission of ozone precursors over East Asia [23,59]. Meanwhile, the interannual variability of PCs implies that ozone variability might be influenced by the East Asian summer monsoon as well as ENSO [17,27], which we discuss in Sections 3.2 and 3.3.



**Figure 2.** (a) The first and (b) second empirical orthogonal function (EOF) patterns of summer (June–July–August) near-surface (850 hPa) ozone MMM anomaly over the East Asian region (20° N–50° N, 110° E–145° E). (c) The corresponding principal component (PC) time series.

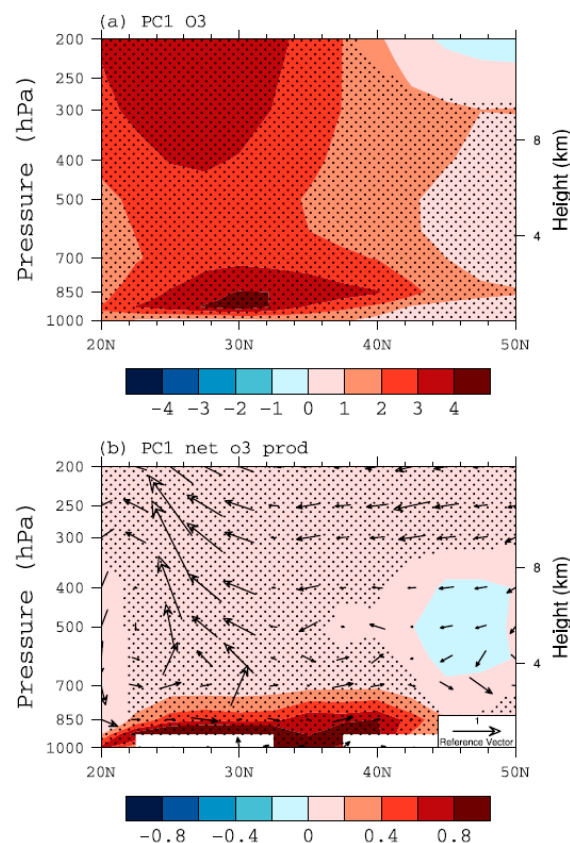
A regression analysis of the PC1 time series and atmospheric variables known to be related to ozone variation was performed to examine whether these features are present in the CCM1 models. Figure 3 shows that the geopotential height, temperature,  $\text{NO}_x$ , and CO concentration are all generally increasing in East Asia, indicating that the rising anthropogenic emission, more stable atmosphere, and warmer environment have contributed to the long-term increase in ozone concentration (Figure 2). The net ozone production (Figure 3g) is also increasing in China, as is the amount of ozone produced. Both ozone (Figure 2a) and ozone precursors (Figure 3e,f) are likely to be transported from the continental region to the Pacific Ocean via prevailing winds (Figure 3a), meaning that ozone can be a hemispheric pollutant [8]. Interestingly, precipitation, surface shortwave radiation, and omega have little correlation with the increase in ozone and rather localized structures (Figure 3c,d,h), suggesting that the changes in atmospheric circulation due to altered climate may have little influence on long-term ozone trends in East Asia if warming is not considered.



**Figure 3.** The regression fields between PC1 and (a) geopotential height (shaded; m) and horizontal wind (vector;  $\text{m s}^{-1}$ ), (b) temperature (K), (c) precipitation ( $\text{mm day}^{-1}$ ), (d) surface downwelling shortwave flux ( $\text{W m}^{-2}$ ), (e) nitrogen oxides (ppbv), (f) carbon monoxide (ppbv), (g) net ozone production rate ( $\times 10^{-12} \text{ mole m}^{-3} \text{ s}^{-1}$ ), and (h) 500 hPa omega ( $\text{hPa day}^{-1}$ ) during summer. Note that all variables except (c–h) are for an 850 hPa level. Black dots indicate statistical significance at 90% confidence level using a Student's t-test.

The vertical ozone, net ozone production, and circulation patterns were analyzed by calculating the average within an area between  $110^\circ \text{E}$ – $120^\circ \text{E}$ , where the concentration of ozone and ozone precursors was greatly increased (Figure 2a). As seen in Figure 4a, when an increase in the amount of ozone is seen in the lower troposphere, the ozone also increases in the upper troposphere. Note also that this vertical structure of tropospheric ozone increases is well consistent with northerly-upward motions (Figure 4b), thus bringing ozone-rich air from surface sources in China. These results indicate that the surface and upper atmosphere are closely connected not only dynamically but also chemically through human activities. It is also interesting to note that anthropogenically generated ozone acts as a greenhouse gas, which could impact the large-scale circulation [62]. Our results also imply that, in addition to local sources near the surface, the upward transport of ozone may be an important contributor to climate change, which needs further investigation.





**Figure 4.** The regressed fields of (a) ozone (ppbv) and (b) net ozone production (shaded;  $\times 10^{-12}$  mole  $\text{m}^{-3} \text{s}^{-1}$ ) overlaid with meridional–vertical circulations (vector;  $v$ ,  $-\omega$ ) averaged over  $110^{\circ} \text{E}$ – $120^{\circ} \text{E}$  against PC1 (blue line in Figure 2c) during summer. Black dots indicate statistical significance at the 90% confidence level based on a Student’s  $t$ -test.

### 3.2. Interannual Variability of Detrended Ozone During Summer in East Asia

The increasing trend dominates the ozone variability over the East Asian region (Figure 2), which hampers the analysis of ozone levels at the interannual scale. This linear trend was therefore removed to further examine the interannual variability of ozone. Note that a similar procedure was then carried out as that described in Section 3.1 and shown in Figure 5. The first EOF mode of detrended ozone shows a positive ozone signal across East Asia, especially over eastern China and the Yellow Sea (Figure 5a). The PC1 time series is identical to the PC1 time series seen in Figure 2c ( $r = 0.98$ ,  $p < 0.0001$ ), if the trend is removed from the latter. This similarity indicates that the EOF analysis of detrended ozone can capture the interannual variability reasonably well, which is hidden in non-detrended ozone with a strong positive trend (blue line in Figure 2c). The spatial pattern and PC time series of the second mode of detrended ozone are nearly identical to those of the non-detrended second mode (Figure 2), except that the fraction of accounted for ozone variance increases to 22.1%.

To understand the mechanism behind the ozone variability associated with the EOF1 of detrended ozone (Figure 5a), a regression analysis of atmospheric and chemical variables was performed against the PC1 (blue line in Figure 5c). Figure 6 shows that descending motions have appeared over China and Korea, and this is consistent with the reduced precipitation and increase in the surface downwelling solar radiation. Meanwhile, the low-pressure anomaly is located in northern Japan, accompanied by the northerly winds in the region  $110^{\circ} \text{E}$ – $130^{\circ} \text{E}$ . Note that  $\text{NO}_x$  does not show any significant relationship with the ozone interannual variability of EOF1, whereas CO has a strong correlation. This interannual variability may influence the intercontinental transport of ozone from East Asia to western America [8]. Therefore, if the interannual variability is combined with the positive trend of

ozone shown in Figure 2a, the East Asian region is expected to experience high concentrations of ozone, and in turn plays a more important role in the variation of hemispheric tropospheric ozone levels.

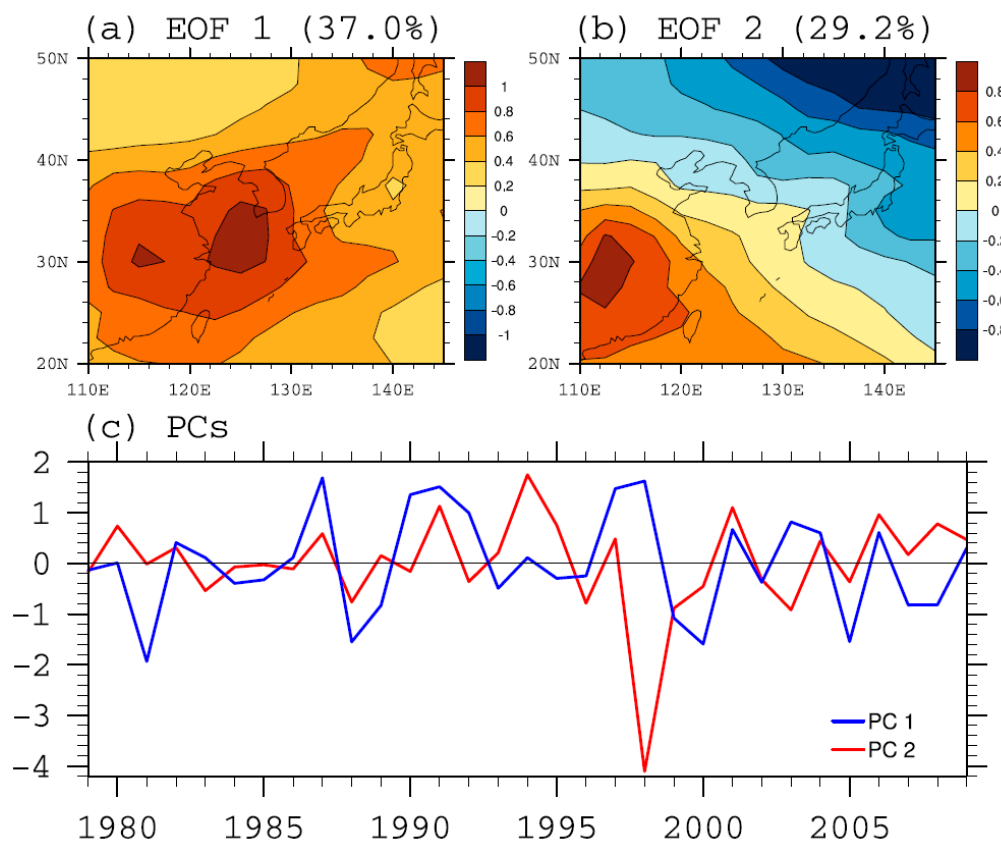
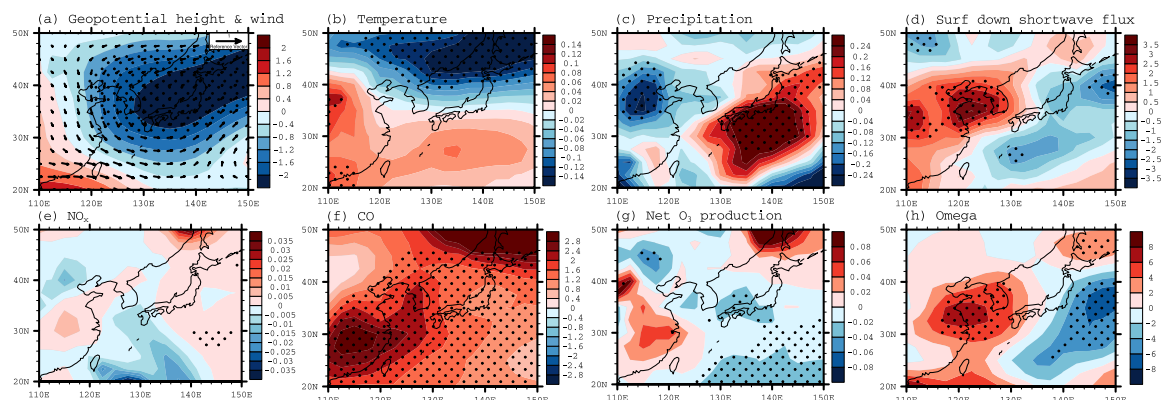


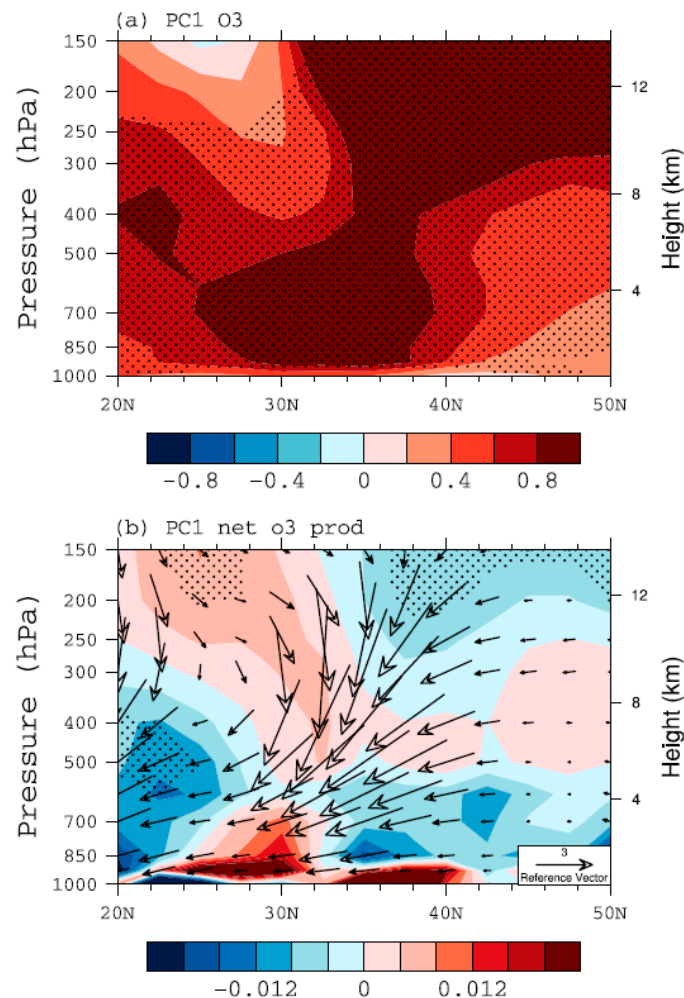
Figure 5. Same as Figure 2, except for the detrended ozone.



**Figure 6.** The regression fields between PC1 from the EOF analysis of detrended ozone and (a) geopotential height (shaded; m) and horizontal wind (vector; m s<sup>-1</sup>), (b) temperature (K), (c) precipitation (mm day<sup>-1</sup>), (d) surface downwelling shortwave flux (W m<sup>-2</sup>), (e) nitrogen oxides (ppbv), (f) carbon monoxide (ppbv), (g) net ozone production rate (×10<sup>-12</sup> mole m<sup>-3</sup> s<sup>-1</sup>), and (h) 500 hPa omega (hPa day<sup>-1</sup>) during summer. Note that all variables except (c,d,h) are for an 850 hPa level. Black dots indicate statistical significance at 90% confidence level using a Student's t-test.

Figure 7 shows the regressed vertical ozone, circulations, and net ozone production over 120°–130° E against PC1 (blue line in Figure 5c), where a large positive ozone anomaly appeared as shown in Figure 5a. The concentration of ozone has increased over the entire troposphere, especially along with the northerly-downward air flows. Given the net ozone production is rather confined near the

surface, this concurrent correlation indicates that the main reason for the increase in tropospheric ozone (Figure 6a) is the downward transport of ozone from the upper troposphere and possibly the stratosphere as well [5,63].



**Figure 7.** The regressed fields of (a) ozone (ppbv) and (b) net ozone production (shaded;  $\times 10^{-12} \text{ mole m}^{-3} \text{ s}^{-1}$ ) overlaid with meridional–vertical circulations (vector;  $v, -\omega$ ) averaged over  $120^{\circ}\text{E}$ – $130^{\circ}\text{E}$  during summer against PC1 from EOF analysis of the detrended ozone (blue line in Figure 5). Black dots indicate statistical significance at the 90% confidence level based on a Student's t-test.

As mentioned above, the spatiotemporal patterns of the second mode are almost identical for non-detrended and detrended ozone (Figures 2 and 5, respectively). Therefore, here, we focus on the second EOF mode of detrended ozone, as the following results are not sensitive to this choice. The regressed fields against the PC2 time series show that northeasterly winds are prevalent in China where the positive ozone anomaly is larger, together with significantly reduced precipitation and temperature (Figure 8).  $\text{NO}_x$  and CO levels appear to increase. Note that 500 hPa omega exhibits descending motions, suggesting that ozone transported from the upper troposphere contributes to the increase in surface ozone.



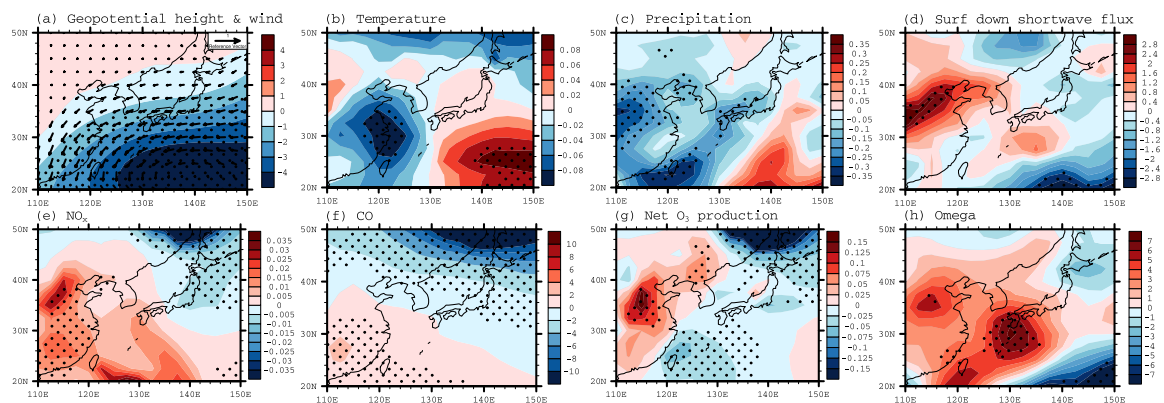


Figure 8. Same as Figure 6, except for PC2 time series.

Figure 9 shows the results of regression analysis using PC2 on the average vertical ozone, net ozone production, and wind fields in the region between 110° E–120° E, where there is an obvious increase in ozone concentration. The increase in the ozone concentration of the lower troposphere continues up to a level of 500 hPa. Simultaneously, the descending motions start at a latitude of approximately 40° N in the upper troposphere and become northerly winds at the lower troposphere (>500 hPa), which eventually leads to an increase in ozone over China (Figure 5b) by the transport of high-ozone air mass. Here, it is interesting to note that there are some obvious differences between the two EOF modes, as indicated in Figures 7 and 9. The near-surface ozone variability of the former can be more related to upper atmosphere, probably the net transport from the stratosphere. The vertically tilted pressure structure (Figure 6) also gives this possibility because stratosphere–troposphere exchange operates mainly on synoptic-scale eddies [64].

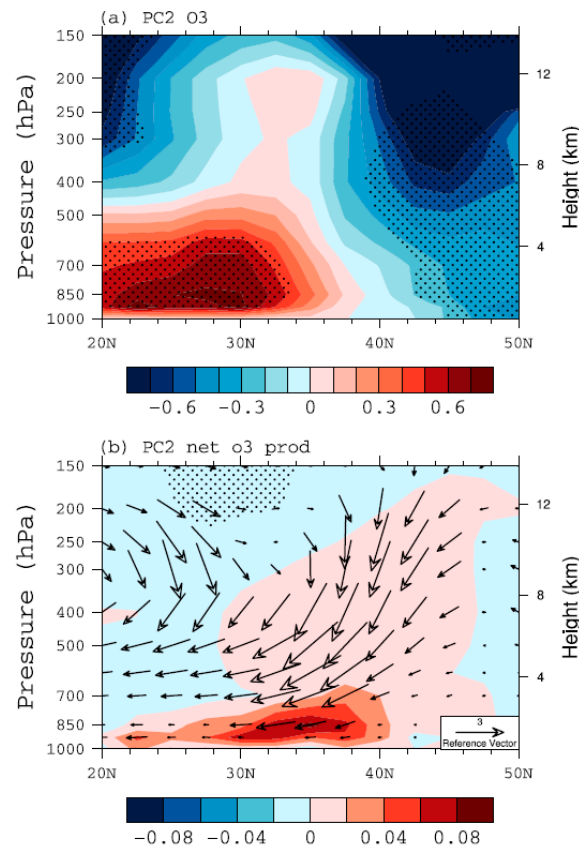


Figure 9. Same as Figure 7, except for PC2 time series and longitude from 110° E to 120° E.

### 3.3. Ozone Variation, East Asia Summer Monsoon and ENSO

As shown in Figure 8, the second mode of near-surface ozone during the summer in East Asia is related to the decrease in precipitation and the increase in shortwave radiation, especially in the inland areas of China. These features can be associated with the weakening of the East Asian summer monsoon [17,65]. The factors that influence the behavior of the East Asian summer monsoon include the western Pacific subtropical high and ENSO [66]. For example, the movement of monsoonal rain bands is well correlated with the movement of the boundary of the western Pacific subtropical high [67]. The ENSO is known to affect the intensity of this western Pacific subtropical high and then influence the summer monsoon in East Asia.

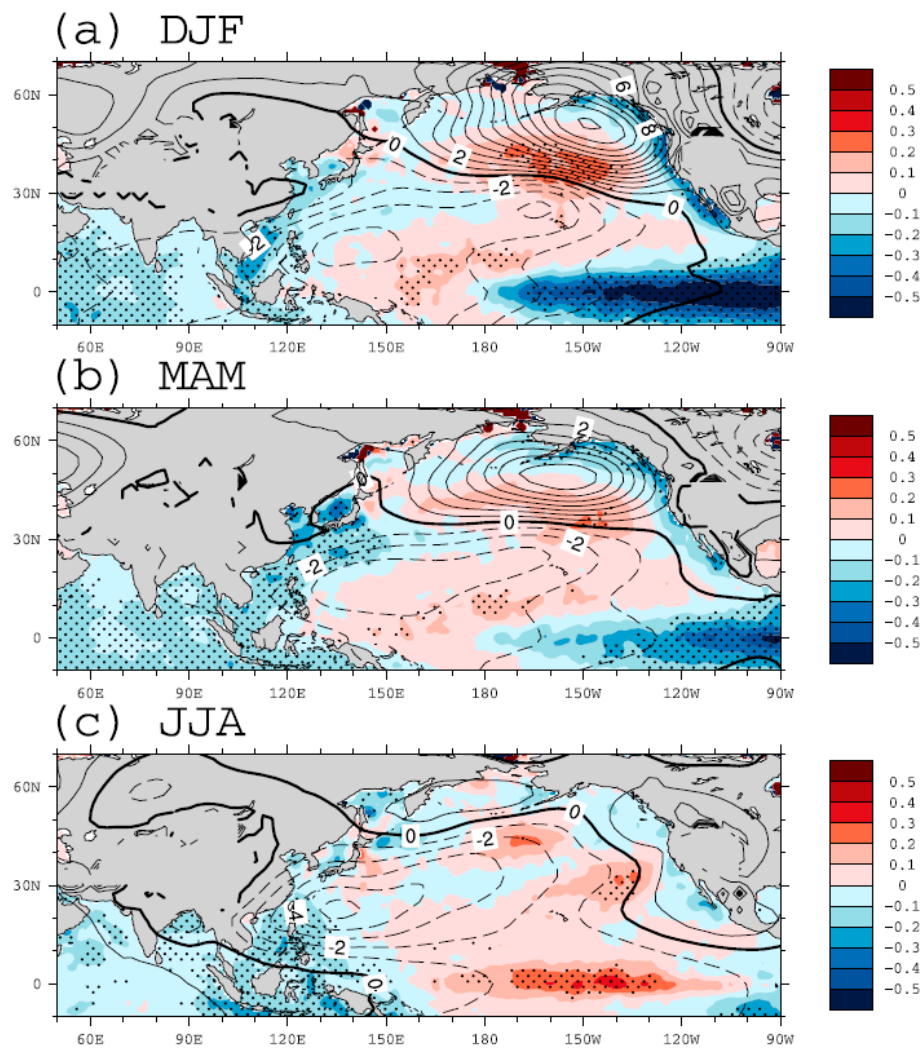
Therefore, it is reasonable to anticipate that ENSO and western Pacific subtropical high may influence the ozone distribution of EOF2. This is confirmed by the correlation coefficients between PC time series and various indices (Table 2). Here, the western Pacific subtropical high index (WPSHI) is defined as the normalized summer 850 hPa geopotential height anomalies averaged over the region (115° E–150° E, 15° N–25° N) [68]. Interestingly, PC2 shows very strong negative correlations with the preceding NINO3 indices (DJF and MAM) and WPSHI, whereas PC1 does not show any correlation with WPSH. Considering the cyclonic circulation over the western Pacific (Figure 8a) comes with negative WPSHI, these negative correlations result mainly from the weakened western Pacific subtropical high during summer after the occurrence of La Niña during the previous winter.

**Table 2.** The linear correlation coefficients between PC time series and the winter (DJF), spring (MAM), and summer (JJA) NINO3 indices, and JJA western Pacific subtropical high index (WPSHI). \*, \*\* and \*\*\* indicate statistical significance at the 90%, 95% and 99% confidence levels on a Student's t-test, respectively. Note that DJF and MAM are prior seasons.

Index	DJF NINO3	MAM NINO3	JJA NINO3	JJA WPSH
PC1	0.34 *	0.47 **	0.55 ***	0.00
PC2	−0.52 ***	−0.40 **	0.14	−0.63 ***

Evidently, this lagged relationship between the EOF2 of ozone, western Pacific subtropical high and ENSO can be seen in Figure 10. The negative sea surface temperature (SST) anomaly appeared in the equatorial eastern Pacific during the previous winter (DJF), indicating the presence of La Niña. This negative anomaly became diminished in the spring (MAM), and during the summer, positive anomalies appeared along the equatorial central Pacific, indicating the development of El Niño. Simultaneously, in the subtropical region of the western Pacific, a negative geopotential height anomaly was apparent during the winter, and the negative anomalies became stronger in the spring and summer, as consistent with previous studies [66,69,70]. These studies suggested that the anomalous western Pacific subtropical high plays a crucial role in linking ENSO and the East Asian summer monsoon. Here, we argue that ENSO can influence not only climate but also ozone levels in East Asia through the western Pacific subtropical high.

To better understand the role of the western Pacific subtropical high in modulating the ozone anomalies in East Asian region, we analyzed correlation coefficients between PC2 time series, DJF NINO3 and JJA WPSH indices for each model (Table 3). Note that HadISST data were used for the CCMi models simulations; each model has the same DJF NINO3 index. Similarly, we used a multi-model averaged WPSH index, because each model has a different performance when simulating the western Pacific subtropical high. Six models out of the 12 showed significant correlations both for DJF NINO3 and JJA WPSH indices, as indicated in bold in Table 3.

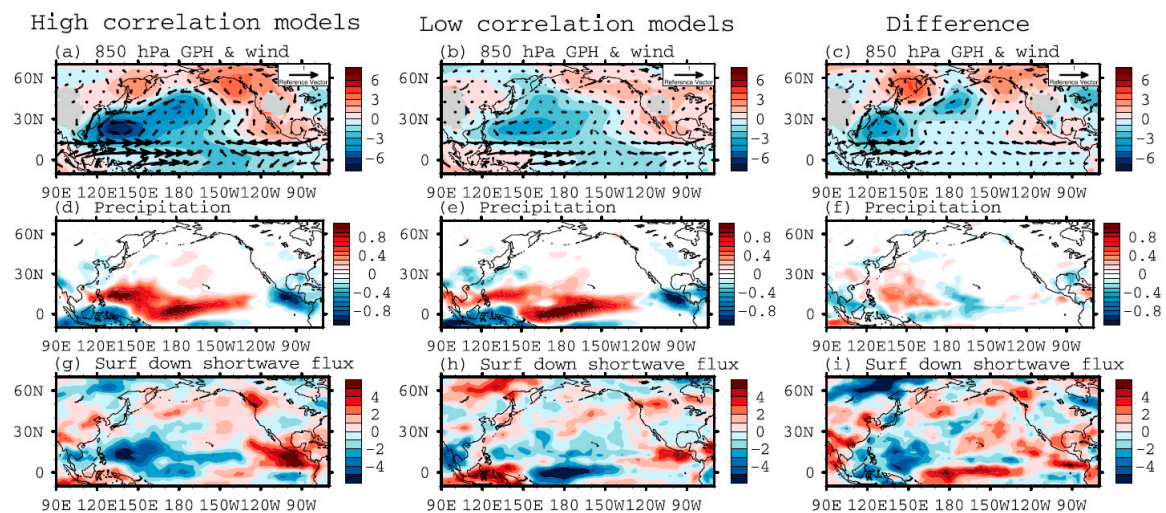


**Figure 10.** The regressed fields of (a) DJF, (b) MAM, and (c) JJA sea surface temperature (SST) anomalies (shaded; °C) and 850 hPa geopotential height anomalies (contour; m). Black dots indicate statistical significance at the 90% confidence level for SST anomalies based on a Student's t-test.

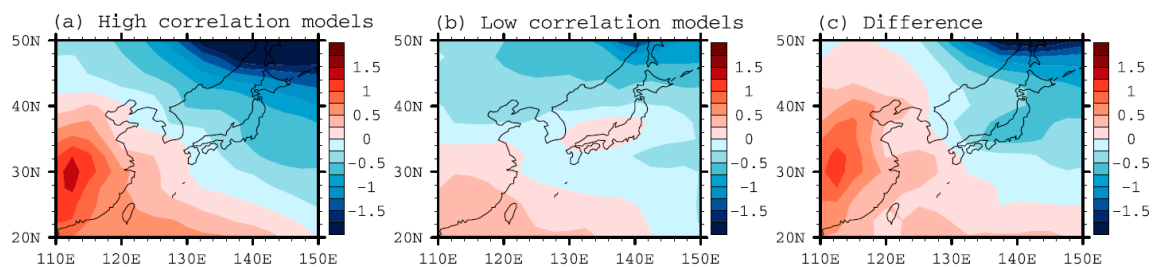
**Table 3.** The linear correlation coefficients between PC2 time series, DJF NINO3, and JJA WPSH indices for each of CCMI models. \*, \*\*, and \*\*\* indicate statistical significance at the 90%, 95%, and 99% confidence levels through a Student's t-test, respectively. Models statistically significant for both indices are shown in bold.

Model	DJF NINO3	JJA WPSH
<b>CCSRNIES-MIROC3.2</b>	−0.50 ***	−0.42 **
CMAM	0.21	0.35 **
EMAC-L47MA	−0.16	−0.04
<b>EMAC-L90MA</b>	−0.37 **	−0.43 **
GEOSCCM	−0.12	−0.22
<b>GRIMs-CCM</b>	−0.43 **	−0.40 **
<b>MOCAGE</b>	−0.32 *	−0.54 ***
<b>MRI-ESM1r1</b>	−0.33 *	−0.44 **
<b>NIWA-UKCA</b>	−0.37 **	−0.33 *
SOCOL3	−0.35 **	−0.02
ULAQ-CCM	−0.20	−0.23
UMUKCA-UCAM	−0.31 *	−0.02

Based on this result, the models were categorized into those with high correlation (GRIMS-CCM, MRI-ESM1r1, NIWA-UKCA, MOCAGE, EMAC-L90MA, and CCSRNIES-MIROC3.2) and low correlation (CMAM, SOCOL3, GEOSCCM, UMUKCA-UCAM, ULAQ-CCM, and EMAC-L47MA). Using the PC2 time series of Figure 5, regression analysis was performed on the ensemble-averaged geopotential height, wind fields, precipitation, and the shortwave radiation reaching the surface in each group (Figure 11). Models with high correlation coefficients were found to clearly simulate the weakening of the western Pacific subtropical high when compared to models with low correlation coefficients. A reason for the different behavior of western Pacific subtropical high can be found with the precipitation difference (Figure 11f), exhibiting a positive precipitation anomaly over the western North Pacific (centered at 140° E, 20° N), which in turn intensified convection activities. It was also simulated that the amount of solar radiation reaching the surface increased in China (Figure 11i), causing an increase in the net photochemical production of near-surface ozone (Figure 9b) and leading to an increase in near-surface ozone (Figure 12). These results suggest that the cyclonic circulation anomaly associated with the weakened western Pacific subtropical high is key to understanding how ENSO affects the East Asian ozone. In the low-correlation models, ozone concentration does not increase substantially in China (Figure 12b). This lack of ozone increase can be attributed to reduced solar radiation (Figure 11h).



**Figure 11.** The regressed fields of (a–c) 850 hPa geopotential height (shaded; m) and wind (vector; m s<sup>-1</sup>), (d–f) precipitation (mm day<sup>-1</sup>), (g–i) surface downwelling shortwave flux (W m<sup>-2</sup>) against PC2 time series. The left panel shows the MMM for the six models showing the best performance (high correlation), the center panel demonstrates the MMM for another six models with the worst performance, and the right panel shows the difference between the left and center panels.



**Figure 12.** Same as Figure 11, except for the regressed JJA 850 hPa ozone.



#### 4. Conclusions

This study analyzed the 12 models' results from the CCMI project to identify the leading EOF modes of near-surface (850 hPa) ozone during the summer season over East Asia and to investigate the associated ozone precursors as well as atmospheric conditions based on linear regression analysis. Using the non-detrended ozone concentrations, it was found that the prominent change in ozone over the East Asian region was the increasing trend of ozone concentration (Figure 2a), which could be related to the increase in anthropogenic ozone precursor emissions in China (Figure 3). Both ozone and its precursors have spread from the area in which they are generated, eventually influencing the whole of East Asia.

We also used the detrended ozone to focus on interannual variability and revealed that the first two leading modes of ozone are closely linked to meteorological conditions. The EOF1 exhibits a monopole of ozone variation centered at China and the Korean Peninsula (Figure 5a). We find this EOF1 pattern is associated with synoptic scale disturbances, accompanied by downward motion as well as enhanced solar radiation on the west side of mid-latitude cyclones (i.e., after the cold front has passed) (Figure 6). While the positive net ozone production is strongly concentrated near the surface, the ozone exhibits overall increases in the entire troposphere (Figure 7), thus indicating the influence of stratosphere–troposphere exchange, which needs more detailed analysis. In addition, the northeasterly wind that blows along the coastline might lead to both ozone and ozone precursors becoming trapped in the inland areas of eastern China, causing excessive ozone levels.

The EOF2 shows a dipole ozone anomaly between China and northeastern Asia (Figure 5b). The corresponding PC2 time series is significantly correlated with the western Pacific subtropical high and ENSO. Regression analysis reveals that circulation changes associated with the western Pacific subtropical high after the peak of La Niña in the previous winter are the most prominent source of interannual near-surface ozone variability during the summer in East Asia. When the western Pacific subtropical high is weak, a strong north-easterly flow occurs along China, the Korean Peninsula, and Japan, thus reducing the summer monsoon rainfall and leading to more insolation near the surface. Note that the weakening of the western Pacific subtropical high during summer after La Niña can be understood as the Pacific–East Asian teleconnection [66,69]. These meteorological changes result in excessive ozone concentration in China due to both the advection of ozone-rich air and stronger photochemical production (Figure 9). Note that this interannual variation of ozone works in combination with the long-term increasing trend, which could further add to the concentration of ozone seen in East Asia. Based on the correlation coefficients between PC2 time series, NINO3 and WPSH indices, CCMI models can be classified into two groups: those six models with good relationships between indices, and the six models with insignificant relationships. The results also suggest that the concurrent changes including the weakening of the western Pacific subtropical high and decaying of La Niña can enhance ozone concentration in China.

Our results are obtained from chemistry–climate models that consider the interaction between atmospheric chemicals and the climate. It is therefore necessary to perform a similar analysis using in situ observations and remote sensing data. Finally, we note that, if the anthropogenic emission of ozone precursors decreases, the second EOF mode of ozone concentrations will become more important in a future warmer world, since ENSO will be more active [71]. It is therefore necessary to understand how the linkage between East Asian ozone and ENSO may vary as global warming is intensified, which needs further study.

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