



# Article Efficient Data Hiding Based on Block Truncation Coding Using Pixel Pair Matching Technique

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Abstract: In this paper, an efficient data hiding method that embeds data into absolute moment block truncation coding (AMBTC) codes is proposed. The AMBTC method represents image blocks by trios, and each trio consists of two quantization levels and an asymmetrically distributed bitmap. However, the asymmetric phenomena of bitmaps cause large degradation in image quality during data embedment. With the help of reference tables filled with symmetrical patterns, the proposed method exploits a symmetry adjustment model to modify the quantization levels in those smooth blocks to achieve the smallest distortion. If the block is complex, a lossless embedding method is performed to carry one additional bit. A sophisticated division switching mechanism is also proposed to modify a block from smooth to complex if the solution to the minimal distortion cannot be found. The payload can be adjusted by varying the threshold, or by embedding more bits into the quantization levels. The experiments indicate that the proposed work provides the best stego image quality under various payloads when comparing to the related prior works.

Keywords: AMBTC; data hiding; reference table; pixel pair matching

# 1. Introduction

Data hiding is a technique that embeds data into an innocent cover media for secret communication [1]. The digital images are often used as the cover objects to convey messages because they are easily available and provide rich redundancies for data embedment. When images are chosen as the carriers, the images used for embedding messages are called cover images, while the embedded images are called stego images [2]. The hiding capacity, stego image quality, and un-detectability are the most important issues for a data hiding method in images. A large hiding capacity allows an image to carry more data, while a higher image quality means that the distortion of the stego image is smaller. The un-detectability allows the stego image to resist the statistical detection by the steganalyzers [3–5].

According to the recoverability of the cover image, data-hiding methods can be classified into lossy [6–14] and lossless [15–17]. The least significant bit (LSB) matching [18] is one of the well-known lossy methods. Some recently proposed state-of-the-art approaches, such as the highly undetectable steganography (HUGO) [11], wavelet obtained weights (WOW) [12], and universal wavelet relative distortion (UNIWARD) [13,14], also belong to lossy methods. The HUGO approach is the pioneer of the modern content-adaptive data hiding methods in which the embedding distortion is formulated and minimized. The WOW method utilizes wavelet filter banks to measure the embedding distortion. The schemes based on UNIWARD have excellent performance and can be addressed by both the spatial and frequency domains. These methods are considered more efficient than many prior works, and the embedment of their method is only based on some convolution products, which require insignificant computation cost.

The lossy methods embed more data than those lossless ones and also provide a very acceptable image quality. However, methods of this type distort the cover images permanently. As a result, the cover image cannot be recovered from its stego version. On the other hand, the lossless data hiding methods have the capability to restore the cover image after data extraction and thus they have wide applications. For example, [17] employs a lossless data hiding scheme using integer wavelet transform to embed data into encrypted images. Nevertheless, the payload and stego image quality offered by the lossless methods are often considerably lower than that of lossy ones.

Data hiding methods can be applied to images in spatial domain [6–15] or compress domain [19–28]. The spatial domain data hiding methods embed data by slightly altering the pixel values. Since the modern steganalyzers based on rich models [29], deep learnings [30], or combinations of these approaches [31] are now capable of detecting the presence of embedment of this type to a large extent, an ideal spatial domain data hiding method should be designed such that the cover and stego images are statistically indistinguishable. To avoid the statistical trace, some novel coverless data hiding methods based on based on scale invariant feature transform and bag of feature [32], or based on binary numbers and transformed by Chinese characters [33] are proposed. The compress domain data hiding method embeds data by modifying the compressed codes. Because most of the data hiding methods exploit the redundancies in images to embed data, and the redundancies are considerably eliminated when images are compressed, a compress domain data hiding method often provides smaller payload than those of spatial domain methods. Moreover, since the pixel correlations are destroyed significantly in compress domain, a data hiding method in this domain often requires elaborate computation such as image processing or machine learning algorithms to achieve the goal of data embedment. However, since images are often stored or transmitted in their compressed format, the compressed domain data hiding methods thus attract researchers to investigate new techniques in various compress domains. A number of compressed domain data hiding methods based on vector quantization (VQ) [19–21], joint photographic experts group (JPEG) [22], and absolute moment block truncation coding (AMBTC) [23–28] compressed images are proposed. Compared to VQ and JPEG, AMBTC requires less computing cost but achieves acceptable image quality. Therefore, it is very suitable for applications with limited power consumption such as portable digital devices. Due to the increasing demands of low-cost data hiding methods in computation for portable devices, several data hiding methods for AMBTC codes are proposed.

The AMBTC compression method was proposed by Mitchell [34] in 1984. AMBTC partitions an image into blocks, and each block is independently compressed to obtain a trio that represents the compressed code. Each trio consists two quantization levels and a bitmap. Chuang and Chang [23] proposed a data hiding method to embed data using direct bitmap replacement. If the difference between the two quantization levels is small, the bitmap modification insignificantly affects the visual quality of the decoded block. As a result, this method replaces the bitmap by secret data to achieve the embedment. Chen et al. [24] use the order of two quantization levels to losslessly embed data into AMBTC codes. Since the swapped quantization levels and the flipped bitmap also reconstruct an identical AMBTC block, Chen et al.'s method not only effectively embeds data but also produces a stego BTC image that is identical to the original AMBTC image. Inspired by Chen et al.'s works, Hong et al. [25] proposed a hybrid AMBTC data hiding method to achieve lossy or lossless data embedment. In their method, the number of different bits between the to-be-embedded bits and bitmap is examined. If more than half the number of the bit values is different, the two quantization levels are reversely recorded to reduce the distortion.

Ou and Sun [26] proposed another efficient data hiding method for AMBTC codes based on quantization level modification. Ou and Sun observed that the replacement of bitmap with secret data while keeping the quantization levels unchanged might introduce larger distortion. As a result, they find an optimal modification to the quantization levels to ensure the distortion is the smallest. In 2016, Bai and Chang [27] proposed a two-phase method to embed data into AMBTC codes by using matrix encoding with high payload. In this method, the (7, 4) Hamming code is performed on the quantization levels and their differences to embed data bits in the first phase, and then performed

on the bitmap to embed more bits in the second phase. In 2017, Huang et al. [28] also proposed an efficient method to embed data both in bitmap and quantization levels. Unlike Ou and Sun's method, Huang et al.'s method exploits the difference between the quantization levels to embed data with great performance. Since the differences are subtly adjusted to carry additional data, the introduced distortion is confined and thus the embedding performance is greater than that of Ou and Sun's method.

In this paper, we proposed an efficient data hiding method dedicated to the AMBTC compressed codes with the guidance of a reference table. The proposed method classified AMBTC blocks into smooth and complex. The bitmaps are replaced by secret data in those smooth blocks, and the quantization levels are searched in a reference table and modified to carry data bits in a way that the decoded AMBTC block has the smallest distortion. If the block is complex, a data bit can be losslessly embedded using Chen et al.'s method. The payload can be increased by adjusting a threshold, or by embedding more bits into the quantization levels. Since the embedded information can be easily removed as the values of trios are slightly altered while providing a high image quality, the proposed method can be adopted as a fragile watermarking technique used for image authentication.

The rest of this paper is organized as follows. Section 2 briefly introduces the AMBTC compression technique and Huang et al.'s method. Section 3 presents the proposed method, while Section 4 gives the experimental results. Concluding remarks are given in Section 5.

#### 2. Related Works

In this section, we briefly introduce the AMBTC compression and the pixel pair matching embedding technique. Huang et al.'s data hiding method based on AMBTC compressed images is also presented in this section.

#### 2.1. AMBTC Compression Technique

To compress an original image *O* using AMBTC, *O* is firstly partitioned into *N* non-overlapping blocks  $\{O_i\}_{i=1}^N$  of size  $m \times m$ . For each block  $O_i$ , the mean value  $v_i$  is calculated. Let  $\{O_{i,k}\}_{k=1}^{m \times m}$  be the pixel in  $O_i$ . The lower and upper quantization levels  $a_i$  and  $b_i$  can be obtained by averaging those pixels satisfying  $O_{i,k} \leq v_i$  and  $O_{i,k} > v_i$ , respectively. A bitmap  $B_i$  of size  $m \times m$  is employed to record which quantization levels should be used at the decoding stage. Let  $\{B_{i,k}\}_{k=1}^{m \times m}$  be the *k*-th bit in  $B_i$ . If  $O_{i,k} \leq v_i$ , then  $B_{i,k} = 0$  is set. Otherwise,  $B_{i,k} = 1$ . AMBTC uses the trio  $(a_i, b_i, B_i)$  to represent the compressed code of block  $O_i$ . As a result, the compressed codes of image *O* consist the trios  $\{a_i, b_i, B_i\}_{i=1}^N$ . To decode an AMBTC trio  $(a_i, b_i, B_i)$ , the bits recorded in  $B_i$  are scanned. Let  $I_i$  be the decoded AMBTC block using trio  $(a_i, b_i, B_i)$  and  $I_{i,k}$  be the *k*-th pixel of  $I_i$ . If  $B_{i,k} = 0$ , then  $I_{i,k} = a_i$  is set. Otherwise,  $I_{i,k} = b_i$ . All the blocks are decoded using the same procedure, and the decoded AMBTC image  $I = \{I_i\}_{i=1}^N$  is reconstructed.

The examples of encoding and decoding of the AMBTC compression technique are given as follows. Let  $O_i = (52\ 53\ 37\ 47;\ 48\ 48\ 38\ 43;\ 41\ 51\ 50\ 42;\ 48\ 47\ 50\ 48)$  be the image block to be encoded, where semicolons represent end of row. The mean value  $v_i = 46.44$  can be calculated. Averaging the pixels with value smaller than 46.44 and rounding the result to the nearest integer, we have  $a_i = 40$ . Similarly,  $b_i = 49$  is obtained. The bitmap  $B_i = (1101;\ 1100;\ 0110;\ 1111)$  can then be constructed. As a result, the compressed trio of  $O_i$  is  $(a_i, b_i, B_i) = (40,\ 49,\ (1101;\ 1100;\ 0110;\ 1111))$ . To decode the compressed trio, replace 0 and 1 in  $B_i$  with 40 and 49, respectively, we have  $I = (49\ 49\ 40\ 49;\ 49\ 49\ 40$ ,  $40;\ 40\ 49\ 40;\ 49\ 49\ 49)$ .

## 2.2. Adaptive Pixel Pair Matching Technique

Pixel Pair Matching (PPM) [7] is a technique that embeds digits in  $\sigma$ -ary notational system into pixel pairs by matching the secret digits with entities in a reference table. The reference table is a table that fills with digits ranging from 0 to  $\sigma$  – 1. Let  $R_{\sigma}(x, y)$  be the entity located at the *x*-th column and the *y*-th row of the reference table  $R_{\sigma}$ . Figure 1 shows the schematic diagram of the PPM technique.

To embed secret digits in  $\sigma$  – ary notational system, the cover image is partitioned into blocks, and each block consists of a pixel pair. Let  $s_{\sigma}$  be the secret digit in  $\sigma$ -ary notational system to be embedded into the *i*-th pixel pair  $(p_1, p_2)$ . Firstly, the neighboring entities of  $R_{\sigma}(p_1, p_2)$  are searched to find a coordinate  $(p'_1, p'_2)$  satisfying  $R_{\sigma}(p'_1, p'_2) = s_{\sigma}$  and the Euclidean distance between  $(p_1, p_2)$  and  $(p'_1, p'_2)$  is the smallest. The original pixel pair  $(p_1, p_2)$  is then replaced by the stego pixel pair  $(p'_1, p'_2)$ . Each pixel pair is embedded with a secret digit using the same procedure until all the secret digits are embedded. To extract the embedded secret, pixel pairs in the stego image are sequentially visited as in the embedding phase. The embedded digit  $s_{\sigma}$  in the *i*-th pixel pair can be easily extracted by referencing the entity located at  $(p'_1, p'_2)$ , i.e.,  $s_{\sigma} = R_{\sigma}(p'_1, p'_2)$ .

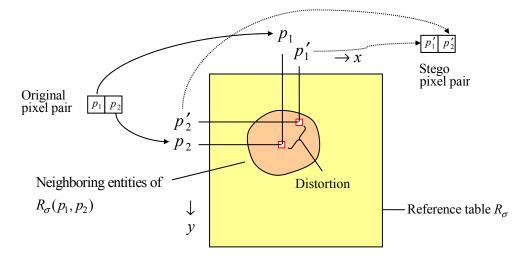


Figure 1. Schematic illustration of the pixel pair matching (PPM)-based embedding methods.

The design of the reference table greatly affects the embedding performance of the PPM-based method. A well-designed reference table should satisfy the condition that the MSE of the replacement of  $(p_1, p_2)$  by all possible  $(p'_1, p'_2)$  should be the smallest. The adaptive PPM (APPM) method proposed in [7] gives the optimal solution to construct the reference table for concealing digits with  $\sigma$ -ary notational system, where the reference table is filled with the digits by using the equation

$$R_{\sigma}(x,y) = \operatorname{mod}(c_{\sigma}x + y,\sigma) \tag{1}$$

where  $c_{\sigma}$  is a constant. For example, when  $\sigma = 4, 8, 16, 32$ , and  $64, c_{\sigma} = 2, 3, 6, 7$ , and 14, respectively. We use a brief example to show the procedures of embedding and extraction of the APPM method. Let  $p_1 = 35$ ,  $p_2 = 23$ , and the secret digits in 16-ary notational system to be embedded is  $s_{16} = 15_{16}$ . The reference table can be constructed using Equation (1). Figure 2 shows a fraction of the reference table.

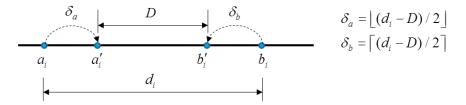
Because  $R_{16}(37,23) = 15_{16}$  and (37,23) has the nearest distance to (35,23) for all  $R_{16}(x,y) = 15_{16}$ , we have  $p'_1 = 37$  and  $p'_2 = 23$ . To extract the embedded digits, since we know  $p'_1 = 37$  and  $p'_2 = 23$ , we thus obtain  $s_{16} = 15_{16}$ .

						$\rightarrow$	x	$p_1$		$p'_1$			
		28	29	30	31	32	33	34	35	36	37	38	39
$ \begin{array}{c} p_{2} \\ p_{2}' \\ p_{2}' \\ \downarrow \\ y \end{array} $	20	4	5	6	7	8	9	10	11	12	13	14	15
	21	10	11	12	13	14	15	0	1	2	3	4	5
	22	0	1	2	3	4	5	6	7	8	9	10	11
	>23	6	7	8	9	10	11	12	13	14	15	0	1
	24	12	13	14	15	0	1	2	3	4	5	6	7
	25	2	3	4	5	6	7	8	9	10	11	12	13
	26	8	9	10	11	12	13	14	15	0	1	2	3
	27	14	15	0	1	2	3	4	5	6	7	8	9

Figure 2. Example of data embedding and extraction.

#### 2.3. Huang et al.'s Method

Huang et al. [28] proposed a data hiding method based on AMBTC in 2017. In their method, AMBTC blocks are classified into smooth and complex. The quantization levels of both smooth and complex blocks are used to carry data, while only the bitmaps of the smooth blocks are used to embed data. To embed data, the trios  $\{a_i, b_i, B_i\}_{i=1}^N$  are scanned. Let  $d_i$  be the difference between  $b_i$  and  $a_i$ , i.e.,  $d_i = b_i - a_i$ . If  $d_i \leq T$ , where *T* is a predefined threshold, the block is classified as a smooth one. In this case, the bitmap  $B_i$  is replaced by  $m \times m$  bits secret data  $S_j$ . The two quantization levels  $a_i$  and  $b_i$  are also modified for carrying  $\log_2 T$  secret bits in a way that the binary representation of the difference between  $a_i$  and  $b_i$  has to be decreased such that the decreased difference  $d'_i = b'_i - a'_i = D$ , where  $a'_i$  and  $b'_i$  represent the modified values of  $a_i$  and  $b_i$ , respectively. This can be done by shifting  $a_i$  by  $\delta_a$  and shifting  $b_i$  by  $\delta_b$ , where  $\delta_a + \delta_b = d_i - D$ . In [28],  $\delta_a = \lfloor (d_i - D)/2 \rfloor$  and  $\delta_b = \lceil (d_i - D)/2 \rceil$  are set. The schematic diagram of the shifting of  $a_i$  and  $b_i$  are shown in Figure 3.



**Figure 3.** Schematic diagram of the shifting of  $a_i$  and  $b_i$  for a smooth block.

With the concept described above, Huang et al. use the following equations to perform the modification of quantization levels for carrying  $\log_2 T$  secret bits:

$$a'_{i} = \begin{cases} a_{i} + \lfloor (d_{i} - D)/2 \rfloor, & \text{if } d_{i} > D \text{ or } (d_{i} \le D \le T), \\ a_{i} + \lfloor (d_{i} - D + T)/2 \rfloor, & \text{otherwise,} \end{cases}$$
(2)

$$b'_{i} = \begin{cases} b_{i} - \lceil (d_{i} - D) \rceil / 2, & \text{if } d_{i} > D \text{ or } (d_{i} \le D \le T), \\ b_{i} - \lceil (d_{i} - D + T) / 2 \rceil, & \text{otherwise.} \end{cases}$$
(3)

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On the other hand, if the scanned block is complex, i.e.,  $d_i > T$ ,  $a_i$  and  $b_i$  are also modified to carry log<sub>2</sub> *T* bits according to the equation given below:

$$a'_{i} = \begin{cases} a_{i} - \lfloor (D - R_{i})/2 \rfloor, & \text{if } R_{i} \leq D \text{ or } (R_{i} > D \text{ and } d_{i} - R_{i} + D > T), \\ a_{i} - \lfloor (D - R_{i} + T)/2 \rfloor, & \text{otherwise,} \end{cases}$$

$$\tag{4}$$

$$b'_{i} = \begin{cases} b_{i} + \lceil (D - R_{i})/2 \rceil, & \text{if } R_{i} \leq D \text{ or } (R_{i} > D \text{ and } d_{i} - R_{i} + D > T), \\ b_{i} + \lceil (D - R_{i} + T)/2 \rceil, & \text{otherwise,} \end{cases}$$
(5)

where  $R_i$  is the remainder between  $d_i$  and T. For a complex block, because  $a'_i \leq a_i$  and  $b'_i \geq b_i$ ,  $b'_i - a'_i > T$  still hold. Huang et al. exploits this fact to embed one additional bit. That is, if the to-be-embedded bit is 1<sub>2</sub>, the values of  $a'_i$  and  $b'_i$  are swapped and bitmap  $B'_i = \overline{B}_i$  is set, where  $\overline{B}_i$  is the flipped version of  $B_i$ . Otherwise,  $a'_i$  and  $b'_i$  are kept unchanged and set  $B'_i = B_i$ .

The data extraction procedures in Huang et al.'s method are quite straight forward. If  $b'_i - a'_i \leq T$ , the scanned block is smooth and thus  $m \times m$  bits can be extracted from  $B'_i$ , and the other  $\log_2 T$  bits  $(\log_2 |b'_i - a'_i|)_2$  can be extracted from the two quantization levels, where  $(x)_2$  represents the binary representation of x. Here we use a simple example to illustrate the embedment in the quantization levels. Suppose  $a_i = 25$ ,  $b_i = 30$ , T = 4, and the to-be-embedded bits are  $10_2$ . Because  $d_i = b_i - a_i = 5 > T$ , the block is complex, and we have  $D = 10_2 = 2$ ,  $R_i = \text{mod}(d_i, T) = 1$ . According to Equation (4), because  $R_i \leq D$ , we have  $a'_i = a_i - \lfloor (D - R_i)/2 \rfloor = 25 - \lfloor (2 - 1)/2 \rfloor = 25$ ,  $b'_i = 30 + \lceil (2 - 1)/2 \rceil = 31$ . To extract the embedded bits, which is the binary representation of  $\text{mod}(d'_i, T)$ , we calculate  $d'_i = b'_i - a'_i = 6$ . Because  $\text{mod}(d'_i, T) = 2$ , the embedded bits are  $10_2$ .

#### 3. Proposed Method

Most of the prior works based on the AMBTC compressed images embed data by replacing the bitmap of trios with secret data. However, these methods do not fully exploit the adjustment of quantization levels for carrying additional data bits while minimizing the distortion. Ou and Sun's method [26] gives an optimal modification to the quantization levels to ensure the distortion after the bitmap replacement is minimal. However, the quantization level modification is based on the original image blocks. The optimized quantization levels can be obtained only if the original image block is known. Besides this, the quantization levels in this method are not used to carry data bit. As a result, the embedding capacity is limited. Huang et al.'s method [28] subtly embeds additional data bits into the difference of the quantization levels. However, they provide no solution to deal with the overflow and underflow problems, and these problems occur frequently, as the threshold is large.

The proposed method fully exploits the modification of quantization levels to achieve a better embedding efficiency. A threshold is used in the proposed method to classify blocks into smooth and complex ones. For smooth blocks, the bitmap in each trio is replaced by secret messages, while the two quantization levels are adjusted in a way that the stego block has the smallest distortion. Moreover, the adjusted quantization levels can be further modified to embed additional data bits using the APPM technique [7]. For complex blocks, a data bit is losslessly embedded by switching the recording order of quantization levels while flipping the bitmap. The payload is adjustable by varying the threshold or by choosing a larger notational system. A division-switching mechanism is also adopted to solve the case when the constraints in the optimization problems cannot be satisfied. The detailed techniques used in the proposed method are given in the following subsection.

#### 3.1. Optimal Quantization Level Adjustment

The proposed method uses a threshold *T* to classify blocks into smooth and complex. If the difference between  $a_i$  and  $b_i$  is smaller or equal to *T*, i.e.,  $b_i - a_i \leq T$ , the block is a smooth one, Otherwise, the block is classified as complex. The bitmap  $B_i = \{B_{i,k}\}_{k=1}^{m \times m}$  of a smooth block is employed to carry secret data  $S_j = \{S_{j,k}\}_{k=1}^{m \times m}$  by replacing  $B_i$  with  $S_j$ . The distortion due to the

bitmap replacement will inevitably occur. Nevertheless, the quantization levels can be adjusted such that the distortion is the smallest. Let  $I(a_i, b_i, B_i)$  and  $I(a, b, S_j)$  be the decoded AMBTC block using the trio  $(a_i, b_i, B_i)$  and  $(a, b, S_j)$ , respectively. The quantization levels that minimize the distortion can be found by minimizing the mean square error (MSE) between  $I(a_i, b_i, B_i)$  and  $I(a, b, S_i)$ . Since *a* and *b* are 8-bit integers, the minimization problem can be formulated as:

Minimize:

$$\sum_{k=1}^{n \times m} \left( I(a_i, b_i, B_{i,k}) - I(a, b, S_{i,k}) \right)^2 \tag{6}$$

Subject to:

 $a, b \in \text{integrals},$   $0 \le a, b \le 255,$  $b-a \le T.$ 

Let  $(\hat{a}_i, \hat{b}_i)$  denote the solution to Equation (6). Suppose  $\nu_0$  and  $\nu_1$  represent the percentage of the unchanged bits and flipped bits when replacing  $B_i$  with  $S_j$ , respectively. Therefore, the squared error *SE* caused by replacing  $B_i$  with  $S_i$  can be formulated as

$$SE = \nu_0 \Big( (a_i - a)^2 + (a_i - b)^2 \Big) + \nu_1 \Big( (b_i - a)^2 + (b_i - b)^2 \Big).$$
(7)

The minimal distortion of *SE* can be found by setting the partial derivative of Equation (7) with respect to *a* and *b* to zero and solving the resultant equations, we have

$$a = \frac{a_i \nu_0 + b_i \nu_1}{\nu_0 + \nu_1}, \ b = \frac{a_i \nu_1 + b_i \nu_0}{\nu_0 + \nu_1}.$$
(8)

Because  $a_i \leq b_i$ , we assume  $a_i = \gamma b_i$  where  $\gamma$  is a constant and  $\gamma \leq 1$ . Therefore, Equation (8) can be rewritten as  $a = a_i(v_0 + \frac{1}{\gamma}v_1)/(v_0 + v_1)$  and  $b = b_i(v_0 + \gamma v_1)/(v_0 + v_1)$ . Since  $(v_0 + \frac{1}{\gamma}v_1)/(v_0 + v_1) \geq 1$  and  $(v_0 + \gamma v_1)/(v_0 + v_1) \leq 1$ , the conditions  $a_i \leq \hat{a}_i$  and  $\hat{b}_i \leq b_i$  always hold. Therefore, the second constraint in Equation (6) can be reduced to  $a_i \leq a$  and  $b \leq b_i$ , and thus the third constraint automatically holds. Because  $v_0$  and  $v_1$  can be obtained once  $B_i$  and  $S_j$  are known,  $\hat{a}_i$  and  $\hat{b}_i$  can be directly calculated by rounding the results of Equation (8).

### 3.2. Embedment Using APPM

The solution  $a = \hat{a}_i$ ,  $b = \hat{b}_i$  to Equation (6) gives a minimum distortion when bitmap  $B_i$  is replaced by the secret data  $S_j$ . However,  $\hat{a}_i$  and  $\hat{b}_i$  themselves do not carry any data. We observe that the slight modification to the optimized quantization levels can carry additional data bits, and the APPM technique gives the smallest distortion when modifying a pair of quantization levels ( $\hat{a}_i$ ,  $\hat{b}_i$ ) to ( $\hat{a}'_i$ ,  $\hat{b}'_i$ ) for concealing a digit in  $\sigma$  – ary notational system [7]. Therefore, the proposed method adopts APPM to embed an additional secret digit  $s_{\sigma}$  in  $\sigma$  – ary notational system into the optimized quantization levels, as formulated as

Minimize:

Subject to:

$$(\hat{a}_i - a)^2 + (\hat{b}_i - b)^2$$

$$R_{\sigma}(a, b) = s_{\sigma},$$

$$0 \le a, b \le 255,$$

$$|a - b| \le T,$$

$$(9)$$

where  $R_{\sigma}$  is the reference table used for embedding digits in  $\sigma$  – ary notational system. The solution  $a = \hat{a}'_i$  and  $b = \hat{b}'_i$  to Equation (9) can be easily solved by locating the neighboring entities of  $(\hat{a}_i, \hat{b}_i)$  with

value  $s_{\sigma}$  in the reference table  $R_{\sigma}$ , and then select the one satisfying  $|a - b| \le T$  and  $(\hat{a}_i - a)^2 + (\hat{b}_i - b)^2$  is the minimum.

#### 3.3. Division-Switching Technique

In rare cases that the solution cannot be found because of no quantization levels *a* and *b* both satisfying  $R_{\sigma}(a, b) = s_{\sigma}$  and  $|a - b| \leq T$ , we simply modify the original quantization levels  $a_i$  and  $b_i$  to  $a'_i$  and  $b'_i$  such that  $b'_i - a'_i > T$  and the MSE between  $I(a_i, b_i, B_i)$  and  $I(a'_i, b'_i, B_i)$  is the smallest. This operation can be formulated as an optimization problem:

Minimize:

$$\sum_{k=1}^{m \times m} (I(a_i, b_i, B_{i,k}) - I(a, b, B_{i,k}))^2$$
(10)

Subject to:

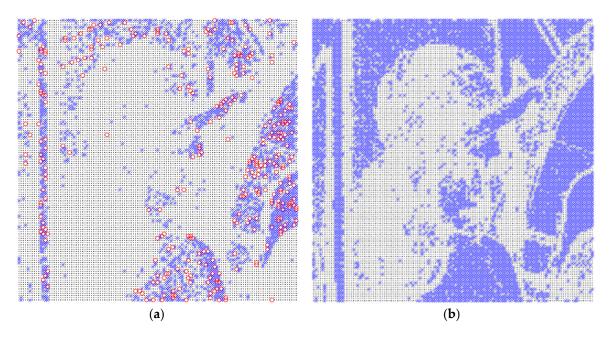
$$a, b \in \text{integrals},$$
  
 $0 \le a, b \le 255,$   
 $b-a > T.$ 

Once the solution  $a = a'_i$  and  $b = b'_i$  have been determined, one data bit can be embedded into this block. On the decoder side, because  $b'_i - a'_i > T$ , the decoder knows the visited block is complex and thus the embedded data bit can be successfully extracted. The embedding and extraction of complex block will be discussed in the next subsection.

#### 3.4. Lossless Embedment Technique

If  $b_i - a_i > T$ , the block is classified as complex and the modification of quantization levels or bitmap will produce significant distortion. Nevertheless, a data bit can still be embedded losslessly using the method proposed in [24]. To losslessly embed a data bit into the trio  $(a_i, b_i, B_i)$ , if the to-be-embedded bit is  $0_2$ , the trio is unmodified. If the to-be-embedded bit is  $1_2$ , the trio is modified to  $(b_i, a_i, \overline{B}_i)$ , where  $\overline{B}_i$  is the flipped version of  $B_i$ . Note that the decoded blocks  $I(a_i, b_i, B_i)$  and  $I(b_i, a_i, \overline{B}_i)$ are identical. As a result, the embedment in the complex blocks results in no distortion but contribute one bit to payload per block.

Figure 4a,b show the distribution of embedment using APPM (Section 3.2, marked by blue cross marks), division-switching (Section 3.3, marked by red circle marks), and the lossless embedment techniques proposed in this section (marked by black dots) using the parameters  $\sigma = 32$ , T = 3 and  $\sigma = 32$ , T = 5, respectively. As seen from Figure 4a, when a large  $\sigma$  and a small *T* is set, the embedment using the division-switching technique sparsely distributed. However, when *T* slightly increases to 5, none of the division-switching technique is applied, and more blocks are classified as smooth ones. This is reasonable because when *T* is small, the first and third constraints in Equation (9) are more difficult to satisfy. As a result, the division-switching technique will be applied more often than large *T*.



**Figure 4.** Distribution of the proposed embedding techniques. (a) T = 3,  $\sigma = 32$ ; (b) T = 5,  $\sigma = 32$ .

## 3.5. Embedding Procedures

For a given payload  $P_{\ell}$  and a notational system  $\sigma$ , the proposed method firstly finds a minimum threshold *T* such that all the payload  $P_{\ell}$  can be embedded. Let  $N_S$  and  $N_C$  be the number of smooth and complex blocks, respectively. Since the proposed method embeds  $\log_2 \sigma + m \times m$  bits into smooth blocks and embeds one bit into complex block, therefore, the smallest *T* can be determined such that

$$N_{\rm S}(\log_2 \sigma + m \times m) + N_{\rm C} \ge P_\ell \tag{11}$$

Once the smallest *T* has been determined, the following procedures are employed to embed the secret data:

Input: AMBTC codes  $\{a_i, b_i, B_i\}_{i=1}^N$ , secret data *S*, block size  $m \times m$ , and  $\sigma$ . Output: AMBTC stego codes  $\{a_i^S, b_i^S, B_i^S\}_{i=1}^N$ , threshold *T*.

Step 1: Use Equation (11) to determine the smallest threshold *T*.

Step 2: Scan the AMBTC trios  $\{a_i, b_i, B_i\}_{i=1}^N$ .

- Step 3: If  $b_i a_i \le T$ , the scanned trio is smooth. The following three steps are performed to embed  $\log_2 \sigma + m \times m$  bits into the scanned trio:
  - (1) Extract  $m \times m$  bits  $S_j$  from S, and replace  $B_i$  with  $S_j$ .
  - (2) Adjust the quantization pair  $(a_i, b_i)$  to  $(\hat{a}_i, \hat{b}_i)$  by solving the Equation (6) given in Section 3.1.
  - (3) Extract  $\log_2 \sigma$  bits from *S*, convert the extracted bits to a digit  $s_{\sigma}$  in  $\sigma$  ary notational system, and perform the APPM embedding technique to embed  $s_{\sigma}$  into the quantization pair  $(\hat{a}'_i, \hat{b}'_i)$  by solving Equation (9). In case the solution cannot be found, return the previously extracted  $\log_2 \sigma + m \times m$  to *S*, modify  $(a_i, b_i)$  to  $(a'_i, b'_i)$  according to the Equation (10), and go to Step 4 for embedding one additional bit.
- Step 4: If  $b_i a_i > T$ , the scanned trio is complex. Extract one bit from *S* and losslessly embed the extracted bit, as described in Section 3.4.
- Step 5: Steps 2–4 are repeated until all bits in *S* are embedded.

The embedding procedures are briefly illustrated in Figure 5. The final stego codes are denoted by  $\{a_i^S, b_i^S, B_i^S\}_{i=1}^N$ . The parameters  $\sigma$ , *m*, and *T* are recorded as the keys for data extraction, and use a secret channel to transmit them to the receiver side.

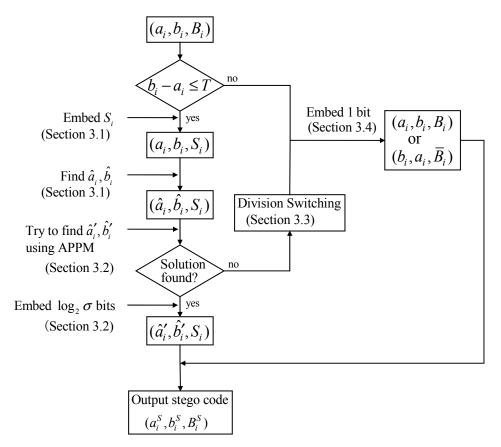


Figure 5. Schematic illustration of the embedding procedures.

# 3.6. Extraction Procedures

Once the receiver has the stego codes  $\{a_i^S, b_i^S, B_i^S\}_{i=1}^N$  and the keys *m*,  $\sigma$ , and *T*, the secret data can be extracted using the following procedures:

Input: AMBTC stego codes  $\{a_i^S, b_i^S, B_i^S\}_{i=1}^N$ , *T*,  $\sigma$ , and block size  $m \times m$ . Output: Secret data S.

Step 1: Scan the stego codes  $\{a_i^S, b_i^S, B_i^S\}_{i=1}^N$  sequentially.

- Step 2: If  $|a_i^S b_i^S| \le T$ , extract  $m \times m$  bits from the bitmap. Other  $\log_2 \sigma$  bits can be extracted by obtaining  $s_{\sigma} = R_{\sigma}(a_i^S, b_i^S)$  first, and then convert  $s_{\sigma}$  to its binary representation. Step 3: If  $|a_i^S - b_i^S| > T$ , one bit is embedded in this block. If  $a_i^S < b_i^S$ , a bit  $0_2$  is extracted. If  $a_i^S > b_i^S$ ,
- a bit 1<sub>2</sub> is extracted.

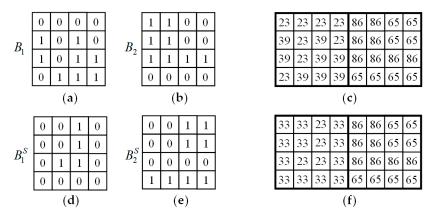
Step 4: Repeat Steps 2–4 until all the embedded data are extracted.

## 3.7. A Simple Example

The following is an example to show the procedures of embedding and extraction of the proposed method. For simplicity, the determination of threshold and the division-switching technique introduced in Section 3.3 are not demonstrated in this example. Suppose two AMBTC trios  $\{a_i, b_i, B_i\}_{i=1}^2$  are to be embedded with secret data  $S = 001000100110000010111_2$ . The two trios and the corresponding AMBTC block are shown in Figure 6a–c, respectively. Let T = 20 and  $\sigma = 16$  be the embedding parameters used, and the required reference table  $R_{16}$  is shown in Figure 2. In this example, the bitmap is capable of carrying 16 bits while the pair of quantization levels are able to carry  $\log_2 16 = 4$  bits.

For the first trio, because  $b_1 - a_1 = 39 - 23 = 16 \le T$ , we embed 16 bits into the bitmap  $B_1$  by replacing  $B_1$  with the first 16 bits 0010001001100000<sub>2</sub> of *S*. Since the bitmap is replaced, a pair of quantization levels  $(\hat{a}_1, \hat{b}_1)$  has to find such that the distortion due to the bitmap replacement is the smallest.  $\hat{a}_1 = 31$  and  $\hat{b}_1 = 23$  can be obtained by solving Equation (6) with few calculations. Now,  $\hat{a}_1$  and  $\hat{b}_1$  can be modified to carry four additional bits. Extract the 17-th to 20-th bits 1011<sub>2</sub> from *S* and convert it to the 16-ary notational system, we have  $s_{16} = 11$ . Because the coordinate (33, 23) is the nearest coordinate to (31, 23) satisfying  $R_{16}(33, 23) = 11$  and |23 - 33| < 20, we have  $\hat{a}'_1 = 33$  and  $\hat{b}'_1 = 23$ . As a result, the final stego trio for the first AMBTC block is  $(a_1^S, b_1^S, B_1^S) = (33, 23, 0010\,0010\,0110\,0000_2)$ . For the second block, because  $b_2 - a_2 = 86 - 65 = 21 > T$ , one bit can be embedded into this block losslessly. Because the 21-th bit is 1<sub>2</sub>, swapping the value of  $a_2$  and  $b_2$ , and flipping the bitmap of  $B_2$ , we have the stego code  $(a_2^S, b_2^S, B_2^S) = (86, 65, 0011\,00110000\,1111_2)$ . The final stego trios and the corresponding stego AMBTC block are shown in Figure 6d-f.

To extract the embedded bits, because  $|a_1^S - b_1^S| = |33 - 23| = 10 < T$ , 16 bits are embedded in the bitmap and four bits are embedded in the quantization pair. Extract the 16 bits from the bitmap  $B_1^S$ , we have 0010001001100000\_2. Moreover, because  $R_{16}(33, 23) = 11_{16} = 1011_2$ , four bits 1011\_2 can be extracted. For the second block, since  $|a_2^S - b_2^S| = |86 - 65| > T$  and  $a_2^S > b_2^S$ , a bit 1\_2 is extracted. Concatenate these extracted bits, the embedded secret data 001000100110000010111\_2 can then be successfully extracted.



**Figure 6.** Examples of the proposed method. (a)  $a_1 = 23$ ,  $b_1 = 39$ ; (b)  $a_2 = 65$ ,  $b_2 = 86$ ; (c) AMBTC compressed block; (d)  $a_1^S = 33$ ,  $b_1^S = 23$ ; (e)  $a_2^S = 86$ ,  $b_2^S = 65$ ; (f) Stego image block.

#### 4. Experimental Results

In this section, several experiments are conducted to show the performance of the proposed method. Eight test images shown in Figure 7, including Lena, Jet, Tiffany, Peppers, Tank, Boat, House, and Baboon, were used in the experiment. These test images are 8-bit of size  $512 \times 512$ , which can be obtained from the USC-SIPI image database [35].

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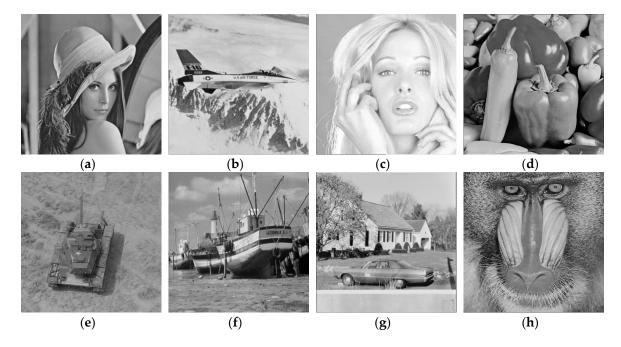


Figure 7. Eight test images. (a) Lena; (b) Jet; (c) Tiffany; (d) Peppers; (e) Tank; (f) Boat; (g) House; (h) Baboon.

These test images were compressed to obtain the AMBTC codes with block size  $4 \times 4$ , and then the embedding performance was tested on these compressed codes. The secret data were simulated using a pseudo random number generator, and the peak signal-to-noise ratio (PSNR) metric is employed to evaluate the image quality, which is defined by

$$PSNR = 10 \log_{10} \frac{255^2}{\frac{1}{M} \sum_{i=1}^{M} (p_i - p'_i)^2},$$
(12)

where *M* is the total number of pixels in the image, and  $p_i$ ,  $p'_i$  are the pixel values of the reference image and the to-be-measured image, respectively. A higher PSNR shows that the measured image quality is visually closer to the reference image.

It should be noted that in the following subsections, the image quality of the stego AMBTC compressed images was measured by referencing the original images. Table 1 shows the image qualities of the AMBTC compressed images when comparing to the original images. Once the data are embedded into the AMBTC codes, the PSNR of the stego AMBTC images should be smaller than the ones listed in this table.

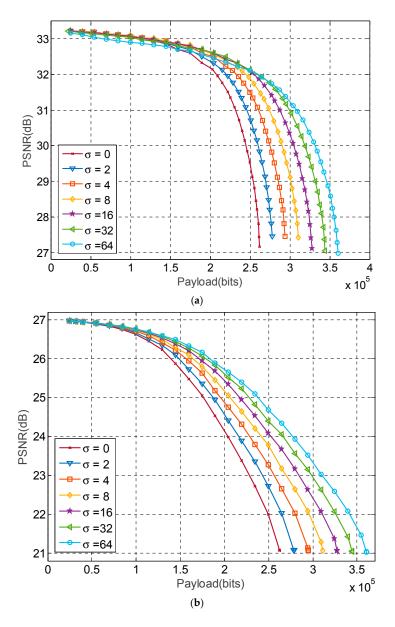
 Table 1. Image quality (in dB) of the absolute moment block truncation coding (AMBTC) compressed images.

Image	Lean	Jet	Tiffany	Peppers	Tank	Boat	House	Baboon
PSNR	33.27	31.97	35.77	33.42	34.73	31.16	30.89	26.98

#### 4.1. Performance of the Proposed Method

In this section, we compare the embedding performance of the proposed method when the parameter  $\sigma$  is chosen to be 2, 4, 8, 16, or 64, respectively. The results of the test images Lena and Baboon are shown in Figure 8, where the curves are plotted by varying the threshold for a given payload. In this figure, the legend  $\sigma = 0$  denotes the optimized quantization levels are used to obtain

the PSNR but they are not used to carry data. It is interesting to note that the decrease in PSNR of the Lena image at low payload is smaller than that of the Baboon image. This is because the Lena image possesses more smooth blocks than complex ones, and at low payload, only part of the smooth blocks are used for carrying  $m \times m + \log_2 \sigma$  bits, and other blocks are used to carry one bit losslessly. As a result, the decrease in PSNR is insignificant when comparing the Baboon image, where smooth blocks are far less than those in the Lena image.



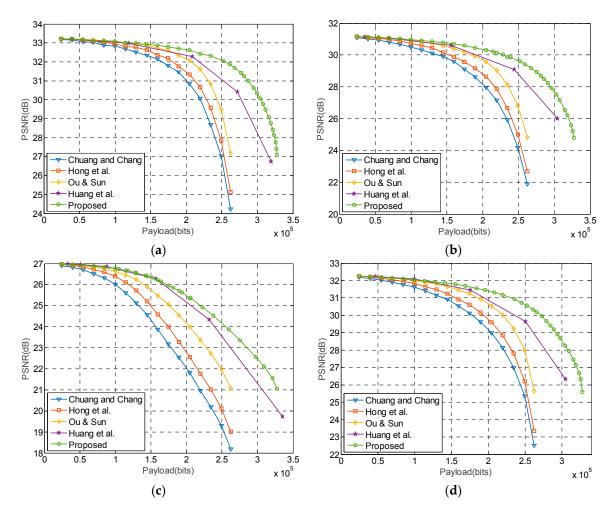
**Figure 8.** Performance comparison of various  $\sigma$ . (a) Lena image; (b) Baboon image.

Figure 8 also shows that for the Lena image at a small payload, a smaller  $\sigma$  provides a slightly higher image quality. On the contrary, a larger  $\sigma$  at large payload offers significantly better image quality than smaller ones. For example, when the payload is 160,000 bits for the Lena image, the PSNR at  $\sigma = 2$ , 4, 8, 16, 32, and 64 are 32.79, 32.85, 32.86, 32.88, 32.82, and 32.69 dB respectively. However, when the payload is increased to 260,000 bits, the PSNR at  $\sigma = 2$ , 4, 8, 16, 32, and 64 are 29.98, 30.99, 31.56, 31.88, 31.96, and 31.94 dB respectively. Complex images such as Baboon at large payload have similar trends. The better embedding performance at high payload is due to the utilization of quantization levels for data embedment. Although Figure 8 only shows the comparison results of two

test images, the similar results are obtained in other test images. The results suggest that the best  $\sigma$  can be selected for a given payload to minimize the image distortion in the proposed method. In real applications with a given payload  $P_{\ell}$ , the best  $\sigma$  that result in the highest PSNR can be calculated by substituting various  $\sigma$  into using Equation (11) to find minimum thresholds *T* and subsequently obtain various PSNRs. The  $\sigma$  and *T* that result in the highest PSNR are selected as the parameter for data embedment.

## 4.2. Comparison with Previous Schemes

In this section, the embedding performance of the proposed method is compared with other recently published methods, including Huang et al.'s [28], Ou and Sun's [26], Hong et al.'s [25], and Chuang et al.'s [23] methods. In Huang et al.'s method, threshold values are set to 2, 4, 8, and 16, respectively, to accommodate the given payload. In Ou and Sun's method, the lossless embedding is also implemented to achieve the best performance. In Hong et al.'s and Chuang et al.'s methods, a threshold is used to control the payload such that the image distortion is the smallest. In the proposed method, the parameter  $\sigma$  that results in the best image quality is selected for data embedment. Figure 9a–c show the performance comparison of the Lena, Boat, and Baboon images, respectively, and Figure 9d is the averaged payload–PSNR curves of the eight test images.

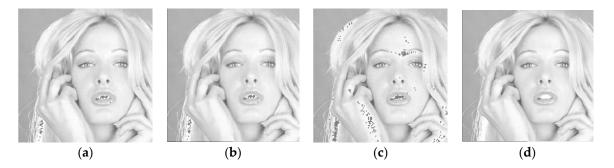


**Figure 9.** Performance comparison of the related works. (a) Lena; (b) Boat; (c) Baboon; (d) Averaged results.

Figure 9 shows that the Chuang and Chang's method has the lowest image quality because this method embeds data simply by bitmap replacement. Hong et al.'s method performs better than

Chuang and Chang's method because the quantization levels are modified according to the number of different bits between secret data and bitmap. Ou and Sun's method gives an optimal modification to the quantization levels to minimize distortion. However, the aforementioned methods do not utilize the quantization levels to carry data bits, and thus the payload is limited to  $m \times m \times N$ . Huang's method effectively embeds data into quantization levels while keeping the distortion low. As a result, the method achieves a better performance over prior works. Nevertheless, the proposed method provides the best embedding result for various payloads, especially at high payloads. The increased PSNR is due in part to the proposed method efficiently embedding data bits into quantization levels using APPM, and due part to the embedding parameters  $\sigma$  can be selected to minimize the distortion.

It is interesting to note that although Huang et al.'s method offers a good embedding performance, their method provides no mechanism to deal with the overflow and underflow problems. As a result, if the threshold is large, or the image itself possesses any saturated pixel (pixels valued 0 or 255), the embedded data cannot be extracted correctly due to the occurrence of overflow/underflow. Figure 10a–c show the overflowed pixels (represented by black cross marks) of the Tiffany image using Huang et al.'s method when the threshold is set to 4, 8, and 16, respectively. As a comparison with Figure 10c, we also show the embedding result of the proposed method when approximately the same payload is embedded.

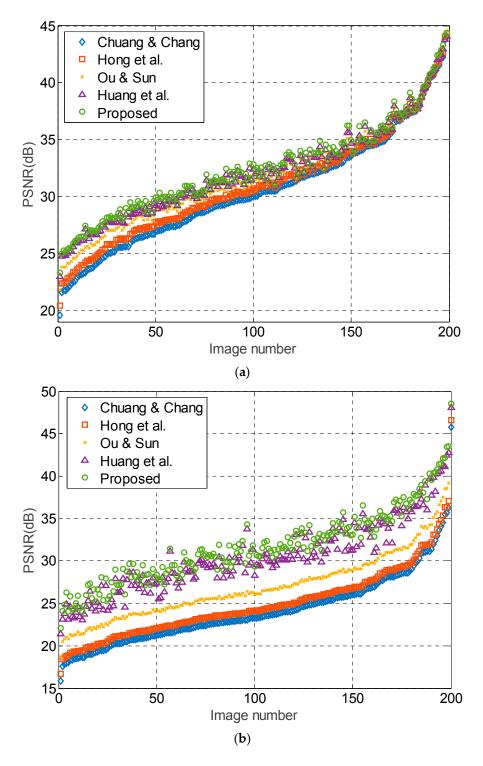


**Figure 10.** Stego AMBTC images. (**a**–**c**) Huang et al.'s method; (**d**) The proposed method. (**a**) T = 4, 136,647 bits 35.30 dB; (**b**) T = 8, 219,721 bits 34.19 dB; (**c**) T = 16, 283,145 bits 31.54 dB; (**d**) T = 16, 283,125 bits 33.53 dB ( $\sigma = 32$ ).

As shown in Figure 10a–c, since the Tiffany image contains a considerable number of saturated pixels, the number of overflowed pixels increases as the threshold increases. On the contrary, the proposed method subtly employs the quantization levels to carry additional data bits, and provides mechanisms to avoid pixel from overflow or underflow. Therefore, the proposed method achieves an excellent performance while the embedded data can be successfully extracted.

In addition to the eight test images, we also perform the test on 200 images randomly selected from [36]. These images are 8-bit of size 512 × 512. Because the maximum payload of Chuang and Chang's, Hong et al.'s, and Ou and Sun's methods are 262,144 bits, we test the performance on two payloads: 200,000, and 262,144 bits. The results are sorted in ascending order according to PSNR values of Chuang and Chang's method, as shown in Figure 11. The results show that the proposed method offers the best image quality among these five methods for most of the test images. Moreover, it is quite obvious that the increase in PSNR of the proposed method is more significant than other methods when  $P_{\ell} = 262$ , 144 bits. This is due to the subtle APPM usage on the quantization levels for carrying additional data bits. Note that the increase in PSNR of proposed method at  $P_{\ell} = 200,000$  bits is not so obvious at high PSNR (from 35 to 45 dB). This is because these high-PSNR images contain a significant number of flat blocks, which is relatively favorable to those methods only using bitmap replacement for embedment. Nevertheless, the proposed method still offers a comparable image quality for most flat images. We perform the test on another set of randomly

selected images, which also shows similar results, indicating that the proposed method indeed offers a better performance than the recently published state-of-the-art works.



**Figure 11.** Performance comparison of 200 test images. (a) PSNR comparisons at payload = 200,000 bits; (b) PSNR comparison at payload = 262,144 bits.

Notice that in the proposed method, the embedded digit  $s_{\sigma}$  in the *i*-th block is extracted via  $s_{\sigma} = R_{\sigma}(\hat{a}_i, \hat{b}_i)$ , and thus any modification to  $\hat{a}_i$  or  $\hat{b}_i$  will cause incorrect data extraction. Therefore, the embedded information is fragile and sensitive to the alteration of the marked AMBTC trios.

Meanwhile, because the proposed method provides an excellent image quality with adjustable payload, it can be utilized as a fragile watermarking technique for the purpose of authentication of the AMBTC codes.

# 5. Conclusions

In this paper, we propose a novel data hiding method based on AMBTC compressed images. The proposed method embeds data into the bitmap if the block is smooth, and the quantization levels are adjusted to minimize the distortion while carrying additional bits. For those complex blocks, one data bit is embedded losslessly. Since the proposed method effectively exploits the quantization levels for data embedment using the APPM technique, the distortion can be effectively minimized and the payload is adjustable. Besides this, the proposed embedding method will never cause the overflow or underflow problems. The results show that the proposed method offers better image quality than previous schemes at high payload, and provides a comparable or better image quality at low payload. Since the proposed work efficiently embeds data into the AMBTC codes with least distortion, the method can be exploited in other applications such as authentication of AMBTC codes. The future work of this study is to incorporate the proposed technique to develop a new AMBTC authentication method with adjustable fault tolerance.

Conflicts of Interest: The authors declare no conflicts of interest.

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