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Accurate Dense Stereo Matching Based on Image Segmentation Using an Adaptive Multi-Cost Approach

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Abstract: This paper presents a segmentation-based stereo matching algorithm using an adaptive multi-cost approach, which is exploited for obtaining accuracy disparity maps. The main contribution is to integrate the appealing properties of multi-cost approach into the segmentation-based framework. Firstly, the reference image is segmented by using the mean-shift algorithm. Secondly, the initial disparity of each segment is estimated by an adaptive multi-cost method, which consists of a novel multi-cost function and an adaptive support window cost aggregation strategy. The multi-cost function increases the robustness of the initial raw matching costs calculation and the adaptive window reduces the matching ambiguity effectively. Thirdly, an iterative outlier suppression and disparity plane parameters fitting algorithm is designed to estimate the disparity plane parameters. Lastly, an energy function is formulated in segment domain, and the optimal plane label is approximated by belief propagation. The experimental results with the Middlebury stereo datasets, along with synthesized and real-world stereo images, demonstrate the effectiveness of the proposed approach.

Keywords: stereo matching; multi-cost; image segmentation; disparity plane fitting; belief propagation

1. Introduction

Stereo matching is one of the most widely studied topics in computer vision. The aim of stereo matching is to estimate the disparity map between two or more images taken from different views for the same scene, and then extract the 3D information from the estimated disparity [1]. Intuitively, the disparity represents the displacement vectors between corresponding pixels that horizontally shift from the left image to the right image [2]. Stereo matching serves an important role in a wide range of applications, such as robot navigation, virtual reality, photogrammetry, people/object tracking, autonomous vehicles, and free-view video [3]. A large number of techniques have been invented for stereo matching, and a valuable taxonomy and categorization scheme of dense stereo matching algorithms can be found in the Middlebury stereo evaluation [1,4,5]. According to the taxonomy, most dense stereo algorithms perform the following four steps: (1) initial raw matching cost calculation; (2) cost aggregation; (3) disparity computation/optimization; and (4) disparity refinement. Due to the ill-posed nature of the stereo matching problem, the recovery of accurate disparity still remains challenging due to textureless areas, occlusion, perspective distortion, repetitive patterns, reflections, shadows, illumination variations and poor image quality, sensory noise, and high computing load. Thus, the robust stereo matching algorithm has become a research hotspot recently [6].

By using a combination of multiple single similarity measures into composite similarity measure, itmulti-cost has been proven to be an effective method for calculating the matching cost [7–10]. Stentoumis et al. proposed a multi-cost approach and obtained excellent results for disparity estimation [7]. This is the most well-known multi-cost approach method and represents a state-of-the-art multi-cost algorithm. On the other hand, segmentation-based approaches have attracted attention due to their excellent performance for occlusion, textureless areas in stereo matching [3,11–16]. Our work is directly motivated by the multi-cost approach and the segmentation-based framework therefore, the image segmentation-based framework and an adaptive multi-cost approach are both utilized in our algorithm. The stereo matching problem can be formalized as an energy minimization problem in the segment domain, which ensures our method will correctly estimate large textureless areas and precisely localize depth boundaries. For each segment region, the initial disparity is estimated using an adaptive multi-cost approach, which consists of a multi-cost function and an adaptive support window cost aggregation strategy. An improved census transformation and illumination normal vector are utilized for the multi-cost function, which increases the robustness of the initial raw matching cost calculation. The shape and size of the adaptive support window based on the cross-shaped skeleton can be adjusted according to the color information of the image, which ensures that all pixels belonging to the same support window have the same disparity. In order to estimate the disparity plane parameters precisely, an iterative outlier suppression and disparity plane parameters fitting algorithm is designed after the initial disparity estimation. The main contribution of this work is to integrate the appealing properties of multi-cost approach into the segmentation-based framework. The adaptive multi-cost approach, which consists of a multi-cost function and an adaptive support window, improves the accuracy of the disparity map. This ensures our algorithm works well with the Middlebury stereo datasets, as well as synthesized and real-world stereo image pairs. This paper is organized as follows: In Section 2, related works are reviewed. In Section 3, the proposed approach is described in detail. In Section 4, experimental results and analysis are given using an extensive evaluation dataset, which includes Middlebury standard data, synthesized images, and real-world images. Finally, the paper is concluded in Section 5.

2. Related Works

The stereo matching technique is widely used in computer vision for 3D reconstruction. A large number of algorithms have been developed for estimating disparity maps from stereo image pairs. According to the analysis and taxonomy scheme, stereo algorithms can be categorized into two groups: local algorithms and global algorithms [1].

Local algorithms utilize a finite neighboring support window that surrounds the given pixel to aggregate the cost volume and generate the disparity by winner takes all (WTA) optimization. It implicitly models the assumption that the scene is piecewise smooth and all the pixels of the support window have similar disparities. These methods have simple structure and high efficiency, and could easily capture accurate disparity in ideal conditions. However, local algorithms cannot work well due to the image noise and local ambiguities like occlusion or textureless areas. In general, there are two major research topics for local methods: similarity measure function and cost aggregation [17]. Typical functions are color- or intensity-based (such as sum of absolute difference, sum of squared difference, normalized cross-correlation) and non-parametric transform-based (such as rank and census). The non-parametric transform-based similarity measure function is more robust to radiometric distortion and noise than the intensity based. For cost aggregation aspect, the adaptive window [18–20] and adaptive weight [17,21,22] are two principal methods. Adaptive window methods try to assign an appropriate size and shape support region for the given pixel to aggregate the raw costs. However, adaptive weight methods inspired by the Gestalt principles adopt the fixed-size square window and assign appropriate weights to all pixels within the support window of the given pixel.

Global algorithms are formulated in an energy minimization framework, which makes explicit smoothness assumptions and solves global optimization by minimizing the energy function. This kind of method has achieved excellent results, with examples such as dynamic programming (DP), belief propagation (BP), graph cuts (GC), and simulated annealing (SA). The DP approach is an efficient solution since the global optimization can be performed in one dimension [23]. Generally, DP is the first choice for numerous real-time stereo applications. Due to smoothness consistency, inter-scanlines cannot be well enforced; the major problem of computed disparity maps-based DP presents the well-known horizontal "streaks" artifacts. The BP and GC approaches are formulated in a two-dimensional Markov random field energy function, which consists of a data term and a smoothness term [24,25]. The data term measures the dissimilarity of correspondence pixels in stereo image pairs, and the smoothness term penalizes adjacent pixels that are assigned to different disparities. The optimization of the energy function is considered to be NP-complete problem. Although a number of excellent results have been obtained, both the BP and the GC approaches are typically expensive in terms of computation and storage. Another disadvantage of these approaches is that there are so many parameters that need to be determined. The semi-global method proposed by Hirschmüller is a compromise between one-dimensional optimization and two-dimensional optimization. It employs the "mutual information" cost function in a semi-global context [26]. While this strategy allows higher execution efficiency, it sacrifices some disparity accuracy.

Recently, segmentation-based approaches have attracted attention due to their excellent performance for stereo correspondence [3,11–16]. This kind of method performs well in reducing the ambiguity associated with textureless or depth discontinuity areas, and enhancing noise tolerance. It is based on two assumptions: The scene structure of the image captured can be approximated by a group of non-overlapping planes in the disparity space, and each plane is coincident with at least one homogeneous color segment region in the reference image. Generally, a segmentation-based stereo matching algorithm can be concluded in four steps as follows: (1) segment the reference image into regions of homogeneous color by applying a robust segmentation method (usually the mean-shift image segmentation technique); (2) estimate initial disparities of reliable pixels using the local matching approach; (3) a plane fitting technique is employed to obtain disparity plane parameters, which are considered as a label set; and (4) an optimal disparity plane assignment is approximated utilizing a global optimization approach.

We mainly contribute to Steps (2) and (3) in this work, and Steps (1) and (4) are commonly used techniques in the context of stereo matching. The key idea behind our disparity estimation scheme is utilizing the multi-cost approach that is usually adopted in local methods to achieve a more accurate initial disparity map, and then utilizing the iterative outlier suppression and disparity plane parameters fitting approach to achieve a more reliable disparity plane. For Step (2), the accurate and reliable initial disparity map can improve the accuracy of the final result; however, this step is usually performed utilizing some simple local algorithm [11,13]. A lot of false matching exists, and these matching errors will reduce the accuracy of the final result. Stentoumis et al. have demonstrated that the multi-cost approach can effectively improve the accuracy of the disparity [7]. In order to estimate an accurate initial disparity map, an adaptive multi-cost approach that consists of a multi-cost function and an adaptive support window cost aggregation strategy is employed. For Step (3), for most segmentation-based algorithms, the RANDom Sample Consensus (RANSAC) algorithm is usually used to filter out outliers and fit the disparity plane. RANSAC algorithm is a classical efficient algorithm; the principle of RANSAC is used to estimate the optimal parameter model in a set of data that contains "outliers" using the iteration method. However, the result of the RANSAC algorithm relies on the selection of initial points. Since the selection is random, the result obtained is not satisfying in some cases [13]. Furthermore, in a disparity estimation scheme, the outliers could be determined by a variety of criteria, e.g., mutual consistency criterion, correlation confidence criterion, disparity distance criterion, and convergence criterion. The different outliers will be obtained from different criteria. In order to combine multiple outlier filtering criteria to filter out the outliers and obtain accurate plane fitting parameters, an iterative outlier suppression and disparity plane parameters fitting algorithm is developed.

3. Stereo Matching Algorithm

In this section, the proposed stereo matching algorithm is described in detail. The entire algorithm is shown in the block diagram representation in Figure 1, which involves four steps: image segmentation, initial disparity estimation, disparity plane fitting, and disparity plane optimization.



Figure 1. Block diagram representation of the proposed stereo algorithm.

3.1. Image Segmentation

Due to the proposed algorithm being based on the segmentation framework, the first step is that the reference image is divided into a group of non-overlapping, homogeneous color segment regions. The segmentation-based framework implicitly assumes that the disparity varies smoothly in the same segment region, and depth discontinuities coincide with the boundaries of those segment regions. Generally, over-segmentation of the image is preferred, which ensures the above assumptions can be met for most natural scenes. The mean-shift color segmentation algorithm is employed to decompose the reference image into different regions [27]. The mean-shift algorithm is based on the kernel density estimation theory, and takes account of the relationship between color information and distribution characteristics of the pixels. The main advantage of the mean-shift technique is that edge information is incorporated as well, which ensures our approach will obtain disparity in textureless regions and depth discontinuities precisely. The segmentation results of partial images in the Middlebury stereo datasets are shown in Figure 2, and pixels belonging to the same segment region are assigned the same color.



Figure 2. The image segmentation results. (**a**) Jade plant image and corresponding segmentation results; (**b**) motorcycle image and corresponding segmentation results; (**c**) playroom image and corresponding segmentation results; (**d**) play table image and corresponding segmentation results; and (**e**) shelves image and corresponding segmentation results.

3.2. Initial Disparity Map Estimation

The initial disparity map is estimated by an adaptive multi-cost approach, which is shown in the block diagram representation in Figure 3. By using the combination of multiple single similarity measures into a composite similarity measure, it has been proven to be an effective method to calculate

the matching cost [7–10]. The adaptive multi-cost approach proposed in this work defines a novel multi-cost function to calculate the raw matching score and employs an adaptive window aggregation strategy to filter the cost volume. The main advantage of the adaptive multi-cost approach is that it improves the robustness of raw initial matching costs calculation and reduces the matching ambiguity, thus the matching accuracy is enhanced.



Figure 3. Block diagram representation of the initial disparity map estimation.

The multi-cost function is formulated by combining four individual similarity functions. Two of them are traditional similarity functions, which are absolute difference similarity functions that take into account information from RGB (Red, Green, Blue) channels, and the similarity function based on the principal image gradients. The other two similarity functions are improved census transform [7] and illumination normal vector [22]. An efficient adaptive method of aggregating initial matching cost for each pixel is then applied, which relies on a linearly expanded cross skeleton support window. Some similarity cost functions used here and the shape of the adaptive support window are shown in Figure 4. Finally, the initial disparity map of each segment region is estimated by the "winner takes all" (WTA) strategy.



Figure 4. The similarity cost functions and the shape of the adaptive support window. (**a**) Jade plant; (**b**) motorcycle; (**c**) playroom; (**d**) play table; and (**e**) shelves. From top to bottom: the reference images; the modulus images of the illumination normal vector; the gradient maps along horizontal direction; the gradient maps along vertical direction; and the examples of the adaptive support window.

3.2.1. The Multi-Cost Function

The multi-cost function is formulated by combining four individual similarity functions. The improved census transform (ICT) is the first similarity function of multi-cost function. It extends the original census transform approaches; the transform is performed here not only on grayscale image intensity, but also on its gradients in the horizontal and vertical directions. The census transform is a high robustness stereo measure to illuminate variations or noise, and the image gradients have a close relationship with characteristic image features, i.e., edges or corners. The similarity function based on improved census transform exploits the abovementioned advantages. In the preparation phase, we use the mean value over the census block instead of the center pixel value, and calculate the gradient images in the *x* and *y* directions using the Sobel operator. Consequently, the ICT over the intensity images *I* as well as the gradient images I_x (*x* directions) and I_y (*y* directions) are shown as:

$$T_{ICT}(x,y) = \bigotimes_{[m,n] \in W} \xi[\overline{I(x,y)}, I(x+m,y+n)] \bigotimes_{\substack{[m,n] \in W \\ [m,n] \in W}} \xi[\overline{I_x(x,y)}, I_x(x+m,y+n)]} \\ (1)$$

where the operator \otimes denotes a bit-wise catenation, and the auxiliary function ξ is defined as:

$$\xi(x,y) = \begin{cases} 0 & \text{if } x \le y \\ 1 & \text{if } x > y \end{cases}$$
(2)

The matching cost between two pixels by applying ICT are calculated via the Hamming distance of the two bit strings in Equation (3):

$$C_{ICT}(x, y, d) = Hamming(T_{GCT}^{reference}(x, y), T_{GCT}^{target}(x + d, y)),$$
(3)

Illumination normal vector (INV) is the second similarity function of multi-cost function. INV reflects the high-frequency information of the image, which generally exists at the boundaries of objects and fine texture area. Consequently, the high-frequency information reflects some small-scale details of the image, which is very useful for stereo correspondence [22]. Denote a pixel of the image as a point in 3D space P[x, y, f(x, y)], where *x* and *y* are the horizontal and vertical coordinates, and f(x,y) is the intensity value of position (*x*,*y*). The INV of point *P* is calculated by the cross-product of its horizontal vector $V_{horizonal}$ and vertical vector $V_{vertical}$. Define V(P) as the INV of point *P*.

$$V(P) = V_{horizonal} \times V_{vertical} = [V_i(P), V_j(P), V_k(P)],$$
(4)

where the horizontal vector $V_{horizonal}$ and vertical vector $V_{vertical}$ are defined as follows:

$$\begin{cases} V_{horizonal} = P[x+1, y, f(x+1, y)] - P[x, y, f(x, y)] \\ V_{vertical} = P[x, y+1, f(x, y+1)] - P[x, y, f(x, y)] \end{cases}$$
(5)

Consequently, Equation (4) can be rewritten as:

$$V(P) = V_{horizonal} \times V_{vertical} = \begin{vmatrix} i & j & k \\ 1 & 0 & f(x+1,y) - f(x,y) \\ 0 & 1 & f(x,y+1) - f(x,y) \end{vmatrix} ,$$
(6)
= $(f(x,y) - f(x+1,y))i + (f(x,y) - f(x,y+1))j + k$

The modulus images of the illumination normal vector of images are shown in the second line of Figure 4. The matching cost between two pixels based on INV measure is calculated via the Euclidean distance of the two vectors as:

$$C_{INV}(x, y, d) = \|V_{reference}(x, y) - V_{target}(x + d, y)\|_{2},$$
(7)

The next two similarity functions are the traditional similarity functions, truncated absolute difference on RGB color channels (TADc) and truncated absolute difference on the image principal gradient (TADg). TADc is a simple and easily implementable measure, widely used in image matching. Although sensitive to radiometric differences, it has been proven to be an effective measure when flexible aggregation areas and multiple color layers are involved. For each pixel, the cost term is intuitively computed as the minimum value between the absolute difference from RGB vector space and the user-defined truncation value *T*. It is formally expressed as:

$$C_{TADc}(x,y,d) = \frac{1}{3} \sum_{i \in (r,g,b)} \min \left| I_i^{reference}(x,y) - I_i^{target}(x+d,y), T \right|,$$
(8)

In the TADg, the gradients of image in the two principal directions are extracted, and the sum of absolute differences of each gradient value in the x and y directions are used as a cost measure. The use of directional gradients separately, i.e., before summing them up to the single measure, introduces the directional information for each gradient into the cost measure. The gradients in the horizontal and vertical directions are shown in the third and fourth lines of Figure 4, respectively. The cost based on TADg can be expressed as Equation (9) with a truncated value T:

$$C_{TADg}(x, y, d) = \min \left| \nabla_x I_{reference}(x, y) - \nabla_x I_{target}(x + d, y), T \right| + \min \left| \nabla_y I_{reference}(x, y) - \nabla_y I_{target}(x + d, y), T \right|$$
(9)

Total matching cost $C_{RAW}(x, y, d)$ is derived by merging the four individual similarity functions. A robust exponential function that resembles a Laplacian kernel is employed for cost combination:

$$C_{RAW}(x, y, d) = \exp\left(-\frac{C_{INV}(x, y, d)}{\gamma_{INV}}\right) + \exp\left(-\frac{C_{GCT}(x, y, d)}{\gamma_{ICT}}\right) + \exp\left(-\frac{C_{TADC}(x, y, d)}{\gamma_{TADC}}\right) + \exp\left(-\frac{C_{TADG}(x, y, d)}{\gamma_{TADG}}\right)$$
(10)

Each individual matching cost score is normalized by its corresponding constant γ_{INV} , γ_{ICT} , γ_{TADC} , and γ_{TADG} , to ensure equal contribution to the final cost score, or tuned differently to adjust their impact on the matching cost accordingly. Tests of multi-cost function performed on the Middlebury stereo datasets for stereo matching are presented in Figures 5 and 6. The test results show that the matching precision is increased by combining the individual similarity functions. In Figure 5, disparity maps are estimated with different combinations of similarity functions after the aggregation step. From top to bottom: the reference images; the ground truth; the disparity maps estimated by ICT; ICT+TADc; ICT+TADc+TADg; ICT+TADc+TADg+INV; the corresponding bad 2.0 error maps for ICT; ICT+TADc; ICT+TADc+TADg; and ICT+TADc+TADg+INV. The same region of the error maps is marked by red rectangles. The marked regions show that the error is reduced through combining the individual similarity functions. The disparity plane fitting and optimization steps described in Sections 3.3 and 3.4 have not been used here, in order to illustrate individual results and the improvement achieved by fusing the four similarity functions. Figure 6 shows the visualized quantitative performance of similarity functions (in % of erroneous disparities at 2 error threshold) by comparing different combinations of similarity functions against the ground truth. From left to right, the charts correspond to the error matching rate of (a) non-occluded pixels and (b) all image pixels. On the horizontal axis, A: ICT; B: ICT+TADc; C: ICT+TADc+TADg; and D: ICT+TADc+TADg+INV. Following that, the matching cost $C_{RAW}(x, y, d)$ is stored in a 3D matrix known as the disparity space image (DSI).



Figure 5. Comparison of different ways of similarity functions combination for Middlebury stereo datasets. (**a**) Jade plant; (**b**) motorcycle; (**c**) playroom; (**d**) play table; and (**e**) shelves. Disparity maps are estimated by different combinations of similarity functions after the aggregation step. From top to bottom: the reference images; the ground truth; the disparity maps estimated by ICT; ICT+TADc; ICT+TADc+TADg; ICT+TADc+TADg+INV; the corresponding bad 2.0 error maps for ICT; ICT+TADc; ICT+TADc; ICT+TADc; and ICT+TADc+TADg+INV. The same region of the error maps is marked by red rectangles.



Figure 6. The visualized quantitative performance of similarity functions (in % of erroneous disparities at 2 error threshold) by comparing different combinations of similarity functions against the ground truth. From left to right, the charts correspond to the error matching rate of (**a**) non-occluded pixels and (**b**) all image pixels. On the horizontal axis, A: ICT; B: ICT+TADc; C: ICT+TADc+TADg; and D: ICT+TADc+TADg+INV.

3.2.2. Cost Aggregation

As mentioned above, matching $\cot C_{RAW}(x, y, d)$ is called raw DSI since it is always accompanied with aliasing and noise. Cost aggregation can decrease the aliasing and noise by averaging or summing up the DSI over a support window. This implicitly assumes that the support window is a front parallel surface and all pixels in the window have similar disparities. In order to obtain accurate disparity results at near depth discontinuities, an appropriate support window should be constructed. An adaptive cross-based window that relies on a linearly expanded cross skeleton support region for cost aggregation is adopted [7,10,18,28]. The shape of the adaptive support window is visually presented in the fifth line of Figure 4. The cross-based region consists of multiple horizontal line segments spanning several neighboring rows. This aggregation strategy has two main advantages: firstly, the support window can vary adaptively, with arbitrary size and shape according to the scene color similarity; secondly, the aggregation over irregularly shaped support windows can be performed quickly by utilizing the integral image technique.

The construction of cross-based support regions is achieved by expanding around each pixel a cross-shaped skeleton to create four segments $\{h_p^-, h_p^+, v_p^-, v_p^+\}$ defining the corresponding sets of pixels H(p) and V(p) in the horizontal and vertical directions, as seen in Figure 7a [7].

$$H(p) = \left\{ (x,y) \middle| x \in [x_p - h_p^-, x_p + h_p^+], y = y_p \right\}$$

$$V(p) = \left\{ (x,y) \middle| x = x_p, y \in [y_p - v_p^-, y_p + v_p^+] \right\}$$
(11)

In our approach, the linear threshold proposed in [7] is used to expand the skeleton around each pixel: $T(L_q) = -(T_{\max}/L_{\max}) \times L_q + T_{\max}$. This linear threshold $T(L_q)$ in color similarity involves the maximum semi-dimension L_{\max} of the support window size, the maximum color dissimilarity T_{\max} between pixels p and q, and the spatial closeness L_q . According to [7], the values of T_{\max} and L_{\max} are 20 and 35, respectively. The final support window U(p) for p is formulated as a union of horizontal segment H(q), in which q traverses the vertical segment V(p). A symmetric support window is also adopted to avoid distortion by the outliers in the reference image [7]. This is shown in Figure 7b.

$$U(p) = \bigcup_{q \in V(p)} H(q), \tag{12}$$

The final aggregation cost for each pixel is calculated by aggregating the matching cost over the support window. This process can be quickly realized by integrating image technology, as shown in Figure 7c.

$$C_{aggregation}(x_{p}, y_{p}, d) = \frac{1}{|U(p)|} \sum_{(x_{i}, y_{i}) \in U(p)} C_{RAW}(x_{i}, y_{i}, d),$$
(13)



Figure 7. The illustration of the adaptive cross-based aggregation algorithm. (a) The upright cross skeleton. The upright cross consists of a horizontal segment $H(p) = \{(x, y) | x \in [x_p - h_p^-, x_p + h_p^+], y = y_p\}$ and a vertical segment $V(p) = \{(x, y) | x = x_p, y \in [y_p - v_p^-, y_p + v_p^+]\}$; (b) the support region U(P) is a combination of each horizontal segment H(q), where q traverses the vertical segment V(p) of p; (c) a schematic of a 1D integral image technique.

Subsequently, the initial disparity $d_{x,y}$ at coordinates (*x*,*y*) is estimated by using the WTA strategy where the lowest matching cost is selected:

$$d_{x,y} = \underset{D_{\min} \le d \le D_{\max}}{\operatorname{argmin}} C_{aggregation}(x, y, d), \tag{14}$$

3.3. Disparity Plane Fitting

Although the RANSAC algorithm has been widely used for rejecting outliers fitting data, it is usually not suitable for the segmentation-based framework of stereo matching [13]. That is because the outliers are caused by many different factors, like textureless areas, occlusion, etc. If the filtering criteria are different, that produces different outliers. In this section, an iterative outlier suppression and disparity plane parameters fitting algorithm is designed for plane fitting. The disparity of each segment region can be modeled as:

$$d(x,y) = ax + by + c,$$
(15)

where *d* is the corresponding disparity of pixel (*x*,*y*), and *a*, *b*, *c* are the plane parameters of the arbitrary segment region. In order to solve the plane parameters, a linear system for the arbitrary segment region can be formulated as follows:

$$A[a,b,c]^T = B, (16)$$

where the *i*'th row of the matrix *A* is $[x_i, y_i, 1]$, and the *i*'th element of the vector *B* is $d(x_i, y_i)$. Then the linear system can be transformed into the form of $A^T A[a, b, c]^T = A^T B$; the detailed function is expressed as follows:

$$\begin{bmatrix} \sum_{i=1}^{m} x_i^2 & \sum_{i=1}^{m} x_i y_i & \sum_{i=1}^{m} x_i \\ \sum_{i=1}^{m} x_i y_i & \sum_{i=1}^{m} y_i^2 & \sum_{i=1}^{m} y_i \\ \sum_{i=1}^{m} x_i & \sum_{i=1}^{m} y_i & 1 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \end{bmatrix} = \begin{bmatrix} \sum_{i=1}^{m} x_i d_i \\ \sum_{i=1}^{m} y_i d_i \\ \sum_{i=1}^{m} d_i \end{bmatrix},$$
(17)

where *m* is the number of pixels inside the corresponding segment region. After that, the Singular Value Decomposition (SVD) approach is employed to solve the least square equation to obtain the disparity plane parameters:

$$[a, b, c]^{T} = (A^{T}A)^{+}A^{T}B, (18)$$

where $(A^T A)^+$ is the pseudo-inverse of $A^T A$ and can be solved through SVD.

However, as is well known, the least square solution is extremely sensitive to outliers. The outliers in this stage are usually generated at the last stage due to the matching error inevitable in initial disparity map estimation. In order to filter out these outliers and obtain accurate plane parameters, four filters are combined.

The first filter is mutual consistency check (often called left-right check). The principle of mutual consistency check is that the same point of the stereo image pair should have the same disparity. Thus, the occluded pixels in the scene can be filtered out. Let $D_{reference}$ be the disparity map from reference image to target image, and D_{target} be the disparity map from target image to reference image. The mutual consistency check is formulated as:

$$\left| D_{reference}(x,y) - D_{target}(x - D_{reference}(x,y),y) \right| \le t_{consistency},\tag{19}$$

where $t_{consistency}$ is a constant threshold (typically 1). If the pixels of the reference image satisfy Equation (19), these pixels are marked as non-occluded pixels; otherwise these pixels are marked as occluded pixels, which should be filtered out as outliers.

Afterwards, correlation confidence filter is established to judge whether the non-occluded pixels are reliable. Generally, some of the disparity in the textureless areas may be incorrect but will be consistent for both views. Thus, the correlation confidence filter is adopted to overcome this difficulty and obtain reliable pixels. Let $C_{aggregation}^{first}(x, y)$ be the best cost score of a pixel in the non-occluded pixels set, and $C_{aggregation}^{second}(x, y)$ be the second best cost score of this pixel. The correlation confidence filter is formulated as:

$$\left|\frac{C_{aggregation}^{first}(x,y) - C_{aggregation}^{second}(x,y)}{C_{aggregation}^{second}(x,y)}\right| \ge t_{confidence},$$
(20)

where $t_{confidence}$ is a threshold to adjust the confidence level. If the cost score of the pixels in the reference image satisfies Equation (20), these pixels are considered reliable. If the ratio between the number of the reliable pixels and the total number of the pixels in arbitrary segment region is equal to or greater than 0.5, this segment region is considered a reliable segment region. Otherwise segment regions are marked as unreliable regions, which lack sufficient data to provide reliable plane estimations. The disparity plane of the unreliable region is stuffed through its nearest reliable segment region.

Followed by the above filters, the initial disparity plane parameters of each reliable segment region can be estimated through the reliable pixels. The disparity distance filter is adopted to measure the Euclidean distance between initial disparity and the estimated disparity plane:

$$|d(x,y) - (ax + by + c)| \le t_{outlier},\tag{21}$$

where $t_{outlier}$ is a constant threshold (typically 1). If the pixel does not satisfy Equation (21), it would be an outlier. Then we can exclude the outliers, update the reliable pixels of the segment region, and re-estimate the disparity plane parameters of the segment region.

After the abovementioned three filters, the convergence filter is utilized to judge whether disparity plane is convergent. The new disparity plane parameters will be estimated until:

$$|a'-a|+|b'-b|+|c'-c| \le t_{convergence}, \qquad (22)$$

where (a',b',c') are the parameters of the new disparity plane, (a,b,c) are the parameters of the plane obtained in the previous iteration, and $t_{convergence}$ is the convergence threshold of the iterative and is usually set as (typically 10^{-6}).

The flow chart of the iterative outlier suppression and disparity plane parameters fitting algorithm is shown in Figure 8. The detailed implementation of the algorithm is presented as follows, from Step (1) to Step (6):



Figure 8. The flow chart of the iterative outlier suppression and disparity plane parameters fitting algorithm.

Step (1): Input segmented reference image, disparity map of stereo image pair, and the DSI of the reference image.

Step (2): Mutual consistency filter is utilized to check the initial disparity of each pixel as in Equation (19); the pixels are detected as non-occluded or occluded pixels.

Step (3): The reliable pixels and reliable segment region are determined by a correlation confidence filter, as in Equation (20).

Step (4): The initial disparity plane parameters of each reliable segment region are estimated through the reliable pixels, and the disparity distance filter described in Equation (21) is utilized to update the reliable pixels.

Step (5): Iterate Step (4) until the convergence filter is satisfied.

Step (6): The algorithm will be terminated when the disparity plane parameters of all segment regions have been estimated. Otherwise, return to Step (3) to process the remainder of the reliable segment regions.

3.4. Disparity Plane Optimization by Belief Propagation

The last step of the segmentation-based stereo matching algorithm is usually global optimization. The stereo matching is formulated as an energy minimization problem in the segment domain. We label each segment region with its corresponding disparity plane by using the BP algorithm [25]. Assume that each segment region $s \in R$, R is the reference image, its corresponding plane $f(s) \in D$, and D is the disparity plane set. The energy function for labeling f can be formulated as:

$$E_{TOTAL}(f) = E_{DATA}(f) + E_{SMOOTH}(f) + E_{OCCLUSION}(f),$$
(23)

where $E_{TOTAL}(f)$ is the whole energy function, $E_{DATA}(f)$ is the data term, $E_{SMOOTH}(f)$ is the smoothness penalty term, and $E_{OCCLUSION}(f)$ is the occlusion penalty term.

The data term $E_{DATA}(f)$ is formulated for each segment region and its corresponding disparity plane assignment. It is calculated by summing up the matching cost of each segment region:

$$E_{DATA}(f) = \sum_{s \in R} C_{SEG}(s, f(s)),$$
(24)

where $C_{SEG}(s, f(s))$ is the summation of matching cost, which is defined in Section 3.2 for all the reliable pixels inside the segment:

$$C_{SEG}(s, f(s)) = \sum_{(x,y) \in s} C(x, y, d),$$
 (25)

The smoothness penalty term $E_{SMOOTH}(f)$ is used to punish the adjacent segment regions with different disparity plane:

$$E_{SMOOTH}(f) = \sum_{(\forall (s_i, s_j) \in S_N | f(s_i) \neq f(s_j))} \lambda_{\text{disc}}(s_i, s_j),$$
(26)

where S_N is a set of all adjacent segment regions, S_i , S_j are neighboring segment regions, and $\lambda_{\text{disc}}(x, y)$ is a discontinuity penalty function.

The occlusion penalty term $E_{OCCLUSION}(f)$ is used to punish the occlusion pixels of each segment region:

$$E_{OCCLUSION}(f) = \sum_{s \in R} \omega_{occ} N_{occ},$$
(27)

where ω_{occ} is a coefficient for occlusion penalty and N_{occ} is the number of occluded pixels of the segment region. The energy function $E_{TOTAL}(f)$ is minimized by a BP algorithm, and the final disparity map can be obtained.

4. Experimental Results

The proposed stereo matching algorithm has been implemented by VS2010, and the performance of the algorithm is evaluated using the 2014 Middlebury stereo datasets [29], 2006 [30], 2005 [4], the synthesized stereo image pairs [31], and the real-world stereo image pairs. The set of parameter

values used in this paper are shown in Table 1, and the results are shown in Figure 9. The average error rate of the stereo pairs for each evaluation area (all, non-occlusion) are displayed. The percentage of erroneous pixels in the complete image (all) and no-nocclusion areas (nonocc) for the 2 pixels threshold is counted. Figure 9 illustrates the stability of the algorithm to parameter tuning. The test results show that the algorithm is stable within a wide range of values for each parameter. We choose the parameters corresponding to the minimum error rate for all the tested stereo image datasets.

Parameter Name	Purpose	Algorithm Steps	Parameter Value				
Spatial bandwidth <i>hs</i> Spectral bandwidth <i>hr</i>	Image segmentation	Step (1)	10 7				
Gamma γ_{INV} Gamma γ_{ICT} Gamma γ_{TADC} Gamma γ_{TADG}	Matching cost computation	Step (2)	40 20 40 20				
Threshold $t_{consistency}$ Threshold $t_{confidence}$ Threshold $t_{outlier}$ Threshold $t_{convergence}$	Outliers filter and disparity plane parameters fitting	Step (3)	$1 \\ 0.04 \\ 1 \\ 10^{-6}$				
Smoothness penalty λ_{disc} Occlusion penalty ω_{occ}	Smoothness and occlusion penalty	Step (4)	5 5				

Table 1. Parameters values used for all stereo image pairs.



Figure 9. Diagrams presenting the response of the algorithm to the tuning parameters with the rest of the parameter set remaining constant. The average error rate of the stereo pairs for each evaluation area (all, nonocc) is displayed. (a) Spatial bandwidth and (b) spectral bandwidth used for image segmentation in Step 1 of the algorithm; (c) Gamma INV(Illumination Normal Vector); (d) Gamma ICT(Improved Census Transform); (e) Gamma TADC(Truncated Absolute Difference on Color); and (f) Gamma TADG(Truncated Absolute Difference on Gradient) used for matching cost computation in Step (2) of the algorithm. (g) Threshold consistency; (h) threshold confidence; (i) threshold outlier; and (j) threshold convergence used for outliers filter and disparity plane parameters fitting in Step (3) of the algorithm; (k) smoothness penalty and (l) occlusion penalty used for smoothness and occlusion penalty in Step (4) of the algorithm.

Table 2. Quantitative evaluation based on the training set of the 2014 Middlebury stereo datasets at 2 Error Threshold. The best results for each test column are highlighted in bold. Res and Avg represent resolution scale and average error respectively. Adiron, ArtL, Jadepl, Motor, MotorE, Piano, PianoL, Pipes, Playrm, Playt, PlaytP, Recyc, Shelvs, Teddy, and Vintge are the names of experimental data in the training set.

Name	Res	Avg	Adiron	ArtL	Jadepl	Motor	MotorE	Piano	PianoL	Pipes	Playrm	Playt	PlaytP	Recyc	Shelvs	Teddy	Vintge
APAP-Stereo [32]	Н	7.78	3.04	7.22	13.5	4.39	4.68	10.7	16.1	5.35	10.1	8.60	8.11	7.70	12.2	5.16	7.97
PMSC [33]	Н	8.35	1.46	4.44	11.2	3.68	4.07	11.9	18.2	5.25	12.6	8.03	6.89	7.58	31.6	3.77	17.9
MeshStereoExt [34]	Н	9.51	3.53	6.76	18.1	5.30	5.88	8.80	13.8	8.10	11.1	8.87	8.33	10.5	31.2	4.96	12.2
MCCNN_Layout	Н	9.54	3.49	7.97	14.0	3.91	4.23	12.6	15.6	4.56	12.3	14.9	12.9	7.79	24.9	5.20	17.6
NTDE [35]	Н	10.1	4.54	7.00	15.7	3.97	4.37	13.3	19.3	5.12	14.4	12.1	11.7	8.35	33.5	3.75	17.8
MC-CNN-acrt [36]	Н	10.3	3.33	8.04	16.1	3.66	3.76	12.5	18.5	4.22	14.6	15.1	13.3	6.92	30.5	4.65	24.8
LPU	Н	10.4	3.17	6.83	11.5	5.8	6.35	13.5	26	7.4	15.3	9.63	6.48	10.7	35.9	4.19	21.6
MC-CNN+RBS [37]	Н	10.9	3.85	10	18.6	4.17	4.31	12.6	17.6	7.33	14.8	15.6	13.3	7.32	30.1	5.02	22.2
SGM [26]	F	22.1	28.4	6.52	20.1	13.9	11.7	19.7	33.2	15.5	30	58.3	18.5	23.8	49.5	7.38	49.9
TSGO [38]	F	31.3	27.3	12.3	53.1	23.5	25.7	33.4	54.5	22.5	49.6	45	27	24.2	52.2	13.3	57.5
Our method	Q	33.9	36.5	20.6	35.7	27.6	30.5	38.8	59	26.6	46.8	56.9	31.8	29.6	53.3	12.2	52.8

Table 3. Quantitative evaluation based on the test set of the 2014 Middlebury stereo datasets at 2 Error Threshold. The best results for each test column are highlighted in bold. Austr, AustrP, Bicyc2, Class, ClassE, Compu, Crusa, CrusaP, DjembL, Hoops, Livgrm, Nkuba, Plants and Stairs are the names of experimental data in the test set.

Name	Res	Avg	Austr	AustrP	Bicyc2	Class	ClassE	Compu	Crusa	CrusaP	Djemb	DjembL	Hoops	Livgrm	Nkuba	Plants	Stairs
PMSC [33]	Н	6.87	3.46	2.68	6.19	2.54	6.92	6.54	3.96	4.04	2.37	13.1	12.3	12.2	16.2	5.88	10.8
MeshStereoExt [34]	Н	7.29	4.41	3.98	5.4	3.17	10	8.89	4.62	4.77	3.49	12.7	12.4	10.4	14.5	7.8	8.85
APAP-Stereo [32]	Н	7.46	5.43	4.91	5.11	5.17	21.6	9.5	4.31	4.23	3.24	14.3	9.78	7.32	13.4	6.3	8.46
NTDE [35]	Н	7.62	5.72	4.36	5.92	2.83	10.4	8.02	5.3	5.54	2.4	13.5	14.1	12.6	13.9	6.39	12.2
MC-CNN-acrt [36]	Н	8.29	5.59	4.55	5.96	2.83	11.4	8.44	8.32	8.89	2.71	16.3	14.1	13.2	13	6.4	11.1
MC-CNN+RBS [37]	Н	8.62	6.05	5.16	6.24	3.27	11.1	8.91	8.87	9.83	3.21	15.1	15.9	12.8	13.5	7.04	9.99
MCCNN_Layout	Н	9.16	5.53	5.63	5.06	3.59	12.6	9.97	7.53	8.86	5.79	23	13.6	15	14.7	5.85	10.4
LPU	Н	10.5	11.4	3.18	8.1	6.08	20.9	9.84	6.94	4	4.04	33.9	16.9	15.2	17.8	9.12	11.6
SGM [26]	F	25.3	45.1	4.33	6.87	32.2	50	13	48.1	18.3	7.66	29.6	36.1	31.2	24.2	24.5	50.2
Our method	Q	38.7	40.4	20.3	27.3	35.1	55.9	22.3	56.1	50.9	24.2	58	56.3	36.5	32.1	38.7	69.7
TSGO [38]	F	39.1	34.1	16.9	20	43.3	55.4	14.3	54.1	49.2	33.9	66.2	45.9	39.8	42.6	47.2	52.6

Tables 2 and 3 show the performance evaluation on the training set and test set of the Middlebury stereo datasets from 2014. Error rates in the table are calculated by setting the threshold value to a two-pixel disparity. The best results for each test column are highlighted in bold. In Table 2, APAP-Stereo [32], PMSC [33], MeshStereoExt [34], NTDE [35], MC-CNN-acrt [36], and MC-CNN+RBS [37] are the state-of-the-art stereo matching methods of Middlebury Stereo Evaluation Version 3, and the MCCNN_Layout and LPU methods are anonymously published. SGM is a classical algorithm based on semi-global matching and mutual information [26]. TSGO is an accurate global stereo matching algorithm based on energy minimization [38]. The results of the 2014 Middlebury stereo datasets show that our method is comparable to these excellent algorithms. Some disparity maps of these stereo pairs are presented in Figure 10. The reference images and ground truth maps are shown in Figure 10a,b, respectively; the final disparity maps are given in Figure 10c; and the bad matching pixels are marked in Figure 10d, where a disparity absolute difference greater than 2 is counted as error. Figure 10d indicates that our proposed approach has excellent performance, especially in textureless regions, disparity discontinuous boundaries, and occluded regions.



Figure 10. Results of Middlebury stereo datasets "Jade plant", "Motorcycle", "Playroom", "Play table" and "Shelves" (from top to bottom). (a) Reference images; (b) ground truth images; (c) results of the proposed method; and (d) error maps (bad estimates with absolute disparity error >2.0 are marked in black).

In order to verify the effect and importance of the four similarity functions during the minimization stage, different combinations of similarity functions and different fitting algorithms are utilized to evaluate the 2014 Middlebury stereo datasets. The statistical results are shown in Figure 11. Firstly, the initial disparity is estimated by ICT, ICT+TADc, ICT+TADc+TADg, and ICT+TADc+TADg+INV, respectively. Secondly, the disparity plane fitting for initial disparity is performed by RANSAC and our fitting algorithm, respectively. Finally, the corresponding disparity plane is optimized by BP. The effect and importance of the four similarity functions during the disparity plane fitting stage and minimization stage can be observed through the histogram. The results illustrate that the most accurate initial disparity can be estimated by ICT+TADc+TADg+INV, and the most accurate final disparity map can be obtained by optimizing the most accurate initial disparity.



Figure 11. The statistical results of different combinations of similarity functions and different fitting algorithms. (a) The average error rates of the non-occlusion areas (nonocc) and (b) of the complete image (all). A: initial disparity; B: disparity plane fitting by RANSAC; C: disparity plane optimization of B; D: disparity plane fitting by our iterative outlier suppression and disparity plane parameters fitting algorithm; E: disparity plane optimization of D.

The degree to which each step of the algorithm contributes to the reduction of the disparity error with respect to ground truth is shown in Figure 12. The disparity results are evaluated on the 2014 Middlebury stereo datasets. The charts in Figure 12 present the improvement obtained at each step for the 0.5, 1.0, 2.0, and 4.0 pixel thresholds. The errors refer to non-occlusion areas (nonocc) and to the whole image (all). The contribution of each step to disparity improvement is seen at the nonocc and all curves. One may observe that the error rate is reduced by adding the algorithm steps.

The results of some representative data of the Middlebury stereo data are presented in Figure 13. They are: Moebius and Laundry choose from 2005 Middlebury stereo datasets [4]; Bowling 2 and Plastic choose from 2006 Middlebury stereo datasets [30]. These stereo pairs are captured by high-end cameras in a controlled laboratory environment. The produced disparity maps are accurate, and the error rates of the four Middlebury stereo data with reference to the whole image are given as follows: Moebius, 8.28%; Laundry, 12.65%; Bowling 2, 8.5%; and Plastic, 13.49%. Data Moebius presents an indoor scene with many stacking objects. Our method can generate accurate disparity for most parts of the scene, and the disparity of small toys on the floor is correctly recovered. For data Laundry, a relatively good disparity map is generated for a laundry basket with repeated textures. In Bowling 2, objects with curved surfaces are presented, e.g., ball and Bowling. Disparities of these objects are both accurate and smooth. The disparity of the background (map) is also obtained with few mismatches. In Plastic, the texture information is much weaker; nevertheless, our method can still generate an accurate and smooth disparity map that is close to the ground truth. These examples demonstrate the ability of our approach to produce promising results.



Figure 12. Performance of each step of the algorithm regarding disparity map accuracy. (**a**) The average error rates of the complete image (all) and non-occlusion areas (nonocc) for the 0.75 pixel threshold; (**b**) the average error rates of the complete image (all) and non-occlusion areas (nonocc) for the 1.0 pixel threshold; (**c**) the average error rates of the complete image (all) and non-occlusion areas (nonocc) for the 2.0 pixel threshold; and (**d**) the average error rates of the complete image (all) and non-occlusion areas (nonocc) for the 2.0 pixel threshold; and (**d**) the average error rates of the complete image (all) and non-occlusion areas (nonocc) for the 2.0 pixel threshold; A: initial disparity estimation, B: disparity plane fitting, and C: disparity plane optimization.



Figure 13. Results of representative data on the Middlebury website. From top to bottom: Moebius, Laundry, Bowling 2 and Plastic. (a) Reference image; (b) ground truth images; (c) results of the proposed method; and (d) error maps (bad estimates with absolute disparity error >1.0 are marked in black).

Apart from the Middlebury benchmark images, we also tested the proposed method on both synthesized [31] and real-world stereo pairs. Figure 14 presents the results of the proposed algorithm on three synthesized stereo pairs: Tanks, Temple, and Street. High-quality disparity maps are generated and compared with the ground truth in the second column. The produced disparity maps are accurate,

and the error rates of the three synthesized stereo pairs with reference to the whole image are given as follows: Tanks, 4.42%; Temple, 2.66%; and Street, 7.93%. It is clear that our algorithm performs well for details, e.g., the gun barrels of the tanks, as well as for large textureless background regions and repetitive patterns.



Figure 14. Results of synthesized stereo pairs. From top to bottom: Tanks, Temple, and Street. (a) Reference image; (b) ground truth images; (c) results of the proposed method; and (d) error maps (bad estimates with absolute disparity error >1.0 are marked in black).

The proposed algorithm also performs well on publicly available, real-world stereo video datasets: a "Book Arrival" sequence from FhG-HHI database and an "Ilkay" sequence from Microsoft i2i database. The snapshots for the two video sequences and corresponding disparity maps are presented in Figure 15. For both examples, our system performs reasonably well. In this experiment, we did not give the error maps and the error rate, due to there being no ground truth disparity map for the real-world stereo video datasets. However, in terms of the visual effect, the proposed algorithm can be applied to this dataset very well.



Figure 15. Results of real-world stereo data. (**a**) Frames of "Book Arrival" stereo video sequence; (**b**) estimated disparity maps of "Book Arrival"; (**c**) frames of "Ilkay" stereo video sequence; and (**d**) estimated disparity maps of "Ilkay".

Runtime. The algorithm implementation is written in VS2010 and uses the OpenCV core library for basic matrix operations. The runtime is measured on a desktop with Core i7-6700HQ 2.60 GHz

CPU and 16 GB RAM, and no parallelism technique is utilized. All operations are carried out with floating point precision. Our algorithm require 0.59 s/megapixels (s/mp) for image segmentation, 3.4 s/mp for initial disparity estimation, 15.8 s/mp for disparity plane fitting, and 7.6 s/mp for disparity plane optimization.

5. Discussion and Conclusions

In summary, we present a highly accurate solution to the stereo correspondence problem. The main contribution of this work is to integrate the appealing properties of the multi-cost approach into the segmentation-based framework. Our algorithm has two advantages. Firstly, an adaptive multi-cost method for disparity evaluation is designed to ensure the accuracy of the initial disparity map. The combined similarity function increases the robustness of the initial raw matching costs calculation and the adaptive support window effectively reduces the matching ambiguity. Secondly, an iterative outlier suppression and disparity plane parameters fitting algorithm is developed to ensure a reliable pixel set for each segment region and obtain accurate disparity plane parameters. The ability to deal with textureless areas and occlusion is enhanced by segment constraint. The experimental results demonstrated that the proposed algorithm can generate state-of-the-art disparity results. The ideas introduced in this paper could be used or extended in future stereo algorithms in order to boost their accuracy.

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