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# A Study on ML-Based Sleep Score Model Using Lifelog Data 

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#### Abstract

The rate of people suffering from sleep disorders has been continuously increasing in recent years, such that interest in healthy sleep is also naturally increasing. Although there are many health-care industries and services related to sleep, specific and objective evaluation of sleep habits is still lacking. Most of the sleep scores presented in wearable-based sleep health services are calculated based only on the sleep stage ratio, which is not sufficient for studies considering the sleep dimension. In addition, most score generation techniques use weighted expert evaluation models, which are often selected based on experience instead of objective weights. Therefore, this study proposes an objective daily sleep habit score calculation method that considers various sleep factors based on user sleep data and gait data collected from wearable devices. A credit rating model built as a logistic regression model is adapted to generate sleep habit scores for good and bad sleep. Ensemble machine learning is designed to generate sleep habit scores for the intermediate sleep remainder. The sleep habit score and evaluation model of this study are expected to be in demand not only in health-care and health-service applications but also in the financial and insurance sectors.


Keywords: sleep; health; sleep score; sleep dimension; lifelog data; stacking ensemble regression; credit rating

## 1. Introduction

Good sleep is important for health. It is very important for improving the quality of life by enhancing physical recovery, strengthening memory and immunity, and protecting mental health [1-3]. However, the rate of sleep disorders is steadily increasing worldwide. According to previous research, about 10-30\% of adults suffer from chronic insomnia [4]. Sleep disorders not only lower the quality of life of individuals but also increase social costs. In the United States, insufficient sleep is associated with economic losses estimated at more than $\$ 411$ billion [5].

Presently, various equipment such as wearable devices and smart scales are being used for sleep health [6-8]. In the past, only hospitals could test sleep through expensive polysomnography. Most previous studies for sleep quality scores use the Pittsburgh questionnaire [9,10], but have limitations due to reliance on interviewees' subjective responses. Research using objective data is lacking. However, using collected lifelog data, it is possible to track health signals daily as well as identify health trends by week and month.

To achieve good quality sleep scores, it is necessary to calculate sleep scores using multiple sleep dimensions such as sleep efficiency, regularity, duration, and timing [11,12]. This paper focuses on scoring sleep habits' healthiness by considering multiple dimensions of sleep and proposes a sleep habit score calculation methodology that considers objective data and various dimensions of sleep with a credit evaluation-based model and machine learning using data collected with a Samsung Galaxy 5.

The results of this study include an objective indicator of sleep health and are expected to be utilized in financial fields as well as digital health care. First, in the health-care industry, our scoring methodology is expected to be used as a comprehensive indicator of sleep health and to help improve sleep by checking and improving one's sleep habit score
every day. In addition, it is expected to help financial and insurance companies develop many insurance products linked to health indicators. In fact, a study by Moore (2002) [13] suggested that sleep health is related to financial information such as income.

This paper is structured as follows. Section 2 describes the proposed methodology. Section 2.1 describes data description and preprocessing methods. Section 2.2 describes the data, features, and target for generating primary sleep habits for good/bad sleep and explains the logistic regression model and methodology for generating scores. Section 2.3 describes the dataset and modeling methods for generating secondary sleep habit scores for intermediate sleep states as well as the methodology for generating these scores. Section 3 presents the overall sleep habit score results, which combine sleep habit scores for good/bad sleep and for intermediate sleep states. Section 4 summarizes this study, including interpretation of the results, considerations, limitations, and significance of the study.

## 2. Materials and Methods

Figure 1 shows the flow for proposed method.


Figure 1. (a) Summary flowchart; (b) specific sleep score creation process.
Figure 1a shows the sleep habit score generation process for good/bad sleep states. The chart is divided into $A$ and $B$ according to the sleep state. Figure 1b illustrate the process of (A) and (B) which shows in Figure 1a.

As can be seen in Table 4 of Section 2.2.2, a simple but widely used logistic linear model for credit scoring classifies good/bad sleep habits and obtains intuitive weights. Based on a model that classifies good/bad sleep habits, it can be relatively ambiguous for intermediate sleep habit data with many factors mixed together, so a stacking machine learning model, a more complex model such as a nonlinear model, is used to classify intermediate sleep habits.

Each step in the summary flowchart of the proposed method is described in detail in subsequent sections. In brief, we perform feature generation on the collected raw data and then perform outlier removal and missing value imputation. The refined data are divided into two major categories according to the sleep state. For data on good/bad sleep states (A), a logistic regression model is used to generate a primary sleep habit score. The data on the intermediate sleep state (B) generate a sleep habit score using stacking models, where the target is defined as the sleep habit score obtained from A.

### 2.1. Data Preparation and Preprocessing

The data used in this study is set out in Table 1.
Table 1. Description of raw data collected from wearable devices (Samsung Galaxy watch).

| Category | Value | Description |
| :---: | :---: | :---: |
| Quantity of sleep data collected by day | 67,180 rows | Sleep data set collected by day with Samsung Galaxy 4 or 5 |
| Quantity of sleep data collected by minute | 2,494,862 rows | Sleep data set collected by day with Samsung Galaxy 4 or 5 |
| Quantity of step (gait) data collected by day | 78,643 rows | Step data set collected by day with Samsung Galaxy Watch 4 or 5 |
| Quantity of step data collected by minute | 18,710,423 rows | Step data set collected by day with Samsung Galaxy 4 or 5 |
| Quantity of user information data | 918 rows | User information such as height and age |
| Number of users | 714 |  |
| Period of data collection | 26 November 2020 to 1 January 2022 |  |

The data were collected from 714 people from 26 November 2020 to 1 January 2022 by a Samsung Galaxy. Specifically, daily/minute sleep data, daily/by-minute step data, and user information (age, gender) were included. The collected data were preprocessed as daily data aggregation, sleep-related feature generation, outlier processing, and missing values. In daily data aggregation, features are generated by daily aggregation of sleep data collected per minute. Based on the study findings that sleep phase information for the initial 90 min of sleep indicates the quality of sleep, we created the sleep phase features for the first $90 \mathrm{~min}[14,15]$. We also generated total daily sleep stage ratio features (REM stage, light stage, deep stage, awake stage) $[16,17]$, sleep efficiency feature [18], SRI (sleep regularity index) $[19,20]$, and so on. The SRI feature is calculated through the SRI calculation Equation (3) assuming Equations (1) and (2) for $M$ daily epochs and $N$ days [20]:

$$
\begin{gather*}
S_{i, j}=S_{i+1, j} \rightarrow \delta\left(S_{i, j}, S_{i+1, j}\right)=1,  \tag{1}\\
S_{i, j} \neq S_{i+1, j} \rightarrow \delta\left(S_{i, j}, S_{i+1, j}\right)=0,  \tag{2}\\
-100+\frac{200}{M(N-1)} \sum_{j=1}^{M} \sum_{i=1}^{N-1} \delta\left(S_{i, j}, S_{i+1, j}\right) . \tag{3}
\end{gather*}
$$

where $N$ and $M$ are the number of days and the number of epochs per day, respectively. The function $\delta$ returns value 1 if the sleep occurrence (sleep-wake state) is the same at 24 h intervals and returns 0 otherwise. For example, if sleep occurred at 22:00 and ended at 06:00 on Friday and occurred at 22:30 and ended at 08:00 on Saturday, the function $\delta$ from $22: 30$ to $06: 00$ is 1 and the rest of the time zone is 0 . Daily sleep data are used to generate total sleep time [21] and sleep midpoint features [22]. In addition, to generate features for the step information just before sleep, the step data collected per minute is preprocessed and used together with the sleep data to generate features. Some of the feature names and descriptions are summarized in Table 2, and the rest are shown in Appendix A Table A1 for readability.

Table 2. Feature names and descriptions as generated from raw data collected daily/per minute.

| Feature | Meaning | Feature | Meaning |
| :---: | :---: | :---: | :---: |
| USER_CODE | User identification code | NAP_FLAG | Daily nap occurrence status |
| DATE | Data collection date | NAP_HOUR | Total sleep time from 12 noon to 3 p.m. <br> (less than 3 h ) |
| SLEEP_EFFICIENCY | Ratio of sleep time excluding awake time to total sleep time | WEEKLY_MEAN_SLEEP_MIDPOINT | The average time of the midpoint of sleep during the weekdays |
| DSR | Percentage of deep sleep phases per day | WEEKLY_MEAN_SLEEP_START_TIME | The average time of the sleep onset during the weekdays |
| RSR | Percentage of rem sleep phases per day | WEEKEND_MEAN_SLEEP_START_TIME | The average time of the sleep onset during the weekends |
| LSR | Percentage of light sleep phases per day | DIFF_WEEK_HOLI | Difference between average weekday onset sleep and average sleep onset on weekends |
| ASR | Percentage of awake sleep phases per day | WEEKLY_MEAN_TST | The average time of the total sleep time per day during the weekdays |
| TST | Total sleep time per day | DIFF_SLEEP_START_WEEKLY | The difference between the average weekly sleep onset time and daily average sleep onset time |
| SLEEP_START_H | Sleep onset time (hours) per day | DIFF_SLEEP_END_WEEKLY | The difference between the average weekly sleep offset time and daily average sleep offset time |
| SLEEP_END_H | Daily sleep offset time (hours) per day | WEEKLY_MIDPOINT_VAR | The variation of the midpoint of sleep during the weekdays |
| AWAKE_T | Total awake time per day | WEEKLY_TST_VAR | The variation of total sleep time during the weekdays |
| DEEP_T | Total time of deep sleep phases per day | GOOD_SLEEP_FLAG | Sleep quality status based on various sleep dimensions |
| REM_T | Total time of rem sleep phases per day | GENDER | User's gender |
| LIGHT_T | Total time of light sleep phases per day | AGE | User's age |
| SLEEP_EFFICIENCY_CAT | $85 \%$ cutoff criterion flag for Sleep Efficiency Index | AGE_CATEGORY | User's age category |
| BED_TIME_VAR | sleep onset variability | FIRST_AWAKE_MIN | Total time of awake sleep phases in earlier ( 90 min ) sleep cycles |

Outlier processing proceeds as follows:

1. Data with a sleep stage value of 0 among the generated sleep stage features.
2. Less than 3 h of total sleep per day, since it does not record stages if the sleep is less than 3 h .
3. SRI index with negative values [23].

Missing-value processing based on sleep habit score will be described in detail after Section 2.2, but it is briefly described in this section as it is included in the overall preprocessing. Missing-value processing is organized into three steps as follows:

1. Set the sleep habit score derived by the logistic regression model as the target and set the related sleep variable as the explanatory variable. (This is detailed in Section 2.2.1.)
2. Process missing values based on the KNN (K-nearest neighbors) machine learning algorithm $[24,25]$. To derive the optimal $k$ (number of neighbors), the support vector regression [26] and random forest models have been used for $k$ evaluation [27]; $k$ has been selected with the average number of neighbors yielding the best performance among the evaluations.
3. Fill in missing values using the KNN method with the derived optimal number of neighbors $k$. Specifically, the data set was divided into training data and test data at a ratio of $8: 2$, and the range of k was set from 2 to 15 , and performance was measured with each $k$ value. Support vector machine and random forest calculated the final performance with a weighted sum of the calculation results, calculated by applying a weighted sum of 0.5 each, that is, the result calculated by each classifier was multiplied by 0.5 to derive the result in an ensemble method. As a result of the experiment, it was confirmed that the performance was the best when k was 3 , and imputation was performed with that value. As described above, a total of 67 variables, such as user identification ID value, date, and sleep characteristics, and 16,053 rows of data are used as analysis data through daily data aggregation, sleep feature generation, outlier processing, and preprocessing of missing values.
Sleep health is defined by information on sleep regularity, sleep duration, sleep timing, and sleep efficiency dimensions [11]. Sleep regularity, sleep duration, sleep efficiency,
and sleep timing are important indicators of sleep habits. Several recent studies have shown that sleep regularity is beneficial to physical and mental health and shown that irregular sleep increases the risk of developing cardiovascular disease [28,29]. As for the sleep duration indicator, many studies have found that sleep duration that is both too short and too long can negatively impact health and quality of life [28,29]. Additionally, both late sleep duration and large sleep variability are associated with poor sleep health, and regular sleep patterns have beneficial effects on health [28,29]. These are defined as follows through the sleep factors and cutoff values used by previous studies [28,29].
4. Sleep regularity: standard deviation of weekday sleep midpoint (variability), with a difference of less than 1 h defined as a good sleep state [28,29].
5. Sleep duration: the total daily sleep time, calculated as the difference between the daily sleep end time and sleep start time, where 7 to 9 h is defined as a good sleep state [28,29].
6. Sleep timing: the midpoint of sleep, calculated as the midpoint between the onset and the end of sleep, where between 2 and 4 a.m. is defined as a good sleep state $[28,29]$.
7. Sleep efficiency: the ratio of total sleep time to total sleep time excluding waking time, where $85 \%$ or more is defined as a good sleep state $[28,29]$.
Based on the cutoff values set above, data are defined as a good sleep state when all four conditions are satisfied, and as bad sleep when three or more of the four conditions are not satisfied. Bad sleep consists of five combinations: (1) bad-sleep regularity, duration, and efficiency, (2) bad-sleep regularity, duration, and timing, (3) bad-sleep regularity, efficiency, and timing, (4) bad-sleep duration, efficiency, and timing, and (5) bad-sleep regularity, duration, timing, and efficiency. The remaining combinations of conditions are taken to define the intermediate sleep state. The sleep habit score is derived using data for 326 good sleep states, 5168 bad sleep states, and 10,559 intermediate sleep states defined in this way.

### 2.2. Primary Habit Score: Good/Bad Sleep State

The number of classes of target used in this study is three, good/intermediate/bad. We first set the data consisting of good sleep and bad sleep as the analysis data set, excluding the data classified as intermediate sleep states. Based on the data with two target classes, the primary sleep habit score is derived by applying a traditional credit evaluation model and credit score generation method.

### 2.2.1. Setting Description Variables (Features) and Result Variables (Target)

The explanatory variables of the data set are divided into continuous variables and categorical variables as follows:

- Continuous variables: total sleep variability, SRI (Sleep Regularity Index) (2 days, 3 days, 4 days, 5 days, 6 days, 7 days), number and time of naps, sleep midpoint variability, day-of-week information, daily sleep start and end information, information on sleep stages within the first 90 min of sleep, information on steps 2 h before the first start of sleep.
- Categorical variables: 10~12 h sleep FLAG variable, sleep onset variability 1-h FLAG variable, total sleep time variability within 1 h FLAG variable.
The categorization for continuous variables for scoring consists of two steps as follows:
- The first step, fine classing (Leung, 2008; Vejkanchana, 2019) [30,31], is carried out to improve consistency and explanatory power. Through this, a representative variable is selected in consideration of the correlation within the explanatory variable and the information value (Vejkanchana, 2019) [31] and a section for the variable is derived.
- The second step is coarse classing (Leung, 2008; Vejkanchana, 2019) [30,31]; based on the categorization in the first step, a new category is derived by checking the data state. Specifically, for a linear relationship with the occurrence of good sleep, adjacent categories with similar weight-of-evidence (WoE) values (Finlay, 2010; Zdravevski, 2011) $[32,33]$ are integrated so that the WoE value increases or decreases monotonically
(Vanneschi, 2018) [34]. In this way, the amount of data on the number of occurrences and nonoccurrence of good sleep for each category is adjusted and categories are integrated based on the WoE value.

Features calculated based on WoE values for the target in this study are summarized in Table 3.

Table 3. Interval range information for each feature based on WoE values.

| Feature | Calculation of Bin Interval Excluding Missing Values | Feature | Calculation of Bin Interval Excluding Missing Values |
| :---: | :---: | :---: | :---: |
| SRI_2 (Sleep regular index observed over 2 days) | [-inf, 52], [52, 72], [72, 86], [86, inf] | SRI_3 (Sleep regular index observed over 3 days) | $\begin{gathered} {[-\mathrm{inf}, 56],[56,70],[70,82],[82,90],} \\ {[90, \text { inf }]} \end{gathered}$ |
| SRI_4 (Sleep regular index observed over 4 days) | $\begin{gathered} {[-\mathrm{inf}, 52],[52,62],[62,70],[70,82],} \\ {[82, \text { inf }]} \end{gathered}$ | SRI_5 (Sleep regular index observed over 5 days) | $\begin{gathered} {[-\mathrm{inf}, 52],[52,58],[58,82],[82,88]} \\ {[88, \mathrm{inf}]} \end{gathered}$ |
| SRI_6 (Sleep regular index observed over 6 days) | [-inf, 56], [56, 80], [80, 86], [86, inf] | SRI_7 (Sleep regular index observed over 7 days) | [-inf, 56], [56, 78], [78, 84], [84, inf] |
| Daily Sleep offset time information (hour) | [-inf, 7.5], [7.5, inf] | Average weekend sleep onset information | $\begin{gathered} {[-\mathrm{inf}, 2],[2,12.5],[12.5,23.5],[23.5,} \\ 24.5],[24.5, \text { inf }] \end{gathered}$ |
| Daily Sleep onset time information (hour) | [-inf, 24.5], [24.5, inf] | Sleep midpoint variability | [-inf, 3], [3, 4], [4, 4.5], [4.5, inf] |
| Average weekly sleep onset information | $\begin{gathered} {[-\mathrm{inf}, 2],[2,6.5],[6.5,11.5],[11.5,16.5],} \\ {[16.5,24],[24, \text { inf }]} \end{gathered}$ | Daily total sleep time variance (HOUR) | $\begin{gathered} {[-\mathrm{inf}, 0.4],[0.4,0.6],[0.6,0.8],[0.8,2.2],} \\ {[2.2,2.6],[2.6,3.6],[3.6, \mathrm{inf}]} \end{gathered}$ |
| REM sleep rate (\%) in Initial 90 min | $\begin{gathered} {[- \text { inf, } 0.01],\left[\begin{array}{c} {[0.01,0.08],[0.08,0.18]} \\ {[0.18, \text { inf }]} \end{array}\right.} \end{gathered}$ | LIGHT sleep rate (\%) in Initial 90 min | [-inf, 0.54], [0.54, 0.62], [0.62, 0.84], <br> [0.84, 0.9], [0.9, 0.96], [0.96, inf] |
| DEEP sleep rate (\%) in Initial 90 min | [-inf, 0.01], [0.01, 0.05], [0.05, 0.09], $[0.09,0.15],[0.15,0.23],[0.23,0.32]$, $[0.32$, inf] | AWAKE sleep rate (\%) in Initial 90 min | [-inf, 0.01], [0.01, 0.04], [0.04, 0.08], $[0.08,0.09],[0.09,0.14],[0.14,0.17]$, $[0.17,0.2],[0.2$, inf $]$ |
| Total REM sleep time (MINUTE) in initial 90 min | -inf, 1], [1, 6], [6, 11], [11, inf] | Total LIGHT sleep time (MINUTE) in initial 90 min | $\begin{gathered} {[-\mathrm{inf}, 29],[29,34],[34,37],[37,39],} \\ {[39,50],[50, \text { inf }]} \end{gathered}$ |
| Total DEEP sleep time (MINUTE) in initial 90 min | $[-\mathrm{inf}, 1],[1,7],[7,12],[12,16],[16,22] \text {, }$ | Total AWAKE sleep time (MINUTE) in initial 90 min | $\begin{gathered} {[-\mathrm{inf}, 1],[1,2],[2,6],[6,8],[8,12],} \\ {[12,16],[16, \mathrm{inf}]} \end{gathered}$ |
| Weekly total sleep time variance | $\begin{gathered} {[-\mathrm{inf}, 0.8],[0.8,1.2],[1.2,2.8],[2.8,3.7],} \\ {[3.7, \text { inf }]} \end{gathered}$ | Total steps taken 2 h before sleep | [-inf, 10], [10, 720], [720, inf] |
| Total sleep stage time in initial 90 min | $\begin{gathered} {[-\mathrm{inf}, 40],[40,48],[48,50],[50,52],} \\ {[52,55],[55,58],[58,60],[60, \mathrm{inf}]} \end{gathered}$ |  |  |

2.2.2. Defining Good Sleep Habit Labels Using a Logistic Regression Model for the Primary Sleep Habit Score

This study used a logistic regression model [35] to generate the primary sleep habit score. The reasons for this are: (1) ease of interpretation of regression coefficients; (2) since the model can estimate the probability of belonging to a class, it is often used for risk and credibility analysis required for probability calculation; (3) it can be used as a base model. For these reasons, this study uses the logistic regression model to score good and bad sleep habit status data. Good sleep habit level is expressed as the probability of developing a good sleep state that satisfies good sleep conditions. A model for the effect on the probability of good sleep occurrence has been created using logistic regression with various explanatory variables (Table 3). Logistic regression predicts the likelihood of an event using a linear combination of explanatory variables and is defined by Equation (4) [36]:

$$
\begin{equation*}
\ln (o d d s)=\ln \frac{p}{1-p}=w_{1} \times x_{1}+w_{2} \times x_{2}+\ldots+w_{n} \times x_{n} \tag{4}
\end{equation*}
$$

To evaluate the performance of the model, the training data and the verification data were first randomly extracted and divided, at a ratio of 7:3 and then three verification metrics commonly used in the credit evaluation model were used, specifically area under ROC (receiver-operating characteristic) curve [37], K-S (Kolmogorov-Smirnov) statistic [38], and Gini coefficient [39]. AUROC (area under ROC) means the area under the ROC curve: the closer the value is to 1 , the higher the sensitivity and specificity, so the model can be called a good classification model. In the problem of generating scores, such as in the study of credit scoring, it is known that a model has good discriminating power when the value is 0.7 or more. The $\mathrm{K}-\mathrm{S}$ statistic is an index that compares the difference in the cumulative distribution function between two groups (in our case, good sleep state and poor sleep state) and tests whether they come from the same distribution. Here, it refers to the maximum value of the difference between the cumulative good sleep incidence and the
cumulative bad sleep incidence. In general, if the K-S statistic is 0.5 or higher, the desired discriminatory power is judged to be secured. The Gini coefficient is used to determine the discriminatory power of the credit rating model using the cumulative defect distribution according to the credit score. Each metric calculated in this study is summarized in Table 4.

Table 4. Performance metric table for training data and validation data.

| Category | AUROC | K-S | Gini Coefficient |
| :---: | :---: | :---: | :---: |
| Train data | 0.9847 | 0.8912 | 0.9694 |
| Validation data | 0.9845 | 0.8882 | 0.969 |
| Reference | $>0.7$ | $>0.5$ | $>0.6$ |

All index values are higher than the reference value. Therefore, the constructed model predicts the overall probability of occurrence of a good sleep state at an appropriate level.

### 2.2.3. Scoring for the Primary Sleep Habit Score

In this study, the sleep habit status is scored using points to double the odds (PDO) [40], a scoring methodology used in constructing a credit rating model [41]. If PDO is set to 20 or 50, it means that the odds double whenever the score increases by 20 or 50 points [42]. The higher the score, the lower the probability of satisfaction, focusing on the fact that good sleep habits are difficult to achieve. The standard value widely used in the credit evaluation model was applied. Specifically, the basic score was initialized to 100 and the PDO was set to 50, and the target odds for the initial score of 100 points were set at the level of 1:20. Specifically, for scoring, the score is calculated using (Equations (5)-(8)) [43]:

$$
\begin{gather*}
\text { Sleep Score }=\text { offset }- \text { factor } \times \ln (\text { odds }),  \tag{5}\\
\text { factor }=\frac{50}{\ln (2)},  \tag{6}\\
\text { offset }=100-\frac{50}{\ln (2)} \times \ln (20) .  \tag{7}\\
\text { Sleep Score }=100-\frac{50}{\ln (2)} \times \ln (20)-\frac{50}{\ln (2)} \times \ln (\text { odds }) . \tag{8}
\end{gather*}
$$

### 2.2.4. Primary Sleep Habit Score Results

The distribution of the primary sleep score calculated in this study is shown in histogram form in Figure 2.

As can be seen from the graphs, the generated good sleep habit score (Figure 2a) is mostly distributed between 1400 and 1600 points, whereas the bad sleep habit score (Figure 2b) is distributed between 750 and 1000 points. The overall data distribution (Figure 2c) appears to follow a normal distribution, as expected. The basic statistical information of the primary sleep habit score generated in this study is summarized in Table 5.

Table 5. Statistical information of the sleep habit score obtained from the logistic regression model.

| Count | Mean | Standard <br> Deviation | $\mathbf{2 5 \%}$ | $\mathbf{5 0 \%}$ | $\mathbf{7 5 \%}$ | Max |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 5494 | 960.889516 | 285.114076 | 163 | 748 | 1162 | 1857 |



Figure 2. (a) Histogram of sleep habit scores for good sleep using a logistic regression model; (b) histogram of sleep habit scores generated for bad sleep data; (c) histogram of sleep habit scores for good and bad sleep data combined.

The scorecard for SRI (Sleep Regularity Index) is summarized in Table 6 as follows.

Table 6. Scorecard for each feature.

| Feature | Interval Value | Score |
| :---: | :---: | :---: |
| SRI_2 | $\sim 52$ | -2 |
|  | 52~72 | -1 |
|  | 72~86 | 11 |
|  | 86~ | 29 |
| SRI_3 | $\sim 56$ | -50 |
|  | 56~70 | -34 |
|  | 70~82 | 6 |
|  | 82~90 | 87 |
|  | 90 | 180 |
| SRI_4 | $\sim 52$ | -45 |
|  | 52~62 | -19 |
|  | 62~70 | 2 |
|  | 70~82 | 15 |
|  | 82~ | 29 |
| SRI_5 | $\sim 52$ | -17 |
|  | 52~58 | -14 |
|  | 58~82 | 5 |
|  | 82~88 | 34 |
|  | 88~ | 67 |
| SRI_6 | $\sim 56$ | -19 |
|  | 56~80 | -13 |
|  | 80~86 | 9 |
|  | 86~ | 13 |
| SRI_7 | $\sim 56$ | -17 |
|  | 56~78 | -8 |
|  | 78~84 | 0 |
|  | 84~ | 6 |

The details for sleep duration, sleep timing, and sleep efficiency are described in the Appendix A.

### 2.3. Second Step: Intermediate Sleep Score

The sleep habit score was first generated using the good and bad sleep habit states. However, this excludes intermediate sleep habit states that can occur. Therefore, this study intends to generate a score for the intermediate sleep habit state using multi-stacking ensemble models that are effective in improving predictive performance. The machine learning and deep learning-based stacking ensemble learning model proposed in this study uses three data sets: training set and test set, plus a CV (cross-validation) set to prevent overfitting, which occurs mainly in the stacking method [44,45].

### 2.3.1. Data Preparation: Training and Test Data Set

The dataset, classified into good sleep and bad sleep data, is used as the training data, and the primary sleep habit score described in Section 2.2 is set as the training data's target. The second sleep habit score is derived by setting the data set classified as the intermediate sleep state as predictive (test) data, and the sleep habit score for the intermediate sleep state is predicted using machine learning and deep learning stacking models. Specifically, the stacking machine learning model trains with training data of 5494 data (good sleep: 326, bad sleep: 5168), and estimates sleep habit scores for 10,559 test data.

### 2.3.2. Modeling: Multi-Stacking Ensemble Models Based on Machine Learning and Deep Learning

Figure 3 shows in summary form the machine learning and deep learning-based stacking ensemble model construction and design used in this study.


Figure 3. Summary graph for stacking technique: ML and DL indicate machine learning and deep learning, respectively.

Machine learning algorithms used for prediction are XGBoost [46], LightGBM [47], CatBoost [48], and the TabNet neural network model (a deep learning model) [49]. Metamodels used are linear regression, Bayesian Ridge Regressor [50], ElasticNet Regressor [51], and Ridge Regressor [52]. The stacking ensemble design method consists of three steps, presented in Figures 4-6. Figure 7 shows the operating process based on cross-validation within each individual model.


Figure 4. Summary graph for the first step of the stacking method: training dataset of metamodels is generated by machine learning models and a deep learning model in this step.


Figure 5. Summary graph for the second step of the stacking method: training dataset of final model is generated by metamodels in this step.


Figure 6. Summary graph for the final stage of the stacking method: in this step, a sleep habit score is calculated for the intermediate sleep state.


Figure 7. Summary graph of the process of CV stacking in each model.
In summary, in the first step, data is predicted using ML and DL models (LightGBM, XGBoost, CatBoost, TabNet) for good/bad sleep state data (feature) and sleep habit score (target). Stacking the output data by ML and DL models composes the data for the metadata (Figure 4). In the second step, three metamodels, linear regression, Bayesian Ridge Regressor, and Elastic-Net Regressor, are trained on the data constructed in the first step. Stacking the predicted data by metamodels composes the data for the final model. (Figure 5). In the last third step, the final prediction model, the Ridge Regressor algorithm, is used to predict the intermediate sleep habit score, and the performance error is measured by the mean squared error [53] (Figure 6). Specifically, in the first step, XGBoost, LightGBM, and CatBoost models derive optimal hyperparameters using the Optuna hyperparameter tuning framework [54]. The hyperparameters for each model are summarized in Table A3.

In Figure 7, to improve overfitting that may occur in the process shown in Figures 4-6, each model generates stacking data for metamodel training and testing through crossvalidation. Based on the generated data, the metamodel then yields the training and prediction performance.

## 3. Results

Second Step: Intermediate Sleep Score
Figure 8 shows the distribution of the final sleep habit score calculated in this study, which is the sum of the first-generated (primary sleep habit) score and the intermediate sleep habit score. It is evenly distributed with an approximately normal distribution with a mean of 850 . This is similar to the characteristics of a general scorecard in which scores are concentrated in the middle (average). It can be confirmed that the distribution of the calculated scores is close to a normal distribution, so that the data are not concentrated in a specific score range and are almost symmetrically distributed with no skew. This suggests that the score was well calculated without distortion. In addition, since it is an approximately normal distribution, it is possible to estimate the population by comparing various groups through inferential statistics, and it becomes possible to derive several kinds of statistical tests. Finally, it makes it easy to use and interpret scores.


Figure 8. Sleep habit score distribution for all data.
Statistical values of sleep characteristics for each sleep state are as follows.

1. For sleep midpoint between 02:00 a.m. and 04:00 a.m. on weekdays, good sleep was $47.12 \%$, bad sleep $12.25 \%$, and intermediate sleep $21.39 \%$.
2. For weekend sleep time between 10:00 p.m. and 12:00 a.m., good sleep was $43.25 \%$, bad sleep $22.50 \%$, and intermediate sleep $29.91 \%$.
3. For steps within 2 h , good sleep averaged 813 steps, bad sleep 35 , and moderate sleep 156.
4. For the SRI (Sleep Regularity Index) index (2 days), mean values were 87.21 for good sleep, 73.26 for bad sleep, and 80.58 for intermediate sleep.
Figure 9 shows the sleep state probabilities for each section for good and bad sleep states.


Figure 9. (a) Sleep state probabilities for SRI interval; (b) sleep state probabilities for STEP_INFO_2 interval; (c) sleep state probabilities for WEEKLY_TST_VAR interval.

Figure 9 shows the following:

- The higher the SRI value, the higher the probability of a good sleep state.
- The higher the gait (step) counts within the first 2 h before sleep, the higher the probability of a good sleep state.
- The greater the weekly total time variability, the higher the probability of a bad sleep state.

Overall, good sleep was mainly distributed in the range 1400-1600 points, intermediate sleep was distributed over 700-900 points, and bad sleep was mainly distributed over less than 700 points (Refer to Table 7). According to the method proposed in this study, the higher the sleep habit score, the more data classified as good sleep state, while the lower the score, the worse the sleep state. Therefore, it is expected that good sleep guides can be elaborated according to the proposed sleep habit score.

Table 7. Table of data distribution and ratio of good sleep states by score.

| Sleep Score | Number of Data Points | Proportion of Data | Frequency of Good Sleep Status | Good Sleep <br> Statis Ratio |
| :---: | :---: | :---: | :---: | :---: |
| $50<$ ss $\leq 100$ | 6 | 0.037\% | 0 | 0.00\% |
| $100<$ ss $\leq 150$ | 21 | 0.131\% | 0 | 0.00\% |
| $150<$ ss $\leq 200$ | 89 | 0.554\% | 0 | 0.00\% |
| $200<$ ss $\leq 250$ | 140 | 0.872\% | 0 | 0.00\% |
| $250<$ ss $\leq 300$ | 214 | 1.333\% | 0 | 0.00\% |
| $300<$ ss $\leq 350$ | 186 | 1.159\% | 0 | 0.00\% |
| $350<$ ss $\leq 400$ | 255 | 1.588\% | 0 | 0.00\% |
| $400<$ ss $\leq 450$ | 321 | 2.000\% | 0 | 0.00\% |
| $450<$ ss $\leq 500$ | 423 | 2.635\% | 0 | 0.00\% |
| $500<$ ss $\leq 550$ | 583 | 3.632\% | 0 | 0.00\% |
| $550<$ ss $\leq 600$ | 739 | 4.604\% | 0 | 0.00\% |
| $600<$ ss $\leq 650$ | 742 | 4.622\% | 0 | 0.00\% |
| $650<$ ss $\leq 700$ | 704 | 4.385\% | 0 | 0.00\% |
| $700<$ ss $\leq 750$ | 819 | 5.102\% | 0 | 0.00\% |
| $750<$ ss $\leq 800$ | 933 | 5.812\% | 0 | 0.00\% |
| $800<$ ss $\leq 850$ | 1099 | 6.846\% | 1 | 0.31\% |
| $850<$ ss $\leq 900$ | 1085 | 6.759\% | 0 | 0.31\% |
| $900<$ ss $\leq 950$ | 848 | 5.283\% | 0 | 0.31\% |
| $950<$ ss $\leq 1000$ | 901 | 5.613\% | 0 | 0.31\% |
| $1000<$ ss $\leq 1050$ | 872 | 5.432\% | 1 | 0.61\% |
| $1050<$ ss $\leq 1100$ | 938 | 5.843\% | 2 | 1.23\% |
| $1100<$ ss $\leq 1150$ | 788 | 4.909\% | 1 | 1.53\% |
| 1150 < ss $\leq 1200$ | 676 | 4.211\% | 7 | 3.68\% |
| $1200<$ ss $\leq 1250$ | 544 | 3.389\% | 12 | 7.36\% |
| $1250<$ ss $\leq 1300$ | 432 | 2.691\% | 13 | 11.35\% |
| $1300<$ ss $\leq 1350$ | 448 | 2.791\% | 14 | 15.64\% |
| 1350 < ss $\leq 1400$ | 477 | 2.971\% | 41 | 28.22\% |
| $1400<$ ss $\leq 1450$ | 319 | 1.987\% | 44 | 41.72\% |
| $1450<$ ss $\leq 1500$ | 167 | 1.040\% | 57 | 59.20\% |
| $1500<$ ss $\leq 1550$ | 160 | 0.997\% | 68 | 80.06\% |
| 1550 < ss $\leq 1600$ | 117 | 0.729\% | 59 | 98.16\% |
| $1600<$ ss $\leq 1650$ | 7 | 0.044\% | 6 | 100.00\% |
| Total | 16,053 | 100.00\% | 326 | 2.03\% |

## 4. Discussion

This study presented a model for grading sleep habit level considering various sleep dimensions. First, the quality of sleep was defined as an index indicating the level of sleep habits, and data for good sleep, intermediate sleep, and bad sleep were classified according to the cutoffs of previous studies.

Based on the logistic regression model used in the credit rating model, a model for estimating the likelihood of occurrence was derived using lifelog factors that affect good and bad sleep. Specifically, the process of categorizing various sleep features generated from lifelog datasets, estimating probability of occurrence of each sleep state with the logistic regression model and evaluating the predictive power of the model were discussed. The primary sleep habit score was derived by grading and classifying sleep habit levels based on the PDO (points to double the odds) concept using the derived model. This study aimed to derive the sleep habit level for all sleep states by learning the primary sleep habit
score derived using a machine learning algorithm to generate the sleep habit index for intermediate sleep states.

Summarizing the characteristics of the sleep habit score derived from this study, the midpoint of sleep is between $2 \mathrm{a} . \mathrm{m}$. and 4 a.m., the start time of sleep is between 10 p.m. and 12 a.m., and the walking activity in the evening increases the probability of receiving a high score. Also, the higher the Sleep Regularity Index (SRI), the higher the probability of good sleep.

Previous studies were reviewed to verify the validity of the methodology. It was confirmed that the results of this study were consistent with the results of previous studies. Halson (2022) [55] claimed that the average SRI value was 81.4 to 88.8 , and Windred (2021) [56] found that the higher the SRI (94 points), the more regular the sleep state, and the lower the SRI (34 points), the more irregular the sleep. It is similar to the results of this study that the higher the SRI, the higher the probability of being in a good sleep state. Makarem (2020) [57] investigated the correlation between sleep variability and health, and confirmed that high sleep variability has a negative effect on health. Baron (2017) [58] found that higher sleep variability can negatively affect sleep quality, which is consistent with the results of this study. Buman (2014) [59] suggested that there was no relationship between evening exercise and sleep quality. Stutz (2019) [60] found that vigorous exercise one hour before bedtime could negatively affect sleep onset, total sleep duration, and SE, but found no evidence that evening exercise negatively affects sleep, in fact rather the opposite. Frimpong (2021) [61] argued that activity 2 to 4 h before bedtime does not affect sleep quality in healthy young and middle-aged adults. This is similar to the result of this study that walking activity for 2 h before sleep increases the probability of being a good sleep state. In addition, this study generated various features through gait and sleep data, and in the study of $\operatorname{Kim}$ (2022) [62], various step and sleep features were generated through lifelog data and body weight were predicted through these features. Liang (2019) [63] also generated various sleep features, and medical-grade sleep/wake classification was predicted with a tree-based model. In the study of Han (2018) [42], the PDO was set at 58.43994 , which is similar to this study. A study on the optimized PDO setting will be conducted in the future. Studies using stacking machine learning algorithms to improve performance were presented (Jiang, 2020; Pavlyshenko, 2018) [64,65], and Yu (2022) [66] added CV (cross-validation) to the stacking technique to prevent overfitting, which is similar to the method proposed in this study. As a result of comparing and reviewing the results and methodology of previous studies with this study, most of the results were consistent. Therefore, it is recommended to measure sleep quality and generate an objective score using lifelog data and machine learning algorithms. Since this method is based on the data of the user's life pattern, it is expected that the more data that are accumulated over time, the more accurate the quality of sleep can be predicted and the more accurate the sleep habit score can be generated.

The limitations of this study are as follows. Since we created the sleep score by focusing on sleep habits and behaviors (sleep hygiene) rather than sleep quality itself, even if the definition of good sleep presented in previous studies is not met, expert review shows that good sleep quality can occur or vice versa.

In the future, this research will go beyond the rating of sleep habit level to evaluate overall lifestyle, including walking habits and weight habits. We also plan to conduct simulations using an optimization algorithm that goes beyond simple ratings to perform additional analysis of optimal combinations and factors to increase sleep scores. This study used a linear model logistic model, but future research will study a new technique that calculates weights with a nonlinear model and scores them. In addition, good/bad sleep habits were classified with a simple linear model, and intuitive weights were obtained. Based on this, intermediate sleep habit data in which various factors were mixed were classified using a more complex model-a stacking machine learning model. However, as the efficiency can be increased as the number of steps is reduced, a study on a model that can be solved end to end in a single step will be conducted in future work. Lastly, instead of
using all of the various indicators discovered in previous studies, we can consider and study regularization models such as LASSO that can identify features that are actually important and those that can be discarded. Through these studies, it is expected that our research will contribute to private medical insurance and comprehensive health management more substantially.

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## Appendix A

Table A1. Feature names and descriptions as generated from raw data collected daily/per minute.

| Feature | Meaning | Feature | Meaning |
| :---: | :---: | :---: | :---: |
| BED_TIME_VAR_FLAG_1 | Sleep onset fluctuation within <br> 1 h (FLAG) | FIRST_LIGHT_MIN | Total time of light sleep <br> phases in earlier (90 min) <br> sleep cycles |
| BED_TIME_VAR_FLAG_2 | Sleep onset fluctuation within <br> 2 h (FLAG) | FIRST_DEEP_MIN | Total time of deep sleep <br> phases in earlier (90 min) <br> sleep cycles |
| BED_TIME_10_TO_12_FLAG | Sleep onset time between <br> 10 p.m. and 12 a.m. status <br> (FLAG) | FIRST_REM_MIN | Total time of rem sleep phases <br> in earlier (90 min) sleep cycles |
| TST_VAR | Total sleep time variability <br> per day | STAGE_SUM_MIN | Total time of all sleep phases <br> in earlier (90 min) sleep cycles <br> Percentage of awake sleep |
| SRI_2 | Sleep regularity index <br> observed on 2 days | FIRST_ASR | FIRST_LSR |

Table A2. Scorecard for each feature.

| Feature | Interval Value | Score |
| :---: | :---: | :---: |
| DECIMAL_END_HOUR_MINUTE | ~7.5 | 5 |
|  | 7.5~ | -4 |
| DECIMAL_START_HOUR_MINUTE | ~24.5 | 4 |
|  | $24.5 \sim$ | -116 |
| WEEKLY_MIDPOINT_VAR | 0~4 | 118 |
|  | 4~5 | -94 |
|  | 5~5.5 | -59 |
|  | 5.5~ | -155 |
| WEEK_INFO | ~2 | 37 |
|  | 2~6.5 | -10 |
|  | 6.5~11.5 | -49 |
|  | 11.5~16.5 | -12 |
|  | 16.5~24 | -47 |
|  | 24 | -96 |
| HOLI_INFO | $\sim 2$ | 0 |
|  | 2~12.5 | 3 |
|  | 12.5~23.5 | 1 |
|  | 23.5~24.5 | -4 |
|  | 24.5~ | -2 |
| FIRST_LIGHT_MINUTE | 0~29 | 31 |
|  | 29~34 | -28 |
|  | 34~37 | 23 |
|  | 37~39 | -38 |
|  | 39~46 | -5 |
|  | 46~ | 9 |
| FIRST_AWAKE_MINUTE | $\sim 1$ | 10 |
|  | 1~3 | 9 |
|  | 3~8 | 0 |
|  | 8~17 | -1 |
|  | 17~ | -12 |
| STAGE_SUM_MINUTE | 0~31 | 30 |
|  | 31~48 | -11 |
|  | 48~56 | 2 |
|  | 56~58 | 25 |
|  | 58~60 | -23 |
|  | 60~ | 15 |
| FIRST_DSR | $\sim 0.005$ | 13 |
|  | 0.005~0.05 | -47 |
|  | 0.05~0.145 | -13 |
|  | 0.145~0.275 | 33 |
|  | 0.275~ | -50 |
| FIRST_ASR | $\sim 0.01$ | 22 |
|  | 0.01~0.04 | 21 |
|  | 0.04~0.13 | 7 |
|  | 0.13~0.2 | -5 |
|  | 0.2~ | -34 |
| FIRST_LSR | $\sim 0.5$ | 50 |
|  | 0.5~0.64 | -21 |
|  | 0.64~0.8 | 10 |
|  | 0.8~0.86 | -4 |
|  | 0.86~0.9 | -32 |
|  | 0.9~ | 16 |

Table A2. Cont.

| Feature | Interval Value | Score |
| :---: | :---: | :---: |
| FIRST_RSR | $\sim 0.01$ | -6 |
|  | $0.01 \sim 0.05$ | 28 |
|  | $0.05 \sim 0.18$ | 55 |
|  | $0.18 \sim$ | -10 |
| TOTAL_SLEEP_TIME_VAR | $\sim 0.4$ | 0 |
|  | $0.4 \sim 0.6$ | 34 |
|  | $0.6 \sim \sim .8$ | -16 |
|  | $0.8 \sim \sim 2$ | 1 |
|  | $2.2 \sim \sim .6$ | 31 |
|  | $2.6 \sim 3.6$ | -26 |
|  | $3.6 \sim$ | 2 |
| STEP_INFO_2 | $\sim 50$ | -31 |
|  | $50 \sim 1500$ | 184 |
|  | $1500 \sim$ | 145 |

Table A3. Table of hyperparameters for each ML model.

| Model | Hyperparameter | Value | Hyperparameter | Value |
| :---: | :---: | :---: | :---: | :---: |
|  | reg_alpha | 1.5486 | subsample | 0.5 |
|  | reg_lambda | 4.5005 | learning_rate | 0.008 |
| LightGBM | colsample_bytree | 0.7 | max_depth | 10 |
|  | num_leaves | 470 | min_child_samples | 47 |
|  | min_data_per_groups | 100 | n_estimators | 2000 |
| XGBoost | lambda | 0.008 | alpha | 3.818 |
|  | colsample_bytree | 0.4 | subsample | 0.7 |
|  | learning_rate | 0.02 | min_child_weight | 39 |
|  | n_estimators | 2000 | max_depth | 7 |
| CatBoost | bagging_fraction | 0.7723 | l_leaf_reg | 1.629 |
|  | max_bin | 235 | learning_rate | 0.0155 |
|  | min_data_in_leaf |  | n_estimators | 2000 |
|  | max_depth | 7 | task_type | GPU |
| Tabnet | max_type | Entmax | n_da | 64 |
|  | n_steps | 2 | gamma | 1 |
|  | n_shared | 3 | lambda_sparse | $9.07 \times 10^{-5}$ |
|  | patienceScheduler | 9 | epochs | 15 |

In order to confirm that the stacking model has better performance than other single ML models, classification performance was performed on 15,727 total data (good sleep: 326, bad sleep: 5168, medium sleep: 10,559 ). First, in order to go through the same process as score generation, only good sleep and bad sleep were included in the learning data, i.e., $80 \%$ of good sleep + bad sleep was used as training data, and the remaining $20 \%$ was used as test data. Then, $80 \%$ of the 10,559 middle sleeps were randomly extracted and added to the test data. Then, the proposed stacking machine learning model was compared with XGBoost, LightGBM, CatBoost, and Tabnet models, known as SOTA. The compared performances are summarized in Table A4. The F1 score is out of 100. The decimal point is discarded since it is only necessary to check which model has the highest performance.

Table A4. Table of F1 score for each ML model.

| ML Model | F1 Score |
| :---: | :---: |
| XGBoost | 89 |
| LightGBM | 87 |
| CatBoost | 88 |
| Tabnet | 85 |
| Stacking Method | $\mathbf{9 0}$ |

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