



Article

# Microbial Succession and the Dynamics of Chemical Compounds during the Solid-State Fermentation of Pu-erh Tea

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Abstract: An in-depth knowledge of the microbiota and metabolites in the solid-state fermentation (SSF) of Post-fermented Pu-erh tea (Pu-erh Shucha, PFPT), a Chinese traditional tea with various health benefits, is essential to develop modern fermentation technology. In this work, the microbial diversity and succession in two laboratory-developed SSF protocols for PFPT were investigated using pyrosequencing analyses of the bacterial 16S rRNA and fungal 18S rRNA genes. The active bacteria in the initial stages of SSF (seven days) were from the raw materials and environment, with a dominance of Proteobacteria in both the raw materials and SSF after seven days. The environmental bacteria were inoculated into the tea mass throughout the fermentation process and multiplied, with a dominance of Firmicutes at day 14 and 21, and then Firmicutes and Actinobacteria at the last stages of fermentation (day 28 and 35). The dominant fungi came from the raw material and were identified at the genus level as Aspergillus throughout the SSF process. The contents of tea polyphenols, free amino acids, gallic acid, theaflavin, thearubigin, and catechins decreased significantly (p < 0.05), while the level of theabrownin increased significantly (p < 0.05). The caffeine content showed no significant change (p > 0.05). In total, 30 bacterial and three fungal genera showed significant correlations to 1–8 and 3–4 identified tea compounds, respectively (p < 0.05). The dynamics of the microbiota and chemical compounds, and correlations between their changes in the SSF of PFPT were revealed, and present a foundation for further studies on the microbial effects on chemical compounds.

Keywords: post-fermented Pu-erh tea; microbiota; solid-state fermentation; microbial community

#### 1. Introduction

Post-fermented Pu-erh tea (Pu-erh Shucha, PFPT) is a well-known traditional Chinese tea with special sensory characteristics including mellow taste, stable flavor, and brownish-red color [1]. Additional, in vivo, in vitro, and clinical studies have suggested that PFPT has multiple health benefits, such as hypolipidemic, antiobesity, antimutagenic, antioxidative, antitumor, free radical scavenging, and toxicity-suppressing activity [2]. As a healthy beverage, it is popular in Southeast Asia and has also been introduced to the Western marketplace in recent years.

Unlike the raw material of black tea, fresh tea leaves [3,4], the raw materials of PFPT are sun-dried green tea (a variety of green tea products) produced from *Camellia sinensis* var. *assamica* (JW Masters) Kitamura [5]. In PFPT manufacturing, the post-fermentation process is crucial. In this process, the

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sun-dried tea leaves are stacked for a few weeks, leading to a series of oxidation, condensation, and degradation reactions in the chemical constituents of the tea [6]. From the microbiological view, the post-fermentation of PFPT is a solid-state fermentation (SSF). Unlike the chemical changes of black tea in fermentation, which are catalyzed by endogenous enzymes in tea leaves [3,4], the chemical changes in the SSF of PFPT are catalyzed by the microbial enzymes [1]. Presently, the SSF of PFPT is a natural fermentation without the use of starter cultures or the sterilization of raw materials. This gives rise to the growth of diverse microorganisms that may be primarily involved in the development of the different tastes, flavors, and health benefits of PFPT. Therefore an in-depth knowledge of the diversity and dynamics of the microbiota and changes in tea compounds in the SSF of PFPT is essential to understanding the formation of the characteristic properties of PFPT, and to develop industrial starters and control systems.

The diversity of microbiota in SSF was investigated by culture methods and many microbial species belonging to a variety of families have been identified, such as Aspergillaceae, Bacillaceae, Chaetomiaceae, etc. [7]. Additionally, a high level of microbiota diversity was gained using culture-independent approaches, such as denaturing gradient gel electrophoresis (PCR-DGGE) [8–11], a 16S rRNA gene clonal library [7], and pyrosequencing analyses [12,13]. Recently, we investigated the microbial communities and enzymes of an SSF processing sample of PFPT (collected on day 21) using 454 pyrosequencing and LC-MS/MS approaches [13]. The microbiome and 25 toxic metabolites in fermented Pu-erh tea were investigated by Illumina MiSeq platform (Illumina, Inc., SanDiego, CA, USA) and a quantitative multiplex metabolite analysis [14]. However, the dynamics of the microbiota in SSF had only investigated by PCR-DGGE [8,10,11] and a 16S rRNA gene clonal library [7]. Thus, further studies using Next-Generation Sequencing were necessary. Additionally, several reports, such as those by Gong [15], Lee [16], and Qin [17], evaluated the changes in the chemical compounds during the SSF of PFPT. None of these studies established the influence of the successive microbial populations on the changes in the tea's chemical components during the SSF of PFPT.

In this work, the microbial succession and dynamics of the tea's characteristic chemical compounds in the SSF of PFPT were studied, and the relationship between microbiota and changes in tea compounds was surveyed. This research provides a foundation for further comprehensive studies on the formation of the special properties of PFPT and for the development of industrial starters.

## 2. Materials and Methods

## 2.1. Pu-erh Tea Fermentation and Sample Collection

The SSF of PFPT in this work was developed according to the traditional method, which is a natural fermentation. The raw materials, water, utensils, and environment were not sterilized, and a starter was not used. Sun-dried green tea, used as the raw material for the SSF of PFPT, was purchased from Puer City, Yunnan Province, China. Two repeated SSFs were performed in our laboratory at Kunming City, Yunnan Province, China. A 30-kg sample of the sun-dried green tea leaves was mixed with 15 L of tap water to produce a solid content of  $\sim$ 65% (w/v). The leaves were mixed every seven days to ensure homogeneity, and tap water was added to keep the solids content at 65%–75% (as judged by the experience of the manufacturer). When the fermented tea mass was reddish-brown and free from an astringent taste ( $\sim$ 35 days), the tea leaves were air-dried to a water content of  $\sim$ 10%, and the fermentation process was stopped. Samples of tea leaves were collected every seven days and divided into two parts: one was air-dried and subjected to sensory evaluation according to the protocol described by GB/T 23776-2009 [18] and analyses of the tea's chemical compounds, and the other was stored at -80 °C for 454 pyrosequencing analyses.

#### 2.2. Analysis of PFPT's Chemical Compounds

The contents of the tea polyphenols (TPs) and free amino acids (FAA) were determined by the spectrophotometric method based on FeSO<sub>4</sub> and the ninhydrin assay method described by

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Liang et al. [19], respectively. The main tea pigments, including theabrownin (TB), theaflavin (TF), and thearubigin (TR), were analyzed using the spectrophotometric method described by Wang et al. [20]. The contents of gallic acid (GA), caffeine (CAF), 1,4,6-tri-O-galloyl-β-D-glucose (GG), and catechins, including (+)-catechin (C), (–)-epicatechin (EC), (–)-epigallocatechin (EGC), (–)-epicatechin 3-O-gallate (ECG), and (–)-epigallocatechin 3-O-gallate (EGCG) in tea leaves was determined by high-performance liquid chromatography (HPLC) using an Agilent 1200 series HPLC system consisting of an LC-20AB solvent delivery unit, a SIL-20A autosampler, a CTO-20A column oven, a G1314B VWD (280 nm), and an LC Ver1.23 workstation (Agilent Technologies, Palo Alto, CA, USA). Separation was completed using the TSK-GEL ODS-80TM column (4.6 mm i.d.  $\times$  250 mm, Tosoh, Yamaguchi, Japan). The mobile phases were solvents A (0.05 M H<sub>3</sub>PO<sub>4</sub>-H<sub>2</sub>O, 5% CH<sub>3</sub>CN) and B (0.05 M H<sub>3</sub>PO<sub>4</sub>-H<sub>2</sub>O, 80% CH<sub>3</sub>CN). Elution conditions and flow rates were: 0–25 min, solvent A was reduced from 95% to 55% and B from 5% to 45% (linear gradient); 26 min, solvent B increased to 100%; 26-31 min, solvent B was kept at 100%; 31-32 min, solvent A increased from 0% to 95%; and from 32–38 min, solvent A was kept at 95%; the flow rate was 1.0 mL/min. The temperature of the column oven was maintained at 40  $^{\circ}$ C. The injection volume was 10  $\mu$ L. The chemical compounds were identified in the tea liquids by comparing the retention times of the peaks with those of the standards. Each tea sample was extracted twice and each extraction was analyzed twice.

## 2.3. Enumeration of Microorganisms in SSF of PFPT

Tea leaves (25 g) were suspended in 225 mL of 0.9% NaCl buffer. The liquids were serially diluted, and the diluted samples were spread on Rose Bengal Medium Agar (5 g peptone, 10 g glucose, 1 g  $\rm KH_2PO_4$ , 0.5 g MgSO<sub>4</sub>·7H<sub>2</sub>O, and 20 g Agar per liter) containing 0.1 g/L chloramphenicol and on nutrient agar (NA) (5 g peptone, 3 g beef extract, 5 g NaCl and 20 g agar/L) plates for fungal and bacterial enumerations, respectively. The Rose Bengal Medium Agar and NA plates were incubated at 28 °C for 5 days and 37 °C for two days, and the numbers of microorganisms in fermented tea leaves were calculated as colony-forming units (CFU)/g.

### 2.4. Pyrosequencing Analysis

DNA extraction, PCR amplification, amplicon quantitation, pyrosequencing, and data analysis were developed at Majorbio Bio-Pharm Technology Co., Ltd. (Shanghai, China) on a Roche Genome Sequencer GS-FLX Titanium platform (Roche Diagnostics, Basel, Switzerland) using MOTHUR software version 1.34 [21,22]. DNA from fermented tea leaves was extracted using the E.Z.N.A.<sup>TM</sup> Soil DNA Kit (Omega Bio-Tek, Norcross, GA, USA) according to the manufacturer's instructions. The TransGen AP221-02 kit with TransStart Fastpfu DNA polymerase (TransGen Biotech, Beijing, China) was used in PCR reactions. To analyze the taxonomic composition of the bacterial and fungal community, universal primer pairs of 27F (5'-AGAGTTTGATCCTGG CTCAG-3') with 533R (5'-TTA CCG CGG CTG GCA C-3'), and ITS1 (5'-TCCGTAGGTGAACCT GCGG-3') with ITS4 (5'-TCCTCCGCTTATTGATATGC-3'), incorporating FLX titanium adapters, which targeted the V1–V3 region of the bacterial 16S rRNA gene, and the ITS region of the fungal 18S rRNA gene, were chosen for the amplification and subsequent pyrosequencing of the PCR products, respectively. Each PCR reaction consisted of  $0.4~\mu L$  Fastpfu DNA polymerase,  $4~\mu L$  five-fold Fastpfu Buffer,  $2~\mu L$  2.5 mM dNTPs, 0.8 μL of each primer, 10 ng of DNA, and ddH<sub>2</sub>O to a final concentration of 20 μL. The amplification program for the bacterial 16S rRNA gene consisted of an initial denaturation step at 95 °C for 2 min. This was followed by 25 cycles, where a cycle consisted of 95 °C for 30 s (denaturation), 55 °C for 30 s (annealing), and 72 °C for 30 s (extension), followed by a final extension of 72 °C for 5 min. The amplification program for the fungal 18S rRNA gene consisted of an initial denaturation step at 95 °C for 2 min. This was followed by 30 cycles, where a cycle consisted of 95 °C for 30 s (denaturation), 55 °C for 30 s (annealing), and 72 °C for 30 s (extension), followed by a final extension of 72 °C for 5 min. All PCR products were visualized on agarose gels (2% in TBE buffer) containing ethidium bromide. For each sample, three independent PCRs were performed. The triplicate products were pooled and

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purified using an AxyPrep PCR Clean-up Kit (Axygen Biosciences, Axygen, CA, USA). The DNA concentration of each PCR product was determined using a Quant-iT PicoGreen double-stranded DNA assay (Invitrogen, Carlsbad, CA, USA) and was quality controlled on an Agilent 2100 bioanalyzer (Agilent Technologies, Palo Alto, CA, USA). Amplicon pyrosequencing was performed from the A-end using a 454/Roche A sequencing primer kit on a Roche Genome Sequencer GS-FLX Titanium platform at Majorbio Bio-Pharm Technology Co., Ltd.

Data preprocessing was performed mainly using MOTHUR software [21,22]. The chimeric sequences were excluded using the chimera uchime command with default parameters [23]. Sequences with similarities >97% were clustered into one operational taxonomic unit (OTU) using MOTHUR. The taxonomical assignment of each OTU was performed using the classify seqs command (Naïve Bayesian Classifier) against the SILVA 16S rRNA gene database (release 111) at an 80% confidence level [24–26]. Community richness and diversity indices (Chao1 estimator, abundance-based coverage estimator (ACE) and Shannon indices, respectively) and rarefaction curves were obtained using MOTHUR [27].

To compare microbial community structures based on the OTU composition across all samples, a principal component analysis (PCA) was carried out using the SPSS software package (SPSS 19.0, Chicago, IL, USA).

The 454 pyrosequencing data generated for this study were submitted to the Sequence Read Archive (SRA) and are available under projects SRR1634067 and SRR1633201. The representative 16S rRNA gene sequences of isolated bacteria in this study were deposited in GenBank under accession numbers KP732727–KP732737.

## 2.5. Statistical Analyses

In the analysis of tea chemical compounds, each tea sample was extracted twice, and each extraction was analyzed twice. A one-way ANOVA was used to identify statistical differences, followed by the least-significant difference method for paired data. Results are expressed as the mean  $\pm$  SD. Pearson correlation coefficients between tea chemical compounds and abundant genera were calculated. All of the data were analyzed using SPSS 19.0 software packages (SPSS Inc., Chicago, IL, USA), and p < 0.05 was considered to be significant.

## 3. Results and Discussion

# 3.1. SSF of PFPT, Sample Collection, and Variations in Chemical Compounds

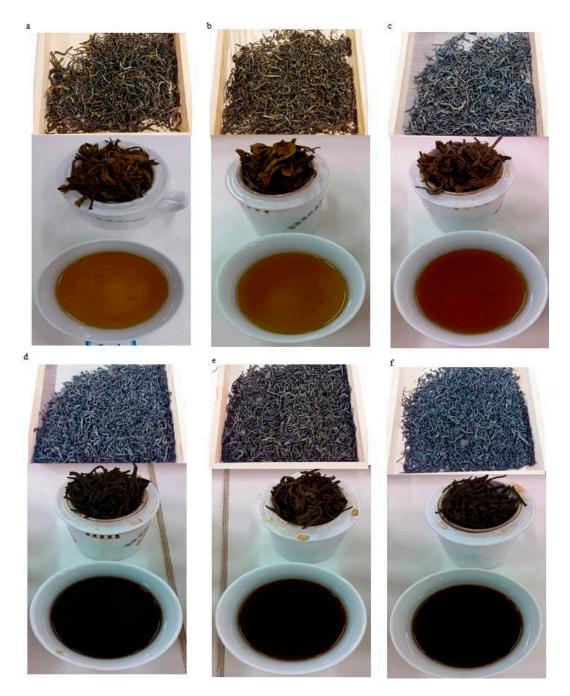
To better understand the natural SSF of traditional Chinese PFPT, laboratory fermentation was developed and repeated twice. Every seven days, tea leaf samples were collected and subjected to sensory evaluation (Figure 1). After SSF, the tea leaves became dark, the tea liquid became reddish-brownish, and the fermented tea leaves became softer than those of the raw material. The contents of chemical compounds of tea leaves are listed in Table 1. After SSF, the levels of TPs, FAA, EGC, EC, EGCG, GG, ECG, GA, TF, and TR decreased significantly (p < 0.05), and contents of TB increased significantly (p < 0.05). These changes in the chemical compounds during the SSF of PFPT were in accord with the previous reports of Gong [15], Lee [16], and Qin [17]. It was hypothesized that microbiota led to these chemical changes. However, the influence of microbial populations on the changes of tea chemical components during SSF of PFPT is not established. So we developed the further research to survey the diversity and dynamic of microbiota and change of tea compounds.

Table 1. Variation of levels of chemical compounds during solid-state fermentation (SSF) of Post-fermented Pu-erh tea (Pu-erh Shucha, PFPT).

Chemical		Sample	of Tea Leaves Coll	Western Trees 1			
Compounds	0 Day	7 Day	14 Day	21 Day	28 Day	35 Day	- Variation Trend
TPs (%)	$37.86 \pm 1.72$ a	$31.55 \pm 2.64$ b	$22.63 \pm 1.47$ <sup>c</sup>	$15.71 \pm 0.67$ d	12 ± 0.33 <sup>e</sup>	$10.56 \pm 0.78$ e	decreasing $(p < 0.05)$
FAA (%)	$2.52\pm0.02~^{a}$	$2\pm0.03$ <sup>b</sup>	$1.47\pm0.15^{\text{ c}}$	$1.01 \pm 0.09$ d	$0.93 \pm 0.15$ <sup>d</sup>	$0.62\pm0.23$ $^{\mathrm{e}}$	decreasing $(p < 0.05)$
EGC (mg/g)	$29.60\pm7.94~^{\mathrm{aa}}$	$47.41 \pm 8.28$ bb	$33.65\pm6.67~^{\mathrm{aa}}$	$15.89\pm6.06~^{\mathrm{cc}}$	$11.46 \pm 2.51$ cd	$6.97 \pm 1.74$ de	increasing (0–7 day, $p$ < 0.05), decreasing (7–35 day, $p$ < 0.05)
C (mg/g)	$9.83\pm0.76$ a	$5.68 \pm 1.17^{\text{ b}}$	$4.49\pm0.42~^{\rm c}$	$3.02 \pm 0.77$ d	$2.02\pm0.71~^{\rm e}$	$1.69\pm0.60$ e	decreasing (0–21 day, $p < 0.05$ )
EC (mg/g)	$13.70 \pm 0.12^{\ a}$	$27.48 \pm 0.62^{\ b}$	$17.54 \pm 4.36$ <sup>c</sup>	$11.44 \pm 2.22$ a	$6.05 \pm 0.94$ d	$2.84\pm0.78~^{\rm e}$	increasing (0–7 day, $p$ < 0.05), decreasing (7–35 day, $p$ < 0.05)
EGCG (mg/g)	$59.73\pm1.82~^{\mathrm{a}}$	$11.54 \pm 3.57^{\ \mathrm{b}}$	$7.15\pm2.98^{\text{ c}}$	$2.86 \pm 0.93$ d	$1.39 \pm 0.61$ <sup>d</sup>	$1.04 \pm 1.41^{\text{ d}}$	decreasing (0–21 day, $p < 0.05$ )
GG (mg/g)	$4.19 \pm 0.22^{\ a}$	$0.95 \pm 0.34^{\ b}$	$0.90 \pm 0.14^{\ \mathrm{b}}$	$0.67 \pm 0.22^{\text{ c}}$	$0.53 \pm 0.17^{\text{ c}}$	$0.45\pm0.16^{\text{ c}}$	decreasing (0–21 day, $p < 0.05$ )
ECG (mg/g)	$39.63 \pm 1.35~^{a}$	$6.69 \pm 2.52^{\text{ b}}$	$4.13\pm1.26^{\text{ c}}$	$2.04 \pm 0.83$ d	$0.72 \pm 0.32  \mathrm{de}$	$0.57\pm0.33$ ee	decreasing (0–21 day, $p < 0.05$ )
GA (mg/g)	$3.88\pm0.18~^{\mathrm{a}}$	$30.24 \pm 1.68$ <sup>b</sup>	$19.16 \pm 1.78$ c	$8.29 \pm 1.17^{ ext{ d}}$	$1.96 \pm 0.35$ e	$0.25 \pm 0.11$ f	increasing (0–7 day, $p$ < 0.05), decreasing (7–35 day, $p$ < 0.05)
CAF (mg/g)	$28.50 \pm 0.18$ a	$29.53 \pm 0.86$ a	$32.09 \pm 6.52^{a}$	$30.25 \pm 3.36$ a	$30.00 \pm 1.66$ a	$30.51\pm1.75$ a	no significant change $(p > 0.05)$
TF (%)	$0.35\pm0.01~^{a}$	$0.53 \pm 0.07^{\text{ b}}$	$0.45 \pm 0.03^{\ b}$	$0.34\pm0.08~^{\rm c}$	$0.25 \pm 0.08$ d	$0.22 \pm 0.02^{\text{ d}}$	increasing (0–7 day, $p$ < 0.05), decreasing (7–35 day, $p$ < 0.05)
TR (%)	$7.01\pm0.08~^{\mathrm{a}}$	$7.78\pm0.7^{ m  b}$	$7.48\pm0.44$ b	$5.97\pm0.08~^{\rm c}$	$5.12\pm0.46$ <sup>d</sup>	$2.65\pm0.6$ $^{ m e}$	increasing (0–7 day, $p$ < 0.05), decreasing (7–35 day, $p$ < 0.05)
TB (%)	$3.23\pm0.08~^{a}$	$4.16\pm0.21~^{a}$	$7.19\pm0.49^{\text{ b}}$	$11.32\pm0.87^{\text{ c}}$	$13.38\pm1.72^{\text{ d}}$	15.14 $\pm$ 2.22 $^{\mathrm{e}}$	increasing $(p < 0.05)$

Note: Different lowercase letters in superscript (a, b, c, d and e) in a same row indicate levels of chemical compounds with statistically significant difference (p < 0.05), by one-way ANOVA.

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**Figure 1.** The representative sensory evaluation of tea leaves collected on day 0 (a); 7 (b); 14 (c); 21 (d); 28 (e) and 35 (f) during solid-state fermentation (SSF) of Post-fermented Pu-erh tea (Pu-erh Shucha, PFPT). The sensory evaluation was developed according the Chinese standard (GB/T 23776-2009) as follows: 3 g of dried tea leaves were infused in 150 mL boiled water for 5 min; the pre-and post-infusion tea leaves and the tea liquid after infusion are shown.

# 3.2. Diversity of Microbial Communities during SSF of PFPT

The average initial bacterial and fungal cell numbers in the raw material were approximately  $5.7 \times 10^2$  and  $1.3 \times 10^3$  CFU/g, which increased to about  $5.8 \times 10^7$  and  $3.2 \times 10^6$  CFU/g at seven days of fermentation, and then increased to about  $10^8$  or  $10^7$  CFU/g during SSF (Table 2).

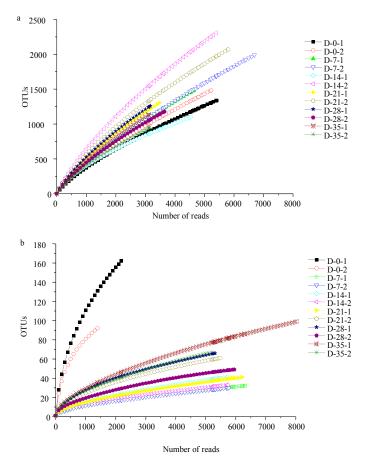
**Table 2.** Summary of pyrosequencing analyses of the bacterial *16S rRNA* and fungal *18S rRNA* genes.

Sample	ple Cell Number R		No. of OTUs	Coverage (%)	No. of Taxonomic Groups Identified		Richness Estimators		DIVERSITY Indices		
	Means of CFU/g				Phylum	Family	Genus	ACE	Chao1	Shannon-Weaver Index	Simpson
					]	Bacteria					
D-0-1	$5.7 \times 10^{2}$	5409	1338	81.94	7	44	80	8429	4495	4.84	0.07
D-0-2		5529	1482	78.60	8	55	106	9935	5343	5.30	0.04
D-7-1	$5.8 \times 10^{7}$	4637	1479	75.57	14	90	158	10,208	5487	5.52	0.03
D-7-2		6691	1988	77.18	10	84	111	15,638	7076	5.50	0.04
D-14-1	$1.7 \times 10^{8}$	4539	1099	84.27	7	60	95	4567	2999	5.43	0.03
D-14-2		5393	2307	69.63	12	86	152	12,646	6382	6.91	0.01
D-21-1	$1.2 \times 10^{8}$	3472	1302	73.30	5	38	63	7453	3622	5.90	0.03
D-21-2		5811	2071	75.17	10	59	92	10,983	6042	6.57	0.01
D-28-1	$2.0 \times 10^{8}$	3175	1261	71.28	6	53	76	7165	3621	5.97	0.02
D-28-2		3649	1178	76.38	7	57	85	6782	3989	5.34	0.04
D-35-1	8.3 × 10 <sup>7</sup>	3119	1138	73.45	5	51	81	5953	3319	5.66	0.02
D-35-2		3137	948	78.39	7	52	77	5157	2687	4.96	0.06
						Fungi					
D-0-1	$1.3 \times 10^{3}$	2189	162	96.62	5	41	58	330	258	2.6	0.26
D-0-2		1395	92	97.56	5	27	36	127	127	2.27	0.31
D-7-1	$3.2 \times 10^{6}$	6305	32	99.71	3	3	4	128	63	0.4	0.84
D-7-2		5773	30	99.65	3	3	4	261	125	0.29	0.89
D-14-1 D-14-2	9.0 × 10 <sup>7</sup>	5718 5737	41 33	99.56 99.65	2 3	3 3	3 3	184 293	116 128	0.98 0.65	0.48 0.71
D-21-1 D-21-2	$9.6 \times 10^{7}$	6211 5487	41 61	99.60 99.42	2 2	2 3	3 4	270 193	101 123	0.50 1.10	0.79 0.47
D-28-1	$1.2\times10^8$	5314	66	99.36	2	11	13	180	136	0.66	0.78
D-28-2		5955	49	99.63	4	3	4	114	67	0.66	0.75
D-35-1 D-35-2	$6.6 \times 10^{7}$	8050 5199	99 67	99.30 99.29	2 2	6 4	9 5	325 248	195 123	0.78 0.87	0.75 0.69

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The microbial communities were analyzed using a pyrosequencing-based analysis of the bacterial 16S rRNA gene and fungal 18S rRNA gene in the 12 samples of fermented tea leaves. After removing low-quality and chimeric sequences, a total of 54,561 bacterial and 63,333 fungal high quality sequences with average read lengths of 472 and 497 bp, respectively, were obtained. Each bacterial library contained 3119–6691 reads, with different phylogenetic OTUs ranging from 948 to 2307, which were classified into 5–14 phyla, 38–90 families and 63–158 genera (Table 2). In them, 15,490 sequences were classified as cyanobacteria, which are probably chloroplast sequences that originated from the tea plant. These sequences were removed prior to further analysis. Each fungal library contained 1395–8050 reads, with different phylogenetic OTUs ranging from 30 to 162, and was classified into 2–5 phyla, 2–41 families, and 3–58 genera (Table 2).

Rarefaction curves at a 3% cutoff are shown in Figure 2, and the Chao1 estimator, abundance-based coverage estimator (ACE), and Shannon index are listed in Table 2. The bacterial diversity indices were significantly greater than that of fungi (p < 0.05), the bacterial rarefaction curve did not approach a plateau at a similar level, and more bacterial taxonomic groups were identified, demonstrating that bacterial communities in fermented tea leaves have a high diversity level. The fungal diversity indices in raw material (day 0) was significantly greater than that of fermented tea leaves (day 7–35) (p < 0.05), indicating that a decrease of fungal diversity during SSF. This decrease of fungal diversity was also reported by Zhang et al., recently [14]. It seems that as soon as water is added to start the fermentation, the fungal diversity decreased.



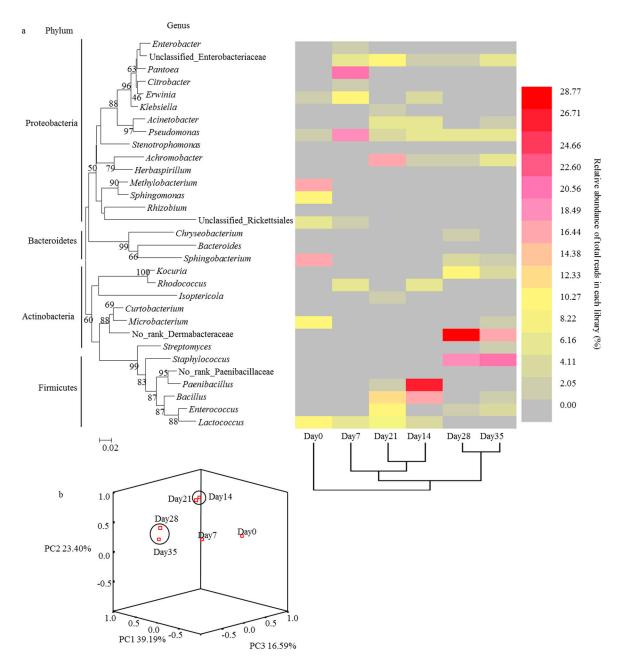
**Figure 2.** Rarefaction curve analyses of the *16S rRNA* gene (**a**) and *18S rRNA* gene (**b**) of the bacterial and fungal communities, respectively, present in the SSF of PFPT. Operational taxonomic units (OTUs) are defined as sequences sharing 97% nucleotide sequence similarity.

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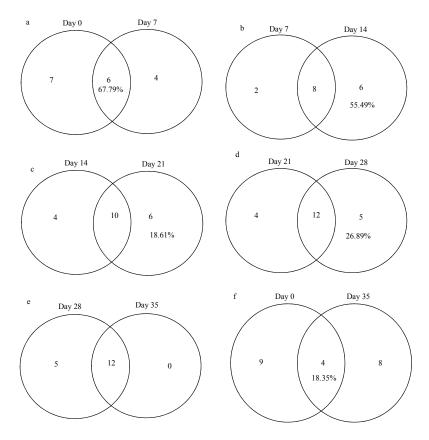
#### 3.3. Dynamics and Source of Bacteria during SSF of PFPT

A heat map of major bacteria (>1%) in tea leaves at the genus level is given in Figure 3a. A PCA analysis is shown in Figure 3b. The bacterial community in the raw material (day 0) was different from those in other samples, with dominant bacteria of Sphingobacterium (16.48%) and Methylobacterium (16.39%). After seven days of fermentation, the dominant bacteria had changed to *Pantoea* (21.32%) and Pseudomonas (18.87%). In the 14 days of SSF, the genera of Paenibacillus and Bacillus were dominant and accounted for 26.21% and 16.73% of total reads, respectively. Achromobacter (17.62%) was dominant at 21 days. In the sample collected on day 28 of SSF, the dominant bacteria were identified as Staphylococcus (20.78%) and no\_rank\_Dermabacteraceae (16.91%). At the last stage of SSF (day 35), no\_rank\_Dermabacteraceae (30.82%) were dominant, followed by Staphylococcus (19.62%). At the phylum level, the pyrosequencing analysis indicated that the dominant bacteria were Proteobacteria, Firmicutes and Actinobacteria. By metagenomic analysis, Lyu showed that dominant bacteria in one fermented sample of PFPT were Proteobacteria (23.56%), Actinobacteria (23.35%), and Firmicutes (11.37%) [12]. By using a 16S rRNA gene clone library, we also demonstrated that the dominant bacteria during SSF of PFPT were Proteobacteria and Firmicutes [7]. Recently, Zhang identified that the most commonly observed bacterial taxa in 31 Pu-erh tea samples belonged to Firmicutes, Actinobacteria, and Proteobacteria [14]. These samples in different reports have similar dominant bacteria, suggesting that Proteobacteria, Firmicutes, and Actinobacteria may be the core bacteria in SSF of PFPT, and they may play crucial roles in the quality formation of the PFPT. However, more samples from different areas and producers should be investigated to validate this hypothesis.

To investigate the sources of the bacteria, a series of comparisons of major bacteria (>1%) at the genus level were performed (Figure 4). A comparison of raw material and the seven-day fermented sample showed that they shared six bacterial genera, which accounted for 67.79% of the total reads of seven-day fermentation (Figure 4a). This revealed that partial bacteria in initial stages of SSF came from the raw material. Additionally, four genera were newly detected in the seven-day fermented sample. This demonstrated that a portion of the bacteria came from the fermentation environment (room, air, or water). Comparisons of bacterial genera between the samples of day 7 and 14, day 14 and 21, and day 21 and 28 showed that six, six, and five genera, respectively, accounting for 55.49%, 18.61%, and 26.89%, respectively, of each sample's reads were newly detected. A comparison of 35-day-fermented tea leaves and raw material showed that they shared only 18.35% of bacteria (Figure 4f). Together, these comparisons indicated that active bacteria were from the raw material and fermentation environment during the initial stages, and the bacteria in the fermentation environment continued to naturally inoculate into tea mass during the SSF. This primary comparison suggested that environmental bacteria are involved in the fermentation of PFPT; this is possibly the reason for the geographical characteristics of PFPT.



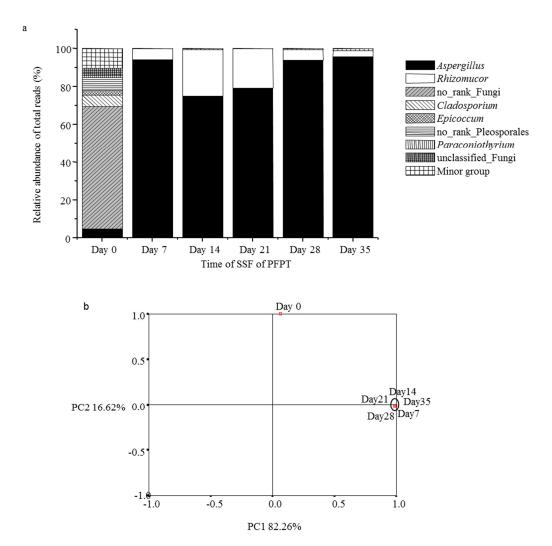
**Figure 3.** Heat map of relative abundances of major bacterial genera (>1%) (a); principal component analysis (PCA) analysis of bacterial communities in fermented tea leaves during the SSF of PFPT based on the relative abundances of the sample reads at the genus level (b).



**Figure 4.** Comparisons of major bacteria (>1%) at the genus level between day 0 and 7 (a); day 7 and 14 (b); day 14 and 21 (c); day 21 and 28 (d); day 28 and 35 (e); and day 0 and 35 (f) during the SSF of PFPT.

# 3.4. Dynamics and Source of Fungi during SSF of PFPT

In raw material, the dominant fungi were classified as no\_rank\_Fungi (65.39%). When the water content of tea leaves was increased, the fungi belonging to Aspergillus were multiplied to dominate throughout the SSF process. They accounted for 94.08%, 74.79%, 79.27%, 93.76%, and 95.79% of the total fungal community at day 7, 14, 21, 28, and 35, respectively. Additionally, fungi belonging to Rhizomucor multiplied and accounted for 3.73% at day 7, and increased to 24.54% and 20.63% at day 14 and 21, respectively, and then decreased to 3.07% at day 35 (Figure 5a). The differences in the dominant fungi between the raw material and fermented samples suggested a large shift in the fungal communities, which was corroborated by the separation of fungal communities in the raw material (day 0) from other fermenting samples (day 7, 14, 21, 28, and 35) in the PCA analysis (Figure 5b). The similarity in the dominant fungi in each fermented tea leaf sample suggests that fungal communities did not change significantly during the SSF of PFPT, and this was corroborated by the groupings of the fermented samples (day 7, 14, 21, 28, and 35) in the PCA analysis (Figure 5b). Fungi belonging to Aspergillus were identified as dominant throughout the SSF process of PFPT, and this corroborated previous reports that the major fungi involved in the SSF of PFPT were A. niger and B. adeninivorans [8], or A. niger, S. cerevisiae, and P. glabrum [11], and several Aspergillus spp. [1]. Comparisons of major fungi (>1%) at the genus level showed that they came from the raw material, suggesting that the raw materials were responsible for the active fungi. To our knowledge, this is the first work to survey the sources of the bacteria and fungi in the SSF of PFPT.



**Figure 5.** Fungal communities in tea leaves at the genus level during the SSF of PFPT (a); PCA analysis of fungal communities in fermented tea leaves during the SSF of PFPT based on the relative abundances of the sample reads at the genus level (b). Minor groups are composed of the parties showing a percentage of reads <1.0% of the total reads in each sample.

# 3.5. Correlations among Microbiota and Tea Components

To explore microbial effects on tea components, correlations between chemical compounds and relative abundances of the bacterial and fungal genera were conducted and are shown in Tables 3–5. In total, 30 bacterial genera showed significant correlations to 1–8 tea compounds. For example, unclassified Actinobacteria have negative significant correlations to the contents of TPs, FAA, EGC, C, EC, TF, and TR; and have positive significant correlations to TB (Tables 3 and 4). For fungi, *Aspergillus* showed negative significant correlations to the contents of C, EGCG, ECG, and GG; OTUs assigned to no\_rank\_Fungi have positive significant correlations to the contents of EGCG, ECG, and GG (Table 5). The compounds had positive significant correlations to 2–10 bacterial genera and showed negative significant correlations to 0–5 bacterial genera (Table 3). This preliminary data suggested that microbiota with significant correlations to tea compounds may be involved in the dynamics of chemical compounds; however, the roles of these microbiota in the SSF of PFPT need further monoculture fermentation studies.

**Table 3.** Significant correlation coefficients between the tea's chemical compounds and bacterial genera.

Compounds	TPs	AA	CAF	EGC	С	EC	EGCG	GG	ECG	GA	TF	TR	TB
positive significant correlations	Sphingomonas, 0.745 *	Sphingomonas, 0.774 *	Stenotrophomonas, 0.873 *	Erwinia, 0.955 **	Sphingomonas, 0.889 **	Erwinia, 0.957 **	Sphingobacterium, 0.894 **	Sphingobacterium 0.901 **	, Sphingobacterium 0.901 **	, Erwinia, 0.948 **	Erwinia, 0.933 **	Erwinia, 0.754 *	Prevotella, 0.771 *
	Rhizobium, 0.802 *	Rhizobium, 0.829 *	Paenibacillus, 0.831 *	Pantoea, 0.792 *	Lactococcus, 0.741*	Pantoea, 0.840 *	Microbacterium, 0.944 **	Microbacterium, 0.956 **	Microbacterium, 0.951 **	Pseudomonas, 0.791 *	Pantoea, 0.765 *	Enterobacter, 0.758 *	Kocuria, 0.808 *
	Herbaspirillum, 0.774 *	Herbaspirillum, 0.797 *		Enterobacter, 0.825 *	Rhizobium, 0.929 **	Enterobacter, 0.912 **	Sphingomonas, 0.991 **	Sphingomonas, 0.995 **	Sphingomonas, 0.994 **	Pantoea, 0.839 *	Enterobacter, 0.943 **	Streptococcus, 0.829 *	Bacteroides, 0.797 *
	Chryseobacterium, 0.862 *	Chryseobacterium, 0.880 *		Rhodococcus, 0.851 *	Herbaspirillum, 0.906 **	Rhodococcus, 0.848 *	Rhizobium, 0.992 **	Rhizobium, 0.996 **	Rhizobium, 0.992 **	Enterobacter, 0.967 **	Rhodococcus, 0.896 **	Carnobacterium, 0.763	unclassified_A- ctinobacteria, 0.923 **
	no_rank_ Peptostreptococcaceae, 0.787 *	no_rank_ Peptostreptoc- occaceae, 0.821 *		Flavobacterium, 0.826 *	Chryseobacterium, 0.966 **	Streptococcus, 0.788 *	Herbaspirillum, 0.995 **	Herbaspirillum, 0.996 **	Herbaspirillum, 0.997 **	Rhodococcus, 0.935 **	Streptococcus, 0.814 *		
	Kluyvera, 0.957 **	Kluyvera, 0.956 **		Carnobacterium, 0.768 *	no_rank_Peptos- treptococcaceae, 0.860 *	Flavobacterium, 0.902 **	Chryseobacterium, 0.990 **	Chryseobacterium 0.990 **	, Chryseobacterium 0.989 **	, Flavobacterium, 0.850 *	Flavobacterium, 0.870 *		
	Lactobacillus, 0.750 *	Carnobacterium, 0.903 **		no_rank_ Spori-chthyaceae, 0.866 *	Kluyvera, 0.970 **	no_rank_ Spori-chthyaceae, 0.891 **	no_rank_ Bactero-idales, 0.845 *	no_rank_ Bacteroid-ales, 0.849 *	no_rank_ Bacteroid-ales, 0.847 *	no_rank_ Sporichth-yaceae 0.869 *	no_rank_ e, Spo-richthyaceae, 0.825 *		
	Carnobacterium, 0.919 **			Mesorhizobium, 0.894 **	Novosphingobium, 0.852 *	Mesorhizobium, 0.906 **	no_rank_Peptost- reptococcaceae, 0.924 **	no_rank_ Peptostre- ptococcaceae, 0.906 **	no_rank_ Peptostre- ptococcaceae, 0.920 **	Mesorhizobium, 0.942 **	Mesorhizobium, 0.882 *		
					Lactobacillus, 0.751 *	no_rank_ Bacteria, 0.773 *	Kluyvera, 0.921 **	Kluyvera, 0.896 **	Kluyvera, 0.912 **				
					Carnobacterium, 0.860 *		Novosphingobium, 0.971 **	Novosphingobium 0.984 **	, Novosphingobium 0.976 **	',			
negative significant correlations	Bacteroides, -0.803 *	Bacteroides, -0.772 *	no_rank_ Peptost- reptococcaceae, -0.801 *	Prevotella, -0.753 *	Bacteroides, -0.763 *	Kocuria, -0.777 *					Prevotella, -0.771 *	Prevotella, -0.969 **	Erwinia, -0.740 *
	Staphylococcus, -0.749 *	unclassified_ Actinobacteria, -0.852 *		Kocuria, -0.754 *	unclassified_ Act-inobacteria, -0.803 *	Staphylococcus, -0.779 *					Staphylococcus, -0.809 *	Kocuria, -0.957 **	Kluyvera, -0.872 *
	unclassified_ Actino-bacteria, -0.856 *			Staphylococcus, -0.767 *		unclassified_Act- inobacteria, -0.793 *					unclassified_Act- inobacteria, -0.799 *	Staphylococcus, -0.850 *	Streptococcus, -0.732 *
				unclassified_A- ctinobacteria, -0.815 *							Kocuria, -0.792 *	unclassified Actinobacteria, -0.942 **	Lactobacillus, -0.731 *
													Carnobacterium, -0.908 **

Note: \* and \*\* represent significance levels of 0.05 and 0.01, respectively.

<b>Table 4.</b> Summary of the significant correlation	n coefficients between bacterial genus and the tea's
chemical compounds.	

Bacterial Genus	Positive Significant Correlations	Negative Significant Correlation
unclassified_Actinobacteria	TB	TPs, AA, EGC, C, EC, TF, TR
Kluyvera	TPs, AA, C, EGCG, GG, ECG	TB
no_rank_Peptostreptococcaceae	TPs, AA, C, EGCG, GG, ECG	CAF
Carnobacterium	TPs, AA, EGC, C, TR	TB
Erwinia	EGC, EC, GA, TF, TR	TB
Herbaspirillum, Rhizobium, Sphingomonas, Chryseobacterium	TPs, AA, C, EGCG, GG, ECG	
Enterobacter	EGC, EC, GA, TF, TR	
Kocuria	TB	EGC, EC, TF, TR
Staphylococcus		TPs, EGC, EC, TF, TR
Bacteroides	TB	TPs, AA, C
Flavobacterium, Mesorhizobium, no_rank_Sporichthyaceae, Pantoea, Rhodococcus	EGC, EC, GA, TF	
Novosphingobium	C, EGCG, GG, ECG	
Prevotella	TB	EGC, TF, TR
Streptococcus	EC, TF, TR	ТВ
Sphingobacterium	EGCG, GG, ECG	
Lactobacillus	TPs, C	TB
Microbacterium, no_rank_Bacteroidales	EGCG, GG, ECG	
Lactococcus	C	
no_rank_Bacteria	EC	
Paenibacillus, Stenotrophomonas	CAF	
Pseudomonas	GA	

**Table 5.** Significant correlation coefficients between contents of the tea chemical compounds and the fungal genus.

Compounds	Fungal Genus, Correlation Coefficients						
С	Aspergillus, -0.875 *	No_Rank_fungi, 0.867 *	-				
EGCG	Aspergillus, $-0.959$ **	No_Rank_fungi, 0.984 **	Penicillium, 0.863 *				
GG	Aspergillus, $-0.974$ **	No_Rank_fungi, 0.990 **	Penicillium, 0.875 *				
ECG	Aspergillus, -0.963 **	No_Rank_fungi, 0.988 **	Penicillium, 0.872 *				

Note: \* and \*\* represent significance levels of 0.05 and 0.01, respectively.

## 3.6. Staphylococcus in SSF of Pu-erh Tea

Staphylococcal food poisoning is a common food-borne disease worldwide following the ingestion of staphylococcal enterotoxins that are produced by enterotoxigenic strains, mainly *Staphylococcus aureus* [28]. *S. aureus* can grow in a wide range of temperatures, pH levels, and sodium chloride concentrations, and in many food products [29]. Bacteria belonging to the genus *Staphylococcus* accounted for 20.78% and 19.62% of the total reads at the last stages of SSF (day 28 and 35, respectively), suggesting that *S. aureus* occurs in the PFPT. To verify this possibility, a Baird–Parker plate assay to detect *S. aureus* and the isolation of bacteria in the day 28 and day 35 samples was performed. In total, 10 and one isolates in which the *16S rRNA* genes (KP732727–KP732737) shared 100% similarity to those of *S. sciuri* subsp. *sciuri* DSM 20345(T) and *S. saccharolyticus* ATCC 14953(T), respectively, were identified by an EzTaxon-e search [30]. However, *S. aureus* was not detected. The *S. sciuri* subsp. *sciuri* and *S. saccharolyticus* present in the fermentation of PFPT need further study to determine whether they are harmless symbionts or pathogens. However, their detection only at the last stage of SSF by pyrosequencing analysis suggested that the *Staphylococcus* came from the fermentation environment. Thus, we suggest that the safety of the environmental bacteria correlates with the safety of PFPT fermentation.

# 4. Conclusions

Spectrophotometry and HPLC revealed that the levels of TPs, FAA, EGC, EC, EGCG, GG, ECG, GA, TF, and TR decreased significantly (p < 0.05), while the TB content increased significantly (p < 0.05) after SSF. The pyrosequencing analysis and comparisons suggested that the bacteria in the initial stages

of the SSF were from the raw material and environment, with Proteobacteria dominant in the raw material and at day 7 of SSF. Environmental bacteria were inoculated into the tea mass throughout the fermentation process and Firmicutes became the dominant bacteria at day 14 and 21. During the last stages of fermentation (day 28 and 35), Firmicutes and Actinobacteria were dominant. The dominant fungi were identified as genus *Aspergillus* in the SSF process. Correlations between microbial succession and the dynamics of the chemical compounds were conducted. This work provided information on the microbial succession and dynamics of chemical compounds, and the correlations between these two changes in the SSF of PFPT.

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