



Assessment of the Potential of High-Performance Buildings to Achieve Zero Energy: A Case Study

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Abstract: Buildings that are designed with aggressive energy performance targets are defined broadly in this study as high-performance buildings. As the technology advances, some of these buildings have the potential to become zero-energy ready through the adoption of cost-effective measures, such as retro-commissioning and occupant behavior techniques. This study demonstrated the viability of an office building to achieve the zero-energy goal and intended to engage the owners of similar facilities. The case building was designed as a very low-energy building with an energy use intensity (EUI) goal of 42 kWh/(m² a), and the actual EUI was 23.9 kWh/(m² a). The calibrated simulation approach was employed in the study, and the results indicated that the case building can achieve the zero-energy goal by optimizing the controls of the HVAC (Heating, Ventilation and Air Conditioning) system, changing the occupant behavior and improving the performance of the photovoltaic system.

Keywords: high-performance building; zero-energy building; model calibration; energy efficiency measures; case study

1. Introduction

A zero-energy building is a building with greatly reduced energy needs through efficiency gains such that the balance of the energy needs can be supplied by renewable energy generated on site on an annual basis [1–3]. Achieving zero energy is an ambitious yet increasingly achievable goal thanks to the recent advances in building technologies. A variety of governments, e.g., the European Union and the United States, have established policies and targets for new buildings to become zero-energy in the next ten years [4–6]. However, transforming the existing buildings into zero energy is as important as building new ones. The proliferation of low- and very-low-energy buildings in recent years presents great opportunities for such transformation. A number of studies have explored the technical and economic viabilities.

Alajmi et al. [7] investigated the possibility of converting a small low-rise public building located in a hot climate into a net-zero-energy building. An earlier retrofit had brought down annual energy consumption by 27% (659 MWh), and the simulation results indicated that an additional 33.6% savings (821 MWh) could be achieved by a set of energy efficiency measures (EEMs), which would make the building zero-energy ready. Three potential solutions to convert the building into a zero-energy building were discussed. The first solution would use only the photovoltaic (PV) system that utilizes the entire roof; the second solution would use less PV with the replacement of existing chillers with more efficient ones; the third solution would combine the PV and a solar cooling system. Simulation



results showed that the first solution could achieve the zero-energy goal on an annual basis, while the other two solutions could achieve the zero-energy goal on both an annual and a monthly basis.

AbuGrain and Alibaba [8] studied a three-story public building in Cyprus. A variety of strategies was simulated to explore the energy-saving potential, including integrating additional insulation materials, using light sensors to reduce unnecessary lighting consumption, increasing the U-value of the windows, etc. The simulation results implied that nearly 30% annual energy savings could be realized through retro-commissioning with approximately 11% from lighting and 9% from the HVAC system. The zero-energy goal could be achieved by integrating photovoltaic panels on 23% of the roof area.

Minor et al. [9] explored a LEED (Leadership in Energy and Environmental Design) -certified building with a total floor area of 2902 m² in Ohio, USA. They assessed two alternatives to reach the net-zero energy target. The first option was to add only PV panels to achieve zero energy on an annual basis; the other one was to add PV panels to meet all summer loads and solar thermal units to meet the heating needs. They concluded that the first option was more cost-effective.

Irulegi et al. [10] discussed a six-story university building in Spain. Natural ventilation was proposed in lieu of a conventional air conditioning system to meet the cooling needs. For the winter period, eliminating thermal bridges, using air-to-air heat recovery systems, and improving the windows' performance in the north façade showed potential energy savings of 62%. Their study indicated that with these measures, the building had the potential to become zero-energy ready.

Some other studies reported similar findings with regard to transforming existing buildings into zero energy buildings [11–14]. Cellura et al. [15] discussed retrofitting a single-family house in a rural area in Italy to achieve zero energy. A variety of approaches and technologies, such as natural ventilation, adaptive thermal comfort, advanced lighting, and a high-performance façade, were investigated. Wu et al. [16] evaluated which HVAC technology was the most efficient for a residential building in the mixed-humid climate to achieve zero energy. Based on the simulation, a ground source heat pump (GSHP) with a heat recovery ventilator (ERV) yielded the most energy savings, but this option was also the most expensive one. The most cost-effective option was an air source heat pump (ASHP) without the heat recovery system. Kalogirou [17] studied the combined PV and the solar thermal system and concluded that the combined system would be taking a leading role in meeting the electricity and thermal energy needs for residential buildings toward zero energy.

Achieving the zero-energy goal in small low-rise commercial buildings is not overly complex; however, it is much more challenging for large high-rise office buildings [18]. There are few studies found in the literature to report how an existing large high-rise office building can potentially become zero-energy. Aksamija [19,20] explored the potential of an existing commercial building with near 20,000 m² total floor area in a mild climate in the US moving toward zero energy. Simulation results showed that the commercial building could achieve the zero-energy goal through a deep energy retrofit. However, the economic feasibility was the biggest concern.

This paper presents a case study on how a large high-rise office building located in the hot summer and warm winter zone in China can potentially become zero energy without any significant alterations to the building. The intention is to mobilize owners of similar facilities.

2. Building Information

2.1. Basic Information

The case building is an 18-story office building (17 above grade and 1 below) with a total floor area of 23,471 m², as shown in Figure 1. The first floor above grade is an exhibition hall, and the other floors are offices.



Figure 1. Exterior view of the office building.

China is divided into five climate zones: Severe cold, cold, hot summer and cold winter, hot summer and warm winter, and mild [21]. The climate zone is defined based on the monthly average temperatures in January ($t_{avg,Jan}$) and July ($t_{avg,Jul}$) between 1995 and 2004, and the criteria are listed in Table 1. The case building is located in the hot summer and warm winter zone.

Table 1. Climate zones in Ch	iina
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Climate Zone.	Criteria
Severe cold.	$t_{ m avg,Jan} \leq -10~^{\circ} m C$
Cold.	$-10 \degree C \le t_{avg,Jan} \le 0 \degree C$
Hot summer and cold winter.	$\begin{array}{l} 0 \ ^{\circ}\mathrm{C} \leq t_{\mathrm{avg,Jan}} \leq 10 \ ^{\circ}\mathrm{C} \\ 25 \ ^{\circ}\mathrm{C} \leq t_{\mathrm{avg,Jul}} \leq 30 \ ^{\circ}\mathrm{C} \end{array}$
Hot summer and warm winter.	$10 \text{ °C} < t_{\text{avg,Jan.}}$ $25 \text{ °C} \le t_{\text{avg,Jul}} \le 29 \text{ °C}$
Mild.	$\begin{array}{l} 0 \ ^{\circ}\mathrm{C} \leq t_{\mathrm{avg,Jan}} \leq 13 \ ^{\circ}\mathrm{C} \\ 18 \ ^{\circ}\mathrm{C} \leq t_{\mathrm{avg,Jul}} \leq 25 \ ^{\circ}\mathrm{C} \end{array}$

The building is certified as LEED Platinum as well as the Chinese three-star green building. The design EUI is 42 kWh/(m^2 a), which is 40% lower than the requirement of the Chinese standard for building energy consumption [22]. In order to achieve the energy target in the hot summer and warm winter climate, a variety of passive and active technologies were adopted.

2.2. Passive Energy Saving Measures

2.2.1. High Performance Façade

As shown in Table 2, the design overall U values of the exterior wall and the roof are 0.44 W/(m^2 K) and 0.40 W/(m^2 K) , respectively. Exterior windows use double glazed low-e glass with a U-value of 2.36 W/(m² K) and a shading coefficient of 0.35. The design values are much stricter than those specified in the national building code [23].

Table 2. Design values vs. code requirements of U values of the envelope and the window.

	Design (W/(m ² K))	Code (W/(m ² K))
U value of wall	0.44	0.9
U value of roof	0.40	0.9
U value of window	2.36	3.0

2.2.2. Natural Ventilation

The exhibition hall uses natural ventilation techniques. Automated glass blinds are employed on the west, north, and south walls to improve the air distribution in the naturally ventilated exhibition hall, as shown in Figure 2. The blinds are modulated to maintain a suitable indoor air speed and distribution in response to different outside wind speeds and directions. Under severe weather conditions, e.g., storm, tropical cyclone, the blinds will be closed. To ensure occupants' comfort, seven ceiling fans were installed and will be turned on when the outside temperature is over 30 °C.



Figure 2. View of automated blinds.

2.2.3. Daylighting

Lighting pipes and natural lighting are employed in the building. Lighting pipes are used in the areas that do not have strict illumination requirements, such as the kettle room. The exhibition areas locate within 5 m from the exterior and the natural light can meet the illuminance requirement even in overcast days.

2.3. Active Energy Saving Measures

2.3.1. HVAC (Heating Ventilation and Air Conditioning) Systems

The central chilled water system comprises two water-cooled screw chillers (each 912.2 kW), together with two cooling towers, three chilled water pumps (one for standby), and three condenser water pumps (one for standby). The building is located in the hot summer and warm winter zone in China, and according to the National Code, a heating system is not recommended for buildings located in this climate zone. The thermal load of a commercial building is dominated by the internal heat gains. Therefore, the heating load of the case building is negligible, and the peak cooling demand is 1519 kW according to the measured data.

The fan coil unit plus outside air system serves the entire office space except for the 13th floor, which uses a variable refrigerant volume (VRV) system. A heat recovery unit is employed to reduce the ventilation cooling load. Figure 3 shows the HVAC system layout.



Figure 3. The HVAC (Heating Ventilation and Air Conditioning) system layout.

2.3.2. Photovoltaic System

The building is equipped with photovoltaic systems, including a rooftop PV system, a façade PV system, a rain canopy PV system, and a blinds PV system, as shown in Figure 4. According to the design, the annual energy production from the PV systems is 184,982 kWh (7.88 kWh/(m^2 a)). When the electricity generated by the PV system does not meet the building energy demand, electricity will be drawn from the grid. The specification of the solar cell module is shown in Table 3.



Figure 4. Photovoltaic system layout.

	Types	Power (Wp)	Quantities	Total Power (kWp)
Rain canopy		192	91	17.47
Façade	Mono anustallino cili con	172	739	127.11
Blind	Monocrystanine sincon	24	336	8.06
Rooftop		245	308	75.46

Table 3. Specification of the solar cell module.

2.4. Controls

2.4.1. HVAC System Control

Chiller staging is based on the frequency of the variable speed compressor. When the operation frequency is higher than 200 Hz over 20 min, another chiller will kick on; when the operation frequency is lower than 90 Hz over 20 min, one chiller will be shut down. The chilled water pumps are modulated to maintain the chilled water loop differential pressure set point. The condenser water pumps are modulated to maintain the delta T between the condenser water supply and return temperatures. The cooling tower fans are modulated to maintain the condenser water supply temperature set point. The fresh air system is modulated to maintain the indoor CO_2 concentration.

2.4.2. Lighting Control

The whole building uses LED lights with DDC (Direct Digital Control) control. Each LED light is equipped with a photosensor and controlled automatically based on the illumination level and the occupancy.

2.5. Actual Energy Performance

The case building was completed in early 2017 and occupancy took place in April 2017. However, the mechanical system was still under commissioning until October. During the commissioning period, some equipment had operated 24/7. Therefore, we used the data after October 2017 in the study.

Figure 5 shows the monthly energy consumption for 12 months. The lighting energy consumption is steady for the whole year, ranging from 4000 kWh to 5000 kWh. The actual EUI is 23.9 kWh/(m^2 a), which is nearly half of the design goal of 42 kWh/(m^2 a). This is due to the less than expected occupancy level in the building.



Figure 5. Monthly building energy consumption.

The case building was benchmarked against some other green buildings [24] located in the same climate in China as shown in Table 4. The actual EUI of the case building is only half of the 25th percentile ($52.6 \text{ kWh}/(\text{m}^2 \text{ a})$) of the other green office buildings.

	Lin et al. [23]	Building A
Num. of samples	8	-
25th percentile	52.6	-
Median/Actual EUI (kWh/(m ² a))	71.5	23.9
75th percentile	80.3	-
SD (Standard Deviation)	28.0	-
Skewness	0.2	-

Table 4. EUI comparison.

3. Analysis

3.1. Technical Approach

Similar to previous studies, the calibrated simulation approach was adopted in this study. This approach is an adjustment of the model inputs and parameters based on the actual measurements until the discrepancy between the simulated data and the measured ones is within a preset threshold [25]. ASHRAE Guideline 14-2014 [26] was used as the baseline for the calibration in this study.

With the calibrated energy model, we then used the GenOpt tool to optimize the controls of the HVAC system as well as other occupant behavior related strategies, e.g., adopting adaptive thermal comfort with a wider range of temperature set points.

After maximizing the efficiency gain, we explored the viable options for the PV system to determine whether the zero-energy goal is achievable.

3.2. Model Development

The energy model was created based on as-built drawings. EnergyPlus 8.9 [27,28] was chosen for the simulation. Figure 6 shows the geometry and thermal zoning of the typical floor.



Figure 6. Geometry and thermal zoning. (a) Front view; (b) Plan view; (c) Thermal zone.

The operating conditions, i.e., the occupancy density and schedule, the lighting power density and schedule, the plug load density and schedule, and room indoor temperatures, were obtained from a building operator interview, onsite measurement, and observations. The room set point temperatures were read from the building automation system (BAS) directly. The building employed electrical submetering to monitor the HVAC system, the lighting, and the plug load power consumptions.

The sensors used in the case building are all research-grade and the accuracy is much higher than the typical requirement in the building industry. The measurements concerned in this study are mainly power and temperature, and the sensor accuracies are listed in Table 5. As only direct measurements were involved, the propagation of uncertainty was not considered in the study.

Table 5. Details of the sensor devices.

Sensors	Accuracy
Temperature sensor	±0.15 °C
Power meter	±1%

The hourly data on a typical weekday and weekend were used to calculate the lighting and plug load power densities and derive their schedules for weekdays and weekend days, respectively. The numbers of occupants were counted manually by the researchers every two hours from 6 a.m. to 8 p.m. on a typical weekday and weekend to generate the occupant densities and schedules. The schedules of internal load and indoor temperature are shown in Figure 7. Table 6 presents the actual vs. design values of these parameters.





Figure 7. Schedules of internal load and indoor temperature. (**a**) occupant density, (**b**) lighting power density, (**c**) plug load density, (**d**) indoor temperature.

Inputs	Actual	Design
Indoor temperature (°C)	29.0	25.0
Occupant density (m ² /person)	10.0	10.0
Lighting power density (W/m ²)	1.1	9.0
Plug load power density (W/m ²)	3.2	15.0

 Table 6. Inputs for internal loads used in the reconciled models.

The weather file was developed using the actual weather data from the National Climatic Data Center (NCDC) [29]. The data includes hourly observations of temperature, humidity, pressure, wind speed and direction, cloud cover, and precipitation intensity but misses the global horizontal solar irradiation, the diffuse horizontal solar irradiation, and the direct normal solar irradiation that are essential to the energy simulation. We adopted the models recommended by Zhang et al. [30–33] to compute the solar irradiations:

$$I_{h} = \left[I_{0} \cdot \sin h \cdot \left(C_{0} + C_{1} \cdot CC + C_{2} \cdot CC^{2} + C_{3}(T_{n} - T_{n-3}) + C_{4} \cdot \varnothing \right) - C_{5} \right] / k$$
(1)

$$I_n = K_n \cdot I_0 \tag{2}$$

$$K_n = A_1 \cdot A_2^{-A_3 A_2^{-A_4 K_t}} \tag{3}$$

$$I_d = I_h - I_n \cdot \sin h \tag{4}$$

3.3. Model Validation

Per the ASHRAE Guideline 14-2014, a normalized mean bias error (NMBE) of 5% or better and a coefficient of variation of the root mean square error (CVRMSE) of 15% or better are the minimum requirements for an energy model to be considered as a calibrated model. The NMBE and CVRMSE are defined as follows:

$$NMBE = \frac{\sum_{i=1}^{n} (y_i - \hat{y}_i)}{(n-1) \times \overline{y}} \times 100$$
(5)

$$CVRMSE = \frac{\sqrt{\sum_{i=1}^{n} (y_i - \hat{y}_i)/(n-1)}}{\overline{y}} \times 100$$
(6)

Figure 8 shows the measured vs. predicted monthly energy consumption for the case building. The simulated energy consumption agreed with the measured energy consumption well and both the NMBE and the CVRMSE met the ASHRAE guideline requirements.



Figure 8. Energy consumption: Measured vs. simulated.

4. Results and Discussion

4.1. Potential to Achieve ZEB

The measured EUI was about $23.9 \text{ kWh/}(\text{m}^2 \text{ a})$, which was nearly three time more than the energy generated by the existing PV system. We analyzed submetering data to find out which end use could be reduced further. Figure 9 shows the breakdown of the energy consumption. The HVAC system, the plug load, and the lighting consumed 93% of the total energy use.



Figure 9. Building energy consumption component.

4.2. Proposed Building Energy-Efficient Measures

The trending data implied that some lights and plug load equipment were left on after working hours and during weekends (as shown in Figure 6a–c). The lighting and the plug load equipment were not controlled as intended. Simply switching off the unnecessary lights and plug load equipment during unoccupied hours could yield considerable savings, as shown in Table 7.

Itom	Energy Savings	
nem	EUI (kWh/(m ² ·yr))	Percentage (%)
Lighting	0.72	3.00
Plug load	1.95	8.15
Total	2.67	11.15

Table 7. The lighting and plug load savings.

4.3. Proposed HVAC Energy Efficiency Measures

Hartman [35] reported the benefits of supply water temperature reset to improve the chilled water system performance. The measured overall chilled water system COP (Coefficient Of Performance) of the case building was 5.0, which is considered as "good" performance according to Harman's study. However, we found that the current setpoints of the chilled water supply temperature and the condensed water supply temperature were constant year-round at 7 °C and 29 °C, respectively. By optimizing linear reset schedules between the chilled water supply temperature and the outside air temperature, and the condenser water supply temperature and the outside wet bulb temperature, the energy consumption could be reduced by 114.9 MWh/a (20.7%). The objective function was defined as:

$$minE = f(T_{chw,s}, T_{cond,s}) \tag{7}$$

$$T_{chw,s} = a + bT_{OA} \tag{8}$$

$$T_{cond,s} = T_{w,bulb} + c \tag{9}$$

The GenOpt [36] was used to solve the problem and the resulting optimal reset coefficients *a*, *b*, and *c* are -0.28, 17, and 1.9, respectively.

The trending data show that the chilled water system was on during the winter, as shown in Figure 4. By adopting a water-side economizer, the mechanical cooling can be avoided in winter. The water-side economizer utilized the cooling tower to produce the chilled water to meet the cooling demand in winter. In addition, the chilled water pumps, the condenser water pumps, and the supply fans were not operated as intended by the design. According to the design document, the supply fan would be controlled by indoor CO_2 concentration; the chilled water pump and the condenser water pump be controlled by the differential pressure of the chilled water loop and the temperature difference between the supply and return chilled water. The simulation shows that these measures can reduce energy consumption by 17.1 MWh (3.0%).

Table 8 summarizes the expected energy savings by implementing the abovementioned operation strategies and measures.

Energy Efficient Measures	Energy Savings	
Lifeigy Lifeient Weasures	EUI (kWh/(m ² ·yr))	Percentage (%)
Set indoor temperature to 28 °C	0.87	3.6
Improve the chilled water system COP	4.89	20.5
Optimize HVAC operation	0.73	3.0
Total	6.49	27.1

Table 8. Energy efficiency measures for the HVAC system. COP.

The total energy savings of the lighting, plug load equipment, and the HVAC system will be $9.16 \text{ kWh}/(\text{m}^2 \text{ a})$ and 38.3% of the total building energy consumption, which will bring down the current annual energy consumption to $14.74 \text{ kWh}/(\text{m}^2 \text{ a})$. Therefore, an annual electricity generation of 346 MWh was needed to achieve the zero-energy goal.

4.4. Photovoltaic System

The design capacity of the existing PV system is 185 MWh, which is far less than the 346 MWh requirement. Figure 10 shows the breakdown of the monthly electricity production of the existing PV

system, among which 51% is from the rooftop PV system, 37% from the façade PV system, 10% from the rain canopy PV system, and 2% from the blind PV system.



Figure 10. Monthly energy generation.

Additional 161 MWh capacity is needed to balance out the energy use. There is a parking lot on the south of the building with an area of approximately 1000 m². We would maximize the use of the parking lot and install 562 PV panels to cover the entire parking lot. The electricity generation can be calculated by:

$$Q = P \times R \times \eta_s / R_0 \tag{10}$$

$$\eta_s = K_1 \times K_2 \times K_3 \times K_4 \times K_5 \tag{11}$$

Using the same type of rooftop PV system, the proposed parking plot PV system can produce 144 MWh electricity yearly ($6.1 \text{ kWh}/(\text{m}^2 \text{ a})$). However, there is still a 17 MWh shortage of capacity. The existing rooftop PV panels used 52% of the rooftop area, which makes it possible to install more PV panels on the roof. Based on the calculation, installing PV panels on 15% of the rooftop area can produce 17 MWh electricity yearly, thus making it a zero-energy building. Table 9 summarizes the calculation of the additional PV system.

Total Power System Types Area (m²) Percentage (%) Efficiency (kWp) Existing 0.76 517 52.0 75.46 Rooftop 149 15.0 0.76 22.05 Proposed Parking lot 944 137.69 Proposed 0.76

Table 9. Optimizing the rooftop and façade photovoltaic (PV) system.

4.5. Preliminary Economy Analysis

The energy savings were mainly achieved through no-cost and low-cost retro-commissioning measures and can be done by the building owner's engineering team. Therefore, the project cost would be mainly associated with the additional PV system, which includes PV panels, batteries, materials, and labor. Xin [37] reported that the cost of the PV panel and the battery was \$1.18/Wp (1 US dollar = 6.77 Chinese yuan) in China based on recent market surveys. Adding labor and materials, the total cost was about \$2.21/Wp. The local electricity tariff is \$0.14/kWh.

A simple payback method was adopted [38]:

$$N_{payback} = \frac{\Delta I}{\left(Q_{PV,power} + Q_s\right) \times P_p} = \frac{2.21 \times 159740}{(346000 + 215000) \times 0.14} = 4.3$$
(12)

The payback period is 4.3 years.

5. Conclusions

This paper demonstrated the viability of transforming a high-rise office building located in the hot summer and warm winter zone into zero-energy based on the calibrated simulation analyses. Through retro-commissioning of the HVAC system and the control of the lighting and the plug load equipment, the current annual energy consumption of the case building can be reduced by 38.3%, which makes it zero-energy ready. The retro-commissioning measures only involved optimizing the controls of the mechanical system without major retrofit, and thus making it cost-effective to become zero-energy ready. By installing PV panels on the parking lot and additional 15% of its rooftop area, the simulation results showed that the case building has the potential to become zero-energy, and a simple payback period would be 4.3 years.

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Nomenclature

Variables, parameters and ind	lices
A_1, A_2, A_3, A_4	the coefficients, exponents as the function of
$C_0, C_1, C_2, C_3, C_4, C_5, k$	regression coefficients
CC	the fraction of cloud cover
h	sun altitude
I_0	solar constant
Id	diffuse horizontal radiation at the Earth's surface
I_h	global horizontal radiation at the Earth's surface
In	direct normal radiation at the Earth's surface
ΔI	capital cost, yuan
K _n	direct beam transmittance
K_t	clearness index
п	number of data points
Ν	the payback period, years
Р	system direct current power
P_p	tariff, yuan/kWh
Q	generated energy capacity, kW
Q_s	the annual energy savings, kWh
Qpv,power	total annual electricity production from PV, MWh
R	total solar radiation
R_0	standard solar radiation intensity, 1 kWh

T _{chw,s}	chilled water supply temperature
T _{cond,s}	condenser water supply temperature
T_{OA}	outside air temperature
T _{w,bulb}	outside wet bulb temperature
y_i	measured monthly energy use, kWh
\hat{y}_i	monthly energy use derived from modeling, kWh
\overline{y}	average monthly energy use for the measurement period, kWh
η_s	energy generation efficiency

Abbreviation

APS	Advanced power strip
BAS	Building automation system
CVRMSE	Coefficient of variation of the root mean square error
EEM	Energy-efficient measure
ERV	Energy recovery ventilator
EUI	Energy use intensity
FCU	Fan coil unit
GSHP	Ground source heat pump
NCDC	National Climatic Data Center
NMBE	Normalized mean bias error
PBA	Principal building activity
PMV	Predicted mean vote
PPD	Predicted percentage of dissatisfied
PV	Photovoltaic
STS	Solar thermal system
VRV	Variable refrigerant volume
ZEB	Zero-energy building

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