

Article

Data Retention Characterization of Gate-Injected Gold-Nanoparticle Non-Volatile Memory with Low-Damage CF₄-Plasma-Treated Blocking **Oxide Layer**

Yu-Hua Liu^{1,†}, Chyuan-Haur Kao^{1,2,3,†}, Tsung-Chin Cheng⁴, Chih-I Wu^{4,5,6} and Jer-Chyi Wang 1,2,7,* 🕩

- 1 Department of Electronic Engineering, Chang Gung University, Guishan Dist., Taoyuan 33302, Taiwan; a22730730@gmail.com (Y.-H.L.); chkao@mail.cgu.edu.tw (C.-H.K.)
- 2 Department of Electronic Engineering, Ming Chi University of Technology, Taishan Dist., New Taipei City 24301, Taiwan
- 3 Kidney Research Center, Department of Nephrology, Chang Gung Memorial Hospital, Linkou, Guishan Dist., Taoyuan 33305, Taiwan
- 4 Graduated Institute of Photonics and Optoelectronics, National Taiwan University, Taipei 10617, Taiwan; d99941012@ntu.edu.tw (T.-C.C.); chihiwu@cc.ee.ntu.edu.tw (C.-I.W.)
- 5 Department of Electrical Engineering, National Taiwan University, Taipei 10617, Taiwan
- 6 Electronic and Optoelectronic System Research Laboratories, Industrial Technology Research Institute, Chutung, Hsinchu 31057, Taiwan
- Department of Neurosurgery, Chang Gung Memorial Hospital, Linkou, Guishan Dist., Taoyuan 33305, Taiwan
- Correspondence: jcwang@mail.cgu.edu.tw; Tel.: +886-3-2118800 (ext. 5784)
- These authors contributed equally to this work. t

Received: 26 September 2017; Accepted: 5 November 2017; Published: 10 November 2017

Abstract: Gold-nanoparticle (Au-NP) non-volatile memories (NVMs) with low-damage CF₄ plasma treatment on the blocking oxide (BO) layer have been investigated to present the gate injection of the holes. These holes, injected from the Al gate with the positive gate bias, were explained by the bandgap engineering of the gradually-fluorinated BO layer and the effective work function modulation of the Al gate. The Si-F complex in the BO layer was analyzed by X-ray photoelectron spectroscopy (XPS), while the depth of fluorine incorporation was verified using a secondary ion mass spectrometer (SIMS). In addition, the valence band modification of the fluorinated BO layer was examined by ultraviolet photoelectron spectroscopy (UPS) to support the bandgap engineering. The reactive power of the CF₄ plasma treatment on the BO layer was modified to increase the electric field of the BO layer and raise the effective work function of the Al gate, leading to the hole-injection from the gate. The injected holes are trapped at the interface between the gold-nanoparticles (Au-NPs) and the tunneling oxide (TO) layer, resulting in superior data retention properties such as an extremely low charge loss of 5.7% at 10^4 s and a nearly negligible increase in charge loss at 85 °C of the CF₄-plasma-treated Au-NP NVMs, which can be applied in highly reliable consumer electronics.

Keywords: gold nanoparticle (Au-NP); non-volatile memory (NVM); CF₄ plasma; blocking oxide; bandgap engineering; gate injection; data retention

1. Introduction

Over the past few years, the demand for portable electronic devices has increased rapidly due to the growth of the Internet of Things (IoT); therefore, a high-density unit is necessary in the development of floating gate (FG), non-volatile memories (NVMs) [1,2]. However, as the devices scale, the charges



stored in the FG are easily lost through the thin tunneling oxide (TO) layer, leading to severe reliability issues [3]. To overcome these issues, discrete-charge storage concepts have been introduced in this generation [4,5]. Among these alternative memories, nanocrystal (NC) memories are being extensively investigated. The memories can store charges in isolated nanoparticles (NPs) to suppress lateral migration and enhance data retention behavior [6]. The materials used as NPs can be classified into three major categories: semiconductors, high-k dielectrics, and metals. Initially, Si and Ge were used as NPs because of their antecedence in the semiconductor industry [7,8]. Later, Gd₂O₃-NC memories were realized, in which crystalline Gd₂O₃-NC dots are surrounded by amorphous Gd₂O₃ dielectrics to ensure an energy band offset, enabling charge storage [9,10]. To improve the performance of NC memories, metal NPs, which can have a high density of states (DOS) around the Fermi-level, high particle scalability, and a wide range of accessible work functions, have been employed [11]. There are lots of metal NPs, such as Ag, Au, Pt, W, Co, Ni, NiSi₂, Ni_{1-x}Fe_x, Hf, TiN, and Al metal NPs, being used for the non-volatile memory (NVM) applications [3]. This approach is to engineer the depth of the potential well (d_{eff}) at the storage nodes, achieving the asymmetrical barrier between the substrate and the storage nodes for the easy programming and good data-retention properties. For example, NVMs with gold nanoparticles (Au-NPs) have been fabricated with superior reliabilities owing to their large work function, low reactivity, high dot density, and uniform dot distribution [12]. Nevertheless, all NVMs with metal NPs presented the storage of electrons, which were injected from the substrate.

Recently, it has been demonstrated that gate-injected NVMs exhibit superior reliability properties compared to substrate-injected ones because of less operating damage to the TO layer [13]. The bandgap-engineered oxide layers—oxide-nitride-oxide (ONO) stacked layers—on top of the charge-trapping layer have been used to realize the carrier injection from the poly-Si gate. The fabrication of the ultra-thin ONO stacked layers (1.3 nm/2.1 nm/1.7 nm) is too complicated to control their quality and ensure uniform operation in all devices. Previously, NH₃ plasma treatment has been performed on the TO layer, creating a gradually-nitrided TO layer that forms a built-in electric field and enhances the injection of electrons from the Si substrate [14]. In this work, we propose a novel technique to achieve the Au-NP NVMs with gate-injected holes by using the low-damage CF₄ plasma treatment on the blocking oxide (BO) layer. The material characterizations of scanning electron microscopy (SEM), high-resolution transmission electron microscopy (HRTEM), X-ray photoelectron spectroscopy (XPS), secondary ion mass spectrometer (SIMS), and ultraviolet photoelectron spectroscopy (UPS) were employed to analyze the film composition, device structure, and energy band diagram of the memory devices. For the CF₄-plasma-treated Au-NP NVMs, holes were injected from the Al gate with the positive gate bias (V_g) , which can be explained by the bandgap engineering of the gradually-fluorinated BO layer and the effective work function modulation of the Al gate. Furthermore, the devices have been found to exhibit superior data retention properties, suitable for highly reliable future NVM applications.

2. Materials and Methods

2.1. Sample Preparation

The Al/SiO₂/Au-NPs/SiO₂/*n*-Si structure with low-damage CF₄ plasma treatment on the BO layer was fabricated to form the Au-NP NVMs. The *n*-type Si (100) wafers were first cleaned using the standard Radio Corporation of America (RCA) clean. Next, a 6-nm-thick SiO₂ layer was grown on the wafers in a horizontal furnace at 950 °C for 5 min in an O₂ gas ambient as the TO layer. Then, a 2-nm-thick Au film was deposited by a thermal evaporator at 10^{-6} Torr with a pure Au bullet (99.99% purity). Subsequently, each sample was subjected to rapid thermal annealing (RTA) at 700 °C for 30 s to form Au-NPs. After the Au-NPs had formed, a 20-nm-thick SiO₂ layer was deposited via plasma-enhanced chemical vapor deposition (PECVD) as the BO layer, where the samples were kept in a SiH₄ and N₂O ambient at a radio frequency (RF) power of 50 W with gas flow rates of 5 and 200 sccm,

respectively. Thereafter, a low-damage CF₄ plasma treatment was performed in a PECVD system with a quartz filter, filtering high-energy electrons, ions, and ultra-violet (UV) radiation to reduce plasma damage on the BO layer [15]. The chamber was first evacuated to 10^{-6} Torr and gradually heated to 300 °C. Then, the CF₄ gas ambient of 50 sccm was flowed into the chamber to keep the pressure at 500 mTorr. The system at RF powers of 20, 30, and 50 W was performed on the BO layer for 30 s and labeled as 20 W, 30 W, and 50 W, respectively. Additionally, a sample without the treatment was also fabricated for comparison and labeled as w/o. Then, a RTA was performed at 700 °C for 30 s to activate the fluorine ions. Finally, a 300-nm-thick Al film was deposited by a thermal evaporator at 10^{-6} Torr with a pure Al bullet (99.999% purity), and a gate was photo-lithographically defined and then etched. The entire fabrication process of the Au-NP NVMs with low-damage CF₄ plasma treatment on the BO layer is illustrated in Figure 1.

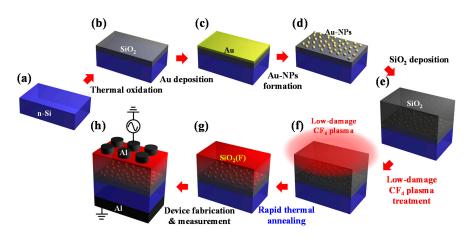


Figure 1. Fabrication procedures of the gold-nanoparticle (Au-NP) non-volatile memories (NVMs) with low-damage CF₄ plasma treatment on the blocking oxide (BO) layer. (**a**) *n*-type Si (100) wafers with standard Radio Corporation of America (RCA) clean; (**b**) thermally grown SiO₂ film; (**c**) physical-vapor-deposition (PVD)-deposited Au film; (**d**) rapid thermal annealing (RTA) to form Au-NPs; (**e**) plasma-enhanced chemical vapor deposition (PECVD)-deposited SiO₂ film; (**f**) low-damage CF₄ plasma treatment; (**g**) RTA to activate fluorine ions; and (**h**) Al contact formation to fabricate Au-NP NVMs.

2.2. Characterization

To understand the incorporation of fluorine atoms in the BO layer, its elemental composition was obtained using SIMS (ION-TOF GmbH, Münster, Germany) depth profiling. Moreover, the chemical bonding of the CF₄-plasma-treated SiO₂ layer was examined using XPS (Waters Corp., Milford, MA, USA) analysis. The dot size and density of Au-NPs were observed by SEM (JEOL Ltd., Tokyo, Japan). Further, the stacked films of the devices with Au-NPs were confirmed by HRTEM images using a scanning transmission electron microscope (STEM) system (Thermo Fisher Scientific Inc., Hillsboro, OR, USA). Besides, the ionization energy of the BO layer with low-damage CF₄ plasma treatment was obtained via UPS (RBD Instruments, Inc., Bend, OR, USA). For the electrical characterization of the CF₄-plasma-treated Au-NP NVMs, the current density versus voltage (*J*-*V*) and high-frequency (1 MHz) capacitance versus voltage (*C*-*V*) curves were measured using Keithley 4200-SCS semiconductor characterization system (Tektronix, Inc., Beaverton, OR, USA), to operate the devices.

3. Results and Discussion

3.1. Material Analysis

Figure 2a shows the cross-sectional structure and HRTEM image of the Au-NP NVMs. The HRTEM image with a lower magnification was also presented in Figure S1 of the Supplementary Materials to show more Au-NPs on the SiO₂ layer. The Au-NPs are formed by a dewetting process of a deposited Au film. There are some literatures illustrating the major driving forces that contribute to the dewetting process of Au-NPs [16–22]. The process is achieved through the melting of the Au film and the relaxation of the Au film stress on the SiO_2 layer, which is limited by the surface mobility of Au atoms. Some long-range forces such as the dispersion force and the electrical double layers will also affect the nanoparticle (NP) size and location distributions. To reduce the elastic energy induced by the film stress during the deposition and thermal processes, the Au film tends to break into some islands along the initial film thickness perturbation. The final geometry depends on the balance between these driving forces mentioned above. Thus, the formation of Au-NPs on the SiO₂ layer is realized and the dot size and density are established. It is reported that the thickness of the deposited Au film and the following rapid thermal process (RTP) can be controlled to modify the Au-NP size and density on the SiO₂ layer [23–26] and to present good reliability properties of the Au-NP NVMs [27]. In the work, to obtain suitable memory characteristics, the Au film of 2 nm was deposited and the following RTA of 700 °C for 30 s was performed to achieve the Au-NP density of 1.2×10^{12} cm⁻² and the average Au-NP diameter of approximately 8.4 nm, as shown in the SEM image of Figure S2 of the Supplementary Materials, which is almost the same as that seen in the HRTEM image (Figure 2a). Generally speaking, the specimen for the cross-sectional HRTEM is widely prepared by using the focus ion beam (FIB) because of the ease of obtaining the sample at a specific site with a constant thickness [28]. However, it is difficult to control the amount of remaining nanoparticles for the specimen preparation of the Au-NP NVMs. The different amounts of the remaining Au-NPs at the specimen surface are responsible for the different contrasts of the Au-NPs observed in the cross-sectional HRTEM image. The image also confirmed the film thicknesses of the TO and BO layers for the further study of carrier injection.

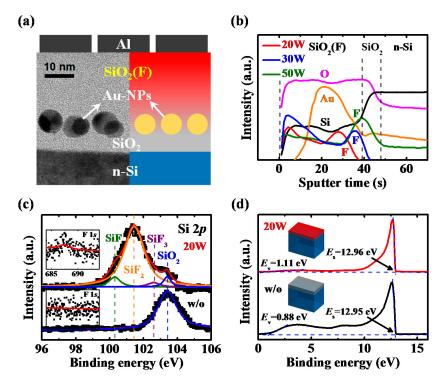


Figure 2. (a) Cross-sectional structure and high-resolution transmission electron microscopy (HRTEM) image of the Au-NP NVMs; (b) secondary ion mass spectrometer (SIMS) depth profiles of the CF₄-plasma-treated Au-NP NVMs; (c) X-ray photoelectron spectroscopy (XPS) spectra of Si 2*p* and F 1*s* of the BO layer and (d) ultraviolet photoelectron spectroscopy (UPS) spectra of the SiO₂ thin films with and without the treatment at 20 W. The energy from the valence band to vacuum level (E_{vac_vb}) of the SiO₂ films with and without the CF₄ plasma treatment at 20 W was found to be 9.13 and 9.35 eV, respectively.

Figure 2b presents the SIMS depth profiles of the Au-NP NVMs with different reactive powers of the low-damage CF_4 plasma treatment on the BO layer. With the increase in reactive power of CF_4 plasma, more fluorine ions were incorporated in the BO layer, diffusing deep into the film. In general, when the impurities diffuse in a film the impurity concentration would decrease from the surface to the bulk without any fluctuation. However, if there are stacked films, the impurities such as the fluorine ions will pile up at the interface of the films, as proposed by Chang et al. [29]. In Au-NP NVMs, there are lots of Au-NPs embedded within the SiO_2 film. Thus, the fluorine ions will accumulate at the interface between the Au-NPs and the SiO_2 film, leading to the intensity fluctuation of fluorine, as shown in Figure 2b. When the CF₄ plasma power increases to 50 W, more fluorine ions are generated and the position of the maximum fluorine intensity shifts toward the interface between the Au-NPs and the TO layer. To understand the chemical reaction between the CF_4 plasma and the BO layer, the XPS spectra of Si 2p and F 1s of the BO layer with and without the treatment at 20 W were analyzed (Figure 2c). The BO layer without treatment depicted a pure SiO₂ layer with a Si 2*p* spectrum at 103.4 eV. On the other hand, for the BO layer with the CF_4 plasma treatment at 20 W, three additional spectra at 100.3, 101.45, and 102.6 eV were obtained, indicating the Si–F, Si–F₂, and Si–F₃ bonds, respectively [30]. The fluorine-rich chemistry in SiO_2 film with a complex reaction product can be observed at the F 1s spectrum of 687.25 eV for the CF₄-plasma-treated sample [31]. Figure 2d shows the UPS spectra of the SiO_2 thin films with and without the low-damage CF₄ plasma treatment at 20 W. Following sputtering the SiO₂ film surface to remove the hydrocarbon contamination with Ar^+ ions at 4 keV for 2 min, UPS was carried out using a UV He lamp (21.2 eV) in an ultra-high vacuum chamber. A broad spectral feature representative of the valence band (E_v) of the SiO₂ films was observed at a much lower binding energy [32]. Linear extrapolation of the left of the peak curve made it possible to estimate the valence-band maximum (VBM) level energy. The photoemission threshold (E_s) was evaluated by a linear fit of the beginning of the right defined by the secondary electron peak. Thus, the energy from the valence band to vacuum level (E_{vac_vb}) can be calculated by the following equation [33]:

$$E_{\rm vac \ vb} = h\nu - (E_{\rm S} - VBM) \tag{1}$$

where hv is the incident He. Thus, the E_{vac_vb} of the SiO₂ films with and without the CF₄ plasma treatment was determined to be 9.13 and 9.35 eV, respectively, indicating a light increase in the E_{vac_vb} of the SiO₂ films with the treatment.

3.2. Electrical Characteristics

For the CF₄-plasma-treated Au-NP NVMs, the flat-band voltage (V_{FB}) might be modified and can be calculated using the C-V curves, as shown in Figure S3 of the Supplementary Materials. The $V_{\rm FB}$ values of the samples with the low-damage CF₄ plasma treatment on the BO layer are roughly -1 V, which is larger than that obtained from the w/o sample. The V_{FB} shift can be ascribed to the passivation of the defects within the PECVD oxide layer by the CF₄ plasma treatment [34]. In addition, compared to the w/o sample, the capacitances of the Au-NP NVMs with the low-damage CF₄ plasma treatment on the BO layer are lower, leading to a lower dielectric constant of the fluorine-doped SiO_2 layer, as proposed by T. Usami et al. [35]. For the Au-NP NVMs after programming, the shift of the C-V curves towards the positive and negative directions indicates that electrons and holes, respectively, are stored in Au-NPs [36]. The C-V curves of devices with different pulse widths of the programming voltage ($V_g - V_{FB}$) of 20 V are shown in Figure S4 of the Supplementary Materials. The V_{FB} shifts of the devices with $V_{\rm g} - V_{\rm FB}$ of 8 to 20 V were extracted from the C-V curves, and are presented in Figure 3. In Figure 3a, we can observe that the $V_{\rm FB}$ shifts towards the positive direction for the w/o sample, due to the electron injection from the *n*-type Si substrate into the Au-NPs. On the other hand, for the CF₄-plasma-treated Au-NP NVMs, negative V_{FB} shift is achieved (Figure 3b), implying the hole injection from the Al gate into the Au-NPs. With the increase in the programming pulse biases and widths, the shift of the $V_{\rm FB}$ is larger. Moreover, for the devices with a larger plasma treatment power

of 30 W, a more negative V_{FB} shift is obtained (Figure 3c). This is because more holes are injected and stored in the Au-NPs. However, if the CF₄ plasma reactive power is more than 50 W, a decrease in V_{FB} shift is observed (Figure 3d), which will be discussed later. Furthermore, the erasing characteristics of Au-NP NVMs with and without low-damage CF₄ plasma treatment on the BO layer were also investigated, as shown in Figure S5 of the Supplementary Materials.

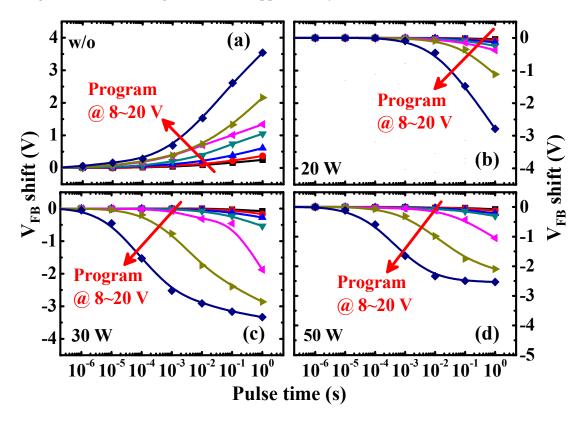


Figure 3. Programming characteristics of (a) w/o; (b) 20 W; (c) 30 W; and (d) 50 W samples with different pulse widths of $V_g - V_{FB}$ of 8, 10, 12, 14, 16, 18, and 20 V. The pulse widths were in the range of 1 µs to 1 s. The V_{FB} shift towards the positive and negative directions was observed for the Au-NP NVMs without and with low-damage CF₄ plasma treatment on the BO layer, respectively.

3.3. Carrier Injection Mechanisms

To realize the carrier injection mechanisms of Au-NP NVMs with and without the low-damage CF_4 plasma treatment on the BO layer, the effective work function of the Al gate was extracted using Equation (2) [37]:

$$V_{\rm FB} = \frac{(\varphi_{\rm m,Al} - \varphi_{n-\rm Si})}{q} + \frac{Q_{\rm ox}}{A\varepsilon_{\rm ox}} \times t_{\rm ox}$$
(2)

where $\varphi_{m,Al}$ is the effective work function of the Al gate with the low-damage CF₄ plasma treatment on SiO₂ layer, φ_{n-Si} is the *n*-type silicon work function of 4.43 eV, Q_{ox} is the oxide charges of the SiO₂ layer, t_{ox} is the thickness of the SiO₂ layer, *A* is the area of the devices, ε_{ox} is the dielectric constant of the SiO₂ layer, and *q* is the electron charge of 1.6×10^{-19} C. Figure 4a shows the V_{FB} versus capacitance equilibrium thickness (CET) characteristics of the Al/SiO₂/*n*-Si devices with the low-damage CF₄ plasma treatment on SiO₂ layer. The SiO₂ films with thicknesses of 4.5, 6.0, and 7.5 nm were deposited by the PECVD system. After the device fabrication, the CETs of the SiO₂ layers with and without the low-damage CF₄ plasma treatment were obtained from the *C-V* curves, as shown in Figure S6 of the Supplementary Materials. By extrapolating the curves to the *y*-axis, the effective work functions of the Al gate on the low-damage CF₄-plasma-treated SiO₂ layer were extracted, as shown in Figure 4b. For the device without treatment, the effective work function of the Al gate is 4.1 eV, which is the same as that proposed by M. K. Achuthan and K. N. Bhat [38]. In addition, the effective work function of the Al gate on the low-damage CF_4 -plasma-treated SiO₂ layer increases significantly to more than 5.0 eV, caused by the metal-induced gap states (MIGS) of the Al gate on the fluorinated SiO₂ layer because of the large electronegativity of fluorine atoms [39].

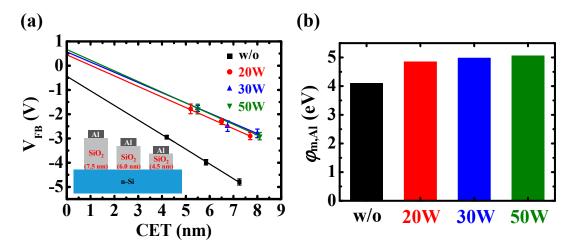


Figure 4. (a) Capacitance equilibrium thickness (CET) versus flat-band voltage characteristics of the Al/SiO₂/*n*-Si structures with and without low-damage CF₄ plasma treatment on SiO₂ layers. The schematic Al/SiO₂/*n*-Si structures of different SiO₂ film thicknesses were shown in the inset figure. The extracted effective work functions of the Al gate ($\varphi_{m,Al}$) with and without low-damage CF₄ plasma treatment on SiO₂ layers were shown in (**b**).

As a result of the large effective work function of the Al gate, the carrier injection mechanisms of the CF₄-plasma-treated Au-NP NVMs are changed, as illustrated in the schematic energy band diagrams of Figure 5. For the w/o sample (Figure 5a), the substrate injection of electrons dominates at positive gate bias, leading to the positive V_{FB} shift shown in Figure 3a. In contrast, the gate injection of holes is dominant for the devices with the low-damage CF₄ plasma treatment on the BO layer (Figure 5b–d), contributing to the negative V_{FB} shift shown in Figure 3b–d. According to Gauss' Law, the displacement field (D) must be continuous at the TO and BO interface [40]. Thus, the lower dielectric constant of the CF₄-plasma-treated BO layer results in a higher electric field on the gate bias. Because fluorine atoms are incorporated more and diffused deeper into the BO layer with the increase of the CF_4 plasma reactive power, the electric field strength in the BO layer increases. Therefore, in Au-NP NVMs with a higher reactive power of CF_4 plasma treatment, some holes are trapped at the interface between the Au-NPs and the TO layer [41], as shown in Figure 5c. Yeo et al. proposed the high MIGS at the Au and SiO_2 interface owing to the dipole formation [42], which can be used for charge storage. If the CF_4 plasma reactive power increases to more than 50 W, the electric field in the BO layer will be high enough to generate some hot holes that can potentially pass through the TO layer (Figure 5d), contributing to the decrease in V_{FB} shift shown in Figure 3d. Previously, we have proposed that the hole injection from the gate into the Au-NPs can be obtained by using the thick, stacked $HfO_2/Gd_2O_3/SiO_2$ oxide layers to prevent the injection of electrons from the substrate under the positive gate bias [41]. However, the data retention characteristics of the memory with Au and Gd_2O_3 bi-nanocrystals (BNCs) were not good enough (~30% at 10⁴ s) because of the serious interaction between the electrons and holes stored at the Gd₂O₃-nanocrystals (Gd₂O₃-NCs) and Au-NPs, respectively.

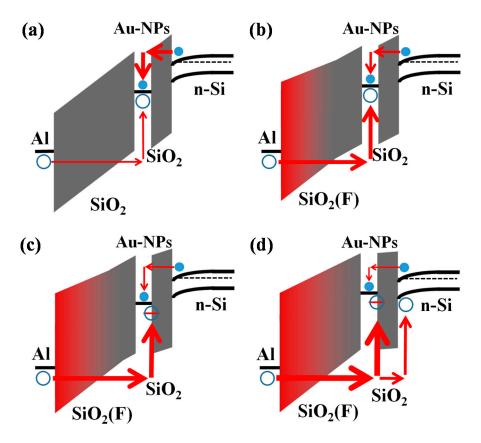


Figure 5. Schematic energy band diagrams of (**a**) w/o; (**b**) 20 W; (**c**) 30 W; and (**d**) 50 W samples at programming. Electrons and holes were injected from *n*-type Si substrate and Al gate, respectively, for the Au-NP NVMs without and with low-damage CF₄ plasma treatment on the BO layer.

3.4. Data Retention Behaviors

Figure 6a shows the data retention characteristics of the Au-NP NVMs with the low-damage CF_4 plasma treatment on the BO layer. All samples were first programmed at 16 V to achieve a flat-band voltage shift (ΔV_{FB}) of 1 V. The charge loss is defined by the formula [9]

Charge loss (%) =
$$\left(\frac{V_{\rm p} - V_{\rm r}}{V_{\rm p} - V_0}\right) \times 100\%$$
 (3)

where V_0 is the V_{FB} of the fresh sample, V_p is the V_{FB} of the sample being programmed, and V_r is the V_{FB} of the programmed sample measured after a certain retention time. In this figure, lower charge loss is achieved in Au-NP NVMs with CF₄ plasma treatment on the BO layer compared to those without treatment. The reduced charge loss can be explained by the holes with high effective mass stored in Au-NPs. With the increase of the CF₄ plasma reactive power, the charge loss can be further reduced to lower than 5% at 10^4 s, which is ascribed to the holes trapped at the interface between the Au-NPs and the TO layer, as shown in Figure 5c. However, for the 50 W sample, an increase in charge loss is observed, for which the plasma damage induced by the high reactive power is responsible. To deeply understand the mechanism of the low charge loss of the CF₄-plasma-treated Au-NP NVMs, the data retention properties are evaluated at different programming voltages of the w/o, 20 W, and 30 W samples, as shown in Figure 6b–d, respectively. The *C-V* curves of the w/o and 20 W samples at low and high programming voltages under data retention measurement are displayed in Figure S7 of the Supplementary Materials. It can be observed that for the w/o sample, the charge loss is significantly reduced with the increase in programming voltage due to the holes trapped at

the interface between the Au-NPs and the TO layer by the high electric field [41], as illustrated in the schematic energy band diagrams of the inset figures of Figure 6c. For the 30 W sample, because the holes are already trapped at the interface between the Au-NPs and the TO layer by the low electric field (Figure 5c), the charge loss remains low at high programming voltages, as shown in Figure 6d.

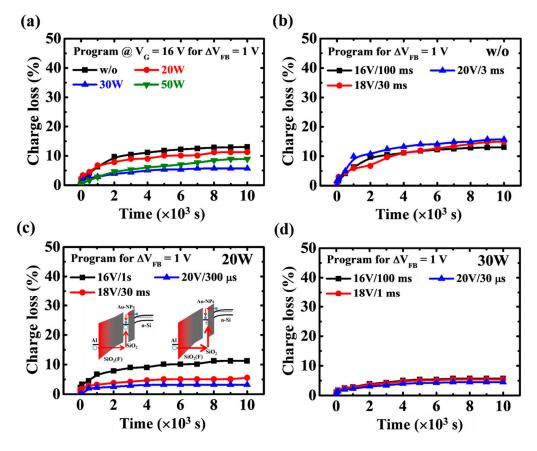


Figure 6. (a) Data retention characteristics of the Au-NP NVMs with low-damage CF_4 plasma treatment on the BO layer. The data retention properties at different programming voltages of the w/o, 20 W, and 30 W samples are shown in (**b**–**d**), respectively. Schematic energy band diagrams of the 20 W sample at low and high programming voltages were illustrated in the inset figure of (**c**).

The charge storage mechanisms of the Au-NP NVMs with and without the low-damage CF₄ plasma treatment on the BO layer can be further confirmed by the temperature dependence of the charge loss behaviors, as shown in Figure 7. The w/o sample exhibits an obvious increase in charge loss when the measurement temperature increases from 25 to 85 °C (Figure 7a), implying that the electrons are stored in the Au-NPs. The thermionic emission of electrons in Au-NPs contributes to the significant dependence of temperature on charge loss. Furthermore, for the 20 W sample, a slight increase in charge loss is observed (Figure 7b), because the holes are stored in both the Au-NPs and at the interface between the Au-NPs and the TO layer. The holes trapped at the interface between the Au-NPs and the TO layer by the tunneling leakage, reducing the dependence of temperature on charge loss. Conversely, a nearly negligible increase in charge loss is obtained for the 30 W and 50 W samples (Figure 7c,d), indicating that the holes are stored mainly at the interface between the Au-NPs and the TO layer.

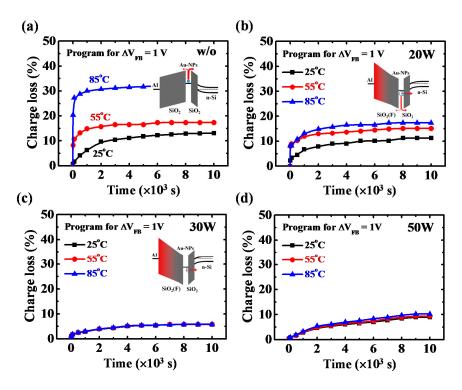


Figure 7. (a) Data retention characteristics of (a) w/o; (b) 20 W; (c) 30 W; and (d) 50 W samples at elevated measurement temperatures. The measurement temperatures were in the range of 25 to 85 °C. Schematic energy band diagrams of the w/o, 20 W and 30 W samples at retention state were illustrated in the inset figure of (\mathbf{a} - \mathbf{c}), respectively.

4. Conclusions

In summary, the gate-injected Au-NP NVMs with low-damage CF₄ plasma treatment on the BO layer were proposed, which exhibit superior data retention properties. To examine the holes injected from the Al gate of the CF₄-plasma-treated memory devices, some material analysis techniques such as SIMS, XPS, UPS, SEM, and HRTEM were performed. With the increase in the CF₄ plasma reactive power, more fluorine atoms were incorporated in the BO layer, leading to a higher electric field and Fermi-level pinning of the Al gate towards the valence band edge of BO layer. Thus, more holes were injected from the gate and trapped at the interface between the Au-NPs and the TO layer, contributing to the superior data retention characteristics of the CF₄-plasma-treated Au-NP NVMs for use in highly reliable consumer electronics.

Supplementary Materials: The following are available online at http://www.mdpi.com/2079-4991/7/11/385/s1: Figure S1: HRTEM image of Au-NP NVMs; Figure S2: SEM image of Au-NPs on SiO₂ tunneling oxide layer; Figure S3: capacitance versus voltage (*C-V*) characteristics of Au-NP NVMs with low-damage CF₄ plasma treatment on BO layer; Figure S4: *C-V* curves of (a) w/o, (b) 20 W, (c) 30 W, and (d) 50 W samples at the programming voltage ($V_g - V_{FB}$) of 20 V; Figure S5: erasing characteristics of (a) w/o and (b) 20 W samples at the erasing voltages of -12 to -18 V and -14 to -26 V, respectively; Figure S6: *C-V* curves of different thicknesses of SiO₂ films (a) without and with low-damage CF₄ plasma treatment of (b) 20 W, (c) 30 W, and (d) 50 W; Figure S7: *C-V* characteristics of the w/o sample programmed at (a) 16 and (b) 20 V, respectively, and the 20 W sample programmed at (c) 16 and (d) 20 V, respectively, at the retention time of 10^4 s.

Acknowledgments: This research was supported by Ministry of Science and Technology, Republic of China (R.O.C) (Contract Nos. of MOST 103-2221-E-182-061-MY3, MOST 105-2628-E-182-001-MY3, and MOST 106-2632-E-182-001) and Chang Gung Memorial Hospital, Linkou, Taiwan (Contract Nos. of CMRPD2F0121, CMRPD2F0122, and BMRPA74).

Author Contributions: Yu-Hua Liu, Chyuan-Haur Kao, and Jer-Chyi Wang conceived and designed the experiments; Yu-Hua Liu performed the experiments; Yu-Hua Liu and Jer-Chyi Wang analyzed the data; Tsung-Chin Cheng and Chih-I Wu performed UPS analysis; and Jer-Chyi Wang wrote the paper.

Conflicts of Interest: The authors declare no conflict of interest.

References

- 1. Kimura, S. Advanced non-volatile embedded memory for a wide range of applications. In Proceedings of the IEEE Symposium on VLSI Technology, Honolulu, HI, USA, 14–16 June 2016.
- 2. Micheloni, R.; Picca, M.; Amato, S.; Schwalm, H.; Scheppler, M.; Commodaro, S. Non-volatile memories for removable media. *Proc. IEEE* 2009, 97, 148–160. [CrossRef]
- 3. Chang, T.C.; Jian, F.Y.; Chen, S.C.; Tsai, Y.T. Developments in nanocrystal memory. *Mater. Today* **2011**, *14*, 608–615. [CrossRef]
- 4. Tiwari, S.; Rana, F.; Hanafi, H.; Hartstein, A.; Crabbe, E.F.; Chan, K. A silicon nanocrystals based memory. *Appl. Phys. Lett.* **1996**, *68*, 1377–1379. [CrossRef]
- 5. You, H.C.; Hsu, T.H.; Ko, F.H.; Huang, J.W.; Yang, W.L.; Lei, T.F. SONOS-type flash memory using an HfO₂ as a charge trapping layer deposited by the sol–gel spin-coating method. *IEEE Electron Device Lett.* **2006**, 27, 653–655.
- Compagnoni, C.M.; Ielmini, D.; Spinelli, A.S.; Lacaita, A.L.; Previtali, C.; Gerardi, C. Study of data retention for nanocrystal Flash memories. In Proceedings of the IEEE International Reliability Physics Symposium, Dallas, TX, USA, 30 March–4 April 2003.
- Jiang, D.; Huo, Z.; Zhang, M.; Jin, L.; Bai, J.; Yu, Z.; Liu, J.; Wang, Q.; Yang, X.; Wang, Y.; et al. A novel junction-assisted programming scheme for Si-nanocrystal memory devices with improved performance. *Semicond. Sci. Technol.* 2011, 26, 115008. [CrossRef]
- 8. Chen, W.R.; Chang, T.C.; Hsieh, Y.T.; Chang, C.Y. Formation and nonvolatile memory application of Ge nanocrystals by using internal competition reaction of Si_{1.33}Ge_{0.67} and Si_{2.67}Ge_{1.33}N₂ layers. *IEEE Trans. Nanotechnol.* **2009**, *8*, 185–189.
- 9. Wang, J.C.; Lai, C.S.; Chen, Y.K.; Lin, C.T.; Liu, C.P.; Huang, R.S.M.; Fang, Y.C. Characteristics of Gadolinium oxide nanocrystal memory with optimized rapid thermal annealing. *Electrochem. Solid State Lett.* **2009**, *12*, H202–H204. [CrossRef]
- 10. Wang, J.C.; Lin, C.T.; Lai, C.S.; Hsu, J.L.; Ai, C.F. Improved characteristics of Gd₂O₃ nanocrystal memory with substrate high–low junction. *Solid-State Electron.* **2010**, *54*, 1493–1496. [CrossRef]
- 11. Liu, Z.; Lee, C.; Narayanan, V.; Pei, G.; Kan, E.C. Metal nanocrystal memories—Part II: Electrical characteristics. *IEEE Trans. Electron Devices* **2002**, *49*, 1614–1622. [CrossRef]
- Marino, L.; Marino, S.; Wang, D.; Bruno, E.; Scaramuzza, N. Nonvolatile memory effects in an orthoconic smectic liquid crystal mixture doped with polymercapped gold nanoparticles. *Soft Matter* 2014, *10*, 3842–3849. [CrossRef] [PubMed]
- 13. Lue, H.T.; Lai, E.K.; Wang, S.Y.; Yang, L.W.; Yang, T.; Chen, K.C.; Hsieh, K.Y.; Liu, R.; Lu, C.Y. A novel gate-injection program/erase p-channel NAND-type flash memory with high (10M cycle) endurance. In Proceedings of the IEEE Symposium on VLSI Technology, Kyoto, Japan, 12–14 June 2007.
- Wang, J.C.; Chang, K.P.; Liao, C.H.; Chang, R.D.; Lai, C.S.; Chang, L.C. Low-damage NH₃ plasma treatment on SiO₂ tunneling oxide of chemically-synthesized gold nanoparticle nonvolatile memory. *Curr. Appl. Phys.* 2016, 16, 605–610. [CrossRef]
- 15. Huang, C.H.; Lin, C.T.; Wang, J.C.; Chou, C.; Ye, Y.R.; Cheng, B.M.; Lai, C.S. Tunable bandgap energy of fluorinated nanocrystals for flash memory applications produced by low-damage plasma treatment. *Nanotechnology* **2012**, *23*, 475201. [CrossRef] [PubMed]
- 16. Liu, Z.; Lee, C.; Narayanan, V.; Pei, G.; Kan, E.C. Metal nanocrystal memories—Part I: Device design and fabrication. *IEEE Trans. Electron Dev.* **2002**, *49*, 1606–1613. [CrossRef]
- 17. Campbell, C.T. Ultrathin metal films and particles on oxide surfaces: Structural, electronic and chemisorptive properties. *Surf. Sci. Rep.* **1997**, 27, 1–111. [CrossRef]
- 18. Zinke-Allmang, M.; Feldman, L.C.; Grabow, M.H. Clustering on surfaces. *Surf. Sci. Rep.* **1992**, *16*, 377–463. [CrossRef]
- 19. Venables, J.A.; Spiller, G.D.T.; Hanbucken, M. Nucleation and growth of thin films. *Rep. Prog. Phys.* **1984**, 47, 399–459. [CrossRef]
- 20. Zhang, L.; Cosandey, F.; Persaud, R.; Madey, T.E. Initial growth and morphology of thin Au films on TiO₂(110). *Surf. Sci.* **1999**, 439, 73–85. [CrossRef]
- 21. Ruffino, F.; Crupi, I.; Irrera, A.; Grimaldi, M.G. Pd/Au/SiC nanostructured diodes for nanoelectronics: Room temperature electrical properties. *IEEE Trans. Nanotechnol.* **2010**, *9*, 414–421. [CrossRef]

- 22. Ruffino, F.; Torrisi, V.; Marletta, G.; Grimaldi, M.G. Effects of the embedding kinetics on the surface nano-morphology of nano-grained Au and Ag films on PS and PMMA layers annealed above the glass transition temperature. *Appl. Phys. A* **2012**, *107*, 669–683. [CrossRef]
- 23. Thompson, C.V. Solid-state dewetting of thin films. Annu. Rev. Mater. Res. 2012, 42, 399-434. [CrossRef]
- 24. Ruffino, F.; Grimaldi, M.G. Self-organized patterned arrays of Au and Ag nanoparticles by thickness-dependent dewetting of template-confined films. *J. Mater. Sci.* **2014**, *49*, 5714–5729. [CrossRef]
- 25. Gadkari, P.R.; Warren, A.P.; Todi, R.M.; Petrova, R.V.; Coffey, K.R. Comparison of the agglomeration behavior of thin metallic films on SiO₂. *J. Vac. Sci. Technol. A* **2005**, *23*, 1152–1161. [CrossRef]
- 26. Müller, C.M.; Spolenak, R. Dewetting of Au and AuPt alloy films: A dewetting zone model. *J. Appl. Phys.* **2013**, *113*, 094301. [CrossRef]
- 27. Lin, C.T.; Wang, J.C.; Huang, P.W.; Chen, Y.Y.; Chang, L.C. Performance revelation and optimization of gold nanocrystal for future nonvolatile memory application. *Jpn. J. Appl. Phys.* **2013**, *52*, 04CJ09. [CrossRef]
- 28. Yoo, J.H.; Yang, J.M. Cross-sectional transmission electron microscopy specimen preparation technique by backside Ar ion milling. *Appl. Microsc.* **2015**, *45*, 189–194. [CrossRef]
- 29. Chang, C.W.; Deng, C.K.; Wu, S.C.; Huang, J.J.; Chang, H.R.; Lei, T.F. Characterizing fluorine-ion implant effects on poly-Si thin-film transistors with Pr₂O₃ gate dielectric. *J. Disp. Technol.* **2008**, *4*, 173–179. [CrossRef]
- 30. Matsuo, P.J.; Standaert, T.E.F.M.; Allen, S.D.; Oehrlein, G.S. Characterization of Al, Cu, and TiN surface cleaning following a low-K dielectric etch. *J. Vac. Sci. Technol. B* **1999**, *17*, 1435–1447. [CrossRef]
- 31. Baggetto, L.; Mohanty, D.; Meisner, R.A.; Bridges, C.A.; Daniel, C.; Wood III, D.L.; Dudneya, N.J.; Veith, G.M. Degradation mechanisms of lithium-rich nickel manganese cobalt oxide cathode thin films. *RSC Adv.* **2014**, *4*, 23364–23371. [CrossRef]
- 32. Martinez, E.; Leroux, C.; Benedetto, N.; Gaumer, C.; Charbonnier, M.; Licitra, C.; Guedj, C.; Fillot, F.; Lhostis, S. Electrical and chemical properties of the HfO₂/SiO₂/Si stack: Impact of HfO₂ thickness and thermal budget. *J. Electrochem. Soc.* **2009**, *156*, G120–G124. [CrossRef]
- Wang, J.C.; Liao, C.H.; Lin, C.T.; Chang, R.D.; Chang, L.C.; Wu, C.I.; Chang, J.H. Charge storage characteristics of nonvolatile memories with chemically-synthesized and vacuum-deposited gold nanoparticles. *Curr. Appl. Phys.* 2015, 15, 535–540. [CrossRef]
- 34. Wang, S.D.; Lo, W.H.; Lei, T.F. CF₄ plasma treatment for fabricating high-performance and reliable solid-phase-crystallized poly-Si TFTs. *J. Electrochem. Soc.* **2005**, *152*, G703–G706. [CrossRef]
- 35. Usami, T.; Shimokawa, K.; Yoshimaru, M. Low dielectric constant interlayer using fluorine-doped silicon oxide. *Jpn. J. Appl. Phys.* **1994**, *33*, 408–412. [CrossRef]
- 36. Teramoto, A.; Saito, M.; Suwa, T.; Narita, T.; Kuroda, R.; Sugawa, S. Hole-trapping process at Al₂O₃/GaN interface formed by atomic layer deposition. *IEEE Electron Device Lett.* **2017**, *38*, 1309–1312. [CrossRef]
- 37. Schroder, D.K. Semiconductor Material and Device Characterization, 2nd ed.; John Wiley & Sons, Inc.: New York, NY, USA, 1998.
- 38. Achuthan, M.K.; Bhat, K.N. *Fundamentals of Semiconductor Devices*, 1st ed.; Tata McGraw-Hill Education Pvt. Ltd.: New Delhi, India, 2006.
- 39. Robertson, J.; Wallace, R.M. High-*k* materials and metal gates for CMOS applications. *Mater. Sci. Eng. R-Rep.* **2015**, *88*, 1–41. [CrossRef]
- 40. Mishra, U.; Singh, J. Semiconductor Device Physics and Design, 1st ed.; Springer: Dordrecht, The Netherlands, 2008.
- 41. Wang, J.C.; Lin, C.T.; Huang, P.W.; Lai, C.S.; Chang, L.C.; Wu, C.I.; Chang, J.H. Hybrid polarity and carrier injection of gold and gadolinium oxide binanocrystals structure. *Appl. Phys. Lett.* **2013**, *102*, 083507. [CrossRef]
- 42. Yeo, Y.C.; Ranade, P.; King, T.J.; Hu, C. Effects of high-*k* gate dielectric materials on metal and silicon gate workfunctions. *IEEE Electron Device Lett.* **2002**, *23*, 342–344.



© 2017 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (http://creativecommons.org/licenses/by/4.0/).