





Article Combination of Electrodeposition and Transfer Processes for Flexible Thin-Film Thermoelectric Generators

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Abstract: To reduce consumption for ambient assisted living (AAL) applications, we propose the design and fabrication of flexible thin-film thermoelectric generators at a low manufacturing cost. The generators were fabricated using a combination of electrodeposition and transfer processes. N-type Bi₂Te₃ films and p-type Sb₂Te₃ films were formed on a stainless-steel substrate employing potentiostatic electrodeposition using a nitric acid-based bath, followed by a transfer process. Three types of flexible thin-film thermoelectric generators were fabricated. The open circuit voltage (V_{oc}) and maximum output power (P_{max}) were measured by applying a temperature difference between the ends of the generator. The thin-film generators obtained using thermoplastic sheets with epoxy resin exhibited a V_{oc} that was tens of millivolts. In particular, the contact resistance of the thin-film generator fabricated in this study exhibited a P_{max} of 10.4 nW at a temperature difference of 60 K. The current performance of the generators was too low, but we innovated a combination process to prepare them. It is expected to increase the performance by further decreasing the micro-cracks and contact resistance in the generators.

Keywords: electrodeposition; transfer process; flexible thin films; thermoelectric generators

1. Introduction

Environmental energy harvesting has recently emerged as a viable technique to supplement battery supplies in energy-constrained embedded systems. The technologies used for energy harvesting have been studied in terms of ambient heat, airflow, and vibration, among others [1–3]. In particular, heat energy exists in all places and can be transferred to electric energy using thermoelectric generators [4–6]. The produced electric energy is used by low-consumption electronics for ambient assisted living (AAL) applications such as biosensors [7,8]. However, employing thermoelectric generators for AAL applications requires that miniaturized generators be produced at a low manufacturing cost while still maintaining thermoelectric performance as high as that achieved with large-scale generators.

Flexible thermoelectric generators fabricated using thin-film technology might satisfy the aforementioned requirements [9–11]. To date, many thin-film thermoelectric devices have been fabricated using various film deposition methods such as flash evaporation [12–14], sputtering [15–17], and electrodeposition [18,19]. In addition, thin-film thermoelectric materials possess favorable features not exhibited by bulk materials. The presence of nanostructured materials, including superlattices [20–22], nanocrystals [23–25], nanoporous structures [26–28], and inducing stresses [29–31], enhances thermoelectric performance. Thermoelectric performance is defined as the

figure of merit, $ZT = S^2 \sigma T / \kappa$, where *S* is the Seebeck coefficient, σ is electrical conductivity, *T* is the absolute temperature, and κ is thermal conductivity.

Among thin-film deposition methods, electrodeposition is most favorable for reducing the manufacturing cost since high deposition rates can be achieved and since the use of a vacuum system and a large power supply is not necessary [32–34]. However, a drawback of electrodeposition is that thin films can only be deposited on conductive substrates, making it difficult to fabricate working thermoelectric generators because the generated electric current mainly passes through conductive substrates. Therefore, to produce thin-film thermoelectric generators at a lower manufacturing cost, a combined method employing electrodeposition and transfer processes is the most feasible approach [35].

In this study, three types of flexible thin-film thermoelectric generators were fabricated using the combination method with different adhesive insulating sheets and varying the connecting approaches between the films. The n- and p-type thin films were obtained by potentiostatic electrodeposition nitric acid based bathes, followed by the transfer process. We used bismuth telluride (Bi_2Te_3) and antimony telluride (Sb_2Te_3) as the n- and p-type thermoelectric materials, respectively, because these materials exhibit a higher figure of merit near room temperature (RT) and similar thermal expansion rates, leading to the manufacture of devices with a high durability [36]. The in-plane thermoelectric properties of the n- and p-type thin films were evaluated at RT. The open circuit voltage (V_{oc}) and maximum output power (P_{max}) were measured by applying a temperature difference between the ends of the generator.

2. Experimental Section

Prior to the fabrication of flexible thin-film thermoelectric generators, we prepared n-type Bi₂Te₃ and p-type Sb_2Te_3 thin films using electrodeposition and measured their thermoelectric properties. The Bi₂Te₃ and Sb₂Te₃ thin films were prepared by potentiostatic electrodeposition using a standard three-electrode cell. The basic setup used for the electrodeposition of both thin films has been described in our previous reports [19,37,38]. A stainless-steel substrate with a thickness of 80 µm was chosen as the working electrode (electrode area: 1.5 cm²) because of its excellent corrosion resistance. A platinum-coated titanium mesh on a titanium plate was used as the counter electrode (electrode area: 1.5 cm²). An Ag/AgCl (saturated KCl) electrode was used as the reference electrode. Prior to use, the working and counter electrodes were degreased with sodium hydroxide and hydrochloric acid solutions and washed with deionized water. A potentiostat/galvanostat (Hokuto Denko, Tokyo, Japan, HA-151B) was used to control the voltage at -0.1 V. The film deposition was implemented without stirring at RT. For fabricating the Bi₂Te₃ thin films, nitric acid (0.4 M; diluted with deionized water) containing 2.0 mM Bi(NO₃)₃ (99.9%, Kojundo Chemical Laboratory, Sakado, Japan) and 1.3 mM TeO2 (99.9%, Kojundo Chemical Laboratory, Sakado, Japan) was used as the electrolyte solution. The initial pH was approximately 1.0. For preparing the Sb₂Te₃ thin films, nitric acid (0.4 M; diluted with deionized water) containing 1.3 mM SbF₃ (99.9%, Kojundo Chemical Laboratory, Sakado, Japan) and 1.6 mM TeO₂ (99.9%, Kojundo Chemical Laboratory, Sakado, Japan) was used as the electrolyte solution. The initial pH was approximately 1.0.

After completing electrodeposition, to avoid any complications arising from electrical conduction occurring through the stainless-steel substrate, the film was fixed on a glass plate using epoxy resin, and the thin film was subsequently removed from the stainless-steel substrate. The in-plane Seebeck coefficient, *S*, of the thin films was measured at RT with an accuracy of $\pm 10\%$. The Seebeck coefficient was measured by connecting one side of the film to a heater and the other side to a heat sink kept at RT, with a temperature difference of <4 K between both the sides. The in-plane electrical conductivity, σ , was measured at RT using the four-point probe method (RT-70V, NAPSON, Tokyo, Japan) with an accuracy of $\pm 10\%$. Both the Seebeck coefficient and electrical conductivity were measured thrice at different portions of each sample to extract the average values. Finally, the in-plane power factor, $S^2\sigma$, which is one of the indices for evaluating the thermoelectric performance, was calculated from

the measured Seebeck coefficient and electrical conductivity. The method of power measurement of the flexible thin-film thermoelectric generators is described in Section 3.3. Surface morphologies of the antimony telluride thin films were examined using a scanning electron microscope (SEM; S-4800, Hitachi and JSM-6301F, JEOL, Tokyo, Japan). The fabrication process of the flexible thin-film thermoelectric generators is described in Section 3.2.

3. Results and Discussion

3.1. Thermoelectric and Structual Properties of n-Type Bi₂Te₃ and p-Type Sb₂Te₃ Thin Films

Based on our previous study, the conditions of electrodeposition of n-type Bi₂Te₃ and p-type Sb_2Te_3 films were determined [39,40]. Table 1 presents the in-plane thermoelectric properties, Seebeck coefficient, electrical conductivity, and power factor of the thin films. The n-type Bi₂Te₃ thin film exhibited a Seebeck coefficient of -63.0μ V/K, an electrical conductivity of 79.0 S/cm, and a power factor of 0.3 μ W/(cm·K²). On the other hand, the p-type Sb₂Te₃ thin film exhibited a Seebeck coefficient of 172 μ V/K, an electrical conductivity of 3.2 S/cm, and a power factor of $0.1 \,\mu W/(cm \cdot K^2)$. The thermoelectric properties of both films were lower than that of the corresponding bulk materials [41]. This is because the films exhibited small grains, as shown in SEM images (Figure 1). In our previous reports, we performed the bending test on sputtered Bi₂Te₃ and Sb₂Te₃ thin films [16,17]. We found that the surface morphologies of the sputtered films were changed by the bending conditions, but the thermoelectric properties were not greatly changed. Therefore, we considered that the electrodeposited Bi₂Te₃ and Sb₂Te₃ thin films in this study would show similar trends. To further increase thermoelectric properties in the future, we plan to carry out not only additional treatments such as thermal annealing [42-44] but also investigate the effects of thermal annealing, i.e., the diffusion between the thermoelectric thin films and the stainless-steel substrate, and the cause of micro-cracks in the films during the transfer of thermoelectric thin films to the flexible sheets. After fabricating the flexible thin-film generators, we calculated the electrical resistance of the generators based on the thermoelectric properties of the n- and p-type thin films.

Table 1. In-plane thermoelectric properties of the n- and p-type thin films.

Column Title	S (μV/K)	σ (S/cm)	Power Factor, $S^2\sigma$ (μ W/(cm·K ²))
n-type film	-62.8	79	0.3
p-type film	172.3	3.2	0.1



Figure 1. SEM images of the surface morphology and grain structure of (**a**) Bi₂Te₃ and (**b**) Sb₂Te₃ thin films.

3.2. Fabrication of Flexible Thin-Film Thermoelectric Generators

After determining the electrodeposition conditions of n-type Bi_2Te_3 and p-type Sb_2Te_3 thin films, we fabricated the flexible thin-film thermoelectric generators. Figure 2 shows the schematic process flow diagram employed for the fabrication of three thermoelectric generators. For the Type 1

generator, we prepared stainless-steel substrates covered with protection tape, which were partially clipped to achieve rectangle-shaped patterns. The position and shape of the substrate used for the fabrication of the p-type film correspond to those used for the fabrication of the n-type film. The n- and p-type films were electrodeposited on the substrates with thicknesses of 3.1 and 4.1 µm, respectively. The electrodeposition conditions employed for the fabrication of the n- and p-type thin films for the generators were the same as those presented in Section 3.1. After removing the protection tapes from the substrates, only the rectangle-shaped n- and p-type films were left on each stainless steel substrate. Next, the substrate and an adhesive polyimide tape (Kapton[®] film 650S#25, TERAOKA, Tokyo, Japan) were pasted together and rubbed hard on the tape surface by a finger. When the adhesive polyimide tape was removed from the substrate, the rectangle-shaped films were transferred onto the tape. These rectangle-shaped films were connected together, by electrically connecting the n- and p-type rectangle-shaped films in series. Noted that n-p contacts are not good for the thermoelectric effect because they likely generate a nonlinear resistance effect. To simplify the process flow, we did not insert metals between the n-p contacts. Finally, the generator fabrication was completed by inserting the metal electrodes.



Figure 2. Schematic process flow diagram of the three types of flexible thin-film thermoelectric generators.

For fabricating the Type 2 generator, the electrodeposition process employed for the Type 1 generator was used. After electrodeposition, the protection tapes were removed from the substrates, and the n- and p-types rectangle-shaped films were then transferred onto a thin epoxy resin (CA-147, CEMEDINE, Tokyo, Japan) partially pasted on thermoplastic sheets (Laminating Film, JOINTEX, Tokyo, Japan). We used epoxy resin instead of the adhesive polyimide tape in the transfer process because the adhesion strength between the films and the epoxy resin is stronger than that between the films and the adhesive polyimide tape.

The n- and p-type rectangle-shaped films were connected together when two of the thermoplastic sheets were heat-sealed with a laminating machine while the metal electrodes were inserted.

We fabricated the Type 3 generator by tightly connecting the n- and p-type rectangular films. For fabricating the Type 3 generator, the same process employing the electrodeposition and the transfer process as that used for the Type 2 generator was employed. After the transfer process, silver pastes were painted on both ends of the n- and p-type rectangle-shaped films. The n- and p-type films were connected together, and the silver paste was then air-dried. Two of the thermoplastic sheets were heat-sealed using a laminating machine.

Figure 3 shows the photographs of three types of flexible thin-film thermoelectric generators. The Type 1 generator (Figure 3a) was composed of four pairs of n- and p-type films with a length of 30 mm and a width of 3 mm. The generator fabricated with the thin films was 40 mm wide, 30 mm high, and 60 µm thick. As shown in Figure 3b, the configuration of the Type 2 generator was mostly the same as that of Type 1 generator except for the use of thermoplastic sheets and the thickness of 0.6 mm. The thickness of the Type 2 generator was 10 times higher than that of the Type 1 generator, but the Type 2 generator also exhibited flexibility. As shown in Figure 3c, the Type 3 generator was composed of two pairs of n- and p-type films, and each rectangle-shaped film was 25 mm long and 4.5 mm wide, different from films prepared in the Type 1 and Type 2 generators. This is because we tried several times to fabricate the Type 3 generator with the same layout as that of the Type 2 generator. However, those trials ended in failure because some films broke during the process. Therefore, in order to firstly complete the generators using the combination processes with a well conducting current, we reduced the number of films and the film width was extended. The Type 3 generator was 35 mm wide and 25 mm high. The thickness of the Type 3 generator was 0.6 mm, which is the same as that of the Type 2 generator. In the Type 3 generator, the metal electrodes were not inserted, but both ends of the rectangle-shaped films were exposed to air by removing the corresponding part of the thermoplastic sheets.



Figure 3. Photographs of the three types of flexible thin-film thermoelectric generators. (**a**) Type 1; (**b**) Type 2; (**c**) Type 3.

3.3. Performance of Flexible Thin-Film Thermoelectric Generators

We initially measured the total resistance (R_{total}) of the flexible thin-film thermoelectric generators, as listed in Table 2. The R_{total} value of the Type 1 generator was not measured because the rectangle-shaped thin films were partially broken during the transfer process. When the thin films were removed from the substrate, adhesive polyimide tape was extremely bent with the stretching state. As a result, micro-cracks appeared in the thin films, leading to partial breaking.

Table 2. Total electrical resistance of the flexible thin-film generators.

Type of Generator	$R_{ m total}$ (k Ω)
Type 1	N/A
Type 2	0
Type 3	12

The Type 2 generator exhibited an R_{total} of 60 k Ω . Based on the measured electrical conductivity of nand p-type thin films as listed in Table 1 and the film sizes as described in Section 3.2, the resistance for only the films (R_{film}) in the Type 2 generator was expected to be 31 k Ω . As a result, the R_{total} value in the Type 2 generator, indicating that the Type 2 generator exhibited a relatively high contact resistance (R_{cont}) of 29 k Ω at the junctions between the n- and p-type thin films. On the other hand, the measured R_{total} in the Type 3 generator was 12 k Ω , and R_{film} was expected to be 8.6 k Ω . As a result, R_{cont} was estimated to be 3.4 k Ω , significantly lower than that obtained with the Type 2 generator because the junctions between n- and p-type films in the Type 3 generator because the junctions between n- and p-type films in the Type 3 generator because the junctions between n- and p-type films in the Type 3 generator were tightly connected using the silver paste, and the number of junctions was low.

To measure the performance of the flexible thin-film thermoelectric generators, a temperature difference was applied between the ends of the generators. The temperatures on both sides were monitored using the thermocouples (K-type) attached to the generator. In this study, we put the temperature difference at a maximum of 60 K, and it was difficult to further increase the temperature difference because the temperature of the cold side increased due to the thermal conduction from the hot side. When a temperature difference was generated, the open circuit voltage (V_{oc}) was measured using a digital multi-meter (TakedaRiken, Tokyo, Japan, TR6841). The Voc of the flexible thin-film thermoelectric generators as a function of temperature difference is shown in Figure 4. We here confirmed that the relationship between the $V_{\rm oc}$ and temperature difference obtained reproducibility and reliability for repetitive operations. The results obtained for the Type 1 generator are not presented in this figure because $V_{\rm oc}$ was not measured owing to the partial breaking of the films. The $V_{\rm oc}$ value of the Type 2 and Type 3 generators increased as the temperature difference was increased. At a temperature difference of 60 K, the $V_{\rm oc}$ values of the Type 2 and Type 3 generators were 9.4 and 22.4 mV, respectively. The $V_{\rm oc}$ value of the Type 3 generator was 2.4 times higher than that of the Type 2 generator even though the number of the n-p pairs in the Type 3 generator was smaller than that in the Type 2 generator. This is because the higher resistance, which includes the film resistance and contact resistance, of the Type 2 generator caused a larger voltage drop as compared to that in the Type 3 generator. We conclude that the contact resistance is responsible for the voltage drop, based on our preliminarily experiment. In the preliminarily experiment, we prepared the Type 2 generator with the same layout as the Type 3 generator but without Ag paste connection and measured the output voltage. As a result, the voltage of the generator prepared in the preliminarily experiment decreased compared to those of the Type 2 and Type 3 generators.



Figure 4. Open circuit voltage (V_{oc}) of the flexible thin-film thermoelectric generators as a function of temperature difference.

The maximum output power (P_{max}) of the flexible thin-film thermoelectric generators as a function of the temperature difference is shown in Figure 5. The P_{max} is expressed as $P_{max} = V_{oc}^2/4R_{total}$, and the accuracy of measurement was approximately $\pm 10\%$. In the Type 2 generator, P_{max} was estimated to be 0.4 nW at a temperature difference of 60 K. On the other hand, the P_{max} value of the Type 3 generator drastically improved. P_{max} increased exponentially with an increase in the temperature difference. At a temperature difference of 60 K, the P_{max} of the Type 3 generator was 10.4 nW, which is 26 times

higher than that of the Type 2 generator. Therefore, the performance of flexible thin-film thermoelectric generators can be improved to reduce the contact resistance, but the performance was still low compared to that of the generators fabricated using dry processing [4,6,45] and was not sufficient to operate potential applications such as CMOS image sensors [46], so there is room for improvement. However, we demonstrated the successful fabrication of flexible thin-film generators, which were obtained by the combination of electrodeposition and transfer processes, and this technology suggests the possible benefits of fabricating flexible thin-film generators at a low manufacturing cost.



Figure 5. Maximum power (P_{max}) of the flexible thin-film thermoelectric generators as a function of temperature difference.

4. Conclusions

We fabricated three types of flexible thin-film thermoelectric generators, which consisted of n-type Bi_2Te_3 films and p-type Sb_2Te_3 films, using a combination of electrodeposition and transfer processes. Electrodeposition was performed on a stainless-steel substrate using potentiostatic electrodeposition with nitric acid based bathes. The transfer process was performed using adhesive polyimide tapes or thermoplastic sheets with epoxy resin. The open circuit voltage (V_{oc}) and maximum output power (P_{max}) were measured by applying a temperature difference between the ends of the generator. The best generator obtained in this study exhibited a P_{max} of 10.4 nW at a temperature difference of 60 K. The performance of the thin-film generators improved, with a decrease in the number of micro-cracks in the thin films and on achieving a tight connection between the n- and p-type films using silver pastes. The current performance of the generators was too low, but we innovated the combination process to prepare the thin-film generators.

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