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Adhesion Control Strategy Based on the Wheel-Rail Adhesion State Observation for High-Speed Trains

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Abstract: In this paper, an adhesion control strategy based on the wheel-rail adhesion state observation is proposed for high-speed trains. First, the high-speed train single axle dynamics model is established. Then, a modified adhesion control method is proposed. The scheme observes the tangential force coefficient between wheel and rail through full dimension observer and forecasts the slope of the adhesion-slip curve by the recursive least squares method with forgetting factor. Meanwhile, a feasibility analysis of the method and the control parameters tuning is conducted. Afterwards, the experimental study of the proposed adhesion control is carried out based on a 5.5 kW induction motor drag platform using dSPACE simulation technology. The experimental results confirm the feasibility of the adhesion control method proposed in this paper. Using the proposed adhesion control method can achieve high wheel-rail adhesion performance under variable complex road conditions.

Keywords: adhesion control; tangential force coefficient; adhesion-slip slope; load simulation; high-speed train

1. Introduction

Wheel-rail adhesion is one of the important factors affecting the normal traction-braking performance of high-speed trains. Wheel-rail adhesion force is restricted by road adhesion capacity. When traction-braking torque on the wheel over the maximum adhesion that wheel-rail can provide, idling-sliding phenomenon happens. This kind of phenomenon can lead to bad effects, such as a decline in passenger comfort, wheel-rail abrasion, train traction-braking performance degradation and so on [1]. Therefore, high speed trains are equipped with adhesion control devices to suppress the occurrence of idling-sliding, in order to ensure the normal operation of the train.

In the field of high-speed train adhesion control, a main way is adopting the logic threshold control such as speed difference, creepage rate, acceleration/deceleration threshold, etc. But the method is a kind of control that acts after the occurrence of idling-sliding. So it cannot obtain the best use of adhesion, and it is affected by road surface conditions. Based on a zero order observer; literature [2,3] put forward a new torque adjustment control algorithm triggered by idling-sliding detection. Where, the control effect is better, but the utilization rate of adhesion remains need to be improved. Literature [4–6] present a fuzzy adhesion control method based on a zero order observer and achieves good results, but due to the complex fuzzy logic and programming difficulty, there are certain limitations in practical application. Literature [7] analyzes the performance influence of full dimension observer to re-adhesion optimization control system emphatically. Literature [8] proposes a torque feedback adhesion control method based on a zero order observer. The control in adhesion-slip stable region is effective, but in unstable region this control will fail. Literature [9] proposes a reduced-order observer, and adds an extra open-loop torque command C(t) to the output of

torque regulator in literature [8]. Then literature [9] solves the failure problem in adhesion-slip unstable region of literature [8], but literature [9] does not give a reasonable method for judging whether the operation state is in the unstable region or not. The extra open loop torque command C(t) is obtained by experience instead of calculation.

To suppress the occurrence of idling-sliding and get better use of adhesion, this paper proposes a modified algorithm of optimized adhesion control, on the basis of literature [8,9]. By building the full dimension observation of wheel-rail tangential force coefficient μ and Recursive Least Squares (RLS) estimation of adhesion-slip slope $d\mu/dv_s$ with forgetting factor, the method uses torque feedback control to quickly implement train running near the adhesion peak point in complex road conditions and maintains high adhesion performance between wheel and rail. To confirm the feasibility of the proposed adhesion control method, based on adhesion control experimental research in literature [10–12], the experimental study is carried out based on a 5.5 kW induction motor drag platform using dSPACE simulation technology. The experimental results have confirm the feasibility of the proposed adhesion control method.

2. Model Analysis

2.1. Adhesion-Slip Characteristic

The wheel-rail adhesion-slip phenomenon of high-speed trains is essentially a kind of elastic contact interaction. Under the effect of axle load Wg, elastic deformation occurs in the contact area between the wheel-rail and leads to the formation of elliptical contact zone. When the wheel rolls forward by the drive torque T, tangential force can be produced between wheel-rail due to the relative motion or motion trend. The tangential force causes the movement of the wheel-rail contact surface; as a result, the train forward velocity will be smaller than the wheel line velocity and this phenomenon is slip. Figure 1 briefly shows the slip phenomenon.



Figure 1. Adhesion-slip phenomenon and adhesion-slip characteristic curve.

In order to evaluate the degree of slip and the value of adhesion force, the slip velocity v_s and tangential force coefficient μ are defined as follows:

$$v_s = \omega_w \cdot R - v_t \tag{1}$$

$$\mu = F_{\mu} / Wg \tag{2}$$

where, *R* is the radius of the wheel; ω_w expresses the wheel angular velocity; v_t is the velocity of the train; *W* is the axls weight; *g* is the gravity acceleration; and F_{μ} is the tangential force.

Numerous theoretical analyses and experimental tests have confirmed that the adhesion-slip character between wheel and rail can be expressed by the relationship between tangential force coefficient μ and the slip velocity v_s . The relationship is called the adhesion-slip characteristic, and Figure 1 shows the typical adhesion-slip curve. The adhesion-slip curve has a peak point (μ_{max} , v_{sopt}). The tangential force coefficient μ_{max} at this point is called the adhesion coefficient. The corresponding slip velocity v_{sopt} at this point is called the optimal slip velocity. The curve is divided into two areas by the v_{sopt} . One is the stable area and the other is unstable area. The curve shows a positive slope of the adhesion-slip curve ($d\mu/dv_s \ge 0$) in the stable area while a negative slope ($d\mu/dv_s < 0$) in the unstable area.

When wheel-rail operates in the unstable region, the idling-sliding phenomenon happens easily. In order to avoid idling-sliding and use the maximum adhesion between the wheel-rail, optimized adhesion controls must be able to achieve wheel-rail running in the adhesion stable region and near the peak point (μ_{max} , v_{sopt}) under the variable condition of complex roads.

2.2. Train Single Axle Dynamics Model

Due to the complex dynamic characteristics of high-speed train running, it is difficult to build complex dynamic models considering various factors. In this paper, the adhesion control study mainly aimed at the single axle dynamic model as shown in Figure 2.



Figure 2. The simplified traction force transferring model for single axle.

According to the wheel-rail adhesion characteristic, driving system characteristic and the mechanical model of the train, the mathematical Equation (3) is gained as follows:

$$\begin{cases}
T_m - T_{wm} - B_m \cdot \omega_m = J_m \frac{d\omega_m}{dt} \\
i_g = \frac{r_{S^2}}{r_{g_1}} \\
T_{mw} = \eta_{gear} \cdot i_g \cdot T_{wm} \\
T_{mw} - F_\mu \cdot R - B_w \cdot \omega_w = J_w \frac{d\omega_w}{dt} \\
F_\mu - F_d(v_t) = \frac{M}{N_M} \frac{dv_t}{dt} \\
F_\mu = u(v_s) \cdot Wg \\
F_d(v_t) = \frac{M}{N_M} \cdot (a + bv_t + cv_t^2)
\end{cases}$$
(3)

where, *M* is train mass (including equipment and passenger quality, which is the load of motor coaches); N_M is the number of motor axle; J_m is the traction motor rotor moment of inertia; T_{wm} is the torque exerted to motor axle through the gear box by driven axle; T_{mw} is the torque exerted to driven axle through the gear box by motor axle; J_w is the driven axle moment of inertia; i_g is the gear transmission ratio; r_{g1} and r_{g2} are the radius of driving gear and driven gear respectively; η_{gear} is the

gear transmission efficiency; *R* is the radius of the wheel; B_m , B_w are motor and driven axle rotational viscosity coefficient; T_m is the traction motor torque; ω_m is motor angular speed; F_d is basic resistance of train, *a*, *b* and *c* are coefficients of resistance equation.

Traction motor output side equivalent equation can be obtained by (3):

$$T_m - \frac{R}{i_g \cdot \eta_{gear}} \cdot F_\mu - (B_m + \frac{B_w}{i_g^2 \cdot \eta_{gear}}) \cdot \omega_m = \frac{d\omega_m}{dt} (J_m + \frac{J_w}{i_g^2 \cdot \eta_{gear}})$$
(4)

Making

$$J_{equ} = J_m + \frac{J_w}{i_g^2 \cdot \eta_{gear}}$$
(5)

$$T_L = \frac{R}{i_g \cdot \eta_{gear}} \cdot F_\mu \tag{6}$$

and ignoring the B_m and B_w . B_m and B_w represent the internal rotational friction of the train drive system, which is relatively smaller than load torque and system moment of inertia torque. So B_m and B_w are often ignored in modelling [8,9]. Then Formula (4) can be represented as:

$$T_m - T_L = \frac{d\omega_m}{dt} J_{equ} \tag{7}$$

3. The Modified Adhesion Control Method

3.1. Method Implementation Principle

Literature [8,9] carry out torque feedback adhesion control based on the tangential force coefficient μ and $d\mu/dt$. The key regulator function is:

$$T_A = K_1 \cdot d\mu/dt + K_2 \cdot \mu \tag{8}$$

where, T_A is the torque command output by adhesion control, K_1 and K_2 are the control parameters. The essence of this regulator is a PI controller with $d\mu/dt$ as input. $d\mu/dt = 0$ is the control objective with a suppose that $d\mu/dt = 0$ is equivalent to $d\mu/dv_s = 0$. And $d\mu/dt$ is use to judge the operation area is stable or unstable in literature [9]. However, as shown in Figure 1, the operation area of the adhesion-slip characteristic is determined by $d\mu/dv_s$, not $d\mu/dt$. $d\mu/dt$ isn't equivalent to $d\mu/dv_s$ as the criterion of operation area. This may cause inaccurate judgment of unstable areas, so that the torque command will get smaller and smaller as it moves along the stable parts of the adhesion-slip characteristic curve in Figure 1, and the train cannot run.

As shown in Figure 1, $f(\mu, d\mu/dv_s)$ can truly reflect the status of wheel-rail adhesion. So in this paper, based on the literature [8,9], replacing $d\mu/dt$ by $d\mu/dv_s$, torque feedback adhesion optimized control is proposed based on the tangential force coefficient μ and adhesion-slip slope $d\mu/dv_s$. The modified torque regulator function is shown in (9).

$$T_A = K_1 \cdot d\mu / dv_s + K_2 \cdot \mu \tag{9}$$

Figure 3 shows the diagram of the modified adhesion control algorithm. The inputs in Figure 3 are drivers handle instruction T^* , vehicle velocity v_t , motor speed ω_m and motor torque T_m . The output is the motor torque instruction T^*_m Motor load torque T_L is got through the state observer. Then combined with (2), (3), the wheel-rail tangential force coefficient μ and its differential value $d\mu/dt$ is calculated. Slip velocity v_s is known by Formula (1), then the differential value dv_s/dt can be calculated. Inputting dv_s/dt and $d\mu/dt$ into the RLS module with forgetting factor, the estimated value of $d\mu/dv_s$ can be got.



Figure 3. The diagram of modified adhesion control algorithm for high-speed train.

According to torque regulator function (9), the adhesion control torque command T_A is output. Comparing T_A with T^* and choosing the smaller one as the motor vector control torque instruction value T_m^* . Formula (10) is the full dimension asymptotic state observer of T_L [13,14]. Formula (11) is the principle of RLS estimation with forgetting factor [15].

$$\begin{bmatrix} \dot{\omega}_m \\ \dot{\hat{T}}_L \end{bmatrix} = \begin{bmatrix} p_1 + p_2 & -\frac{1}{l_{equ}} \\ J_{equ}p_1p_2 & 0 \end{bmatrix} \times \begin{bmatrix} \dot{\omega}_m \\ \hat{T}_L \end{bmatrix} + \begin{bmatrix} -(p_1 + p_2) - \frac{B}{l_{equ}} & 0 \\ -J_{equ}p_1p_2 & 0 \end{bmatrix} \times \begin{bmatrix} \omega_m \\ T_L \end{bmatrix} + \begin{bmatrix} \frac{1}{l_{equ}} \\ 0 \end{bmatrix} T_m$$
(10)
$$\hat{T}_L = \int J_m p_1 p_2 (\dot{\omega}_m - \omega_m) dt$$

where, p_1 and p_2 are the poles of full dimension observer.

$$\begin{cases} y[k] = \hat{\theta}^{T}[k]\phi[k] \\ \hat{\theta}[k] = \hat{\theta}[k-1] - \frac{P[k-1]\phi[k]}{1+\phi^{T}[k]P[k-1]\phi[k]} \times (\hat{\theta}[k-1]\phi[k] - y[k]) \\ P[k] = \frac{1}{\kappa} [P[k-1] - \frac{P[k-1]\phi[k]\phi^{T}[k]P[k-1]}{1+\phi^{T}[k]P[k-1]\phi[k]}] \\ \kappa = \frac{1}{1+\gamma\phi[k]^{2}} \end{cases}$$
(11)

where, γ is the exponential weighting factor, *P* is the error covariance matrix. Making

$$\begin{cases} y[k] = d\mu/dt \\ \hat{\theta}[k] = d\mu/dv_s \\ \phi[k] = dv_s/dt \end{cases}$$
(12)

The adhesion-slip slope

$$\beta = \hat{\theta}[k] \tag{13}$$

can be estimated accurately.

3.2. Theoretical Analysis and Parameter Setting of the Control Method

Combined with the Formula (3), and ignoring B_m and B_w , adhesion state equation can be written as follows:

1

$$\frac{dv_s}{dt} = \frac{R}{i_g J_{equ}} \cdot T_m - \mu(v_s) \cdot \left[\frac{R^2}{i_g^2 J_{equ} \eta_g} W \cdot g + \frac{N_M}{M} W \cdot g\right] + \frac{N_M}{M} F_d(v_t)$$
(14)

Because of the great inertia of the actual train, the variation of vehicle speed v_t is very small in a adhesion controller response action interval. So the $\frac{N_M}{M}F_d(v_t)$ in Formula (14) can be regarded as a constant disturbance *C*. Discretization of Formula (14) is:

$$\frac{v_s[k] - v_s[k-1]}{T_s} = \frac{R}{i_g \cdot J_{equ}} T_m[k] - \left[\frac{R^2}{i_g^2 J_{equ} \cdot \eta_g} W \cdot g + \frac{N_M}{M} W \cdot g\right] \cdot \mu[k] + C$$
(15)

By adhesion control algorithm block diagram shown in Figure 3, under good road conditions, the controller normally outputs driver instruction value T^* ($T_A > T^*$). Under poor road conditions, the controller outputs adhesion control torque values T_A ($T_A < T^*$). So in such condition, discretizing T_A and putting the result into the Formula (15):

$$\frac{v_{s}[k] - v_{s}[k-1]}{T_{s}} = \frac{R}{i_{g} \cdot J_{equ}} \cdot K_{1} \frac{\mu[k-1] - \mu[k-2]}{v_{s}[k-1] - v_{s}[k-2]} + \frac{R}{i_{g} \cdot J_{equ}} \cdot K_{2} \mu[k-1] \\ - \left[\frac{R^{2}}{i_{g} \cdot J_{equ} \cdot \eta_{g}} W \cdot g + \frac{N_{M}}{M} W \cdot g\right] \cdot \mu[k] + C$$
(16)

Within a control interval T_s , $\mu[k - 1] \approx \mu[k]$. *C* is decided by v_t and its value is still very small (*C* = ±0.198) when v_{tmax} = 380 km/h. The proportion of *C* is very small during the process of adhesion control, so that it can be ignored. Therefore, further approximate processing of Formula (16) is:

$$\frac{v_s[k] - v_s[k-1]}{T_s} \approx \underbrace{\frac{R}{i_g \cdot J_{equ}} \cdot K_1 \frac{\mu[k-1] - \mu[k-2]}{v_s[k-1] - v_s[k-2]}}_{A} + \underbrace{\left[\frac{R}{i_g \cdot J_{equ}} \cdot K_2 - \frac{R^2}{i_g^2 J_{equ} \cdot \eta_g} W \cdot g + \frac{N_M}{M} W \cdot g\right] \cdot \mu[k]}_{B}$$
(17)

Based on Formula (17), we perform the feasibility analysis of the adhesion control method proposed in this paper and parameter setting of K_1 and K_2 .

To realize running on the adhesion peak point $(dv_s/dt \approx 0)$, the first requirement is A = 0, as a result B = 0, then we get the ideal K_2 :

$$K_2 = \frac{R}{i_g \cdot \eta_g} W \cdot g + \frac{i_g \cdot J_{equ}}{R} \frac{N_M}{M} W \cdot g$$
(18)

At this time, K_2 decided by system structure parameters is called its critical value K_{2TH} .

Determining the ideal $K_2 = K_{2TH}$, then B = 0, and A (decided by K_1) plays the role of dynamic torque adjustment. The specific effect of K_1 is to dynamically correct motor torque with small amplitude when road conditions change suddenly, and then to make the slip speed increase or decrease for rapid convergence to the peak point. Finally, to improve the utilization rate of adhesion.

In actual control, in order to ensure the stability of adhesion control near the adhesion peak requires further analysis to the K_2 . When $K_2 < K_{2TH}$ and close to the K_{2TH} , because of B < 0 and A + B = 0, so $A \approx 0$ and A > 0. At this time, the operation point is in the left stable area and near the adhesion peak. When $K_2 > K_{2TH}$ and close to the K_{2TH} , because of B > 0 and A + B = 0, so $A \approx 0$ and A > 0. At this time, the operation point is in the left stable area and near the adhesion peak. When $K_2 > K_{2TH}$ and close to the K_{2TH} , because of B > 0 and A + B = 0, so $A \approx 0$ and A < 0. At this time, the operation point is in the right unstable area and near the adhesion peak. Thus, K_2 bounded by K_{2TH} determines the final effect of adhesion control that wheel-rail operates in the stable area or in the unstable area near adhesion-slip peak point.

In terms of adhesion control objectives, K_2 actual value shall be the $K_2 = K_{2TH} - K_{\Delta} < K_{2TH}$ (K_{Δ} is stability margin) to ensure that wheel-rail runs in stable area on the left side of the adhesion peak point. The value of K_{Δ} must take into account both the adhesion control system stability and adhesion utilization. The value of K_1 should be appropriately large (excessive K_1 will lead to severe fluctuation of torque) to ensure the response speed and adhesion utilization.

4. Experimental Verification

4.1. The Load Simulation Test Platform Introduction

According to the traction motor equivalent mechanical Equation (7), and using the scaling principle [16], the adhesion load simulation platform can be built with a motor drag platform [17]. The traction motor is simulated by a motor and the operation load is simulated by another motor. The two coaxial motors are dragged by each other. Therefore, this paper set up a 5.5 kW adhesion load simulation platform shown in Figures 4 and 5. Where, the dSPACE system controls the load motor and

the Myway system controls the traction motor. The traction motor and the load motor both employ vector control. The train dynamics parameters refer to the CRH2A parameters [18].



Figure 4. The block diagram of the 5.5 kW adhesion load simulation experimental platform.



Figure 5. The 5.5 kW semi-physical adhesion load simulation platform.

As shown in Figure 4, the dSPACE control system mainly completes the train single axle dynamics and the wheel-rail adhesion road conditions simulation, adhesion control, load torque control and traction instruction calculation. Specifically, by calculation of the load torque, the dSPACE control system gets the load motor torque instruction value T_L^* and controls the load motor to simulate the traction motor equivalent load during train operation. In addition, traction torque instruction T_m^* calculated by dSPACE is sent to Myway platform through the CAN communication. Myway control system mainly realizes receiving T_m^* and completing traction motor vector control. The 5.5kW platform physical structure is shown in Figure 5.

4.2. Experimental Results and Analysis

To verify the effectiveness of the proposed adhesion control method, we designed adhesion-slip characteristics of three different road conditions as shown in Figure 6 [19,20].



Figure 6. Adhesion-slip characteristic curves under different road conditions.

The experimental platform simulates the whole process that a train speeds up from 0 to 130 km/h (10 level of traction), then uses regenerative braking to 25 km/h. In the traction condition, in the 55~85 km/h section, road conditions rapidly change from 1 (good road condition) to poor road condition 2; in the 85~110 km/h section, road conditions deteriorate to poor road condition 3. In the braking condition, in the 105~70 km/h section, road conditions rapidly change from 1 (good road condition) to poor road condition 2.

Figure 7 is the change process of the wheel speed and vehicle speed during the above operation under the effect of adhesion control (setting control parameters K_1 = 19000, K_2 = 7000).



Figure 7. The changing of train speed and wheel speed.

According to Figure 7, when the road condition became worse, either traction or braking, wheel speed did not sharply increase or decrease .Idling/sliding is avoided effectively due to rapid action of adhesion controller. For further analysis, the changes of variables reflecting train running state, such as motor torque and adhesion state, are shown in Figures 8–12.

Figure 8 shows the torque instructions, and Figure 9 shows the actual value of torque. According to the figures, when the road conditions become worse, due to a low road adhesion coefficient, the wheel-rail is unable to perform given adhesion ability. Then, the adhesion controller acts rapidly. The traction motor torque instruction is adjusted to $T_m^* = T_A$ for adapting to the current road adhesion state and avoiding idling-sliding. In addition, in response to different poor road conditions change (road conditions 2, 3), the adhesion controller can also achieve rapid adjustment of traction motor

torque instruction T_m^* (as shown in Figure 8, $T_{A2} = 5.8 \text{ N} \cdot \text{m}$ and $T_{A3} = 4.9 \text{ N} \cdot \text{m}$). The controller has good dynamic performance.



Figure 8. The torque command of traction motor and load motor.



Figure 9. The actual torque of traction motor and load motor.



Figure 10. The observed value of μ and $d\mu/dv_s$.



Figure 11. The actual running track of μ - v_s .



Figure 12. The change of v_s .

Figure 10 shows the results of the tangential force coefficient μ full dimensional observation and the adhesion-slip slope $d\mu/dv_s$ RLS estimation. The observed values are in good agreement with the actual values. The observation method proposed in this paper has a good performance.

Unlike Figures 8 and 9 which verify adhesion control performance by output torque, Figures 11 and 12 evaluate the performance mainly from the wheel-rail adhesion-slip state in actual operation. Figure 11 describes the actual running state trajectory of μ and v_s . It can be found from the figure that despite in the bad conditions 2 or 3, the wheel-rail operation area can achieve running near the peak point in the respective adhesion-slip conditions (A, B, C).

In addition, Figure 12 shows that in the traction condition, at a speed of 85 km/h, when road conditions deteriorate from poor road condition 2 to lower adhesion road condition 3, excessive slip velocity $v_{s2} = 5.3$ km/h (v_{s2} close to v_{sopt2} and bigger than $v_{sopt3} = 4$ km/h) can be suppressed rapidly, and decreased to $v_{s3} = 3.5$ km/h close to the peak point v_{sopt3} ($v_{sopt3} < v_{sopt2}$). That means within a relatively short period of time after the road conditions change, the operation point is located in the unstable region of condition 3 and the operation point moves to the stable region by the proposed control. This shows that the proposed adhesion control method is still effective even if the wheel-rail operation point is in an unsteady area, and the proposed scheme can judge the operation area accurately.

5. Conclusions

This paper briefly introduces the wheel-rail adhesion characteristic, and establishes simplified single axle dynamic mathematical models of the CRH2A EMUs. Then, based on the literature [8,9], using the tangential force coefficient μ all dimension observation and the adhesion-slip slope $d\mu/dv_s$ RSL estimation, this paper proposes the modified torque feedback adhesion control. Besides, this paper analyzes the feasibility of the proposed method and the setting method of control parameters K_1 and K_2 . The experimental study of the proposed adhesion control is carried out based on the 5.5 kW induction motor drag platform using dSPACE simulation technology. According to the experimental results, the proposed adhesion control method can be achieved in different poor adhesion road conditions. The wheel-rail operation area stably nears peak point and the adhesion control is still effective in adhesion-slip unstable areas. The experimental results have confirmed the feasibility of the adhesion control method proposed in this paper.

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