

Article Third Hankel Determinant for a Subfamily of Holomorphic Functions Related with Lemniscate of Bernoulli

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Abstract: The main goal of this investigation is to obtain sharp upper bounds for Fekete-Szegö functional and the third Hankel determinant for a certain subclass $SL^*(u, v, \alpha)$ of holomorphic functions defined by the Carlson-Shaffer operator in the unit disk. Finally, for some special values of parameters, several corollaries were presented.

Keywords: Hankel determinant; Carlson–Shaffer operator; Lemniscate of Bernoulli; holomorphic function; univalent function; Fekete-Szegö problem; starlike function; Zalcman functional

MSC: 30C45; 30C50; 30C80

1. Introduction and Definitions

Denote by A the family of holomorphic functions defined in the unit disk $\Omega = \{ \varsigma \in \mathbb{C} : |\varsigma| < 1 \}$, with expansion

$$I(\varsigma) = \varsigma + \sum_{k=2}^{\infty} m_k \varsigma^k \tag{1}$$

and let S be the subset of A, consisting of functions which are univalent in Ω .

Let \mathcal{P} be a family of the holomorphic functions *t* of the form

$$t(\varsigma) = 1 + \sum_{k=1}^{\infty} t_k \varsigma^k, \quad (\varsigma \in \Omega)$$
⁽²⁾

satisfying $\operatorname{Re}(t(\zeta)) > 0$ in Ω . The family of starlike functions in Ω are represented by the symbol S^* , which satisfies

$$\frac{\varsigma l'(\varsigma)}{l(\varsigma)} \in \mathcal{P}, \ \ (\text{for all } \varsigma \in \Omega).$$

In addition, the symbol \mathcal{SL}^* represents the family of functions that satisfy

$$\left| \left(\frac{\varsigma l'(\varsigma)}{l(\varsigma)} \right)^2 - 1 \right| < 1, \ (\varsigma \in \Omega).$$

As a result, $l \in \mathcal{SL}^*$ can be expressed by

$$|w^2 - 1| < 1$$

if and only if $\frac{\zeta l'(\zeta)}{l(\zeta)}$ is the inside region bounded by the right half of the Bernoulli lemniscate.



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Copyright: © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). This class was introduced by Sokól [1] and Sokól et al. [2]. If there is a Schwarz function w that is holomorphic in Ω , with w(0) = 0, $|w(\varsigma)| < 1$, such that $l(\varsigma) = h(w(\varsigma))$, $\varsigma \in \Omega$, then the function l is subordinate to h, denoted by the notation $l \prec h$. If the function h is univalent in Ω , then $l \prec h$ if

$$l(0) = h(0)$$
 and $l(\Omega) \subset h(\Omega)$.

A function $l \in A$ is said to be starlike of order α if and only if

$$\operatorname{Re}\left\{\frac{\zeta l'(\zeta)}{l(\zeta)}\right\} > \alpha, \ (\zeta \in \Omega)$$

for some $\alpha(0 \le \alpha < 1)$. We denote the class of all starlike functions of order α by $S^*(\alpha)$. We also note that $S^*(0) = S^*$ is the well-known class of all normalized starlike functions in Ω . Now, the function

$$\mathcal{K}_{\alpha}(\varsigma) = \frac{\varsigma}{\left(1-\varsigma\right)^{2(1-\alpha)}} \tag{3}$$

is a well known extremal function for the class $S^*(\alpha)$, (see [3–5]). Setting

$$\psi(\alpha,k) = \frac{\prod_{k=2}^{k} (k-2\alpha)}{(k-1)!}, \quad (k \ge 2),$$
(4)

the function \mathcal{K}_{α} can be written in the form as follows:

$$\mathcal{K}_{\alpha}(\varsigma) = \varsigma + \sum_{k=2}^{\infty} \psi(\alpha, k) \varsigma^{k}.$$
(5)

We denote by $\mathcal{F}(\alpha,k,\psi)$ the class of functions \mathcal{K}_{α} . Then, we note that $\psi(\alpha,k)$ is a decreasing function in α and satisfies

$$\lim_{k \to \infty} \psi(\alpha, k) = \begin{cases} \infty & \left(\alpha < \frac{1}{2}\right) \\ 1 & \left(\alpha = \frac{1}{2}\right) \\ 0 & \left(\alpha > \frac{1}{2}\right) \end{cases}.$$

Let $(l * h)(\varsigma)$ be the Hadamard product (or convolution) of two functions *l* and *h*, that is, if *l* given by (1) and *h* is given by

$$h(\varsigma) = \varsigma + \sum_{k=2}^{\infty} n_k \varsigma^k.$$

Then,

$$(l*h)(\varsigma) = \varsigma + \sum_{k=2}^{\infty} m_k n_k \varsigma^k = (h*l)(\varsigma), \quad (\varsigma \in \Omega).$$
(6)

Let $\Theta(u, v, \varsigma)$ be defined by

$$\Theta(u,v,\varsigma) = \varsigma + \sum_{k=2}^{\infty} \frac{(u)_{k-1}}{(v)_{k-1}} \varsigma^k, \quad (u \in \mathbb{C}, v \in \mathbb{C} \setminus \mathbb{Z}_0^-, \mathbb{Z}_0^- = \{\dots - 2, -1, 0\}; \varsigma \in \Omega).$$

The function, $\Theta(u, v, \varsigma)$ is known as the incomplete beta function. The term $(\varkappa)_k$ is the Pochhammer symbol that can be expanded in Gamma functions as

$$(\varkappa)_k = \frac{\Gamma(\varkappa+k)}{\Gamma(\varkappa)} = \begin{cases} 1, & k=0\\ \varkappa(\varkappa+1)(\varkappa+2)...(\varkappa+k-1), & k\in\mathbb{N} = \{1,2,3,\cdots\}. \end{cases}$$

Corresponding to the $\Theta(u, v, \varsigma)$ Carlson-Shaffer function [6], an operator $\mathcal{L}(u, v)$ is introduced for $l \in \mathcal{A}$ using the Hadamard product as follows:

$$\mathcal{L}(u,v)l(\varsigma) = \Theta(u,v,\varsigma) * l(\varsigma) = \varsigma + \sum_{k=2}^{\infty} \frac{(u)_{k-1}}{(v)_{k-1}} m_k \varsigma^k \quad (\varsigma \in \Omega).$$

Further, for the function $\mathcal{L}(u, v)l(\varsigma)$

$$\tau(\varsigma) = \mathcal{L}(u, v)l(\varsigma) * \mathcal{K}_{\alpha}(\varsigma) = \varsigma + \sum_{k=2}^{\infty} \frac{(u)_{k-1}}{(v)_{k-1}} \psi(\alpha, k) m_k \varsigma^k$$
(7)

where $\mathcal{L}(u, v)$ is called the Carlson-Shaffer operator [6], and the operator * stands for the Hadamard product (or convolution product) of two power series as given by (6). We will show by $\tilde{\mathcal{F}}(\alpha, k, \psi)$ the family of functions $\tau(\varsigma)$.

Definition 1. We consider that $SL^*(u, v, \alpha)$ is the family of holomorphic functions given by

$$\mathcal{SL}^{*}(u,v,\alpha) = \left\{ \tau(\varsigma) \in \widetilde{\mathcal{F}}(\alpha,k,\psi) : \left| \left(\frac{\varsigma\tau'(\varsigma)}{\tau(\varsigma)} \right)^{2} - 1 \right| < 1 \right\},\tag{8}$$

$$\frac{\varsigma\tau'(\varsigma)}{\tau(\varsigma)} \prec \sqrt{1+\varsigma}, \quad (\varsigma \in \Omega), \tag{9}$$

where

$$\tau(\varsigma) = \varsigma + \sum_{k=2}^{\infty} \frac{(u)_{k-1}}{(v)_{k-1}} \psi(\alpha, k) m_k \varsigma^k.$$
(10)

Hankel matrices arise naturally in a wide range of applications in science, engineering, and other related areas, such as signal processing and control theory. For a survey of Hankel matrices and polynomials, the reader is referred to [7,8] and the references therein.

The Hankel determinant $\mathcal{H}_{q,k}(l)$ $(q, k \in \mathbb{N})$ for a function $l \in S$ of the form (1) was defined by Pommerenke (see [9,10]) as

$$\mathcal{H}_{q,k}(l) = \begin{vmatrix} m_k & m_{k+1} & \cdots & m_{k+q-1} \\ m_{k+1} & m_{k+2} & \cdots & m_{k+q} \\ \vdots & \vdots & & \vdots \\ m_{k+q-1} & m_{k+q} & \cdots & m_{k+2q-2} \end{vmatrix} \quad (m_1 = 1).$$

For fixed integer q and k, the growth of $\mathcal{H}_{q,k}(l)$ has been studied for different subfamilies of univalent functions. These studies focus on the main subclasses of certain holomorphic functions. In fact, the majority of papers discuss the determinants $\mathcal{H}_{2,2}(l)$ and $\mathcal{H}_{3,1}(l)$. Case $\mathcal{H}_{2,1}(l) = m_3 - m_2^2$ is also very well known. In the year 1933, Fekete and Szegö (see [11]) obtained a sharp bound of the function $m_3 - \mu m_2^2$ with real $\mu \in \mathbb{R}$ for a univalent function l. For $\mu \in \mathbb{C}$ this functional was generalized as $|m_3 - \mu m_2^2|$. Estimating for the upper bound of $|m_3 - \mu m_2^2|$ is known as the Fekete-Szegö problem, (see [12–14]). The second Hankel determinant $\mathcal{H}_{2,2}(l)$ is given by $\mathcal{H}_{2,2}(l) = m_2m_4 - m_3^2$. In recent years, the research on Hankel determinants has focused on the estimation of $|\mathcal{H}_{2,2}(l)|$. Several authors obtained results for different classes of univalent functions. For example, the sharp bounds for the second Hankel determinant $\mathcal{H}_{2,2}(l)$ were obtained for the classes of starlike and convex functions in [15–18]. Lee et al. [19] established the sharp bound for $|\mathcal{H}_{2,2}(l)|$ by generalizing their classes by means of the principle of subordination between holomorphic functions. Our main focus in this investigation is for the class $\mathcal{SL}^*(u, v, \alpha)$ on the Hankel determinant $\mathcal{H}_{3,1}(l)$. The calculation of $|\mathcal{H}_{3,1}(l)|$ is far more challenging compared to finding the bound of $|\mathcal{H}_{2,2}(l)|$. Further, in this work, we find the sharp bounds for $|\mathcal{H}_{2,2}(l)|$, when $l \in S\mathcal{L}^*(u, v, \alpha)$, $\alpha \in [0, 1)$, together with the sharp bound of the functional

$$\mathcal{Z} = |m_2 m_3 - m_4|,$$

when $l \in SL^*(u, v, \alpha)$ and $\alpha \in [0, 1)$.

2. Preliminary Lemmas

Some preliminary results required in the following section are now listed.

Lemma 1 ([20]). Suppose that \mathcal{P} denotes the family of holomorphic functions t normalized by

$$t(\varsigma) = 1 + t_1 \varsigma + t_2 \varsigma^2 + \dots$$
(11)

and satisfying the condition $Re(t(\varsigma)) > 0, \varsigma \in \Omega$. Then, for any $\eta \in \mathbb{R}$,

$$\left| t_2 - \eta t_1^2 \right| \le \begin{cases} -4\eta + 2, & \eta < 0\\ 2, & 0 \le \eta \le 1\\ 4\eta - 2, & \eta \ge 1 \end{cases}$$
(12)

The equality holds true in (12) if and only if

$$t(\varsigma) = \frac{1+\varsigma}{1-\varsigma}$$

or one of its rotations, when $\eta < 0$ or $\eta > 1$. If $0 < \eta < 1$, then the equality holds true in (12) if and only if

$$t(\varsigma) = \frac{1+\varsigma^2}{1-\varsigma^2}$$

or one of its rotations. If $\eta = 0$, the equality holds true in (12) if and only if

$$t(\varsigma) = \left(\frac{1+\delta}{2}\right)\frac{1+\varsigma}{1-\varsigma} + \left(\frac{1-\delta}{2}\right)\frac{1-\varsigma}{1+\varsigma}, \quad 0 \le \delta \le 1$$

or one of its rotations. If $\eta = 1$, then the equality in (12) holds true if $t(\varsigma)$ is a reciprocal of one of the functions, such that the equality holds true in the case when $\eta = 0$.

Lemma 2 ([21]). Assume that $t \in \mathcal{P}$ is the form Equation (2), and $\eta \in \mathbb{C}$, we have

$$\left|t_2 - \eta t_1^2\right| \le 2 \max\{1, |1 - 2\eta|\}.$$

Lemma 3 ([22,23]). *If* $t \in P$ *and has the form* (11) *then*

$$2t_2 = t_1^2 + x(4 - t_1^2)$$

for some x, $|x| \leq 1$ *and*

$$4t_3 = t_1^3 + 2(4 - t_1^2)t_1x - (4 - t_1^2)t_1x^2 + 2(4 - t_1^2)(1 - |x|^2)\varsigma$$

for some ζ , $|\zeta| \leq 1$.

Lemma 4 ([24]). *If* $t \in P$ *and has the form* (11)*, then*

$$|t_k| \leq 2 \quad (k \in \mathbb{N})$$

and the inequality is sharp.

3. Main Results

In the remainder of this work, we will assume that $u \ge v > 0$ until explicitly stated otherwise.

We now prove our first result asserted by Theorem 1 below.

Theorem 1. *If the function l, given by* (1) *belongs to the class* $S^*(u, v, \alpha)$ *, then* $\mu \in \mathbb{R}$ *, we have*

$$\left| m_3 - \mu m_2^2 \right| \le \begin{cases} \frac{1}{16} \left(\frac{v(v+1)}{u(u+1)(1-\alpha)(3-2\alpha)} - \mu \frac{v^2}{u^2(1-\alpha)^2} \right) & \mu \le -\frac{3u(v+1)(1-\alpha)}{v(u+1)(3-2\alpha)} \\ \frac{1}{4} \frac{v(v+1)}{u(u+1)(1-\alpha)(3-2\alpha)}, & -\frac{3u(v+1)(1-\alpha)}{v(u+1)(3-2\alpha)} \le \mu \le \frac{5u(v+1)(1-\alpha)}{v(u+1)(3-2\alpha)} \\ \frac{1}{16} \left(-\frac{v(v+1)}{u(u+1)(1-\alpha)(3-2\alpha)} + \mu \frac{v^2}{u^2(1-\alpha)^2} \right), & \mu \ge \frac{5u(v+1)(1-\alpha)}{v(u+1)(3-2\alpha)} \end{cases}$$

Proof. From Equation (9), it follows that

$$\frac{\varsigma\tau'(\varsigma)}{\tau(\varsigma)} \prec \Phi(\varsigma)$$

Define the function first,

$$t(\varsigma) = 1 + \sum_{k=1}^{\infty} t_k \varsigma^k = \frac{1 + w(\varsigma)}{1 - w(\varsigma)}.$$

Since $t \in \mathcal{P}$,

$$w(\varsigma) = \frac{t(\varsigma) - 1}{t(\varsigma) + 1}.$$

Using Equation (9), we have

$$\frac{\varsigma\tau'(\varsigma)}{\tau(\varsigma)} = \Phi(w(\varsigma))$$

Now as

$$\left[\frac{2t(\varsigma)}{1+t(\varsigma)}\right]^{\frac{1}{2}} = \left[2 - \frac{2}{1+t(\varsigma)}\right]^{\frac{1}{2}},$$

so, we have

$$\left[\frac{2t(\varsigma)}{1+t(\varsigma)} \right]^{\frac{1}{2}} = 1 + \frac{1}{4}t_1\varsigma + \left(\frac{1}{4}t_2 - \frac{5}{32}t_1^2 \right)\varsigma^2 + \left(\frac{1}{4}t_3 - \frac{5}{16}t_1t_2 + \frac{13}{128}t_1^3 \right)\varsigma^3 \\ + \left(\frac{19}{8}t_1t_3 - \frac{3}{2}t_4 + \frac{361}{512}t_1^4 + \frac{9}{8}t_2^2 - \frac{34}{16}t_1^2t_2 \right)\varsigma^4 + \dots$$

Similarly,

$$\frac{\zeta \tau'(\zeta)}{\tau(\zeta)} = 1 + Q_2 m_2 \zeta + \left(2Q_3 m_3 - Q_2^2 m_2^2\right) \zeta^2 + \left(3Q_4 m_4 + Q_2^3 m_2^3 - 3Q_2 Q_3 m_2 m_3\right) \zeta^3 + \left(4Q_5 m_5 - 4Q_2 Q_4 m_2 m_4 - 2Q_3^2 m_3^2 - Q_2^4 m_2^4 + 4Q_2^2 Q_3 m_2^2 m_3\right) \zeta^4 + \dots$$

where

$$\begin{aligned} Q_2 &= \frac{u}{v}(2-2\alpha), \\ Q_3 &= \frac{u(u+1)}{2v(v+1)}(2-2\alpha)(3-2\alpha), \\ Q_4 &= \frac{u(u+1)(u+2)}{6v(v+1)(v+2)}(2-2\alpha)(3-2\alpha)(4-2\alpha), \\ Q_5 &= \frac{u(u+1)(u+2)(u+2)}{24v(v+1)(v+2)(v+2)}(2-2\alpha)(3-2\alpha)(4-2\alpha)(5-2\alpha). \end{aligned}$$

Thus,

$$m_2 = \frac{v}{4u(2-2\alpha)} t_1,$$
 (13)

$$m_3 = \frac{v(v+1)}{u(u+1)(2-2\alpha)(3-2\alpha)} \left[\frac{1}{4}t_2 - \frac{3}{32}t_1^2\right],\tag{14}$$

$$m_4 = \frac{v(v+1)(v+2)}{u(u+1)(u+2)(2-2\alpha)(3-2\alpha)(4-2\alpha)} \left[\frac{1}{2}t_3 - \frac{7}{16}t_1t_2 + \frac{13}{128}t_1^3\right]$$
(15)

and

$$m_5 = \frac{v(v+1)(v+2)(v+3)}{u(u+1)(u+2)(u+3)(2-2\alpha)(3-2\alpha)(4-2\alpha)(5-2\alpha)} \left[\frac{19}{8}t_1t_3 - \frac{3}{2}t_4 + \frac{361}{512}t_1^4 + \frac{9}{8}t_2^2 - \frac{34}{16}t_1^2t_2\right].$$
 (16)

We now have the following using the Equations (13) and (14):

$$m_{3} - \mu m_{2}^{2} = \frac{v(v+1)}{u(u+1)(2-2\alpha)(3-2\alpha)} \left[\frac{1}{4}t_{2} - \frac{3}{32}t_{1}^{2} \right] - \mu \frac{v^{2}}{16u^{2}(2-2\alpha)^{2}}t_{1}^{2},$$

$$\left| m_{3} - \mu m_{2}^{2} \right| \leq \frac{v(v+1)}{8u(u+1)(1-\alpha)(3-2\alpha)} \left| t_{2} - \frac{1}{8} \left(\mu \frac{v(u+1)(3-2\alpha)}{u(1-\alpha)(v+1)} + 3 \right) t_{1}^{2} \right|.$$
(17)

We obtained the required result by applying Lemma 1 to Equation (17). This completes the proof of Theorem 1. \Box

Theorem 2. If the function *l*, given by (1), belongs to the class $SL^*(u, v, \alpha)$, then $\mu \in \mathbb{C}$, we have

$$\left|m_3 - \mu m_2^2\right| \le \frac{v(v+1)}{4u(u+1)(1-\alpha)(3-2\alpha)} \max\left\{1, \left|\frac{1}{4}\mu \frac{v(u+1)(3-2\alpha)}{u(v+1)(1-\alpha)} - \frac{1}{4}\right|\right\}.$$

Proof. By making use of Equations (13) and (14), we have

$$\begin{split} m_3 - \mu m_2^2 &= \frac{v(v+1)}{u(u+1)(2-2\alpha)(3-2\alpha)} \left[\frac{1}{4} t_2 - \frac{3}{32} t_1^2 \right] - \mu \frac{v^2}{16u^2(2-2\alpha)^2} t_1^2, \\ \left| m_3 - \mu m_2^2 \right| &\leq \frac{v(v+1)}{8u(u+1)(1-\alpha)(3-2\alpha)} \left| t_2 - \frac{1}{8} \left(\mu \frac{v(u+1)(3-2\alpha)}{u(v+1)(1-\alpha)} + 3 \right) t_1^2 \right| \end{split}$$

therefore, using Lemma 2, we obtain the result,

$$\left| m_3 - \mu m_2^2 \right| \le \frac{v(v+1)}{4u(u+1)(2-2\alpha)(3-2\alpha)} \max\left\{ 1, \left| \frac{1}{4} \mu \frac{v(u+1)(3-2\alpha)}{u(v+1)(1-\alpha)} - \frac{1}{4} \right| \right\}.$$

Thus, the proof of Theorem 2 is completed. \Box

For the case $\mu \in \mathbb{C}$ and u = v in Theorem 2, this reduces to the following result.

Corollary 1. Let $\alpha \in [0,1)$ and $\mu \in \mathbb{C}$. If the function *l*, given by (1), belongs to the class $SL^*(u, u, \alpha) = SL^*(u, \alpha)$, then

$$\left| m_3 - \mu m_2^2 \right| \le \frac{1}{4(1-\alpha)(3-2\alpha)} \max\left\{ 1, \left| \frac{1}{4} \mu \frac{(3-2\alpha)}{(1-\alpha)} - \frac{1}{4} \right| \right\}$$

and the inequality is sharp.

4. The Hankel Determinant $\mathcal{H}_{2,2}(l)$

In this section, we find the sharp bound for the modulus of the second Hankel determinant $\mathcal{H}_{2,2}(l) = m_2 m_4 - m_3^2$, when $l \in S\mathcal{L}^*(u, v, \alpha)$.

Theorem 3. If the function *l*, given by (1), belongs to the class $SL^*(u, v, \alpha)$, then

$$\left|m_2m_4-m_3^2\right| \leq \frac{v^2(v+1)^2}{16u^2(u+1)^2(1-\alpha)^2(3-2\alpha)^2}.$$

Proof. Using the Equations (13)–(15), we obtain the following

$$m_2 m_4 - m_3^2 = \left(\frac{v}{4u(2-2\alpha)}t_1\right) \left\{ \frac{v(v+1)(v+2)}{u(u+1)(u+2)(2-2\alpha)(3-2\alpha)(4-2\alpha)} \left[\frac{1}{2}t_3 - \frac{7}{16}t_1t_2 + \frac{13}{128}t_1^3\right] \right\} \\ - \left[\frac{v(v+1)}{u(u+1)(2-2\alpha)(3-2\alpha)} \left(\frac{1}{4}t_2 - \frac{3}{32}t_1^2\right)\right]^2.$$

After simplification, we have

$$\begin{split} m_2 m_4 - m_3^2 &= \frac{v^2 (v+1)}{12,288 u^2 (u+1)(2-2\alpha)^2 (3-2\alpha)} \bigg\{ \frac{1536 (v+2)}{(u+2)(4-2\alpha)} t_1 t_3 - \frac{768 (v+1)}{(u+1)(3-2\alpha)} t_2^2 \\ &+ \bigg(\frac{576 (v+1)}{(u+1)(3-2\alpha)} - \frac{1344 (v+2)}{(u+2)(4-2\alpha)} \bigg) t_1^2 t_2 + \bigg(\frac{312 (v+2)}{(u+2)(4-2\alpha)} - \frac{108 (v+1)}{(u+1)(3-2\alpha)} \bigg) t_1^4 \bigg\} \end{split}$$

By substituting values of t_2 and t_3 from Lemma 3, after some simplification, we arrive at

$$\begin{split} m_2 m_4 - m_3^2 &= \frac{v^2 (v+1)}{12,288 u^2 (u+1)(2-2\alpha)^2 (3-2\alpha)} \bigg\{ \bigg(\frac{312 (v+2)}{(u+2)(4-2\alpha)} - \frac{108 (v+1)}{(u+1)(3-2\alpha)} \bigg) t_1^4 \\ &+ \frac{384 (v+2)}{(u+2)(4-2\alpha)} t_1 \bigg[t_1^3 + 2t_1 \bigg(4 - t_1^2 \bigg) x - t_1 \bigg(4 - t_1^2 \bigg) x^2 + 2 \bigg(4 - t_1^2 \bigg) \bigg(1 - |x|^2 \varsigma \bigg) \bigg] \\ &+ \bigg(\frac{288 (v+1)}{(u+1)(3-2\alpha)} - \frac{672 (v+2)}{(u+2)(4-2\alpha)} \bigg) t_1^2 \bigg[t_1^2 + \bigg(4 - t_1^2 \bigg) x \bigg] \\ &- \frac{192 (v+1)}{(u+1)(3-2\alpha)} \bigg[t_1^2 + \bigg(4 - t_1^2 \bigg) x \bigg]^2 \bigg\}. \end{split}$$

Now, taking the module and replacing |x| by ρ and t_1 by t, we have

$$\begin{aligned} \left| m_{2}m_{4} - m_{3}^{2} \right| &\leq \frac{v^{2}(v+1)}{12,288u^{2}(u+1)(2-2\alpha)^{2}(3-2\alpha)} \left\{ \left(\frac{12(v+2)}{(u+2)(2-\alpha)} - \frac{12(v+1)}{(u+1)(3-2\alpha)} \right) t^{4} \\ &+ \left(\frac{96(v+1)}{(u+1)(3-2\alpha)} - \frac{48(v+2)}{(u+2)(2-\alpha)} \right) t^{2} \left(4 - t^{2} \right) \rho + \frac{384(v+2)}{(u+2)(2-\alpha)} t \left(4 - t^{2} \right) \\ &+ \left(\frac{192(v+2)}{(u+2)(2-\alpha)} t^{2} + \frac{384(v+2)}{(u+2)(2-\alpha)} t + \frac{192(v+1)}{(u+1)(3-2\alpha)} \left(4 - t^{2} \right) \right) \rho^{2} \left(4 - t^{2} \right) \right\} \\ &= F(t,\rho). \end{aligned}$$

(18)

Upon differentiating both sides (18) with respect to ρ , we obtain

$$\begin{aligned} \frac{\partial F(t,\rho)}{\partial \rho} &= \frac{v^2(v+1)}{12,288u^2(u+1)(2-2\alpha)^2(3-2\alpha)} \bigg\{ \bigg(\frac{96(v+1)}{(u+1)(3-2\alpha)} - \frac{48(v+2)}{(u+2)(2-\alpha)} \bigg) t^2 \Big(4 - t^2 \Big) \\ &+ \bigg(\frac{192(v+2)}{(u+2)(2-\alpha)} t^2 + \frac{384(v+2)}{(u+2)(2-\alpha)} t + \frac{192(v+1)}{(u+1)(3-2\alpha)} \Big(4 - t^2 \Big) \bigg) 2\rho \Big(4 - t^2 \Big) \bigg\}. \end{aligned}$$
It is clear that
$$\frac{\partial F(t,\rho)}{\partial \rho} > 0,$$

which show that $F(t, \rho)$ is an increasing function of ρ on the closed interval [0, 1]. This implies that the maximum value occurs at $\rho = 1$. This implies that

$$\max\{F(t, \rho)\} = F(t, 1) = G(t).$$

We now observe that

$$G(t) = \frac{v^{2}(v+1)}{12,288u^{2}(u+1)(2-2\alpha)^{2}(3-2\alpha)} \left\{ \left(\frac{12(v+2)}{(u+2)(2-\alpha)} - \frac{12(v+1)}{(u+1)(3-2\alpha)} \right) t^{4} + \left(\frac{96(v+1)}{(u+1)(3-2\alpha)} - \frac{48(v+2)}{(u+2)(2-\alpha)} \right) t^{2} \left(4 - t^{2} \right) + \frac{384(v+2)}{(u+2)(2-\alpha)} t \left(4 - t^{2} \right) + \left(\frac{192(v+2)}{(u+2)(2-\alpha)} t^{2} + \frac{384(v+2)}{(u+2)(2-\alpha)} t + \frac{192(v+1)}{(u+1)(3-2\alpha)} \left(4 - t^{2} \right) \right) \left(4 - t^{2} \right) \right\}.$$

$$(19)$$

Differentiating (19) with respect to *t*, we obtain

$$G'(t) = \frac{v^2(v+1)}{12,288u^2(u+1)(2-2\alpha)^2(3-2\alpha)} \bigg\{ 4\bigg(\frac{84(v+1)}{(u+1)(3-2\alpha)} - \frac{132(v+2)}{(u+2)(2-\alpha)}\bigg)t^3 - \frac{96(v+2)}{(u+2)(2-\alpha)}t^2 + 16\bigg(\frac{72(v+2)}{(u+2)(2-\alpha)} - \frac{144(v+1)}{(u+1)(3-2\alpha)}\bigg)t + \frac{3072(v+2)}{(u+2)(2-\alpha)}\bigg\}.$$

Differentiating again above equation with respect to *t*, we have

$$G''(t) = \frac{v^2(v+1)}{12,288u^2(u+1)(2-2\alpha)^2(3-2\alpha)} \bigg\{ 12\bigg(\frac{84(v+1)}{(u+1)(3-2\alpha)} - \frac{132(v+2)}{(u+2)(2-\alpha)}\bigg)t^2 - \frac{192(v+2)}{(u+2)(2-\alpha)}t + 16\bigg(\frac{72(v+2)}{(u+2)(2-\alpha)} - \frac{144(v+1)}{(u+1)(3-2\alpha)}\bigg)\bigg\}.$$

For t = 0, $(t \in [0, 2])$ shows that the maximum value of G(t) occurs at t = 0. Hence, we obtain,

$$\left|m_2m_4-m_3^2\right| \leq \frac{v^2(v+1)^2}{16u^2(u+1)^2(1-\alpha)^2(3-2\alpha)^2}$$

Thus, the proof of Theorem 3 is completed. \Box

Upon setting u = v in Theorem 3, we are led to the following results, respectively:

Corollary 2. Let $\alpha \in [0, 1)$. If the function *l*, given by (1), belongs to the class $SL^*(u, u, \alpha) = SL^*(u, \alpha)$, then

$$\left| m_2 m_4 - m_3^2 \right| \le \frac{1}{16(1-\alpha)^2 (3-2\alpha)^2}$$

and the inequality is sharp.

If we choose $\alpha = 0$ in Corollary 2, we obtain the following corollary.

Corollary 3. Let $\alpha \in [0, 1)$. If the function *l*, given by (1), belongs to the class $SL^*(u, u, 0) = SL^*(u)$, then

$$\left| m_2 m_4 - m_3^2 \right| \le \frac{1}{144}$$

and the inequality is sharp.

5. The Zalcman Functional

In this section, we prove the following theorem on the upper bound estimate of the Zalcman functional $|m_2m_3 - m_4|$, noting that a non-sharp inequality was found in [25–29].

Theorem 4. *If the function l, given by (1), belongs to the class* $SL^*(u, v, \alpha)$ *, then*

$$|m_2m_3 - m_4| \le \frac{v(v+1)(v+2)}{4u(u+1)(u+2)(1-\alpha)(2-\alpha)(3-2\alpha)}.$$

Proof. Using the values given in (13)–(15) we have

$$m_2 m_3 - m_4 = \left(\frac{v}{4u(2-2\alpha)}t_1\right) \left[\frac{v(v+1)}{u(u+1)(2-2\alpha)(3-2\alpha)} \left(\frac{1}{4}t_2 - \frac{3}{32}t_1^2\right)\right] \\ - \left\{\frac{v(v+1)(v+2)}{u(u+1)(u+2)(2-2\alpha)(3-2\alpha)(4-2\alpha)} \left[\frac{1}{2}t_3 - \frac{7}{16}t_1t_2 + \frac{13}{128}t_1^3\right]\right\}.$$

By substituting values of t_2 and t_3 from Lemma 3, after some simplification, we have

$$\begin{split} m_2 m_3 - m_4 &= \frac{v(v+1)}{u(u+1)(2-2\alpha)(3-2\alpha)} \bigg\{ \frac{v}{4u(2-2\alpha)} \bigg(\frac{1}{4} t_1 t_2 - \frac{3}{32} t_1^3 \bigg) \\ &- \frac{(v+2)}{(u+2)(4-2\alpha)} \bigg[\frac{1}{2} t_3 - \frac{7}{16} t_1 t_2 + \frac{13}{128} t_1^3 \bigg] \bigg\} \\ &= \frac{v(v+1)}{u(u+1)(2-2\alpha)(3-2\alpha)} \bigg\{ \frac{1}{32} \frac{v}{u(2-2\alpha)} t_1 \bigg[t_1^2 + \bigg(4 - t_1^2 \bigg) x \bigg] - \frac{3v}{128u(2-2\alpha)} t_1^3 \bigg] \\ &- \frac{1}{8} \frac{(v+2)}{(u+2)(4-2\alpha)} \bigg[t_1^3 + 2t_1 \bigg(4 - t_1^2 \bigg) x - t_1 \bigg(4 - t_1^2 \bigg) x^2 + 2 \bigg(4 - t_1^2 \bigg) \bigg(1 - |x|^2 \varsigma \bigg) \bigg] \\ &+ \frac{7(v+2)}{32(u+2)(4-2\alpha)} t_1 \bigg[t_1^2 + \bigg(4 - t_1^2 \bigg) x \bigg] - \frac{13(v+2)}{128(u+2)(4-2\alpha)} t_1^3 \bigg\}. \end{split}$$

Using Lemma 3, and since $t_1 \le 2$ by Lemma 4, let $t_1 = t$ and assume, without restriction, that $t \in [0, 2]$. By using the triangle inequality with $\rho = |x|$, we arrive at

$$\begin{aligned} |m_2 m_3 - m_4| &\leq \frac{v(v+1)}{768u(u+1)(2-2\alpha)(3-2\alpha)} \bigg\{ \bigg(\frac{3v}{u(1-\alpha)} - \frac{3v}{(u+2)(2-\alpha)} \bigg) t^3 \\ &+ \bigg(\frac{12v}{u(1-\alpha)} - \frac{12(v+2)}{(u+2)(2-\alpha)} \bigg) t \bigg(4 - t^2 \bigg) \rho \\ &+ \bigg(\frac{96(v+2)}{(u+2)(2-\alpha)} + \frac{96(v+2)}{(u+2)(2-\alpha)} \rho^2 + \frac{48(v+2)}{(u+2)(2-\alpha)} t \rho^2 \bigg) \bigg(4 - t^2 \bigg) \bigg\} \\ &= F_1(t,\rho). \end{aligned}$$

Differentiating $F_1(t, \rho)$ with respect to ρ , we have

$$F_{1}'(\rho) = \frac{v(v+1)}{768u(u+1)(2-2\alpha)(3-2\alpha)} \left\{ \left(\frac{12v}{u(1-\alpha)} - \frac{12(v+2)}{(u+2)(2-\alpha)} \right) t \left(4 - t^{2} \right) + \frac{192(v+2)}{(u+2)(2-\alpha)} \rho \left(4 - t^{2} \right) + \frac{96(v+2)}{(u+2)(2-\alpha)} t \rho \left(4 - t^{2} \right) \right\}$$

> 0.

This implies that $F_1(t, \rho)$ is an increasing function of ρ on the closed interval [0, 1]. Hence, $F_1(\rho) \leq F_1(0)$ for all $\rho \in [0, 1]$, that is

$$\begin{split} F_1(\rho) &= \frac{v(v+1)}{768u(u+1)(2-2\alpha)(3-2\alpha)} \left\{ \left(\frac{3v}{u(1-\alpha)} - \frac{3v}{(u+2)(2-\alpha)} \right) t^3 \right. \\ &+ \frac{96(v+2)}{(u+2)(2-\alpha)} \left(4 - t^2 \right) \right\} \\ &= G_1(t). \end{split}$$

Differentiating $G_1(t)$ with respect to *t*, we have

$$G_{1}'(t) = \frac{v(v+1)}{768u(u+1)(2-2\alpha)(3-2\alpha)} \left\{ \left(\frac{9v}{u(1-\alpha)} - \frac{9v}{(u+2)(2-\alpha)} \right) t^{2} - \frac{192(v+2)}{(u+2)(2-\alpha)} t \right\}.$$

Again, differentiating the above equation with respect to *t*, we have

$$G_1''(t) = \frac{v(v+1)}{768u(u+1)(2-2\alpha)(3-2\alpha)} \left\{ \left(\frac{18v}{u(1-\alpha)} - \frac{18v}{(u+2)(2-\alpha)} \right) t - \frac{192(v+2)}{(u+2)(2-\alpha)} \right\}$$

< 0.

Since $t \in [0, 2]$, by the assumption, it follows that $G_1(t)$ attains maximum at t = 0, which corresponds to $\rho = 0$, and it is the desired upper bound. Hence, we obtain

$$|m_2m_3 - m_4| \le \frac{v(v+1)(v+2)}{4u(u+1)(u+2)(1-\alpha)(2-\alpha)(3-2\alpha)}$$

The proof of Theorem 4 is thus completed. \Box

If we put u = v in Theorem 4, we have the following results, respectively:

Corollary 4. Let $\alpha \in [0, 1)$. If the function *l*, given by (1), belongs to the class $SL^*(u, u, \alpha) = SL^*(u, \alpha)$, then

$$|m_2m_3 - m_4| \le \frac{1}{4(1-\alpha)(2-\alpha)(3-2\alpha)}$$

and the inequality is sharp.

If we choose $\alpha = 0$ in Corollary 4, we arrive at the following result.

Corollary 5. Let $\alpha \in [0, 1)$. If the function *l*, given by (1), belongs to the class $SL^*(u, u, 0) = SL^*(u)$, then

$$|m_2m_3 - m_4| \le \frac{1}{24}$$

and the inequality is sharp.

Theorem 5. If the function *l*, given by (1), belongs to the class $SL^*(u, v, \alpha)$, then

$$\begin{aligned} |H_3(1)| &\leq \frac{v^2(v+1)^2}{512u^2(u+1)^2(1-\alpha)^2(3-2\alpha)^2} \\ &\times \Bigg\{ \frac{2v(v+1)}{u(u+1)(1-\alpha)(3-2\alpha)} + \frac{50(v+2)^2}{(u+2)^2(2-\alpha)^2} + \frac{169(v+2)(v+3)}{(u+2)(u+3)(2-\alpha)(5-2\alpha)} \Bigg\}. \end{aligned}$$

Proof. Since

$$|H_3(1)| \le |m_3| \left| m_2 m_4 - m_3^2 \right| + |m_4| |m_2 m_3 - m_4| + |m_5| \left| m_3 - m_2^2 \right|$$

using the fact that $m_1 = 1$, with Theorems 1, 3 and 4 and Lemma 4, we have the required result

$$\begin{split} H_{3}(1)| &\leq |m_{3}| \Big| m_{2}m_{4} - m_{3}^{2} \Big| + |m_{4}| |m_{2}m_{3} - m_{4}| + |m_{5}| \Big| m_{1}m_{3} - m_{2}^{2} \Big| \\ &= \frac{v(v+1)}{16u(u+1)(1-\alpha)(3-2\alpha)} \Bigg[\frac{1}{16} \bigg(\frac{v(v+1)}{u(u+1)(1-\alpha)(3-2\alpha)} \bigg)^{2} \Bigg] \\ &+ \frac{25v(v+1)(v+2)}{64u(u+1)(u+2)(1-\alpha)(3-2\alpha)(2-\alpha)} \Bigg[\frac{1}{4} \bigg(\frac{v(v+1)(v+2)}{u(u+1)(u+2)(1-\alpha)(2-\alpha)(3-2\alpha)} \bigg) \\ &+ \frac{169v(v+1)(v+2)(v+3)}{128u(u+1)(u+2)(u+3)(1-\alpha)(3-2\alpha)(2-\alpha)(5-2\alpha)} \Bigg[\frac{1}{4} \frac{v(v+1)}{u(u+1)(1-\alpha)(3-2\alpha)} \Bigg] \\ &= \frac{v^{2}(v+1)^{2}}{512u^{2}(u+1)^{2}(1-\alpha)^{2}(3-2\alpha)^{2}} \\ &\times \Bigg\{ \frac{2v(v+1)}{u(u+1)(1-\alpha)(3-2\alpha)} + \frac{50(v+2)^{2}}{(u+2)^{2}(2-\alpha)^{2}} + \frac{169(v+2)(v+3)}{(u+2)(u+3)(2-\alpha)(5-2\alpha)} \Bigg\}. \end{split}$$

The proof of Theorem 5 is thus completed. \Box

If we set
$$u = v$$
 in Theorem 5, we establish the below inequality.

Corollary 6. Let $\alpha \in [0, 1)$. If the function *l*, given by (1), belongs to the class $SL^*(u, u, \alpha) = SL^*(u, \alpha)$, then

$$|H_3(1)| \le \frac{1}{512(1-\alpha)^2(3-2\alpha)^2} \left\{ \frac{2}{(1-\alpha)(3-2\alpha)} + \frac{50}{(2-\alpha)^2} + \frac{169}{(2-\alpha)(5-2\alpha)} \right\}$$

and the inequality is sharp.

6. Conclusions

In the present investigation, we have estimated smaller upper bounds and more accurate estimations for the functionals $|m_3 - \mu m_2^2|$ and $|m_2 m_4 - m_3^2|$ for the class $SL^*(u, v, \alpha)$ of holomorphic functions associated with the Carlson-Shaffer operator in the unit disk.

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