

Proceedings



# Automated Insertion of Objects Into an Acoustic Robotic Gripper<sup>†</sup>

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**Abstract:** Acoustic levitation forces can be used to manipulate small objects and liquid without mechanical contact or contamination. To use acoustic levitation for contactless robotic grippers, automated insertion of objects into the acoustic pressure field is necessary. This work presents analytical models based on which concepts for the controlled insertion of objects are developed. Two prototypes of acoustic grippers are implemented and used to experimentally verify the lifting of objects into the acoustic field. Using standing acoustic waves and by dynamically adjusting the acoustic power, the lifting of high-density objects (>7 g/cm<sup>3</sup>) from acoustically transparent surfaces is demonstrated. Moreover, a combination of different acoustic traps is used to lift lower-density objects from acoustically reflective surfaces. The provided results open up new possibilities for the implementation of acoustic levitation in robotic grippers, which have the potential to be used in a variety of industrial applications.

Keywords: acoustic forces; acoustic levitation; automation; grippers; robotics; ultrasound

## 1. Introduction

Trapping of objects by means of acoustic forces is used in various areas, such as chemistry [1], bioreactors [2,3], blood analysis [4], study of organisms in microgravity [2,5], control of nano-material self-assembly [6], containerless processing [7–9], and to study droplet dynamics [10,11]. The main advantages of acoustic levitation over other methods, such as magnetic levitation [12], are its independence of the material properties of the object and its passive stability.

It is known that standing acoustic waves generated by a single source can be used to levitate objects [13,14]. Recently, new concepts have been developed that use transducer arrays instead of single transducers, which can not only make objects levitate, but also allow their manipulation [15]. To enable such manipulations, a control system capable of controlling the transducers individually and, thereby, adjusting the pressure field is needed.

Acoustic levitation thus allows objects of any material to be transported and positioned without mechanical contact, i.e., with low stress on the object, and without contamination. However, the transport range is limited to the range of the acoustic field. To increase this range, the device that generates the acoustic field can be mounted to a robot arm to form a gripper, as shown in Figures 1 and 2 [16].



**Figure 1.** Double-sided gripper arrangement capable of picking objects with a density of  $>7 \text{ g/cm}^3$  from acoustically transparent surfaces using a horizontal twin trap (HTT). The system is mounted to a robot arm for long-range movements.



**Figure 2.** Single-sided gripper arrangement capable of picking objects from acoustically reflective and transparent surfaces using standing waves and vertical twin traps (VTTs).

The controlled automated insertion of objects into the acoustic field is one of the most challenging tasks required for the implementation of an acoustic gripper. This work demonstrates such an implementation for different environments, namely for an object placed on acoustically transparent and reflective surfaces.

## 2. Materials and Methods

An array of piezoelectric transducers of type MSO-P1040H07T is used to generate the acoustic levitation field. The acoustic pressure generated by the  $j^{\text{th}}$  transducer of the array at a given point in space is calculated as

$$p_j = e^{i\phi} V_{\rm RMS} P_0 \frac{J_0(kr\sin\theta)}{d} e^{ikd},\tag{1}$$

where  $\phi$ ,  $V_{\text{RMS}}$ ,  $P_0$ ,  $J_0$ , d,  $\theta$ , r, and  $k = 2\pi f/c_0$  denote the phase of the transducer excitation signal, the RMS value of the excitation signal, a factor depending on the transducer type, the Bessel function of order zero, the distance of the considered point to the transducer, the beam angle, the radius of

the transducer, and the wave number, respectively [15]. In the latter, f and  $c_0$  denote the excitation frequency and the speed of sound in the considered medium (air), respectively. According to the Gor'kov potential [17], which is a potential function that describes the acoustic forces exerted on a spherical particle with radius a much smaller than the acoustic wavelength  $\lambda$ , the acoustic forces acting on a suspended particle scale proportional to the square of the pressure magnitude, which is, in turn, proportional to the magnitude of the transducer excitation voltage V, yield

$$F \propto |p|^2 \propto V^2. \tag{2}$$

The peak pressure value generated by a piezoelectric electroacoustic transducer is proportional to the deflection of its vibrating element. The deflection is measured normal to the surface in the *x* direction, as shown in Figure 3a, and depends on the charge stored in the piezoelectric element [18]. The current flowing through the piezoelectric element is therefore the relevant electrical quantity for generating pressure. The corresponding equivalent circuit based on a Butterworth–Van Dyke (BVD) model [19] is shown in Figure 3b. Whether a square wave or sinusoidal voltage signal is applied to the transducers has no significant effect on the resulting pressure, since the frequency-dependent impedance of the transducers, as shown in Figure 3c, suppresses the higher-order harmonics of the square wave signal. The relationship between the 40 kHz component of the applied electrical voltage  $V_{40}$  and the resulting peak pressure value  $\hat{p}$  is given by

$$\hat{p} \propto \hat{v} \propto \hat{x} \propto \hat{Q} \propto \hat{i} = \frac{V_{40}}{Z_{40}},\tag{3}$$

where v, Q, i, and  $Z_{40}$  denote the particle velocity of the sound wave, the charge stored in the transducer, the current flowing through the transducer, and the impedance of the transducer at 40 kHz, respectively. The hat notation refers to the peak values of these quantities. The phase of the pressure is modified by adjusting the phase of the transducer excitation signal. The pressure magnitude is modified by adjusting the duty cycle of the square wave voltage signal. By providing an individual excitation signal for each transducer, it is possible to adjust the acoustic power and phase of each transducer individually. The transducers are arranged in arrays of various shapes and held in place by means of a 3D-printed holder.

To achieve a pressure distribution that facilitates levitation of an object in free space, the phase for each transducer has to be chosen such that the pressures generated by the individual transducers constructively superimpose at a focal point corresponding to the desired levitation position. This is achieved by calculating the phases of the individual excitation signals as

$$\varphi = -\angle \left(\frac{P_0}{d_d}e^{i\frac{2\pi f d_d}{c_0}} + R\frac{P_0}{d_r}e^{i\frac{2\pi f d_r}{c_0}}\right),\tag{4}$$

where the reflection coefficient of an acoustically reflective surface in the acoustic field R = 0 [15]. The factor  $P_0 = 0.26 \text{ Pa} \cdot \text{m/V}_{\text{RMS}}$  for the transducers used in this work [20]. Further,  $d_d$  and  $d_r$  denote the distance between the transducer and the focal point for the direct and reflected acoustic wave (see Figure 4), respectively. An acoustic trap is then created by adding a phase signature, which depends on the type of trap, to the phases that are used to generate the focal point [15,21]. In this work, mainly twin traps are used to trap the levitating objects. These are generated by applying a phase shift of 180° to one half of the transducers in the array. Separating the transducers of the array by a horizontal plane results in a trap subsequently referred to as a horizontal twin trap (HTT). Separating the two halves by a vertical plane accordingly results in a vertical twin trap (VTT).



**Figure 3.** MSO-P1040H07T piezoelectric transducer: (a) Annotated rendering of the mechanical structure. (b) Electrical equivalent circuit diagram Butterworth–Van Dyke (BVD) model showing the resonance paths at  $f_0 = 40$  kHz and  $f_1 = 55$  kHz. (c) Measured magnitude of the impedance and fitted BVD model.

If an acoustically reflective surface is present within the acoustic field, the pressure generated by a single transducer at a point contains an additional component caused by the reflected wave ( $R \neq 0$ ). The total pressure oscillation has the same frequency as the pressure oscillation without the reflection, but the phase and magnitude are different. This can be illustrated by means of a pointer diagram, as shown in Figure 4. If the direct pressure component  $p_d$  is constructively superimposed with the reflected pressure component  $p_r$ , a higher pressure amplitude is achieved. Due to the change in phase, the control must be adjusted according to Equation (4) with R set to the appropriate value. If the transducer arrangement is shifted relative to the reflecting surface, the influence of the reflected wave on the phase of p changes, which has to be taken into account by the control.

Using the reflection of sound waves on a surface, a standing wave can be formed between the transducers and the surface by focusing the acoustic pressure on the surface. The distance between the oscillating elements of the transducers and the reflecting surface should be a multiple of  $\lambda/2$  to achieve a high resulting pressure [22,23].

A distribution of the maximum attainable pressure (DMAP) describes the distribution that assumes a constructive superposition of all acoustic pressure sources at each point in space. It is calculated for each point by

$$M(x, y, z) = \sum_{j} \left| V_{\text{RMS}} P_0 \left( \frac{J_0(kr\sin\theta_{d,j})}{d_{d,j}} + R \frac{J_0(kr\sin\theta_{r,j})}{d_{r,j}} \right) \right|,$$
(5)

where  $\theta_{d,j}$ ,  $\theta_{r,j}$ ,  $d_{d,j}$ , and  $d_{r,j}$  denote the beam angle of the direct path, the beam angle of the reflected path, the distance of the direct path between the considered point in space (x, y, z) and the transducer, and the distance of the reflected path between (x, y, z) and the transducer for the  $j^{\text{th}}$  transducer, respectively. In environments without reflective surfaces, the DMAP is approximately constant,

i.e., the attainable pressure is approximately the same at each point in space, as shown in Figure 5a. This means that it is possible to focus the pressure at each point.



**Figure 4.** Direct (solid line) and reflected (dashed line) pressure components for a single transducer that are superimposed depending on the relative position of the transducer with respect to the reflecting surface, and total pressure generated by the transducer (green).

Two different transducer arrangements (arrays) are used in this work. The double-sided array consists of two pole caps of a sphere, each equipped with 36 transducers arranged in three rings of 6, 12, and 18 transducers. All transducers are oriented such that they point towards the center of the sphere. This arrangement is shown in Figure 1. The single-sided array, as depicted in Figure 2, has a cylindrical shape. Three rings of 6, 12, and 18 vertically oriented transducers are located at the horizontal top face of the arrangement. On the side walls of the cylinder, three rings of 20 horizontally oriented transducers each are located.

The transducers are controlled by a field-programmable gate array (FPGA) board generating 72 and 96 logic square wave signals for the double-sided and single-sided array, respectively. Resolutions of  $0.5^{\circ}$  and  $\approx 1\%$  are achieved for the phase and duty cycle, respectively. The logic signals are then amplified by gate driver integrated circuits (ICs) and applied to the transducers. The duty cycle and the phase are calculated on a personal computer (PC) for each transducer and transmitted to the FPGA board via a universal asynchronous receiver–transmitter (UART) interface.

#### 3. Results and Discussion

The procedure for automatically inserting an object into the acoustic field depends on the properties of the object and its environment. The higher the density of the object and the lower the transmission coefficient of the surface on which the object is located, the more difficult it is to lift the object off the surface.

As long as the transmission coefficient *T* of the surface is large enough (T > 50%) and there is sufficient space on both sides of the surface, a double-sided arrangement that can generate high forces in the vertical direction can be used. Such surfaces are subsequently referred to as acoustically transparent. If the transmission coefficient is low (T < 50%), the surface is referred to as acoustically reflective. Procedures are presented that allow stable and smooth lifting of objects from acoustically transparent and reflective surfaces.

If the object is located on an acoustically transparent surface, the array is moved close to the object to be picked in the turned-off state. The transducers are then controlled such that the object is located in an acoustic trap. Subsequently, the acoustic power is increased.

The control is identical to that used when the object is levitated at the position of the acoustic trap. The influence of the surface on the control can be neglected if T is high. By adjusting the control, the pressure field is manipulated such that the object moves in the vertical direction and, afterwards,

the arrangement is moved away from the surface. Alternatively, if there are no objects that restrict a vertical movement of the gripper, the arrangement can be moved vertically without adjusting the control and moved away from the surface afterwards.

With this method, the picking of objects with a density >7 g/cm<sup>3</sup> using a double-sided arrangement, as depicted in Figure 1, was demonstrated. In order to improve the repeatability of the process, the acoustic power can be increased continuously during the power-on process, such that no sudden forces are exerted on the object. As the gripper is moved away from the surface, low-frequency disturbances of the pressure field cause vibrations of the levitating object [24]. These vibrations are weakly damped by air friction. The magnitude of these vibrations depends on the magnitude of the transducer excitation signal. In order to minimize vibrations, the acoustic power can be reduced as long as the vertical force is still sufficient to counteract the gravitational force acting on the object.

Compared to picking an object from an acoustically transparent surface, it is more demanding to pick objects from acoustically reflective surfaces. The reflection of incident waves  $Y_i$  on a reflective surface does not cause a phase shift. If the incident wave arrives perpendicular to the surface, the superposition between the incident wave  $Y_i$  and the reflected wave  $Y_r$  causes a minimum to be formed at a distance of  $\lambda/4$  from the surface, as shown by Equations (6)–(8) [22,23].

$$Y_{i}(t,z) = A\sin\left(\omega t - 2\pi \frac{z}{\lambda}\right)$$
(6)

$$Y_{\rm r}(t,z) = A\sin\left(\omega t + 2\pi \frac{z}{\lambda}\right) \tag{7}$$

$$Y_{i}(t,\lambda/4) + Y_{r}(t,\lambda/4) = A\sin(\omega t - \pi/2) + A\sin(\omega t + \pi/2) = 0$$
(8)

If the acoustic sources are located far away from the surface, the acoustic waves are perpendicularly incident upon the surface. The DMAP for transducers on the horizontal top of the single-sided arrangement, which are located at a distance of  $3.5\lambda$  from the reflective surface, is shown in Figure 5b. Due to the minimum being formed at  $z = \lambda/4$  above the reflective surface, it is not possible to focus the pressure around this location, which would be necessary to generate a twin trap.

If the transducers are located closer to the surface, the distance at which destructive superposition occurs deviates from  $\lambda/4$ , and the minimum of the DMAP is attenuated. This is shown for the single-sided arrangement in Figure 5c. With this arrangement, it is possible to focus the pressure for  $z \ge \lambda/2$ . Therefore, a VTT can be generated at  $z = \lambda/2$ , as shown in Figure 6b. The forces generated by this trap pull the object into the acoustic trap if the vertical force generated by this trap is larger than the gravitational force. This is the case at  $z = \lambda/4$  for all objects that can be lifted with this arrangement, as shown in Figure 7.

By generating a standing wave, it is possible to levitate objects in a stable manner at  $z \approx \lambda/4$ , however, without the possibility to move the object vertically. Nevertheless, it is possible to switch from a standing wave to a VTT located at  $z = \lambda/2$  that pulls the object upwards. Taking into account the reflections at the surface, the control can then be adjusted such that the object is moved away from the surface until reflections become negligible and the array can be moved away from the surface without further adjustments of the control. An array for this process requires vertically oriented transducers to generate a standing wave and horizontally oriented transducers to generate a VTT. The forces and force potentials during the process resulting for the single-sided array are shown in Figures 6 and 7.



**Figure 5.** Distribution of maximum attainable pressure (MAP) for (**a**) a single-sided array in free space, (**b**) the top part of the single-sided array with an acoustically reflecting surface at z = 0 exhibiting high gradients that prohibit the generation of arbitrary focal points, and (**c**) the single-sided arrangement with an acoustically reflecting surface at z = 0 with a gradient that allows manipulation above a height of  $z = \lambda/4$ .



**Figure 6.** Force potential for (**a**) a standing wave with a minimum at  $z = \lambda/4$  and VTTs at (**b**)  $z = \lambda/2$ , (**c**) z = 7 mm, and (**d**) z = 9 mm. The potentials are obtained for an acoustically reflective surface at z = 0.



**Figure 7.** Vertical forces for a standing wave with a minimum at  $z = \lambda/4$  and VTTs at  $z = \lambda/2$ , z = 7 mm, and z = 9 mm. The forces are obtained for an acoustically reflective surface at z = 0.

To perform the picking process in a continuous fashion, the power of the vertically oriented transducers is first increased continuously, raising the object smoothly to  $z = \lambda/4$ . Subsequently, using stepwise phase changes, the standing wave is altered to a VTT. Finally, by using small steps to shift the location of the trap in the vertical direction, the object is moved further away from the surface while the stress on the object is minimized.

Based on the presented results, it is possible to use acoustic grippers for process automation. The shown concepts are applicable for different environments of the gripped object and facilitate the minimization of the stress through an object-dependent adjustment of the acoustic power. The flexibility of the presented systems can be extended further by sensors that detect the position of the object.

Picking of objects with a density of  $\rho > 7 \text{ g/cm}^3$  from acoustically transparent surfaces was demonstrated. A gripped steel washer with a diameter of d = 5 mm and a density of  $\rho = 7.8 \text{ g/cm}^3$  is shown in Figure 1. For reflective surfaces, the density of the picked object was limited to  $\rho = 0.25 \text{ g/cm}^3$  due to the small vertical forces generated by VTTs.

The use of transducers that are capable of generating high pressures over a wide range of frequencies would facilitate vertical movements of objects trapped in a standing wave. Using such transducers would allow objects with a higher density to be picked from a reflective surface, which would open up further fields of application.

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#### Abbreviations

The following abbreviations are used in this manuscript:

VTT	Vertical Twin Trap
HTT	Horizontal Twin Trap
DMAP	Distribution of Maximum Attainable Pressure
FPGA	Field-Programmable Gate Array
UART	Universal Asynchronous Receiver-Transmitter
IC	Integrated Circuit
PC	Personal Computer
BVD	Butterworth–Van Dyke

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