



Article

# Controlling Surface Wettability and Plasmonic Resonance of Au/ZnO Heterostructured Films

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**Abstract:** This work investigated the (0002) textured ZnO films without and with the addition of an Au continuous top layer and its effects on their surface wettability and plasmonic resonance characteristics. The ZnO films were directly fabricated onto glass substrates at the synthesized temperature of 300 °C via a plasma-enhanced chemical vapor deposition (PECVD) system, and the as-synthesized ZnO film exhibited an average optical transmittance value of 85%. The ultraviolet (UV) light irradiation can be applied to enhance the hydrophilicity, changing it from a hydrophobic status to hydrophilic status due to the existing and adjustable characteristics of the photocatalytic activity. On the other hand, the surface wetting/contact angle (CA) value of the ZnO film with a controllable surface wettability switched from 94° (hydrophobicity) to 44° (hydrophilicity), after it was exposed to UV light irradiation for 5 min, and stably reversed back to hydrophobicity (92°) via a post-annealed treatment using rapid thermal annealing (RTA) at 350 °C for 5 min in air. A fast, simple, and reversible method for switching between hydrophilic and hydrophobic status is claimed in this present work. The improved surface plasmonic resonance is owing to the coupled electron and photon oscillations that can be obtained and produced at the interface between the flat Au layer and ZnO (metal/metallic oxide) heterostructured films for future applications of various wide-bandgap compound semiconductors.

**Keywords:** Au/ZnO; surface wettability; ultraviolet light irradiation; rapid thermal annealing; surface plasmonic resonance



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## 1. Introduction

A wide range of works in surface wettability and related surface plasmonic resonance of wide-bandgap compound semiconductors have been attractive for various powerful applications, for example, as photodetectors, biosensors, energy harvesters, and related optoelectronic nanogenerators [1–12]. Many multifunctional detectors and sensors have been attractive, due to their one-dimensional (1-D) nanoparticles, and have exhibited difficult questions for their large scale use in the uniform process of producing goods. The surface treatment onto substrates is a simple and fast technique to achieve remarkable surface plasmonic resonance characteristics in the coupled optoelectronic capabilities connected with the underlying platforms [13–17]. The powerful exciton–plasmon coupling in the metal capping layer combined with semiconducting oxides has potentially supplied a technique to strengthen the exciton–plasmon interaction and related particularity for various applied fields [18–20]. The hydrophobic/hydrophilic surface treatments have been controlled by adjusting the surface state via low/high surface energy to produce nano/micro-structured morphology. One of the wide-bandgap compounds, ZnO, is a famous choice for photocatalysis among the semiconductors. ZnO exhibits photocatalytic activity under ultraviolet (UV) light irradiation due to its large bandgap, its surface wettability can be usefully controlled by UV light irradiation [21–25], and the multifunctional ZnO phase is a good choice for self-cleaning surface-coating treatment. The reversible

hydrophobicity/hydrophilicity driven by UV light in ZnO-based composited compounds has been widely applied and confirmed [26–29]. Therefore, the wide-bandgap ZnO compound can be used in UV photodetectors, piezoelectric transducers, light-emitting diodes, transparent thin film transistors, photovoltaic cells, semiconducting gas sensors, biomedical sensors, and so on [30–35].

Due to the above various applications, ZnO has a controllable surface effect, which can offer a remarkable surface wettability and reversible hydrophobic/hydrophilic transformation by using UV light irradiation generated from its intrinsic semiconductor character. It can be understood that the reversible wettability of the ZnO compound was due to the competition of surface chemical characteristics between adsorption and desorption of the rearrangement of hydroxyl groups and organic supply chains on its surface state [36–38]. The photo interactive ZnO has been highly used to display controllable wettability, combining rapid hydrophobic/hydrophilic switching with a controllable contact angle. On the other hand, many fabricated methods on controlling microstructures have been described, which are developed to control the wettability of various functional films. There are various fabricated ways ZnO films have been described, for example, in thermal evaporation, laser molecular beam epitaxy (MBE), pulsed-laser deposition (PLD) with high-power energy, metalorganic vapor phase epitaxy (MOVPE), radio-frequency magnetron sputtering, and plasma-enhanced vapor chemical deposition (PECVD) [39–47]. The PECVD method is suitable for manufacturing large-area uniform ZnO films for the purpose of applying them in future optoelectronic nanodevices and biosensors because it has attracted important attention due to its stable deposition rates and rapid growth of high-quality films. It is well known that the synthesized uniformity of thin/thick films with a large area is caused by various synthesized conditions including synthesized pressure, synthesized temperature, synthesized power, and the distance between the precursor sources and substrate.

This work offers a fast, simple, and reversible way to control the surface wettability of ZnO films from hydrophobicity ( $94^\circ$ ) to hydrophilicity ( $44^\circ$ ) by exposing them to UV light irradiation at room temperature for 5 min and stably reversing them back to hydrophobicity ( $92^\circ$ ) via a post-annealed treatment using rapid thermal annealing (RTA) at  $350^\circ\text{C}$  for 5 min in air. The coupling of surface plasmons and excitons using Au/ZnO heterostructured films has been used to investigate the coupling influence of the metal/metallic oxide heterostructures. This not only offers the potential requirements of ZnO films but also provides a simple way with a wide-bandgap compound semiconductor and the related evolution of flexible and transparent nanodevice development.

## 2. Experiments and Film Structures

The ZnO films were synthesized onto Corning-1737 glass substrates by using a plasma-enhanced chemical vapor deposition (PECVD) system at a synthesized temperature of  $300^\circ\text{C}$  and synthesized pressure of 5 Torr. The substrates were cleaned in acetone and ethanol at first to remove organic contaminants, then ultrasonically rinsed in deionized water, and finally dried in hot air before they were set into the synthesized chamber. The high-vacuum synthesized chamber was pumped down to a pressure of  $3.5 \times 10^{-6}$  torr. The argon gas and its plasma generated from a radio-frequency (RF) generator was used to purge the carbon dioxide ( $\text{CO}_2$ ) and diethylzinc (DEZn), which were used as precursors to supply oxygen and zinc sources, respectively [48–50].

The crystalline preferred orientation and crystallinity in ZnO films were examined by X-ray diffraction analysis (XRD, PANalytical, Almelo, the Netherlands) with Cu  $K\alpha$  radiation ( $\lambda = 1.54 \text{ \AA}$ ) in the  $2\theta$  ranges of  $30\text{--}50^\circ$ . The scan speed was  $0.01^\circ 2\theta/\text{s}$  during the XRD measurement with the proportional counter. The rocking curves for the characterization of the crystal perfection by means of the  $\omega$  scan geometry were paired with a scan speed of  $0.01^\circ \theta/\text{s}$  during measurement. The morphological features on the surface and corresponding elemental composition for ZnO films were analyzed by a scanning electron microscope (SEM, Phenom XL G2, Thermo Scientific, Waltham, MA, USA) and field emission scanning electron microscopy (FE-SEM, Dresden, Germany), respectively.

The measurement and calculation for the contact angle and surface free energy of ZnO films were evaluated with the water wetting/contact angle (CA, Rame-Hart 100 goniometer, Capovani Brothers Inc., Scotia, NY, USA) measurement by using small drops of liquid water onto the surface of each ZnO film. The degree of accuracy of the water contact-angle measurement was induced by the image quality, using a charge-coupled device (CCD) to capture the images of the drops of liquid water (~5  $\mu\text{L}$ ). Then, the processing of the fitting curve function was achieved immediately by using CA software, and the value was evaluated to be approximately  $\pm 1$  degree. At the same time, the related measurement of surface free energy (SFE) for each specimen could also be simultaneously evaluated. The UV light irradiation onto each ZnO specimen was exposed by a 1520  $\text{mW}/\text{cm}^2$  mercury arc lamp (HAMAMATSU-Deuterium L2D2) with a wavelength of 365 nm, and all the ZnO specimens were stored in dark surroundings at air ambient after irradiated with UV light for 5 min. In order to investigate the plasmonic effect, a 15 nm-thick Au layer was determined to deposit onto textured ZnO films, which were denoted as Au/ZnO heterostructured films.

### 3. Results and Discussion

From Figure 1a–c, the plane-view and cross-sectional SEM images of the ZnO specimen clearly show a high density of hexagonal nanograins with columnar structures, respectively. The film thickness of the ZnO specimen was designed and fixed at approximately 250 nm, as shown in Figure 1b. The size of the nanograins had a basically uniform distribution at approximately  $34 \pm 6$  nm, as shown in Figure 1d. Figure 1e shows the typical in-plane  $\theta$ - $2\theta$  X-ray diffraction spectrum for the ZnO specimen. The XRD spectrum confirmed the specimen demonstrates a diffraction peak positioned at approximately  $2\theta = 34.2^\circ$ , which corresponded to the high degree of  $c$ -axis orientation along the ZnO (0002) plane that matched well with the standard diffraction pattern of the wurtzite crystal phase (JCPDS Card: 36-1451). The significant intensity of the diffraction spectra originated from the ZnO (0002) plane and is due to the smallest surface energy in the ZnO (0002) basal plane in the wurtzite crystal structure, contributing to a crystallographic orientation along the [0001] preferred crystalline direction. It can be understood that no peak except the (0002) diffraction angle is obtained in the whole diffraction patterns ( $\theta$ - $2\theta$  scan), demonstrating that the ZnO film did epitaxially synthesize onto the glass substrates. In addition, a detailed analysis of the rocking curves ( $\omega$ ) scan along the ZnO (0002) plane is shown in Figure 1f, and the full width at half maximum (FWHM) value of the rocking curve is  $0.185^\circ$ .

In general, the ZnO columnar structure with  $c$ -axis crystallographic orientation has been most commonly achieved in several fabricated skills including physical/chemical vapor deposition and wet solution methods [51,52]. Many models have been developed to describe the growth mechanism of crystalline preferred orientation, including preferential crystallographic nucleation, nucleated probability on the outermost plane, surface diffusion of atoms, and anisotropic nucleation rate of the various crystallographic planes. The above analysis demonstrates the (0002) textured ZnO films with the preferred polar  $c$ -axis orientation, which belongs to a hexagonal wurtzite structure.

Retaining the quality of the visible transmittance of the nanodevice plays a critical role in the industrial and particular applications, such as solar cells and optoelectronic panel displays. Figure 2 shows the transmission curve for the as-synthesized ZnO film compared with the Corning-1737 glass substrate, respectively. From the transmittance spectra, the as-synthesized ZnO film exhibited enough transparency with an average transmittance value of 85.4%. The equation formula to count  $T_{avg}$  can be described as:

$$T_{avg} = \frac{1}{\lambda_2 - \lambda_1} \int_{\lambda_1}^{\lambda_2} T(\lambda) d\lambda \quad (1)$$

where  $T(\lambda)$  is the transmittance of the ZnO films synthesized onto glass substrates, and  $\lambda_1$  and  $\lambda_2$  are the boundary condition of the lower border and upper border in the wavelengths of the optical measurement. It could be depicted that  $T_{avg}$  was counted using Equation (1)

over the wavelength range from 375 to 825 nm. The columnar ZnO film with the preferred *c*-axis orientation that directly synthesized onto the glass substrate had excellent transparency and showed an average visible light transmittance higher than 80%. It is demonstrated that the hydrophobic (0002) textured ZnO columnar films have great potential to be applied in fields such as current smart window techniques combined with the corresponding wide-bandgap semiconducting, energy harvesting, and optoelectronic devices.

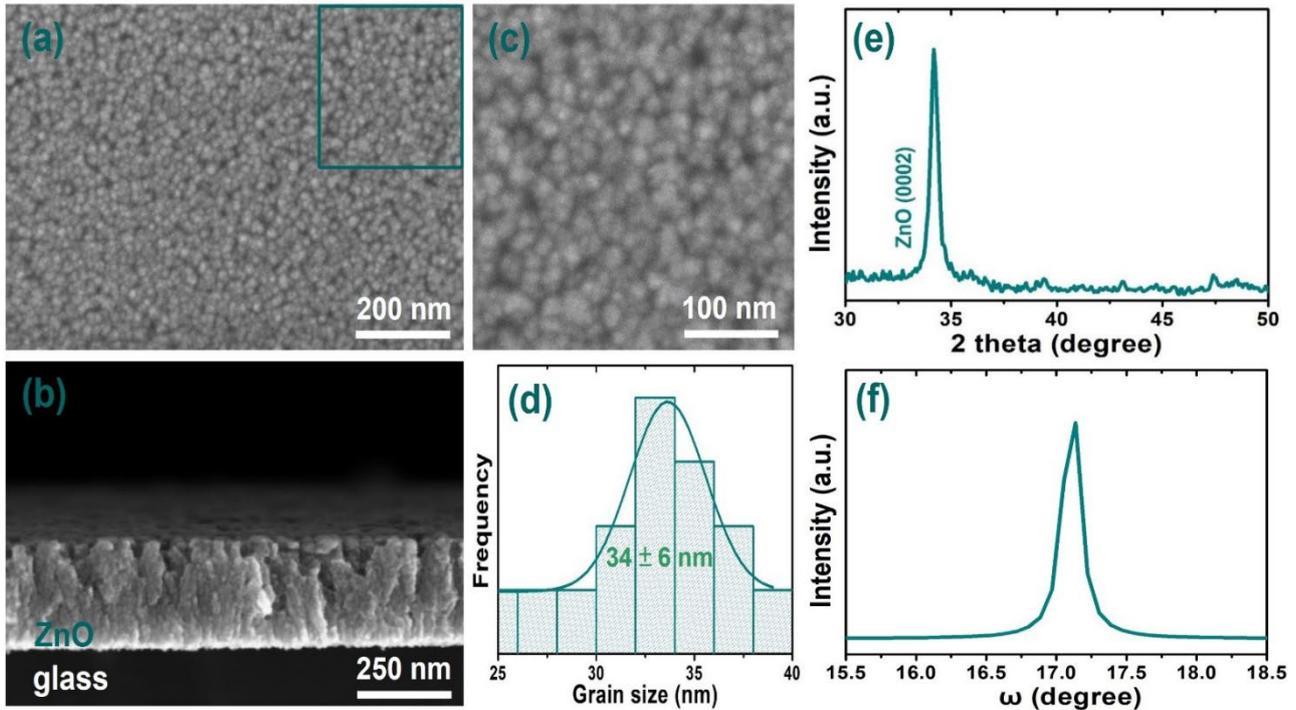


Figure 1. The ZnO film was directly fabricated onto Corning-1737 glass substrates by using a plasma enhanced chemical vapor deposition (PECVD) system at a synthesized temperature of 300 °C. (a) Plane-view SEM image, (b) cross-sectional SEM image, (c) the enlarged area image of (a), (d) calculated average grain size performed via histograms, (e)  $\theta$ - $2\theta$  X-ray diffraction spectrum, and (f) is the corresponding rocking curve ( $\omega$  scan) of the ZnO (0002) peak of (e), respectively.

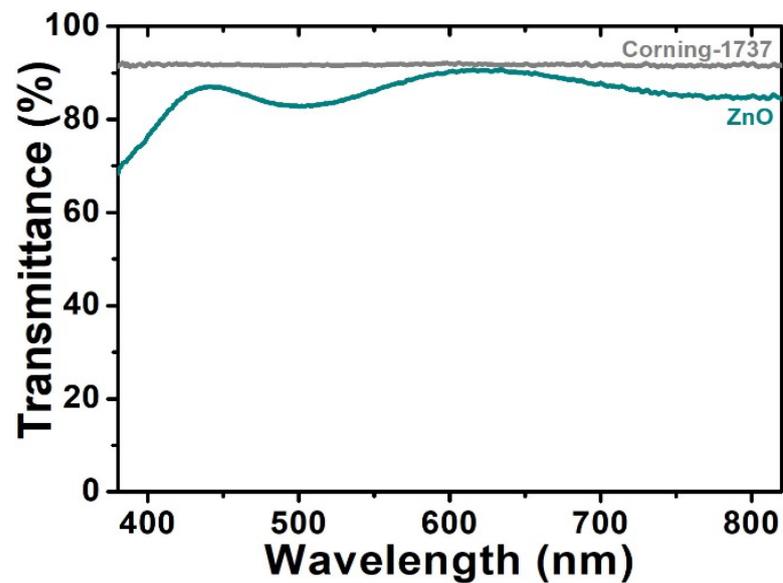
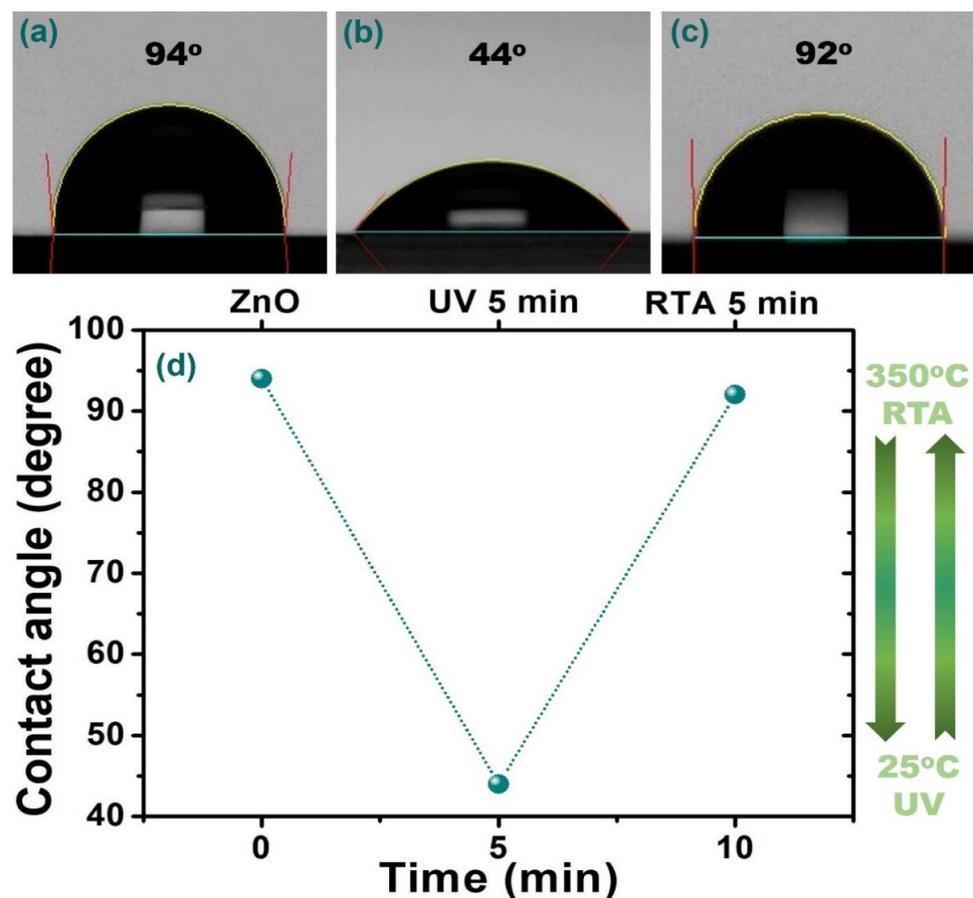


Figure 2. Transmission curve of the as-synthesized ZnO film compared with pure glass substrate.

The surface wetting/water contact angle (CA) is  $94^\circ$  for the as-synthesized ZnO film that shows hydrophobic surface wettability due to the grain size ( $34 \pm 6$  nm) with enough apertures supply more the trapping of oxygen that reduces the contact area between water droplet and surface of ZnO film, as shown in Figures 1c and 3a, respectively. For the purpose of controlling the surface wettability transition of the ZnO film, the specimen was placed under an ultraviolet (UV) light irradiation with a wavelength of 365 nm for 5 min at room temperature, which could supply a higher photon energy than the intrinsic band gap (3.37 eV) of the ZnO phase. It can be seen that the CA value of the ZnO film with UV irradiation for 5 min clearly changed from  $94^\circ$  to  $44^\circ$ , as shown in Figure 3b. In a word, the hydrophilic surface wettability could be strengthened when there is less oxygen caught between the solid and liquid surface, causing a small CA via UV light irradiation. The rapid reducing of the CA value of ZnO film can be attributed to the photocatalytic activity due to the fact that energy bandgap illumination produces electron-hole pairs in many semiconducting oxide phases by the emission of photoelectrons [53–57]. Then, the ZnO film with the rapid thermal annealing (RTA) treatment at  $350^\circ\text{C}$  for 5 min in air was needed to reverse the initial wettability status ( $92^\circ$ ), as shown in Figure 3c. The time dependence of the CA value for the reversible hydrophobicity/hydrophilicity switch for ZnO film under UV irradiation ( $25^\circ\text{C}$ ) and then with RTA ( $350^\circ\text{C}$ ) is schematically shown in Figure 3d. The reversible hydrophobicity/hydrophilicity transformation of the ZnO film by alternating UV irradiation and RTA procedures could be controlled and claimed in this present work.

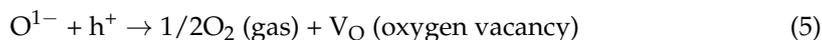


**Figure 3.** The surface wetting/contact angle (CA) images for the (a) as-synthesized ZnO film, (b) under UV irradiation for 5 min at room temperature (RT), (c) and then with the rapid thermal annealing (RTA) at  $350^\circ\text{C}$  for 5 min, and the (d) time dependence of CA value for reversible hydrophobicity/hydrophilicity switch for as-synthesized ZnO film under UV irradiation ( $25^\circ\text{C}$ ) and then with RTA ( $350^\circ\text{C}$ ) in air, respectively.

It can be understood that the holes and electrons can either transform or recombine into the ZnO film surface to react with groups adsorbed on the ZnO film surface. The UV light irradiated on the ZnO film and then the produced photon energy was larger than the energy bandgap of ZnO; the electrons ( $e^-$ ) were excited into the conduction band from the valence band. Additionally, if the same number of holes ( $h^+$ ) were caused in the valence band, some of the holes combined with surface oxygen atoms or lattice oxygen ( $O^{2-}$ ) to progress the surface oxygen vacancies  $O^{1-}$ , while the electrons combined with lattice metal ions ( $Zn^{2+}$ ) to progress  $Zn^{2+}$  defective sites, the water molecules and oxygen would contend with each other for the purpose of absorbing dissociatively on the imperfect sites. The surface caught electrons ( $Zn^+$ ) to combine with the oxygen molecules adsorbed on the ZnO surface. In the interim, the water molecules could coordinate with the oxygen vacancy sites ( $V_O$ ), which resulted in the dissociative adsorption of the water molecules onto the surface of the ZnO film. The imperfect sites are kinetically more desirable for hydrophilic hydroxyl group ( $OH^-$ ) adsorption than oxygen adsorption, and the related reactions are as listed in Equations (2)–(6), which describe the generation processes of imperfect sites on the surface of ZnO films.



Some of surface oxygen atoms or lattice oxygen ( $O^{2-}$ ) react with the holes to generate surface oxygen vacancies  $O^{1-}$ , while some of the lattice metal ions ( $Zn^{2+}$ ) combine with electrons to generate  $Zn^{2+}$  imperfect sites, as described in the following equations.



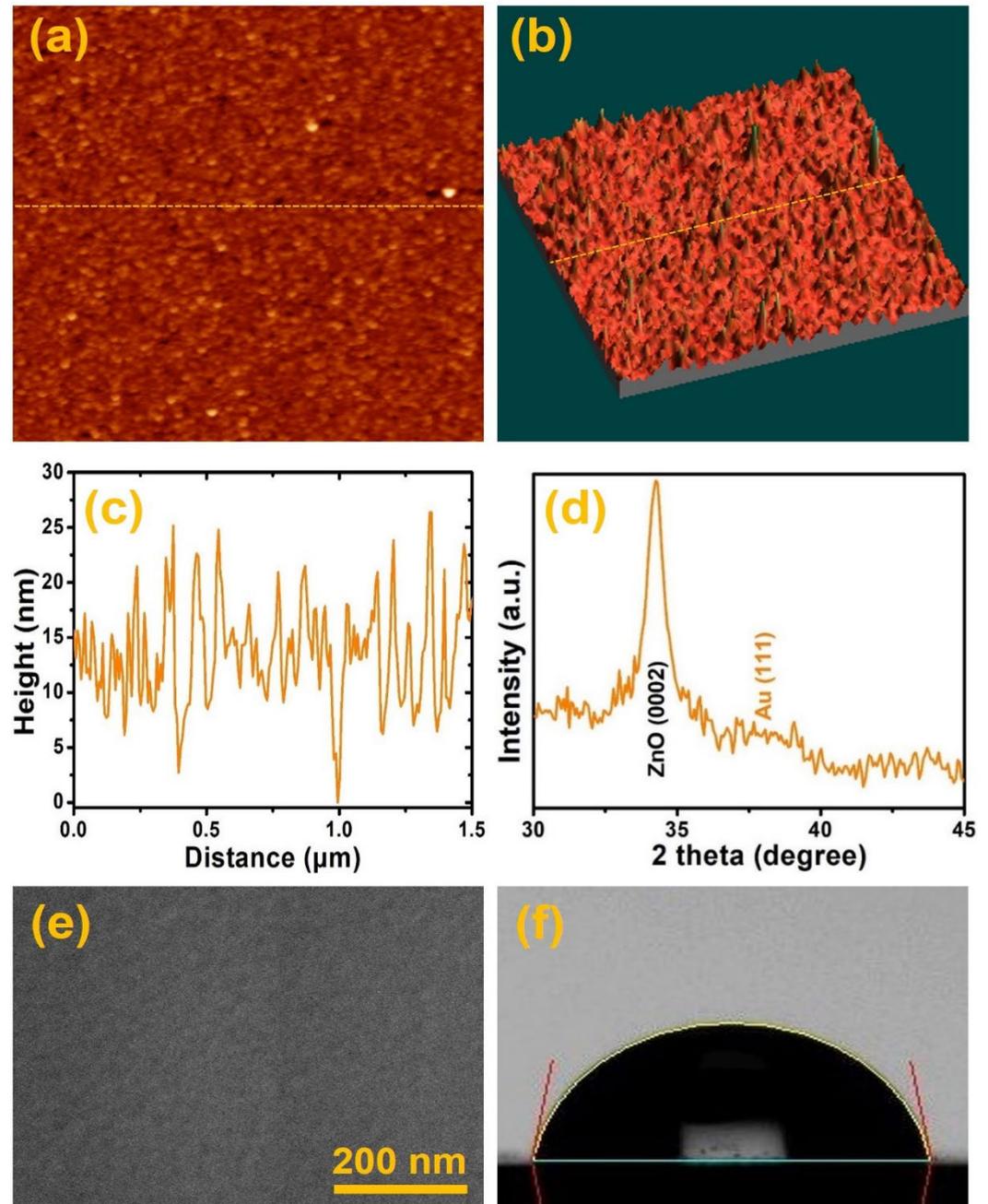
The oxygen and water molecules could contend with each other to absorb dissociatively on the imperfect sites. The surface caught electrons ( $Zn^+$ ) to combine with oxygen molecules adsorbed on the ZnO film surface:



The UV irradiation could be used to control the chemical surface states of the ZnO film, and hence control its surface wettability. The above results indicate that the reversible hydrophobic/hydrophilic surface wettability of the wide-bandgap compound semiconductors, such as ZnO film, can be simply controlled by alternating UV irradiation and RTA procedures for many potential nanodevices such as biomedical sensors, bioanalytical devices, and future multifunctional nanodevices [58–62].

For the purpose of investigating the plasmonic coupling influence, a 15 nm-thick Au top layer was applied to grow onto ZnO films, which were denoted as Au/ZnO heterostructured films. A single Au top film existed with a continuous layered structure grown onto the ZnO film, as examined by the two-dimensional (2D) atomic force microscope (AFM) image shown in Figure 4a. The continuous growth of the top layer was also confirmed by a three-dimensional (3D) AFM image, as shown in Figure 4b. From the AFM line-scan, shown in Figure 4c, the height of the Au continuous layered structure was confirmed and observed. The X-ray scattering was applied to ideally complement these microscopic methods since it can successfully reflect representative microstructural information for a large specimen area for Au/ZnO heterostructured films, as shown in Figure 4d. The XRD pattern for Au/ZnO heterostructured films has typical ZnO(0002) and Au(111) diffraction peaks, which confirms the existence of the Au layer coated onto the ZnO films, which can be indexed to Au/ZnO heterostructured films in a crystalline phase. On the other hand, no diffraction peak from the indefinite phase from the Au/ZnO heterostructured films was obtained, thus providing strong evidence for the complete coverage of the ZnO by Au without an intermixed phase. The above morphological and structural results analysis provide the configuration of Au/ZnO heterostructured films, and the XRD spectrum

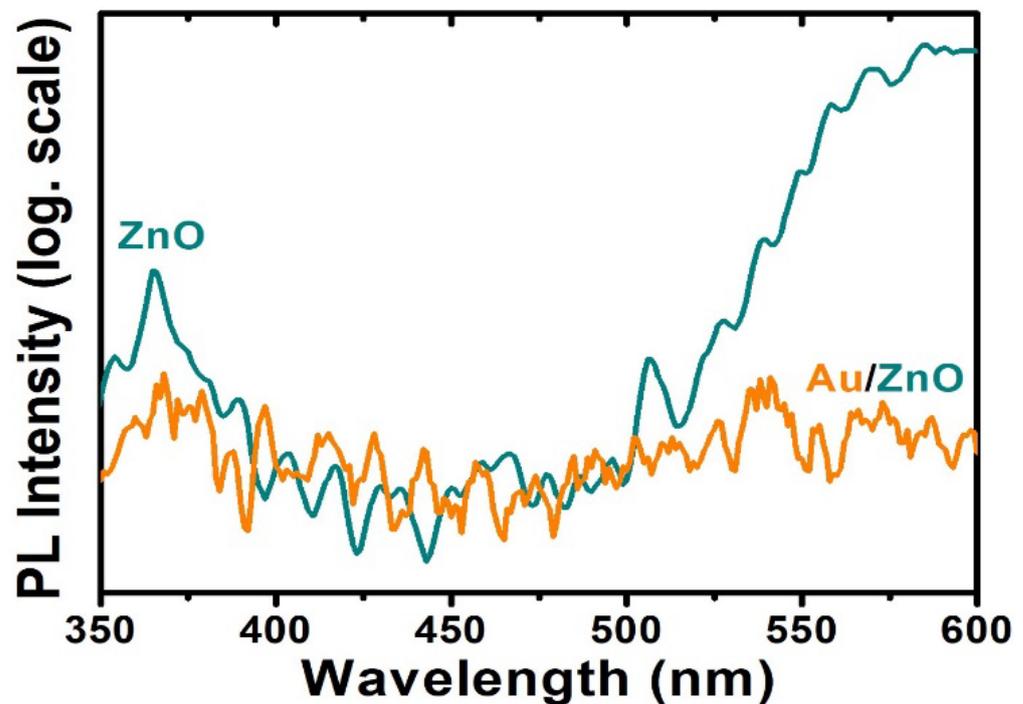
analysis consists of the observation from the topical AFM images, as shown in Figure 4a,b. The plan-view SEM image of Au/ZnO heterostructured films showed a highly uniform covering status, and typically displayed flat surface morphology, as shown in Figure 4e. It can be obviously observed that the CA value of Au/ZnO heterostructured films varied from  $94^\circ$  (ZnO) to  $80^\circ$  (Au/ZnO) while coated with the Au continuous top layer, as shown in Figure 4f.



**Figure 4.** AFM images and related analysis of the Au/ZnO heterostructured films: (a) 2D topical image; (b) is a 3D image for (a); and (c) is a line-scan analysis of Au/ZnO heterostructured films corresponding to dotted line in (a,b), and the scan area is  $2 \times 2 \mu\text{m}^2$ . (d) is a corresponding X-ray diffraction pattern. (e) is a plan-view SEM micrograph. (f) is a CA image. dotted line in (a,b) is a line-scan shown in (c).

The photoluminescence (PL) spectrum is applied to be significant, as a regular method to verify the optical performance of ZnO films. The PL emission spectrum of typical ZnO

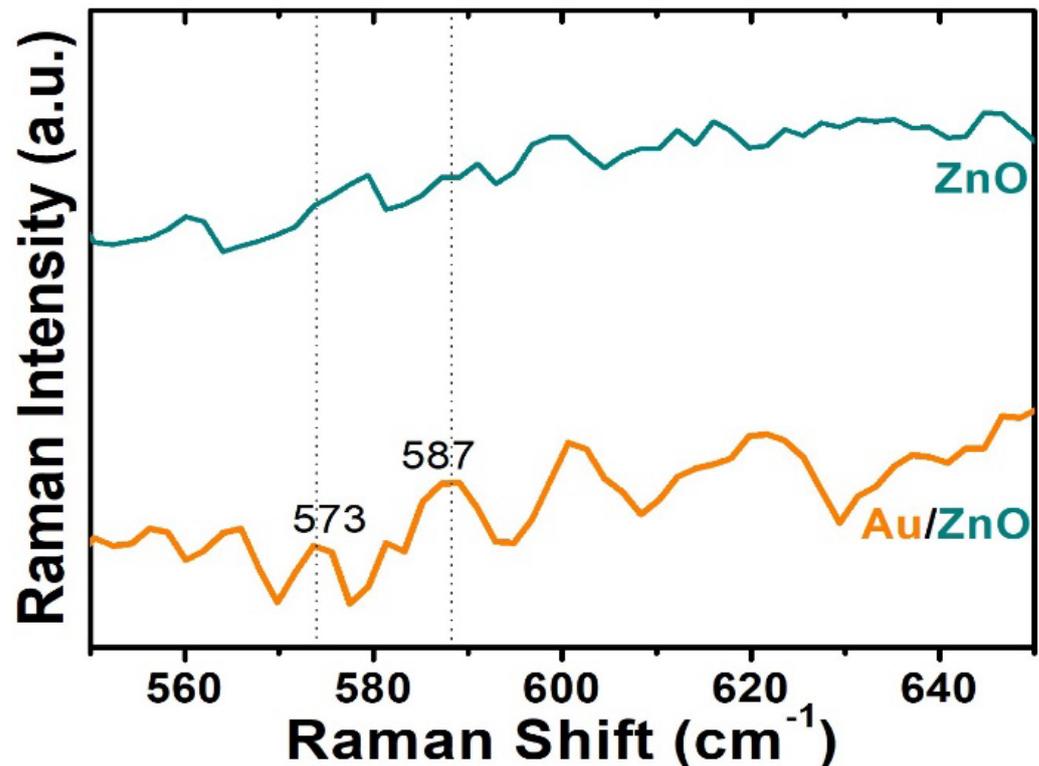
film can be separated with two main components. The first component is due to near-band-edge (NBE) emission spectrum, which is contributed by the free-exciton recombination generated in the UV zone. Another one is the deep-level (DL) emission spectrum, which is constructed from the impurities and related possible defects, including oxygen vacancies and zinc interstitials, which originated from the suppression of the DL emission spectrum in the visible zone. Figure 5 shows the PL spectra of the ZnO films without and with the Au top layer, respectively. The tough NBE emission spectrum is positioned at approximately 372 nm and acts for the dominant recombination mechanism of photogenerated charge carriers (excitonic photoemission) in the pristine ZnO film. The PL quenching in the Au/ZnO heterostructured films is owing to the coupling of the light emission with the localized surface plasmonic (LSP) resonance of the Au continuous layer, as shown in Figure 5. In addition, the metallic LSP effect can trigger both interband excitation and plasmonic excitation [63,64]. The Au continuous layer associated with LSP can also cause the photoelectron process owing to its absorption cross section and localized optical intensity.



**Figure 5.** The photoluminescence (PL) spectra measured at room temperature for ZnO films without and with an Au top layer.

The surface plasmonic resonance (SPR) is the coupled electron and photon oscillations that can be produced and obtained at the interface between the Au metal and ZnO metallic oxide for the purpose of designing the SPR heterostructured films. It is well known that the pure metals could supply surface plasmonic collective oscillations of free electrons, which could collect the electromagnetic (EM) waves to a small fraction of a wavelength, while improving the local field energy by several times of the magnitude [65]. The local EM field experienced by analyte molecules on the metallic surface in the nanoscale is dramatically improved, generating considerably improved Raman intensity [66]. We considered that such a kind of ZnO semiconductor coated with an Au continuous top layer may induce a localized field around its surface, and there may be a coupling effect and exhibit the SPR. For the purpose of identifying this method, the Raman spectra of the pristine ZnO and Au/ZnO heterostructured films were measured at room temperature, as shown in Figure 6. In the case of the Au/ZnO heterostructured films, these exhibited a remarkable peak improvement; the frequency of the 1LO phonon peak is positioned at approximately  $573\text{ cm}^{-1}$  corresponding to the  $A_1$  (LO) phonon and the  $E_1$  (LO) phonon appears at

approximately  $587\text{ cm}^{-1}$  [67,68]. The above results demonstrate that a surface-enhanced Raman scattering can be obtained by covering ZnO film with an Au continuous top layer. It has been reported that Au nanoparticles could offer an effective path to lead to more photonic scattering from the incident light. For the purpose of improving the absorption of light in molecules and increasing Raman scattering intensities via surface plasmonic influence, the methods have demonstrated that it is excited by the interaction between incident light and metallic surfaces [69]. Since the emergence of the surface plasmonic resonance bands in this zone is a character of surface plasmonic resonance of the Au continuous top layer, this is a confirmation of Au layer formation grown onto the ZnO film.



**Figure 6.** Raman spectra measured at room temperature for ZnO films without and with an Au top layer.

Therefore, a fast, simple, and reversible method is achieved and presented here where the surface plasmonic resonance and surface wettability of the ZnO wide-bandgap compound semiconductor can be effectively controlled by covering with an Au continuous top layer. The Au/ZnO heterostructured films demonstrated numerous modern and novel applications in the future due to their composite functional characters. The functional Au/ZnO heterostructured films could be developed in the surface-enhanced Raman scattering (SERS) detection and further applied to combine with hybrid nanodevices, such as biomedical sensors, and in future applications in under-marine UV photodetectors and smart window technology.

#### 4. Conclusions

In this present work, the (0002) textured ZnO film was successfully synthesized onto glass substrates by a plasma-enhanced chemical vapor deposition (PECVD) system at  $300\text{ }^{\circ}\text{C}$  with a working pressure of 5 Torr. The ZnO film showed excellent crystallinity and a high-level visible transmittance value of 85%. The rapid CA transformation of the ZnO film can be controlled from hydrophobic ( $94^{\circ}$ ) to hydrophilic ( $44^{\circ}$ ) surface wettability via UV light irradiation at  $25\text{ }^{\circ}\text{C}$  for 5 min, and stably reversed back to hydrophobicity ( $92^{\circ}$ ) via a post-annealed treatment using rapid thermal annealing (RTA) at  $350\text{ }^{\circ}\text{C}$  for 5 min

in air. The rapid transformation of CA for ZnO film is due to the photocatalytic activity induced by energy bandgap illumination which produces electron-hole pairs in the ZnO phase by the emission of photoelectrons. Therefore, a fast, simple, and reversible method is proposed herein that the CA variation of ZnO wide-bandgap compound semiconductors can be easily controlled and reversed by ultraviolet irradiation and post-annealed treatment. The improved Raman intensity in the Au/ZnO heterostructured films can be produced and obtained due to the coupling of the incident light emission with the localized surface plasmonic resonance of the Au continuous top layer that could be used for biosensing applications.

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