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Abstract: Minimally invasive oxygen-ozone (O<sub>2</sub>-O<sub>3</sub>) therapy utilizing the biochemical effects of O<sub>2</sub>-O<sub>3</sub> mixture is commonly used in the treatment of musculoskeletal pain. The literature dealing with  $O_2$ - $O_3$  therapy of spinal pain focuses mainly on the lumbosacral region. The aim of this review is to evaluate the efficacy of  $O_2$ - $O_3$  therapy in musculoskeletal pain in the neck region. The Medline (PubMed), SCOPUS, Web of Science, and Google Scholar databases were searched for clinical studies, using the free text terms: ozone, neck, cervical, spine, pain, disc, hernia, nucleolysis, paravertebral, treatment, and various combinations of them. In total, seven studies (two randomized controlled trials and five observational studies) were found. These studies dealt with the intradiscal or intramuscular paravertebral application of O<sub>2</sub>-O<sub>3</sub> mixture in patients with myofascial pain syndrome, cervical disc hernias, and chronic neck pain. All these studies proved a significant decrease in neck pain (evaluated by Visual Analog Scale or Numerical Rating Scale), and most of them showed improvement in functional status (measured by Oswestry Disability Index or Neck Disability Index). In addition, other pain assessment scales and function and quality of life measures (DN4 questionnaire, pain pressure threshold, cervical lateral flexion range of motion, Japanese Orthopedic Association scale, 12- and 36-Item Short Form Surveys, modified MacNab criteria, and analgesic drug intake reduction) were used. Changes in these measurements also mostly supported the efficacy of  $O_2$ - $O_3$  treatment. No significant complications of the treatment were reported. The available evidence is sparse, but despite this, the  $O_2$ - $O_3$  treatment of musculoskeletal neck pain can be considered potentially beneficial and relatively safe.

Keywords: ozone; neck pain; spine; intervertebral disc; nucleus pulposus; computed tomography

# 1. Introduction

## 1.1. Musculoskeletal Neck Pain

By definition, neck pain (NP) is pain perceived as arising in a region bordered superiorly by the superior nuchal line, laterally by the lateral margins of the neck, and inferiorly by an imaginary transverse line through the spinous process of first thoracic vertebra [1]. The age-standardized prevalence rate of NP was 27.0 per 1000 population in 2019 [2].

NP can be categorized in several ways, such as duration (acute, <6 weeks; subacute  $\leq$ 3 months; chronic >3 months), etiology or structure, severity, and type (mechanical vs. neuropathic) [3]. Globally, musculoskeletal NP ranks among the conditions most responsible for primary care consultations [4]. Musculoskeletal NP belongs to the most frequent complaints of patients with neuro-musculoskeletal disorders, such as cervical spondylosis and radiculopathy, fibromyalgia, and whiplash-associated disorders [5,6]. However, the differential diagnosis of NP is broad and includes more serious conditions such as fractures, spinal cord and nerve injuries, cancer, infections, and inflammation. When evaluating a patient with NP, the physician must be alert for "red flags" (trauma, rheumatoid arthritis, Down syndrome, spondyloarthropathy, constitutional or infectious



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**Copyright:** © 2024 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). symptoms, upper motor neuron lesion, age under 20 years or over 50 years, coexisting chest pain, diaphoresis, or shortness of breath) in the history and physical examination that may indicate the need for urgent testing and intervention [3,7].

Most episodes of acute musculoskeletal NP will usually resolve with or without treatment, but nearly 50% of patients will continue to feel some level of pain or frequent occurrences [3]. A number of approaches have been developed for the conservative treatment of NP, such as steroidal and non-steroidal anti-inflammatory drug (NSAID) treatments, skeletal muscle relaxants, physical therapy, manipulative spinal therapies, acupuncture, and mesotherapy [8,9]. European clinical practice guidelines consistently recommend the following evidence-based treatment options for NP: reassurance, advice and education (including to remain active and exercise), manual therapy in combination with other treatments, referral for exercise therapy/programs and a range of oral analgesics and topical medications, plus psychological therapies or multidisciplinary treatment for specific sub-groups of patients. However, the strength of these recommendations is weak or moderate. There is weak evidence for the use of painkillers such as paracetamol, NSAIDs (for acute pain only), opioids (for acute pain only), and neuropathic pain medication [10].

Myofascial pain syndrome (MPS) constitutes an important part of chronic musculoskeletal pain, including NP. MPS is associated with the occurrence of trigger points (MTrP) and tender areas in the muscles or connective tissue and, sometimes, with a local twitch response on MTrP palpation [11]. By applying Simons' criteria, the diagnosis of MPS relies mainly on the clinical history and a careful physical examination by a trained clinician [12]. The prevalence of MPS is unclear due to a lack of well-defined diagnostic criteria [13]. However, the finding of MTrP in patients with musculoskeletal NP pain is frequent. The prevalence of MTrP in patients suffering due to chronic widespread pain ranges from 30 to 93% [14]. In a study by Cerezo-Téllez et al. [15], the prevalence of MPS among patients with chronic non-specific NP was 100%, with most prevalent active MTrP being identified in the trapezius muscles in 93,4% of subjects. A range of non-invasive and invasive methods have been proposed for the treatment of MPS [16]. A review article by Desai et al. [17] summarizes the efficacy of pharmacological and nonpharmacological treatments of MPS. Pharmacotherapy includes the use of the following drugs: NSAIDs (including diclofenac patch), cyclobenzaprine, thiocolchicoside, gabapentin, pregabalin, amitriptyline, cyclo-oxygenase-2 (COX-2) inhibitors, tramadol, tropisetron, opioids, lidocaine patch, tizanidine, clonazepam, duloxetine, sumatriptan, botulinum type A toxin, ketamine, L-tryptophan, and memantine. The nonpharmacological treatment of MPS encompasses the following: MTrP injections (dry needling, short- or long-acting anesthetics, or steroids), manual therapy, ultrasound, hydrocortisone phonophoresis, transcutaneous electric nerve stimulation, electrical twitch obtaining intramuscular stimulation, magnetic stimulation, and laser therapy. Authors conclude that most MPS treatment options demonstrate a limited body of evidence for their use. More specifically, there is evidence that NSAIDs and COX-2 inhibitors alleviate pain; however, more controlled trials are required to fully determine their role in MPS. Tizanidine, benzodiazepines, and tropisetron appear to demonstrate some limited evidence for their use. Topical diclofenac and lidocaine patches may also have limited efficacy. Thiocolchicoside is a promising agent, still with limited evidence. Multiple studies support the use of dry needling and trigger point injections, but sustainability is likely based on using these therapies judiciously and in conjunction with manual therapies, such as myofascial release. Newer therapies of MPS, such as ultrasound and laser therapy, show promising results [17].

In addition to surgeries, there are several minimally invasive methods developed for the treatment of intervertebral disc hernias (HD). Percutaneous techniques such as percutaneous discectomy, laser discectomy, and nucleoplasty have minimized the invasive nature of surgeries and decreased complications, such as postsurgical infection [18].

Oxygen–ozone ( $O_2$ - $O_3$ ) therapy is a method which utilizes the biochemical effects of  $O_2$ - $O_3$  mixture; nowadays, it is commonly used in the treatment of musculoskeletal pain, including spinal pain [19]. The literature concerning the  $O_2$ - $O_3$  treatment of spinal pain

predominantly focuses on the lumbar region. Also, for this reason, the aim of this paper is to provide a review of the literature dealing with the  $O_2$ - $O_3$  treatment of musculoskeletal NP. The  $O_2$ - $O_3$  mixture can be injected into intervertebral discs or paravertebral muscles (including MTrP). Therefore, the efficacy of  $O_2$ - $O_3$  treatment can be benchmarked in comparison with other minimally invasive therapeutic methods for musculoskeletal NP, such as dry needling or lidocaine injections. In addition, it can be assumed that other applications of  $O_2$ - $O_3$  treatment for musculoskeletal NP could be investigated in the future.

# 1.2. History of O<sub>2</sub>-O<sub>3</sub> Therapy

The first identification of ozone as a distinct chemical compound was achieved by Schönbein in 1839. His work showed that following electrolysis, water emanated an odor at the cathode defined as "the odour of electrical matter", which was later on defined as "ozone", from the Greek ozein (odorant) [20,21]. In 1845, de la Rive and Marignac proposed that ozone is an allotropic form of oxygen. In the 20th century, Mulliken and Dewar clarified the ozone molecular structure. The use of ozone in clinical practice was introduced in the past century by Payer, Aubourg, and Wolff. During the First World War, Wolff successfully used ozone to treat gangrenous wounds, suppurating bone fractures, inflammations, and abscesses. The first reliable model of a medical ozone generator, that allowed for the production of a variable and stable  $O_2$ - $O_3$  mixture, was developed in 1958 by Hänsler. Subsequently, this invention served as the basis for ozone therapy expansion over the last 40 years. Since then, the therapeutic use of ozone in medicine has been extended to a large number of diseases [20].

# 1.3. Ozone as a Therapeutic Agent

Ozone is highly water-soluble inorganic molecule composed of three oxygen molecules with a molecular weight of 48 g/mol. The molecular structure of ozone is inherently unstable due to the nature of its mesomeric states, which tends to make it difficult to obtain high concentrations. Ozone will often experience transient reactions with itself or water molecules [21,22]. Ozone has the potential to react to and oxidize organic compounds and, when present as an air pollutant, can cause harmful effects on the respiratory tract [20,23]. However, in appropriate concentrations, ozone may act as a beneficial drug. This is because most of the medical ozone dose is almost instantly quenched by the potent antioxidant capacity of blood due to a number of hydrophilic and lipophilic compounds and a variety of antioxidant enzymes [24]. Therefore, correct ozone dosage is essential for the positive effect of  $O_2$ - $O_3$  treatment. Only under this precondition can ozone initiate favorable biological reactions and also possibly reverse chronic oxidative stress [25].

### 1.4. Minimally Invasive O<sub>2</sub>-O<sub>3</sub> Therapy of Musculoskeletal Neck Pain

The extradiscal injection of ozone into the paravertebral muscle adjacent to an HD was first proposed by Verga in 1989, and the intradiscal injection of ozone was first reported in the 1990s by Muto and Avella and other Italian interventional neuroradiologists [26].

A reduction in HD volume is one of the therapeutic aims of the intradiscal administration of medical ozone, as disc shrinkage may reduce nerve root compression. Another reason for using medical ozone to treat HD is its analgesic and anti-inflammatory effects [27]. The intradiscal injection of  $O_2$ - $O_3$  mixture is carried out by 18- to 22-gauge needle insertion, followed by direct insufflation of the  $O_2$ - $O_3$  mixture (3–10 mL; ozone concentration about 30 µg/mL) at the level of the pathologic intersomatic space under computed tomography (CT) or fluoroscopic guidance [25,28].

Nucleus pulposus represents the target site for the intradiscal application of  $O_2$ - $O_3$  mixture. Ozone causes the fragmentation of glycosaminoglycans contained in the nucleus pulposus with the subsequent release of water molecules. This leads to a small reduction in the nucleus volume, as well as a significant reduction in intradiscal pressure, resulting in the recoil of the nucleus and restoration of the disc. This process can be induced in contained discs. On the other hand, in uncontained discs, the nucleus is exposed to the

immune system, with antibody-mediated inflammatory reactions leading to resorption and remission of the extruded nucleus by phagocytosis and lysis [29]. In epidural space, ozone acts as an anti-inflammatory agent modulating and hastening the switch from M1 to M2 macrophages, converting an inflammatory phase to a reparative phase [30]. Ozone is also involved in the regeneration of myelin sheaths [31]. The paravertebral approach is based on the injection of an  $O_2$ - $O_3$  mixture into the paravertebral muscle, usually at the level of the HD [25,32]. Due to the various beneficial biological effects of ozone (e.g., anti-inflammatory, antioxidant, and immunomodulatory), it is hypothesized that oxygenozone therapy could affect pain in patients with myofascial syndrome when applied to the MTrP [33]. Moreover, injection of the  $O_2$ - $O_3$  mixture could contribute to the inactivation of MTrP by the mechanical influence on muscle fibers [19,34].

#### 2. Materials and Methods

## 2.1. Search Strategy

A search for clinical trials of  $O_2$ - $O_3$  therapy for musculoskeletal NP was performed. Medline (PubMed), SCOPUS, Web of Science, and Google Scholar databases were searched for full-text English language articles using the following free text terms: ozone, neck, cervical, pain, disc, hernia, nucleolysis, paravertebral, treatment, and various combinations of them. The search ranged from 01/2000 to 01/2024.

## 2.2. Eligibility Criteria

Types of studies: Randomized controlled trials (RCTs) and non-randomized prospective and retrospective observational studies.

Participants: Patients with musculoskeletal NP, with or without associated radicular pain. Age  $\geq$  18 years. Duration of pain: chronic (>3 months) or acute/subacute ( $\leq$ 3 months). Exclusion criterion: NP of non-musculoskeletal origin (e.g., tumor, inflammatory, or traumatic etiology).

Types of intervention: Minimally invasive  $O_2$ - $O_3$  treatment applied intradiscally or intramuscularly to the neck region, with or without imaging guidance.

Outcome measures: Changes in pain intensity were measured by the means of the Visual Analog Scale (VAS) or Numerical Rating Scale (NRS). Both scales range from 0 to 10 points (0—no pain, 10—maximum imaginable pain). Functional status (disability) due to spinal pain was assessed using the Oswestry Disability Index (ODI) or the Neck Disability Index, a modification of the ODI more specific to cervical spine. The range of ODI and NDI is 0–50 points (from minimal disability to bedridden/exaggerated symptoms).

# 3. Results

Two RCTs and five non-randomized observational studies were found. Both RCTs focused on the treatment of neck MPS. Two observational studies (prospective and retrospective) investigated intradiscal  $O_2$ - $O_3$  nucleolysis in patients with cervical HD. Three observational studies (one prospective and two retrospective) dealt with the paravertebral intramuscular application of  $O_2$ - $O_3$  mixture in patients with NP. The study selection flowchart is marked in Figure 1. A summary of the data from the evaluated studies is shown in Table 1.



**Figure 1.** Study selection flowchart. Abbreviations: VAS, Visual Analog Scale; NRS, Numerical Rating Scale; ODI, Oswestry Disability Index; NDI, Neck Disability Index; NP, neck pain.

Author, Year, Study Type	No. of Subject	Disease Duration	Target	Imaging Guidance	O <sub>2</sub> -O <sub>3</sub> Vol. Concentra- tion	No. of Injections	Control Groups	Outcome	Follow-up Terms	Post-Treatment Outcome
Korkmaz et al., 2023, RCT [34]	45	MPS chronic	TP	without	5 mL 10 μg/mL	$3 \times 1 \text{ per}$ week	LD	VAS, NDI, ROM, PS	W4, W12	Significant decrease in VAS, PS, and NDI scores in both O <sub>2</sub> -O <sub>3</sub> and LD groups. LD more effective at reducing pain. Non-significant change in ROM.
Raeissadat et al., 2018, RCT [13]	62	MPS chronic	TP	without	8 mL 15 μg/mL	$3 \times 1 \text{ per}$ week	LD DN	VAS, NDI, ROM, PPT	W4	Significant decrease in VAS and NDI and increase in PPT in all groups. ROM without significant change. O <sub>2</sub> -O <sub>3</sub> and LD more effective than DN.
Ghatge et al., 2022, PS [29]	246	HD unspecific	ID	fluoro	1–2 mL 30 μg/mL	1	-	VAS, ODI, modified McNab criteria	M1, M3, M6, Y1	Significant decrease in VAS and ODI at all follow-up terms. Modified McNab criteria indicated recovery: excellent in 56.1%, good in 20.3%, and fair in 8.9%.
Beyaz and Sayhan, 2018, PS [18]	44	HD, NP chronic	ID	fluoro	4–5 mL 20 μg/mL	1	-	VAS, ODI	W2, W6, M6	Significant pain relief at all follow-up terms. S uccessful outcomes (2-point decrease in VAS and 15-point decrease in ODI) at a rate of 93.1%, 95.4%, and 97.7% at follow-up terms.
Rania et al., 2022, RS [35]	540	NP, CBP chronic	IM	without	30 mL 10 μg/mL	11.7 ± 3	-	NRS, DN4, SF-36, ADR, ADI	after 8, 12 procedures, Y1	Significant decrease in VAS and DN4. All patients free of pain 1 year after treatment. Significant improvement in quality of life. No serious ADR recorded. ADI was reduced.
Ucar et al., 2020, RS [36]	72	DNP unspecific	IM	without	30 mL 20 μg/mL	$6 \times 1 \text{ per}$ week	-	VAS, JOAs	M2, M6	VAS and JOAs at both follow-up terms with significant improvement.
Latini et al., 2024, RS [32]	25	NP chronic	IM	US	2 × 3–5 mL 15 μg/mL	12 in 12 weeks	_	NRS, NDI, SF-12, ADI	after procedure, M1, M3, M6	Significant improvement in all outcome measures at each assessment. ADI reduction at each assessment.

Table 1. A summary of data from	om the evaluated studies.
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Abbreviations: RCT, randomized controlled trial; PS, prospective study; RS, retrospective study; No., number; subject., subjects/patients; MPS, neck myofascial pain syndrome; HD, cervical disc hernia; NP, neck pain; CBP, cervicobrachial pain; DNP, discogenic neck pain; unspecific, duration of pain unspecified; fluoro, fluoroscopy; US, ultrasound; TP, neck trigger point; ID, intradiscal; IM, intramuscular; O<sub>2</sub>-O<sub>3</sub> vol. concentration, oxygen–ozone gas mixture volume and concentration; LD, lidocaine; DN, dry needling; VAS, Visual Analog Scale; NRS, Numerical Rating Scale; ODI, Oswestry Disability Index; NDI, Neck Disability Index; ROM, Range of Motion in lateral cervical flexion; PS, pain score (by applying pressure on trigger point); PPT, pain pressure threshold (defined as the minimum amount of compression on the trigger point that reproduces the pain); DN4, (Neuropathic Pain 4 Questions survey); SF-36, 36-Item Short Form Health Survey; SF-12, 12-Item Short Form Health Survey; JOAs, Japanese Orthopedic Association score; ADR, adverse drug reaction; ADI, analgesic drug intake; W, week; M, month; Y, year.

### 3.1. O<sub>2</sub>-O<sub>3</sub> Treatment of Neck MPS

Korkmaz et al. [34] in a single-blind RCT compared the effectiveness of  $O_2$ - $O_3$  injection versus lidocaine injection targeted to MTrP in patients with MPS. Both  $O_2$ - $O_3$  and lidocaine injections were significantly effective in pain relief and in function improvement measured by VAS, pain score (by applying a pressure on trigger point), and NDI.  $O_2$ - $O_3$  ozone injection appeared to be inferior in reducing pain compared to lidocaine injection. No statistically significant change in the cervical lateral flexion range of motion (ROM) was found in both groups.

Raeissadat et al. [13] in a single-blind RCT evaluated the efficacy of  $O_2-O_3$  mixture injection applied into the area of MTrP. The effect of  $O_2-O_3$  therapy was compared to the injection of lidocaine and dry needling. The authors reported that all three interventions were remarkably effective at improving patients' pain and the pain pressure threshold (PPT, defined as the minimum amount of compression on the point that reproduces the pain) within 4 weeks after the last injection. However, ROM did not show significant improvements in any group. A statistically significant difference among the evaluated methods was found, favoring  $O_2$ - $O_3$  or lidocaine injection in comparison to dry needling. The  $O_2$ - $O_3$  group showed a slightly higher improvement in the VAS, PPT, and NDI compared with the lidocaine group, without a statistically significant difference.

# 3.2. O<sub>2</sub>-O<sub>3</sub> Treatment of Cervical Spine HDs

Ghatge et al. [29] in a prospective non-randomized study investigated the role of ozone disc nucleolysis in cervical HD. All VAS and NDI values were significantly lower at 1-year follow-up compared with pre-treatment levels. The modified McNab criteria for the outcome showed recovery: excellent in 56.1%, good in 20.3%, and fair in 8.9% of patients, resulting in a success rate of 85.4%. MRI performed 6 months after  $O_2$ - $O_3$  nucleolysis showed a reduction in HD in 8 of 12 patients compared to pre-treatment MRI.

Beyaz and Sayhan [18] retrospectively evaluated the efficacy and safety of the intradiscal  $O_2$ - $O_3$  treatment of cervical HD in patients with chronic NP during a 6-month follow-up. The authors defined the clinical success of  $O_2$ - $O_3$  therapy as a 2- and 15-point decrease in VAS and ODI scores compared with baseline values at follow-up terms. Patients reported pain relief at a rate of 93.1%, 95.4%, and 97.7% at 2 weeks, 6 weeks, and 6 months, respectively. Patient satisfaction with treatment was also assessed. At the end of the follow-up, there were 61.3% of patients extremely satisfied, 27.3% fairly satisfied, 9.1% moderately satisfied, and 2.3% poorly satisfied.

# 3.3. Intramuscular Paravertebral O<sub>2</sub>-O<sub>3</sub> Injection

Rania et al. [35] prospectively evaluated the efficacy and safety of intramuscular paravertebral  $O_2$ - $O_3$  injections in patients with cervicobrachial pain. The follow-ups were realized after 8 and 12 sessions of  $O_2$ - $O_3$  therapy and one year after treatment finished. Pain intensity was evaluated using NRS. The DN4 and SF-36 surveys were used to assess neuropathic pain and quality of life. The development of adverse drug reactions was also recorded. Statistically significant improvements in quality of life with a decrease in pain were observed in follow-up terms. All patients were pain free after one year. Patients significantly reduced the use of drugs. No serious adverse drug reaction was recorded.

Ucar et al. [36] in a retrospective observational multicentric study evaluated pain scores in patients who underwent paravertebral  $O_2$ - $O_3$  injections for NP caused by cervical disc disease. Significant improvements were observed in VAS and Japanese Orthopedic Association scores (JOAs) at both 2 and 6 months in comparison to the pre-treatment scores. There was no significant difference in the VAS or JOAs between 2 and 6 months after  $O_2$ - $O_3$  injection.

Latini et al. [32] retrospectively evaluated the effects of  $O_2$ - $O_3$  intramuscular paravertebral injections in patients with chronic NP or low back pain. The outcomes in patients with chronic NP were evaluated using NRS, NDI, and SF-12 survey. Significant beneficial effects of  $O_2$ - $O_3$  therapy were observed during the 6-month follow-up period. Patients reported improvements in their quality of life and reductions in pain and disability. In addition, the consumption of analgesic drugs was reduced.

### 3.4. Complications of O<sub>2</sub>-O<sub>3</sub> Treatment

No significant complications of  $O_2$ - $O_3$  treatment were observed in the mentioned studies. Beyaz and Sayhan [18] reported one patient with hoarseness at 3 days after intradiscal  $O_2$ - $O_3$  application, with spontaneous resolution over a period of 1 week. Further, some patients reported some discomfort such as neck stiffness, transient postprocedural increases in pain, dysphagia, and sore throat. All these problems disappeared spontaneously within one day after the procedure [18]. Raeissadat et al. [13] reported minor adverse reactions in two patients (one in the  $O_2$ - $O_3$  group and the other in the dry needling group) that occurred within the first day after injection and required no treatment.

### 4. Discussion

In recent decades,  $O_2$ - $O_3$  therapy has been used for musculoskeletal pain treatment. It has been applied in the treatment of pain in knee osteoarthritis, subacromial tendinopathy, or in combination with shock wave therapy to treat calcific tendinitis of the shoulder. Other described musculoskeletal applications of  $O_2$ - $O_3$  therapy include the treatment of rheumatoid arthritis, tendinopathies, neural entrapment syndrome, lateral epicondylitis, rhizarthrosis, spondylolisthesis, spondylolysis, plantar fasciitis, septic spondylodiscitis, Quervain's tenosynovitis, fibrosis after the resection of Morton's neuroma, and pathology of the temporomandibular joint. However, the most therapeutic musculoskeletal applications of  $O_2$ - $O_3$  mixture are performed in the spine region [19].

The majority of the literature dealing with the minimally invasive  $O_2$ - $O_3$  treatment of spinal pain is focused on the lumbar region. This is probably due to the fact that pain of the lumbar spine is up to 10 times more common in comparison to the cervical counterpart [37]. Commonly used applications of  $O_2$ - $O_3$  therapy on lumbar spine encompass intradiscal, periganglionic, periradicular, and paravertebral injections. Meta-analyses dealing with the efficacy of the  $O_2$ - $O_3$  treatment of lumbar spine pain usually involve hundreds of patients [26,38,39]. Steppan et al. [26] conducted a meta-analysis of the effectiveness and safety of ozone treatments for lumbar HDs. Based on the study results, authors consider  $O_2$ - $O_3$  treatment to be effective and very safe. Moreover, compared to surgical discectomy, the  $O_2$ - $O_3$  treatment of HDs is associated with a much lower incidence of complications, and the recovery time is also considerably shorter. Magalhaes et al. [39] conclude that the indicated level of evidence for long-lasting pain reduction is II-3 for intradiscal  $O_2$ - $O_3$  application and II-1 for paravertebral  $O_2$ - $O_3$  application. Further, the grading of the recommendation is 1C for  $O_2$ - $O_3$  therapy applied intradiscally and 1B for  $O_2$ - $O_3$  therapy applied paravertebrally [39].

All evaluated studies utilized VAS or NRS scales to measure the changes in pain intensity at the follow-up. The baseline VAS or NRS values were similar in the evaluated studies. The lowest initial mean VAS ( $6.2 \pm 0.9$  points) was given in the lidocaine group in the study by Raeissadat et al. [13], and the highest mean VAS ( $8.5 \pm 1.3$  points) was observed in the study by Ucar et al. [36]. Significant decreases in VAS or NRS were observed in all evaluated studies regardless of the cause of pain and the site of O<sub>2</sub>-O<sub>3</sub> therapy. These scales are also commonly used to assess the effect of O<sub>2</sub>-O<sub>3</sub> therapy in the treatment of lumbar spine pain. The ODI scale and NDI as its modification for NP are routinely used to measure functional status (disability) in spinal pain. Except for the study by Ucar et al., the NDI and ODI were used in all the evaluated studies. Other parameters assessing treatment outcome (DN4, SF-36, SF-12, Japanese Orthopedic Association score, etc.) have been used non-constantly, e.g., ROM has been assessed only in studies dealing with neck MPS [13,34].

There are two more retrospective studies on  $O_2$ - $O_3$  therapy which were not included in this literature review. The first of them is the study by Alexandre et al. [40], dealing with the intradiscal injection of  $O_2$ - $O_3$  mixture for the treatment of cervical HDs. The authors, among other results, reported a complete abolition of pain in 79.3% of patients and the amelioration

of pain in 9.9% of patients. However, these favorable outcomes of  $O_2$ - $O_3$  therapy were not expressed in VAS/NRS or NDI/ODI scales. The second study, by Martinelli et al. [41], focused on the clinical efficacy and safety of intramuscular paravertebral applications of an  $O_2$ - $O_3$  mixture in patients with cervicobrachial pain. A significant pain reduction (measured by VAS) at follow-up was observed in all the included patients. Unfortunately, only the abstract of this study was available in electronic form.

The options for accessing the intervertebral disc differ for the cervical and lumbar spine. While the dorsal oblique paravertebral approach is usually used in the lumbar region, only the right anterolateral approach seems to be safe for cervical discs as the left anterolateral approach is avoided to prevent esophageal injury [29,42]. The O<sub>2</sub>-O<sub>3</sub> mixture can also be applied near the dorsal root ganglion. In the lumbar spine, this can be easily performed together with O<sub>2</sub>-O<sub>3</sub> nucleolysis, or it can be performed independently as a periradicular therapy [43]. Further, the paravertebral application of the O<sub>2</sub>-O<sub>3</sub> mixture is used on both the lumbar and cervical spine; this treatment can be effective in treating pain even in patients diagnosed with intervertebral HD [36,44]. It is also possible to use the application of O<sub>2</sub>-O<sub>3</sub> mixture in the treatment of facet joint syndrome [45].

Studies dealing with cervical disc nucleolysis [18,29] utilized the fluoroscopic (plat panel c-arm) guidance. In both studies, the patients were placed in supine position during the needle's introduction. In the study by Ghatge et al. [29], the needle was introduced through the space between the manually displaced carotid artery and trachea/esophagus. Beyaz and Sayhan [18] used an anterolateral approach with the introduction of the needle through the larynx and jugular-carotid vessels with laryngeal subluxation. Performing intradiscal nucleolysis on the lumbar spine seems to be less complicated as navigation in this area is usually provided by fluoroscopy or CT. In addition, in the case of CT navigation, the operator does not have to be exposed to ionizing radiation [46]. Paravertebral access on the cervical and lumbar spine is usually performed in patients in prone position. In this case, fluoroscopy, CT, or ultrasonography can be used for imaging guidance. The use of ultrasonography for navigation is very advantageous due to the lack of risk of stochastic effects of ionizing radiation. Ultrasonography allows for the location of landmark structures on the cervical spine. Sagittal scanning allows for the precise definition of the intervertebral levels, while transverse scanning shows the medial paravertebral muscles as the site of  $O_2$ - $O_3$  injection [32]. Rimeika et al. [47] performed a meta-analysis of the literature on percutaneous O<sub>2</sub>-O<sub>3</sub> injections, comparing image-guided to non-image-guided techniques for low back pain treatment. The authors stated that procedures utilizing imaging guidance showed better performances, including higher therapeutic efficacy in comparison to the techniques based only on anatomical landmarks.

The effectiveness and possible side effects of  $O_2$ - $O_3$  therapy depend on the concentration and amount of  $O_2$ - $O_3$  mixture applied. At high doses, the effect of  $O_2$ - $O_3$  mixture may be detrimental, and at a too low dose, the beneficial therapeutic effect may not occur (hormetic effect of ozone) [48]. The study by Niu et al. [49] investigated the therapeutic effect of different concentrations of O<sub>2</sub>-O<sub>3</sub> mixture on post-traumatic lumbar HDs. The authors found that low concentrations of the  $O_2$ - $O_3$  mixture (20 µg/mL and 40 µg/mL) lead to a decrease in IL-6, IgG, and IgM expression in serum, resulting in analgesic and anti-inflammatory effects. On the other hand, high concentrations of the  $O_2-O_3$  mixture  $(60 \ \mu g/mL)$  lead to an increase in the expression of IL-6, IgG, and IgM in the serum, resulting in painful and pro-inflammatory effects. The optimal  $O_2$ - $O_3$  concentration for the treatment of lumbar HDs was found to be  $40 \,\mu\text{g/mL}$ . It can be assumed that an intradiscally applied O<sub>2</sub>-O<sub>3</sub> mixture will have a similar effect on the nucleus pulposus in the lumbar and cervical spine. In this respect, the concentrations used in studies targeting the cervical spine (Ghatge et al.— $30 \ \mu g/mL$ , Beyaz and Sayhan— $20 \ \mu g/mL$ ) can be considered appropriate. However, the difference in the amount of  $O_2$ - $O_3$  mixture applied (Ghatge et al.—1–2 mL, Beyaz and Sayhan—4–5 mL) was noticeable [18,29]. Paravertebral intramuscular O<sub>2</sub>-O<sub>3</sub> therapy is usually performed as a series of injections administered sequentially over several

weeks. Therefore, the applied volume of the O<sub>2</sub>-O<sub>3</sub> mixture is overall larger compared to the solitary intradiscal application.

The concentration of the  $O_2$ - $O_3$  mixture for paravertebral application must be neither below 18–20 µg/mL nor higher than 25 µg/mL. Treatment is not effective at too low  $O_2$ - $O_3$  concentrations. On the other hand, higher concentrations of the  $O_2$ - $O_3$  mixture can cause pain, in particular during the first treatment applications. However, there are observations that after five to seven applications, the pain threshold increases, and therefore the concentration of the  $O_2$ - $O_3$  mixture can be slowly increased. The limit of 30 mg/mL should not be exceeded [25,50].

The procedure of cervical intradiscal  $O_2$ - $O_3$  nucleolysis may be supported by further medication. Beyaz and Sayhan [18] used fentanyl and midazolam for sedation and lidocaine for cutaneous and subcutaneous local anesthesia. Gentamicin sulfate was administered as antibiotic prophylaxis. Before the needle was inserted to the disc, 16 mg of dexamethasone and 2 mL of 0.5% bupivacaine were injected into the epidural space from C6 to C7 or into the C7-T1 interlaminar space utilizing fluoroscopy guidance. Ghatge et al. [29] mentioned triamcinolone injection in the deltoid muscle on the affected side.

No serious complications were reported in the reviewed studies. The reported minor complications in two studies [13,18] resolved spontaneously, without the need of further treatment. It is uncertain whether these complications were definitely related to the  $O_2$ - $O_3$ treatment. Boyce et al. [51] in their research article determined and reported the type of adverse events associated with the utilization of dry needling. Information related to minor and major adverse events that occurred during 20,464 dry needling treatment sessions was collected. The authors concluded that expected minor adverse events such as mild bleeding, bruising, and pain during dry needling were common, and major adverse events were rare. Based on the findings of this study, the overall risk of a major adverse event during dry needling is small. Complications of spinal pain treatment utilizing O<sub>2</sub>-O<sub>3</sub> therapy are rare; however, unfortunately, they can be serious. Needle introduction at the level of the cervical spine can cause mechanic damage, where vascular structures, nerves, and the structures of the respiratory and digestive tract may be injured. Strict adherence to the rules of asepsis is essential to minimize the risk of infectious complications. There is also the possibility of an adverse reaction due to the inadvertent intravascular application of O<sub>2</sub>-O<sub>3</sub> mixture. Andrés-Cano et al. [52] presented a case of cervical spondylodiscitis following intradiscal O<sub>2</sub>-O<sub>3</sub> nucleolysis for HD. During treatment, the Beta-hemolytic streptococcus was isolated. This finding could suggest a transesophageal puncture during disc O2-O<sub>3</sub> therapy. Freund et al. [53] described a case of a patient who developed neurologic symptoms after the paravertebral administration of  $O_2$ - $O_3$  mixture. CT revealed the presence of gas in the right vertebral artery, and MRI of the brain depicted multiple infarcts in posterior circulation. Most of the complications reported in the literature are related to  $O_2$ - $O_3$  therapy performed in the lumbar spine. Corea et al. [54] presented a case of stroke in posterior circulation during O<sub>2</sub>-O<sub>3</sub> therapy. Authors consider gas embolization as a probable reason for this adverse event. Ginanneschi et al. [55] presented a case of ventral and dorsal root injury occurring after the transcutaneous intradiscal infiltration of  $O_2$ - $O_3$  for L4-L5 HD. The mechanism underlining this injury was not clear; the authors hypothesized a possibility of a transitory increase in cerebrospinal fluid pressure following disc injection. Menéndez et al. [56] described a case of purulent complication following lumbar paravertebral injections of  $O_2$ - $O_3$  therapy. Lo Giudice et al. [57] reported a case of vitreous-retinal hemorrhages with bilateral visual loss after the intradiscal and periganglionic injection of  $O_2$ - $O_3$  mixture for lumbar HD. Toman et al. [58] presented a case of pneumocephalus, manifested by a rapidly evolving severe headache in patient who underwent percutaneous epidural neuroplasty combined with ozone treatment for FBSS. Vaiano et al. [59] described a case of cortical blindness as a consequence of bilateral occipito-parietal lobe ischemia after the intradiscal and periganglionic O<sub>2</sub>-O<sub>3</sub> application at the L5/S1 level.

A lack of the standardization of  $O_2-O_3$  therapy should be considered a major limitation of this review. There are significant differences in the number of  $O_2-O_3$  injections and the volume and concentration of the  $O_2-O_3$  mixture used (Table 1). Therefore, the possibility of a comparison between the evaluated studies is limited. The standardization of the protocols should be essential for future studies dealing with  $O_2-O_3$  therapy for pain management.

Authors are aware that the time span of the literature search between 01/2000 and 01/2024 can be considered a limitation of this review. However, in an initial search for papers dealing with the O<sub>2</sub>-O<sub>3</sub> treatment of musculoskeletal NP, we did not find any published before 01/2000. For this reason, the scope of the literature search is limited to the specified time range. Minimally invasive O<sub>2</sub>-O<sub>3</sub> pain treatment can still be considered a relatively new method. For example, the cited review papers dealing with the O<sub>2</sub>-O<sub>3</sub> treatment of discogenic lumbar spine pain include the earliest studies from 1998 [39], 2003 [26], and 2005 [38].

Limitations of this review also include the fact that the timing of minimally invasive  $O_2$ - $O_3$  treatment was not specified in the two evaluated studies [29,36], although the inclusion of patients with chronic pain may be considered here. The other evaluated studies clearly declare the realization of  $O_2$ - $O_3$  treatment in patients with chronic pain. In practice, the minimally invasive pain treatment methods are mostly used in patients with chronic pain when conservative treatment is not sufficient [60].

#### 5. Conclusions

Currently, there are only a few clinical trials evaluating the treatment of musculoskeletal NP using  $O_2$ - $O_3$  therapy. Moreover, most of these studies are designed as observational. Nevertheless, data from the available literature suggest that minimally invasive  $O_2$ - $O_3$  therapy of musculoskeletal NP may be potentially beneficial and relatively safe.

However, there is still a lack of proven benefits of  $O_2$ - $O_3$  therapy compared to conservative treatments of musculoskeletal NP.  $O_2$ - $O_3$  therapy may be considered for patients who do not benefit from conservative treatment approaches.

The etiology of musculoskeletal NP is quite heterogeneous. From this point of view, it can be expected that the effect of  $O_2$ - $O_3$  therapy on other causes of musculoskeletal NP, such as cervical facet joint syndrome, will be investigated in the future.

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