



Article

Residential End-Use Energy Estimation Models in Korean Apartment Units through Multiple Regression Analysis

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Abstract: The aim of this study was to develop a mathematical regression model for predicting end-use energy consumption in the residential sector. To this end, housing characteristics were collected through a field survey and in-depth interviews with residents of 71 households (15 apartment complexes) in Seoul, South Korea, and annual data on end-use energy consumption were collected from measurement systems installed within each apartment unit. Based on the data collected, correlativity between the field-survey data and end-use energy consumption was analyzed, and effective independent variables from the field-survey data were selected. Regression models were developed and validated for estimating six end uses of energy consumption: heating, cooling, domestic hot water (DHW), lighting, electric appliances, and cooking. Regression analysis for ventilation was not applied, and instead a calculation formula was derived, because the energy-consumption proportion was too low. The adj- \mathbb{R}^2 of the estimation model ranged from 0.406 to 0.703, and the maximum error between measured and estimated values was around $\pm 30\%$, depending on the end use.

Keywords: apartment unit; end-use energy consumption; estimation model; multiple regression analysis

1. Introduction

The Kyoto Protocol mandated a reduction in greenhouse gas emissions by some advanced countries, such as the United States and those of the European Union. In contrast, the 21st session of the Conference of the Parties (COP21) in the UN Framework Convention on Climate Change (UNFCCC), held in November 2015, adopted the Paris Agreement, which mandates that all parties should reduce greenhouse gas emissions. Based on the Paris Agreement, South Korea established an emission target of -37% relative to business as usual (BAU) by 2030. According to a 2017 energy-consumption survey [1], the building sector represents approximately 19% of the total energy consumption of South Korea. Within this sector, the residential sector accounts for approximately 51% of energy consumption. Thus, the energy consumption of residential buildings needs to be reduced to decrease the country's greenhouse-gas emissions.

To practically reduce the energy consumption of residential buildings, it is crucial to induce residents to reduce their energy consumption. Thus, it is necessary to gather information on energy consumption by end use (e.g., heating, cooling, and domestic hot water (DHW)) rather than by energy source (e.g., electricity, natural gas) to facilitate an intuitive understanding by residents. Studies on end-use energy consumption have already been globally conducted. Swan and Ugursal [2] divided end-use energy consumption modeling approaches for residential buildings into top-down and bottom-up models. They then subdivided bottom-up models into engineering and statistical

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models (regression, conditional-demand analysis, and neural networks) and analyzed the strengths and weaknesses of each. Shimoda et al. [3] calculated 460 types of annual end-use energy consumption (23 household types × 20 dwelling types) through an engineering method and estimated the total energy consumption of the residential sector in Osaka City by multiplying the simulated energy consumption and number of households for each category and then summing them up. Aydinalp-Koksal and Ugursal [4] derived end-use energy-consumption estimation models by using a neural network, conditional-demand analysis (CDA), and an engineering method based on heating degree day (HDD), cooling degree day (CDD) and the 1993 Survey of Household Energy Use (SHEU) in Canada, which included the building structure, heating, cooling, and DHW device type, owned appliance type, social and economic information of the residents, and electricity and gas bills, and then compared the results of the models. Ryan et al. [5] and Ren et al. [6] investigated the national trends and developed prediction model for residential end-use energy consumption in Australia. Most previous studies compared energy-consumption estimation methodologies or estimated end-use energy consumption using established approaches. However, these studies were insufficient to analyze and estimate end-use energy consumption based on detailed sub metering data by end-use and relevant data. In this regard, Swan and Ugursal [2] concluded that studies on end-use energy consumption of residential buildings have the following limitations:

- Energy consumption is significantly affected by household characteristics, such as structure size, geometry, and resident behavior, but there are restrictions on collecting relevant information due to privacy issues.
- 2. Installing end-use energy-consumption measurement systems is difficult because of the prohibitive cost.

The aim of the present study was to broaden the understanding of end-use energy consumption in the residential sector through developing a mathematical regression model based on measurement data. To this end, information on housing characteristics was collected through a field survey and in-depth interviews with residents for 71 households (15 apartment complexes) in Seoul, South Korea, and data on end-use energy consumption were collected from measurement systems installed in each apartment unit. Based on the collected data, a regression model was developed through multiple regression analysis and validated with a separate test set of 10 households.

2. Literature Review

Residential energy-consumption modeling approaches are divided into top-down and bottom-up models [2,7–10]. The top-down models focus on overall energy consumption or long-term changes rather than individual energy consumption. They use macro level variables, such as gross domestic product (GDP), employment rates, climatic conditions, housing construction/demolition rates, and estimates of appliance ownership and number of units in the residential sector [2]. In contrast to the top-down models, the bottom-up models extrapolate a certain area or a nation's energy consumption from individual household energy consumption. Therefore, they aims to define characteristics of individual households or end-use energy consumption, and use micro level variables, such as dwelling area, envelop performance, number of occupants, and occupants' socioeconomic conditions. Bottom-up models in particular can be classified into engineering and statistical models, and the statistical models can further be divided into regression, CDA, and neural networks [2,9,10]. The engineering models calculate energy consumption based on energy rating, dwelling characteristics, equipment usage and ownership, and so on, and can develop an energy-consumption model without any historical energy-consumption information. The statistical models, on the other hand, use energy billing information or consumption data, dwelling characteristics, occupant behavior, and so on, and form a relationship between household characteristics and energy consumption.

The regression technique uses regression analysis to determine the coefficient of the model corresponding to input parameters, and aggregates dwelling energy consumption onto parameters

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or combinations of parameters that are expected to affect energy consumption [2]. Fumo and Biswas [8] made a comparative study on various regression techniques (e.g., simple linear regression, multiple linear regression, and nonlinear regression), and then analyzed the results of simple and multiple regression analysis and a BEopt simulation through a case study. They used household electricity-measurement data as dependent variables, and outdoor dry-bulb temperature and global horizontal radiation as independent variables. Multiple regression analysis results showed the highest R² among all the cases (e.g., simple regression adj-R²: 0.512–0.701, multiple regression adj-R²: 0.540-0.705, BEopt adj- R^2 : 0.315-0.691), and the authors concluded that the statistical models can be a cost-effective approach to forecast energy consumption, because the statistical models can avoid the burden associated with the collection of needed information to develop the engineering models. Li [9] established four end-use models, water heating, space heating, space cooling, and appliances, by multiple regression analysis. This study used personalized data such as householders' age, income, number of rooms, and construction year, obtained from the Residential Energy Consumption Survey (RECS), and a zip-code database obtained from the U.S. census. The adj-R² of the estimation models was as follows: space heating, 0.7458; space cooling, 0.7382; water heating, 0.2854; and appliances, 0.4495. This study suggested that the model developed can further be used as a template to predict end-use energy consumption for both the individual and zip-code-area level.

CDA performs regression based on the presence of end-use appliances. By regressing the total dwelling energy consumption onto a list of owned appliances that are each indicated as either a binary or count variable, the coefficients determined represent the use level and rating [2]. CDA disaggregates total energy consumption into specific energy consumption without theoretical engineering data or metering data. Parti and Parti [11] developed an air-conditioning, space-heating, and water-heating estimation model based on electric billing records of more than 5,000 households and appliance ownership. Larsen and Nesbakken [12] developed an end-use estimation model using two methods, the engineering model and CDA, and then compared the results. They concluded that both models had drawbacks; the most important drawback of the engineering model was the high need for detailed information, and for CDA it was insignificant results for appliances. However, they suggested that CDA has the potential for improvement by use of better data, and it is preferable for future studies.

A neural network (NN) utilizes a simplified mathematical model based on the densely interconnected parallel structure of a biological neural network [2]. Aydinalp-Koksal et al. [13] developed appliances, lighting, and cooling energy consumption estimation model using NN, and achieved high prediction performance (R^2 0.909). Aydinalp-Koksal and Ugursal [4] investigated CDA to develop residential end-use energy consumption at the national level. They also established another model with a NN and the engineering method to compare the results. Average end-use energy consumption found by the three models was similar, but adj- R^2 was the highest in the NN model. This study focused on regression analysis, which can be a simple and exact approach for developing an end-use energy-consumption estimation model by using dwelling characteristic data, occupant information, and energy-consumption measurement data.

3. Materials and Methods

As shown in Figure 1, information on housing characteristics was collected through a field survey and in-depth interviews with residents of 71 households (15 apartment complexes) in Seoul, South Korea. Annual energy consumption data were collected from measurement systems installed to measure energy consumption by end use. Energy consumption was defined as 7 end uses: heating, cooling, DHW, lighting, ventilation, electric appliances, and cooking. Three energy sources were considered: city gas, electricity, and district heating. The annual end-use energy-consumption data were collected from May 2017 to April 2018. Variables affecting the energy consumption of each end use were identified through correlation analysis and analysis of variance (ANOVA) with SPSS 24. Effective variables for deriving a regression model were selected by repeating multiple regression by end use. Multiple regression analysis was conducted with the selected variables as independent

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variables, and the measured end-use energy consumption as the dependent variable, and then an end-use energy-consumption estimation model was developed. The regression model developed was validated through a separate test set of 10 households.

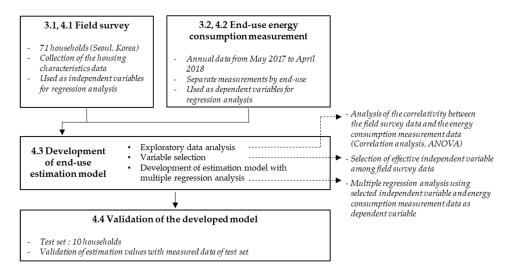


Figure 1. Research flowchart for the development of the regression model.

3.1. Field Survey Overview

For the 71 households (15 apartment complexes, 4 or 5 units per complex) in Seoul, South Korea, specialized researchers visited each household and collected information on housing characteristics through an in-depth investigation and interviews with residents and apartment managers as shown in Figure 2. The information collected included heat-source type, permit year, area for exclusive use, vertical and horizontal position of the apartment units, orientation, heating, cooling, DHW, lighting, ventilation, electrical- and cooking-equipment-related information (e.g., ownership, number of possessed appliances, usage schedule), and resident information (e.g., age, occupation, gender, and occupancy schedule).



Figure 2. Housing-characteristics data collection through a field survey.

This study also collected weather data (i.e., outdoor temperature and humidity) every hour from the Korea Meteorological Administration. Seoul, the subject area of this research, is located at 37° N latitude, 126° E longitude, and has a temperate climate. These climate zones generally have wider temperature ranges throughout the year, and typically feature 4 distinct seasons: summer is the warmest, winter the coldest, and spring and autumn are mild. Building energy consumption increased in summer and winter due to their severe outdoor conditions. Therefore, this study calculated HDD and CDD based on the collected weather data, and considered their effects for estimating and validating heating and cooling energy consumption. The HDD was calculated to be 2830 °C·day (based on 18.3 °C), and the CDD was calculated to be 186 °C·day (based on 24 °C) for the period between May 2017 and April 2018.

3.2. End-Use Energy-Consumption Classification and Measurement

In general, residential energy consumption is measured according to energy source (e.g., city gas, electricity, and district heating) to impose fees. In this study, energy consumption was classified

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and defined by end use for analysis of end-use energy consumption. ISO 12655:2013 [14,15] is an international standard for measuring the energy use of buildings that classifies building energy consumption into heating, cooling, DHW, ventilation, lighting, appliance, lift, auxiliary facilities, and so on. As shown in Figure 3, this study classified energy consumption into 7 end-uses: heating, cooling, DHW, lighting, ventilation, electric appliances, and cooking. These were selected in consideration of the energy-consumption proportion of apartments in South Korea based on the classification system of ISO 12655:2013. For heating and DHW, energy consumption was defined as the system main energy source (district heating or city gas) and system-operation energy consumption (electricity). For cooling, the energy consumption of the air conditioner, which is the main cooling equipment, was used (fans were classified as electric appliances). For lighting, the energy consumption of the main lighting equipment, composed of separate branch circuits, was used. For ventilation, the energy consumption of the ventilation unit and exhaust fans in the bathroom and kitchen was used. For electric appliances, the energy consumption of electric devices in the household, such as kitchen appliances (e.g., refrigerator and microwave), household appliances (e.g., washing machine and vacuum cleaner), and information technology devices (IT devices, e.g., computer, printer, etc.) was used. This was calculated by subtracting the energy consumption of heating, cooling, DHW, lighting, ventilation, and the electric cooktop from the total household energy consumption. For cooking, the energy consumption of the main cooking appliances (i.e., gas or electric cooktop) was used.

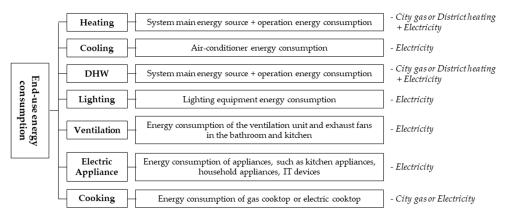


Figure 3. End-use energy-consumption classification and definitions.

Measurement systems (e.g., watt-hour meters, smart plugs, calorimeters, and flowmeters) were installed in each household as shown in Figure 4 to measure and calculate energy consumption by end use (detailed process for measurement system installation was referred to [16,17]). Research was conducted on the annual end-use energy-consumption data from May 2017 to April 2018, and data were recorded every hour. All units of the energy consumption measured were converted into kilowatt hours (kWh) to facilitate comparison and analysis of end-use energy consumption.

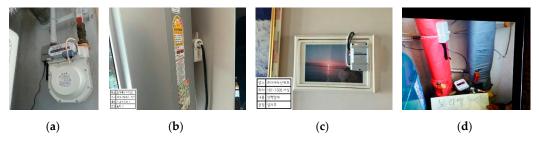


Figure 4. Energy-consumption measurement-system installation: (a) gas flowmeter for heating and domestic hot water (DHW), (b) watt-hour meter for cooling, (c) watt-hour meter for total household power, and (d) hot-water calorimeter for DHW.

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4. Results

4.1. Field-Survey Results

Based on the housing-characteristics data collected through the field survey and previous studies [4,18–21], independent variables were classified into buildings, systems, and user-related characteristics, as given in Table 1. There are four types of variable: ratio variables and interval variables, which are referred to as quantitative variables (variables that can be measured on numerical values such as weight and area), and nominal variables and ordinal variables, which are referred to as categorical variables (variables that take on a fixed number, assigning each observation to a particular group such as grade, blood type, and gender). For regression analysis, all variables had to be quantitative, so categorical variables were converted into dummy variables, as shown in Table 1. In addition, all sample households were located in the same area (i.e., Seoul); weather data were not used as independent variables.

Table 1. Independent-variable setting.

Category	Variable	Units	Scale	Range
	AREA	m ²	Ratio	26–137
_	PYEAR ¹	-	Nominal	(Dummy variable) 0: before 2000, 1: after 2001
Building-related –	ATYPE	-	Nominal	(Dummy variable) 0: apartment house of staircase type 1: apartment house of corridor access type
characteristics	LOCATE_v	-	Nominal	(Dummy variable) (0,0) bottom floor, (1,0) middle floor, (0,1) top floor
_	LOCATE_h	-	Nominal	(Dummy variable) 0: on the sides, 1: in the center
_	ORIEN	-	Nominal	(Dummy variable) (0,0) southeast or east, (1,0) south, (0,1) southwest or west
	BALCONY	-	Nominal	(Dummy variable) 0: not extended, 1: extended
	HEAT_temp	°C	Ratio	18–30
_	HEAT_sub	-	Nominal	(Dummy variable) 0: not used, 1: used
	HEAT_source	-	Nominal	(Dummy variable) 0: District heating, 1: City gas (individual heating)
	nAIR ²	EA	Ratio	1–3
	AIR_type	-	Nominal	(Dummy variable) 0: stand type, 1: wall type
_	AIR_hour ³	-	Nominal	(Dummy variable) (0,0) 2 h or less, (1,0) 12 h or less, (0,1) more than 12 h
_	DHW_wm	-	Nominal	(Dummy variable) 0: not used, 1: used
System-related	nDHW	EA	Ratio	3–8
characteristics -	AREA m² Ratio PYEAR¹ - Nominal (Dumm' ATYPE - Nominal 0: 1: apa LOCATE_v - Nominal (Dummy ORIEN - Nominal (Dummy ORIEN - Nominal (Dummy HEAT_temp °C Ratio HEAT_sub - Nominal (Dummy HEAT_sub - Nominal (Dummy AIR_type - Nominal (Dumm) AIR_type - Nominal (Dumm AIR_hour³ - Nominal (Dumm) DHW_wm - Nominal (Dumm) LD W/m² Ratio LD W/m² Ratio LD W/m² Ratio LED - Ratio (Dumm) V_bath_hour h/day Ratio V_unit - Ratio (Dumm) NTV EA Ratio nTV EA Ratio nPC EA Ratio	2–21		
_	L_hour	m² Ratio 26–137 Comminal (Dummy variable) 0: before 200 (Dummy variable) E	1–12	
_	AREA m² Ratio PYEAR¹ - Nominal (Dummy variable) ATYPE - Nominal 0: apartment 1: apartment hou LOCATE_v - Nominal (Dummy variable) LOCATE_h - Nominal (Dummy variable) ORIEN - Nominal (Dummy variable) BALCONY - Nominal (Dummy variable) HEAT_temp °C Ratio HEAT_sub - Nominal (Dummy variable) HEAT_sub - Nominal (Dummy variable) HEAT_sub - Nominal (Dummy variable) AIR_type - Nominal (Dummy variable)	(Dummy variable) 0: not used, 1: used		
_	V_bath_hour	h/day	Ratio	0.12–3.5
	V_kit_hour	h/day	Ratio	0.12–2
	V_unit	-	Ratio	(Dummy variable) 0: not used, 1: used
	nREF	EA	Ratio	1–5
	nTV	EA	Ratio	1–4
_	nPC	EA	Ratio	0–2
_	nWP	EA	Ratio	0–1
_	nAP	EA	Ratio	0–1
-	COOK	-	Nominal	(Dummy variable) 0: electric cooktop, 1: gas cooktop

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Category	Vari	able	Units	Scale	Range
	nR	ES	person	Ratio	1–5
	by gender	nMALE	person	Ratio	0–4
User-related	-) 8	nFEMALE	person	Ratio	0–4
characteristics	by age	nAGE (≥65)	person	Ratio	0–3
		nAGE (20-64)	person	Ratio	0–5
		nAGE (8–19)	person	Ratio	0–3
		nAGE (≤7)	person	Ratio	0–1
	by economic	nECONO_act	person	Ratio	0–4
	activity ⁴	nECONO_inact	person	Ratio	0–4

Table 1. Cont.

4.2. End-Use Energy-Consumption Measurement Results

End-use energy consumption measurement results were applied as dependent variables in multiple regression analysis. From the total of 71 households, measurement values without annual data were excluded. To ensure the reliability of the data, it was 10% trimmed: the highest 10% and the lowest 10% of the data were excluded. The selected effective target samples were 42 households for heating, 39 households for cooling, 35 households for DHW, 54 households for lighting, 71 households for ventilation, 39 households for electric appliances, and 37 households for cooking.

Table 2 shows the annual end-use energy-consumption statistics, and Figure 5 gives those of the box plot. Heating appeared as the largest proportion in household energy consumption based on annual average consumption, and electric appliances were next: 51.9% for heating, 0.9% for cooling, 14.6% for DHW, 3.2% for lighting, 0.2% for ventilation, 23.1% for electric appliances, and 6.1% for cooking. Because the energy-consumption proportion of ventilation was too low at 0.2%, regression analysis was not applied; instead, a calculation formula was derived based on the information collected on housing characteristics (see Section 4.3.3 for details).

		Heating	Cooling	DHW	Lighting	Ventilation	Electric Appliances	Cooking
- 10111111 01 0	Number of effective samples		39	35	54	71	39	37
Average	(Ratio)	6926 (51.9%)	117 (0.9%)	1950 (14.6%)	429 (3.2%)	32 (0.2%)	3086 (23.1%)	816 (6.1%)
Ma	ax	14,325	292	292 5184 1147		149	4576	1646
M	in	1226	29	386	137	0	2,014	312
	25th	3506	67	1109	263	14	2,487	530
Percentile	50th	6746	105	1693	374	22	3,064	811
	75th	9698	149	2426	581	43	3,586	979
Standard 1	Deviation	3504	68	1111 217 29 641		641	332	

Table 2. Annual end-use energy-consumption statistics (unit: kWh/yr).

¹ Permit year is an indicator directly related to the building-envelope insulation performance because it can be estimated from legal regulations on thermal transmittance at the time of the permit year. The permit year of the samples ranged from 1985 to 2007, and South Korean regulations on the thermal transmittance were reinforced stepwise in 2001, 2008, 2010, 2013, and 2015. Thus, permit year was collected in interval scale but was converted into a dummy variable by being set to the nominal scales of before 2000 and after 2001. ² Households with zero cooling energy consumption (households with zero air-conditioners mainly used) were excluded from the samples for developing the estimation model. ³ The average daily air-conditioner operating hours were collected on a ratio scale, but were converted into dummy variables by being set to the nominal scales of 2 h or less, 12 h or less, and more than 12 h to consider frequency distribution, which was created based on the field-survey data. ⁴ Employed individuals 15 years or older with an actual income or unemployed individuals seeking jobs to immediately start working are collectively referred to as economically active people (Statistics Korea, statistical standard terms).

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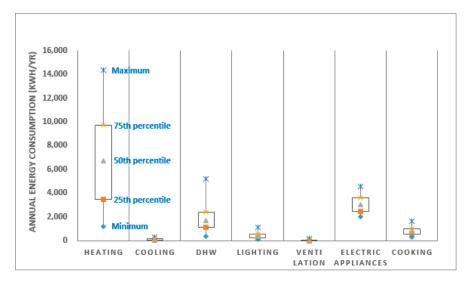


Figure 5. Annual energy consumption by end use.

4.3. Development of the End-Use Energy-Consumption Estimation Model

Variables that affect energy consumption were identified through correlation analysis and ANOVA between the information on housing characteristics collected through the field survey and energy-consumption measurement data. The multicollinearity between variables was examined (see Section 4.3.1). Based on this, multiple regression analysis was repeatedly conducted to select the final variables for deriving optimal regression equations by end use (see Section 4.3.2), and an end-use energy-consumption estimation model was derived (see Section 4.3.3).

4.3.1. Exploratory Data Analysis

Because energy consumption is affected by various variables in a complicated manner, the results of statistical analysis between energy consumption and each variable do not have an absolute meaning. This section presents the basic data for selecting the final variables that were required to derive a regression model for estimating end-use energy consumption.

Variables for the ratio scale were examined through correlation analysis. The relationship between each variable and energy consumption was interpreted with a correlation coefficient. This study analyzed that variables had a relationship with energy consumption when the correlation coefficient, as seen in the R column of Tables 3 and 4, was 0.3 or higher; Generally, the correlation coefficient is analyzed that 0.30–0.59 has a correlation, 0.6–0.0.74 has strong correlation, and 0.75 or higher has very strong correlation [22], and this study found that most of the variables with a correlation coefficient of 0.3 or higher presented as significant in regression analysis. In addition, the correlation coefficient between variables was examined for checking multicollinearity. If correlation between variables was observed, partial correlation analysis was conducted with setting the other as the control variable. Partial correlation coefficients were calculated for the variables with a correlation coefficient of 0.3 or higher, and Tables 3 and 4 gave partial correlation coefficients, which was written in the p-R column, and corresponding control variable.

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Table 3. Correlation analysis for ratio-scale and analysis of variance (ANOVA) for nominal-scale results ^{1,2}: heating, cooling, and DHW.

			He	ating	Coo	ling	DHW	
	Variable		R	ANOVA	R	ANOVA	R	ANOVA
			p-R	Cramér	p-R	Cramér	p-R	Cramér
	ARI	EΑ	0.620 *	-	0.177	-	0.264	-
- - - -	PYE	AR	-	0.072	-	0.290	-	0.065
		-	0.283					
Building	All	I L				0.027		0.203
	LOCA	TE_v	-	0.012 *	-	0.942	-	0.646
	LOCA	TE_h	-	0.329	-	0.977	-	0.586
	ORI	EN	-	0.527	-	0.188	-	0.322
	BALC	ONY	-	0.104	-	0.101	-	0.455
	HEAT_	temp	0.538 *	-	n/a	n/a	n/a	n/a
	HEAT	_sub	-	0.006 *	n/a	n/a	DVA R mér p-R - 0.264 - 0.264 - 0.27	n/a
	HEAT_	HEAT_source		0.462	n/a	n/a	n/a	n/a
System	nA	nAIR		n/a	0.373 *	-	n/a	n/a
	AIR_type		n/a	n/a	-	0.170	n/a	n/a
	AIR_l	AIR_hour		n/a	-	0.000 *	n/a	n/a
	DHW	_wm	n/a	n/a	n/a	n/a	-	0.225
	nDH	IW	n/a	n/a	n/a	n/a	0.235	-
	nRl	ES	0.099	-	0.221	-	0.649 *	-
		pMAIF	0.102	_	0.107	_	0.311	_
	By gender	IUVIALE	0.102		0.197		-0.142 (n RES)	
		PEEMALE	0.051	_	0.083	_	0.482	_
		III-EMIALE	0.031		0.003		0.142 (n RES)	
User		nAGE(≥65)	-0.106	-	-0.342 *	-	-0.177	-
	By age	nAGF(20-64)	0.114	_	0.241	-	0.516	_
	Бу иде	111 (CL(20 04)	0.114		0.241		0.081 (n RES)	
		nAGE(8-19)	-0.141	-	0.264	-	0.149	-
		nAGE(≤7)	0.153	-	-0.072	-	0.141	-
	by economicac	nECONO_act	0.287	_	0.078	_	0.529	_
	tivity	TILCONO_act	0.207		0.076		0.129 (n RES)	

 $^{^1}$ R: correlation coefficient, p-R: partial correlation coefficients, ANOVA: variable' p-value in ANOVA. Cramér: Cramér correlation coefficients. 2 p-R and Cramér were presented only for multicollinearity occurring. * Variables with a relationship with end-use energy consumption.

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Table 4. Correlation analysis for ratio-scale and AVOVA for nominal-scale results ^{1,2}: lighting, electric appliances, and cooking.

			Lig	hting	Electric A	Appliances	Cookii	ıg
	Variable		R	ANOVA	R	ANOVA	R	ANOVA
			p-R	Cramér	p-R	Cramér	p-R	Cramér
	A	REA	0.535 *	-	0.414 *	-	0.123	-
	PΥ	EAR	_	0.017	-	0 299	-	0.798
	11	L/ III		0.387		0.2))		0.7 70
	AT	YPE	-		-		-	0.548
Building				0.614 (AREA)		0.630 (AREA)		
	LOC	ATE_v	p-R Cramér p-R Cramér 0.535 * - 0.414 * - 0 - 0.017 - 0.299 - - 0.007 - 0.033 - 0.614 0.630 (AREA) - - 0.283 - 0.677 - 0.889 - 0.601 - 0.356 - 0.417 - 0.004 - 0.122 (AREA) - 0.417 - 0.004 - 0.122 (AREA) - 0.417 - 0.004 - 0.122 (AREA) - 0.122 0.003 - n/a n/a 0.0463 * - n/a n/a n/a n/a n/a n/a n/a n/a 0.360 * - n/a n/a n/a n/a n/a n/a	-	0.733			
	LOC	ATE_h	-	0.889	-	0.601	-	0.498
	OI	RIEN	-	0.356	-	0.417	p-R 0.123 - 0.123 - - - - - - - - - - - - -	0.058
	RAI	CONY	_	0.004	-	0.122	-	0.301
	DAL	CONT				0.122		0.501
]	LD	0.003	-	n/a	n/a	n/a	n/a
	L_	hour	0.463 *	-	n/a	n/a	n/a	n/a
	L	.ED	-	0.390	n/a	n/a	n/a	n/a
Cryatama	n	REF	n/a	n/a	0.360 *	-	p-R C 0.123 - 0.123	n/a
System	n	TV	n/a	n/a	0.389 *	-	n/a	n/a
_	n	nPC		n/a	0.272	-	n/a	n/a
	nWP		n/a	n/a	0.294	-	n/a	n/a
	n.A	AP	n/a	n/a	0.183	-	n/a	n/a
	CO	OOK	n/a	n/a	n/a	n/a	-	0.827
	n	RES	0.535 *	-	0.191	-	0.470 *	-
		»MALE	0.523	_	0.268	-	0.443	_
	by gender	IUVIALE .		•	0.200	•	0.185 (nRES)	=
			0.321	_	0.027	-	0.002	_
		REVIALE .		•	0.027		0.092	
		nAGE(≥65)	-0.178	-	0.180	-	0.037	-
	by age	n A C E (20_64)	0.361	_	0.010	_	0.160	_
User	by age	III (GE(20-04)		•	-0.019		0.109	
		nAGE(8-19)	0.378 *	-	0.136	-	0.401 *	-
	nAGE(≤7) -0	-0.186	-	-0.147	-	-0.201	-	
		nECONO act	0.310	_	0.020	_	0.127	_
	by economic activity	nic nECONO_act 0.020 -	0.127					
		nECONO_inact	0.338	_	0.272	-	0.401	_
		HECONO_INACt .	0.109 (nRES)	•	0.272		0.291 (nRES)	

 $^{^1}$ R: correlation coefficient, p-R: partial correlation coefficients, ANOVA: variable 'p-value in ANOVA. Cramér: Cramér correlation coefficients. 2 p-R and Cramér were presented only for multicollinearity occurring. * Variables with a relationship with end-use energy consumption.

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Variables for the nominal scale were examined through ANOVA. The statistical significance of the average energy-consumption difference between groups was verified with ANOVA. This study interpreted that variables indicated a relationship with energy consumption when an ANOVA *p*-value (significance probability), which is in the ANOVA column of Tables 3 and 4, was 0.05 or lower (significance level, 5%). To examine multicollinearity, Cramér correlation coefficients between variables were calculated, and this study found that variables with a 0.3 or higher coefficient had a relationship. Cramér correlation coefficients were calculated for the variables with ANOVA *p*-value of 0.05 or lower, and Tables 3 and 4 gave Cramér correlation coefficients, which is in the Cramér column, and the corresponding variable.

Tables 3 and 4 present exploratory data analysis results for six end uses: heating, cooling, DHW, lighting, electric appliances, and cooking. For ventilation, statistical values were investigated for deriving the calculation formula: average bathroom ventilation fan power was 30 W, average kitchen exhaust fan power was 90 W, and annual average energy consumption of ventilation unit was 25 kWh/yr.

(1) Heating

AREA (area for exclusive use), LOCATE_v (apartment-unit vertical location), HEAT_temp (heating setting temperature), and HEAT_sub (use of auxiliary heating equipment) indicated a relationship with energy consumption. Although all building-related variables theoretically affect heat loss and heat gain, only AREA and LOCATE_v were found to be significant for heating. AREA showed a strong correlation with heating energy consumption at a correlation coefficient of 0.620. LOCATE_v exhibited a p-value of 0.012, which indicates that the average difference between heating energy consumption of the bottom, middle, and top floors was significant. In case of ATYPE, the average difference of heating energy consumption according to access type could be significant with an ANOVA p-value of 0.000. However, the Cramér correlation coefficient between ATYPE and AREA was 0.780; this is because apartment units with a large area are distributed more to the staircase type. Thus, ATYPE could not be an effective variable for heating energy consumption because there was multicollinearity between AREA and ATYPE. Among system-related variables, HEAT_temp and HEAT_sub were found to be significant. HEAT_temp was strongly correlated with heating energy consumption at a correlation coefficient of 0.538. HEAT_sub exhibited a p-value of 0.006, which indicated that the average difference in heating energy consumption according to the status of auxiliary heating-equipment use (e.g., electric heaters and electric heating pads) was significant. User-related variables did not exhibit significant correlations when residents were classified by gender, age, and economic activity. However, nECONO_act exhibited weak positive correlation at a correlation coefficient of 0.287, which means that the more economically active people are, the more heating energy consumption is likely to appear.

(2) Cooling

The nAIR (number of air-conditioners mainly used), AIR_hour (average daily air-conditioner operating hours), and nAGE (\geq 65, number of residents aged 65 or older) indicated a relationship with energy consumption. None of the building-related variables had a significant relationship with energy consumption. The correlation coefficient of nAIR was 0.373, which indicates that cooling energy consumption increased with the number of air conditioners. The *p*-value of AIR_hour was 0.000, which indicated that the average difference in cooling energy consumption in average daily air-conditioner operating hours (i.e., 2 h or less, 12 h or less, and more than 12 h) was significant. The correlation coefficient of nAGE (\geq 65) was higher than \pm 0.3, which indicates that cooling energy consumption decreased as the number of elderly people (aged 65 or older) increased.

(3) DHW

Only nRES (number of residents) exhibited a relationship with energy consumption, with a correlation coefficient of 0.649. No significant relationship was observed with other building-related and system-related variables. From gender, age, and economic-activity variables, nMALE, nFEMALE,

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nAGE (20–64), and nECONO_act were correlated with DHW energy consumption. However, when partial correlation analysis was conducted with nRES as the control variable, none of gender, age, or economic activity exhibited significant relation.

(4) Lighting

The AREA (area for exclusive use), L_hour (average daily lighting hours), nRES (number of residents), and nAGE (8–19, number of residents aged between 8 and 19) indicated a relationship with energy consumption. Only AREA exhibited correlation among building-related variables, with a correlation coefficient of 0.535. The ANOVA *p*-value was less than 0.05 for PYEAR, ATYPE, and BALCONY, but the Cramér coefficient with AREA was greater than 0.3, which indicates that these variables had a correlation with AREA. In other words, the difference was not caused by permit year, access type, and balcony-extension status, but rather by the fact that the area for exclusive use distribution was not uniform in the groups. Of the system-related variables, the correlation coefficient of L_hour was 0.463, which indicates positive correlation. Of the user-related variables, the correlation coefficient of nRES and nAGE (8–19) was 0.535 and 0.378, respectively, which indicate positive correlation. The nMALE, nFEMALE, nAGE(20–64), nECONO_act variables did not had a significant relationship with lighting energy consumption according to partial correlation analysis with nRES as a control variable.

(5) Electric appliances

AREA, nREF (number of refrigerators, including kimchi refrigerator), nTV (Number of TVs) indicated a relationship with energy consumption. AREA, nREF, and nTV exhibited a relationship with a correlation coefficient of 0.414, 0.360, and 0.389, respectively. nWP had a weak positive relationship with a correlation coefficient of 0.294, which was approximated to 0.3. From user-related variables, no variable exhibited a significant relationship with a correlation coefficient of 0.3 or higher.

(6) Cooking

nRES and nAGE indicated a relationship with energy consumption. None of the building-related and system-related variables exhibited a significant relationship. From user-related variables, nRES and nAGE were correlated with cooking energy consumption at a correlation coefficient of 0.470, 0.401 each. It was inferred that the more residents there were, the higher cooking energy consumption was; students (aged between 8 and 19) played an especially key role in cooking energy consumption. nECONO_inact was correlated with cooking energy consumption, but when partial correlation analysis was conducted with nRES as a control variable, it showed weak positive correlation, at a partial correlation coefficient of 0.291.

4.3.2. Variable Selection

Methods for selecting variables in multiple regression analysis are largely divided into forward, backward, and stepwise selection. Forward selection adds variables one by one in the order of the highest *p*-value. In contrast, backward selection deletes variables one by one in the order of the lowest *p*-value after all variables are entered. In stepwise selection, forward and backward selection are alternated; this is the most commonly used variable-selection method. This study conducted variable selection by hand, not by SPSS, using the stepwise selection approach for all variables in Table 1 to consider a relationship between variables and energy consumption, the importance of each variable, and so on, as well as the variable *p*-values. Addition and deletion of variables were repeated based on the correlation with end-use energy consumption or in the order of the highest *p*-value. The relationship with energy consumption can be found in the results of Section 4.3.1.

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4.3.3. Development of Estimation Model with Multiple Regression Analysis

The final variables selected were used as independent variables, and end-use energy-consumption measurement data were used as dependent variables. A regression model for estimating end-use energy consumption (kWh/yr) was developed through multiple regression analysis, as shown in Equations (1)–(7), excluding Equation (5). Regression analysis was not performed for ventilation energy consumption because its proportion of the total energy consumption was extremely low. Instead, a calculation formula was derived using ventilation-fan power and operation hours based on the collected information on housing characteristics. In Section 4.3.1, the average bathroom ventilation fan power was 30 W, average kitchen exhaust-fan power was 90 W, and the annual average energy consumption of ventilation units was 25 kWh/yr. Equation (5) shows the calculation formula for estimating ventilation energy consumption. Table 5 presents Adj-R², F-ratio, and the *p*-value of the regression model for estimating end-use energy consumption. All regression models were statistically valid at a *p*-value of 0.05 or less. Adj-R² was exhibited from 0.406–0.703, which means that the explanation power of the model is 40.6%–70.3%.

ECooling =
$$-19.8 + 1.3 \times AREA + 46.9 \times AIR_hour(dummy1) + 294.4 \times AIR_hour(dummy2)$$
 (2) $-36.9 \times nAGE(\ge 65) + 13.9 \times nAGE(8 - 19)$

$$E_{DHW} = -407.7 + 5.2 \times AREA + 646.4 \times nRES$$
 (3)

$$\begin{split} &E_{\text{Lighting}} \\ &= -73.6 + 2.9 \times AREA + 103.6 \times BALCONY + 24.4 \times L_hour + 15.4 \times nAGE (\geq 65) \\ &+ 34.9 \times nAGE (20 - 64) + 125.9 \times nAGE (8 - 19) \end{split} \tag{4}$$

$$E_{Ventilation} = (30 \times V_bath_hour + 90 \times V_kit_hour) \times 0.365 + 25 \times V_unit$$
 (5)

 $E_{Electric\ appliances} = 185.9 + 6.7 \times AREA + 407.3 \times nREF + 334.1 \times nTV + 605.7 \times nWP + 101.9 \times nECONO_act$ (6) $+373.8 \times nECONO_inact$

$$E_{\text{Cooking}} = -232.3 + 259.4 \times nAGE(\ge 65) + 175.3 \times nAGE(20 - 64) + 374.6 \times nAGE(8 - 19)$$

$$+440.2 \times COOK$$
(7)

Table 5. Regression analysis results of the estimation model.

-	Heating	Cooling	DHW	Lighting	Ventilation	Electric Appliances	Cooking
Equation	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Adj-R ²	0.643	0.703	0.406	0.548	-	0.407	0.429
F-ratio (<i>p</i> -value)	15.767 (0.000)	18.996 (0.000)	12.631 (0.000)	11.694 (0.000)	-	5.355 (0.001)	6.000 (0.001)

4.4. Validation of End-Use Energy-Consumption Estimation Model

The regression models derived were validated using measurement data of the test set. Ten apartment units from the training set, which had annual data for another measurement period, were selected for test set; it was difficult to make training and test sets for the same period due to the limited

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number of samples. Thus, the test set was formed by selecting samples that had complete annual data for the different measurement period from the training set. The measurement period of the test set was from June 2016 to May 2017, and for the training set was from May 2017 to April 2018. Because test and training sets had different measurement periods, the average difference between the two sets was verified through a paired T-test, as given in Table 6. If the *p*-value was lower than 0.05, it indicated that the average difference between the two groups was statistically significant at a significance level of 5%. In Figure 6, we can see that the heating energy consumption of most households in the test set was lower than that of the training set, but cooling energy consumption did not show specific patterns (the rest was the same as cooling).

Table 6. Paired T-test results for 10 households' measurement data of test and training sets.

	Heating ¹	Cooling	DHW	Lighting	Ventilation	Electric Appliances	Cooking
<i>p</i> -value	0.011	0.506	0.575	0.475	0.521	0.473	0.566

¹ Test set average heating energy consumption: 5644 kWh/yr. Training set average heating energy consumption: 6590 kWh/yr.

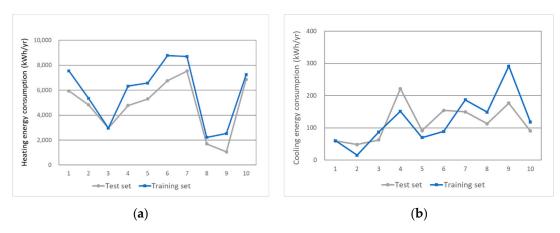


Figure 6. Ten households' measurement data of test and training sets: (a) heating (b) cooling.

For all end uses except for heating, the average difference between the test and training sets was statistically insignificant. For heating, the p-value was 0.011, which indicates that the average difference (=946, 6590–5644 kWh/yr) between the two groups was valid. The weather data for the measurement period of test and training set are as follows. It can be inferred that there was no noteworthy difference in energy consumption except for heating in spite of climate-condition differences. In addition, we can conclude that the average difference of heating energy consumption between the test and training set comes from the HDD difference.

- 1. Test-set weather data: June 2016 to May 2017
 - a. HDD (based on 18.3°C): 2624 °C·day
 - b. CDD (based on 24.0°C): 236 °C·day
 - c. Average solar radiation: 149 Wh/m²
- 2. Training-set weather data: May 2017 to April 2018
 - a. HDD (based on 18.3°C): 2830 °C·day
 - b. CDD (based on 24.0°C): 186 °C·day
 - c. Average solar radiation: 142 Wh/m²

Because the average difference of heating energy consumption (=946 kWh/yr) is statistically valid, the estimated heating energy consumption of the test set was calculated by subtracting 946 kWh

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from the results with Equation (1). For the other end uses, the estimated values were calculated with Equations (2)–(7). Measured and estimated consumption, and error by end use, are given in Table 7. The maximum error between the measured and estimated values was around $\pm 30\%$.

Table 7. Validation of the estimation model with measured data.

End	-use	Validation for 10 Households										
Litu	-use	1	2	3	4	5	6	7	8	9	10	
Heating _	Measured (kWh/yr)	7008	5407	14,044	8955	6955	4674	2624	7839	5805	4349	
Treating _	Estimated (kWh/yr)	5937	5296	12,022	6753	7529	6891	3136	6993	7839 5805 6993 8773 -12 34 157 139 154 149 -2 7 3274 1148 3567 1216 8 6 510 456 565 425 10 -7 38 38 45 38 16 0 2995 2662 2687 3019 -11 12	4620	
-	Error (%)	-18	-2	-17	-33	8	32	16	-12		6	
Cooling _	Measured (kWh/yr)	274	78	52	80	236	183	32	157	139	77	
Coomig	Estimated (kWh/yr)	308	89	49	77	246	221	24	154	149	90	
-	Error (%)	11	13	-9	-4	4	17	-31	-2	7	14	
DHW -	Measured (kWh/yr)	1811	1296	1296	2743	3806	2228	1981	3274	1148	892	
DIIVV -	Estimated (kWh/yr)	1432	1153	1397	2024	4021	2876	1909	3567	1216	686	
-	Error (%)	-26	-12	7	-35	5	23	-4	8	6	-30	
Lighting _	Measured (kWh/yr)	576	302	247	698	520	262	241	510	456	311	
Eigiting -	Estimated (kWh/yr)	633	301	278	726	531	282	222	8 6 510 456 565 425 10 -7	333		
-	Error (%)	9	0	11	4	2	7	-8	10	-7	6	
Ventilation -	Measured (kWh/yr)	50	46	57	85	38	21	18	38	38	13	
vertifiation -	Estimated (kWh/yr)	54	38	100	97	38	27	20	45	39 5805 93 8773 12 34 57 139 54 149 2 7 74 1148 67 1216 8 6 10 456 10 -7 8 38 5 38 6 0 95 2662 87 3019 11 12 79 757 37 705	21	
-	Error (%)	6	-22	42	13	0	0	13	16		36	
Electric	Measured (kWh/yr)	3782	3329	2361	4189	3282	2333	2647	2995	2662	3523	
Appliance -	Estimated (kWh/yr)	3995	3418	2427	4455	3352	2568	2980	154 149 -2 7 3274 1148 3567 1216 8 6 510 456 565 425 10 -7 38 38 45 38 16 0 2995 2662 2687 3019 -11 12 879 757 1037 705	3428		
-	Error (%)	5	3	3	6	2	9	11	-11	12	-3	
Cooking _	Measured (kWh/yr)	773	1029	795	969	1463	879	879	879	757	735	
cooming -	Estimated (kWh/yr)	737	963	762	991	1508	975	922	1037	705	735	
-	Error (%)	-5	-7	-4	2	3	10	5	15	-19	6	

5. Discussion

Many previous studies have utilized weather-data-related variables (e.g., HDD, CDD) for estimating heating and cooling energy consumption. Fumo and Biswas [8] analyzed various residential energy-consumption prediction methodologies using regression analysis (e.g., linear and nonlinear regression), and then suggested simple and multiple regression models for electricity consumption in June 2013. They used dry-bulb temperature as an independent variable, and the regression coefficient was positive, which means that cooling energy consumption increases as outdoor temperature increases. In addition, Li [9] also used sqrtCDD65 as an independent variable for a cooling estimation model. However, in Section 4.4, actual measurement data showed that cooling energy consumption was rarely

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affected by weather data, while heating energy consumption decreased as HDD decreased. Related to this, follow-up research is needed with more samples over a longer period to present meaningful data.

The Adj-R² of the regression model for estimating end-use energy consumption was in the order of cooling (0.703) > heating (0.643) > lighting (0.548) > cooking (0.429) > electric appliances (0.407) > DHW(0.406), and a higher Adj- R^2 indicates that the regression model had a higher explanatory power for the samples. For cooking, electric appliances, and DHW, where Adj-R² was mediocre at the level of 0.4, further studies on variables capable of increasing the explanatory power or other statistical approaches are needed. Aydinalp-Koksal and Ugursal [4] developed a space heating, DHW and appliance, lighting, and cooling (ALC) estimation model using the neural-network, CDA, and engineering methods, and they applied the following variables: owner or rent status, household income, number of adults, number of children for DHW, refrigerators, secondary refrigerators, dishwashers, clothes dryers, and minor electric appliances. In this study, Adj-R² appeared as 0.795 for ALC, 0.814 for DHW, and 0.892 for space heating (with the CDA approach). On the other hand, Matsumoto [10] applied CDA methodology for estimating electric-appliance energy consumption. He utilized not only appliance ownership but also household income as independent variables, and divided appliances into old and new ones. However, Adj-R² appeared to be only between 0.400 and 0.428. Newsham and Donnelly [19] also use CDA approach and divided appliances into old one (>10 years) and new one (<10 years), and Adj-R² appeared to be 0.525 for electrical appliances, 0.792 for natural gas appliances.

6. Conclusions

The purpose of this study was to develop an estimation model for end-use energy consumption in the residential sector. In this study, information on housing characteristics was collected through a field survey of 71 households in Seoul, South Korea, and annual energy consumption by end use was collected from May 2017 to April 2018 from installed measurement systems. Based on the data collected, the relationship between end-use energy consumption and housing characteristics was analyzed by correlation analysis and ANOVA. Multicollinearity between variables was also analyzed. A correlation coefficient of 0.3 or higher was interpreted as significant in correlation analysis, and a p-value at the 5% level (0.05 or lower) was interpreted as significant in ANOVA. Significant variables were found to be AREA, LOCATE_v, HEAT_temp, and HEAT_sub for heating; nAIR, AIR_hour, and nAGE (\geq 65) for cooling; nRES (Number of residents) for DHW; AREA, L_hour, nRES, and nAGE (8–19) for lighting; AREA, nREF, nTV for electric appliances; and nRES and nAGE (8–19,) for cooking. For most end uses, AREA, nAGE (8–19), and nAGE (\geq 65) exhibited crucial variables.

The final variables by end use were selected using repeated multiple regression analysis with the stepwise selection method. The estimation model for end-use energy consumption was derived from where selected final variables were used as independent variables, and energy-consumption measurement data were used as dependent variables. For ventilation, a calculation formula was derived instead of a regression equation because its proportion of total energy consumption was very low. The Adj-R² of the estimation model ranged from 0.406 to 0.703 depending on end use. The end-use energy-consumption estimation model was validated with a separate test set (10 households), and the maximum error between the measured and estimated values was around $\pm 30\%$. The error rates of heating, cooling, and DHW, which are affected by climate conditions, were especially greater compared to the error of lighting, ventilation, electric appliances, and cooking, with relatively less fluctuation in energy consumption throughout the year. However, in-depth analysis of the error between measured and estimated was difficult because of the limited number of samples. A follow-up study with more samples and related researches [23–25] is required to analyze the household characteristics that have a higher error and to improve the estimation models. In addition, the heating estimation model of this study was limited to Seoul, South Korea (HDD based on 18.3 °C: 2830 °C·day).

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Nomenclature

HDD Heating degree day (°C·day)
CDD Cooling degree day (°C·day)
AREA Area for exclusive use (m²)

PYEAR Permit year

ATYPE Apartment building access type
LOCATE_v Apartment-unit vertical location
LOCATE_h Apartment-unit horizontal location

ORIEN Apartment-unit orientation BALCONY Balcony-extension status

HEAT_temp Heating setting temperature (°C)
HEAT_sub Use of auxiliary heating equipment

HEAT_source Heat-source type

nAIR Number of air conditioners mainly used (EA)

AIR_type Type of air conditioner mainly used

AIR_hour Average daily air-conditioner operating hours DHW_wm Use of hot water in the washing machine

nDHW Number of sanitary appliances for hot water (shower, wash basin, and sink; EA)

LD Lighting density (W/m^2)

L_hour Average daily lighting hours (h/day)

LED LED use

V_bath_hour Average daily bathroom ventilation-fan operating hours (h/day)
V_kit_hour Average daily kitchen exhaust-fan operating hours (h/day)

V_unit Use of ventilation unit

nREF Number of refrigerators (including kimchi refrigerator; EA)

nTV Number of TVs (EA) nPC Number of PCs (EA)

nWP Number of water purifiers (EA)
nAP Number of air cleaners (EA)
COOK Cooking-appliance type
nRES Number of residents (person)
nMALE Number of males (person)
nFEMALE Number of females (person)

nAGE (≥65) Number of residents aged 65 or older (person)

nAGE (20–64) Number of residents aged between 20 and 64 (person)
nAGE (8–19) Number of residents aged between 8 and 19 (person)
nAGE (≤7) Number of residents aged 7 or younger (person)
nECONO_act Number of economically active residents (person)
nECONO_inact Number of economically inactive residents (person)

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