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# Prediction of the Energy Self-Sufficiency Rate of Major New Renewable Energy Types Based on Zero-Energy Building Certification Cases in South Korea

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Abstract: There is an increasing interest in new renewable energy sources for achieving net zero emissions. Consequently, the construction industry has mandated zero-energy building certification (ZEB), through the usage of new renewable energy. However, because of the variations in the energy self-sufficiency rate (ESR) among the new renewable energy types, incorrect ESR prediction at the design stage may lead to problems. Hence, in this study, the ESR and construction cost are analyzed for each new renewable energy capacity to predict the ESR of photovoltaic (PV), building integrated photovoltaic (BIPV), geothermal, and fuel cell systems. Passive and active technology elements of the ZEB cases in Korea are analyzed, and by establishing a standard model with the average value of each case, the ESR is calculated for each new renewable energy capacity, and the calculation formulas are derived. The results indicate that for the PV and BIPV systems, the rate of ESR increases with the capacity (kWp) and is constant at 0.54% and 0.34%, respectively. However, for the geothermal system and fuel cells, the average ESR is 0.016% and 1.46%, respectively, but as the rate of ESR increase with the capacity (kW) gradually decreases, the calculation formulas are derived with a log graph.

Keywords: zero energy building; ZEB; energy self-sufficiency rate; new renewable energy



Citation: Wang, S.; Tae, S.; Jang, H. Prediction of the Energy
Self-Sufficiency Rate of Major New
Renewable Energy Types Based on
Zero-Energy Building Certification
Cases in South Korea. Sustainability
2021, 13, 11552. https://doi.org/
10.3390/su132011552

Academic Editors: Anna Visvizi, Wadee Alhalabi, Shahira Assem Abdel Razek, Paolo Gerli and Orlando Troisi

Received: 23 August 2021 Accepted: 15 October 2021 Published: 19 October 2021

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# 1. Introduction

According to "The Special Report on Global Warming of 1.5 °C" by the Intergovernmental Panel on Climate Change (IPCC) in October 2018, in order to maintain the increase in the global average temperature within 1.5 °C, carbon dioxide emissions need be reduced by 2030 by at least 45% compared to 2010, and "net zero", which indicates a net-zero carbon emission state, should be achieved across the globe by 2050 [1,2]. Six countries in Europe, including Sweden (2017), the United Kingdom (2019), Denmark (2019), France (2020), and Hungary (2020), have already passed net zero legislation, the European Union (EU) has committed to the establishment of Europe as the first climate-neutral continent by 2050 (2019) [3,4]. In Asia, China (2020) and Japan (2020) have pledged net zero. In addition, the United States has rejoined the Paris Agreement (2021) and committed to achieving net zero by 2050 [5,6]. Since the enforcement of the Paris Agreement in 2016, 121 countries have joined the Climate Ambition Alliance with the objective of achieving net zero by 2050, demonstrating a shared global commitment toward achieving zero greenhouse gas emissions [7,8].

In 2020, South Korea introduced the 'Korean New Deal', which is an overarching national project to derive innovative growth in the post-COVID-19 era. The country announced the promotion of a strategic policy with three major projects, including the

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Digital New Deal, digital and green convergence, and Green New Deal, and ten priority tasks. Among these major national projects, green energy is included as a priority task in the Green New Deal. The essence of the Korean New Deal is its energy policy and implementation, which is promoted with the objective of achieving a net-zero society through global climate change response, energy security, and the development and support of ecofriendly industries [9,10].

The strategy for promoting net zero emissions in the building sector includes main tasks such as expanding new renewable energy like solar and geothermal energies and achieving zero energy in public buildings [11]. Accordingly, South Korea has mandated that starting in 2020, newly built public buildings with a minimum floor area of 1000 m<sup>2</sup> must obtain zero energy building certification (ZEB). To do this, the energy self-sufficiency rate (ESR), ratio of energy production to energy consumption, of a certain level or higher must be achieved [12,13].

Increasing the ESR requires energy production (i.e., the installation of new renewable energy systems); however, because the ESR efficiencies of the new renewable energies are significantly different and construction cost is involved depending on the type, the implementation of an optimal design of new renewable energy with careful analysis from the design stage is necessary [14]. However, for ESR analysis, multiple aspects, such as passive and active technology elements including construction, machinery, electricity, and new renewable energy, as well as the size and utility of the building need to be considered, rendering ESR prediction difficult [15,16]. Moreover, as ZEB has been implemented only recently, data on similar cases are limited, leading to problems such as design changes due to noncompliance with the ESR condition, which increases the construction cost and prolongs the construction period [17,18].

In view of the above, this study investigates the ZEB cases in Korea in order to predict the ESR of the major new renewable energy types and analyzes the ESR and construction costs of these energy types by selecting a standard model. Furthermore, the ESR formula for each major new renewable energy type is derived to allow the construction stakeholders (building owner, architect, and builder) to assess the optimal share of new renewable energy at the design stage, which is neither excessive nor insufficient. The flowchart of this study is presented in Figure 1.

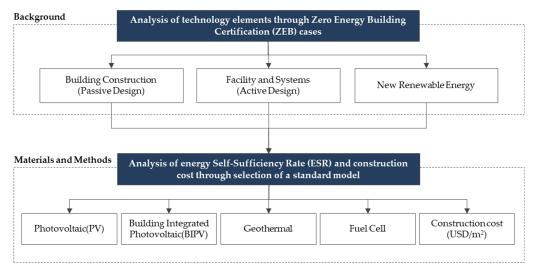


Figure 1. Flow chart of this study.

#### 2. Background

# 2.1. Zero-Energy Buildings

A zero-energy building aims to achieve net zero energy consumption by balancing the energy consumption of the building and energy production onsite using new renewable energy. In the United States, it refers to an energy neutral building that supplies as

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much energy as it consumes from the renewable energy grid (i.e., a building in which the annual energy consumption and energy generation are the same) [13,19]. In the European Union (EU), a zero-energy building refers to a building with considerably high energy performance in terms of the construction and facilities for heating, cooling, hot water heating, lighting, and ventilation, and includes new renewable energy produced from the land on which the building is situated or the adjacent areas [13,20]. In Japan, it refers to a building with zero net annual energy consumption and  $CO_2$  emissions by improving the energy saving performance of the building and facilities as well as the utilization of new renewable energy onsite [13,21].

Hence, a zero-energy building can be regarded as a building that achieves energy independence by balancing its energy consumption and production. Such buildings aim to minimize energy consumption through passive technology elements related to the construction and active technology elements associated with systems and electricity, and maximize energy production through new renewable energy, increasing the ESR [22]. The concept of a zero-energy building is illustrated in Figure 2 [12,22].

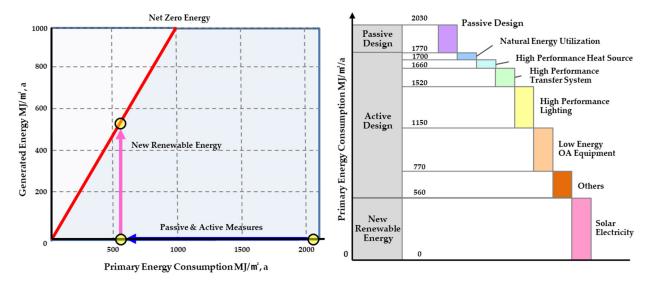


Figure 2. Concept of a zero-energy building.

Korea has implemented ZEB certification since 2017 and, from 2020, public buildings with a total floor area of 1000 m<sup>2</sup> or more are legally mandated to acquire ZEB certification [12,13]. The scope for ZEB certification will be expanded to include private buildings and apartments from 2025, and all buildings with a total floor area of 500 m<sup>2</sup> or more will be included from 2030 [12,13]. The ZEB grade is categorized into a total of five grades based on the ESR; the criteria and certification status for each ZEB grade are outlined in Table 1 [23].

ZEB Grade	Grade Criteria	Certification Status	Certification Ratio
grade 1	$ESR \geq 100\%$	27 cases	2.26%
grade 2	$ESR \ge 80\%$	26 cases	2.18%
grade 3	$ESR \ge 60\%$	83 cases	6.95%
grade 4	$ESR \ge 40\%$	233 cases	19.51%
grade 5	$ESR \geq 20\%$	825 cases	69.10%

#### 2.2. ECO2 Program

The software program, ECO2, used for ZEB evaluation of the buildings in Korea is developed and operated by the Korea Energy Agency (KEA), a ZEB operator. It utilizes the monthly method as its evaluation logic. ECO2 was developed in the early period

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using Microsoft visual basic for applications (VBA) as an Excel-based program. However, currently, ECO2 has been developed and is operated as a Windows-based program [24]. ECO2 performs ZEB evaluation by calculating the required energy and energy consumption of a building based on the monthly average weather data. The reference standards are ISO 13790 and DIN V 18599, and the monthly energy consumption for cooling, heating, hot water heating, ventilation, and lighting are evaluated with respect to the performance of the building. ECO2 is categorized into 20 types of use profiles according to the operation plan for each zone, and the energy demand based on the volume (m³) of each zone is determined. Accordingly, the primary energy consumption and CO<sub>2</sub> emissions per final unit area (m²) through the installation of passive and active technology elements and new renewable energy are evaluated, and the ESR for ZEB classification is calculated [25]. The ECO2 use profile is composed as illustrated in Table 2 [26].

**Table 2.** ECO2 use profile information.

Index	<b>Evaluation Contents</b>
Use profile	Classified into 20 categories according to the operation plan for each zone
Category	Residential space, small office, large office (over 30 m <sup>2</sup> ), conference room, auditorium, cafeteria, restroom, rest space (rest area, snack bar, etc.), attached space (lobby, hallway, etc.), storage room, information technology office, kitchen, hospital patient room, hotel room, classroom, lecture hall, store, exhibition room, reading room, fitness facility
Content	Equipment operation time, set demand amount (hot water supply, lighting, etc.), human and auxiliary equipment heat source, set temperature for cooling and heating, number of days used per month, correction coefficient for each use category

The ESR is the ratio of the primary energy consumption to the primary energy production of a building. The energy production is calculated by subtracting the energy required for energy production from the energy produced through a new renewable energy system, whereas the energy consumption is calculated as the sum of the primary energy consumption and production per unit area [27]. This can be checked at the completion stage of the evaluation using ECO2. The ESR calculation formula is presented in Equation (1) [25].

Energy Self – Sufficiency rate (%) = 
$$\frac{\text{Primary Energy Production}^{1)}}{\text{Primary Energy Consumption}^{2)}} \times 100$$
, (1)

- (1)  $\Sigma$ {(New renewable energy production–energy required for new renewable energy production)  $\times$  primary energy conversion coefficient}
- (2) Primary energy consumption per unit area + primary energy production per unit area. Primary energy refers to the energy that can be obtained directly from nature without undergoing any transformation or processing. Because the energy used in a building is the transformed or processed form of the primary energy, it is calculated by multiplying the primary energy produced by the primary energy conversion coefficient. For each energy

Table 3. Primary energy conversion coefficient for each type of energy source.

source, the primary energy conversion coefficients are listed in Table 3 [26].

Energy Source	Gas	Electricity	District Heating	District Cooling
Primary energy conversion coefficient	1.1	2.75	0.728	0.937

Energy production refers to the energy generated in buildings through new renewable energy systems [28]. The types of new renewable energy include solar, geothermal, ocean, bioenergy, wind, and fuel cells. However, in this study, energy types such as ocean, bioenergy, and wind energy, which cannot be applied due to the characteristics of the site, are excluded; photovoltaic (PV) systems, building integrated photovoltaic (BIPV)

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systems, geothermal systems, and fuel cells that are mainly applied in buildings are the evaluation targets.

#### 2.3. Literature Review and a Comparison of the Present and Existing Studies

Existing literature and research trends mainly target the primary energy consumption (i.e., the denominator of equation) (1); these studies include proposing optimal passive technology elements and methodology [15], minimizing the energy consumption and analyzing the energy behavior [16], proposing a ZEB technology package, analysis of passive and active technology elements [29], and ESR analysis of PV for residential buildings [30].

However, the present study targets the primary energy production (i.e., the numerator of Equation (1)), and facilitates the prediction of ESR and construction costs of new types of renewable energy by targeting small- and medium-sized public office buildings. Reliability is secured by selecting and evaluating the average model of three ZEB cases; thus, the building-related laws and ECO2 logic used in the actual work are applied, and different building characteristics can be considered. Finally, by deriving the ESR calculation formula for each major type of new and renewable energy, and verifying the error rate, a differentiation is achieved, which can be immediately used by construction stakeholders (building owner, architect, and builder) in actual work. Table 4 illustrates how the present study differs from the existing research.

Table 4. Differences between existing research and this study.

Authors	Title	Main Contents	Differences in This Study
Lee, S.M.; Parn, S.H.	Zero-Energy Building Integrated Planning Methodology for Office Building Considering Passive and Active Environmental Control Method.	<ul><li>Derive a design methodology for ZEB.</li><li>Analyze the passive technology elements.</li></ul>	<ul> <li>Analysis of passive and active technology elements through ZEB cases.</li> <li>Utilization of laws and ECO2</li> </ul>
Bargues, J.L.; Vivancos, J.L.; Gisbert, P.; Guillem, M.	Analysis of the Impact of Different Variables on the Energy Demand in Office Buildings.	<ul><li> Minimize energy consumption.</li><li> Analyze the energy behavior of the office building.</li></ul>	logic that can be used in actual work.  Selection of target buildings (Small and medium-sized
Sung, U.J.; Kim, S.H.	Development of a passive and active technology package standard and database for application to zero energy buildings in South Korea	<ul> <li>Propose a ZEB technology package.</li> <li>Propose passive and active technology packages, modules, and material performance.</li> </ul>	public office building) and standard model development.  Derivation of a major new renewable energy (PV, BIPV, Geothermal, and Fuelcell systems).
Yoon, J.H.;	The Role and Practical Contribution of Renewable Energy Technologies in Actual Zero Energy Building.	<ul> <li>Energy consumption</li> <li>analysis of residential</li> <li>buildings.</li> <li>ESR analysis of PV among</li> <li>the renewable energy types.</li> </ul>	· ESR and construction cost analysis and ESR prediction formula derivation.

## 3. Materials and Methods

For ESR and economic analysis, three ZEB cases were selected among the public office buildings in the Seoul metropolitan area (Seoul, Gyeonggi-do) in Korea. In terms of the building size, small- and medium-sized buildings with a total floor area of approximately 3000 m<sup>2</sup>, in which construction costs are involved when new renewable energy systems are included, were selected for analysis. The flow chart for the methods ESR and construction cost analysis of each new renewable energy type is depicted in Figure 3.

## 3.1. Standard-Model Design

To develop a new standard model with the average value of the passive and active technology elements of the three ZEB cases, the passive technology elements in the construction area and the active ones in the machinery and electrical equipment area were Sustainability **2021**, 13, 11552 6 of 15

selected for each zone required by the ECO2 program [30,31]. The passive technology elements in the construction include the area of the wall, floor, and roof, which mainly correspond to the building envelope area and floor area, and the directions and factors that can affect the U-value of each part. The active technology elements in the machinery and electrical system include the capacity (kW) of the equipment that can affect cooling, heating, hot water heating, ventilation, and lighting density [32–34]. Table 5 lists the data of the three ZEB cases and results of the standard model.

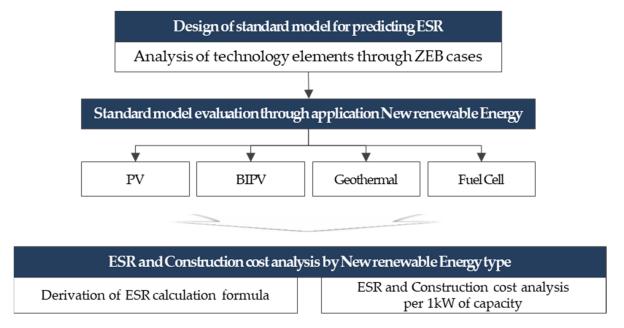


Figure 3. Flow chart for the methods ESR and construction cost analysis of each new renewable energy type.

## 3.2. ESR and Construction Cost Analysis

Using the data from the standard model (Table 4), new renewable energy systems such as the PV, BIPV, geothermal, and fuel cell systems were applied to analyze the ESR and construction cost according to the capacity increase, through the ECO2 program. The data from a new renewable energy company in Korea were used as references for the data of each type of new renewable energy, such as the solar module, geothermal heat pump, and fuel cell, and construction cost, for estimating the required values [35–37]. Additionally, for the PV and BIPV systems, which require the module installation area, the number of required modules and the installation area were analyzed.

#### 3.2.1. Photovoltaic (PV) System

For the PV system, the slope of the applied solar panel module was 45  $^{\circ}$ , the orientation was south, and the module type was rear ventilating. A monocrystalline solar panel module with a dimension of 2163  $\times$  1030  $\times$  35 mm, capacity of 460 W, and efficiency of 20.0% was used. Hence, approximately 2.07 modules need to be installed per 1 kW of capacity, and the required installation area is approximately 4.599 m² [35].

The ESR analysis results of the PV system, based on the standard model, using the ECO2 program, indicate an increase in the average primary energy production to  $1.1 \, \text{kWh/m}^2$  and an average ESR of 0.54% per  $1 \, \text{kW}$ , demonstrating a constant increase. To achieve an ESR of 20% or higher, corresponding to ZEB grade 5, a capacity of 37 kW is required for which the required PV system installation area is approximately  $170.19 \, \text{m}^2$ , and the construction cost is  $80,401 \, \text{USD}$ . To achieve an ESR of 100% or higher, corresponding to ZEB grade 1, a capacity of  $183 \, \text{kW}$  is required, for which the required installation area is approximately  $841.77 \, \text{m}^2$  and the construction cost is  $397,659 \, \text{USD}$ .

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Table 5. ZEB cases and standard model data.

Technology	y Elements	Case-1 (a)	Case-2 (b)	Case-3 (c)	Standard Model {(a)+(b)+(c)}÷3}
Lie		Public office	Public office	Public office	Public office
Usa	age	building	building	building	building
Total floor	area (m²)	2803.12	3630.84	2529.01	2987.66
Aspect ratio of the plane		1:2.908	1:1.836	1:1.023	1:1.922
	-		4 floors above	3 floors above	4 floors above
Si	Size		ground, 2 floors	ground, 1 floor	ground, 1 floor
			underground	underground	underground
Floor area of insulation space (m <sup>2</sup> )		1939.58	2551.60	1755.00	2082.06
	Work space		620.06	523.42	618.21
El	Service facilities	442.73	875.96	598.66	639.12
Floor area by use (m²)	Communal area	614.76	767.68	395.89	592.78
(m-)	Sanitary facilities	143.33	178.39	142.95	154.89
	Warehouse	27.60	109.51	94.08	77.06
Window-to	-wall ratio	22.26%	26.35%	25.54%	24.72
	<b>Eastward</b>	232.97	332.09	363.04	309.37
Exterior wall area	Westward	270.39	430.77	311.68	337.61
by direction (m <sup>2</sup> )	Southward	522.87	521.56	283.40	442.61
	Northward	495.64	711.84	299.81	502.43
	<b>Eastward</b>	21.78	102.97	110.35	78.37
Window area by	Westward	66.92	79.41	100.49	82.27
direction (m <sup>2</sup> )	Southward	203.57	307.25	163.40	224.74
	Northward	143.40	224.75	103.06	157.07
Roof area of	top floor (m²)	694.07	786.27	590.61	690.32
Floor area of bo	ottom floor (m²)	694.07	786.27	590.61	690.32
A TT .1	<b>Exterior wall</b>	0.213	0.214	0.135	0.187
Average U-value	Window and door	1.399	1.333	1.392	1.375
by part	Roof	0.170	0.129	0.083	0.127
(W/m <sup>2</sup> ⋅K)	Floor	0.166	0.164	0.142	0.157
C1! 1 l-		EHP 102 kW	EHP 124 kW	EHP 91.4 kW	EHP 105.8 kW
Cooling and h	eating system	GHP 302 kW	GHP 294.4 kW	GHP 190 kW	GHP 262.1 kW
Hatamatan L.	Hat water heating system		electric water	gas-fired water	gas-fired water
Hot water heating system		gas-fired water heater (84 kW)	heater (34 kW)	heater (112 kW)	heater (93 kW)
	Primary Energy Conversion Coefficient (Gas: 1.1, Electricity: 2.75)		93.5 kW	123.2 kW	103 kW
Ventilatio	on system	energy recovery ventilator(22ea)	energy recovery ventilator(25ea)	energy recovery ventilator(16ea)	energy recovery ventilator(21ea)
Lighting	density	$4.90 \text{ W/m}^2 \text{ (LED)}$	$4.41 \text{ W/m}^2 \text{ (LED)}$	$7.82 \mathrm{W/m^2}$ (LED)	$5.71 \mathrm{W/m^2}$ (LED)

## 3.2.2. Building Integrated Photovoltaic (BIPV) System

For the BIPV system, the slope of the applied solar panel module was  $90^{\circ}$  (vertical), the orientation was south, and the module type was wall-mounted. The same module used in the PV system was adopted with the same type, size, capacity, and efficiency [35].

The ESR analysis results of the PV system, based on the standard model, indicate that the average primary energy production increases to  $0.7~\rm kWh/m^2$  and the average ESR is 0.34% per 1 kW, demonstrating a constant increase. To achieve an ESR of 20% or higher, corresponding to ZEB grade 5, a capacity of 58 kW is required, for which the required installation area is approximately 266.79 m² and the construction cost is 302,470 USD. To achieve an ESR of 100% or higher, corresponding to ZEB grade 1, a capacity of 289 kW is required, for which the required installation area is approximately 1329.35 m², and the construction cost is 1,507,135 USD. Compared to the values of the PV system, the required installation area is greater by approximately 1.6 times and the construction cost by approximately 3.8 times.

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#### 3.2.3. Geothermal System

A geothermal heat pump was used in the geothermal system for heating and cooling. It included a scroll compressor and geothermal expansion tank, and the coefficient of performance (COP) was 3.6. For the range of the geothermal heat pump capacity, the capacity (10–100%, 367.9 kW) of the heating/cooling system of the standard model was applied [36].

The ESR analysis results of the geothermal system of the standard model indicate that the best ESR efficiency is achieved with a heating/cooling system capacity ratio ranging from 30–40%, and the increase in ESR gradually reduces when the ratio is greater than this range. In addition, the ESR is 0% with a heating/cooling system capacity ratio of 10–20%; even with that of 100%, the ESR is 6.46%, which is below the value corresponding to grade 5 (ESR of 20%), the lowest ZEB grade. This is because the power consumption of the geothermal heat pump required to supply underground heat was excluded from the energy production calculation [32]. A construction cost of 491,514 USD is required for a heating/cooling system capacity ratio of 100%.

#### 3.2.4. Fuel Cell System

A power generation efficiency of 37% and heat generation efficiency of 90% were applied to the heat production by the cells of the fuel cell system [37]. The ESR analysis results of the fuel cell system of the standard model demonstrate an increase in the primary energy production to  $2.73 \text{ kWh/m}^2$  and an average ESR of 1.39% per 1 kW. For a capacity installation of 6 kW, the ESR is 8.4%, and the construction cost is 182,000 USD. In the case of the fuel cell system, the increase in energy production with the increase in capacity (kW) gradually decreases when the applied capacity is 3 kW or higher, and for an applied capacity of 6 kW or higher, the energy production no longer increases. The results of the ESR and construction cost analysis for each type of new renewable energy are outlined in Tables 6–10, and Figure 4.

**Table 6.** Result of the construction cost comparison for primary energy production per 1kWh/m<sup>2</sup>.

Primary Energy Production	PV	BIPV	Geothermal	Fuel Cell
$1  \text{kWh/m}^2$	1 kW, 1975 USD	1.43 kW, 7450 USD	62.5 kW, 38,997 USD	0.68 kW, 9523 USD
Additional cost compared against PV	1 times	1.57 times	19.7 times	4.8 times

Table 7. Results of the ESR and construction cost analysis for the photovoltaic (PV) system.

Capacity (kWp)	Module (ea)	Area (m²)	Primary Energy Consumption (kWh/m²)	Primary Energy Production (kWh/m²)	ESR	Construction Cost (USD)	ZEB Grade
18.52	38.37	85.18	203.7	20.37	10%	40,241	none
37.04	76.74	170.36	203.6	40.74	20%	80,481	grade 5
55.56	115.11	255.55	203.6	61.11	30%	120,722	grade 5
74.07	153.48	340.73	203.7	81.48	40%	160,963	grade 4
92.59	191.85	425.91	203.7	101.85	50%	201,204	grade 4
111.11	230.22	511.09	203.7	122.22	60%	241,444	grade 3
129.63	268.59	596.28	203.6	142.59	70%	281,685	grade 3
148.15	306.96	681.46	203.7	162.96	80%	321,926	grade 2
166.67	345.33	766.64	203.7	183.33	90%	362,167	grade 2
185.19	383.70	851.82	203.7	203.70	100%	402,407	grade 1
Average	2.07ea/kW	2.22/kW	203.7	1.1/kW	0.54%/kW	2173 USD/kW	-

## 3.2.5. Photovoltaic (PV) and Building Integrated Photovoltaic (BIPV) System

Analysis of the ESR according to the type of new renewable energy (PV and BIPV systems) displayed a proportional relationship between the installation capacity and ESR.

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The ESR of the PV and BIPV systems in the standard model can be calculated using Equations (2) and (3):

 $ESR_{PV}$  (%) = Capacity × 0.54 × Total floor area for evaluation (m<sup>2</sup>) ÷ 2987.66 (m<sup>2</sup>) (2)

 $ESR_{BIPV}$  (%) = Capacity × 0.34 × Total floor area for evaluation (m<sup>2</sup>) ÷ 2987.66 (m<sup>2</sup>) (3)

Table 8. Results of the ESR and construction cost analysis for the building integrated photovoltaic (BIPV) system.

Capacity (kWp)	Module (ea)	Area (m²)	Primary Energy Consumption (kWh/m²)	Primary Energy Production (kWh/m <sup>2</sup> )	ESR	Construction Cost (USD)	ZEB Grade
29.09	60.27	133.79	203.6	20.36	10%	151,682	none
58.17	120.53	267.58	203.7	40.72	20%	303,364	grade 5
87.26	180.80	401.37	203.6	61.08	30%	455,046	grade 5
116.34	241.06	535.16	203.7	81.44	40%	606,728	grade 4
145.43	301.33	668.95	203.5	101.80	50%	758,410	grade 4
174.51	361.59	802.74	203.8	122.16	60%	910,092	grade 3
203.60	421.86	936.53	203.8	142.52	70%	1,061,774	grade 3
232.69	482.12	1070.32	203.6	162.88	80%	1,213,456	grade 2
261.77	542.39	1204.11	203.8	183.24	90%	1,365,138	grade 2
290.86	602.66	1337.90	203.6	203.60	100%	1,516,820	grade 1
Average	2.07ea/kW	2.22m <sup>2</sup> /kW	203.7	0.7/kW	0.34%/kW	5215 USD/kW	-

Table 9. Results of the ESR and construction cost analysis for the geothermal system.

Cooling and Heating Capacity Ratio	Capacity (kW)	Primary Energy Consumption (kWh/m²)	Primary Energy Production (kWh/m²)	ESR	Construction Cost (USD)	ZEB Grade
10%	36.8	218.9	0	0%	49,165	none
20%	73.6	198.8	0	0%	98,330	none
30%	110.4	195.3	3.2	1.64%	147,494	none
40%	147.2	195.1	6.5	3.33%	196,659	none
50%	184.0	195.1	8.6	4.41%	245,824	none
60%	220.7	195.1	9.9	5.08%	294,855	none
70%	257.5	195.1	10.9	5.59%	344,020	none
80%	294.3	195.1	11.6	5.95%	393,185	none
90%	331.1	195.1	12.2	6.25%	442,350	none
100%	367.9	195.1	12.6	6.46%	491,514	none
Average	-	197.87	0.034/kW	0.016%/kW	1336 USD/kW	-

Table 10. Results of the ESR and construction cost analysis for the fuel cell system.

Capacity (kW)	Primary Energy Consumption (kWh/m²)	Primary Energy Production (kWh/m²)	ESR	Construction Cost (USD)	ZEB Grade
1	199.6	6.8	3.41%	26,000	none
2	196.3	13.1	6.67%	52,000	none
3	193.6	15.2	7.85%	78,000	none
4	192.1	15.8	8.22%	104,000	none
5	191.1	16.2	8.48%	130,000	none
6	190.5	16.4	8.61%	156,000	none
Average	193.87	2.73/kW	1.46%/kW	26,000 USD/kW	-

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3.3. Derivation and Verification of the ESR Calculation Formula for Each Type of New Renewable Energy

## 3.3.1. Geothermal System

In the geothermal system, there was no proportional relationship between the increase in the capacity and the ESR; therefore, Equation (4) was derived using the logarithmic trend line.

$$ESR_{Geothermal} (\%) = \{0.031 \times ln(X^{1}) \div 10\} - 0.0113\} \times Total floor area for evaluation (m^2) \div 2987.66 (m^2)$$
 (4)

<sup>1)</sup> X = Cooling and Heating Capacity Ratio (%),  $60 \le X \le 100$ .

Based on the ESR calculated using Equation (4), depending on the cooling and heating capacity ratio, the following differences were observed: 1.30% (10%), 0.85% (20%), 0.47% (30%), 0.33% (40%), 0.72% (50%), 0.28% (60%), 0.28% (70%), 0.20% (80%), 0.11% (90%), and 0.03% (100%). The values indicate that the lower the cooling and heating capacity ratio, the larger is the error range. However, according to the energy saving design standard in Korea, cooling and heating capacity ratios of 60% or higher are mandatory for public building [38,39]. Therefore, in the cooling and heating capacity ratio range of 60% or higher, where the percentage error is insignificant, Equation (4) can be used for the ESR prediction of geothermal systems in the design stage.

# 3.3.2. Fuel Cell System

In the case of the fuel cell system, there was no proportional relationship between the increase in the capacity and the ESR; therefore, Equation (5) was derived using the logarithmic trend line. When the ESR was calculated using Equation (5), depending on the capacity, the following differences were observed: 1.19% (1 kW), 0.08% (2 kW), 0.10% (3 kW), 0.36% (4 kW), 0.74% (5 kW), and 1.13% (6 kW). The values demonstrate that the larger the capacity, the larger the error range. In addition, as per the information obtained from the Korea Energy Agency (KEA), the ECO2 program has a limitation wherein it does not recognize the electrical energy production of the fuel cell system as energy production but only recognizes hot water heating as energy production; hence, the installation capacity of the fuel cell system is significantly lower than those of the other types of new renewable energy [31,40]. When the ECO2 program is improved in the future and the electrical energy production of the fuel cell system is recognized and reflected in the ESR calculation, Equation (5) may change accordingly:

$$ESR_{Feul cell} \text{ (\%)} = \{0.0287 \times ln(capacity) + 0.0406\} \times \text{Total floor area for evaluation } (m^2) \div 2987.66 \text{ (m}^2)$$
 (5)

#### 3.3.3. Total ESR Calculation

The total ESR is calculated by adding the ESRs derived for each type of new renewable energy through Equations (2)–(5). Compared with the target ESR through final ESR calculation, it is possible to apply the optimal capacity of new renewable energy by additionally applying or partially reducing the new renewable energy. The total ESR calculation formula is provided in Equation (6).

$$ESR_{Total} (\%) = ESR_{PV} + ESR_{BIPV} + ESR_{Geothermal} + ESR_{Feul cell}$$
 (6)

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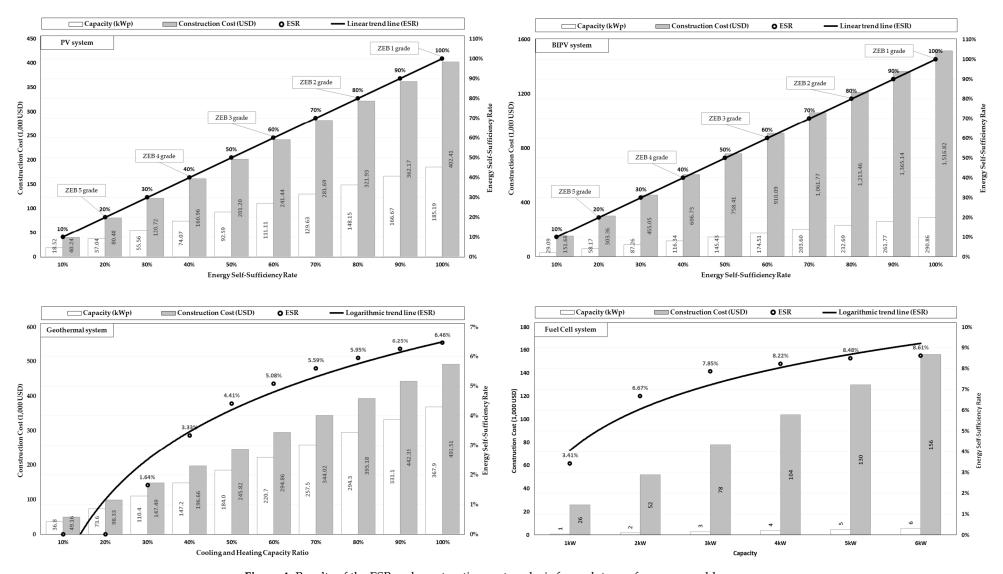


Figure 4. Results of the ESR and construction cost analysis for each type of new renewable energy.

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## 3.3.4. Verification of the ESR Formulas and Economic Analysis Based on ZEB Cases

To verify the derived ESR formula, the new renewable energy capacity and ESR applied to the three ZEB cases analyzed for the development of the standard model were compared. In addition, the ESR values calculated through the new renewable energy applied and those derived using Equations (2)–(5) were compared for three ZEB cases, for which the buildings were evaluated using ECO2 and have been designed, built, and completed. The difference between the values was in the range of 0.11–1.78%, and the percentage error was 0.66-7.89%. In addition, construction cost savings when PV, which had the best ESR efficiency and was most economical according to the analysis result of this study, is applied to the ZEB cases were compared to the construction costs of new renewable energy actually applied to the ZEB cases. Based on Tables 6–9 and using Equations (2)–(5), the new renewable energy system was converted to PV to make the ESRs the same as those applied to the ZEB cases. As a result, 56.46% of the construction cost is saved for Case 1, 83.33% for Case 2, and 45.46% for Case 3. This is expected to allow the construction stakeholders to contribute to the new renewable energy design in the design stage. Verification of the ESR formulas and economic analysis results based on the ZEB cases are provided in Table 11.

Category		Case-1	Case-2	Case-3	
	Total floor area	otal floor area 2803.1 m <sup>2</sup>		2529.0 m <sup>2</sup>	
C			PV 28 kW, Geothermal		
Case	Mana Dan annalala En anna	PV 16.2 kW	290 kW	PV 45 kW	
	New Renewable Energy	Fuel cell 5 kW	(Cooling and Heating	Fuel cell 6 kW	
			Ratio: 70%)		
	ESR (a)	16.75%	22.55%	29.10%	
	Construction cost (c)	165,202.6 USD	448,284 USD	253,785 USD	
	ESR (b)	16.86%	24.33%	28.82%	
Formula	Construction cost (d)	71,926.3	74,751.2	138,420.1	
	Construction cost (a)	(PV 33.1 kW)	(PV 34.4 kW)	(PV 63.7kW)	
	Error (b-a)	0.11%	1.78%	-0.28%	
Percent error $((b-a)/a) \times 100$		0.66%	7.89%	0.96%	
Construction cost saving ratio $((d-c)/d \times 100)$		56.46%	83.33%	45.46%	

Table 11. Verification of the ESR formulas and economic analysis based on ZEB cases.

#### 4. Discussion

Equations (2)–(5) were derived based on the ZEB cases and the standard model for ESR prediction; they may not serve as universal equations applicable for all evaluation targets. In particular, if there is a difference in the size, cooling, heating, and hot water heating capacity, depending on the characteristics of the construction project, the error may increase; therefore, it is recommended to apply the derived ESR formulas to small and medium-sized public business facilities having similar characteristics.

For PV and BIPV systems, in particular, module installation is mainly needed on the roof or exterior wall, window, and door area southward, and the installation area and ESR need to be considered. The results demonstrate that when the PV system is installed in all the roof areas of the standard model, an ESR of 80% (equivalent to ZEB grade 2) can be achieved, and when the BIPV system is installed in all the areas of the southward exterior wall, windows, and doors, an ESR of 40%, (equivalent to ZEB grade 4) can be achieved. However, in the case of geothermal and fuel cell systems, even with a cooling and heating capacity ratio of 100% or with a hot-water heating consumption ratio of 100%, the ESR is only 6.46% and 8.61%, respectively. Therefore, in order to achieve a good ZEB grade and high ESR, other new renewable energy systems such as PV or BIPV should be installed. Thus, to ensure high ESR, PV and BIPV systems should be considered first, and

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if additional ESR is required due to insufficient module installation area, the utilization of geothermal or fuel cell systems should be considered.

This study was conducted to calculate the ESR by selecting the primary energy production, which is the numerator in Equation (1), as the target. However, it is important to reduce the primary energy consumption, the denominator in Equation (1), for improving the ESR for the same renewable energy applied. To this end, a standard model design is needed to attain an economical ZEB that consumes less and produces less, rather than a high-consumption ZEB that consumes a lot and produces a lot.

Furthermore, although this study was conducted on CO<sub>2</sub>, which is required for net zero and ZEB in the building sector, it will be important to consider other gases, such as NOx, SOx, and CO, in addition to other environmental effects during the entire building process to realize truly energy-independent buildings. In particular, it is determined that energy saving systems that can accumulate energy should be considered when the ESR exceeds 100%. This must be supported by continuous research and revisions to the policy in the future. Therefore, it is expected that all gases will be taken into consideration to evaluate the total energy; life cycle assessment (LCA) will be considered, and economical, truly net zero buildings can then be realized.

#### 5. Conclusions

This study aims to contribute to the optimal design of new renewable energy systems from the design stage and predict the construction cost to assist the construction industry by developing a standard model for small and medium-sized public office buildings in the Seoul metropolitan area (Seoul, Gyeonggi-do) in Korea. Based on a standard model, the ESR and construction cost were analyzed for each type of major new renewable energy, and the following conclusions were derived after verifying the percentage error.

- 1. The ESR and construction cost analysis results of the photovoltaic (PV) system indicated that the ESR per 1 kWp was 0.54%, and the construction cost was 2173 USD. The ESR displayed a constant rate of increase with the increase in capacity, and Equation (2) was derived accordingly.
- 2. For the BIPV system, the obtained ESR per 1 kWp was 0.34%, and the construction cost was 5215 USD. The ESR displayed a constant rate of increase with the increase in capacity, and Equation (3) was derived accordingly.
- 3. For the geothermal system, the obtained ESR per 1 kW was 0.016% and the construction cost was 1336 USD. The rate of increase of the ESR decreased with the increase in the capacity, and Equation (4) was derived using a logarithmic trendline.
- 4. For the fuel cell system, the ESR per 1 kW was 1.46%, and the construction cost was 26,000 USD. The rate of increase of the ESR decreased with the increase in the capacity, and Equation (5) was derived using a logarithmic trendline.
- 5. On comparing the ESR of the Korean ZEB cases with the ESR obtained using the derived formulas, the maximum ESR difference was 1.78%, and the maximum percentage error was 7.89%.

**Author Contributions:** All the authors contributed substantially to all parts of this study. All authors have read and agreed to the published version of the manuscript.

**Funding:** This work was supported by the National Research Foundation of Korea (NRF) grant funded by the Korea government (MSIT) (grant number 2015R1A5A1037548); and the Korea Agency for Infrastructure Technology Advancement (KAIA) grant funded by the Ministry of Land, Infrastructure and Transport (grant number 21CTAP-C152276-03).

**Institutional Review Board Statement:** Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: Not applicable.

**Conflicts of Interest:** The authors declare no conflict of interest.

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