

Article

Pattern Statistics Network for Classification of High-Resolution SAR Images

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Abstract: The classification of synthetic aperture radar (SAR) images is of great importance for rapid scene understanding. Recently, convolutional neural networks (CNNs) have been applied to the classification of single-polarized SAR images. However, it is still difficult due to the random and complex spatial patterns lying in SAR images, especially in the case of finite training data. In this paper, a pattern statistics network (PSNet) is proposed to address this problem. PSNet borrows the idea from the statistics and probability theory and explicitly embeds the random nature of SAR images in the representation learning. In the PSNet, both fluctuation and pattern representations are extracted for SAR images. More specifically, the fluctuation representation does not consider the rigorous relationships between local pixels and only describes the average fluctuation of local pixels. By contrast, the pattern representation is devoted to hierarchically capturing the interactions between local pixels, namely, the spatial patterns of SAR images. The proposed PSNet is evaluated on three real SAR data, including spaceborne and airborne data. The experimental results indicate that the fluctuation representation is useful and PSNet achieves superior performance in comparison with related CNN-based and texture-based methods.

Keywords: convolutional neural networks (CNNs); image classification; image statistics; representation learning; synthetic aperture radar (SAR)

1. Introduction

Synthetic aperture radar (SAR) has been used in a wide range of remote sensing applications for many years because it provides many unique advantages, such as day-and-night acquisition, certain penetrability, and polarimetric capability [1,2]. With the development of SAR sensors, e.g., TerraSAR-X [3], RADARSAT-2 [4], Sentinel-1 [5], and Gaofen-3 [6], large amounts of SAR images have become available and the automatic interpretation of such massive data has been an active research topic. This paper deals with the classification of single-polarized SAR image, which is one of the fundamental problems in the automatic interpretation task [7–10]. In recent years, the classification techniques based on convolutional neural networks (CNNs) [11] have drawn a lot of attention in the remote sensing community. Significant efforts have been made to shift to this paradigm [12,13].

1.1. Motivation and Objective

The CNN-based classification methods mainly depend on the representation learning by which data representations are automatically extracted to make it easier to perform the classification task [14,15]. However, it still remains a challenge in representation learning to identify the random and ever-changing patterns lying in SAR data, especially in the case of finite training data. The convolution

in CNNs is basically a type of inner product in the Euclidean space [16], and it is equivalent to the matched filtering [17]. For example, the response (or feature) of the convolutional transformation to an image reflects the underlying spatial patterns of this image. For the SAR images, the above matched filtering becomes difficult because the spatial patterns are random and ever-changing, as shown in Figure 1. In this context, to cover the varying spatial patterns, the degrees of freedom of CNNs need to be increased, which in turn requires a large amount of training data. However, the lack of training data in SAR remote sensing is a common problem. In fact, the backscattered echoes in SAR are always varying because of the coherent imaging mechanism [18], and therefore collecting enough training samples may be intractable.

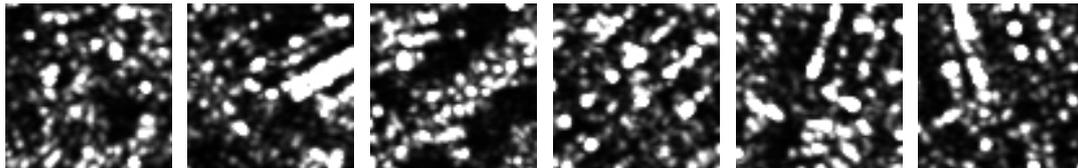


Figure 1. High intra-class diversity of SAR images. These six images come from a high-density residential area. Although they share the same class, i.e., the high-density residential area, they present random and ever-changing spatial patterns.

The effective representation should capture the underlying explanatory factors of the observed data for a specific task [15,19]. It is widely believed that the specific domain knowledge of the data plays an important role in helping to design effective data representation [15,20]. For the SAR image, its chaotic and unordered appearance indicates that it is a type of statistical signal. Therefore, its statistics are potentially useful for data representation [21,22]. For example, most of the traditional methods are based on the statistical analysis, and the first- and second-order statistics of SAR image have been widely used for data representation [23,24]. For example, the mean and standard deviation of SAR image do not consider the rigorous relationships between local pixels, and they can be regarded as the fluctuation representations of SAR image. Since the average operation is used to calculate the order statistics, the high variability of SAR image is significantly weakened. Figure 2 illustrates such a scatter plot. It can be observed that the high intra-class variations of SAR images become non-salient. Therefore, the objective of this paper lies in: embedding the statistical properties of SAR images in the representation learning to make it easier to perform the classification task.

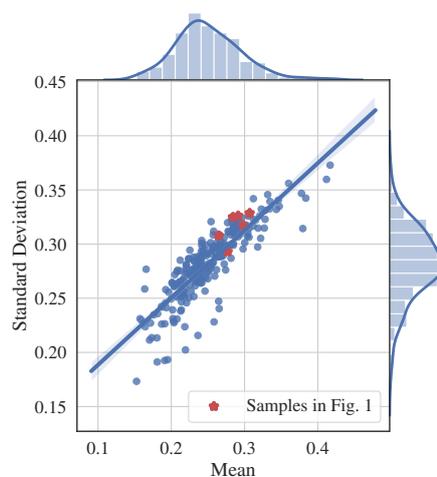


Figure 2. SAR image representation with the mean and standard deviation. Here, the slope of the straight line is associated with the estimated coefficient of variation (see Equation (6)), which measures the local development of speckle patterns. The *red* points, corresponding to images in Figure 1, closely locate around the straight line. The high intra-class variations become non-salient.

1.2. Contribution

This paper explicitly makes use of the statistical nature of the SAR image in the representation learning. The proposed pattern statistics network (PSNet) has two sub-nets, including a discriminative net (DiscNet) and a pattern net (PatterNet). In the PSNet, both fluctuation and pattern representations are learned to describe an SAR image:

- The fluctuation representation is derived from SAR image statistics, including the mean and standard deviation. These image statistics are adaptively mapped into a high-dimensional space by the DiscNet to fit discriminative fluctuation representation. The fluctuation representation does not consider the rigorous relationships between local pixels, and only describes the average fluctuation of local pixels.
- In contrast to the fluctuation representation, the pattern representation, which are automatically extracted by the PatterNet, devotes to hierarchically capturing the relationships between local pixels, namely, the spatial patterns lying in SAR images.

The contributions of the fluctuation representation and pattern representation to the final representation of SAR image are learned by minimizing the classification error.

The proposed PSNet is distinguished from other CNN-based methods in that it explicitly integrates the statistical mechanism of SAR image into the representation learning. The representation extracted by the PSNet not only describes the relationships between local pixels, but also captures the average fluctuations of local pixels from a global view.

This paper is organized as follows. In Section 2, the related works are briefly reviewed. Section 3 presents the proposed PSNet in detail. In Section 4, the experimental results are provided and analyzed. Finally, the discussion and conclusion are presented in Sections 5 and 6, respectively.

2. Related Work

A wide variety of methods for SAR image representation have been proposed. These methods can be divided into three categories: statistical models, textural analysis, and deep neural networks. This section briefly reviews the related works from which this paper draws inspiration.

2.1. Statistical Model

The chaotic and unordered appearance of speckle patterns bears no obvious relationships to the properties of illuminated objects, and most of the traditional works are based on the statistical analysis. In this line of work, the primary goal is to model the joint distribution of the *texture* and *speckle* random variables in the so-called multiplicative model [24]. In the case of *fully developed speckle pattern*, Gaussian assumption is valid [25]. That is, the real and imaginary parts of the backscattered signal are independent and identically distributed Gaussian variables [21]. Therefore, the exponential distribution and the gamma distribution can be respectively derived from this assumption for single-look and *L*-look intensity SAR images [21,26]. As the resolution increases, more details of the illuminated objects can be resolved, but the Gaussian assumption does not hold anymore [27]. In this case, the speckle patterns are *partially developed*, and therefore the statistical models should account for the *texture* variable. Many statistical models have been proposed for the high-resolution SAR images, such as Weibull, Log-Normal, and \mathcal{K} distribution [28,29]. These statistical distributions have shown success in modelling high-resolution SAR images. However, they are not flexible enough to fit different types of non-Gaussian SAR images, since these models have limited degrees of freedom. Therefore, to further increase the flexibility of the statistical models, researchers have proposed the compound and mixture probability models, such as generalized compound probability model [30], Fisher distribution [7], Gamma mixture model [31], and generalized Gamma mixture model (GFMM) [32]. The basic idea behind the statistical model is to capture the statistical properties of SAR image. This idea inspires the proposed PSNet which explicitly considers the statistical properties of SAR image in the representation learning.

2.2. Texture Analysis

Texture is probably the most important feature used for describing the spatial variations of SAR images. A considerable amount of research has been dedicated to *texture analysis*, which can be roughly categorized into statistical, geometrical, model-based, and signal processing methods [33]. The statistical methods take the random variation of texture into account and extract a set of textural features based on the assumption that the textural information of SAR images exists in an “average” way. For example, textural features extracted by the statistical methods have been suggested for SAR image analysis in [23], and these features have been demonstrated to be effective in the classification task. However, the multiplicative property of speckle was not considered for the *texture* modelling in [23]. Ulaby et al. subsequently proposed a multiplicative model from which the second-order statistics of *texture* were derived [24], and textural features based on the second-order grey-level co-occurrence matrix (GLCM) [34] were applied to the classification of SAR images. In 2010, Esch et al. [35] used the concept of heterogeneity to describe the local development of speckle patterns. With this concept, the deviations of partially developed speckle patterns from the fully developed speckle are measured with a series of thresholds, and these deviations are used for SAR image segmentation. This idea was further extended in [8] where the coefficient of variations of *texture* was estimated and its statistical characteristics were applied to SAR image classification. The geometrical methods borrow the idea from human visual perception and regard the *texture* as a superposition of a set of *primitives*, or the so-called *textons* [36]. The spatial layouts of these *primitives* are governed by the unordered and ordered rules, such as bag-of-features (BoF) [37] and spatial pyramid matching (SPM) [38]. The model-based methods believe that the *texture* is a realization of a generative model. Generally, the connections between local pixels are modeled by the Markovian framework [39,40], such as Hidden Markov Random Fields (HMRF) [41], Gaussian Markov Random Fields (GMRF) [42], and Lognormal Random Fields [43]. The signal processing methods analyze the *texture* from the perspective of frequency components [44]. The textural features are extracted with a bank of filters and multi-scale processing is generally used, e.g., Gabor filtering and Wavelet transforms [45–47]. The aforementioned methods use hand-crafted features to describe the texture information. By contrast, the presented PSNet integrates SAR image statistics into the representation learning and describes the *texture* with the learned features.

2.3. Deep Neural Networks

Deep neural networks automatically discover representations for SAR image with multiple processing layers. They generally fall into two categories: the deep generative and the deep discriminative networks [48,49]. The deep generative networks, such as deep Boltzmann machines (DBM) [50] and deep belief networks (DBN) [51], are devoted to learning and approximating the true distribution of SAR images [33] with hierarchical architectures. Liu et al. proposed Wishart–Bernoulli DBN (WDBN) for Polarimetric SAR imagery classification, where the conditional probabilities between the visible and hidden units were modeled by the Wishart and Bernoulli distributions [52]. In [53], the DBM model was used for SAR image classification, and it achieved higher classification accuracy than traditional methods on RADARSAT-2 data. Gao et al. combined DBN with the ensemble learning to extract discriminant features for SAR images, and they obtained promising classification performance [54]. In particular, the latest proposed generative adversarial networks (GAN) [55] have shown success in data generation, which is expected to be extended to SAR image interpretation. For the deep discriminative networks, they exploit the hierarchical architectures to directly predict the classification probability. A variety of deep discriminative networks have been proposed, such as standard CNNs [11], deep residual network (ResNet) [56], densely connected convolutional network (DenseNet) [57], and spatial pyramid pooling deep convolutional network (SPPNet) [58]. Several deep discriminative networks have been applied to SAR image classification. A deep supervised and contractive neural network (DSCNN) was presented to extract primitive features for SAR image classification [59,60]. Standard CNNs and complex-valued CNNs were proposed for PolSAR imagery classification, where both polarimetric and spatial features of PolSAR data were exploited [61,62].

Deep memory convolution neural network (M-Net) resorts to the information recorder to alleviate the overfitting problem in SAR image classification [63]. The above methods rely on the representation learning to extract features for SAR images, while the proposed PSNet integrates the inherent statistical mechanism of SAR data into the representation learning. The representations extracted by the PSNet not only capture the spatial patterns lying in SAR image, but also describe the statistical properties of SAR images.

3. Methodology

Figure 3 illustrates the framework of PSNet by which both the fluctuation and pattern representations of the input can be jointly learned. The fluctuation representation, deriving from the input statistics, does not consider the interactions between local pixels and only describes the average fluctuation of the input. By contrast, the pattern representation hierarchically captures the relationships between local pixels, namely, the spatial pattern lying in the input.

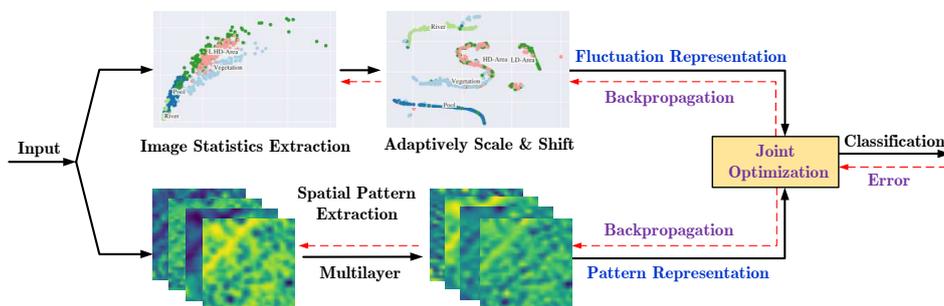


Figure 3. Framework of the proposed PSNet. The representation learned by the PSNet not only describes the average fluctuation of the input, but also captures the spatial pattern of the input.

In the following subsections, firstly, the statistical behavior of SAR image is briefly reviewed and the fluctuation representation of SAR image is explained. Secondly, the proposed PSNet is described in detail. Finally, the optimization of PSNet is presented.

3.1. Statistical Behavior of SAR Images

SAR images are characterized by its unordered and granular appearance known as *speckle*, which is generally described by the following multiplicative model [24]:

$$I = \bar{I} \cdot \tau s, \quad (1)$$

where I denotes the intensity of the SAR image, \bar{I} is the mean of I , τ and s respectively represent the *texture* and *speckle* random variables. Note that the *texture* variable describes the intrinsic spatial variations of illuminated object. In this context, I is basically a random variable and its statistical behaviors are governed by the interplays of *texture* and *speckle* variables. To describe the random behaviors of SAR image, the statistical models are commonly used. For example, in the case of *fully developed speckle* [21], the *texture* variable τ in Equation (1) is equal to 1, and the intensity I can be decomposed as:

$$I = \bar{I} \cdot \frac{s}{2L}, \quad (2)$$

where L denotes the number of looks, and s follows a *chi-squared* distribution with $2L$ degrees of freedom. The probability density function (PDF) of s is [24]

$$f(s; 2L) = \frac{s^{L-1} e^{-\frac{s}{2L}}}{\Gamma(L) 2^L}, \quad (3)$$

where $\Gamma(L) = (L - 1)!$ is the *Gamma* function. According to the product model in Equation (2), the *Gamma* distribution can be derived for the intensity I , and its PDF is [24]

$$f(I; L, \beta) = \frac{I^{L-1} e^{-\frac{I}{\beta}}}{\Gamma(L) \beta^L}, \quad (4)$$

where β is defined as:

$$\beta = \frac{\bar{I}}{L}. \quad (5)$$

With the increase of spatial resolution, the *texture* feature becomes more salient, leading to the *partially developed speckle patterns*. In this case, the statistical distribution of speckle patterns becomes more complex, and therefore the coefficient of variation C_I is commonly used to describe the local development of speckle patterns. C_I is defined by

$$C_I = \frac{\sigma_I}{\mu_I}, \quad (6)$$

where σ_I and μ_I denote the standard deviation and mean of I , respectively. Note that Equation (6) is also defined as the *contrast* of speckle patterns by Goodman [21]. In this context, for the textureless and single-look intensity I , C_I is always unity, since I is negative exponential distributed where its mean is precisely equal to its standard deviation [21]. As for the textured I , according to Equation (1), C_I can be reformulated as [24]:

$$C_I = \sqrt{C_\tau^2 C_s^2 + C_\tau^2 + C_s^2}, \quad (7)$$

where $C_\tau = \sigma_\tau / \mu_\tau$ and $C_s = \sigma_s / \mu_s$ represent the coefficients of variation of the true *texture* and *fully developed speckle*, respectively.

The component C_τ in Equation (7) makes the *textured* speckle patterns deviate from the *fully developed speckle*, and this deviation is information bearing [8,35]. To extract this information, one straightforward strategy is to measure the difference between C_I and C_s , namely, $C_I - C_s$. The other strategy is to calculate C_τ by

$$C_\tau = \sqrt{\frac{C_I^2 - C_s^2}{1 + C_s^2}}. \quad (8)$$

Theoretically, C_s is equal to $1/\sqrt{L}$, since the random variable s follows a *normalized chi-squared distribution* with $2L$ degrees of freedom [24], namely, $E\{s\} = 1$ and $Var\{s\} = 1/L$. In most practical cases, however, the actual C_s needs to be estimated because the image at hand may undergo non-independent multilooking and postprocessing. This problem is equivalent to estimating the effective number of looks (ENL) [64]. ENL describes the degree of independent averaging resulted from the multilooking and postprocessing.

This paper bypasses the above estimation problem and lets σ_I and μ_I as the fluctuation representation for SAR image. In this context, the feature type is selected according to the specific domain knowledge about SAR data. When an SAR image is described by this fluctuation representation, the classification of this image can be performed straightforwardly based on this representation. However, the discrimination of this representation is often unsatisfactory. Figure 4 illustrates such an example. In Figure 4, the contrast of fully developed speckle is equal to $1/\sqrt{L}$, since the intensity of fully developed speckle follows a Gamma distribution with unit mean and $1/L$ variance. It can be observed that there are apparent inter-class confusions. In this paper, the mean and standard deviation of SAR images are used as primitives, and they are adaptively mapped into a high-dimensional feature space to fit more discriminative fluctuation representations by leveraging the power of neural networks.

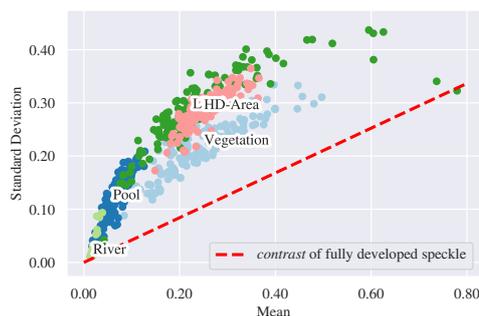


Figure 4. The discriminative capability of the mean and standard deviation. Five classes of TerraSAR-X images are represented by the mean and standard deviation which are estimated according to Equation (9). Although distinctive cluster centers are formed by *River*, *Pool*, and *Vegetation*, there are still apparent confusions between the high-density (HD) area and the low-density (LD) area.

3.2. Speckle Patterns Statistics Network

PSNet is composed of two sub-nets, including the discriminative net (DiscNet) and the pattern net (PatterNet), as shown in Figure 5. More specifically, in the DiscNet, the mean and standard deviation of the input are first extracted, and then they are adaptively mapped into a high-dimensional feature space to obtain the fluctuation representation for the input. In the PatterNet, the pattern representation of the input is extracted with hierarchical architecture. The contributions of the fluctuation representation and pattern representation to the final input representation are learned by minimizing the classification error.

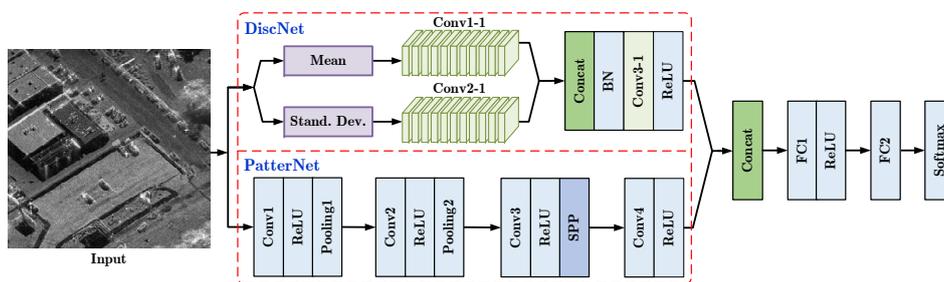


Figure 5. Architecture of the PSNet. PSNet consists of two sub-nets, including the DiscNet and the PatterNet, in which both fluctuation and pattern representations of SAR image are learned for the classification task. Max pooling is used in the PatterNet.

3.2.1. DiscNet

The fluctuation representation is learned by DiscNet to describe the average fluctuation of SAR image. In the DiscNet, the mean and the standard deviation of the input are first extracted. Given an image x , the mean μ_x and the standard deviation σ_x are given by

$$\begin{cases} \mu_x = \frac{1}{n} \sum_{i=1}^n x_i \\ \sigma_x = \sqrt{\frac{1}{n-1} \sum_{i=1}^n (x_i - \mu_x)^2}, \end{cases} \quad (9)$$

where x_i denotes the i th pixel value, and n is the number of pixels. In order to extract σ_x with existing deep learning framework such as Caffe and Tensorflow [65,66], σ_x is re-expressed as

$$\sigma_x = \sqrt{\frac{n}{n-1} \left(\frac{1}{n} \sum_{i=1}^n x_i^2 \right) - \frac{n}{n-1} \left(\frac{1}{n} \sum_{i=1}^n x_i \right)^2}. \quad (10)$$

The extracted μ_x and σ_x are then automatically scaled and shifted by DiscNet to map them into a high-dimensional space $\mathcal{V} \in \mathbb{R}^D$, resulting in the feature vectors $z_\mu \in \mathcal{V}$ and $z_\sigma \in \mathcal{V}$. Taking z_μ for example, it can be mathematically expressed as

$$z_\mu = w_\mu \mu_x + b_\mu, \tag{11}$$

where $w_\mu = [w_1, w_2, \dots, w_D]^T$ and $b_\mu = [b_1, b_2, \dots, b_D]^T$ represent the scaling and shifting vectors, respectively. Finally, the interplays of z_μ and z_σ are optimized with respect to the classification error to form the fluctuation representation $z_{fl} \in \mathbb{R}^M$ as follows:

$$z_{fl} = \text{ReLU} \left(\mathbf{W} \begin{bmatrix} z_\mu \\ z_\sigma \end{bmatrix} + \mathbf{b} \right), \tag{12}$$

where $\text{ReLU}(\cdot)$ denotes the rectified linear unit activation function [67], $\mathbf{W} \in \mathbb{R}^{M \times 2D}$ and $\mathbf{b} \in \mathbb{R}^M$ are the weight matrix and bias vector, respectively. Here, it is required that $M \geq 2D$ to ensure the fluctuation representation z_{fl} lies in a feature space with high dimensionality. This implementation is equivalent to the convolutional transformation with 1×1 kernel size and M output channels. The intuition behind this implementation can be interpreted as: increasing the dimensionality of feature space with the expectation to improve the discrimination of the fluctuation representation.

3.2.2. PatterNet

The pattern representation is extracted by PatterNet to hierarchically capture the spatial patterns lying in the input. PatterNet is a multi-layer model, including convolution, Pooling, ReLU and SPP layers [67]. The convolution layer performs spatial filtering to extract the texture feature, and this spatial filtering can be expressed as a matrix-vector multiplication [68]. Concretely, let z_{l-1} and \mathbf{W}_l be the input and convolution matrix of the l th convolution layer, the spatial filtering can be expressed as $\mathbf{W}_l z_{l-1}$. Note that \mathbf{W}_l has a sparse structure because of the weight sharing strategy [68], as illustrated in Figure 6. Subsequently, a series of transformations are performed, such as ReLU and Pooling. These transformations can be uniformly given by

$$z_l = H(\mathbf{W}_l z_{l-1} + \mathbf{b}_l), \tag{13}$$

where z_l is the output of the l th layer, and $H(\cdot)$ is a composite function, such as ReLU or Pooling [67]. With this multi-layer model, the pattern representation $z_{pa} \in \mathbb{R}^M$ is extracted by PatterNet for the input.

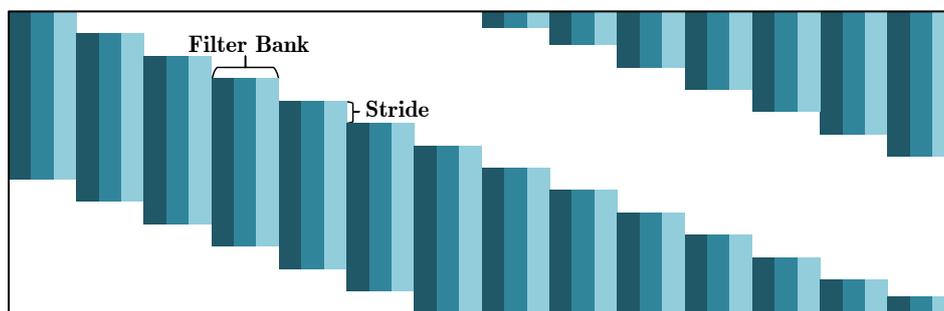


Figure 6. Convolution matrix with sparse structure. Here, the columns of the *filter bank* correspond to the filters used for spatial filtering, e.g., three filters in this example, and the *stride* is associated with the displacement in the convolution process. See more details in [68].

3.2.3. Optimization

The contributions of the fluctuation and pattern representations, z_{fl} and z_{pa} , to the final input representation are learned by minimizing the classification error. The final representation z is expressed as

$$z = \text{ReLU} (W_{fl}z_{fl} + W_{pa}z_{pa}), \quad (14)$$

where W_{fl} and W_{pa} denote the weight matrices. The representation z is fed into a softmax layer [67] for classification.

PSNet is an end-to-end learning model where the data representation and the classifier are learned together. More specifically, given a training set $\mathcal{D} = \{(x_k, y_k)\}_{k=1}^N$, where $y_k \in \{1, 2, \dots, C\}$ denote the label of training sample x_k and N is the number of training samples, the learning procedure of PSNet can be mathematically formulated as the following optimization problem:

$$\min_{\{W_l, b_l\}_{l=1}^L} \frac{1}{N} \sum_{k=1}^N \mathcal{L} \left(y_k, \hat{y}_k \left(x_k, \{W_l, b_l\}_{l=1}^L \right) \right), \quad (15)$$

where \hat{y}_k denotes the predicted label of x_k , W_l and b_l are the parameters to be learned in the l th layer of PSNet, and $\mathcal{L}(\cdot)$ represents a loss function. In this paper, the cross-entropy [67] is used as the loss function measuring the divergence between two distributions, which is given by

$$\mathcal{L} = \langle \mathbf{y}_k, -\ln \mathbf{a}_k \rangle, \quad (16)$$

where $\langle \cdot \rangle$ and $\ln(\cdot)$ denote the inner product [16] and the natural logarithm, respectively; \mathbf{y}_k is the label vector of the true label y_k , generated by the One-Hot Encoding; \mathbf{a}_k represents the output of softmax layer [67]. Here, the j th output of softmax layer, a_k^j , is defined by

$$a_k^j = \frac{e^{z_j}}{\sum_{m=1}^M e^{z_m}}, \quad (17)$$

where z_j is the j th input of softmax layer and M is the number of input.

The above optimization problem can be effectively solved by the Stochastic Gradient Descent (SGD) algorithm [67]. In this paper, the gradients of loss function \mathcal{L} with respect to the parameters are estimated by every mini-batch of the training samples. Thus, the parameter θ^l in the l th layer is updated according to the following strategy:

$$\theta_{i+1}^l = \theta_i^l - \eta \cdot \nabla_{\theta^l} \left(\frac{1}{K} \sum_{k=1}^K \langle \mathbf{y}_k, -\ln \mathbf{a}_k \rangle \right), \quad (18)$$

where $\nabla_{\theta^l}(\cdot)$ represents the gradient of loss function with respect to the parameter θ^l , and K is the number of training samples in a mini-batch, and η is the learning rate. The gradient $\nabla_{\theta^l}(\cdot)$ is calculated by the Back Propagation algorithm [67]. It should be noted that L_2 regularization [69] is used to avoid the overfitting problem during the training procedure.

4. Experiments

This section evaluates the performance of PSNet on real SAR data. The dataset and the experimental setting are given in Sections 4.1 and 4.2, respectively. The experimental results are analyzed in Section 4.3.

4.1. Data Sets

Guangdong data: This data was acquired by the TerraSAR-X with StripMap model over an urban area of Guangdong province, China, in 2008. Figure 7 shows these data, which is a level 1B product

with spatial enhanced and multi-looking ground range detected. These data were radiometrically calibrated and geometrically corrected with SNAP, a toolbox provided by the European Space Agency. The size of this data is 4656×7518 with 1.25 m pixel spacing both in the range and azimuth, and the looks in azimuth and range are 1.033 and 1.334, respectively. The ground truth image was generated by manual annotation according to the associated optical image which can be found in the Google Earth by the information (including longitude, latitude and acquisition date) provided in the metadata file. These data are mainly composed of five classes, including *Vegetation*, *High-Density Residential Areas*, *Low-density Residential Areas*, *River*, and *Pool*.

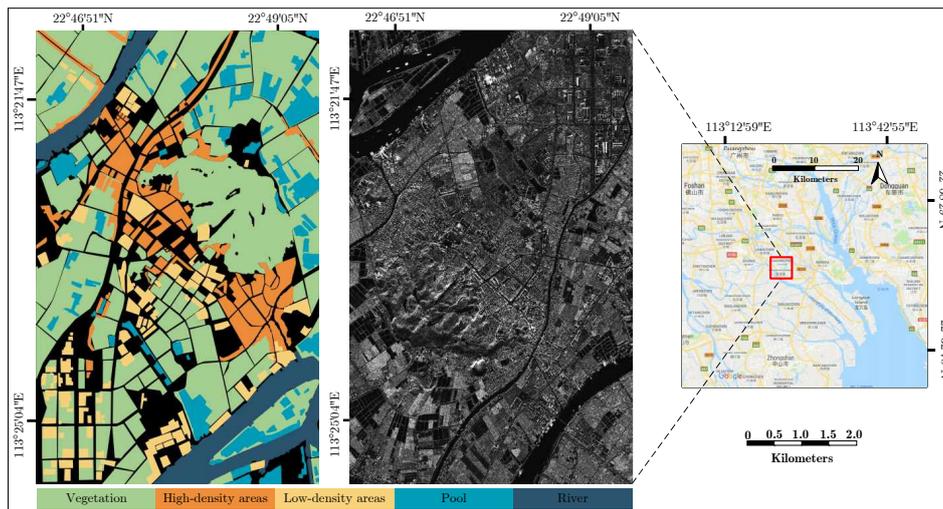


Figure 7. Overview of the Guangdong data. The unidentifiable class is shown in black in the ground truth image.

Orchard data: This data, displayed in Figure 8, was provided by the China Electronics Technology Group Corporation (CETC) 38th Institute [70]. It was acquired by the airborne sensor with X-band in Hainan province, China, in 2010. These data are a level 2 product, and the radiometric calibration and terrain correction were done by the provider. The size of these data is 2200×2400 with 0.5×0.5 m spatial resolution and four looks. The ground truth image, the left one in Figure 8, was generated by manual annotation according to our practical survey. This data consists of seven classes, including *Mango1*, *Mango2*, *Mango3*, *Betel Nut*, *Longan*, *Forest*, and *Building*. The unidentifiable target is shown in white in the ground truth image.

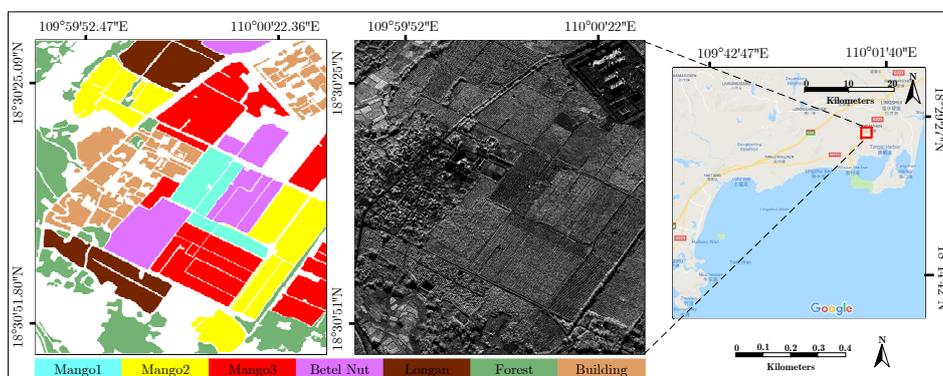


Figure 8. Overview of the Orchard data. The unidentifiable class is shown in white in a ground truth image.

Rice data: It comes from an airborne sensor with an X-band over a cultivated area of Hainan in China in 2010. These data are a level 2 product, and it has 2048×2048 pixels with 0.5×0.5 m spatial

resolution and four looks. Figure 9 shows these data; they are composed of seven categories where *Rice1* to *Rice6* represent the *rice* at different growth stages.

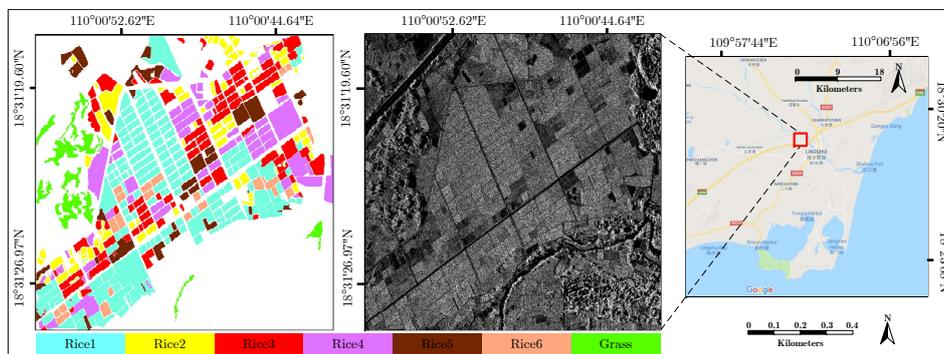


Figure 9. Overview of the Rice data. The unidentifiable class is shown in white in the ground truth image.

The training, validation and test sets are obtained as follows. Firstly, sub-images are randomly sampled from the original image according to the associated ground truth. Both non-overlapping and overlapping schemes are used to sample the sub-image. More specifically, for the Guangdong dataset, non-overlapping samples are drawn from the *vegetation* and *river*, while sub-images with an overlap of 14 pixels are sampled from other categories. As for the Orchard dataset and Rice dataset, an overlapping scheme (14 pixels) is used. Secondly, these sampled sub-images are shuffled and then m images per class, e.g., $m = 200$ for the Orchard dataset, are randomly selected from these shuffled sub-images. Finally, these selected images are randomly divided into the training, validation and test sets which account for 60%, 15% and 25% of the total selected sub-images, respectively. Table 1 displays the details of Guangdong, Orchard and Rice datasets.

Table 1. Details of the Guangdong, Orchard and Rice datasets.

Dataset	#Class	#Samples per Class	Patch Size
Guangdong	5	1000	64×64 pixels
Orchard	7	200	64×64 pixels
Rice	7	200	64×64 pixels

4.2. Experimental Settings

The CNN- and texture-based methods are considered for comparison, including standard CNN, spatial pyramid pooling network (SPPNet), GLCM, Gabor filtering and local binary pattern (LBP) [71]. The experimental settings are given as follows.

CNN: The structure of standard CNN is presented in Table 2. It consists of four convolution layers and two fully connected (FC) layers. This structure is used as the basic model in the experiment. Note that ReLU and Pooling layers are included in each convolution layer.

Table 2. Structure of the standard CNN deployed in the experiments.

Conv1	Conv2	Conv3	Conv4	FC1	FC2
3×3 kernel					
1 stride	1 stride	1 stride	1 stride		
12 channels	32 channels	64 channels	128 channels	256 channels	7 channels
ReLU	ReLU	ReLU	ReLU	ReLU	
2×2 pooling					

SPPNet: The architecture of SPPNet is similar to that of standard CNN except for the *Pooling* layer in *Conv4*. This *Pooling* layer is replaced by the SPP layer which performs max pooling with one level pyramid.

DiscNet: As displayed in Figure 5, both *Conv1-1* and *Conv2-1* have 64 output channels, and *Conv3-1* has 128 channels. The output of *Conv3-1* is fed into *FC2* for classification. DiscNet is used to evaluate the effectiveness of the fluctuation representation.

PSNet: PSNet has two sub-nets: the *DiscNet* and the *PatterNet*, as shown in Figure 5. For the *PatterNet*, it shares the similar convolutional layers with the ones in standard CNN except *Conv3* and *Conv4*. The *Pooling* layer in *Conv3* is replaced by an SPP layer which performs max pooling with one level pyramid. The *Conv4* is a 1×1 convolution layer and the followed *Pooling* layer is removed. PSNet also has two FC layers and the settings remain consistent with that of standard CNN.

GLCM: Multiple GLCMs are created with two *offsets*, four orientations, (0° , 45° , 90° , 135°), and eight gray levels. The features considered for image description include *contrast*, *correlation*, *energy*, and *inverse different moment*.

Gabor: Gabor filters are implemented on four scales, (1, 3, 5, 7), and eight orientations, ($0, \frac{\pi}{4}, \frac{\pi}{2}, \frac{3\pi}{4}, \pi, \frac{5\pi}{4}, \frac{3\pi}{2}, \frac{7\pi}{4}$). The ratio of the mean and the standard deviation of each sub-band is used to describe the texture characteristics.

LBP: The input image is first divided into 4×4 sub-patches, then the uniform LBP features are extracted from these sub-patches. These LBP features are concatenated to form the feature vector for the input image.

In the following experiments, the standard CNN, SPPNet, DiscNet, and PSNet are implemented with the Caffe deep learning framework [65]. Table 3 presents the training parameters. Note that the *weight decay*, namely, L_2 regularization, is used to prevent the overfitting. The classification in the GLCM, Gabor and LBP is performed by a nonlinear Support Vector Machine (SVM) [72]. The kernel type used in the SVM is the radial basis function, where the *cost* is tuned on the validation set and the *gamma* is set to default.

Table 3. Training parameters.

Parameter	Value
base learning rate	0.01
learning rate policy	“inv”
gamma	0.05
power	0.75
momentum	0.90
weight decay	0.001
number of epochs	100

Evaluation metrics include the classification accuracy for each class, the average accuracy (AA), the overall accuracy (OA), and the Kappa coefficient (κ). AA is calculated by dividing the sum of accuracy of individual classes to the total number of classes. OA is the ratio of the number of correctly classified pixels to the total number of pixels. κ measures the proportion of agreement after chance agreements have been removed from considerations. It is defined as: $\kappa = \frac{p_o - p_e}{1 - p_e}$, where p_o is the accuracy of observed agreement, and p_e is the estimate of chance agreement [73].

4.3. Results

4.3.1. Validation Accuracy

Figure 10 shows the average accuracy (AA) on the validation set with different numbers of training samples. Here, the validation set remains unchanged, while the samples used for training are randomly selected from the training set. The number of training samples, e.g., 10%, refers to the

percentage of samples accounting for the total data in the dataset. The results in Figure 10 are obtained by 10 Monte Carlo runs.

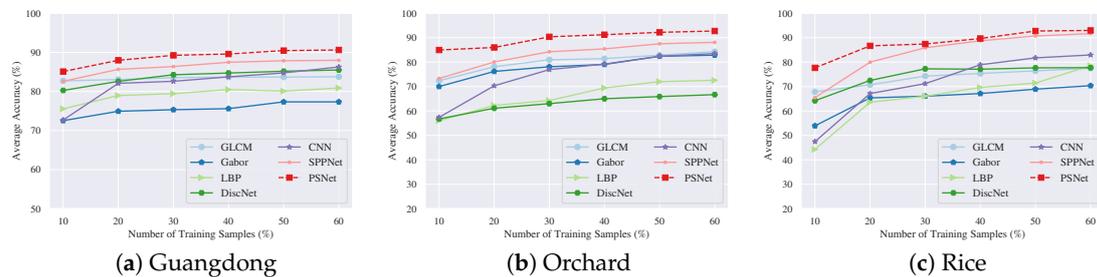


Figure 10. Average accuracy achieved on the validation set at different number of training samples. The proposed PSNet improves the average accuracy and it requires less training samples.

From Figure 10, it can be observed that PSNet provides the highest AA than other methods even in the case of 10% training samples. This indicates that PSNet requires less training data. It is also interesting to note that DiscNet achieves promising performance, e.g., the results in Figure 10a,c. These results indicate that the fluctuation representation of SAR image is effective. However, DiscNet shows poor performance on the Orchard dataset, as displayed in Figure 10b. This is mainly because the images in the Orchard dataset present apparent structural features, as shown in Figure 11, whereas these features are not exploited by the DiscNet. For the GLCM, Gabor, and LBP, experimental results indicate that GLCM is better than Gabor and LBP. In the following experiments, GLCM is selected for comparison.

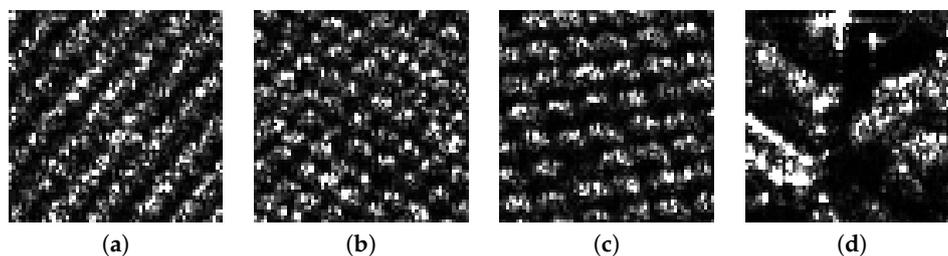


Figure 11. Representative examples with structural features in the Orchard dataset. (a) Mango2; (b) Mango3; (c) Longan; (d) Building.

To verify the effectiveness of DiscNet, the following experiments are performed:

- *Scheme I*: the mean and standard deviation are directly used as the fluctuation representation;
- *Scheme II*: the fluctuation representation is fitted by the DiscNet.

Softmax classifier is used for *Scheme I* and *Scheme II*. Figures 12 and 13 show the training loss and validation accuracy on the Guangdong dataset and the Orchard dataset, respectively. These results are obtained by 10 training runs. It can be observed that the validation accuracy benefits from the *Scheme II*, which demonstrates the effectiveness of DiscNet.

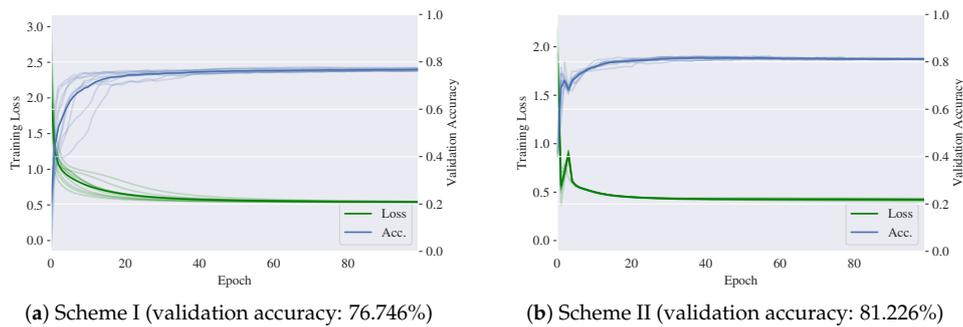


Figure 12. Training loss and validation accuracy of DiscNet on the Guangdong dataset (using 10% of data for training).

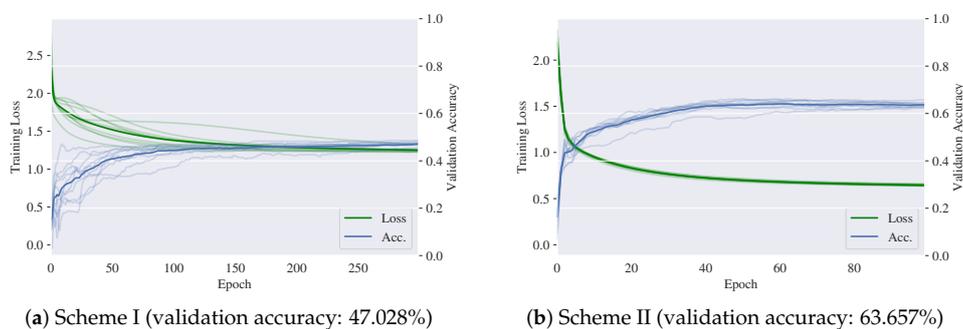


Figure 13. Training loss and validation accuracy of DiscNet on the Orchard dataset (using 30% of data for training).

4.3.2. Classification Accuracy

The classification accuracy on the test set is investigated. In the following experiments, the samples used for training are 10% of the total samples in the Guangdong dataset and 30% both in the Orchard dataset and the Rice dataset.

Table 4 shows the results on the Guangdong dataset. It can be observed that PSNet achieves the highest AA of 85.04% and outperforms CNN and SPPNet in classifying the *Vegetation*, *HD Area*, and *LD Area*. The *Vegetation* and *HD Area* are typical mixed regions, resulting in high intra-class variations. For example, the *HD Area* consists of small-scale buildings, open land, streets, trees, and other classes. The higher classification accuracy benefits from the fluctuation representation extracted by the PSNet. This is also confirmed by the DiscNet, the classification accuracies of *Vegetation* and *HD Area* by DiscNet are up to 89.20% and 92.00%, respectively. For the *LD Area*, it is often composed of large-scale man-made buildings such as an industrial factory. Besides the structural feature, *LD Area* presents strong randomness. This is because: (1) the backscattering of *LD Area* is highly random and complex due to the existence of several phase centers; and (2) the spatial layouts of image elements in *LD Area* are generally random, since remote sensing images do not have an absolute reference. Therefore, it is crucial to describe the randomness of *LD Area*. In contrast to SPPNet and CNN, PSNet explicitly captures this randomness and therefore performs well in the *LD Area*.

Table 5 displays the results on Orchard dataset. The classification accuracy is improved by the PSNet, especially for *Mango2*, *Longan*, and *Building*. The AA of 90.29% achieved by PSNet is also higher than other methods. DiscNet performs effectively in classifying *Mango1* with accuracy of 86.00%. However, the classification accuracy of *Forest* by DiscNet is only 20.00%. This is because the scattered echoes of *Forest* exhibit structural information, while DiscNet is not sensitive to the structural features.

Table 4. Classification accuracy (%) on the Guangdong dataset. The bold number highlights the greatest classification accuracy per row.

	GLCM	DiscNet	CNN	SPPNet	PSNet
Vegetation	88.40	89.20 ± 0.01	84.80 ± 0.11	84.40 ± 0.04	87.60 ± 0.03
Pool	84.40	79.20 ± 0.01	85.20 ± 0.02	89.60 ± 0.01	88.40 ± 0.10
River	93.20	96.00 ± 0.00	92.00 ± 0.02	94.00 ± 0.01	93.20 ± 0.01
LD Area	63.20	47.20 ± 0.07	48.40 ± 0.06	60.80 ± 0.06	71.20 ± 0.16
HD Area	82.40	92.00 ± 0.09	52.00 ± 0.01	78.00 ± 0.04	84.80 ± 0.26
AA	82.32	80.72 ± 0.04	72.48 ± 0.04	81.36 ± 0.03	85.04 ± 0.11

Table 5. Classification accuracy (%) on the Orchard dataset. The bold number highlights the greatest classification accuracy per row.

	GLCM	DiscNet	CNN	SPPNet	PSNet
Mango1	80.00	86.00 ± 0.02	92.00 ± 0.04	90.00 ± 0.13	92.00 ± 0.02
Mango2	76.67	62.00 ± 0.17	64.00 ± 0.19	80.00 ± 0.21	86.00 ± 0.03
Mango3	93.33	80.00 ± 0.10	62.00 ± 0.14	88.00 ± 0.14	88.00 ± 0.07
Betel Nut	93.33	70.00 ± 0.03	100.00 ± 0.07	94.00 ± 0.28	96.00 ± 0.05
Longan	73.33	72.00 ± 0.03	92.00 ± 0.07	86.00 ± 0.20	96.00 ± 0.03
Forest	76.67	20.00 ± 0.06	90.00 ± 0.20	74.00 ± 0.18	82.00 ± 0.15
Building	73.33	54.00 ± 0.01	62.00 ± 0.11	76.00 ± 0.16	92.00 ± 0.07
AA	80.95	63.43 ± 0.06	80.29 ± 0.12	84.00 ± 0.19	90.29 ± 0.06

Table 6 presents the results on the Rice dataset. It can be seen that PSNet performs better than other methods. The AA is significantly improved by PSNet, e.g., 13.92% and 3.92% respectively higher than CNN and SPPNet. In addition, Table 6 indicates that the classification of *Rice3* and *Rice4* is difficult. Compared with other methods, PSNet correctly classifies these two classes with high accuracy. The results in Table 6 demonstrate the effectiveness of PSNet.

Table 6. Classification accuracy (%) on the Rice dataset. The bold number highlights the greatest classification accuracy per row.

	GLCM	DiscNet	CNN	SPPNet	PSNet
Rice1	80.00	97.50 ± 0.01	75.00 ± 0.19	85.00 ± 0.31	72.50 ± 0.14
Rice2	85.00	100.00 ± 0.00	100.00 ± 0.02	97.50 ± 0.02	100.00 ± 0.00
Rice3	52.50	60.00 ± 0.13	65.00 ± 0.18	72.50 ± 0.25	92.50 ± 0.15
Rice4	55.00	40.00 ± 0.02	37.50 ± 0.21	65.00 ± 0.16	90.00 ± 0.11
Rice5	52.50	72.50 ± 0.05	62.50 ± 0.17	82.50 ± 0.17	77.50 ± 0.13
Rice6	97.50	82.50 ± 0.04	85.00 ± 0.11	90.00 ± 0.31	87.50 ± 0.07
Grass	97.50	92.50 ± 0.03	95.00 ± 0.03	97.50 ± 0.04	97.50 ± 0.02
AA	74.29	77.86 ± 0.04	74.29 ± 0.13	84.29 ± 0.18	88.21 ± 0.09

4.3.3. Confusion Matrix

Figure 14 shows the confusion matrices for Guangdong dataset. It can be observed that the confusions mainly exist between the *HD Area* and the *LD Area*. For example, the misclassification probabilities of *HD Area* by CNN and PSNet are over 15.00%, as shown in Figure 14a,b. This confusion is reduced by the DiscNet, and the misclassification probability of *HD Area* drops to 4.00%. However, DiscNet misclassifies the *LD Area* as the *HD Area* with high risk. Compared with CNN and SPPNet, PSNet provides better performance in distinguishing the *HD Area* from the *LD Area*.

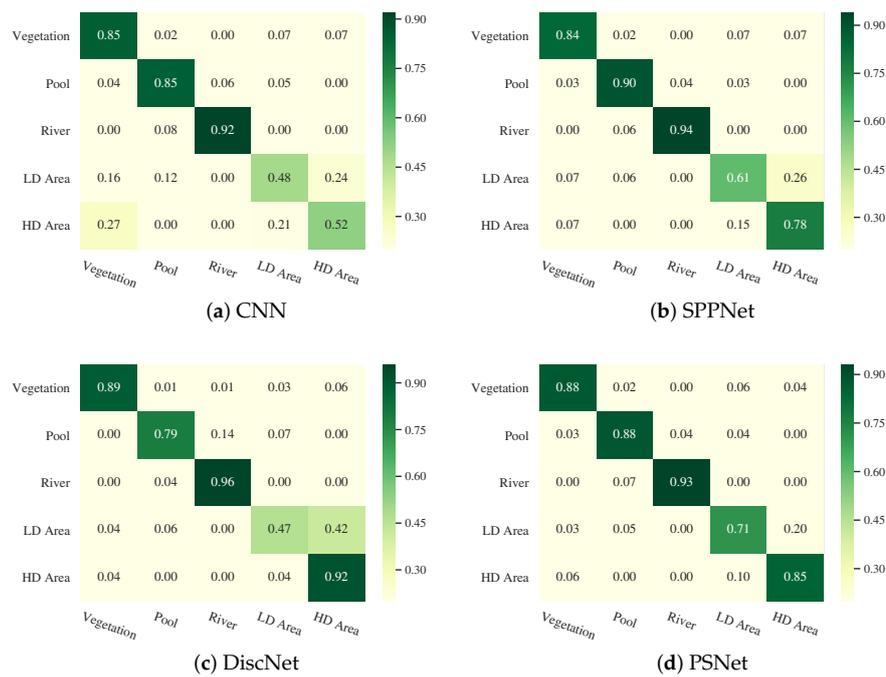


Figure 14. Confusion matrices for the Guangdong dataset.

Figure 15 displays the results on Orchard dataset. It can be observed that *Mango2* is difficult to be correctly recognized. For example, *Mango2* is misclassified as *Betel Nut* by CNN and SPPNet with probabilities of 12.00% and 10.00%, respectively. This misclassification is significantly reduced to 6.00% by the PSNet, as shown in Figure 15d. The discriminative capability of DiscNet is unsatisfactory, especially for the *Forest* and the *Building*. This is because the structural feature is not extracted by the DiscNet.

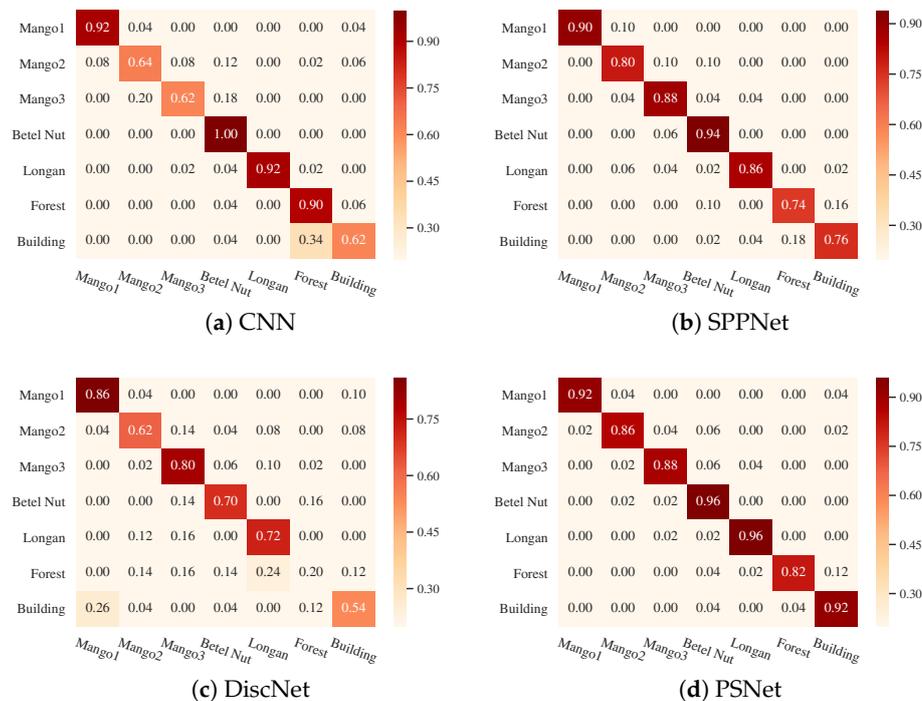


Figure 15. Confusion matrices for the Orchard dataset.

Figure 16 presents the confusion matrices for Rice dataset. It is apparent that there are confusions among *Rice3*, *Rice4*, and *Rice5*, as shown in Figure 16a,c. These confusions are reduced by the SPPNet, but there are still obvious confusions between *Rice4* and *Rice3*. The confusions are further eliminated by the PSNet, as displayed in Figure 16d. The probability of misclassifying *Rice3* as *Rice4* drops to 3.00%. However, PSNet shows performance loss in recognizing *Rice1*.

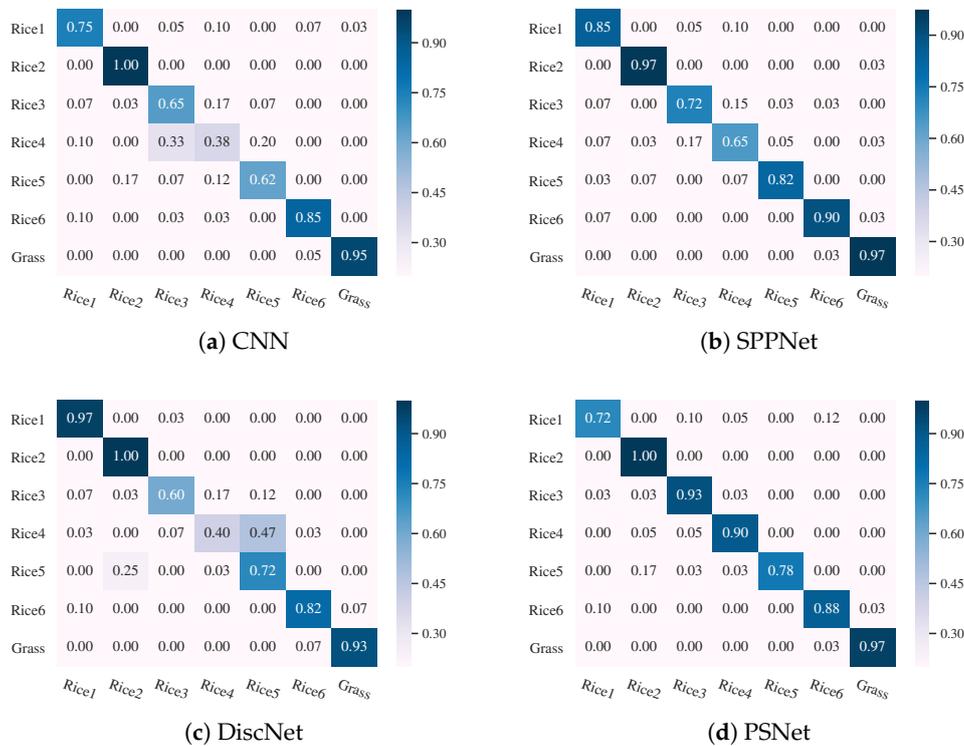


Figure 16. Confusion matrices for the Rice dataset.

4.3.4. Classification Map

The classification maps of Guangdong and Orchard data are qualitatively compared. To obtain the classification map, the sub-images, which are selected by a sliding window from the original image, are first classified to get the initial result. Then, this initial result is smoothed with a post-processing approach based on the conditional random fields [74] to generate the classification map. The strides of the sliding window in row and column directions for the Guangdong and Orchard data are set to 12 pixels and eight pixels, respectively.

Figure 17 displays the results of Guangdong data. Three regions are highlighted in Figure 17a for the convenience of comparison. In the highlighted region 1, SPPNet and PSNet provide better performance than GLCM, DiscNet and CNN, as shown in Figure 17e,f. In region 2, PSNet performed well, while SPPNet misclassified a large portion of HD Area as the LD Area. It is interesting to note that DiscNet shows superior performance in region 2, which indicates that the fluctuation representation is useful. In region 3, the results achieved by DiscNet, CNN, SPPNet and PSNet were comparable, while the results by GLCM were unsatisfactory. Table 7 shows the qualitative comparison of each method. PSNet achieves the highest OA of 82.64% and AA of 72.37% with a κ of 0.75.

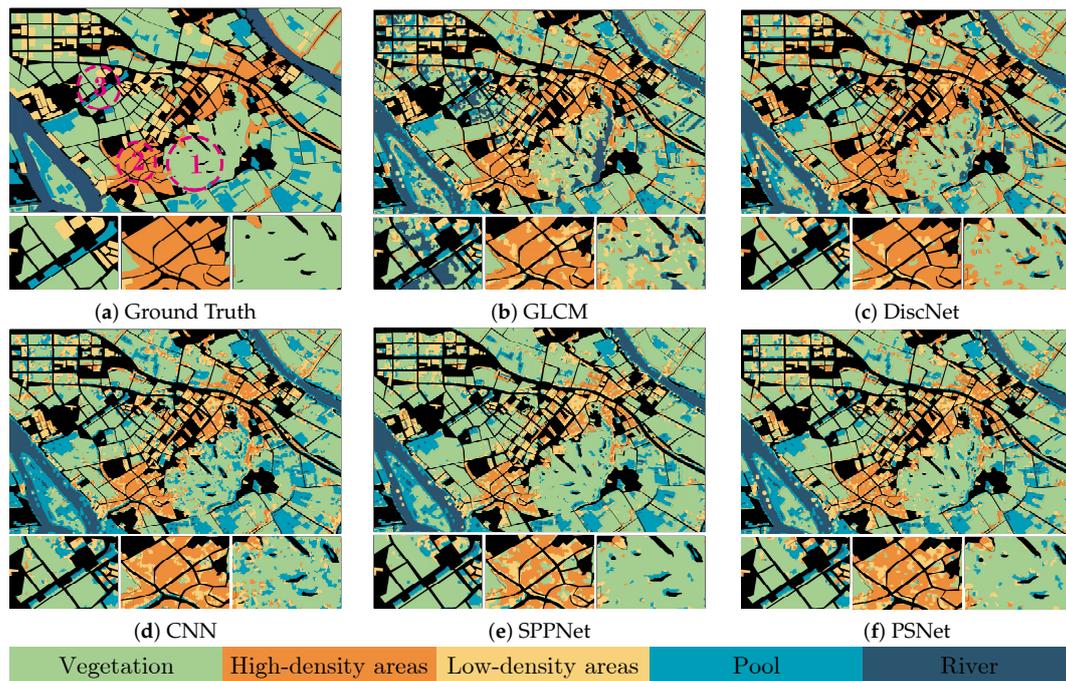


Figure 17. Classification map overlaid with ground truth for the Guangdong data (using 10% of data for training). Three regions are highlighted in (a) for the convenience of comparison. The unidentifiable class is shown in black.

Table 7. Classification performance comparison on Guangdong data using overall accuracy (OA), average accuracy (AA) and Kappa coefficient (κ).

	GLCM	DiscNet	CNN	SPPNet	PSNet
OA	73.93%	76.69%	74.93%	81.35%	82.65%
AA	69.03%	69.58%	71.19%	71.92%	72.37%
κ	0.64	0.69	0.69	0.74	0.75

Figure 18 shows the results of Orchard data. In the highlighted *region 1*, PSNet obtains the best results. Almost all the *Longans* are correctly recognized by PSNet. In *region 2*, the classification of *Mango3* is rather difficult and there apparent misclassification exists. Nevertheless, PSNet and GLCM perform comparably well. In *region 3*, most of the *Mango2* are correctly classified by PSNet, while other methods provide poor classification performance. Table 8 shows the qualitative comparison of each method. PSNet provides the highest OA of 78.77% and AA of 72.47% with a κ of 0.74.

It should be pointed out that this paper mainly deals with the *image-level* classification problem. The above *semantic segmentation* results are only used for evaluation from the perspective of rapid scene understanding.

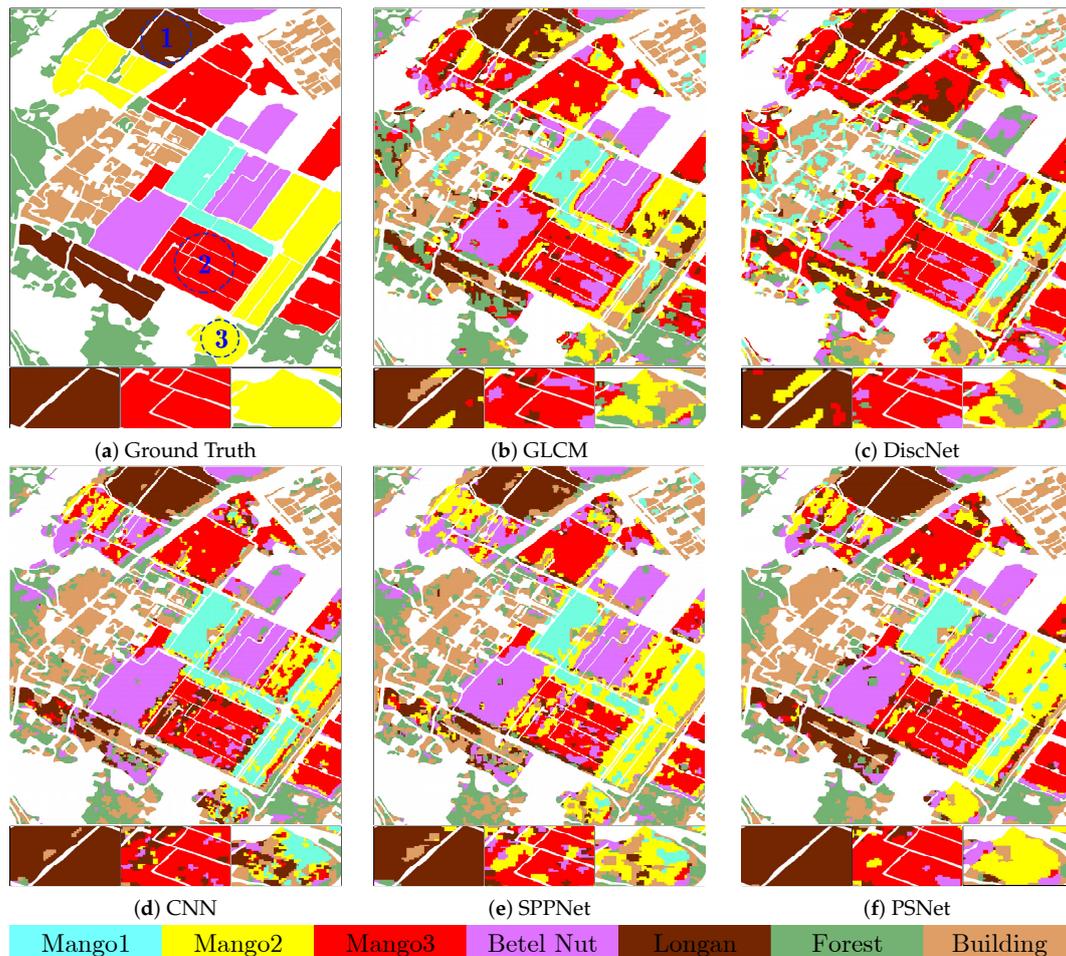


Figure 18. Classification map overlaid with ground truth for the Orchard data (using 30% of data for training). Three regions are selected in (a) for the convenience of comparison. The unidentifiable class is shown in white.

Table 8. Classification performance comparison on Orchard data using overall accuracy (OA), average accuracy (AA) and Kappa coefficient (κ).

	GLCM	DiscNet	CNN	SPPNet	PSNet
OA	73.69%	64.29%	73.60%	73.19%	78.77%
AA	69.01%	53.64%	66.23%	64.77%	72.47%
κ	0.68	0.56	0.68	0.67	0.74

4.3.5. Feature Visualization

Figure 19 shows the feature visualization on the Guangdong dataset. Here, the high-dimensional features of the first fully connected layer are converted into 2D space by the T-distributed Stochastic Neighbor Embedding (t-SNE) [75] and the results are visualized. From Figure 19b, the results by CNN present large overlaps between each class, especially between the *HD Area* and the *LD Area*. These overlaps are reduced by the SPPNet and PSNet, as shown in Figure 19c,d. Moreover, compared with SPPNet, the clusters of *HD Area* and *LD Area* formed by PSNet are more distinctive, and therefore the separation between these classes becomes easy. These results indicate that the features extracted by the PSNet are more discriminative.

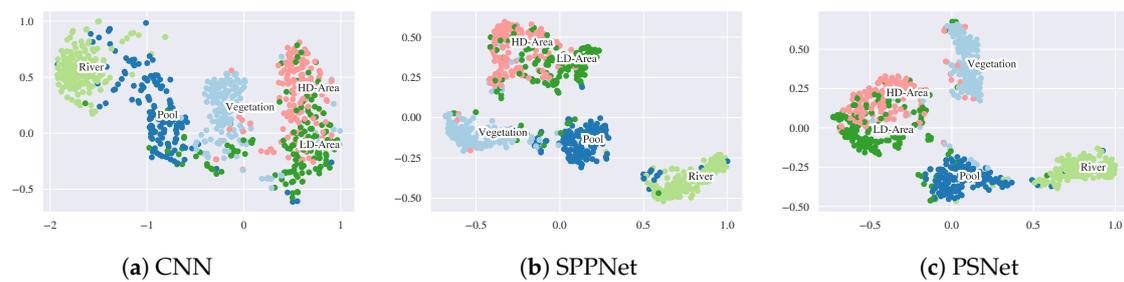


Figure 19. Feature visualization on the Guangdong dataset. The high-dimensional features, extracted by the first fully connected layer, are mapped into 2D space by the t-SNE.

5. Discussion

The experimental results indicate that the proposed PSNet improves classification accuracy. For example, PSNet respectively outperforms the standard CNN and SPPNet in terms of average accuracy by 12.56% and 3.68% on the Guangdong dataset, by 10.00% and 5.29% on the Orchard dataset, and by 13.92% and 3.92% on the Rice dataset. Moreover, PSNet performs well in the case of high intra-class variations. For example, PSNet provides the highest classification accuracy for *HD Area*, *Building* and *Rice3*. A few discussions on this approach are given as follows.

The sparsity of SAR image representation contributes to the classification accuracy. In [76], by placing sparsity constraints on an autoencoder, the discriminant of feature is enhanced, which contributes to the classification accuracy. For example, Figure 20 displays the features extracted by PSNet for the *River* and the *Vegetation* in the Guangdong dataset. It can be observed that the feature vector of *River*, with higher classification accuracy, tends to be sparser than that of *Vegetation*. This implies that it would be useful to enhance the feature discriminant by placing sparsity constraints. PSNet has not yet considered the sparsity constraint. Therefore, it would be helpful to further improve the feature discriminant.

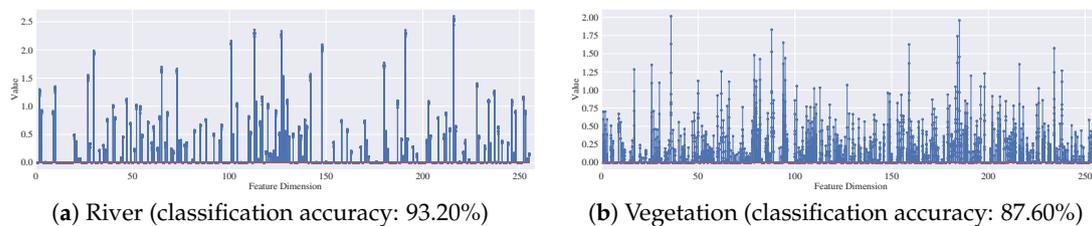


Figure 20. Feature visualization. Here, ten samples are randomly selected from the *River* (a) and the *Vegetation* (b) in Guangdong dataset. The feature vectors extracted by PSNet for these samples are visualized.

In the proposed PSNet, the feature vector of SAR image comes from the interplays of its fluctuation and texture representations. The weight matrices, W_{fl} and W_{pa} in Equation (14), not only control the updating directions of z_{fl} and z_{pa} but also the contributions of z_{fl} and z_{pa} to the feature vector z . The relationship between W_{fl} and W_{pa} will be the interest of future research.

Multi-scale representation has not yet been considered by PSNet. Multi-scale representation is a well-known concept in image processing. It originated from the scale-space theory [77], multiresolution analysis [78], and image pyramid [79]. Recently, this concept has gained attention in the field of representation learning [80–82]. Taking CNNs as an example, the feed-forward architecture implicitly forms a *Markov chain* of the successive representations for the input. This means that the representations in the current layer are only derived from its previously connected layer. As a consequence, only the features extracted by the last layer are used for image representation. However, it is believed that the features in different layers describe multiple aspects of the input image [57,82]. Therefore, the multi-scale representation will be investigated in future work.

The effectiveness of the PSNet is evaluated on relatively small-scale data sets. SAR data often present strong variations because of the sensitivity to the imaging conditions, such as the incidence angle and the descent or ascent orbit. Therefore, the generalization capability of the proposed PSNet will be assessed on the large-scale dataset. In addition, since data augmentation is a common scheme used in the case of finite training samples, it would be interesting to enhance the robustness of PSNet to the speckle noise by data augmentation.

6. Conclusions

This paper has presented a pattern statistics network (PSNet) for single-polarized SAR image classification. In the PSNet, the inherent randomness of SAR image is explicitly considered in the representation learning, and both fluctuation and pattern representations for the speckle patterns are learned. The fluctuation representation describes the average fluctuation of local pixels, while the pattern representation hierarchically captures the spatial interactions between local pixels. The interplays of the fluctuation representation and the pattern representation are learned by minimizing the classification error to obtain the final description for SAR image. The experimental results on three real SAR data indicate that integrating the statistical mechanism of SAR image into the representation learning is useful, and the classification results by PSNet are more accurate. It is also observed that the PSNet performs well in classifying the SAR images with high intra-class variations.

In future work, PSNet will be evaluated on the large-scale dataset and multi-scale representation will be considered. It would be interesting to exploit more statistical information about the SAR image in representation learning. In addition, it would be helpful to enhance the discrimination of data representation by imposing sparsity constraint on the representation.

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