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# Comparison between the Suomi-NPP Day-Night Band and DMSP-OLS for Correlating Socio-Economic Variables at the Provincial Level in China

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Abstract: Nighttime light imagery offers a unique view of the Earth's surface. In the past, the nighttime light data collected by the DMSP-OLS sensors have been used as an efficient means to correlate regional and global socio-economic activities. With the launch of the Suomi National Polar-orbiting Partnership (Suomi-NPP) satellite in 2011, the day-night band (DNB) of the Visible Infrared Imaging Radiometer Suite (VIIRS) onboard represents a major advancement in nighttime imaging capabilities, because it surpasses its predecessor DMSP-OLS in radiometric accuracy, spatial resolution and geometric quality. In this paper, four variables (total night light, light area, average night light and log average night light) are extracted from nighttime radiance data observed by the VIIRS-DNB composite in 2013 and nighttime digital number (DN) data from the DMSP-OLS stable dataset in 2012, respectively, and correlated with 12 socio-economic parameters at the provincial level in mainland China during the corresponding period. Background noise of DNB composite data is removed using either a masking method or an optimal threshold method. In general, the correlation of these socio-economic data with the total night light and light area of VIIRS-DNB composite data is better than with the DMSP-OLS stable data. The correlations between total night light of denoised DNB composite data and built-up area, gross regional product (GRP) and power consumption are higher than 0.9 and so are the correlations between the light area of denoised DNB composite data and city and town population, built-up area, GRP, power consumption and waste water discharge. However, the correlations of socio-economic data with the average night light and log average night light of VIIRS-DNB composite data are not as good as with the DMSP-OLS stable data. To quantitatively analyze the reasons for the correlation difference, a cubic regression method is developed to correct the saturation effect of the DMSP stable data, and we artificially convert the pixel value of the DNB composite into six bits to match the DMSP stable data format. The correlation results between the processed data and socio-economic data show that the effects of saturation and quantization are two of the reasons for the correlation difference. Additionally, on this basis, we estimate the total night light ratio between saturation-corrected DMSP stable data and finite quantization DNB composite data, and it is found that the ratio is  $\sim$ 11.28  $\pm$  4.02 for China. Therefore, it appears that a different acquisition time is the other reason for the correlation difference.

**Keywords:** nighttime light; socio-economic statistics; visible infrared imaging radiometer suite (VIIRS); day-night band; DMSP-OLS

#### 1. Introduction

Remote sensing of the environment provides great opportunities to understand links between human and nature and global socio-economic changes. With rapid advances in remote sensing technology and its applications, it becomes increasingly more desirable to use remote sensing data to study and monitor the socio-economic environment. Nighttime light imagery stands distinctly against various remote sensing data sources, as it offers a unique view of the Earth's surface in the light of human activities. Nocturnal lighting becomes one of the hallmarks of modern development and provides a unique attribute for identifying the presence of development or human activity that can be sensed remotely. The presence of lighting across the globe is mostly due to some form of human activity, such as human settlements, shipping fleets, gas flaring or fire associated with swidden agriculture [1,2].

Satellite sensors, such as OLS on DMSP, have been acquiring day/night images since the early 1970s for applications, such as military surveillance, population estimation, monitoring social-economic development and power consumption and providing weather- and climate-related data [3]. The DMSP-OLS sensor distinguishes itself from the rest of passive, optical remote sensing in that the data can be acquired at night and are sensitive to light sources down to a minimum detectable radiance of  $10^{-9}$  W/cm<sup>2</sup>-sr [4,5]. In essence, the radiance detected by the sensor, after masking out clouds using the OLS thermal infrared channel, are mostly man-made light sources, primarily from cities, but also from oil-field gas-flare burn off, biomass burning and shipping fleets [6].

In the past, the remote sensing of nighttime light with DMSP-OLS was actively studied and shown to be an accurate, economical and straightforward way of mapping the global distribution and density of developed areas, as well as population [2]. Night light imagery data were also used in mapping regional economic activity at the national and regional level. Welch [7] showed quantitative relationships between DMSP-OLS nocturnal lighting images of the United States and population, urban area and electric energy utilization patterns. Sutton [8] showed that the correlation between DMSP-OLS data and population density within the urban areas in the United States can be as high as 0.9. Elvidge et al. [9] found that light area estimated from the DMSP-OLS data is highly correlated with gross domestic product (GDP) and electric power consumption. However, significant outliers in the relation between light area and population indicate that it is difficult for DMSP-OLS stable light products to provide direct detection of rural population. Doll et al. [10] developed a method to correlate the light area of a city derived from DMSP-OLS data and statistic data of local socio-economic development to map global economic activity (GDP) and carbon dioxide emissions at the regional level. Elvidge et al. [5] developed a method to perform radiance calibration for the digital number (DN) data of DMSP-OLS. With this method, Doll et al. [11] derived a linear relationship between the intensity of light observed by DMSP-OLS and gross regional product (GRP) for a sub-set of countries within the European Union and U.S. They concluded that different countries have different relationships with total radiance based on their cultures. Sutton et al. [12] developed predictive relationships between observed changes in nighttime satellite images derived from the DMSP-OLS and changes in population and GDP. Letu et al. [13] demonstrated the estimation of electric power consumption from saturated nighttime DMSP-OLS imagery after correction for saturation effects. Additionally, recently, Li et al. [14] used 38 monthly DMSP-OLS System composites covering the period between January 2008 and February 2014 to analyze the response of nighttime light to the Syrian Crisis. The results indicate that the nighttime light experienced a sharp decline as the crisis broke out. Coscieme et al. [15] presented a method based on DMSP-OLS nighttime data that uses nocturnal light data as a proxy measure for the evolution of the non-renewable fraction of national emergy flow. Coscieme et al. [15] found a strict correlation between the intensity of lights and the non-renewable component of national energy flow for more than 100 countries.

One comprehensive study of correlating DMSP-OLS imagery data with multiple socio-economic variables was conducted by Lo [16]. The DMSP-OLS imagery data were acquired between March 1996 and January to February 1997. Lo [16] modeled three types of population parameters (population,

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non-agricultural population and population density) at the provincial, city and county level in China, respectively, by using four types of variables (light area, percent light area, light volume and pixel mean) derived from OLS imagery data. It was found that the DMSP-OLS nighttime data produced reasonably accurate estimates of non-agricultural population at both the county and city levels using the algometric growth model and the light area or light volume as input. The logarithmic form of the algometric growth model is  $\log Population = \log a + b \log A$ . Here, a is a coefficient, b is an exponent and A is the built-up area of the settlement. Both a and b are empirically determined. Non-agricultural population density was best estimated using percent light area in a linear regression model at the county level. Lo [16] concluded that the 1-km resolution DMSP-OLS nighttime light image has the potential to provide estimation of the total and urban population of a country from space. Furthermore, Lo [16] presented the relationship between DMSP-OLS imagery data and additional socio-economic parameters at the provincial level in China, such as household, energy consumption, electricity consumption, gross value of industrial output, per capita rural income and per capita urban income. The correlation results between these additional socio-economic parameters and variables derived from the DMSP image were less emphasized in Lo [16] as compared to those obtained with population data.

While the DMSP-OLS is remarkable for its detection of dim lighting, there have been some limitations in DMSP-OLS, such as low spatial resolution (2.7 km ground sample distance), low radiometric resolution (six bit), a saturation effect in bright regions, lack of on-board calibration, lack of systematic recording of in-flight gain changes and lack of multiple spectral bands for discriminating lighting types [2].

With the launch of the Suomi National Polar-orbiting Partnership (Suomi-NPP) satellite in October 2011, the day-night band (DNB) of the Visible Infrared Imaging Radiometer Suite (VIIRS) onboard represents a major advancement in nighttime imaging capabilities [17–21]. DNB serves primarily to provide imagery of clouds and other Earth features over illumination levels ranging from full sunlight to quarter moon. Other applications of using DNB, such as light outage detections during major storms, have been recently demonstrated [19]. The basic parameters for DNB specifications can be found in Table 1 [22] (Shao et al., 2013). The DNB is a de facto radiometer, because it uses an onboard calibration system to generate the radiances for Earth observations, compared to the DMSP-OLS, which is an imager and has no onboard calibration. The DNB of the VIIRS sensor utilizes a backside-illuminated charge coupled device (CCD) focal plane array (FPA) for sensing of radiances spanning seven orders of magnitude in one panchromatic (0.5 to 0.9 µm) reflective solar band (RSB). In order to cover this extremely broad measurement range, the DNB employs four imaging arrays that comprise three gain stages. The low gain stage (LGS) gain values are determined by solar diffuser data. In operations, the medium and high gain stage values are determined by multiplying the LGS gains by the medium gain stage (MGS)/LGS and high gain stage (HGS)/LGS gain ratios, respectively [23]. The DNB relies on collocation with multispectral measurements on VIIRS and other Suomi-NPP sensors for accurate geolocation. The spatial resolution of the DNB is approximately 750 m across the entire swath. This is achieved by performing on-chip aggregation of the CCD detector elements that form pixels, which results in 32 aggregation zones through each half of the instrument swath on either side of nadir. The aggregation zones near the end of scan (EOS) have fewer pixels than the zones near nadir, as the footprint of a single CCD detector element on the ground is much larger at EOS. These improvements, coupled with the multispectral complementary information from other collocated VIIRS channels, enables the use of Suomi-NPP to pursue quantitative applications heretofore restricted to daytime measurements, a true paradigm shift in nighttime remote sensing capability.

Shi *et al.* [24] suggested that VIIRS data might be more indicative of demographics and economics than DMSP data at both the city and the province scales by statistically comparing the correlations between nighttime light brightness and socio-economic variables. Ma *et al.* [25] investigated correlations of DNB nighttime light radiance with GDP, population, electrical power consumption and paved road areas, and this work indicated that these parameters had a significantly positive

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linear relation with nighttime light radiance. The application of VIIRS DNB nighttime data, beyond correlating with socio-economic data [26–32], also can be used to detect social insurgency [33].

**Table 1.** Design specifications for Suomi-National Polar-orbiting Partnership (Suomi-NPP) VIIRS-day-night band (DNB). HGS, high gain stage; MGS, medium gain stage; LGS, low gain stage.

Spectral Band	0.5 to 0.9 μm
Relative Radiometric Gains	119,000:477:1 (HGS:MGS:LGS)
Dynamic Range	Lmax/Lmin = 6,700,000
Number of Bits in analog to digital (A/D)	14 bits (16,384 levels) for HGS; 13 bits (8192 levels) for MGS and LGS
Spatial Resolution	750 m
Aggregation	32 aggregation zones
Time Delay Integration (TDI)	1, 3 and 250 pixels for LGS, MGS and HGS, respectively
Number of Samples per Scan	4064

Recent work by Li et al. [26] compared the capabilities of using DNB and DMSP-OLS data to model the gross regional product (GRP) in China. One variable, total night light (TNL), is derived from DNB and DMSP imagery data to model GRP at the provincial and county level in China with a linear regression model. It was shown that the TNL derived from Suomi-NPP DNB exhibit  $R^2$  values of 0.8699 and 0.8544 when correlating with the provincial and county GRP, respectively, which are significantly better than the correlative relationship between the TNL from DMSP-OLS F16 (0.6923) and F18 (0.7056) satellites and GRP. This demonstrated that the DNB nighttime light imagery has a stronger capability in modeling GRP than those of the DMSP-OLS data. However, the comparison between Suomi-NPP DNB and DMSP-OLS in correlating with regional socio-economic variables performed by Li et al. [26] is limited to correlating one socio-economic parameter, i.e., GRP, with one light variable (total night light) derived from nighttime imagery data. However, Li et al. [26] only gave the three general potential factors (the saturation effect of DMSP-OLS in city centers, the different acquisition time between DNB and DMSP-OLS data and the onboard calibration system on NPP-VIIRS) that make DNB data more efficient than the OLS data in modeling the economy, but without any quantitative analysis. Factors that cause the difference between DNB and DMSP observations in correlating with GRP remain to be investigated.

In this paper, we focus on comparing the performance of imagery data of the DMSP-OLS stable data with that of Suomi-NPP DNB composite data in correlating with multiple regional socio-economic parameters in China. We developed methods to remove the background noises that are not related to economic activities in DNB data. Different from the work of Li *et al.* [26], we calculate correlations between four light variables derived from nighttime imagery data and multiple socio-economic parameters to assess the difference between the DMSP-OLS stable data and the DNB composite data in correlating with socio-economic parameters. In view of the significant differences between DMSP data and DNB, such as different data quantization, the saturation effect of the DMSP stable data and data acquisition time at night, *i.e.*, DNB at ~1:30 a.m. *versus* DMSP-OLS at ~9 p.m. Equator cross time, we use a cubic regression model to correct the saturation pixels of the DMSP stable data, artificially quantize the pixel value of the DNB composite data into six bit and estimate the ratio of total night radiance between saturation-corrected DMSP-OLS stable and finite quantization DNB composite data for China.

In the following sections, we first introduce the data and regional areas studied in our work. In Section 3, we first illustrate the variables of interest derived from nighttime light data. Then, the noise masking method (NMM) and the optimal threshold method (OTM) are presented for removing background noise of DNB composite data. The correlation results between variables from nighttime data and socio-economic parameters are given. Section 4 explores the factors that contribute to the

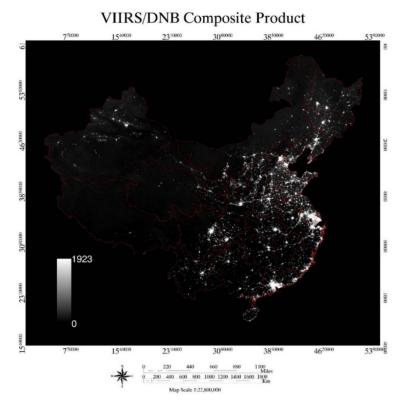
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correlation difference between the DNB composite data and the DMSP-OLS stable data. The conclusion is given in Section 5.

### 2. Data and Study Area

### 2.1. Nighttime Imagery Data

In this study, both DMSP-OLS stable data and Suomi-NPP VIIRS DNB composite data over mainland China are used. The VIIRS-DNB (Figure 1) composite data used in this study are the first global cloud-free composite of VIIRS nighttime lights and acquired from the Earth Observation Group (EOG) of NOAA's National Geophysical Data Center (NGDC).



**Figure 1.** The VIIRS-day-night band (DNB) composite data of mainland China in 2013 (multiplying the pixel value by  $10^{-9}$  gives radiance in units of W/cm<sup>2</sup>-sr).

These global composite of VIIRS nighttime light data are assembled with observations collected at nights with zero moonlight during the periods of April and October in 2012 and January in 2013. Cloud screening was performed based on the detection of clouds using the observations from the M15 infrared thermal band of VIIRS. However, this data product has not been filtered to remove lights associated with fires, gas flares, volcanoes or aurora. Furthermore, the background noise has not been subtracted [34]. First, we used a mean algorithm to remove the abnormal lights that may be associated with fires and gas flares. Then, we introduced the noise masking method (NMM) and the optimal threshold method (OTM) to remove background noise in DNB composite data, and these methods are illustrated in Sections 3.2 and 3.3. 2012 composite data reveal significant geolocation issues, which were due to a DNB pointing error or systematic offset in computing the location of nadir. In the nighttime data, this resulted in a westward shift of entire scans, with off-nadir pixels more affected than pixels close to nadir. When making a composite (or average) product, the effect was an enlarged footprint in the track direction of the composite and an average radiance, which was not representative of the ground pixel, as it was an average of a close-by region depending on geolocation

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accuracy. NOAA/NGDC developed software to correct for these pointing errors, and these errors were fixed in the January 2013 composite. Therefore, we use January 2013 DNB composite data to compare to the DMSP-OLS stable product in this paper. January is not the burn season in China, so the biomass burning effect can be ignored in this month.

The DMSP stable data are also acquired from the NGDC/EOG. This dataset is cloud-free composites assembled using all of the archived smooth resolution data of DMSP-OLS that are available during calendar years. The products are in a spatial resolution of 30 arc seconds [35]. The composite products of DMSP data we use in this study were stable light products and acquired by the F18 satellite in 2012. In this data product, the background noise was identified and replaced with values of zero, and ephemeral events have been discarded, so that only lights from cities, towns and other sites with persistent lighting (including gas flares) remain [36]. All of the nighttime light imagery was re-projected using Albers conical equal-area projection with its original resolution. The details of nighttime light data are described in Table 2.

**Table 2.** Year and spatial resolution of the satellite imagery data used in this study and the year of the corresponding socio-economic data used to correlate with these imagery data. All of the satellite data are obtained from National Geophysical Data Center (NGDC)/Earth Observation Group (EOG).

Year	Imagery Data	Archived Composites	Resampled Imagery in this Study	Year of Socio-Economic Data to Correlate with	
2013	VIIRS/DNB composite data	15 arc seconds	491 m	2013	
2012	DMSP/F18 stable data	30 arc seconds	982 m	2012	

In this paper, we assume that the night light distributions in mainland China during the 12-month composite in 2012 and the 1-month composite in 2013 are the same. Then, four types of variables, total night light (*TNL*), light area (*LA*), average night light (*ANL*) and log*ANL* of each administrative region, were derived from nighttime image. Details on these four variables can be found in Section 3.1.

### 2.2. Socio-Economic Data

In this study, socio-economic parameters chosen to be correlated with nighttime imagery data are acquired from China Statistical Yearbook for Regional Economy, China City Statistical Yearbook, and China Statistical Yearbook. In total, there are 12 socio-economic parameters chosen for correlating in this study. The abbreviations, sources and units of these parameters are described in Table 3.

**Table 3.** The abbreviations, sources and units of socio-economic parameters at the provincial level used in this study.

Socio-Economic Parameters	Abbreviations	Unit	Source
Total Population	TP	Ten thousands	a
City Population	CP	Ten thousands	d1
City and Town Population	CTP	Ten thousands	b
Household	HH		a
City Area	CA	Square km	С
Built-up Area	BUA	Square km	С
Gross Regional Product	GRP	One hundred million Yuan	a
GRP Per Capita	GRPPC	Yuan	d2
City and Town Per Capita Income	CTPCI	Yuan	b
Rural Per Capita Încome	RPCI	Yuan	b
Power Consumption	PWC	A hundred million kilowatt hours	b
Waste Water Discharge	WWD	Ten thousand tons	a

a, China Statistical Yearbook; b, China Statistical Yearbook for Regional Economy; c, China City Statistical Yearbook; d1, calculated by multiplying city population density and city area; d2, calculated by dividing GRP by total population.

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## 2.3. Region of Interest and Identification

To evaluate the correlations between socio-economic parameters and night light variables derived from DMSP-OLS and NPP-VIIRS imagery data, we focus on analyzing administrative regions at the provincial level in mainland China. Thirty-one provinces in mainland China are selected for the analysis. Boundary data of these 31 provinces at the scale of 1:4 million in ArcInfo format for year 2000 were obtained from the Data Sharing Infrastructure of Earth System Science [37]. The nighttime imagery data were registered to the corresponding provincial units using ENVI software. Figure 1 illustrates the composite observations by DNB of these 31 provincial regions of mainland China.

### 3. Methodology and Results

### 3.1. Variable Extraction from Nighttime Imagery Data

Both the DNB composite data and DMSP stable products are arranged in longitude/latitude format. In our analysis, nighttime image and provincial boundaries are projected using Albers equal-area conic projection available in ArcGIS, which is a conic, equal-area map projection that uses two standard parallels. With this projection, distortion is minimal between the standard parallels. This projection is best suited for land masses extending in an east-to-west orientation.

After applying projection, we extract the pixels and their values within each provincial boundary and derive four variables, total night light (TNL), light area (LA), average night light (ANL) and logANL, which are defined as follows.

Following the approach of Lo [16] and Li *et al.* [26], the *TNL* indicates the total amount of light within a given administrative region and is closely related to the socio-economic activities in the region. It is calculated using the following formula:

$$TNL = \sum_{L_i > L_t} L_i \tag{1}$$

where  $L_t$  is the threshold value in mainland China and i is the i-th pixel with a pixel value  $L > L_t$ . For DMSP-OLS stable data, the pixel value is DN, and the  $L_t$  is zero. Additionally, for DNB composite data, the pixel value has a radiance unit of  $W/cm^2$ -sr; the  $L_t$  is zero when the data have been processed by the noise masking method and is the optimal threshold value when the data have been processed by the optimal threshold method. Each TNL value represents the sum of all pixel values larger than  $L_t$  in an administrative region. For each kind of nighttime imagery data, we can extract 31 TNL values for the 31 regions, respectively.

As Elvidge *et al.* [9] and Lo [16] suggested that light area estimated from the nighttime imagery data is highly correlated with socio-economic parameters, we also introduce the light area (LA) as a variable to be extracted from the imagery data, and it is calculated as:

$$LA = \sum_{L_i > L_t} A(L_i) \tag{2}$$

where A is area of the i-th pixel with pixel value  $L > L_t$ . In this case, the light area is the total area of an administrative region with a pixel value greater than the threshold value  $L_t$ . Similarly, 31 LA values can be extracted for each kind of nighttime imagery data.

The third variable we extract from the imagery data is average night light (ANL), and it is calculated as:

$$ANL_{i} = TNL_{i}/LA_{i} \tag{3}$$

where index j refers to the j-th administrative region and  $TNL_j$  and  $LA_j$  are the TNL and LA of region j, respectively, as defined in Equations (1) and (2). Intuitively, ANL can be correlated with per capita-type socio-economic parameters, such as per capita income.

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Meanwhile, referencing to the work of Lo [16] and considering the division calculation of the variable *ANL*, we also introduce the fourth variable log*ANL* in this paper.

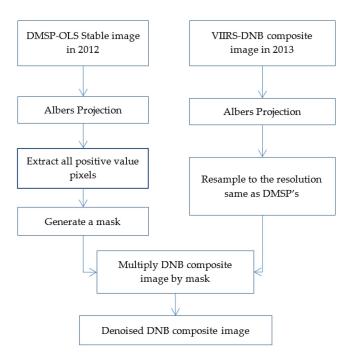
### 3.2. DNB Noise Filtering with the Noise Masking Method

While DMSP-OLS products are stable nighttime data and the background noise and ephemeral lights have been identified and replaced with values of zero [36], the DNB composite data acquired from NGDC have not been filtered to remove light signals associated with fires, gas flares, volcanoes or aurora. In calculating the *TNL*, *LA*, *ANL* and log*ANL*, the key is to remove the dark background noise and ephemeral noises, which are not related to socio-economic activities.

Before removing background noise of the DNB composite, we notice that there are a few outliers of the 2013 DNB composite data in northeast and western China. The outliers are probably caused by lights from the fires of oil or gas flares located in those areas. Since Beijing and Shanghai are the two most developed administrative regions in China, the pixel values of the other areas should not exceed those of the two regions theoretically [29]. The highest radiance of those two regions is  $2.62 \times 10^{-7} \, \text{W/cm}^2$ -sr, and other pixels whose radiance is larger than  $2.625 \times 10^{-7} \, \text{W/cm}^2$ -sr in the DNB composite data were smoothed by their eight neighbors.

After that, this preliminary corrected data also have background noise left. Making reference to the work of Lo [16] and Li *et al.* [26], we introduce two methods to remove these background noises.

Li *et al.* [26] developed a simple and approximate method for removing the background noise and ephemeral lights in DNB composite data through applying the mask generated from the 2010 DMSP-OLS stable data to 2012 DNB composite data. It was shown in Li *et al.* [26] that after applying this method, the correlation of *TNL* with regional GRP in China is largely increased. By using this method, Li *et al.* [26] made an assumption that the light areas in the years 2010 and 2012 are the same. During the time of their study, they can only acquire DMSP-OLS stable data in 2010, which is the closest year to 2012. In our work, the DMSP-OLS stable data in 2012 can be acquired, and we can generate a mask from the DMSP-OLS data in 2012, close to the year of DNB composite data. This method is referred as the noise masking method (NMM). Furthermore, we assume that the light areas in the years 2013 and 2012 are the same.



**Figure 2.** Flow chart of the noise masking method (NMM) in removing background noise for DNB composite data.

Figure 2 shows the flow chart of NMM. Different from the work of Li  $\it et al.$  [26], we use Albers projection in this work instead of the Lambert projection used in Li  $\it et al.$  [26]. The DNB composite data are resampled to the same resolution as that of DMSP-OLS stable light product,  $\it i.e.$ , 982 m. Then, we extract all of the pixels with a positive value (DN > 0) from 2012 DMSP stable data to generate a mask. The mask is applied to the DNB January 2013 composite imagery data. For pixels outside the mask, the pixel value of DNB data is set as NaN (not a number), and the pixel value is kept the same for pixels inside the mask.

### 3.3. DNB Noise Filtering with the Optimal Threshold Method

Although NMM can be effective at screening out background noise and ephemeral lights in DNB composite data, this method relies on the DMSP stable data to generate the mask. These masks might not be readily available for the DNB observations of interest and can be outdated as the new DNB observations are made available. Additionally, at the same time, NMM will exclude some small towns and road features that the DNB product is sensitive enough to pick up. Therefore, we introduce another method, the optimal threshold method (OTM), to remove the noises in DNB composite data. To determine the optimal threshold ( $L_T$ ), we chose the correlation between LA and built-up area as the object function. The choice of this object function originates from the work by Lo [16] and Chen  $et\ al.$  [38], which showed that light intensity is closely related to the type of land use or land cover and depicts built-up area the best. The object function is defined as:

$$O(L_t) = \rho(LA(L > L_t), b) \tag{4}$$

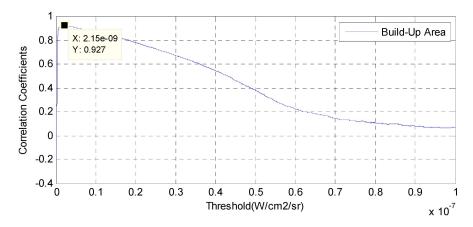
where  $\rho(LA(L > L_t), b)$  is the correlation coefficient between LA with a pixel value above the intensity threshold value  $L_t$  and built-up area b;  $L_t$ : intensity threshold value; LA ( $L > L_t$ ): light areas with a pixel value above the radiance threshold value  $L_t$  inside administrative regions; b: built-up areas of the regions in China that were acquired from China Yearbook.

The optimal threshold value  $L_T$  is therefore determined using:

$$O(L_T) = \max \left( O(L_t) |_{L_t = [L_{t1}, L_{t2}]} \right)$$
(5)

so that the resulting correlation  $O(L_T)$  between LA and built-up area reaches the maximum when  $L_t = L_T$ . Here, the light radiance threshold  $L_t$  varies from  $L_{t1}$  to  $L_{t2}$  to determine  $L_T$ . In our calculation, we use  $L_{t1}$  equal to 0 and  $L_{t2}$  equal to  $10^{-7}$ .

Figure 3 illustrates the determination of the optimal threshold value  $L_T$  from DNB composite data. The X-axis is the radiance value varying from 0 to  $10^{-7}$  W/cm<sup>2</sup>-sr. The Y-axis is the correlation coefficient between LA and the built-up area of the provinces of interest. When the correlation coefficient reaches the maximum, the corresponding radiance value is determined as the optimal threshold value  $L_T$ . As can be seen from Figure 3, the optimal threshold value of the original DNB composite data is determined as  $L_T = 2.15 \times 10^{-9}$  W/cm<sup>2</sup>-sr.



**Figure 3.** Correlation coefficient between built-up area and light area *vs.* different threshold values (see Equation (4)) for 31 provinces.

As we will show in Section 3.4.2, OTM is a more effective way than NMM to increase the correlation between *LA* and socio-economic parameters.

### 3.4. Correlation Results

We compute *TNL*, *LA*, *ANL* and log*ANL* using Equations (1) to (3) given above for DMSP-OLS stable data and VIIRS-DNB composite data, respectively. For DNB composite imagery data, we applied the NMM and OTM to remove the background noise in composite imagery. The relationships between these variables derived from nighttime imagery and socio-economic parameters are evaluated using the Pearson correlation coefficients.

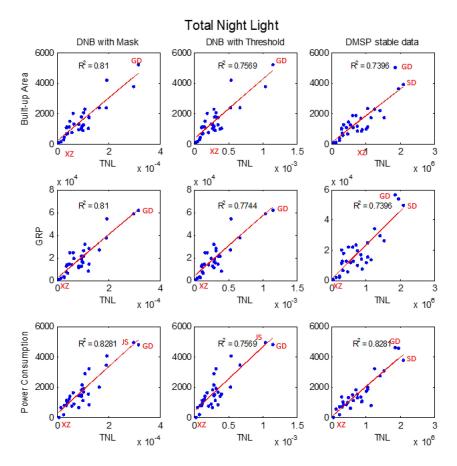
# 3.4.1. Correlations with TNL

Table 4 and Figure 4 show the correlation results and scatter plots between TNL and socio-economic parameters, respectively. As shown in Table 4, TNL of DNB composite imagery derived with the NMM and OTM (hereinafter referred to as DNB NMM and DNB OTM, respectively) all have a better correlation with socio-economic parameters than that of TNL-derived from DNB composite imagery without noise filtering. This indicates the importance of noise removal in processing DNB composite data. TNL of DNB NMM has overall better correlation than that derived with OTM. With NMM, The TNL derived from the DNB composite image has correlation coefficients ( $\rho$ ) with socio-economic parameters all above 0.7. In particular, the correlation of TNL with built-up area (BUA), GPR and power consumption (PWC) are the best, all above 0.9. The correlation of TNL with city population (CP), city and town population (CTP) and waste water discharge (WWD) are relatively in the middle, whose coefficients are 0.85, 0.84 and 0.86, respectively. The correlation of TNL with total population (TP), household (HH) and city area (CA) are relatively weak, but still strong in absolute value, whose coefficients are 0.70, 0.72 and 0.75, respectively.

Comparing the correlation of *TNL* of the DNB composite image with socio-economic parameters and that of DMSP-OLS stable data, we found that the *TNL*'s of DNB NMM and DNB OTM in general have a better correlation with most of the socio-economic parameters (except TP and HH) than the correlation derived with DMSP stable data. For *TNL* from DMSP-OLS stable data, the best correlation is with PWC, whose coefficient is 0.91; the correlations with TP, HH, BUA, GPR and WWD are in the range of 0.8; the correlations with CP, CTP and CA are relatively weak, but still strong in absolute value, whose coefficients are 0.74, 0.79 and 0.70, respectively.

Figure 4 shows the scatter plot of selected socio-economic parameters, such as BUA, GRP and PWC, vs. TNL from DNB NMM, DNB OTM and DMSP-OLS stable data, respectively. It can be seen that Guangdong has the highest BUA and GPR, and Jiangsu has the highest PWC in the year 2013; Guangdong also has the highest BUA, GPR and PWC in the year 2012. Meanwhile, Guangdong has

the highest *TNL* as derived from both DNB NMM (2013) and DNB OTM (2013), and Shandong has the highest *TNL* as derived from DMSP-OLS (2012) stable data. Xizang has the lowest *TNL* as derived from both processed DNB composite data and DMSP stable data and has the lowest BUA, GPR and PWC. This suggests that Shandong, Jiangsu and Guangdong provinces are large administrative regions and more industrialized with more night light, which is consistent with the actual situation in China.



**Figure 4.** Scatter plots of total night light (*TNL*) *vs.* socio-economic parameters (1st row: built-up area; 2nd row: gross regional product (GRP); 3rd row: power consumption) together with the fitting curve (in red) from regression. *TNL* data used in the 1st, 2nd and 3rd column are derived from DNB composite data with NMM, the optimal threshold method (OTM) in 2013 and DMSP-OLS stable data in 2012, respectively. Red labels in the panel denote: GD, Guangdong; SD, Shandong; XZ, Xizang; JS, Jiangsu.

**Table 4.** Correlation coefficients between *TNL* and socio-economic parameters. *TNL*'s are derived from DNB composite data with or without noise filtering and DMSP-OLS F18 stable data, respectively.

		DNB TNL 2013		DMSP TNL
	Original	NMM	OTM	F18 2012
TP	0.43	0.70	0.63	0.80
CP	0.58	0.85	0.85	0.74
CTP	0.54	0.84	0.81	0.79
HH	0.45	0.72	0.66	0.81
CA	0.53	0.75	0.73	0.70
BUA	0.67	0.90	0.87	0.86
GRP	0.62	0.90	0.88	0.86
PWC	0.71	0.91	0.87	0.91
WWD	0.57	0.86	0.85	0.80

In summary, applying noise-filtering methods, *i.e.*, NMM or OTM, to DNB composite data helps improve the correlation between *TNL* and socio-economic parameters. The NMM produces the best performance in correlating *TNL* of DNB composite data with socio-economic parameters in comparison with another method. The correlation of *TNL* from DNB NMM is better than that of DMSP stable data for almost all of the parameters, except TP and HH, and that with PWC is comparable. Li *et al.* [26] studied the correlation only between *TNL* and GRP, and our results on this correlation are consistent with what they concluded.

### 3.4.2. Correlations with LA

Table 5 and Figure 5 show the correlation results and scatter plots between *LA* and socio-economic parameters, respectively.

As shown in Table 5, the LA's derived from DNB NMM and DNB OTM all have significant better correlations with socio-economic parameters than that of LA derived from the DNB composite without noise filtering. The correlation coefficients of LA derived from DNB OTM are all above 0.77. In particular, the correlations of LA derived from DNB OTM with economic parameters (CTP, BUA, GRP, PWC and WWD) are among the best, all above 0.9. LA of DNB OTM has a much better correlation than that of DNB NMM, particularly in correlating with CP, CTP, CA, BUA, GRP, PWC and WWD. The best correlation of LA derived from DNB NMM has  $\rho \sim 0.78$ , *i.e.*, TP and HH, far less than  $\rho > 0.9$  achieved for the correlation of LA using OTM with CTP, BUA, GRP, PWC and WWD. This illustrates that OTM is a more effective way than NMM to remove noisy background of DNB composite data and deriving socio-economic activity-related LA from the data. Therefore, using OTM can more effectively improve the correlative relationship between LA of DNB composite data and socio-economic parameters.

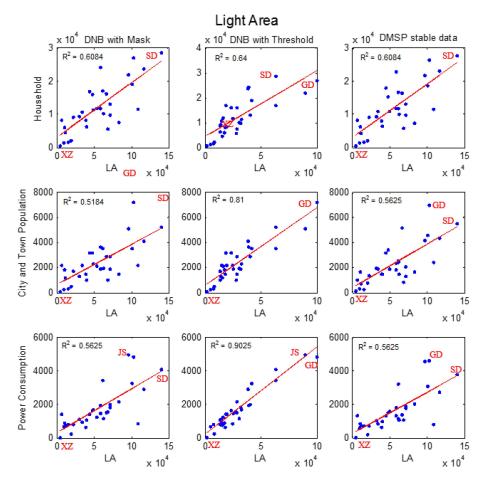
**Table 5.** Correlation coefficients between *LA* and socio-economic parameters. *LA*'s are derived from DNB composite data with or without noise filtering and DMSP-OLS F18 stable data, respectively.

	DNB 2013 <i>LA</i>			DMSP LA
	Original	NMM	OTM	F18 2012
TP	-0.27	0.78	0.78	0.78
CP	-0.33	0.58	0.86	0.59
CTP	-0.33	0.72	0.90	0.75
HH	-0.29	0.78	0.80	0.78
CA	-0.27	0.55	0.77	0.54
BUA	-0.23	0.74	0.93	0.73
GRP	-0.31	0.68	0.94	0.68
PWC	-0.16	0.75	0.95	0.75
WWD	-0.30	0.65	0.92	0.65

Since the administrative region of interest of DNB NMM data is generated from the DMSP-OLS mask, the correlations of *LA* using DNB NMM and the DMSP stable data are similar; only the correlations with CP, CTP, CA and BUA have small differences, which is because the years of the corresponding socio-economic data that DNB NMM and DMSP stable data correlated with are different. Therefore, the performances of the correlation of *LA* derived from both DNB NMM and DMSP-OLS stable data with socio-economic parameters are almost the same.

Figure 5 shows the scatter plot of selected socio-economic parameters, such as household, city and town population and power consumption  $vs.\ LA$  from DNB NMM, DNB OTM and DMSP-OLS stable data, respectively. From Figure 5, Guangdong and Shandong have the largest LA as derived from DNB OTM (2013) and DMSP-OLS stable data (2012), respectively. Xizang has the smallest LA as derived from both DNB denoised data and DMSP-OLS stable data. This relative ranking of LA among Guangdong, Shandong and Xizang is consistent with the ranking of TNL. Correspondingly, Shandong, Guangdong and Jiangsu have the highest household, city and town population and power consumption in the year 2013, respectively, Shandong has the highest HH, and Guangdong has the

highest CTP and PWC in the year 2012. Still, Xizang province has the lowest built-up area, GPR and power consumption.



**Figure 5.** Scatter plots of light areas (*LA*'s) *vs.* socio-economic parameters (1st row: household; 2nd row: city and town population; 3rd row: power consumption) together with the fitting curve (in red) from regression. *LA* data used in the 1st, 2nd and 3rd column are derived from DNB composite with NMM and OTM in 2013 and DMSP-OLS stable data in 2012, respectively. Red labels in the panel denote: GD, Guangdong; SD, Shandong; XZ, Xizang; JS, Jiangsu.

From Tables 4 and 5 it can also be observed that using OTM in deriving *TNL* and *LA* from DNB composite data, the resulting correlations with BUA, GRP, PWC and WWD are all quite strong, *i.e.*, above 0.92 for *LA* and between 0.85 and 0.88 for *TNL*. This suggests that OTM is consistent in removing noise for calculating the correlation with both *LA* and *TNL* and is an effective method for filtering DNB data to model these socio-economic parameters. Meanwhile, based on the generation procedure of DNB NMM data, its performance for *LA* in correlating with the parameters is the same as DMSP stable data, but has better performance of *TNL* than DNB OTM data in correlating with the parameters, especially with BUA, GRP, PWC and WWD (economic parameters).

# 3.4.3. Correlations with ANL and logANL

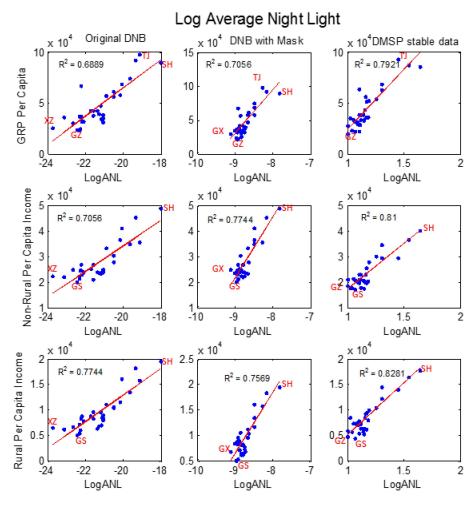
Tables 6 and 7 show the correlation results between socio-economic parameters and both *ANL* and log*ANL*, respectively. Figure 6 shows scatter plots between log*ANL* and multiple socio-economic parameters. Since the *ANL* is derived by dividing *TNL* with *LA*, it should be related to per-capita socio-economic parameters, such as per capita GRP and per capita income, in evaluating its correlation.

**Table 6.** Correlation coefficients between *ANL* and socio-economic parameters. *ANL*'s are derived from DNB composite data with or without noise filtering and DMSP-OLS stable data, respectively.

	DNB ANL 2013			DMSP ANL
	Original	NMM	OTM	F18 2012
GRPPC	0.69	0.71	0.67	0.84
CTPCI	0.78	0.81	0.77	0.88
RPCI	0.77	0.80	0.76	0.89

**Table 7.** Correlation coefficients between log*ANL* and socio-economic parameters. log*ANL*'s are derived from DNB composite data with or without noise filtering and DMSP-OLS stable data, respectively.

	D	3	DMSP logANL	
	Original	NMM	OTM	F18 2012
GRPPC	0.83	0.84	0.75	0.89
CTPCI	0.84	0.88	0.79	0.90
RPCI	0.88	0.87	0.81	0.91



**Figure 6.** Scatter plots of log*ANL vs.* socio-economic parameters (1st row: GRPPC; 2nd row: CTPCI; 3rd row: RPCI) together with the fitting curve (in red) from regression. log*ANL* data used in the 1st, 2nd and 3rd column are derived from original DNB composite data in 2013, DNB NMM in 2013 and DMSP-OLS stable data in 2012, respectively. Red labels in the panel denote: GS, Gansu; GZ, Guizhou; GX, Guangxi; SH, Shanghai; TJ, Tianjin; XZ, Xizang.

Figure 6 shows the scatter plot of the selected socio-economic parameters, such as GRP per capita (GRPPC), CT per capita income (PCI) and RPCI *vs.* log*ANL* from original DNB composite data, DNB NMM and DMSP-OLS stable data, respectively. As mentioned above, *ANL* is derived by dividing *TNL* with *LA*, so the province with the highest *ANL* is different from that with the highest *TNL* and *LA*. Shanghai has the highest log*ANL* for both DNB composite data and DMSP-OLS stable data. Accordingly, Shanghai also has the highest CTPCI and RPCI over the two years of interest, and Tianjin has the highest GRPPC in both years. On the other hand, Xizang, Guangxi and Guizhou have the lowest log*ANL* for original DNB composite data, DNB NMM data and DMSP-OLS stable data, respectively. Guizhou has the lowest GRPPC, and Gansu has the lowest CTPCI and RPCI in both years. This indicates that Shanghai is more industrialized, has a higher living standard per capita and, therefore, more night light emission in *ANL*, which is indeed the scenario in China.

### 4. Analysis and Discussions

From Section 3.4, it can be seen that the correlation of socio-economic data with the *TNL* and *LA* of denoised DNB composite data is in general better than with DMSP-OLS stable data. The correlations with *ANL* and log*ANL* of DNB composite data are not as good as with DMSP-OLS stable data. Therefore, this section focuses on the cause analysis of the correlation difference with variable *TNL*. In this section, we analyze the reasons for the correlation difference between DMSP stable data and DNB NMM data, rather than DNB OTM data, because, since the DNB NMM is derived from DMSP stable data, we can pay more attention to the nighttime light intensity difference between DNB composite and DMSP stable data instead of the difference caused by noise removal methods. For the same reason, we will not analyze correlation difference with variables *LA*, *ANL* and log*ANL* in this paper.

To find out the factors that cause the correlation difference between DNB NMM and DMSP-OLS stable data, we here analyze the primary difference (Table 8 [5,35]) between DNB composite and DMSP-OLS stable data, such as the effects of the saturation and quantization of the pixel value and the *TNL* ratio between different nighttime datasets.

Data	Spatial Resolution	Pixel Value	Saturation Effect	Quantization	Acquisition Time (Local Time)
VIIRS/DNB	750 m (Observed) 15 arc second (NGDC)	Radiance	NO	14 bit [39]	1:30 a.m.
DMSP-OLS	2700 m (Observed) 30 arc second (NGDC)	DN	YES	6 bit [5]	~9 p.m.

Table 8. Main differences between VIIRS-DNB and DMSP-OLS data.

### 4.1. Effect of Saturation

DN data of the DMSP-OLS stable light image can be saturated at centers of city areas where nighttime light is strong [13]. At full spatial resolution (called "fine"), the OLS collects data with a normal pixel size of 0.56 km. Onboard averaging of five by five blocks of fine data produces smoothed data with a ground sampling distance (GSD) of 2.7 km [2]. In this case, saturated and non-saturated fine pixels get averaged together, so the resultant data appears non-saturated [40]. The stable products are made using all of the available archived DMSP-OLS smooth resolution data for calendar years and re-mapped with 1 km  $\times$  1 km spatial resolution, so that the sub-pixel saturation phenomenon is not as obvious as the smoothed data. Meanwhile, China is a developing country, and the percentages of saturation area (area<sub>saturation</sub>/area<sub>pixel</sub> value>0) in administrative regions are small (the largest three

percentage regions are Beijing, Shanghai and Tianjin, whose saturation area percentages are 0.182, 0.048 and 0.023, respectively). Therefore, we determine that the sub-pixel saturation effect is negligible in this work.

Letu *et al.* [13] developed a correction method for the saturation light by using a cubic regression equation in the power supply areas in Japan, China and other countries in Asia. The correlation results between cumulative *DN*s and electric power consumption of each prefecture in China increases after the correction for the saturation DMSP stable light. In this paper, we follow the correction method of Letu *et al.* [13] to estimate the total DN values in the saturation areas and assess the effect of saturation on the correlation difference.

The cubic regression equation is based on the tendency of DN change in non-saturated areas to correct the saturation effect. The cubic regression equation is [13]:

$$DN_T = DN_{NS} + \int_{A}^{B} \left( ax^3 + bx^2 + cx + d \right)$$
 (6)

where  $DN_T$  is the corrected total DN of the administrative region,  $DN_{NS}$  is the total DN of the non-saturation area and A and B are the lower and upper limits of the total number of pixels in the saturation area, respectively. Additionally, a, b, c, d are coefficients that were obtained from a four-dimensional simultaneous equation on the least-squares method.

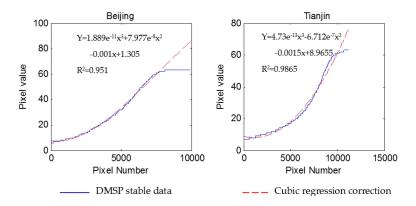
The correlation results between socio-economic parameters and TNL derived from the saturation-corrected DMSP-OLS stable data are listed in Table 9, and even though the correlation difference from that of TNL derived with DMSP-OLS stable data,  $\Delta \rho$ , differs at the third decimal point, all of the correlations with saturation corrected data have been improved. This indicates that this cubic regression saturation correction method is effective, and the saturation effect of DMSP stable data is one of the reasons for the correlation difference.

**Table 9.** Correlations between socio-economic parameters and TNL of DMSP stable data, TNL of saturation-corrected DMSP stable data, TNL of DNB NMM and TNL of DNB NMM after quantization.  $\Delta p$ : difference in the comparison with the correlation derived from TNL of DMSP stable data and DNB NMM, respectively.

	TNL of	TNL of DMSP Stable Data			f DNB NMM	MM Data	
	Original	Saturation	Corrected	_ Original _	Quantization		
	O LIGHT		Δρ	- 011-511111 -		Δρ	
TP	0.801	0.808	0.007	0.695	0.684	-0.011	
CP	0.738	0.747	0.009	0.850	0.860	0.010	
CTP	0.789	0.797	0.008	0.841	0.844	0.003	
HH	0.809	0.816	0.007	0.721	0.710	-0.011	
CA	0.700	0.709	0.009	0.754	0.756	0.002	
BUA	0.864	0.870	0.006	0.904	0.898	-0.006	
GRP	0.856	0.865	0.009	0.899	0.910	0.011	
<b>PWC</b>	0.911	0.917	0.006	0.906	0.909	0.003	
WWD	0.799	0.808	0.009	0.861	0.877	0.016	

Figure 7 shows the correction results for the saturation pixels in Beijing and Tianjin. The correction data vary considerably from the DN of the non-saturated area, and therefore, we could estimate the DN of the saturation areas.

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**Figure 7.** Correction of the saturation light by the cubic regression equation of Beijing and Tianjin, respectively.

# 4.2. Effect of Quantization

The radiometric signals observed by the DNB sensor are digitized using 14 bits for the HGS and 13 bits for the MGS and LGS. The fine quantization of HGS enhances the appearance of terrestrial light emissions, including faint city lights. By applying gain coefficients and offsets, raw data from DNB observation are converted into radiometric units, *i.e.*, W/cm<sup>2</sup>-sr [19]. On the other hand, the pixel value of DMSP-OLS stable data obtained from NGDC is of a digital number (DN) in six-bit format with a value between zero and 63.

Fourteen bit and six bit are different in quantization steps. There are more gray levels for 14-bit data compared to six-bit DMSP stable data, so the different quantization might affect the correlation results. Since there is no absolute radiometric calibration for the DMSP-OLS observation in 2012, in this subsection, we show the relationship between the DN value and the radiance of DMSP-OLS stable data firstly. Based on this premise, to study the effect of finite quantization embedded in the DMSP stable data on the performance of correlations with socio-economic parameters, we artificially transform the radiance value of DNB NMM data into six-bit format to match the DN value of the DMSP-OLS stable data format and then compare the resulting correlations.

The DMSP-OLS stable data are acquired under operational conditions, and the gain is varied both along each scan line and as the satellite follows its polar orbit. However, the gain is not recorded in the data stream [40]. In this work, we assume that the gain of the operational stable light product, which is taken by sensors set at the variable, but highest level of gain [41], is fixed (*i.e.*, 55) [40].

The instrument gain of DMSP-OLS is a setting that determines how the detector converts the radiance into a digital number. The transform equation is [40,42]:

$$DN = DN_{max} \left( R/R_{sat} \right) \tag{7}$$

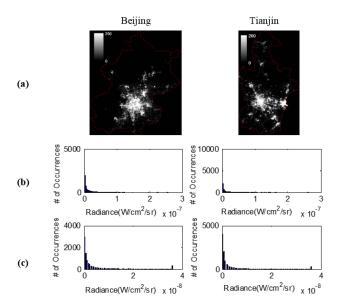
where DN is the digital number of the DMSP-OLS data and R is the corresponding radiance.  $DN_{max}$  is 63, and  $R_{sat}$  is the saturation radiance of the detector. Additionally, the following equation gives the relationship between gain setting (G) and saturation radiance:

$$\log_{10} R_{sat} \approx -\left(C + G\right)/20 \tag{8}$$

where C is a constant coefficient of the relationship between gain and saturation that can be acquired from a pre-launch calibration graph and is subject to change as the instrument degrades. The unit of  $R_{sat}$  is  $W/cm^2/sr$ . Even though the constant coefficient C for the DMSP-OLS F18 sensor is unreachable, based on the assumption mentioned above and Equations (7) and (8), we can get that the relationship between DN of a specific DMSP-OLS stable dataset, and its corresponding radiance value is linear.

After that, we should find the radiance range of DNB NMM data that will be quantized. We started with the hypothesis that the distribution of the composite night light in China is stable in the years 2012 and 2013, so that the brightness levels of DNB NMM data and DMSP stable data are the same. Therefore, if the DNB composite data have been artificially saturated, the saturated pixel percentage is the same as that of DMSP stable data in China mainland. Then, we arrange the pixels of DMSP stable data and DNB NMM data with a DN value in a gradually increasing order, determine the corresponding radiance value for the saturated DN of DNB composite data, *i.e.*,  $L_{sat} = 3.606 \times 10^{-8} \text{ W/cm}^2$ -sr, and set pixel values of DNB NMM data larger than  $L_{sat}$  equal to  $L_{sat}$ . Then, we perform an inverse transformation of Equation (7) so that the radiance data from DNB NMM data can be converted to six-bit format to match the DMSP stable data format.

Figure 8 shows the histograms of DNB NMM data transformed with finite quantization for Beijing and Tianjin. Table 9 lists the correlation coefficients between socio-economic parameters and *TNL* of DNB NMM data after quantization. From Table 9, we notice that, after quantization processing of DNB NMM, the correlations of CP, GRP and WWD have improved compared to the original DNB NMM correlations. Meanwhile, quantization processing makes the correlations with TP, HH and BUA worse. Therefore, the effect of quantization on the correlation difference is noticeable, but socio-economic parameter dependent.



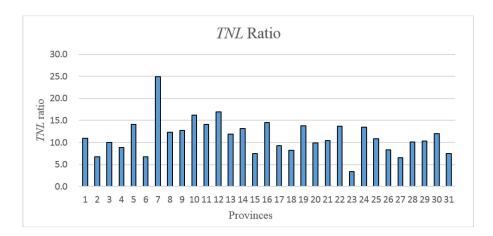
**Figure 8.** (a) DNB imagery derived with NMM for Beijing (left) and Tianjin (right); (b) histograms of DNB NMM data; (c) histograms of DNB NMM data transformed with finite quantization for Beijing (left) and Tianjin (right), respectively.

### 4.3. Fluctuation of the TNL Ratio

In this sub-section, we compare the difference in the TNL of saturation-corrected DMSP stable data and finite quantization DNB NMM data and finite quantization DNB NMM data for individual provinces. For comparison's sake, we calculate the TNL ratio using the pixel value of DNB NMM data instead of the radiance value, and multiplying the pixel value by  $10^{-9}$  gives radiance in units of  $W/cm^2$ -sr.

The TNL ratio for 31 provinces derived from the saturation-corrected DMSP stable data in 2012 and quantized DNB NMM data in 2013 ranges from 3.4 to 24.9. The mean of the ratio is ~11.28  $\pm$  4.02. Figure 9 shows the TNL ratio for 31 provinces. This indicates that the fluctuation of these ratios in Figure 9, other than saturation and quantization effects, is the other reason for the correlation difference between DNB composite data and DMSP-OLS stable data. From Figure 9, for provinces that have a large built-up area and are well industrialized, such as Beijing (2), Guangdong (6), Jiangsu (15),

Shanghai (23), Tianjin (27) and Zhejiang (31), their *TNL* ratios are relatively small. For provinces that are relatively underdeveloped, such as Gansu (5), Guangxi (7), Henan (12), Jiangxi (16), Neimenggu (19) Ningxia (22) and Yunnan (30), their *TNL* ratios are larger.



**Figure 9.** *TNL* ratio between saturation-corrected DMSP stable data and quantized DNB composite data for 31 provinces in China. Names corresponding to the indices of these provincial regions are listed in Table A1. Mean ratio = 11.28 and standard deviation of the ratio = 4.02.

DMSP satellites operate in Sun-synchronous orbits with nighttime overpassing at local time from 7 p.m. to 9 p.m. [2]. Additionally, the Suomi-NPP satellite was placed into Sun-synchronous orbit with local equatorial crossing times at ~1:30 a.m. during the nighttime [18]. Because of their different observation times, the characteristics of observed radiance data are quite different. At 1:30 a.m., people are asleep, and residential light sources and light emitted from vehicles are reduced, but the commercial and city infrastructure light sources are still on. Therefore, the reason for the phenomenon of the *TNL* ratio fluctuation is mainly because of the different data acquisition times. In the well-industrialized regions, commercial and city infrastructure lights are still on at midnight, so the light intensity changes are relatively small at night and midnight. On the contrary, the night light intensity has larger variation in the underdeveloped regions at night and midnight.

We note the different instantaneous field of views (IFOV) and spectral responses will affect *TNL*; but, for the *TNL* ratio, the IFOV and spectral response difference will be a constant factor, and the ratio fluctuation tendency will not change. However, still, these ratios only serve as a preliminary and rough estimate for the difference in the nighttime light emission at different night times, *i.e.*, at ~8 p.m. *vs.* at ~1:30 a.m., in different provincial regions. Other factors, such as saturation correction and finite quantization uncertainty, different data collection times in the year and sensor calibration, *etc.*, can certainly contribute to the overall uncertainty of the ratio estimation.

We use a nearest-neighbor model to resample DMSP stable data to the same spatial resolution as DNB composite data, and its *TNL* is four times the original DMSP stable data. This will not change the correlation results between DMSP stable data and statistics.

Therefore, the reasons that caused the correlation difference between nighttime data can be the effects of the saturation and quantization of DMSP stable data, and a different acquisition time is another reason for the correlation difference.

### 5. Conclusions

In this paper, we calculate the correlations between four variables (*TNL*, *LA*, *ANL* and log*ANL*) derived from nighttime light data and 12 socio-economic parameters at the provincial level in mainland China to compare the performance of Suomi-NPP VIIRS/DNB composite data and DMSP-OLS stable data in correlating with regional socio-economic parameters. The noise masking method and optimal

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threshold method have been used to remove the background noise of DNB composite data that is not related to economic activities before calculating the correlations.

From the correlation results, we can find that the OTM is effective at noise removal for both *TNL* and *LA* variables of DNB composite data, and the NMM is effective at noise removal for *TNL* of DNB composite data. Quantitatively, the correlations between *TNL* of DNB NMM and BUA, GRP and PWC are higher than 0.90. Additionally, for the *LA*, OTM can improve the correlations significantly. Correlations between *LA* of DNB OTM and CTP, BUA, GRP, PWC and WWD are higher than 0.9. For the *ANL* and log*ANL*, the processing of DNB composite data with NMM and OTM has little effect on the correlations in comparison to the original DNB composite data. All of the results demonstrate that OTM is consistent at removing noise and is an effective method for filtering DNB composite data to model these socio-economic parameters. In addition, from an application perspective, OTM is not bounded by time, but the NMM depends on the masks derived from DMSP stable data of the most recent years.

A comparison is also performed of the relationship between DNB composite and DMSP-OLS stable data with socio-economic parameters through correlation analysis. *TNL* and *LA* of DNB composite data have a better correlation than DMSP-OLS stable data in general. For *TNL*, DNB NMM has a better correlation with all of the socio-economic parameters (except TP and HH) than the correlation derived with DMSP/F18 stable data. For *LA*, DNB OTM has a better correlation with all of socio-economic parameters than the correlation derived with DMSP/F18 stable data. However, the correlation between *ANL*/log*ANL* and DNB composite data is not as good as DMSP stable data.

To analyze the factors contributing to the correlation difference between DNB composite data and DMSP stable data, we studied the effects of the differences in their saturation effect, quantization, spatial resolutions and the TNL ratios. A cubic regression method is developed to correct the saturation effect of DMSP stable data. Additionally, we artificially convert DNB composite data into a six-bit value to match the DMSP stable data format. The correlation results between the processed data and socio-economic data show that the effects of saturation and finite quantization are two reasons for the correlation difference. Additionally, on this basis, we estimate the TNL ratio between saturation-corrected DMSP stable data and finite quantization DNB composite data, and it is found that the ratio is  $\sim$ 11.28  $\pm$  4.02 for China. Based on the characteristic of the TNL ratio fluctuation, the fluctuation tendency of the ratio is mainly due to different acquisition times: DMSP and Suomi-NPP satellites overpass at local time about 8 p.m. and 1:30 a.m., respectively. At 1:30 a.m., residential and vehicle light sources are reduced, but commercial light sources are left. The fluctuation tendency consists of the situation in which the night light intensity in more developed regions changes less at night and midnight (Figure 9). That means that the social economic parameters we considered, which have a good correlation with the VIIRS-DNB composite, are indicators of human-related activity. This does not mean that these activities cease when humans are asleep. This means that societal development, city infrastructure and, consequently, light emissions are all correlated.

Note that the ratio of *TNL* between DMSP-OLS stable and DNB composite data is a rough estimate and can be affected by other factors, such as saturation correction and finite quantization uncertainty, different data collection times in the year, sensor calibration, *etc*.

In this paper, the VIIRS DNB composite, like some eliminated ephemeral events in OTM, has no further removal, and some faint sources of VNIR emissions have been removed wrongly. These are the next steps of our work about the nighttime light.

The Suomi-NPP VIIRS/DNB is a major step forward from DMSP-OLS in its night-imaging capabilities. The advantages of the DNB sensor are clear: higher radiometric accuracy, finer spatial resolution and higher geometric quality. Additionally, and more importantly, the radiometric data are more reliable and inter-comparable due to the on-board calibration process and three-gain stage of DNB, which ensures no saturation effect at night. The comparison results in this paper confirm this and show that with DNB data, we can quantitatively determine the regional night light in radiance units and assess the correlation with socio-economic parameters. Additionally, our study demonstrates

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the promising aspects of applying well-calibrated VIIRS-DNB data to estimate long-term regional socio-economic development. With the effort from NOAA to improve the calibration of VIIRS DNB products, it is anticipated that the VIIRS nighttime lights will enable advances in more applications of nighttime imaging products.

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**Author Contributions:** Xin Jing, Xi Shao and Changyong Cao conceived of and designed the experiments. Xin Jing and Xi Shao performed the experiments. Xin Jing and Xi Shao analyzed the data. Xin Jing, Xiaodong Fu and Lei Yan contributed auxiliary data. Xin Jing and Xi Shao wrote the paper. Changyong Cao and Lei Yan edited and revised the paper.

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### Appendix A

**Table A1.** Socio-economic parameters during 2013 for 31 provinces in mainland China (units and abbreviations for these socio-economic parameters are listed in Table 3).

	Index Number	Abbreviation	ons TP	СР	СТР	НН	CA
Anhui	1	AH	6030	1380	2886	16,155	5852
Beijing	2	BJ	2115	1826	1825	6166	12,187
Chongqing	3	ĆQ	2970	1133	1733	9071	6134
Fujian	4	FJ	3774	1105	2293	11,340	4299
Gansu	5	GS	2582	568	1036	6280	1450
Guangdong	6	GD	10,644	4947	7212	27,050	16,136
Guangxi	7	GX	4719	942	2115	11,809	6104
Guizhou	8	GZ	3502	623	1325	9296	1828
Hainan	9	HN	895	246	472	2060	1265
Hebei	10	HB	7333	1608	3528	19,036	6478
Heilongjiang	11	HLJ	3835	1361	2201	11,554	2766
Henan	12	HN	9413	2321	4123	23,644	4658
Hubei	13	HB	5799	1841	3161	15,907	7349
Hunan	14	HN	6691	1430	3209	16,935	4312
Jiangsu	15	JS	7939	2884	5090	22,008	14,308
Jiangxi	16	JX	4522	960	2210	10,730	2113
Jilin	17	JL	2751	1127	1491	8206	3596
Liaoning	18	LN	4390	2324	2917	13,106	13,974
Neimenggu	19	NMG	2498	885	1466	7521	8356
Ningxia	20	NX	654	264	340	1684	2106
Qinghai	21	QH	578	164	280	1414	560
Shandong	22	SD	9733	2945	5232	28,638	21,635
shanghai	23	SH	2415	2415	2164	8377	6341
Shanxi	24	SX	3630	1057	1908	9903	2999
Shaanxi	25	SAX	3764	862	1931	10,203	1555
Sichuan	26	SC	8107	1866	3640	24,136	6433
Tianjin	27	TJ	1472	664	1207	4515	2334
Xinjiang	28	XJ	2264	706	1007	5634	1620
Xizang	29	XZ	312	62	74	636	339
Yunnan	30	YN	4687	806	1897	11,739	3337
Zhejiang	31	ZJ	5498	1998	3519	17,034	10,992
	BUA	GRP	GRPPC	CTPCI	RPCI	PWC	WWD
Anhui	1777	19039	31,574	25,006	8098	1528	266,234
Beijing	1306	19501	92,201	45,274	18,338	913	144,580
Chongqing	1115	12657	42,615	26,850	8332	813	142,535
Fujian	1263	21760	57,657	33,383	11,184	1701	259,098

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Table A1. Cont.

	Index Number	Abbreviatio	ons TP	CP	CTP	НН	CA
Gansu	727	6268	24,276	20,149	5108	1073	64,969
Guangdong	5232	62164	58,403	36,504	11,669	4830	862,471
Guangxi	1154	14378	30,468	25,029	6791	1238	225,303
Guizhou	695	8007	22,863	21,413	5434	1126	93,085
Hainan	296	3146	35,156	24,920	8343	232	36,156
Hebei	1787	28301	38,595	24,143	9102	3251	310,921
Heilongjiang	1758	14383	37,504	21,149	9634	845	153,090
Henan	2289	32156	34,161	23,687	8475	2899	412,582
Hubei	2007	24668	42,539	25,181	8867	1630	294,054
Hunan	1505	24502	36,619	24,643	8372	1423	307,227
Jiangsu	3810	59162	74,520	35,131	13,598	4957	594,359
Jiangxi	1151	14339	31,708	22,949	8782	947	207,138
Jilin	1344	12981	47,188	23,544	9621	654	117,703
Liaoning	2386	27078	61,680	27,905	10,523	2008	234,508
Neimenggu	1206	16832	67,383	26,978	8596	2182	106,920
Ningxia	421	2565	39,221	23,767	6931	811	38,528
Qinghai	157	2101	36,350	22,131	6196	676	21953
Shandong	4187	54684	56,184	30,628	10,620	4083	494,570
shanghai	999	21602	89,450	48,879	19,595	1411	222,963
Shanxi	1041	12602	34,717	24,014	7154	1832	138,030
Shaanxi	915	16045	42,628	24,109	6503	1152	132,169
Sichuan	2058	26261	32,393	23,894	7895	1949	307,648
Tianjin	747	14370	97,623	35,656	15,841	774	84,210
Xinjiang	1065	8360	36,927	22,388	7297	1540	100,720
Xizang	120	808	25,887	22,561	6578	31	5005
Yunnan	936	11721	25,007	24,698	6141	1460	156,583

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