

## Article

# MODIS-Based Mapping of Secchi Disk Depth Using a Qualitative Algorithm in the Shallow Arabian Gulf

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**Abstract:** Regionally calibrated algorithms for water quality are strongly needed, especially for optically complex waters such as coastal areas in the Arabian Gulf. In this study, a regional qualitative algorithm was proposed to retrieve seawater transparency, with Secchi disk depth (SDD) as a surrogate, in the Arabian Gulf. A two-step process was carried out, first estimating the diffuse attenuation coefficient of downwelling irradiance at 490 nm ( $K_d_{490}$ ) from MODIS/Aqua imagery and then SDD based on empirical correlations with  $K_d_{490}$ . Three satellite derived  $K_d$  products were tested and assessed against a set of *in situ* measurements, and one from a semi-analytical algorithm based on inherent optical properties gave the best performance with a  $R^2$  of 0.62. Comparisons between the performances of SDD models developed in this study and those established in other regions indicated higher accuracy of our proposed model for the Gulf region. The potential factors causing uncertainties of the proposed algorithm were also discussed. Seasonal and inter-annual variations of SDD over the entire Gulf were demonstrated using a 14-year time series of MODIS/Aqua data from 2002 to 2015. High SDD values were generally observed in summer while low values were found in winter. Inter-annual variations of SDD did not show any significant trend with exceptions during algal bloom outbreaks that resulted in low SDD.

**Keywords:** Arabian Gulf; water transparency; MODIS; Secchi disk depth; diffuse attenuation coefficient

## 1. Introduction

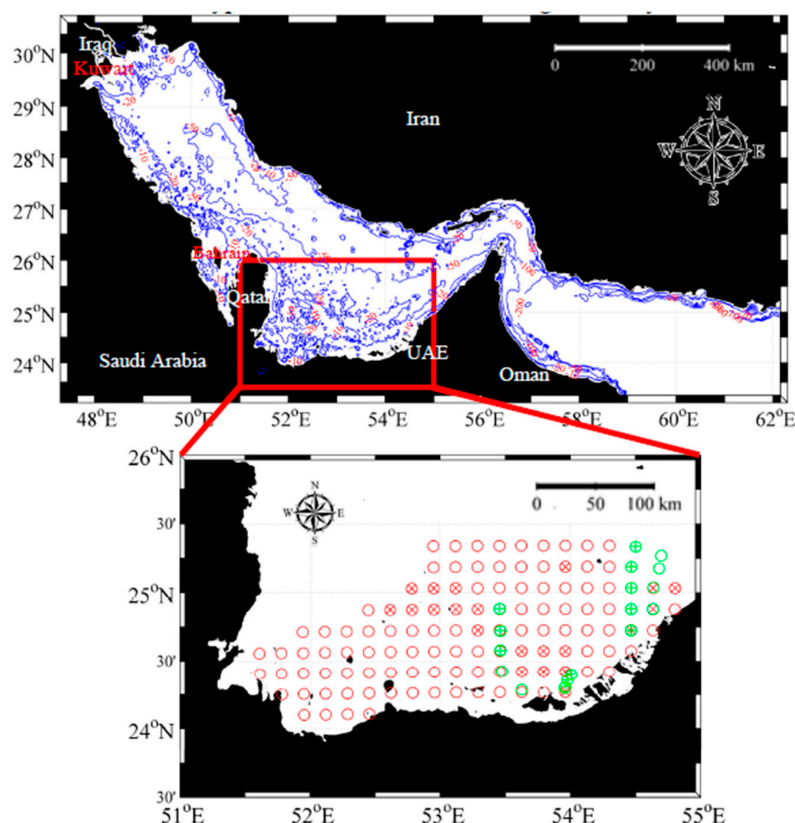
Water transparency is considered one of the key indicators for water quality assessment [1]. It has also been used as an easily measured proxy to describe the quantity of light availability in the aquatic environment [2]. Through measuring the degree of attenuation of sunlight that is caused by both scattering and absorption of all optically active components, *i.e.*, pure water, suspended sediment, colored dissolved organic matter (CDOM), and phytoplankton, water transparency provides information on incident sunlight penetration depth through the water column [3–7]. Although more sophisticated instruments are commercially available, Secchi disks are still being widely and regularly utilized to measure water transparency for oceanography and limnology. Secchi disk depth (SDD) is a relative indicator of the vertical visual clarity of light and also an indicator of trophic level [8–11].

Based on the contrast transmittance theory developed by Duntley [12] and Preisendorfer [13], many studies generally represent SDD as a hybrid function of optical properties, *i.e.*, the sum of the beam attenuation coefficient ( $c$ ) and the diffuse attenuation coefficient of downwelling irradiance ( $K_d$ ), within the visible spectrum [14,15]. However, numerous studies demonstrated that SDD is  $K_d$ -dependent rather than  $c$ -dependent [8,15,16]. Lee *et al.* [17] proposed a new theoretical model to interpret SDD, which relies only on  $K_d$ , unlike the classical model. They validated their model with measurements from different types of water and verified the high accuracy of the new model.

Compared with conventional field-based methods to measure water transparency, satellite remote sensing can provide synoptic views of the marine environment over large temporal and spatial scales. One of these satellite instruments, the Moderate Resolution Imaging Spectroradiometer (MODIS) instrument onboard the NASA Terra and Aqua satellites, provides daily global coverage at medium spatial resolution (250 m to 1000 m), and is an excellent candidate to map coastal water transparency on a daily basis. MODIS data have been successfully used for monitoring SDD or turbidity in different water bodies. Koponen *et al.* [18] used MODIS data to monitor turbidity in Finnish Lakes. A study was conducted in the Tampa Bay, Florida to monitor SDD using satellite measured  $K_d$  in the open water estuary using Sea-viewing Wide Field-of-View Sensor (SeaWiFS) satellite imagery [19]. In this study, a new approach was proposed to investigate the seasonality of SDD over four different parts of the Tampa Bay. Moreno *et al.* [20] used the reflectance at 645 nm (band 1) of MODIS/Terra to estimate turbidity and studied the effect of rainfall on turbidity. Wang *et al.* [21] established an algorithm to obtain turbidity from MODIS/Aqua derived normalized water-leaving radiance at the near-infrared band in Lake Okeechobee and Caloosahatchee and St. Lucie estuaries. They found seasonal, spatial, and event driven trends of turbidity in their study area, which were influenced by wind waves, hurricanes, and tides. More recently, Nehorai *et al.* [22] characterized the seasonal cycle of turbidity and plume spreading produced by flood events in the Dead Sea using MODIS imagery.

To the best of our knowledge, there are only three papers published about the water transparency in the Arabian Gulf (hereafter referred to as the Gulf) (Figure 1) although there are several papers addressing water quality variables. Al-Saadi *et al.* [23] investigated the water transparency from their field survey in the Shatt Al-Arab region in the northwestern Gulf. Alsahli *et al.* [24] developed a water clarity model using SeaWiFS and MODIS data for the Kuwait coastal waters. Al Kaabi *et al.* [25] established a regional algorithm to retrieve water turbidity in the coastal waters along the Abu Dhabi coast. However, seasonal and inter-annual variations in water transparency and their driving forces are still unknown. On the other hand, most of the published algorithms obtained transparency from radiance or reflectance [1]. When these algorithms are applied to satellite imagery, they may suffer from uncertainties over coastal and estuarine waters due to non-zero reflectance in the near-infrared (NIR) in turbid zones, and/or due to high loads of blue-absorbing aerosols in the atmosphere [26].

A semi-analytical algorithm developed by Lee *et al.* [27] has been validated to be accurate for estimates of the light attenuation coefficient [28]. In this study, a locally-adapted algorithm is developed to retrieve water transparency, with SDD as a proxy, from satellite derived  $K_d$  over the Gulf based on the Lee algorithm. The performance of the proposed algorithm is compared with those developed for other regions around the world. Using the regional SDD algorithm, seasonal and inter-annual variations of SDD from satellite measurements over the entire Gulf were examined and the driving forces were analyzed.



**Figure 1.** The upper panel shows a map of the Arabian Gulf with surrounding countries annotated. The isobaths of 10, 20, 50, 100, and 200 m are annotated. The bathymetry data are from NOAA with a spatial resolution of ~2 km. Sampling stations along the Abu Dhabi coast are shown in open circles. The red and green circles denote *in situ* measurements conducted by the Environmental Agency of Abu Dhabi (EAD) and the Masdar Institute of Science and Technology (MIST), respectively. The 'x' and '+' indicate stations with matched satellite and *in situ* data measured by EAD and MIST, respectively.

## 2. Data and Methodology

### 2.1. Study Area

The Gulf is a semi-enclosed marginal sea about 1000 km long and about 200–300 km wide with an average depth of 35 m. The freshwater inflows into the Gulf are mainly located in the north and northwest [29]. For example, the Shatt al-Arab River discharges into the Gulf along the borders between Iran and Iraq. However, this freshwater input has reduced dramatically from the late nineties due to the draining of most of the Iraqi marshlands and the building of dams on Euphrates, Tigris and Karum rivers [30]. These conditions produce relatively low CDOM concentrations in the region (Al Shehhi *et al.*, in preparation). The salinity in the Gulf waters is high exceeding 40 psu (practical salinity units) and the water temperature can reach up to 34 °C during summer due to the arid climate. Despite these extreme conditions, coral reefs and benthic vegetation are found in the Gulf, as well as some endemic marine life, such as sea turtle, dugong, and dolphin, which highlights the importance of regular water quality monitoring.

The Gulf is subject to wind-driven and thermohaline dynamics due to its shallow nature. In the northern Gulf, predominant northwesterly wind, called Shamal, throughout the year, sets up coastal current regimes along both the Saudi (downwelling) and Iranian (upwelling) coasts [31]. In the southern region of the Gulf, the circulation is dominated by a counter-clockwise gyre in the surface. The water remains in the Gulf for about three to five years and leaves later through the Strait of Hormuz [32]. The Gulf atmosphere has high loads of dust, which results in high levels of dust

deposition as high as  $30 \text{ g} \cdot \text{m}^{-2}$  [33]. Chlorophyll-a concentration in the Gulf waters is generally  $<1 \text{ mg} \cdot \text{m}^{-3}$  throughout all seasons with exceptions during bloom conditions when chlorophyll-a can be higher than  $10 \text{ mg} \cdot \text{m}^{-3}$  [34]. In this regard, the transparency in the Gulf waters could be mainly caused by suspended sediments, which are of different origins, such as resuspension and deposition, given the relatively low concentrations of chlorophyll-a and CDOM [34]. Shamal winds as well as the intensive daytime summer winds that resulted from temperature differences between water and close landmass result in the increase in water density and wave actions, which leads to sinking of the surface water. The sinking of the surface water influences the coastal waters along the Emirates and made it vertically well-mixed and turbid [32].

The Abu Dhabi coast was chosen as a case study based on the following reasons. The UAE is one of the Gulf countries with arid to semi-arid climate due to scarcity of rainfall, high evaporation rate, and limited sources of freshwater. Underground and desalinated waters are the main source of potable water in the UAE, with the latter as the main source for domestic use. In fact, the UAE is the country with the second largest desalinating capacity, amounting to 14% of the total in the world [35]. The UAE coastal coral reef and sea grass ecosystems are threatened by several environmental hazards. For example, between August 2008 and August 2009, an extensive algal bloom outbreak in the Gulf was dominated by *Cochlodinium polykrikoides*, a toxin-producing species [36–38]. A large number of desalination plants in the UAE were forced to shut down, which caused a 40% decrease in freshwater production capacity. Another threat to Gulf water quality is oil spills that could have a dramatic impact on the local marine environment. The Gulf basin, surrounded by eight countries (*i.e.*, Bahrain, Iran, Iraq, Kuwait, Qatar, Saudi Arabia, and the UAE), is the most active oil production and trade area in the world. Frequent oil spill events have been reported in areas surrounding the UAE coast [39,40].

## 2.2. In Situ Data Collection

A Secchi disk with a diameter of 20 cm that had alternating black and white quadrants was used for SDD measurements. It had a weight at its base. A tape measure was used for SDD reading. Bottom depth was recorded by a sonar system on the research vessel. SDD collected from 139 stations along the Abu Dhabi coast (Figure 1) were used in this study. The maritime monitoring team of the Environment Agency of Abu Dhabi (EAD) collected 117 out of the 139 measurements over a three-month period from February to April 2010. The remaining 22 measurements were collected by the Coastal and Environmental Remote Sensing and Modeling laboratory at Masdar Institute of Science and Technology (MIST) from June 2013 to April 2014. For details regarding our field campaigns, please refer to Mezhoud *et al.* [34].

A spectrometer (Ocean Optics USB2000+) was used to collect remote sensing reflectance ( $R_{rs}$ ) following the NASA ocean optics data collection protocol [41]. Details regarding data collection and processing can be referred to Mezhoud *et al.* [34] and Zhao *et al.* [42].  $R_{rs}$  data were obtained for 53 stations as displayed in Figure 1 in Mezhoud *et al.* [34].

## 2.3. Background for Existing $K_d$ Algorithms

In this paper, three algorithms for  $K_d$ , two empirical and one semi-analytical, were tested to estimate SDD over the Gulf region.  $K_d$  expresses how light attenuates against depth. The relationship between  $K_d$  and SDD has been proven by previous studies [16,43,44].

SDD is predicted using satellite-derived  $K_d_{490}$  from the empirical Mueller [45] and Morel [46] algorithms and the semi-analytical Lee algorithm [27]. The default  $K_d$  algorithm (Mueller) in SeaDAS correlated the blue-green band ratio of remote sensing reflectance  $R_{rs}$  with ground truth  $K_d$  data. The Mueller algorithm is expressed as:

$$K_{d490\_mueller} = 0.0166 + 10^{-0.8813 - 2.0584 \times x + 2.5878 \times x^2 - 3.4885 \times x^3 - 1.5061 \times x^4} \quad (1)$$

where  $x = \log_{10}(R_{rs\_488}/R_{rs\_547})$ .

Another empirical algorithm, developed by Morel [46,47], relates  $K_d$  to chlorophyll-a concentration (Chl) using different sets of *in situ* measurements:

$$K_d(\lambda) = K_w(\lambda) + x(\lambda)\text{Chl}^{e(\lambda)} \quad (2)$$

where  $K_w(\lambda)$ ,  $x(\lambda)$ , and  $e(\lambda)$  are empirical constants equal to 0.0166  $\text{m}^{-1}$ , 0.07242, and 0.68955, respectively, at the wavelength of 490 nm [23]. Chl was derived from the operational band ratio algorithm. Then, Equation (2) can be rewritten as

$$K_{d\_490\_morel} = 0.0166 + 0.07242\text{Chl}^{0.68955} \quad (3)$$

However, these empirical algorithms usually fail when applied in optically complex coastal waters. They were generally designed for Case I waters, where phytoplankton dominates the light attenuation and other optically significant components co-vary with phytoplankton, which is not the same case for Case II waters. Lee *et al.* [27] developed a semi-analytical algorithm to estimate  $K_{d\_490}$  that works well for both deep and coastal waters with  $K_{d\_490}$  ranging from  $\sim 0.04$  to  $4 \text{ m}^{-1}$ . This algorithm is expressed as:

$$K_{d\_490\_lee} = (1 + 0.005\theta_0)a(490) + 4.18(1 - 0.52e^{-10.8a(490)})b_b(490) \quad (4)$$

where  $a$  and  $b_b$  are the total absorption and backscattering of optically active constituents, and  $\theta_0$  is the solar zenith angle. This semi-analytical algorithm accounts for variations of inherent optical properties (IOPs) that determine apparent optical properties (AOPs) one of which is  $R_{rs}$  used in the empirical algorithms. Furthermore, the absorption and backscattering coefficients were derived from a quasi-analytical algorithm (QAA) that has been validated to be reliable and accurate for both Case I and Case II waters [27]. It should be noted that QAA is a model and needs region-dependent inputs, especially for case II waters, such as the spectral slope of detritus and CDOM absorption.

#### 2.4. Satellite Image Processing and Matchup between Satellite and *in Situ* Measurements

MODIS Aqua level 0 (L0) data with a spatial resolution of 1 km corresponding to the dates when field surveys were carried out were downloaded from NASA ocean color data archive. L2 products, including  $K_{d\_490}$ ,  $K_{d\_488}$ , and  $K_{d\_490\_morel}$ , were generated using the SeaDAS (Version 7.1) software package developed by NASA Ocean Biology Processing Group (OBPG). The default black pixel algorithm was used to carry out the atmospheric correction. The algorithm assumed that water-leaving radiance at the near-infrared (NIR) wavelengths is zero [48,49]. All products were projected with a cylindrical projection method.

We followed the NASA ocean optics protocol for the matchup analysis between *in situ* and satellite measurements [50]. The method is briefly described here. Satellite data of poor quality were discarded upon failure of any of the following tests: clouds, stray light, atmospheric correction failure, high top-of-atmosphere radiance, low water-leaving radiance, large solar/viewing angles, and navigation failure. With the stray light flag, pixels within 5 km distance to the land were not considered. Therefore, the tide-driven difference between satellite measurements and *in situ* observations can be ignored. Moreover, the tide in the Gulf region is small [51]. To allow for sufficient number of matchup for statistical analysis, a time window of 6 h between *in situ* and satellite measurements was adopted. Satellite data were extracted from a spatial window of  $3 \times 3$  and the following criteria were implemented: (1) at least five out of the nine pixels have valid values and (2) the coefficient of variance (CV) calculated from the standard deviation divided by the mean is  $<40\%$ . The matchup procedure resulted in 36 pairs of *in situ* SDD and satellite-derived  $K_d$  (out of the initial 139 measurements). Twelve pairs of *in situ* and satellite-derived  $R_{rs}$  were obtained and the stations with matched satellite and *in situ* measurements were L1, R1–R8, and R2.1–R4.1 (Please refer to Mezhoud *et al.* [34] for details). Satellite imagery corresponding to the time when field measurements for these stations were conducted was processed again using the short-wave infrared (SWIR) atmospheric correction scheme [52].



With the proposed algorithm for SDD (Section 4.2), monthly mean SDD from July 2002 to February 2015 over the whole Gulf (bounded by 23.2°–30.7°N and 47.3°–56.5°E) was calculated using the 4-km monthly  $K_d$  products based on the semi-analytical algorithm from NASA ocean color data archive. The climatology of monthly mean SDD was calculated from the multi-year monthly mean data. Seasonal SDD maps were then generated.

### 2.5. Statistical Analysis

In order to assess the performance of different algorithms in deriving SDD from satellite measured  $K_d$ , the following statistical indicators were used: determination coefficient ( $R^2$ ), mean ratio, root mean square difference (RMSD), and relative percentage difference (RPD) between measured and estimated SDD, which are defined as follows:

$$\text{RMSD} = \sqrt{\frac{\sum_{i=1}^n \left( \frac{\text{SDD}_{\text{est}(i)} - \text{SDD}_{\text{obs}(i)}}{\text{SDD}_{\text{obs}(i)}} \right)^2}{n}} \times 100 \quad (5)$$

$$\text{RPD} = \frac{\sum_{i=1}^n \frac{\text{SDD}_{\text{est}(i)} - \text{SDD}_{\text{obs}(i)}}{\text{SDD}_{\text{obs}(i)}}}{n} \times 100 \quad (6)$$

where  $\text{SDD}_{\text{est}}$  and  $\text{SDD}_{\text{obs}}$  denote estimated and observed SDD, respectively.

## 3. Results

### 3.1. In Situ Measured SDD

The histogram of *in situ* measured SDD is shown in Figure 2. SDD varied between 1.68 and 16 m during the observation period on the west shelf of Abu Dhabi. The average of SDD was 7.33 m with a standard deviation of 2.47 m. Fifty-one out of the total 139 SDD observations ranged between 6 and 8 m. Twenty-four and 27 data points were located in the range of 4–6 m and 8–10 m, respectively. The lowest SDD was found in shallow coastal waters and the highest SDD was found in the offshore waters. As expected, SDD values showed an increasing trend towards offshore waters. In terms of seasonal variations, SDD indicated higher values in summer than in winter.

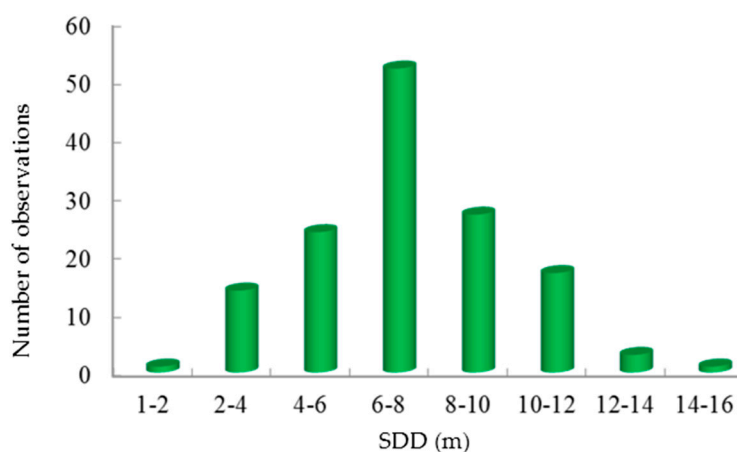


Figure 2. Histogram of *in situ* measured Secchi disk depth (SDD).

### 3.2. Development of New Models to Retrieve SDD from Satellite Derived $K_d$

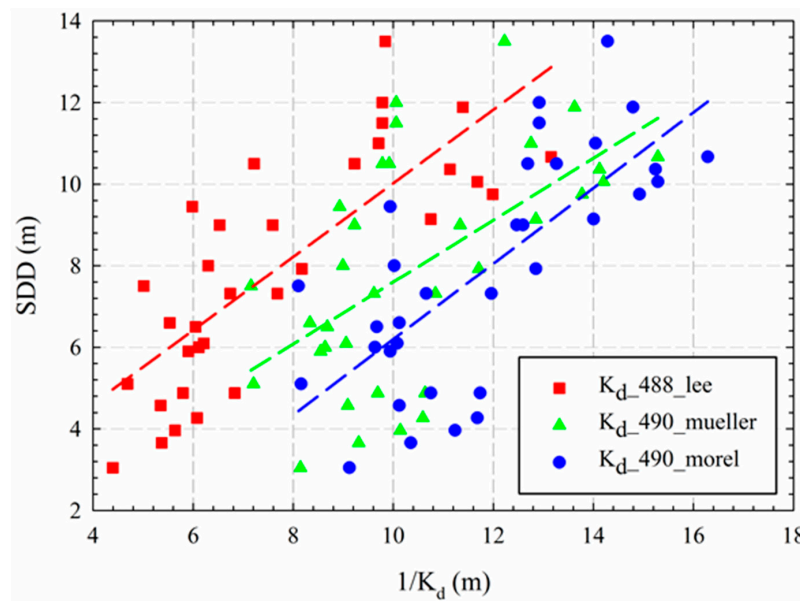
As shown in Figure 3, reciprocals of satellite measured  $K_{d\_488\_lee}$ ,  $K_{d\_490\_morel}$  and  $K_{d\_490\_mueller}$  are plotted against *in situ* measured SDD. Initial regression analysis indicated that the

relationships can be best fitted with quadratic functions. The best-fitted regression equations between satellite-derived  $1/K_d$  and *in situ* measured SDD are list as follows:

$$SDD = 0.76 \times 1/K_{d\_490\_mueller} + 0.0018 \quad (7)$$

$$SDD = 0.93 \times 1/K_{d\_490\_morel} - 3.07 \quad (8)$$

$$SDD = 0.9 \times 1/K_{d\_488\_lee} + 1.01 \quad (9)$$



**Figure 3.** Relationships between *in situ* measured SDD and satellite-derived  $K_{d\_488\_lee}$  (solid red square),  $K_{d\_490\_mueller}$  (solid green triangle) and  $K_{d\_490\_morel}$  (solid blue circle). The red, green, and blue dashed lines denote the best fits between SDD and  $K_{d\_488\_lee}$ ,  $K_{d\_490\_mueller}$ , and  $K_{d\_490\_morel}$ , respectively.

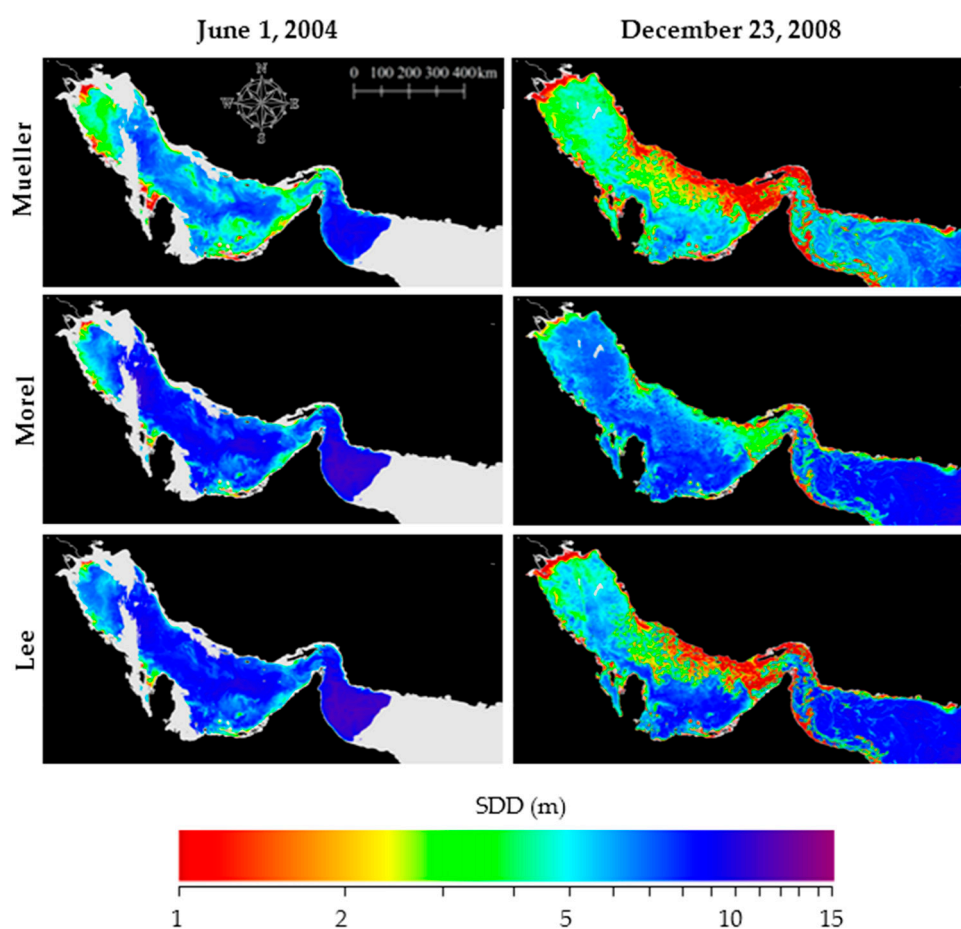
Statistical results for the relationships are summarized in Table 1. The highest  $R^2$  of 0.6 was obtained with  $K_{d\_488\_lee}$ . The mean ratio between estimated and measured SDD is 1.06 for  $K_{d\_488\_lee}$  while 1.08 and 1.11 were obtained for  $K_d$  from Morel and Mueller algorithms, respectively. Overall, SDD was overestimated with  $K_d$  from Morel and Mueller algorithms. The highest RMSD of 40.82% was observed with  $K_{d\_490\_mueller}$ . The lowest RMSD of 26.68% was reached with  $K_{d\_488\_lee}$ . The lowest RPD (5.86%) was also obtained with  $K_{d\_488\_lee}$ .  $K_d$  from Morel and Mueller algorithms gave an RPD of 8.14% and 11.02%, respectively. Therefore, it can be concluded that  $K_{d\_488\_lee}$  outperformed  $K_d$  from Morel and Mueller algorithms in SDD retrieval. Hereafter, SDD based on the  $K_{d\_490\_lee}$  will be used for further analysis.

**Table 1.** Uncertainties of SDD from three models using different satellite derived  $K_{d\_490}$  as gauged by *in situ* measured SDD.

SDD Equation	$R^2$	Mean Ratio	RMSD (%)	RPD (%)
$SDD = 0.9 \times 1/K_{d\_488\_lee} + 1.01$	0.62	1.06	26.68	5.86
$SDD = 0.93 \times 1/K_{d\_490\_morel} - 3.07$	0.33	1.08	34.00	8.14
$SDD = 0.76 \times 1/K_{d\_490\_mueller} + 0.0018$	0.52	1.11	40.82	11.02

To further compare the performance of the three tested algorithms, SDD maps have been generated for two days with contrasted optical properties (1 June 2004 and 23 December 2008). The produced

maps are presented in Figure 4. In the summer scene, high SDD values were observed when chlorophyll-a is low [53]. The spatial patterns of SDD from the three algorithms were similar. SDD estimated from the Mueller algorithm was lower than from the other two algorithms. Examination of  $K_d$  maps for 1 June 2004 (data not shown) demonstrated that  $K_d$  is generally  $<0.2 \text{ m}^{-1}$  in the Gulf region. For this range of  $K_d$ , the Morel and the Mueller algorithms work well [41].  $K_d_{488\_lee}$  showed wide applicability for both coastal and oceanic waters for  $K_d$  values ranging from 0.04 to  $4 \text{ m}^{-1}$ . In contrast, in the winter scene, low SDD values were observed for high chlorophyll-a concentrations. A major red tide outbreak was recorded in December 2008 [37]. In the bloom affected areas to the south of Iran, both  $K_d_{490\_mueller}$  and  $K_d_{488\_lee}$  produced low SDD values  $<1 \text{ m}$  while SDD from  $K_d_{490\_morel}$  led to higher SDD values  $>4 \text{ m}$ . On the other hand, both  $K_d_{490\_morel}$  and  $K_d_{490\_mueller}$  produced lower SDD values than those obtained by  $K_d_{488\_lee}$  in the southern Gulf region while the  $K_d_{490\_mueller}$  showed higher SDD values than the other two algorithms in the northern Gulf region.



**Figure 4.** Examples of SDD maps generated based on Aqua derived  $K_d_{490\_mueller}$ ,  $K_d_{490\_morel}$ , and  $K_d_{488\_lee}$ . The two-day scenes from summer and winter, respectively, were chosen randomly. The dates are annotated. The top, middle and bottom panels represent SDD maps from  $K_d$  using the Mueller, Morel, and Lee algorithms, respectively.

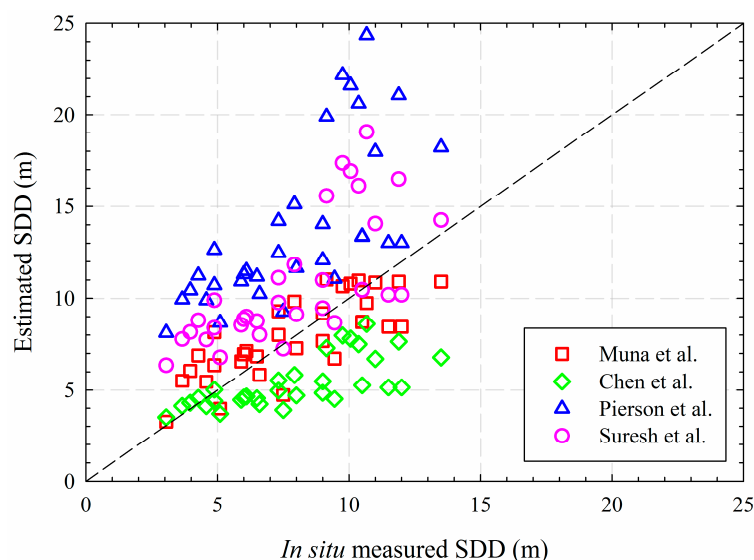
## 4. Discussion

### 4.1. Comparison with Other Models

Different models to retrieve SDD have been proposed in different regions around the world. Chen *et al.* [26] developed an empirical SDD algorithm in the Tampa Bay, Florida and examined the



spatial and temporal variability of water transparency using SeaWiFS-derived SDD. Pierson *et al.* [54] studied the relationship between  $K_d_{490}$  and SDD using their field measurements in the Baltic Sea and suggested that the relationship was useful for application of satellite remote sensing. Based on *in situ* measurements, Suresh *et al.* [55] proposed an empirical algorithm to obtain SDD from  $K_d_{490}$  along the west coast of India in the Arabian Sea. The performances of these published algorithms were assessed and compared with that of the algorithm proposed over the Gulf region in this study. The field measured SDD is plotted against estimated SDD in Figure 5. Data points from our proposed algorithm (Equation (9)) lie close to the 1:1 line while those from others overestimate [54,55] or underestimate [26].



**Figure 5.** Comparison between the model proposed in our study and those published in the literature as gauged by *in situ* measured SDD. The dashed line represents the 1:1 relationship.

The statistical results are shown in Table 2. SDD estimated from our developed algorithm showed the best agreement with *in situ* measurements with a  $R^2$  of 0.6. Compared with other models, the algorithm proposed in this study had a mean ratio closest to 1, nominally 1.06. The best performance of our developed algorithm is also proven by the smallest RMSD and RPD of 26.68% and 5.86%, respectively. With respect to the slope and intercept from the linear regression between measured and estimated SDD, Pierson *et al.*'s model [54] produced a slope of 1.08 while the largest intercept of 5.27 compared with results using other models. Although the Suresh *et al.*'s model [55] performed better than ours in terms of slope, the RMSD and RPD from their model are over twice and seven times, respectively, larger than from our model. Therefore, it can be concluded that the models proposed for other regions are not suitable for our study area. The reason of this lower performance is mainly due to the unique optical properties of the Gulf waters. For example, the water column in the Tampa Bay has a high content of CDOM, which is not the same case as in the Gulf. Region-specific algorithms for satellite-based transparency retrievals are indeed highly recommended.

**Table 2.** Uncertainties of SDD from different models as gauged by *in situ* measured SDD.

Model	$R^2$	Mean Ratio	RMSD (%)	RPD (%)	Slope	Intercept
This study (Equation (9))	0.6	1.06	26.68	5.86	0.6	3.17
Chen <i>et al.</i> (2007) [26]	0.48	0.73	33.51	−27.07	0.34	2.65
Pierson <i>et al.</i> (2008) [54]	0.47	1.85	97.25	84.64	1.08	5.27
Suresh <i>et al.</i> (2006) [55]	0.47	1.44	58.12	44.42	0.84	4.12

#### 4.2. Potential Factors Causing Uncertainties of the Algorithm

This section addresses the potential factors that produce uncertainties of the proposed algorithm in this study. It should be noted that the effects of the factors on the performance of the algorithm is demonstrated in a qualitative way.

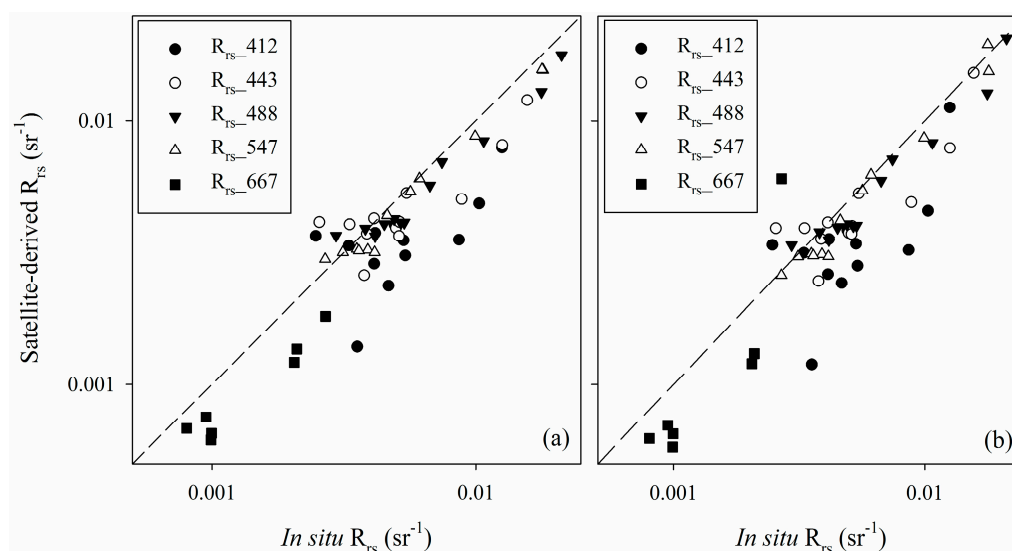
As stated above,  $K_d_{488\_lee}$  gave the highest performance in deriving SDD in the Gulf. This finding could be explained by the following:

- (1) The Lee algorithm takes into consideration both absorption and scattering of all optically active components while the Mueller and Morel algorithms are more sensitive to the changes in water absorption [56].
- (2) The empirical algorithms are designed for case I waters where CDOM and non-algal particulate co-vary with phytoplankton. Most of these algorithms have failed when applied in turbid case II waters [57,58]. Furthermore, the default parameters used by these algorithms were proposed for global scale applications. For local and regional studies, those parameters must be re-calibrated with new sets of locally collected measurements to improve the performance of these empirical algorithms.

However, uncertainties still exist with respect to the Lee algorithm. This semi-analytical algorithm was originally designed for optically deep waters [27]. Thus, its performance would be affected when used in areas affected by bottom reflection [28]. Barnes *et al.* [59] found that the standard Lee algorithm overestimated the true  $K_d_{488}$  by a factor of 2 or above in the Florida Reef Tract region. They modified the semi-analytical algorithm to remove bottom contamination to improve the  $K_d$  retrieval accuracy from MODIS measurements over the optically shallow waters in the Florida Keys. This modified version was successfully validated against a set of *in situ* measurements. For data points used for the algorithm development in this study, only those from stations with bottom depth >10 m that meets requirements of “optically deep waters” as per Cannizzaro *et al.* [60] using our field measured  $R_{rs}$  were considered. Therefore, bottom reflection will not affect the algorithm developed to compute SDD. What will compromise the performance of the algorithm is satellite-derived  $K_d$  itself. For example, satellite-derived  $K_d$  is not realistic for those clear shallow waters, such as along the east coast of Qatar where bottom depth is <10 m.

Satellite-based techniques for water transparency mapping require clear sky conditions. Satellite images used in this study went through a comprehensive atmospheric correction procedure. The operational atmospheric correction scheme assumes that the water-leaving radiance in the near infrared (NIR) region is insignificant and can be neglected. However, this assumption is gradually compromised as the transparency increases, especially for optically complex coastal waters. Different algorithms have been developed for turbid coastal and inland waters. Wang and Shi [52] proposed an approach using the combined near-infrared (NIR) and shortwave infrared (SWIR) bands for MODIS/Aqua. Their algorithm has been tested and evaluated in different turbid waters, such as Taihu Lake, Eastern China Seas, and showed positive results [61–63]. The SWIR algorithm has been integrated into the SeaDAS package. In this study, we compared the results by implementing the default and SWIR atmospheric correction schemes. Figure 6 shows the comparisons between *in situ* and satellite-derived  $R_{rs}$  for bands centered 412, 443, 488, 547, and 667 nm that are involved during the QAA algorithm implementation. The statistical results are listed in Tables 3 and 4. It can be seen that (1) both the default and SWIR algorithms underestimated  $R_{rs}$ ; (2) satellite-derived measurements were in good agreement with *in situ* observations, as indicated by the high  $R^2$ , mean ratio and slope close to 1, and low RMSD, except for 412 and 667 nm. This could be related to the relatively low values at these two bands. It should be noted that dust has significant effects on satellite measurements in the ultraviolet region [64]. Therefore, the relatively low accuracy of  $R_{rs}$  at 412 nm can also be associated with the high loading of dust in the atmosphere over the study area; (3) The performances of the two algorithms are similar. There is no significant improvement by implementing the SWIR algorithm over our study area. Although various approaches were proposed to correct atmospheric

dust aerosols [65–67], no operational atmospheric correction scheme for dust correction of ocean color data is available. In SeaDAS processing from level 1 to level 2, the default thresholds of cloud albedo and aerosol optical depth at 865 nm (AOD\_865) are 0.027 and 0.3, respectively. Pixels with cloud albedo and AOD\_865 larger than the thresholds will be masked. Although relaxation of these criteria was tested by Doxaran *et al.* [68] with careful visual check of the produced results, operational implementation of the approach with quality assured products is impossible. Therefore, regional specific atmospheric correction schemes would be required to improve the accuracy of application of ocean color data over the Gulf region with relatively high loads of airborne dust. With aim to monitor transparency with large spatial and temporal coverage, using satellite data without correcting aerosol contributions may be an alternative to circumvent the difficulties with respect to the atmospheric correction, such as the approach proposed by Qiu *et al.* [69].



**Figure 6.** Comparisons between Aqua-derived and *in situ* measured  $R_{rs}$  for bands centered at 412, 443, 488, 547, and 667 nm. These bands were involved in the QAA algorithm implementation. The default (a) and SWIR (b) atmospheric correction schemes were carried out.

**Table 3.** Comparison between *in situ* and satellite-derived  $R_{rs}$  using the default atmospheric correction method.

Model	$R^2$	Mean Ratio	RMSD (%)	RPD (%)	Slope	Intercept
412	0.62	0.71	17.04	−28.66	0.4	0.0014
443	0.87	0.9	8.87	−10.09	0.59	0.0014
488	0.98	0.88	3.12	−11.57	0.76	0.0007
547	1	0.92	1.37	−7.91	0.86	0.0003
667	0.89	0.6	17.49	−39.69	0.67	0.00008

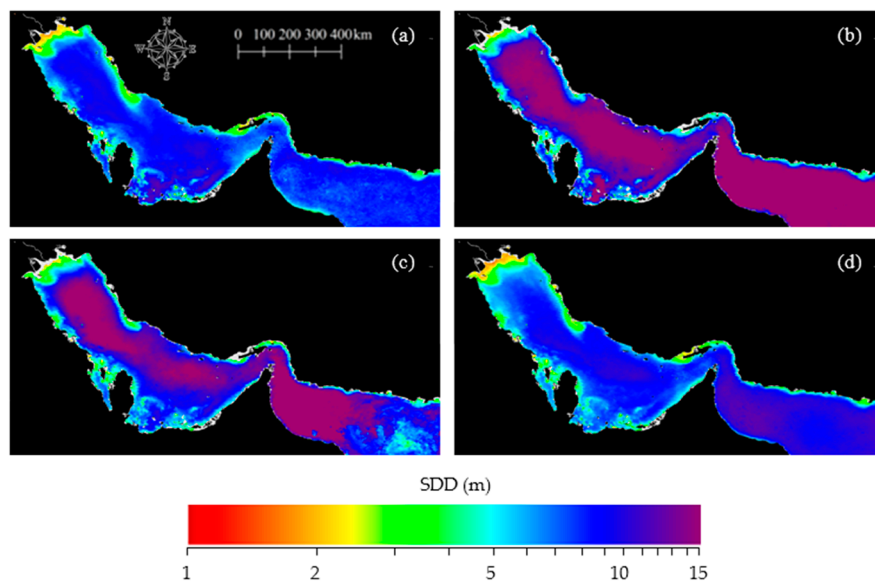
**Table 4.** Comparison between *in situ* and satellite-derived  $R_{rs}$  using the SWIR atmospheric correction method.

Model	$R^2$	Mean Ratio	RMSD (%)	RPD (%)	Slope	Intercept
412	0.62	0.69	17.33	−30.52	0.63	0.0002
443	0.82	0.89	8.07	−10.96	0.76	0.0004
488	0.95	0.88	2.92	−12.28	0.86	0.00005
547	0.97	0.91	1.67	−8.86	0.99	0.0004
667	0.66	0.7	32.41	−30.2	2.06	−0.0016

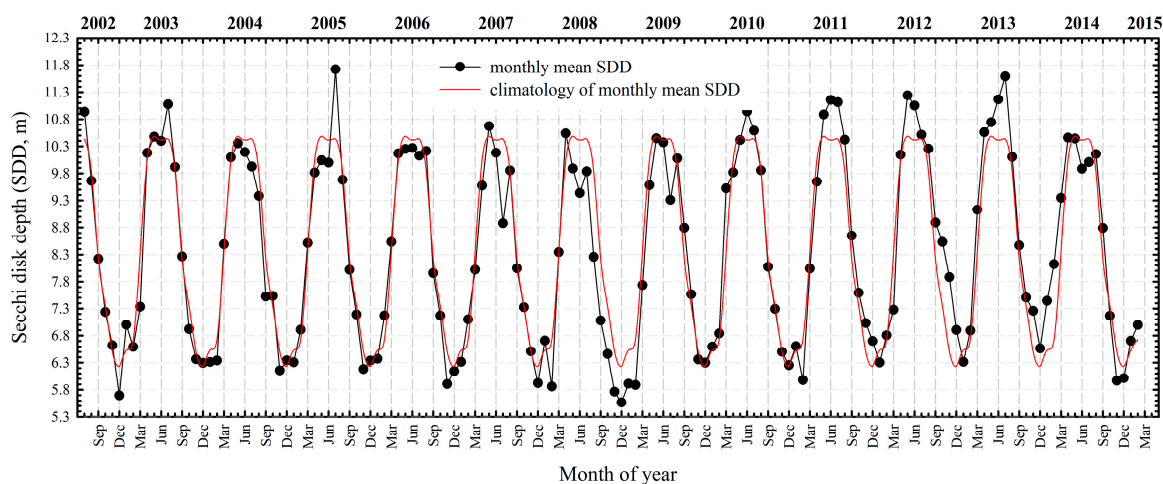
SDD values used for algorithm development varied between 1.68 and 16 m, which corresponded to chlorophyll-a concentrations in the range of  $0.08\text{--}3.9\text{ mg}\cdot\text{m}^{-3}$  [34]. The Gulf is subject to frequent algal bloom outbreaks [37,38]. Higher chlorophyll-a concentration should be expected. For example, the magnitude of chlorophyll-a has reached  $\sim 60\text{ mg}\cdot\text{m}^{-3}$  during the 2008 bloom event in the Gulf region as reported by Moradi and Kabiri [70]. Therefore, more *in situ* SDD measurements covering wider data range are required to improve the accuracy of the proposed model in this study. Other factors like temporal and spatial differences between satellite and *in situ* measurements, and sampling and measurement errors, also contributed to the uncertainties in the proposed algorithm to different extents.

#### 4.3. Seasonal Variations of SDD in the Gulf

The new developed algorithm was used to analyze the seasonal variations of SDD in the Gulf. Seasonal SDD maps over the Gulf from 2002 to 2015 are shown in Figure 7. Stable features can be noticed. SDD values in the northern Gulf region close to Iraq are low, less than 1.5 m. This can be most likely attributed to the high loads of sediment from the Shatt al-Arab River that enters the Gulf along the Iraq-Iran borders. Similar characteristics can be found southwest of Iran, and northwest of the Strait of Hormuz also caused by river-borne sediments. At the meantime, bottom reflection should also be considered in interpreting those low SDD in shallow coastal waters where bottom reflection can increase satellite-derived  $K_d$  [59] although the contribution from bottom reflection may be low. SDD in the eastern area of Qatar and along the southwest coast of the UAE indicates consistently low values less than 4 m during the observation period. It should be noted that these areas are shallow and bottom reflection could contaminate satellite-derived  $K_d$ . These areas are sensitive marine ecosystems with coral reefs and sea grass. Some areas have been designated as Marine Protected Area (MPA), such as Marawah Marine Biosphere Reserve and Al Yasat MPA in the UAE. These MPAs are committed to conserve natural marine resources and ecosystems, and provide habitats for endemic wildlife. Time series of SDD averaged over the whole Gulf (bounded by  $23.2^\circ\text{N}\text{--}30.7^\circ\text{N}$  and  $47.3^\circ\text{E}\text{--}56.5^\circ\text{E}$ ) for the period of 2002–2015 are shown in Figure 8. High SDD (10–11 m) were observed between late spring and early fall (April–September) while the low values (4–5 m) were recorded between late fall and early spring (October–March). In the hot summer season, the water column in the Gulf region is strongly stratified which prevents the nutrient-rich bottom water to be transported upward to the surface layer. Therefore, the nutrient supply for phytoplankton growth is depleted. In contrast, in the cold winter season, the water column mixes well by wind. Furthermore, the inflow of Indian Ocean surface waters (IOSW) through the Strait of Hormuz produces a cyclonic gyre in the Gulf region during winter [71]. Nutrient-rich bottom water is swirled up and supports phytoplankton growth. Upwelling has been identified as the main source of algal blooms in the Gulf [37]. On the other hand, strong winds prevail in winter, which causes sediment resuspension and thus decreases transparency. Inter-annual variations of SDD over the whole Gulf are not significant except for the years 2008–2013. SDD during 2008 and 2009, especially 2008, is remarkably lower than for other years. This is most likely related to the major algal bloom outbreak that started in late August 2008 and ended in late August 2009 [37]. The derived SDD in summer months between 2010 and 2013 was significantly larger than normal. Analysis indicated that transparency in May and June exhibited a monotonically increasing trend over the past 14 years. However, establishing a reason for this scene needs further measurements and investigations.



**Figure 7.** Seasonal SDD maps during the period of 2002–2015 over the Gulf calculated from Equation (9) using MODIS/Aqua data: (a) spring; (b) summer; (c) fall; (d) winter.



**Figure 8.** Time series of monthly mean SDD averaged over the entire Arabian Gulf between 2002 and 2015. The red line denotes climatology of monthly mean SDD.

## 5. Conclusions

Three models for estimating SDD over the Gulf region were tested using satellite measured  $K_d$  products, namely  $K_{d\_488\_lee}$ ,  $K_{d\_490\_morel}$  and  $K_{d\_490\_Mueller}$ . Statistical results indicated that the model based on  $K_{d\_488\_lee}$  performed the best. Inter-annual variations of SDD from 2002 to 2014 over the whole Gulf region showed stable characteristics with low SDD <4 m in some coastal areas. This is attributed to the shallow bottom, high loads of river-borne sediments, coral reef, and benthic vegetation. Time series of monthly mean SDD over the whole Gulf region have shown typical subtropical trends with high values in summer and low values in winter. The inter-annual variations were found to be not significant except in 2008 and 2009 when an extensive, harmful algal bloom outbreak was recorded. Uncertainties of the proposed algorithm were mainly caused by atmospheric correction and shallow bottom reflection. Although more *in situ* measurements would be needed for better calibration and fair validation of the proposed algorithm, it still has the best performance when compared to the most widely used models in the literature.



Transparency is very essential to monitoring variations of water quality in local marine environment, to assess its effects on local marine ecosystems, and to examine the response of marine ecosystems to climate change and other human activities. The development and use of regional algorithms to estimate transparency, especially for optically complex coastal waters, are highly recommended. Having historical and real-time SDD maps with good accuracy over large temporal-spatial scales will be very useful for many marine-related researches.

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