



Article CNC Machines for Rehabilitation: Ankle and Shoulder

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Abstract: Continuous passive motion (CPM) machines are used in the rehabilitation of members that have been injured to recover their range of motion and prevent stiffness. Nowadays, some CPM machines for the knee, ankle, arm, and elbow are available commercially. In this paper, ankle and shoulder rehabilitation robots, based on an X-Y table, are presented. The novelty of these rehabilitation robots is that they have a computerized numerical control system, resulting in low-cost machines. Some G-codes for basic and combined movement routines for ankle and shoulder rehabilitation are presented. In addition, the use of a robust generalized PI controller is also proposed to guarantee safe rehabilitation movements and compensate for passive stiffness in the ankle joint of stroke survivors. Some numerical simulations are included to illustrate the dynamic performance of the robust Generalized Proportional Integral (GPI) controller using the virtual prototype.

Keywords: parallel ankle rehabilitation robot; shoulder rehabilitation robot; CNC machines; passive rehabilitation

1. Introduction

Human beings are prone to injury during daily living activities and in sports. The most frequent injuries that require a rehabilitation process are reported in the ankle joints [1,2] and upper limbs (shoulder-elbow) [3,4]. When a muscle ceases to be used, it weakens and tends to shorten, resulting in the joints becoming rigid and causing them to lose a good part of their abilities without the stimulation of movement or physical therapy. On the other hand, a stroke happens when the blood supply to part of the brain is cut off. If the supply of blood is restricted or stopped, brain cells begin to die. This can lead to brain injury, disability and possibly death. Currently, it is considered a global health problem and one of the main causes of disability and death worldwide [3,4]. Patients who survive a stroke become dependent on the disability they present, and require rehabilitation to achieve complete or at least partial rehabilitation, thus avoiding further damage to the affected part.

In order for the patient to recover from his injury, the physiotherapy specialist assigns him a series of repetitive movements, to avoid spasticity and to recover range of motion and muscle tone. Currently, the use of robotic devices or rehabilitation machines has been proposed to reduce the effort of the physiotherapist and increase assistance to more patients to cover the demand for rehabilitation therapies.

A large number of machines or robotic devices have been proposed for ankle rehabilitation, generally with a parallel structure, from one degree of freedom to more than



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Copyright: © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). three degrees, when the ankle only has three degrees of freedom. These devices, by using linear actuators, increase the cost of the machines. Linear actuators use a gear and sensor system, which increases their cost. Some devices are even uncomfortable, since the patient needs to be seated on a high-rise base to be able to place the foot on the mobile base of the rehabilitator. Table 1 shows some of the recently reported devices. Information on other ankle rehabilitation devices can be found in [1,2,5].

The shoulder rehabilitation systems that have been proposed are exoskeletons or robotic systems. These have very robust structures with large inertias, which require higher power actuators. These systems are expensive and therefore difficult to find in rehabilitation centers, both private and public. Among the systems that have been proposed as robotic arms or exoskeletons for upper limb rehabilitation are: MIT-MANUS [6], ShouldeRO [7], MEDARM [8], NTUH-ARM [9], and CLEVER [10], among others.

In [11] a review of the design and control aspects of shoulder rehabilitation systems is presented, highlighting the importance of this type of system in the repetitive and arduous work of shoulder rehabilitation. They report in a table that most robotic systems have more than 3 DOF. Robotic devices with more rigid structures can be found in state-of-the-art review papers [3,4,12–14]. In [3], the cost of some rehabilitation robots for the shoulder is presented, with the lowest cost being USD 2500.

Computer Numerical Control (CNC) is the term used to describe machines that are controlled by a series of instructions made up of numbers and letters of the alphabet. CNC is a very broad term that covers a variety of machine types; it involves using a computer as a means of controlling a machine that carves objects out of solid blocks of material. For example, a CNC machine could start with a solid aluminum block, and then remove some of the material to leave a part such as the bicycle brake handle [15]. To date, CNC has been used in all types of machines for manufacturing, such as machining centers, lathes, milling mills, and 3D printers, among others. These machines are typical mechatronic products. When the CNC machines were developed, the purpose of the machine was to machine complex-shaped parts in a precise way [16].

Reference/Year	DOF	Movements	Actuator	Control	Mechanism	Rehabilitation Type	Sensor
[17]/2021	1	PF/DF	NS ¹	PID type controller	Parallel	Passive	NS
[18]/2020	4	PF/DF, E/I, RI/RE	NS	NE	Series-parallel	Static/dynamic rehabilitation	NS
[19]/2020	3	PF/DF, E/I, AB/AD ²	Linear and stepping motor	PD controller	Parallel	Passive/active	Tension/pressure sensors, torque sensor and encoders
[20,21]/2020, 2012	3	PF/DF, E/I	Direct drive ball screw actuator- brushless DC servomotor	PD with gravity compensation, Controller based on the sliding mode theory	Parallel	Passive/active	Force
[22]/2019	1	DF/PF	Linear actuator	PID controller	Serial	Passive	Hall sensor
[23], 2019	2	PF/DF, Varus/valgus	Electric motor	NS	Parallel	Passive	IMU ³
[24], 2017	3	PF/DF, E/I, AB/AD	Pneumatic actuator, Festo Fluidic muscles (FFMs)	PID controller	Parallel	Passive	magnetic rotary encoders
[25,26]/2017, 2017	2	PF/DF, E/I	DC servomotor	PD and PID type controllers	Parallel	Active/Passive	Encoders and force sensors
[27]/2015	3	PF/DF, E/I, AB/AD	Brushless motors	PID controller, Position and force control	Serial	Passive/active	Force sensors and position sensors

Table 1. State-of-the-art ankle rehabilitation machines.

Reference/Year	DOF	Movements Actuator		Control	Mechanism	Rehabilitation Type	Sensor
[28]/2014	3	PF/DF, E/I, AB/AD	Pneumatic muscle actuators	Adaptive Fuzzy Logic Controller	Parallel	Passive/resistive	Linear potentiometers, pressure and force sensors
[29]/2009	3	PF/DF, E/I, AB/AD	DC motor driven linear actuators	Impedance control	Parallel	Passive/resistive	Linear potentiometers, inclinometers and force/torque sensors

Table 1. Cont.

¹ Not specified, ² DP-Dorsiflexion, PF—Plantarflexion, E—Eversion, I—Inversion, AD—Abduction, AD—Adduction, ³ Inertial measurement unit.

Based on the review of the state-of-the-art works reported in Table 1 [17–29], it can be concluded that:

Most of the ankle rehabilitation systems reported only present simple movements (linear movement) and most do not present combined movements.

Linear actuators and brushless motors are the most widely used and are expensive compared to stepper motors.

Most use actuators and sensors, which raise the cost of the rehabilitation system.

The proposed rehabilitators present a robust structure, with the type of actuators and use of sensors, resulting in high-cost equipment, which reduces the possibility that they will be used in rehabilitation centers or can be purchased by users.

None of the rehabilitators reported presenting an XY linear positioning system.

In this paper, two devices for passive rehabilitation of the shoulder and ankle are presented. The novelty of these rehabilitation devices is that they present an XY linear positioning system and a CNC System can be implemented, which results in low-cost devices with greater functionality. The CNC system allows provision of different routines or rehabilitation movements, possible with simple and easy programming. In addition, routines with combined and complex movements can be programmed. In addition, due to the use of acme screws in the linear guides, they require little control effort to provide the rehabilitation movements, so high-torque motors are not required. The ankle rehabilitation machine has two degrees of freedom (DOF) and provides the movements of dorsiflexion (DF)—plantarflexion (PF)—abduction (AB) and adduction (AD). On the other hand, the shoulder rehabilitation machine also has 2-DOF and provides the movements of flexion (SF)—extension (SE), abduction (AB)—adduction (AD) of the shoulder; flexion (EF)—extension (EE) of the elbow, and the combined movement of circumduction. The purpose of this paper is to provide an option or tool and to be the starting point for future research and development of new rehabilitation systems with greater functionality.

This paper is structured as follows: in Section 2 the ankle and shoulder rehabilitation machines are presented, as well as the procedure to implement the computerized numerical control to program the rehabilitation movements; also, a GPI robust controller is proposed. In Section 3, some G codes to provide rehabilitation movements and some simulations using the robust GPI controller are presented. Section 4 presents the conclusions and the main challenges or trends to be addressed in the development of these rehabilitation systems.

2. Materials and Methods

2.1. Ankle Rehabilitation Machine

For the design of the ankle rehabilitation machine, the movements that are present in the ankle and shown in Figure 1 were considered. The three movements that can be performed in the ankle are: (1) dorsiflexion/plantarflexion, (2) inversion/eversion and (3) abduction/adduction. In Table 2, the maximum intervals for each movement are shown [29].



Figure 1. Ankle joint movements.

Table 2. Ankle range of motion [29].

Type of Motion	Max. Allowable Motion
Dorsiflexion	20.3° a 29.8°
Plantarflexion	37.6° a 45.8°
Inversion	14.5° a 22.0°
Eversion	10.0° a 17.0°
Abduction	15.4° a 25.9°
Adduction	22.0° a 36.0°

Torque arising from passive ankle stiffness in post-stroke patients has also been reported [30], as shown in Figure 2. For a dorsiflexion movement, torque is considered positive, while for a plantarflexion movement is considered a negative torque.



Figure 2. Passive ankle stiffness for a dorsiflexion-plantarflexion movement in stroke survivors.

The ankle rehabilitation machine proposed in [31] has two degrees of freedom (DOF) and is based on the movements of an X-Y table, using linear guides with an ACME screw. A new version of this rehabilitator is shown in Figure 3. In its design, a commercial modular profile was used for the structure and there are only six customized pieces for the rehabilitator assembly, all the other parts are standard components. Spherical joints, see Figure 3, were used to support the movable platform and connect with the mobile base of the Y linear guide (X-Y table). The actuators are Nema 17 stepper motors.



Figure 3. Ankle rehabilitation machine.

The ankle rehabilitator can provide the passive movements of dorsiflexion (DF)-plantarflexion (PF), abduction (AB) and adduction (AD), as well as a combination of these movements to obtain a more complex movement, such as performing a circle with the tip of the big toe in an imaginary plane (table X-Y).

2.2. Shoulder Rehabilitation Machine

The shoulder joint is one of the most mobile in the human body. It has three DOF and is the most unstable joint in the body due to the amount of motion it allows. In the sagittal plane and around a transverse axis, it performs the movement of flexion (SF)—extension (SE). In the frontal plane and around an anteroposterior axis, it produces the abduction (SAB)—adduction (SAD) movement. In the transverse plane and around a vertical axis, it performs internal rotation (SIR)—external rotation (SER) movements [32], see Figure 4. Table 3 shows the maximum values that can be performed for each movement.



Figure 4. Shoulder joint movements.

Type of Motion	Max. Allowable
Flexion	180°
Extension	50°
Adduction	48°
Abduction	134°
Internal rotation	34°
External rotation	142°
Circumduction	360°

Table 3. Shoulder range of motion.

In Figure 5, the virtual prototype of the shoulder rehabilitation machine is shown. Similar to the ankle rehabilitation machine, Figure 3, it uses standard components and a Bosch modular profile. In this case, only the supports for the motors and some connection plates between the linear guides are the custom components. Therefore, this rehabilitator is also low cost, especially compared to more rigid-structure robotic systems.



Figure 5. Shoulder rehabilitation machine.

The shoulder rehabilitation machine provides the passive movements of SF—SE, SAB—SAD and also the flexion (EF)—extension (EE) movements of the elbow. Furthermore, the machine can perform complex rehabilitation movements by moving the two axes and forming a figure in the imaginary plane of the X-Y table, such as the circle that represents the circumduction movement, see Figure 4.

2.3. Computer Numerical Control

Numerical control is a system for automating machines that are operated through programmed commands. Currently, this type of control is being extended to other applications where XYZ displacement movements are applied.

In addition, the use of CNC has advantages, such as [33]:

- Automation of machine movements.
- Flexible automation: it is based on a program that can be easily changed.
- Possibility of leaving the machine working unattended.
- Increase productivity.

- The influence of the "operator skill" in handling the machine is reduced, programming machining of complex curves.
- Improvement of precision and speed in movements.
 - The basic elements of numerical control are:

(a) The program, which contains all the information about the actions to be executed.(b) The numerical control, which interprets these instructions, converts them into the corresponding signals for the drives of the machine and checks the results.

(c) The machine, which executes the foreseen operations.

Table 4 shows some codes to program in CNC. In the case of programming movements in rehabilitation systems, the codes G00, G01, G02 and G03 will mainly be used. In addition to these codes, miscellaneous functions and some letters that have a specific function within CNC programming are also used. The functions that were used in the programs presented in the results section were included in this table.

Code	Function	Code	Function
G00	Positioning at rapid travel	G01	Linear interpolation using a feed rate;
G02	Circular interpolation clockwise	G03	Circular interpolation, counterclockwise;
G17	Select X-Y plane	G18	Select Z-X plane;
G19	Select Z-Y plane	G20	Imperial units;
G21	Metric units	G27	Reference return check;
M00	Automatic stop (CNC program end)	M02	End of CNC program
M30	End of tape (End of CNC program, with return to CNC program top)		
R	It gives the radius of the arcs the machine makes	Ν	N gives the line number
Р	To jump in time or a delayed time		
X, Y, Z	These three values indicate the tools' position in three dimensions—X and Y represent the horizontal and vertical dimensions, respectively, while Z represents the depth	F	To indicate how quickly the machine feeds the piece

Table 4. CNC Codes list.

To carry out the programming based on coordinates in the respective movement axes, it is necessary to have a reference to have dimensions that make sense. Therefore, the definition of a coordinate system concerning the machine or the workpiece is important. In rehabilitation systems, this reference point is known as the Workpiece Zero Point; see Figure 6.



Figure 6. Workpiece zero point in rehabilitation machines: (a) Ankle and (b) Shoulder.



Figure 7. Arduino—CNC shield control—Stepper motor with A4988 driver.

The drivers for controlling the stepper motors are inserted in the CNC shield card. It supports 4 A4988 or DRV8825 power drivers (4 stepper motors) and has all the necessary connections to connect limit switches, relay outputs and various sensors. It is fully compatible with GRBL control firmware and can be used with any Arduino model, although it is recommended to use an Arduino UNO model. The CNC Shield Driver must be configured for the type of stepper motor to be used.

The steps for transferring the GRBL firmware to the Arduino UNO are described below. The procedure is also shown in Figure 8.

- 1. Connect the Arduino UNO to the personal computer.
- 2. Run XLoader.exe.
- 3. In XLoader:
 - a. Select the HEX file that contains the GRBL.
 - b. Select the correct Arduino board.
 - c. Select the COM port connected to the Arduino.
 - d. Select the appropriate baud rate.
 - e. Select Upload to send the HEX file to Arduino.
- 4. The indicator LEDs on the Arduino will start to blink and when finished XLoader will have been loaded on the Arduino UNO. Close the XLoader Window.



Figure 8. Procedure for transferring the GRBL firmware in Arduino UNO. (**a**) connect Arduino UNO, (**b**) Configure XLoader and (**c**) Load GRBL firmware on Arduino.

Various Open-Source programs can be used to load rehabilitation programs into the CNC (Universal Gcode Sender, GRBLcontroller, Goko, etc.). In this case, the Universal GcodeSender will be used; see Figure 9. Universal Gcode Sender is a Java-based, GRBL-compatible cross-platform G-code sender that can be used on most Windows, MacOSX, or Linux computers. This program is applied to run a GRBL controlled CNC machine with G-code commands; furthermore, it has a manual control mode to drive the stepper motors.

	CoodeSender (Version 10.5)	40	Universal GcodeSender (Version 1.0.6)
Diliversal		Commands File Mode	Machine Control
Connection Port COM3 Baud: 115200 Open	Commands File Mode Machine Control	Hand Construct Con	Non-metales establ
Machine status Active State:		>>> \$\$ \$0=10 (step pulse, usec) \$1=253 (step idle delay, msec) \$2=192 (step pont invert mask:11000000) \$3=0 (dir pont invert mask:00000000) \$4=0 (step enable invert, bool)	\$25=500.000 (homing seek, mm/min) \$26=100 (homing debounce, msec) \$27=1.000 (homing pull-off, mm) \$100=25.000 (x, step/mm) \$101=25.000 (x, step/mm)
Latest Comment:		\$5=0 (limit pins invert, bool) \$6=0 (probe pin invert, bool)	\$110=1000.000 (x max rate, mm/min)
Work Position: Machine Position: X: 0 X: 0		\$10=3 (status report mask:00000011) \$11=0.010 (junction deviation, mm) \$12=0.002 (arc tolerance, mm) \$13=0 (report inches, bool) \$20=0 (soft limits, bool) \$21=0 (hard limits, bool)	S111=1000.000 (y max rate, mm/min) \$112=500.000 (z max rate, mm/min) \$120=20.000 (x accel, mm/sec*2) \$121=20.000 (y accel, mm/sec*2) \$122=10.000 (z accel, mm/sec*2) \$130=100.000 (x max travel, mm)
Y: 0 Y: 0		\$22=0 (homing cycle, bool) \$23=0 (homing dir invert mask:00000000)	\$131=100.000 (y max travel, mm) \$132=200.000 (z max travel, mm)
		\$24=500.000 (boming feed mm/min)	OK

Figure 9. Universal Gcode Sender.

The Universal Gcode Sender is used to configure the GRBL (it must be connected to the Arduino UNO), in the command window enter . The parameters to configure will be displayed; to modify any, write = value. In this part, the motors and the linear displacement system (screw) are configured.

2.4. Hardware Configuration

Figure 10 shows the current and reference voltage relationship of the Nema 17 motor, and as suggested by the controller's technical data sheets, it is suggested to adjust to 70% of the nominal motor current to calculate the V_{ref} . For the stepping motors used, the Drivers were configured as shown in Table 5. Figure 11 shows how to adjust the V_{ref} in the A4988 driver.



Figure 10. V_{ref} setting values with $R_s = 0.2$ ohms with respect to motor current.

Driver	R_s	$I_{max}=0.7 (I_{nom})$	$V_{ref} = I_{max} (8 X R_s)$
	0.1 Ω	1.176 amp.	$V_{ref} = 0.94$ volts
	0.2 Ω	1.176 amp.	$V_{ref} = 1.88$ volts

Table 5. V_{ref} of the A4988 drivers.



Figure 11. *V*_{*ref*} adjustment through potentiometer.

Once the rehabilitation machine has been configured and instrumented to program a routine, in the ankle or shoulder rehabilitator, the procedure shown in Figure 12 is followed. It begins with defining the type of rehabilitation movement for the patient, which will be provided or indicated by the rehabilitation specialist; subsequently, their respective G code is developed (manually or with the use of programs) and transferred through the Universal Gcode Sender through the Arduino-CNC-Shield array to the rehabilitation machine. It is recommended to test the CNC program first without the patient. Once the movement has been validated, the patient can start their rehabilitation routine.



Figure 12. Procedure to implement a routine in the rehabilitation machine.

2.5. Robust GPI Control

The Generalized Proportional Integral (GPI) controller is a technique for the design of controllers that can reject different types of polynomial disturbances, such as: constant perturbations, ramps, quadratic perturbations, etc. [35]. GPI control was introduced, within the context of predictive control of differentially flat systems [36].

The GPI controller avoids the explicit use of state observers by resorting to structural reconstructions of the state on the basis of iterated integrations of input-output. For dynamical systems, initial conditions and unknown perturbations are ignored by adding a suitable linear combination of iterated integrals of the output tracking error [35]. We

propose an output feedback controller of the GPI type for a reference trajectory tracking task, which is based on position measurements of the controlled masses of the linear guides.

The ankle and shoulder rehabilitation machines consist of two linear guides, which provide the movements in the directions of the axes X (horizontal) and Y (vertical), Figure 13. For X axis motion, the mass m_1 is considered, which corresponds to the sum of the movable platform mass and the mass of the whole linear guide system for the Y axis. For the Y axis, the mass m_2 is considered due only to the carriage. F_x and F_y are the control forces for the motion of X and Y axes, respectively. Forces P_x and P_y are unknown disturbances (friction, viscous damping, unmodeled dynamics); in these simulations the linear torque functions that represent the stiffness in the joints are considered, as shown in Figure 2. The effect of gravity on the linear guides (x,y) is neglected, because in any position, even with the weight of the patient's foot, it is not capable of overcoming the system, so it remains in equilibrium.



Figure 13. Free body diagram of the ankle rehabilitation machine.

The mathematical model governing the dynamic for the rehabilitation machines can be obtained by applying Newton's second law, which is given by:

1

x

$$n_1 \ddot{x} = F_x - P_x$$

$$n_2 \ddot{y} = F_y - P_y$$
(1)

To design a controller for position reference tracking, consider Equation (1). Then, one can propose the following robust Generalized Proportional Integral (GPI) controller for asymptotic and robust tracking to the desired position trajectory, which employs only linear position measurements of the movable platform. For more details on GPI control, see [35–37].

Consider the perturbed system as

$$= U_x + \xi \tag{2}$$

with

$$U_x = \frac{F_x}{m_1}, \xi = -\frac{P_x}{m_1}$$

$$\dot{x} = \int_0^t U_x(\sigma) d\sigma + \dot{x}(0)$$

$$\dot{x} = \dot{x} + \dot{x}(0)$$

$$\dot{\hat{x}} = \int_0^t U_x(\sigma) d\sigma$$

where \hat{x} is the integral reconstruction of the linear guide velocity in the *x* axis. Considering that the disturbance ξ (passive ankle stiffness) can be approximated as a third-order polynomial, such as:

$$\xi = at^3 + bt^2 + ct + d \tag{3}$$

The control strategy for the rejection of unknown disturbances and dynamic changes for the ankle–joint rehabilitation robot is given by the following robust GPI controller,

$$U_{x} = \ddot{x}_{1d} - k_{5} (\dot{x}_{1} - \dot{z}_{1d}) - k_{4} (x_{1} - x_{1d}) - k_{3} \int_{0}^{t} (x_{1} - x_{1d}) d\tau - k_{2} \int_{0}^{t} \int_{0}^{\tau} (x_{1} - x_{1d}) d\lambda d\tau, -k_{1} \int_{0}^{t} \int_{0}^{\tau} \int_{0}^{\lambda} (x_{1} - x_{1d}) d\sigma d\lambda d\tau - k_{0} \int_{0}^{t} \int_{0}^{\tau} \int_{0}^{\sigma} \int_{0}^{\sigma} (x_{1} - x_{1d}) d\rho d\sigma d\lambda d\tau,$$
(4)

By substituting the robust GPI controller (Equation (4)) in Equation (2), the following closedloop dynamic equation for the trajectory tracking error ($e = x_1 - x_{1d}$, $\dot{e} = \dot{x}_1 - \dot{x}_{1d}$, $\ddot{e} = \ddot{x}_1 - \ddot{x}_{1d}$, ...) is obtained.

$$e^{VI} + k_5 e^V + k_4 e^{IV} + k_3 \ddot{e} + k_2 \ddot{e} + k_1 \dot{e} + k_0 e = 0,$$
(5)

Applying the Laplace transform to Equation (5) with initial conditions equal to zero, the characteristic equation of the closed-loop system is obtained:

$$s^{6} + k_{5}s^{5} + k_{4}s^{4} + k_{3}s^{3} + k_{2}s^{2} + k_{1}s + k_{0} = 0,$$
(6)

The controller gains (*ki*, *i* = 0, 1, . . . ,5) are determined by equating a Hurwitz polynomial (Equation (7)) so that the error dynamics are asymptotically stable. For this case, were selected $\zeta = 2$, $\omega = 10$.

$$\left(s + 2\zeta\omega s + \omega^2\right)^3 = 0,\tag{7}$$

As a result, the GPI controller can be written in a classical compensation network form, where the expression was combined with time and frequency domain quantities, as is customary in many areas of modern control.

$$U_x = U_d - \left(\frac{k_4 s^4 + k_3 s^3 + k_2 s^2 + k_1 s + k_0}{s^3 (s + k_5)}\right)(x_1 - x_{1d}),\tag{8}$$

3. Results

3.1. Rehabilitation Exercise Routine

For ankle (or shoulder) rehabilitation movements, a relationship between the displacement of the linear guide with respect to the desired angle (DF, PF, AB, AD) must be established. For a positive displacement of the X axis (+x) we have an angle (φ) of AD and for a negative displacement in the X axis (-x) we have an angle (φ) of AB; relative to a right foot. On the other hand, for a positive displacement of the Y axis (+y) there is an angle (θ) of DF and for a negative displacement on the Y axis (-y) there is an angle (θ) of PF, see Figure 14. Table 6 shows the numerical relationship between the displacement of the linear guides and the angle obtained for each ankle rehabilitation movement.



Figure 14. Relation between linear displacement vs ankle rehabilitation angle.

Dorsiflexion (DF)		Plantarflexion (PF)		Abduction (AB)		Adduction (AD)	
mm	Degrees	mm	Degrees	mm	Degrees	mm	Degrees
10	2.2026	-10	-2.2026	10	2.2026	-10	-2.2026
20	4.3987	-20	-4.3987	20	4.3987	-20	-4.3987
30	6.5819	-30	-6.5819	30	6.5819	-30	-6.5819
40	8.7462	-40	-8.7462	40	8.7462	-40	-8.7462
50	10.886	-50	-10.886	50	10.886	-50	-10.886
60	12.995	-60	-12.995	60	12.995	-60	-12.995
70	15.068	-70	-15.068	70	15.068	-70	-15.068
80	17.103	-80	-17.103	80	17.103	-80	-17.103
90	19.093	-90	-19.093	90	19.093	-90	-19.093
100	21.038	-100	-21.038	100	21.038	-100	-21.038
110	22.932	-110	-22.932	110	22.932	-110	-22.932
120	24.775	-120	-24.775	120	24.775	-120	-24.775
130	26.565	-130	-26.565	130	26.565	-130	-26.565
140	28.301	-140	-28.301			-140	-28.301
150	29.982	-150	-29.982			-150	-29.982
		-160	-31.608			-160	-31.608
		-170	-33.179			-170	-33.179
		-180	-34.695			-180	-34.695
		-190	-36.158			-190	-36.158
		-200	-37.569				
		-210	-38.928				
		-220	-40.236				

Table 6. Relation between the displacement of the linear guide vs angle movement.

For the development of the programs, the rehabilitation routines were elaborated in the CNC syntax considering the basic movements of DF, PF, AB and AD, as well as combined movements. These were classified into three levels: beginner, intermediate and advanced (Figure 15); the difference is the degree of opening, speed, cycles or repetitions, time and sustain of the foot's trajectory. These programs must be indicated and under the supervision and evaluation of the physiotherapist depending on the patient's recovery.



Figure 15. Rehabilitation levels on ankle rehabilitation machine.

Figure 16 shows the G-Code for two routines for a beginner-level dorsiflexion movement. In G code_1, the units are defined in mm (G21) starting at the workpiece zero point (G00 X0 Y0), then it goes up 20 mm (y+) with a feed rate of 200 mm/min (G01 Y20 F200), then returns to the origin with the same speed (G01 Y0 F200), repeating the cycle 4 more times. Finally, it stops the program and returns to the beginning (M30). In G code_2, only the amplitude of movement is changed to 50 mm with a speed of 500 mm/min (G01 Y50 F500) and it also performs the 5 cycles.



Figure 16. Beginner level for dorsiflexion rehabilitation.

Similarly, Figure 17 shows the G-Code of two routines for a dorsiflexion movement at the intermediate level. In G code_1 the units are defined in mm (G21) and it starts at the workpiece zero point (G00 X0 Y0), then goes up 70 mm (y+) with a feed rate of 800 mm/min (G01 Y70 F800), then returns to the origin with the same speed (G01 Y0 F800), repeating the cycle 4 more times. Finally, the program stops and returns to the beginning of the program (M30). In G code_2, only the movement amplitude is changed to 100 mm with a speed of 1000 mm/min (G01 Y100 F1000), and it also performs the 5 cycles.



Figure 17. Intermediate level for dorsiflexion rehabilitation.

For an advanced-level routine, see Figure 18; a dorsiflexion amplitude of 120 mm with a speed of 1500 mm/min is considered in G code 1, and in G code_2 a dorsiflexion amplitude of 150 mm with a speed of 2000 mm/min; in this case, 5 cycles are also considered.

The advantage of using CNC for programming movements in the X and Y axis is that combined and complex movements can be carried out more easily compared to a classic or modern control system. In Figure 19, the movement of a circle in the imaginary X-Y plane is shown. In G code 1, for a beginner level, it has a radius of 20 mm and a speed of 500 mm/min, while for an Advanced level, it has an amplitude of 150 mm and a speed of 2000 mm/min. In these examples presented, only 5 cycles were considered, but they may vary depending on the level of rehabilitation or the indications of the Physiotherapy specialist.



Figure 18. Advanced level for dorsiflexion rehabilitation.



Figure 19. Circular trajectory for ankle rehabilitation movement.

Four images of the physical prototype of the ankle rehabilitation machine are shown in Figure 20 for a beginner-level movement that performs a square in the imaginary X-Y plane. They were captured in the 4 corners of the square. To test the functionality and advantages of CNC, programs were made for saw, triangular, and arc movements, among others, which perform combined movements. Furthermore, programs that trace the letters of the alphabet were carried out (see Table 7), which are some of the movements that specialists ask patients to carry out in their rehabilitation process. All routines were tested only with the rehabilitation machine, without the user. Some routines were tested with a user without injuries (a healthy person) on the ankle and shoulder rehabilitation machines.

Some programs were also used for the shoulder rehabilitator, modifying only the amplitudes of movement. In Figure 21, the shoulder rehabilitator is shown in different positions. In this case, it is recommended to use a glove to support the patient's arm on the handle, and it can be used for passive rehabilitation of stroke patients. In addition, it is recommended to use splints when you want the arm to be fully extended and thus perform the flexion, extension, abduction or adduction movements; see Figures 21 and 22.



Figure 20. Physical prototype of the ankle rehabilitation machine.

Table 7. G code for rehabilitation movements.





Figure 21. Physical prototype of the shoulder rehabilitation machine.



Figure 22. Elbow splint immobilizer.

3.2. Desired Reference Trajectory

The machines for rehabilitation must guarantee safe rehabilitation movements. Given that, the movements must be smooth and continuous. The desired reference trajectories are given by the following Bezier polynomial.

$$x_{d}(t) = \begin{cases} 0 & 0 \le t < t_{i} \\ x_{10} & t_{i} \le t < t_{f} \\ x_{f} & t > t_{f} \end{cases}$$
(9)
$$x_{10}(t) = x_{i} + (x_{f} - x_{i})(a_{0} - a_{1}\mu + a_{2}\mu^{2} - a_{3}\mu^{3} + a_{4}\mu^{4} - a_{5}\mu^{5})\mu^{5} \\ \mu = \frac{t - t_{i}}{t_{c} - t_{i}} \end{cases}$$

where $x_i = x_d$ (t_i) is the initial desired position and $x_f = x_d$ (t_f) is the final desired position. The parameters of the Bezier polynomial were selected as: $a_0 = 252$, $a_1 = 1050$, $a_2 = 1800$, $a_3 = 1575$, $a_4 = 700$ and $a_5 = 126$.

3.3. Robust Controller

The results presented in Figures 23–27 were obtained using the virtual prototype in the MCS Adams environment in co-simulation with Matlab-Simulink. The GPI controller uses the same gains in all simulations and the same Bezier polynomial, for values of $x_f = 0.05$ and 0.1 m. Figure 23 shows the simulation results for an abduction movement of 9.45°, x = 0.05 m, without considering disturbances. Only the inertia of the components that are going to move is considered. It is observed that the robust GPI controller follows the path that shows smooth behavior. At the x position, the actual and desired trajectories are shown. Similarly, in Figure 25, the response for the same abduction movement ($\varphi = 9.45^\circ$, x = 0.05 m) is shown but with disturbance; see Figure 24. Again, the controller follows the trajectory smoothly, and the error is so minimal that the difference between the actual and desired response is not noticeable, both responses showing up in the response of x.



Figure 23. Response for an abduction movement $\varphi = 9.45^{\circ}$, x = 0.05 m, without disturbance.



Figure 24. Perturbation for an abduction (blue line)-adduction (red line) movement.



Figure 25. Response for an abduction movement $\varphi = 9.45^{\circ}$, x = 0.05 m, with disturbance.



Figure 26. Response for a dorsiflexion movement $\varphi = 18.4^{\circ}$, x = 0.1 m, with disturbance.

Figure 26 shows the robust GPI controller response for a dorsiflexion movement of 18.4°, 0.1 m. Similarly, the controller follows the desired trajectory compensating for the disturbance (Figure 2) considered to be stiffness in the ankle joint.

Figure 27 shows the robust GPI controller response for a combined dorsiflexion (y = 0.05 m) and abduction (x = 0.05 m) movement. Similarly, the controller follows the desired trajectory compensating for the disturbance (Figure 2) in both movements.



Figure 27. Response for a combined movement of dorsiflexion and abduction, with disturbance.

4. Discussion

The use of automated CNC machines has shown that they can be programmed by users without any specialized knowledge. In addition, these rehabilitation machines, as shown in the routine codes presented in this work, require the use of only a few G Code commands, as well as miscellaneous functions. Specialists or users themselves can program and customize their rehabilitation routines. The use of open-source software also contributes to the reduction of the cost of the machine. On the other hand, a sufficient number of programs can be carried out to cover rehabilitation demands, depending on the injury and the specialist's indications.

In a therapy session, changing the routine to the patient is also easy and takes minimal time (just upload the routine file to the software—Universal G Code Sender). Another advantage of using the Universal G Code Sender is that, in manual mode, the specialist can identify the maximum value of movement allowed by the user without causing pain, by manually increasing the angle at the ankle or shoulder, to later load the program with the appropriate routine for rehabilitation.

5. Conclusions

A large number of ankle and shoulder rehabilitation devices have been proposed in the literature. However, most of the proposed ankle rehabilitation machines use linear actuators, which turn out to be expensive, compared to the stepping motors used in these rehabilitation machines presented in this paper. Furthermore, shoulder rehabilitation systems generally have a rigid and robust structure in which, due to inertia, a number of components and links require actuators that provide a large torque, which also raises the cost of these rehabilitation devices.

In this paper, two low-cost rehabilitation machines are proposed: ankle and shoulder. These use a Bosch modular aluminum profile, few standard components, ACME screw linear guide system and stepper motors, resulting in a low-cost structure. However, the greatest advantage lies in the use of the CNC, which gives it greater functionality, reducing the complexity of routine programming by the specialist or the user. With the CNC it is possible to perform rehabilitation routines with combined and complex movements (Table 7), and it is easy to develop the rehabilitation movement code.

Finally, from the state of the art and the work carried out, the following guidelines or trends for future work are given:

Develop serious games (video games) so that the patient can focus on their rehabilitation process and find it entertaining or fun [38].

Monitor myoelectric signals with surface myoelectric sensors to reduce the improvement time of the affected muscles by proposing rehabilitation routines that help in their process, as well as to determine joint stiffness.

Combine with other means or mechanisms (water, electrostimulation, etc.) [17] to increase the speed of improvement of the joint or damaged muscles.

Implement resistive rehabilitation using force sensors, and combine this stage with serious games to motivate the patient [38].

Characterization of stiffness in the ankle and shoulder joints using a rehabilitation machine combined with some parameter identification method.

Development of physical prototypes for low-cost rehabilitation that is within the reach of people who require rehabilitation.

6. Patents

There is a patent for the ankle rehabilitator (No. 353502), which was granted on 12/14/2017 by the IMPI (Mexican Institute of Industrial Property).

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