



Article Effects of Partial Replacement of Si by Al on Impact Toughness of 0.2%C-Si-Mn-Cr-B TRIP-Aided Martensitic Steel

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Abstract: The effects of partial replacement of Si by Al on the microstructure, tensile properties, and Charpy impact toughness were investigated using 0.2%C-Si/Al-Mn-Cr-B TRIP-aided martensitic steels to promote the application of galvanized third-generation ultrahigh- and high-strength steels. The impact toughness was related to the microstructural and mechanical properties. The partial replacement decreased the volume fraction of retained austenite and increased the mechanical stability, accompanied by softening and an increase in the volume fraction of the primary martensite. Resultantly, the partial replacement decreased strength and ductility. The impact absorbed energy (value) at 25 °C was slightly increased by the partial replacement. The increased impact absorbed energy was mainly caused by high crack/void propagation energy due to the softened primary martensite and a small contribution of the stabilized retained austenite. The 50% shear fracture ductile-to-brittle transition temperature was marginally raised by the partial replacement. The raised transition temperature was mainly associated with an increase in a unit crack path of quasicleavage/cleavage fracture.

Keywords: TRIP-aided martensitic steel; Al addition; microstructure; tensile property; impact toughness

1. Introduction

The third-generation advanced ultrahigh- and high-strength steels (AHSSs) have been developed for automotive applications as sheet-forming components and bar-forging parts [1–4]. The AHSSs are classified into the following two groups, "Group I" and "Group II", by the kind of matrix structure and/or tensile strength level [4], as follows.

Group I: TRIP-aided bainitic ferrite (TBF) steel [5,6], one-step and two-step quenching and partitioning (Q&P) steels [7–11], carbide-free bainitic (CFB) steel [12–15], and duplex type, laminate type, and Q&P-type medium manganese steels (D-MMn [16–22], L-MMn [23], and Q&P-MMn [24,25] steels),

Group II: TRIP-aided martensitic (TM) steel [26–29] and martensite-type medium manganese (M-MMn) steel [24,30,31].

Group I steels have a tensile strength lower than 1 GPa and/or a bainitic ferrite structure (or a mixed structure of bainitic ferrite and martensite). Group II steels have a tensile strength higher than 1.5 MPa and a harder complex structure of the primary tempered martensite (α_m) and the secondary fresh martensite-retained austenite (MA; $\alpha_m^* + \gamma_R$) phase. The mechanical properties of the Group I and Group II steels are characterized by excellent cold formability, impact toughness, fatigue strength, hydrogen embrittlement properties, etc. [4,27,32,33]. These excellent mechanical properties are mainly brought



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Copyright: © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). from the transformation-induced plasticity (TRIP) [34] of metastable retained austenite or reverted austenite and the complex phase structure.

In the various first- and third-generation AHSSs, microalloying elements such as C, Si, Al, Mn, Cr, Mo, Ni, B, Nb, Ti, V, etc. are added to increase the various mechanical properties through the microstructural improvement [35–40]. Al is mainly added as an alternative element to Si. This is because, not only is Al a ferrite stabilizer similar to Si, but it is also insoluble in carbide [41]. Another advantage of Al over Si is a high driving force from austenite to bainite which accelerates the bainite transformation kinetics resulting from an increased nucleation rate [41–43]. This becomes especially advantageous for industrial production in conventional galvanizing lines with overaging sections. Fortunately, Al does not degrade the coatability (or galvanizing) adversely, unlike Si, because the partial replacement of Si by Al disturbs the formation of amorphous oxide [42]. Thus, Al is mainly added as an alternative element to Si in the AHSSs like P [40]. Al also remarkably increases the maximum carbon concentration of retained austenite by raising the critical temperature (T_0) at which austenite and martensite have the same Gibbs free energy in steel [39]. This is because Al retards the carbide formation and resultantly increases the volume fraction of retained austenite like Si and P [4,40,44–46].

To promote the application of galvanized third-generation low-carbon AHSS sheets and bars to automotive parts, many researchers investigated the effect of partial replacement of Si by Al on the microstructural and mechanical properties [4,35,36,46–50]. Unfortunately, most of the mechanical properties were focused on the tensile properties and formabilities, not impact toughness, in the third-generation AHSSs. This paper investigated the influence of the partial replacement of Si by Al on the microstructure, tensile properties, and Charpy impact toughness using the third-generation 0.2%C-Si/Al-Mn-Cr-B TM sheets of steel belonging to Group II. The impact toughness was compared with those of several third-generation AHSSs such as TBF, TM, D-MMn, and M-MMn steels with different chemical compositions and commercial JIS-SCM420 martensitic steel. In addition, the impact toughness was related to the microstructural properties, as well as the tensile properties.

2. Materials and Methods

Two kinds of steels (Si-Al steels) with different Si and Al contents were prepared in the form of 100 kg slabs by vacuum melting. The total content of Si and Al was kept constant; Al + Si = 1.5 mass% (Table 1). Hereafter, these steels with 0.022 and 1.22 mass% Al are named 0Al and 1.2Al steels, respectively. For comparison, several third-generation AHSSs with different Si, Mn, Cr, Mo, Al, and Nb contents (Cr-Mo TBF and TM steels, Al-Nb TBF and TM steels, and medium Mn (D-MMn and M-MMn) steels) were prepared in this study (Table 1). In addition, commercial martensite steel (JIS-SCM420 steel) subjected to quenching to 25 °C and tempering at 200 °C to 600 °C for 3600 s (Q&T) was used. The slabs of the 0Al and 1.2Al steels were heated to 1200 °C and hot-rolled to 5 mm thickness with a finishing temperature of 850 °C, followed by air-cooling to room temperature. After that, a part of the hot-rolled plates was cold-rolled into sheets of 1.2 mm thickness after ground to a thickness of 3 mm.

Tensile specimens (JIS-5, 50 mm gauge length, 12.5 mm width, and 1.2 mm thickness) parallel to the rolling direction were machined from the cold-rolled sheets. Subsized V-notched impact specimens (JIS-4, 55 mm long, 10 mm wide, 2.5 mm thick, 2 mm V-notch) were machined from the hot-rolled plates along the rolling direction. To measure the austenite-finish and -start temperatures (Ac_3 , Ac_1 in °C), and martensite-start and -finish temperatures (M_s and M_f in °C) of both steels, these thermal expansion curves were produced using Thermecmastor-Z (Fuji Electronic Industrial Co., Ltd., Tsuruga-shima, Saitama, Japan). Referring to the curves (Figure 1), the heat treatment shown in Figure 2 was carried out, namely, direct quenching in oil at 200 °C (below M_f) and isothermal transformation (IT) treatment at 200 °C for 1000 s after being austenitized at 1050 °C (above Ac_3) for 1200 s. The IT holding time (1000 s) corresponds to the time for which the maximum retained austenite fraction is obtained from preliminary experiments. For Cr-Mo

and Al-Nb TBF steels, IT treatment at the temperatures between M_s and M_f was carried out. For Cr-Mo and Al-Nb TM steels, the same heat treatment as Figure 2 was conducted. For the heat treatment of D-MMn and M-MMn steels, please refer to Refs. [17,24].

Table 1. Chemical composition (mass%) and measured transformation temperatures (°C) of Si-Al, Cr-Mo, Al-Nb, and MMn steels [5,6,17,24,26,46]. TM, TBF, D-type, M-type, and Q&T represent TRIP-aided martensite, TRIP-aided bainitic ferrite, duplex type MMn, martensite-type MMn, and quenching and tempering martensite steels, respectively.

	Steel		С	Si	Mn	Cr	Мо	Al	Nb	Ti	В	Ν	Ac ₃	Ac ₁	$M_{\rm s}$	$M_{\rm f}$
Si-Al	0Al	TM	0.21	1.50	1.24	0.20	-	0.022	-	0.003	0.0028	0.0019	841	734	411	242
	1.2Al		0.20	0.20	1.24	0.20	-	1.220	-	0.005	0.0026	0.0014	1023	763	454	275
	0Cr	TM and TBF	0.20	1.50	1.51	0	0	0.044	0.05	-	-	0.0013	-	-	409	289
C Ma	0.5Cr		0.21	1.49	1.50	0.50	0	0.040	0.05	-	-	0.0012	-	-	408	292
Cr-Mo	1.0Cr		0.20	1.49	1.50	1.00	0	0.040	0.05	-	-	0.0012	-	-	406	261
	0.2Mo		0.18	1.48	1.49	1.02	0.20	0.043	0.05	-	-	0.0010	-	-	392	258
Al-Nb	0A1	TM and 0.2 TBF 0.2	0.19	1.54	1.51	-	-	0.04	0	-	-	0.0017	-	-	424	-
	0.5Al		0.20	0.99	1.51	-	-	0.49	0	-	-	0.0022	-	-	434	-
	0.5Al- 0.05Nlb		0.20	0.99	1.48	-	-	0.48	0.05	-	-	0.0008	-	-	434	-
	0.001 10															
MMn	1.5Mn	D- and M-type	0.20	1.49	1.50	-	-	0.035	-	-	-	0.0038	847	719	420	300
	3Mn		0.20	1.52	2.98	-	-	0.037	-	-	-	0.0034	797	689	363	220
	5Mn		0.21	1.50	4.94	-	-	0.031	-	-	-	0.0020	741	657	282	150
SCM420		Q&T	0.21	0.21	0.77	1.02	0.18	-	-	-	-	-	-	-	-	-



Figure 1. Thermal displacement-temperature (δ -T) curves of specimens cooled at 30 °C/s after heating to 1150 °C in (**a**) 0Al and (**b**) 1.2Al steels.

The microstructure of the steels was observed by field-emission scanning electron microscopy (FE-SEM; JSM-6500F, JEOL Ltd., Akishima, Tokyo, Japan), which was performed using an instrument equipped with an electron backscatter diffraction system (EBSD; OIM system, TexSEM Laboratories, Inc., Prova, UT, USA). The EBSD analysis was conducted in an area of 40 μ m \times 40 μ m with a beam diameter of 1.0 μ m and a beam step size of 0.1 μ m operated at an acceleration voltage of 25 kV. The specimens for the FE-SEM–EBSD analysis were first ground with alumina powder and colloidal silica and, then, ion-thinning was carried out. The volume fraction of carbide in the specimens was measured by carbon extraction replicas and the FE-SEM technique. The volume fraction of the MA phase (f_{MA}) was estimated from the EBSD image by the line segmentation method.



Figure 2. Heat treatment diagram for 0Al and 1.2Al TM steels. O.Q. is quenching in oil at room temperature.

Retained austenite characteristics of the steels were evaluated by an X-ray diffractometer (RINT2000, Rigaku Co., Akishima, Tokyo, Japan). The surfaces of the specimens were electropolished after being ground with emery paper (#1200). The volume fraction of the retained austenite phase (f_{γ} , vol.%) was quantified from the integrated intensity of the (200) α , (211) α , (200) γ , (220) γ , and (311) γ peaks obtained by X-ray diffractometry using Mo-K α radiation [51]. The carbon concentration in retained austenite (C_{γ} , mass%) was estimated from the empirical equation proposed by Dyson and Holmes [52]. To accomplish this, the lattice constant of retained austenite was determined from the (200) γ , (220) γ , and (311) γ peaks of the Cu-K α radiation. The average values of volume fraction and carbon concentration of retained austenite and other microstructural properties were measured at three locations in the specimen.

Vickers hardness tests were carried out using a Vickers microhardness tester (DUH-201H, Shimadzu Co., Kyoto, Japan) at 25 °C, with a load of 0.98N. Tensile tests were conducted on a tensile testing machine (AD-10TD, Shimadzu Co., Kyoto, Japan) at 25 °C and at a mean strain rate of $2.8 \times 10^{-3} \text{ s}^{-1}$ (a crosshead speed: 10 mm/min). Impact tests were performed on conventional and instrumental Charpy impact testing machines (CI-300 and CAI-300, Tokyo Testing Machine Inc., Tokyo, Japan) in a temperature range of -196 °C to +100 °C. Liquid nitrogen, dry ice, ethyl alcohol, and water were used to cool and heat the specimens. The specimens were held at different temperatures for 1800 s before being tested. After that, the impact tests were performed within 3 s after removing the specimen from the temperature-regulating mediums. The impact properties were evaluated by Charpy impact absorbed energy or value (E_v) and 50% shear fracture ductile-to-brittle transition temperature (DBTT). At least three tensile and impact specimens were tested for each condition to obtain the average values of the tensile properties and E_v at 25 °C.

3. Results

3.1. Microstructure

Figure 3 shows various EBSD maps of heat-treated 0Al and 1.2Al TM steels. The microstructures consist of primary martensite, retained austenite, and MA phase (Figure 3a–f). The primary martensite is divided into two kinds of martensite, namely a soft-type martensite ("type S") with an image-quality (IQ) index higher than 100 and a hard-type martensite ("type H") with an IQ index of 40 to 100 (Figure 3e–h). Note that the IQ indices of the primary type S and type H martensites in the 1.2Al TM steel are higher than that in 0Al TM steel (Figure 3e–h). In addition, the volume fraction of type S martensite is higher than that of the 0Al steel. On the other hand, the type H martensite fraction tends to be less than that of the 0Al TM steel (Figure 3e–h).



Figure 3. (**a**,**b**) Orientation maps of BCC (alpha) and FCC (gamma) phases, (**c**,**d**) grain/lath boundary misorientation maps of BCC phase, (**e**,**f**) image quality (IQ) distribution maps of BCC phase, (**g**,**h**) IQ distribution, and (**i**,**j**) orientation maps of FCC in 0Al and 1.2Al TM steels. (**i**,**j**) are high magnifications in rectangles of (**e**,**f**), respectively. α_m , α_m^* , MA, and γ_R represent primary martensite, secondary martensite, MA phase, and retained austenite, respectively.

The MA phase fraction (f_{MA}) of the 1.2Al TM steel is nearly the same as that of the 0Al TM steel (Table 2). The size of the secondary martensite in the MA phase of both sheets of steel is nearly the same (Figure 3a,b). In this research, the secondary martensite possesses an IQ index lower than 40, although the IQ index of the secondary martensite of 1.2Al TM steel is higher than that of 0Al TM steel (Figure 3g,h). Many fine retained austenites seem to be located in the MA phase and along the lath, block, and packet boundaries of the primary martensite (Figure 3i,j). It is noteworthy that the retained austenite size of the 1.2Al steel is larger than that of the 0Al steel.

Steel	fγ ₀	$C\gamma_0$	$f\gamma_0 imes C\gamma_0$	k	fма	f _θ	d
0Al	4.3	0.36	0.015	11.0	14.4	0.96	32.4
1.2Al	3.5	0.54	0.019	10.5	14.0	1.06	32.2

Table 2. Microstructural properties of 0Al and 1.2Al TM steels.

 $f\gamma_0$ (vol%): initial volume fraction of retained austenite, $C\gamma_0$ (mass%): initial carbon concentration of retained austenite, *k*: strain-induced transformation factor, f_{MA} (vol%): volume fraction of MA phase, f_{θ} (vol%): volume fraction of carbide, *d* (µm): prior austenitic grain size.

Figure 4 shows SEM images of 0Al and 1.2Al steels. Prior austenitic grain size (*d*) is nearly the same in the steels (Table 2). There is a small amount of fine carbide (θ) only in the primary martensite of the 1.2Al TM steel (Figure 4c), in the same way as the 0Al steel.



Figure 4. SEM images of (**a**) 0A and (**b**) 1.2Asteels. (**c**) is a high magnification of a certain region of 1.2Al steel, showing two types of primary martensite (α_m) and carbide (θ). PAGB is the prior austenitic grain boundary.

Many of the carbides precipitate in the primary type S martensite. These carbide fractions (f_{θ}) are about 1 vol.% (Table 2) and are nearly the same as those previously reported for Cr-Mo TM steels [26,53]. The carbide is supposed to be the transition carbide or η -carbide [54]. Vickers hardness (HV428) of the 1.2Al TM steel is lower than that (HV473) of the 0Al TM steel (Table 3).

Table 3. Vickers hardness, tensile properties, and impact properties of 0Al and 1.2Al TM steels.

Steel	HV	YS	TS	UEl	TEl	RA	$E_{\mathbf{v}}$	DBTT	L _C
0Al	473	1008	1435	5.2	8.1	53.0	102.9	-94	10.0
1.2Al	428	985	1300	4.1	7.0	59.9	106.1	-85	16.4

HV: Vickers hardness, YS (MPa); yield stress, TS (MPa): tensile strength, UEl (%): uniform elongation, TEl (%): total elongation, RA (%): reduction of area, E_v (J/cm²): Charpy impact absorbed energy or value at 25 °C, DBTT (°C): 50% shear fracture ductile-to-brittle transition temperature, L_C (µm): a unit crack path of cleavage and quasi-cleavage fracture.

The initial volume fraction of retained austenite ($f\gamma_0$) is decreased and its initial carbon concentration ($C\gamma_0$) is increased by the partial replacement of Si by Al (Table 2). The initial total carbon concentration of retained austenite ($f\gamma_0 \times C\gamma_0$) of the 1.2Al TM steel is slightly higher than that of the 0Al TM steel (Table 2).

The strain-induced transformation factor (*k*) is defined by the following equation, meaning the mechanical stability of retained austenite [4],

$$k = (\ln f \gamma_0 - \ln f \gamma) / \varepsilon_{\rm T} \tag{1}$$

where $f\gamma$ is the retained austenite fraction after plastically strained to $\varepsilon_{\rm T}$. The *k*-value of the 1.2Al TM steel is slightly lower than that of the 0Al TM steel (Table 2).

Engineering stress–strain (σ – ε) curves and instantaneous strain hardening exponenttrue strain (n– ε _T) curves of the 0Al and 1.2Al TM steels are shown in Figure 5. The tensile properties are shown in Table 3. Partial replacement of Si by Al considerably reduces the flow stress and the instantaneous n value. Resultantly, the yield stress (YS), tensile strength (TS), uniform (UEI), and total elongations (TEI) are decreased by the partial replacement, although the reduction of area (RA) is increased.



Figure 5. (a) Typical engineering stress–strain (σ – ε) curves and (b) instantaneous strain hardening exponent–true strain (n– ε _T) curves of 0Al and 1.2Al TM steels.

Figure 6 shows the relationship between TS and TEl of the 0Al and 1.2Al TM steels. The product of TS and TEl (TS \times TEl) of the 1.2Al TM steel is lower than that of 0Al TM steel. The TS \times TEl is lower than those of Cr-Mo TM steels and SCM420 Q&T steel. Notably, it is much lower than those of Cr-Mo and Al-Nb TBF steels and D-MMn and M-MMn steels.



Figure 6. Combination of the tensile strength (TS) and total elongation (TEI) of 0Al and 1.2Al TM steels (•), Cr-Mo TBF (\triangle , $T_{\text{IT}} = M_{\text{s}} - M_{\text{f}}$) [5] and TM steels (•) [26], Al-Nb TBF (\bigcirc , $T_{\text{IT}} = M_{\text{s}} - M_{\text{f}}$)) [46] and TM (•) steels [55], D-MMn (\square) [17] and M-MMn (\blacksquare) [24] steels with 1.5%Mn, 3%Mn, and 5%Mn, and SCM420 Q&T steel (•, $T_{\text{T}} = 200 \text{ °C}$ to 600 °C) [5,26].

3.3. Impact Toughness

Figure 7 shows the testing temperature dependence of the E_v in the 0Al and 1.2Al TM steels. The upper shelf E_v of the 1.2Al TM steel is slightly higher than that of the 0Al TM steel. In this case, the upper shelf E_v s of the 0Al and 1.2Al TM steels are approximately equal to the E_v s obtained at 25 °C. The DBTT of the 1.2Al TM steel is -85 °C and slightly higher than that (-94 °C) of the 0Al TM steel (Table 3).



Figure 7. Variations in Charpy impact absorbed energy or value (E_v) and percent of brittle fracture surface (PBFS) with testing temperature (*T*) in (**a**) 0Al and (**b**) 1.2Al TM steels.

Figure 8 shows the impact load-displacement (*P*- δ) curves of the 0Al and 1.2Al TM steels obtained by instrumental Charpy impact tests at 25 °C. It is found that the 1.2Al TM steel has lower crack/void initiation energy or value (*E*_i) and higher crack/void propagation energy or value (*E*_p) than the 0Al TM steel.



Figure 8. Impact load-displacement $(P-\delta)$ curves measured by instrumented Charpy impact tests at 25 °C in 0Al and 1.2Al TM steels. E_i : crack/void initiation energy or value, E_p : crack/void propagation energy or value, E_v : Charpy impact absorbed energy or value ($E_v = E_i + E_p$). Arrows denote the maximum impact load (P_{max}).

Figure 9 shows the relationships between TS and E_v at 25 °C and between TS and DBTT of the 0Al and 1.2Al TM steels, which are compared with those of other third-generation AHSSs. The product of TS and E_v (TS × E_v) at 25 °C is hardly changed by the partial replacement of Si by Al (Figure 9a). The TS × E_v s of the 0Al and 1.2Al TM steels are lower than those of Cr-Mo TBF and TM steels, Al-Nb TBF steels, and M-MMn steels, although they are far higher than those of SCM420 Q&T steel tempered at 200 °C to 600 °C. The product of TS and DBTT (TS × DBTT) of the 1.2Al TM steel is slightly higher than that of the 0Al TM steel (Figure 9b). It is much higher than those of Cr-Mo TBF steels, and SCM420 Q&T steel tempered at 300 °C to 600 °C.



Figure 9. (a) Relationship between tensile strength (TS) and Charpy impact absorbed energy or value (E_v) at 25 °C and (b) relationship between TS and 50% shear fracture ductile-to-brittle transition temperature (DBTT) in 0Al and 1.2Al TM steels (•), Cr-Mo TBF (\triangle , $T_{\text{IT}} = M_{\text{s}} - M_{\text{f}}$) [5] and TM steels (•) [26], Al-Nb TBF steels (\bigcirc , $T_{\text{IT}} = M_{\text{s}} - M_{\text{f}}$) [6], D-MMn (\square) [17] and M-MMn (•) [24] steels with 1.5%Mn, 3%Mn, and 5%Mn, and SCM420 Q&T steel (•, $T_{\text{T}} = 200$ to 600 °C) [5,26,27].

Figure 10 shows typical SEM images of impact-fracture surfaces of the 0Al and 1.2Al TM steels tested at 25 °C and -196 °C. The impact-fracture surfaces show typical ductile and brittle fractures, respectively. The ductile-fracture surface of 0Al TM steel consists of coarse and fine dimples. The coarse dimple path of the 0Al TM steel is nearly equivalent to the MA phase path. This fact means that a part of the coarse dimples initiates at the interface between the MA phase and the primary martensite. Note that the dimples on the fracture surface of the 1.2Al TM steel are finer, flatter, and more uniform than those of the 0Al TM steel. The dimpled area of the 1.2Al TM steel is equivalent to the prior austenitic grain one. In this case, the MA phase hardly contributes to initiating the coarse dimple. The effect of the MA phase on dimple fracture should be investigated in detail in the future because the dimple fracture is also affected by the morphology, size, and distribution of the MA phase.



Figure 10. Typical SEM images of the impact-fracture surface of 0Al and 1.2Al TM steels tested at 25 °C and -196 °C. (**a**,**b**) ductile (shear) fracture, (**c**,**d**) brittle (cleavage and/or quasi-cleavage) fracture.

The fracture surface tested at -196 °C of the 1.2Al TM steel is mixed by quasi-cleavage fracture with river pattern and cleavage fracture without river pattern, differing from that (only quasi-cleavage fracture) of the 0Al TM steel. It is noteworthy that the 1.2Al TM steel has a larger unit crack path ($L_{\rm C}$) on the cleavage and quasi-cleavage fracture surface, compared with the 0Al TM steel (Table 3).

4. Discussion

4.1. Primary Martensite and Retained Austenite Characteristics

First, the effect of the partial replacement of Si by Al on the softened primary martensite is discussed. In this study, the primary martensite (type S and type H) of the 1.2Al TM steel was characterized by a higher IQ index than that of 0Al TM steel (Figure 3e–h). In addition, the type S martensite fraction was higher than that of the 0Al TM steel. In general, the IQ index is mainly controlled by alloying element concentration and dislocation density in the structure. The total carbon concentration of retained austenite ($f\gamma_0 \times C\gamma_0$) was slightly higher than that of 0Al TM steel (Table 2). As the volume fraction of carbide in the 1.2Al TM steel was nearly the same as that in the 0Al TM steel, the carbon concentration of the primary martensite is estimated to be lower than that of the 0Al steel. The solid-solution hardening of Al (24 MPa/at.%) is about half that of Si (55 MPa/at.%) in Fe-C steel [56]. Therefore, the higher IQ index of the primary martensite of the 1.2Al TM steel may be associated with lower C and Si concentrations (or lower solid-solution hardening) and lower dislocation density (or lower dislocation hardening). Higher M_s of the 1.2Al steel may also contribute to a higher IQ index or softening of the primary martensite.

Next, the initially retained austenite characteristics of the 1.2Al TM steel are discussed. In this study, the initial carbon concentration of retained austenite ($C\gamma_0 = 0.54$ mass%) of the 1.2Al TM steel was higher than that (0.36 mass%) of the 0Al TM steel, although the volume fraction ($f\gamma_0 = 3.5 \text{ vol}\%$) was lower than that (4.3 vol.%) of the 0Al TM steel, as shown in Table 2. Such a result has been already reported by Imai et al. [46] and Sugimoto et al. [55], who investigated the effect of Al content on the retained austenite characteristics in the first-generation 0.2%C-2%Si-1.5%Mn and 0.2%C-2%Al-1.5%Mn TRIP-aided polygonal ferrite (TPF) steels and 0.2%C-(0.5–1.5)%Si-1.5Mn-(0.038–1.0)%Al TPF and TRIP-aided annealed martensitic (TAM) steels, respectively. Tian et al. [48] and Kaar et al. [38] also reported similar results in 0.22%C-1.82%Si-2.04%Mn-1.02%Cr-0.50%Al CFB steel and 0.2%C-1.5%Si/Al-4.0%Mn Q&P steel, respectively. They proposed that the increased carbon concentration is caused by the increased T_0 temperature. As an example, T₀ temperature calculated in terms of Thermo-Calc for Fe-C-1.5Si-1.5Mn and Fe-C-0.5Si-1.5Mn-1.0Al steels is shown in Figure 11 [55]. Note that the carbon concentrations of retained austenite (Table 2) in the 0Al and 1.2Al TM steels are very low compared with that at the T_0 temperature shown in Figure 11. This characteristic is a typical feature of TM steel because of insufficient carbon enrichment during the IT process at the low temperature after direct quenching, which leads to a large amount of MA phase [57].

Figure 12 shows the relationship between the *k*-value and $C\gamma_0$ in various thirdgeneration AHSSs including the 0Al and 1.2Al TM steels. In the 0Al and 1.2Al TM steels and Cr-Mo TM steels, the *k*-values decrease with increasing $C\gamma_0$. However, the *k*-values are much higher than those of Cr-Mo and Al-Nb TBF steels. This may be principally associated with the high flow stress of the matrix structure and lower $C\gamma_0$. It can be expected that further addition of 0.05% Nb to the 1.2Al TM steel can be very effective in increasing the volume fraction and carbon concentration of retained austenite by refining the prior austenitic grain as reported for 0.2C-1.0Si-1.5Mn-0.5Al-0.05Nb TBF and TM steels [46,58]. As shown in Figure 3i,j, the retained austenite size of the 1.2Al steel was larger than that of the 0Al steel. The increased size does not apparently seem to influence the *k*-value.



Figure 11. Phase diagram and T₀ temperature calculated in terms of Thermo-Calc for Fe-C-1.5Si-1.5Mn (black lines) and Fe-C-0.5Si-1.5Mn-1.0Al (red lines) steels [55].



Figure 12. Relationship between *k*-value and initial carbon concentration of retained austenite ($C\gamma_0$) in 0Al and 1.2Al TM steels (•), D-MMn (\Box) [17] and M-MMn (\Box) [24] steels with 1.5%Mn, 3%Mn, and 5%Mn, Cr-Mo TBF (\triangle , $T_{\text{IT}} = M_{\text{s}} - M_{\text{f}}$) [5] and TM steels (\blacktriangle) [26], and Al-Nb TBF steels (\bigcirc , $T_{\text{IT}} = M_{\text{s}} - M_{\text{f}}$) [5] and TM steels (\blacktriangle) [26], and Al-Nb TBF steels (\bigcirc , $T_{\text{IT}} = M_{\text{s}} - M_{\text{f}}$) [5] and TM steels (\bigstar) [26], and Al-Nb TBF steels (\bigcirc , $T_{\text{IT}} = M_{\text{s}} - M_{\text{f}}$) [6].

4.2. Relationship between Tensile and Microstructural Properties

According to Sugimoto et al. [4,33], true flow stress (true plastic strain), $\sigma_T(\varepsilon_T)$, of the AHSS containing the retained austenite of from 4 to 30 vol.% is formulated by

$$\sigma_{\rm T}(\varepsilon_{\rm T}) = \sigma^{\rm M}(\varepsilon_{\rm T}) + \Delta \sigma_{\rm h}(\varepsilon_{\rm T})$$
⁽²⁾

where $\sigma^{M}(\varepsilon_{T})$ and $\Delta\sigma_{h}(\varepsilon_{T})$ are the flow stress of the matrix structure and strain-hardening increment of the steel, respectively. The $\Delta\sigma_{h}(\varepsilon_{T})$ can be estimated by

$$\Delta \sigma_{\rm h} \left(\varepsilon_{\rm T} \right) = \Delta \sigma_{\rm i} \left(\varepsilon_{\rm T} \right) + \Delta \sigma_{\rm t} \left(\varepsilon_{\rm T} \right) + \Delta \sigma_{\rm f} \left(\varepsilon_{\rm T} \right) \tag{3}$$

where $\Delta \sigma_i (\varepsilon_T)$, $\Delta \sigma_t (\varepsilon_T)$, and $\Delta \sigma_f (\varepsilon_T)$ represent "the long-range internal stress hardening", "the strain-induced transformation hardening", and "the forest dislocation hardening" [59], respectively, which can be formulated by

$$\Delta \sigma_{\rm i} \left(\varepsilon_{\rm T} \right) = \{ (7 - 5\nu)\mu / 5(1 - \nu) \} f \cdot \varepsilon_{\rm p}^{\ u} \tag{4}$$

$$\Delta \sigma_{t} \left(\varepsilon_{T} \right) = g \left(\Delta f \alpha_{m} \right) \tag{5}$$

$$\Delta \sigma_{\rm f} \left(\varepsilon_{\rm T} \right) = \zeta \mu \left(\boldsymbol{b} \cdot \boldsymbol{f} \cdot \varepsilon_{\rm T} / 2r \right)^{1/2},\tag{6}$$

where ν is the Poisson's ratio, μ is the shear modulus, ε_p^{u} is "the eigenstrain" [60], f is the volume fraction of the second phase, g ($\Delta f \alpha_m$) is a function of the strain-induced martensite fraction, ζ is a material constant, b is the Burgers vector, and r is particle radius of the second phase.

Kobayashi et al. [26] and Sugimoto et al. [33] proposed that the MA phase mainly contributes to "the long-range internal stress hardening", with a small contribution to "the strain-induced transformation hardening" by a small quantity of retained austenite in the Cr-Mo TM steels. The matrix structure (primary martensite) in the 1.2Al TM steel was supposed to have lower "solid solution hardening" and "forest dislocation hardening", compared to that of the 0Al TM steel. As the 1.2Al TM steel has nearly the same volume fraction of MA phase as the 0Al TM steel (Table 2), the low flow stress and *n* value are considered to be mainly associated with lower flow stress of the matrix (primary martensite) due to a higher Al concentration (or lower Si concentration) and a lower retained austenite fraction than those of the 0Al TM steel. Naturally enough, the low *n*-value results in small UEL and TEl. The long-range internal stress hardening increases the flow stress and *n* value, but the contribution is considered to be relatively small, compared to the negative contribution of the solid-solution hardening, in the 1.2Al steel. According to Sugimoto et al. [57] and Pham et al. [53], the volume fraction of carbide hardly influences the tensile properties of low-carbon TM steels because it is a very small quantity.

As shown in Figure 13a, the TS × TEl increases with increasing the initial volume fraction of retained austenite in the 0Al and 1.2Al TM steels, Cr-Mo TBF and TM steels, Al-Nb TBF steels, and D-MMn and M-MMn steels. In addition, it increases with decreasing *k*-value (or increasing mechanical stability of retained austenite) except for those of D-MMn and M-MMn steels (Figure 13b). Therefore, a decrease in TS × TEl of the 1.2Al TM steel may be caused by the decreased retained austenite fraction (or the decreased strain-induced transformation hardening), as well as the low flow stress. As shown in Figure 13b, the TS × TEls of D-MMn and M-MMn steels are much larger than those of Cr-Mo TM and Cr-Mo and Al-Nb TBF steels under the same *k*-value. This indicates that the initially retained austenite fraction dominantly contributes to the TS × TEl in the D-MMn and M-MMn steels because they linearly increase with the initial volume fraction of retained austenite. Such a mechanism is different from one of the Cr-Mo and Al-Nb TBF steels, in which high TS × TEls are brought from the bainitic ferrite structure matrix and a large amount of volume fraction and high mechanical stability of retained austenite.



Figure 13. (a) TS × TEl- $f\gamma_0$ and (b) TS × TEl-k-value relations in 0Al and 1.2Al TM steels (•), D-MMn (\Box) [17], and M-MMn (\Box) [24] steels with 1.5%Mn, 3%Mn and 5%Mn, Cr-Mo TBF (\triangle , $T_{\text{IT}} = M_{\text{s}} - M_{\text{f}}$) [5] and TM steels (**\)**] [26], and Al-Nb TBF (\bigcirc , $T_{\text{IT}} = M_{\text{s}} - M_{\text{f}}$) [46] steel.

Unfortunately, the TS \times TEl of the 1.2Al TM steel was reduced compared to that of the 0Al TM steel (Figures 6 and 13). However, it can be enhanced by further addition of 0.05 mass% Nb which refines the prior austenitic grain size and increases the initial retained austenite fraction [58]. As with the other microalloying elements, the complex addition of Cr and P is also recommended because these elements increase the hardenability [26] and the solid solution hardening [40], respectively.

4.3. Relationship between Impact Toughness and Microstructural Properties 4.3.1. E_v at 25 °C (Upper shelf E_v)

Kobayashi et al. proposed the ductile fracture mechanism of Cr-Mo TM steels subjected to the impact test (Figure 14a) [26]. According to them, (i) a softer primary martensite matrix structure, (ii) a larger amount of stable retained austenite, and (iii) a moderate amount, hardness, and size of the MA phase increase the E_v . In this case, most of the deep voids originate at the interface of the MA phase and the primary martensite. Thus, the MA phase plays a role in forming the coarse dimples and resultantly suppressing the void connection by fine dimples. Fine and filmy retained austenite in the MA phase suppresses the void formation through the relaxation of localized stress concentration on train-induced martensite transformation. The ductile fracture of the 0Al TM steel was followed by the ductile fracture mechanism shown in Figure 14a.



Figure 14. Illustration showing (**a**,**c**) ductile fracture and (**b**,**d**) brittle fracture of 0Al and 1.2Al TM steels appeared after impact tests. L_C , L_{MA} , α_m , α_m^* , MA, θ , and γ_R represent a unit crack path of the cleavage or quasi-cleavage fracture, MA phase size, primary martensite, secondary martensite, MA phase, carbide, and retained austenite, respectively. (**a**,**b**) are modified on the basis of Ref. [26].

The 1.2Al TM steel exhibited a ductile fracture surface with finer, flatter, and more uniform dimples (Figure 10b). In addition, the fine dimple area was equivalent to the prior austenitic grain size. The microstructure of the 1.2Al TM steel was characterized as follows.

- The volume fraction and size of the MA phase were nearly the same as those of the 0Al TM steel (Table 2, Figure 3a,b);
- The primary martensite was softened in comparison with that of 0Al TM steel. The volume fraction of the primary type S martensite increased compared to the 0Al TM steel, with a decrease in the primary type H martensite fraction (Figure 3e-h);
- The secondary martensite was also softened in comparison with that of 0Al TM steel (Figure 3g,h).

From these facts, the ductile fracture behavior of the 1.2Al TM steel can be illustrated as shown in Figure 14c. Namely, fine dimples mainly initiate in the primary type S martensite and pass through the primary type S and type H martensite. In this case, the MA phase

hardly contributes to the dimple fracture, different from the 0Al TM steel. As shown in Figure 8, the 1.2Al TM steel exhibited a lower E_i and a higher E_p than 0Al TM steel. Thus, the low E_i of the 1.2Al TM steel may be caused by the void formation in the primary martensite with low flow stress. On the other hand, the high E_p may be related to the difficult void connection passing through the primary type S and the type H martensite.

Next, the role of retained austenite characteristics on the E_v at 25 °C is discussed. Figure 15 shows the relationships between the TS × E_v and $f\gamma_0$ and the TS × E_v and k-value in the various third-generation AHSSs including the 0Al and 1.2Al TM steels. The TS × E_v s of the 0Al and 1.2Al TM steels and the other third-generation AHSSs increase with increasing $f\gamma_0$ and decreasing k-value (or increasing mechanical stability of retained austenite). This indicates that an increase in the retained austenite fraction increases the E_v of the 1.2Al TM steel through the relaxation of stress concentration by the strain-induced martensite transformation in the same way as the 0Al TM steel and the conventional third-generation AHSSs. However, the contribution is relatively small because of the small quantity of retained austenite, compared with the high contribution of the softened primary martensite.



Figure 15. (a) TS × $E_v - f\gamma_0$ and (b) TS × $E_v - k$ -value relations in 0Al and 1.2Al TM steels (•), D-MMn (□) [17] and M-MMn (■) [24] steels with 1.5%Mn, 3%Mn, and 5%Mn, Cr-Mo TBF (\triangle , $T_{\text{IT}} = M_s - M_f$) [5] and TM steels (▲) [26], and Al-Nb TBF steels (\bigcirc , $T_{\text{IT}} = M_s - M_f$) [6].

4.3.2. DBTT

According to Kobayashi et al. [26], the DBTTs of Cr-Mo TM steels are also lowered by (i) a softer primary martensite matrix structure, (ii) a larger amount of stable retained austenite, and (iii) a moderate amount, hardness, and size of MA phase in the same way as a ductile fracture. A role in the (iii) is particularly important to decrease the DBTT of the TM steel, compared with the TBF, Q&P, and CFB steels, because a large amount of relatively hard and large MA phase decreases the L_C of quasi-cleavage crack and consequently suppresses the quasi-cleavage crack initiation and propagation in the TM steel (Figure 14b). The brittle fracture behavior of the 0Al steel obeys this mechanism because the MA phase played in decreasing the L_C of the quasi-cleavage crack (Table 3).

Kunitake et al. [61] proposed that the DBTT is correlated with the $L_{\rm C}$, as given by the following equation, in (0.15–0.25)%C-(0.25–0.30)%Si-(1.0–2.5)%Mn-(0.5–1.0)%Cr-0.5%Mo-(0–0.0023)%B steels with the microstructures of bainitic and martensitic structure and tempered bainitic/martensitic structure. In this case, the tempered bainitic/martensitic steels exhibited lower DBTTs than the bainitic and martensitic steels.

$$DBTT \propto -\ln L_{\rm C}^{-1/2}.$$
 (7)

Figure 16 shows the DBTT and $L_{\rm C}^{-1/2}$ relation in various third-generation AHSSs, including the 0Al and 1.2Al TM steels. In the figure, lines (1) and (2) show the DBTTs of the above-mentioned bainitic/martensitic steels and tempered bainitic/martensitic steels, respectively [61]. The DBTT of the 1.2Al TM steel is on line (2), although the DBTT of the 0Al TM steel is between lines (1) and (2). Thus, the high DBTT of the 1.2Al TM steel may be mainly

caused by the increased $L_{\rm C}$, although the above (i) and (ii) also play a role in lowering the DBTT. As shown in Figure 3e–h, the primary martensite of the 1.2Al TM steel was softened, compared to the 0Al TM steel. The softened primary martensite may change a part of the quasi-cleavage fracture (Figure 10c) into the cleavage fracture (Figure 10d). Resultantly it plays a role in increasing the $L_{\rm C}$. In this case, a role in the MA phase to disturb the crack propagation may be relatively small because the cleavage crack mainly initiates in the primary type S martensite and avoids passing through the MA phase. A small amount of stabilized retained austenite may play a role in lowering the DBTT, as reported by Kobayashi et al. [26]. The DBTT of the 1.2Al TM steel was much lower than those of 3Mn and 5Mn D-MMn and M-MMn steels (Figure 16). This may be associated with the higher mechanical stability of retained austenite and the cleavage fracture stress of the 1.2Al TM steel [17,24].



Figure 16. Relationship between 50% shear fracture ductile–brittle transition temperature (DBTT) and a unit crack path ($L_{\rm C}$) of the quasi-cleavage fracture in 0Al and 1.2Al TM steels (•), Cr-Mo TBF (\triangle , $T_{\rm IT} = M_{\rm s} - M_{\rm f}$) [5] and TM (\blacktriangle) [26] steels, D-MMn (\square) [17] and M-MMn (\blacksquare) [24] steels with 1.5%Mn, 3%Mn, and 5%Mn, and SCM420 Q&T steel (\blacklozenge , $T_{\rm T} = 200$ °C and 300 °C) [5,26]. Lines (1) and (2) are DBTT– $L_{\rm C}^{-1/2}$ ones of (0.15–0.25)%C-(0.25–0.30)%Si-(1.0–2.5)%Mn-(0.5–1.0)%Cr-0.5%Mo-(0–0.0023)%B bainitic/martensitic steels and tempered bainitic/martensitic steels, respectively [61].

Finally, further addition of 0.05% Nb to the 1.2Al TM steel can be expected to enhance the E_v and lower the DBTT in the 1.2Al TM steel because of the refining of prior austenitic grain size and the increase in the retained austenite fraction in 0.2%C-1.0%Si-1.5%Mn-0.5%Al TBF and TM steels [58]. The additional research is waiting.

5. Conclusions

This research investigated the effects of the partial replacement of Si by Al on the microstructure, tensile properties, and impact toughness using 0.2%C-Si/Al-Mn-Cr-B TM steels to promote the application of galvanized third-generation ultrahigh- and high-strength steels. Obtained results are summarized as follows.

- (1) The partial replacement of Si by Al decreased the retained austenite fraction and increased the austenite's mechanical stability. The primary martensite was softened by the partial replacement, although the primary type S martensite fraction was increased with a decrease in the primary type H martensite fraction. The partial replacement hardly changed the volume fractions of the MA phase and carbide;
- (2) The partial replacement of Si by Al decreased the YS, TS, UEI, TEI, and TS × TEI. This was mainly associated with the reduced solid-solute hardening of the primary martensite, although the TRIP effect of a small amount of stabilized retained austenite slightly contributed;
- (3) The partial replacement of Si by Al slightly increased the E_v at 25 °C or upper shelf E_v , although it hardly changed the TS $\times E_v$. The increased E_v was mainly caused by high crack/void propagation energy due to the softened primary martensite and a small contribution of the stabilized retained austenite, with the decreased crack/void

initiation energy. In this case, the MA phase hardly took part in the dimple fracture, differing from the 0Al TM steel;

(4) The partial replacement of Si by Al marginally raised the DBTT. The raised DBTT of the 1.2Al TM steel may be mainly caused by the increased L_C due to the existence of cleavage fracture resulting from the softened primary martensite and crack pathways avoiding the MA phase.

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Nomenclature

AHSS	advanced high-strength steel	TRIP	transformation-induced plasticity
TBF	TRIP-aided bainitic ferrite	Q&P	quenching and partitioning
CFB	carbide-free bainite	TM	TRIP-aided martensite
D-MMn	duplex type medium Mn	L-MMn	laminate type medium Mn
Q&P-MMn	Q&P type medium Mn	M-MMn	martensite type medium Mn
TPF	TRIP-aided polygonal ferrite	TAM	TRIP-aided annealed martensite
IT	isothermal transformation	Ac_3	austenite-finish temperature
Ac_1	austenite-start temperature	$M_{\rm s}$	martensite-start temperature
$M_{ m f}$	martensite-finish temperature	T_0	critical temperature
$T_{\rm IT}$	IT temperature	T_{T}	tempering temperature
$\gamma_{\rm R}$	retained austenite	α _m	primary martensite
α_m^*	secondary martensite	MA	complex phase of α_m^* and γ_R
θ	carbide	$f\gamma_0$	initial volume fraction of γ_R
fγ	The volume fraction of γ_R	$f\alpha_{\rm m}$	primary martensite fraction
f _{MA}	MA phase fraction	f _θ	carbide fraction
PAGB	prior austenitic grain boundary	d	prior austenitic grain size
$C\gamma_0$	initial carbon concentration of γ_R	Сү	carbon concentration of γ_R
k	strain-induced transformation factor (meaning mechan	nical stabilit	y)
σ	engineering stress	ε	engineering strain
σ_T	true flow stress of steel	ε_{T}	plastic strain
σ^{M}	flow stress of matrix	$\Delta \sigma_{\rm h}$	strain hardening increment
$\Delta \sigma_{\rm i}$	long-range internal stress	$\Delta \sigma_{\rm t}$	transformation hardening
$\Delta \sigma_{\mathrm{f}}$	forest dislocation hardening	ν	Poisson's ratio
μ	shear modulus	f	volume fraction of the second phase
ε_{p}^{u}	eigenstrain	$\Delta f \alpha_{\rm m}$	strain-induced martensite fraction
ζ	material constant	b	Burgers vector
r	particle radius of second phase	YS	yield stress
TS	tensile strength	UEl	uniform elongation
TEl	total elongation	RA	reduction of area
HV	Vickers hardness	п	strain hardening exponent
E_{v}	Charpy impact absolute energy or value $(=E_i + E_p)$	PBFS	percent of the brittle fracture surface
Ei	crack/void initiation energy or value	Ep	crack/void propagation energy or value
Р	impact load	$P_{\rm max}$	maximum impact load
s	displacement	DRTT	50% shear fracture ductile-to-brittle
U	displacement	DDII	transition temperature
Lc	unit crack path of quasi-cleavage or cleavage fracture	L_{MA}	size of MA phase

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