

Review

# Micro-LEDs, a Manufacturability Perspective

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**Abstract:** Compared with conventional display technologies, liquid crystal display (LCD), and organic light emitting diode (OLED), micro-LED displays possess potential advantages such as high contrast, fast response, and relatively wide color gamut, low power consumption, and long lifetime. Therefore, micro-LED displays are deemed as a promising technology that could replace LCD and OLED at least in some applications. While the prospects are bright, there are still some technological challenges that have not yet been fully resolved in order to realize the high volume commercialization, which include efficient and reliable assembly of individual LED dies into addressable arrays, full-color schemes, defect and yield management, repair technology and cost control. In this article, we review the recent technological developments of micro-LEDs from various aspects.

**Keywords:** micro-LEDs; monolithic fabrication; mass transfer; full-color display

## 1. Introduction

Owing to the fact that III-nitride-based light-emitting diodes (LEDs) are more efficient, reliable, and have longer life span in comparison to traditional lighting sources, such as incandescent and fluorescent bulbs, they are increasingly being employed as an energy-saving solution for various applications, among which are general lighting, automobile, signal, and backlighting in displays. Conventionally, to provide sufficient light power for general lighting, lateral dimensions of LED chips are designed to be larger than  $200\ \mu\text{m} \times 200\ \mu\text{m}$ . However, various emerging display applications, including wearable devices, head-mounted, and large-area displays require miniaturized chips composed of arrays of micro-scale LED elements (micro-LED) with high density with the lateral dimension down to less than  $100\ \mu\text{m} \times 100\ \mu\text{m}$  [1–5]. Due to the benefits provided by miniaturization, micro-LEDs are considered to possess great potential in multi-site neuron stimulation [6,7], miniaturized optoelectronic tweezers [8], optical cochlear implants [9], and mask-free lithography [10]. Additionally, a short differential carrier lifetime resulting from reduced capacitance and increased current density in micro-LEDs makes it also an appealing candidate for high-speed visible light communications (VLC) [11–14].

Today, the mainstream flat panel displays (FPDs) are dominated by liquid crystal display (LCDs) and organic light emitting diodes (OLEDs). Traditional LCD display requires LED backlight to emit light through a matrix of liquid crystal to generate images, and it suffers from the issues of high power consumption, slow response time, low viewing angle, and low uniformity. Unlike LCDs, OLED displays are self-emissive, and each pixel can be switched on and off individually. However, due to its inorganic nature, it has limitations in brightness and stability. Compared with them, micro-LED displays possess potential advantages such as high contrast, fast response, and relatively wide color gamut, low power consumption, and long lifetime. The prospects of a wide range of applications of micro-LEDs have attracted a large number of manufactures, startups, and academic

researchers to establish programs in the field. Setting the display applications aside, since the early 2000s, micro-LEDs have been investigated to improve the luminescence efficiency for high-intensity lighting motivated by their relatively high light extraction efficiency, better current spreading, and lower self-heating effect [15–18]. In recent years, tens of companies around the world have been involved in the micro-LED technology. For instance, Sony demonstrated its first 55" full-HD micro-LED TV panel in 2012, which featured six million micro-LEDs. Compared with the existing Sony LCD and plasma displays, the micro-LED prototype features 3.5 times higher contrast ratio, 1.4 times wider color gamut, and 10 times faster response time. In 2018, Sony released its micro-LED crystal-LED "CLEDIS" integrated display with micro-LED chip size of 0.003 mm<sup>2</sup>, which has a contrast ratio of over 1,000,000:1 and a black surface area of over 99%. CEA-Leti, a technology research institute in France, demonstrated its prototype wide video graphic array (WVGA) micro-display with 10 μm pixel pitch in 2017, which is based on the patterning of monochrome (blue or green) GaN micro-LED arrays and hybridizing with complementary metal-oxide-semiconductor (CMOS) circuit. In 2018, PlayNitride demonstrated two full-color micro-LED prototypes, one is a 0.89 inch 64 × 64 panel with 105 pixel per inch (PPI) resolution, and another is a 3.12 inch 256 × 256 panel with 116 PPI resolution. AU Optronics Corp. has demonstrated 12.1 inch full-color micro-LED display with a 169 PPI and 1920 × 720 resolution achieved by micro-LEDs with pixel size less than 30 microns. X-Celeprint has demonstrated both passive and active matrix full-color micro-LED displays. In 2018, a 5.1 inch full-color micro LED display with active-matrix switching, which was made of 8 × 15 micron red, green, and blue LEDs with a 70 PPI was presented. However, high volume commercialization of micro-LED displays has not yet been reported.

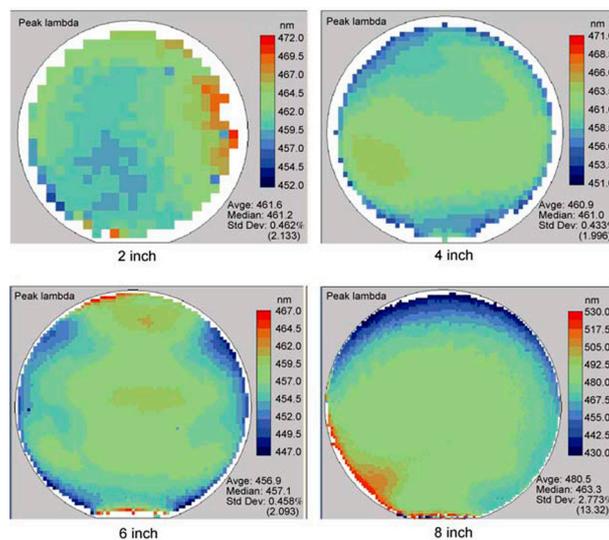
While the science is clear, new technical challenges arise when the chip size shrinks and chip density expands. To conquer the related challenges, new techniques have been developing from the ongoing investigations. There are a few recent review papers that have addressed the status of micro-LED landscape, but have not sufficiently addressed the technological developments [1,19]. In this article, we overview the techniques in the key areas including mass transfer, monolithic fabrication, and full-color realization. Current bottlenecks and likelihood of overcoming them are also discussed.

## 2. Epitaxy and Chip Processing

The main requirements on the epitaxial growth aspects of GaN-based micro-LEDs are the wavelength and drive current homogeneity across the wafer and low defect density. Both Aixtron and Veeco, two major manufacturers of deposition systems, have articulated their approaches to address the particular needs for epitaxy of micro-LEDs [20,21]. Aixtron's Planetary Reactor® technology uses Gas Foil Rotation® for each wafer disks to enable uniform growth across an individual wafer and a second rotation to improve wafer-to-wafer uniformity [20]. By incorporating a Cl<sub>2</sub> in situ cleaning process between each run and cassette-to-cassette wafer automation, a density of defects (with a size larger than 1 μm) as low as ~0.1 defects/cm<sup>2</sup> has been achieved. Veeco's vertical-rotating disc TurboDisc™ technology provides high 14 × 6" capacity with low defectivity and high uniformity [21]. A defectivity yield (percentage of killer defect number ≤ 1 per Epi donor field) above 90% has been demonstrated for applications in smartphones and TVs, respectively.

Desire for large diameter sapphire substrates to meet the needs of large-sized monolithic micro-displays brings about some difficulties due to increased bowing induced by the large thermal and lattice mismatches with the LED epilayer structure [22,23]. The primary problem associated with wafer bowing is non-uniformity of the wafer surface temperature resulting in the spatially non-uniform distribution of the indium molar fractions in the InGaN/GaN MQWs over the wafer. A temperature non-uniformity of 1 °C could induce a wavelength variation of 1.8 and 2.5 nm in blue and green LEDs, respectively [24]. Wafer bowing also causes issues during the device fabrication process, such as wafer cracking and degradation of device homogeneity in dry etching and other like processes. To deal with these challenges, thicker substrates, optimized wafer holder designs, control of the coalescence stage,

insertion of strain-compensation layers, and internally focused laser treatment, have been employed and or investigated [23–27]. For example, Lu et al. have shown that photoluminescence (PL) peak wavelength uniformity of about 2 nm in standard deviation can be obtained in 2, 4, and 6 inch wafers by using proper wafer pocket design, except for the eight inch wafer, for which the pocket design needs to be improved, as shown in Figure 1. In another study, Aida et al. have achieved reduction of the bowing in both GaN-on-sapphire and GaN-on-silicon substrates by stress implantation using an internally focused laser processing [27]. Due to the opposite bowing direction, laser-induced stress was implanted near the backside surface of the sapphire substrate to compensate for the strain generated by the GaN/sapphire system, while it was implanted near the interface between the GaN and silicon to reduce the bow of the GaN-on-silicon substrate.

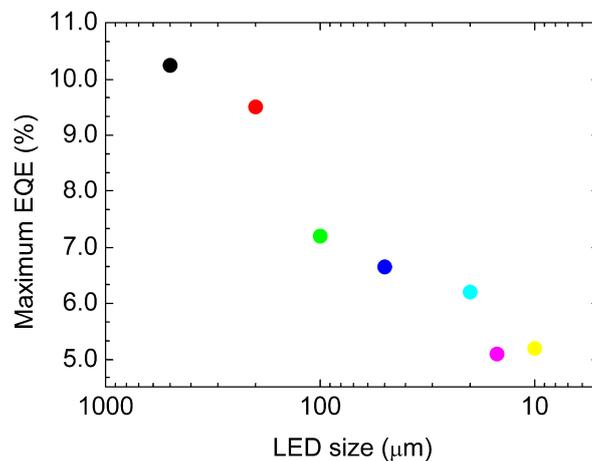


**Figure 1.** PL peak wavelength uniformity map for 2, 4, 6, and 8 inch wafers with zero edge exclusion. Their PL uniformity is very comparable, all around 2 nm in standard deviation except the eight inch wafer due to non-optimized wafer pocket design [23]. Reprinted by permission from Springer Nature, Copyright (2011).

Uniformity in LED pixel electrical parameters such as forward current-voltage characteristics is also a very important factor for high quality displays. Optimized driving circuits, n- and p-electrode structures, and optical designs have been developed to minimize, if not totally eliminate, any electrical non-uniformity [28]. For example, in micro-LED arrays with a shared common n-electrode and individually addressable p-electrodes, non-uniform operating currents at the same applied voltage for each micro-LED occurs due to variation in series resistances arising from different distances between the common n-electrode and the respective micro-LEDs [29]. To minimize series-resistance difference arising from varying conductive paths, a configuration using a common p-electrode with individually addressable n-electrodes has been developed, which mitigates the problem somewhat as the conduction through n-GaN is less resistive. Such a reversed electrode structure also makes the array compatible with an n-type metal-oxide-semiconductor transistor-based CMOS driver for faster modulation.

Reduction in the size of micro-LEDs and spacing between devices can cause problems in chip processing and deteriorate LED performance. One important problem is the degradation of the quantum efficiency and homogeneity due to sidewall effect related to high density of surface defects caused during device processing, which leads to degraded electrical injection in the p-GaN [5,30]. Acting as non-radiative centers, the surface defects on the sidewalls significantly degrade the internal quantum efficiency of the micro-LEDs. A decrease in maximum external quantum efficiency (EQE) from ~10% to ~5% with reduction in chip size from above  $500 \mu\text{m} \times 500 \mu\text{m}$  to  $10 \mu\text{m} \times 10 \mu\text{m}$  has been reported for micro-LEDs, as shown in Figure 2 [31]. The effect of size-reduction on the

performances is more noticeable for smaller LEDs with larger perimeter-to-area ratios. For LEDs with the smallest dimensions (below 5  $\mu\text{m}$ ), the peak quantum efficiency was reported to be below 10% or even 1% [19]. Therefore, processing technologies to minimize sidewall damage and avoid, if not totally eliminate, degradation of electrical injection are needed. Chen et al. have reported that in pyramidal micro-LEDs, a  $\text{SiO}_2$  leakage current confinement layer can decrease the reverse leakage current by two orders of magnitude, and the light output of the micro-LEDs can be improved by 115% averagely [32]. Wong et al. have demonstrated that using atomic-layer deposition (ALD) of  $\text{SiO}_2$  for sidewall passivation, size-dependent factors on peak EQE of micro-LEDs can be minimized [33]. Enhancement in light extraction and reduction in leakage current caused by surface recombination and sidewall damage led to an EQE of 33% for 20  $\mu\text{m} \times 20 \mu\text{m}$  micro-LEDs with ALD passivation, compared to 24% without sidewall passivation. By changing mesa etching process formation between p-contact and hybridization pads into a softer plasma and changing p-contact metal from Ni-based to Ag-based, Olivier et al. have improved the EQE of 10  $\mu\text{m}$  pixel pitch micro-LEDs from 4.8% to 6.8%, and achieved a brightness of  $10^7 \text{ cd/m}^2$  [5]. Hwang et al. have reported that high EQEs may be maintained when decreasing the chip size from 100  $\mu\text{m} \times 100 \mu\text{m}$  to 10  $\mu\text{m} \times 10 \mu\text{m}$ , with peak EQEs of 48.6 and 40.2%, respectively [30].



**Figure 2.** Effect of size-reduction on the maximum EQE of GaN-based micro-LEDs. Data compiled from reference [31].

### 3. Assembly Technologies

Two different approaches have been utilized to assemble displays constructed from individual micro-LED dies. The first one is based on mass transfer, i.e., the so called pick-and-place approach, which includes picking up each individual micro-LED, and precisely positioning it onto the backplane, followed electrically connecting it to the driver IC. This approach allows realization of LED displays in which the active LED area occupies only a small portion of the total area. It can be used for building large-size displays ranging from several inches to tens of inches (such as TV, smartphones, and tablets), which are referred to as direct-view displays [34]. Alternatively, to avoid the complicated pick-and-place mass transfer process, a variety of monolithic fabrication methods have been proposed in order to realize micro-LED displays. Due to the limitation in wafer size used for the growth of LEDs, the monolithic integration approach can only be used for building relatively small-size displays such as near-to-eye projectors and smart watches, which are referred to as micro-LED micro-displays [34].

#### 3.1. Mass Transfer Technologies

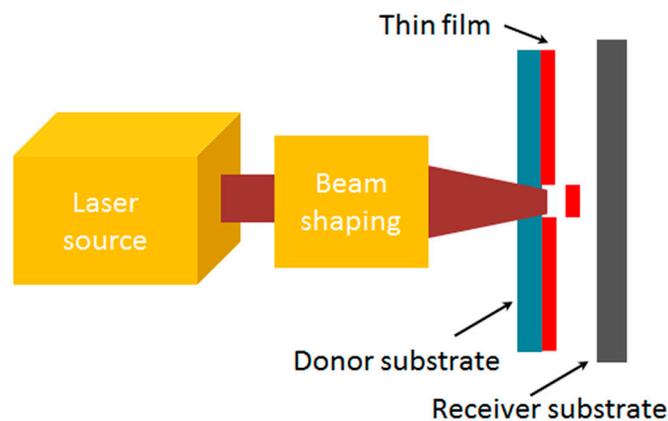
Traditional pick and place equipment via mechanical manipulation fails to transfer millions of LED dies in a cost-effective manner. In recent years, many companies have devoted considerable effort

to overcome this problem by exploring a number of approaches involving of laser, electrostatic, or electromagnetic, van der Waals forces, or fluidic forces, as summarized in Table 1.

**Table 1.** Some mass transfer technologies.

Methods	Company/Institute	Force/means	Transfer rate
Laser lift-off	Optovate/Uniqarta	Laser	1000 per shot/100 million per hour
Fluidic assembly	Foxconn(eLux)	Gravity and capillary	50 million per hour
Electrostatic array	Apple (LuxVue)	Electrostatic	(Not found)
elastomer stamp	X-Celeprint	van der Waals	1 million per hour
Roll-to-roll	KIMM	Roll stamp	10,000 per sec

Laser-induced forward transfer is a mass transfer process which uses a laser beam to induce the separation of the micro-LEDs from their carrier substrate and then transfer them to a receive substrate, as shown in Figure 3 [35]. The irradiation with a laser beam results in the light-matter interaction at the interface between the carrier substrate and the dies, with the result that the dies are detached from the substrate and, at the same time, generates a local mechanical force to push the dies toward the receive substrate. The interfacial interaction can be the same as that used in laser lift-off process of GaN LEDs from the sapphire substrate, in which a thin GaN layer (~10 nm) is ablated at the substrate epi interface and decomposed into nitrogen gas and liquid Ga. It may also be implemented via using a temporary substrate with a polymer adhesive serving as the interfacial layer, which decomposes when irradiated with a laser beam. It has been reported that the Laser-induced forward transfer technology enables some 1000 chips to be transferred per laser shot. Optovate, a British optic tech company, has demonstrated its patterned laser lift-off (p-LLO) transfer technology to transfer blue micro-LEDs from a sapphire wafer to a receive substrate. Val R. Marinov has reported that a transfer rate above 100 million per hour can be achieved using the massively parallel laser-enabled transfer (MPLET) technology [36].

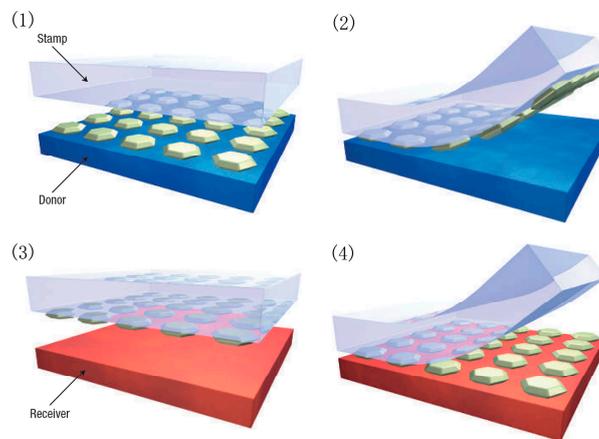


**Figure 3.** Schematic of laser-induced forward transfer (LIFT) process. Adapted from reference [35], Copyright 2016, with permission from Elsevier.

Fluidic assembly via gravity and capillary forces to drive and capture the micro-LEDs on to the array of the driver ICs has also been investigated for mass transfer of LED chips [37,38]. The self-assembly is conducted in a fluid, such as isopropanol, acetone or distilled water. The fluid suspends and lets the LEDs move across the surface of the receive substrate till they reach the open receptor sites where they are captured. Once positioned, the anode and cathode electrodes of the micro-LEDs can then be bonded with the driver ICs for electrical connections. eLux, a Foxconn-owned company, has been developing its fluidic assembly methods for massive parallel assembly of micro-LEDs [39]. It was reported that a fill (transfer) rate over 50 million devices per hour can be achieved.

LuxVue, an Apple Co.-owned company, has developed a transfer technology that operates in accordance with the electrostatic principle, which uses the attraction of opposite charges to pick up micro-LEDs [40]. The process consists of picking up an array of micro-LEDs from a carrier substrate with an array of electrostatic transfer heads, which is applied with a pull-in voltage from the working circuitry to generate a grip pressure, contacting the micro-LEDs and transferring heat from the head and liquefying the bonding layer on the receive substrate, and bonding the array to the receiving substrate and finally release the micro-LEDs.

X-Celeprint has developed an elastomer stamp micro-assembly technology for mass transfer of micro-LEDs, as shown in Figure 4 [41]. The process starts with preparation of a native wafer substrate that supports the fully formed, organized arrays of micro-LEDs. Then a soft elastomeric stamp is brought into contact with the micro-LEDs. With a sufficiently high peel velocity, the micro-LEDs are attached on to the stamp and lifted away from the native substrate due to the rate-dependent adhesion forces, which are dominated by van der Waals interactions. Then, with a sufficiently low peel velocity, the micro-LEDs are released from the stamp and preferentially adhered to the receiving substrate.



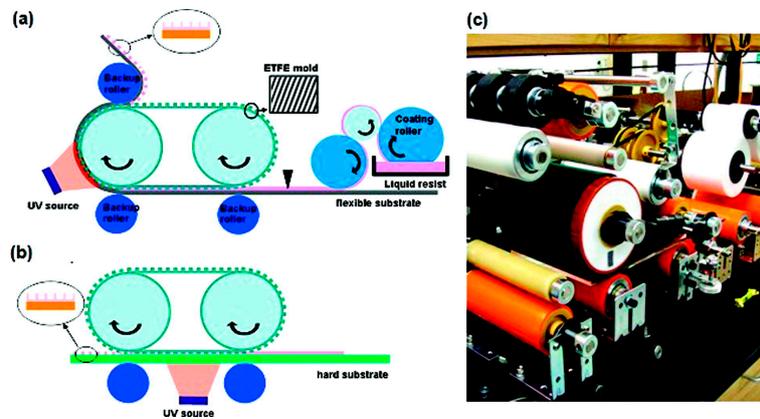
**Figure 4.** Schematic illustration of the generic process flow for elastomer stamp micro-assembly. (1) Prepare donor substrate; apply rubber stamp; (2) quickly peel-back stamp; grab objects off of donor; (3) apply inked stamp to receiving substrate; and (4) slowly peel-back stamp; print objects onto receiver. Reprinted/adapted by permission from Springer Nature: Nature materials, reference [41]. Copyright (2009).

In another approach, a roll-to-plate transfer process has been developed by the Korean Institute of Machinery and Materials (KIMM) [42,43], which can be used to transfer micro-LEDs with a chip size and a chip thickness below 100  $\mu\text{m}$  and 10  $\mu\text{m}$ , respectively, as shown in Figure 5. The process can provide a transfer rate of up to 10,000 devices per second for flexible, stretchable and lightweight displays. The whole process consists of three roll transfer steps. The first step is to pick up and place the array of control TFTs on to a temporary substrate by roll stamp coated with disposable transfer film. Then in the second step, micro-LEDs are lifted off from their support substrate to be located onto the temporary substrate and connected with the TFTs by solder bonding. In the final step, the array of the interconnected micro-LED+TFTs is roll-transferred onto a target substrate to form an active matrix micro-LED display.

### 3.2. Monolithic Fabrication of Micro-Displays

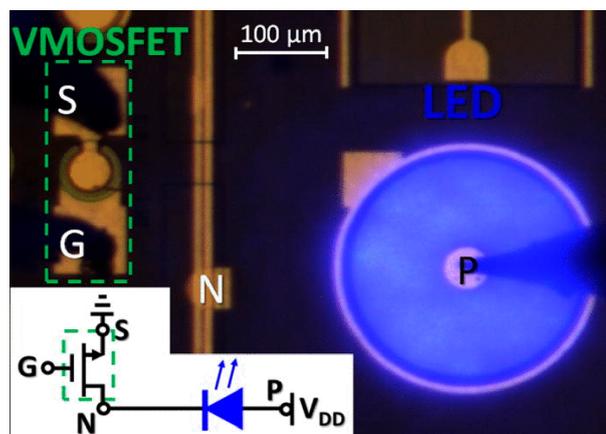
Simply, the monolithic methods can be divided into two categories, i.e., fully monolithic integration and monolithic hybrid. In conventional display technologies, such as LCDs or OLEDs, the processing temperatures for the emission elements are typically below 400  $^{\circ}\text{C}$ , which can be withstood by the CMOS-based driver ICs. Therefore, fully monolithic integration via direct process of emission elements on the driver ICs is not problematic. However, the growth temperatures of

III-nitride LEDs are typically above 900 °C. It is impossible to grow and process LEDs directly on top of backplane with conventional ICs. Additionally, some new fully monolithic methods have been proposed and investigated.



**Figure 5.** Schematics of (a) roll-to-roll nanoimprint lithography (R2RNIL) and (b) roll-to-roll nanoimprint lithography (R2PNIL) process. (c) Photograph of a six-inch-capable R2R/R2PNIL apparatus [38]. Copyright 2009 American Chemical Society.

Since III-nitrides are excellent materials for both LEDs and high-frequency and high-power transistors, fully monolithic active matrix micro-displays can be realized by the integration of individual GaN-based LED driven by a GaN-based transistor for each pixel. Lau et al. have reported monolithic integration of InGaN/GaN light emitting diodes (LEDs) with AlGaIn/GaN heterojunction field effect transistors (HFETs) [44] or vertical metal-oxide-semiconductor field effect transistor (VMOSFET) drivers [45], by selective growth of HFET or VMOSFET structures on the LED epilayers, as shown in Figure 6. Alternatively, lateral integration via selective removal of the HFET structure followed by selective growth of the LED structure on top of the exposed GaN buffer layer [46] or selective removal of the LED structure followed by selective growth of the metal-oxide-semiconductor field effect transistor (MOSFET) on top of the exposed n-GaN layer [47] have also been reported. Although it has been demonstrated that the performance of the integrated single LED-transistor chip is comparable to discrete LEDs and transistors [44] fabrication of micro-LED displays using this approach has not yet been reported.



**Figure 6.** Optical micrograph of the monolithically integrated VMOSFET-LED device. Reprinted from reference [45], with the permission of AIP Publishing.

Si-based TFT, instead of III-nitride-based transistor, has also been investigated for on-chip driving III-nitride LEDs. Tsuchiyama et al. have reported monolithic integration of a Si n-MOSFET and a GaN

micro-LED [48,49]. To achieve this, a Si layer from a silicon-on-insulator (SOI) substrate was bonded to a GaN-based LED structure with a top SiO<sub>2</sub> layer via surface-activated bonding [44]. Si-MOSFETs were then fabricated on the Si/SiO<sub>2</sub>/GaN-LED structure by using a CMOS process line. The interconnects were realized via the connection of the cathode of a 30 × 30 μm<sup>2</sup> sized GaN-LED to the drain of a Si n-MOSFET with a gate width of 100 μm and gate length of 10 μm. It was found that thermal degradation could be avoided by optimizing the thickness of the top-Si layer coupled with processing below 900 °C. It is stated that electrical and optical properties of the integrated Si n-MOSFET and GaN micro-LED devices are comparable to those of the standard devices.

Due to the difficulties and incompatibility between the micro-LED and driver IC processes in fully monolithic integration, the most common approach adopted by micro-LED display developers is monolithic hybrid. This approach comprises fabrication of III-nitride based micro-LED arrays, manufacturing of Si-based CMOS driver matrix, and then their hybrid integration in order to allow control of the LED pixels individually. The hybridization process is generally based on the flip-chip bonding technology, which has been widely developed in III-V/Si photonics for high-performance switching and computing [50]. For example, by using 6 μm-sized indium metal bumps deposited on micro-LED pixels as bonders, Day et al. have demonstrated fabrication of a 160 × 120 VGA micro-display with a pixel pitch of 15 μm [51].

To further shrink the pixel pitch down to below 10 μm for higher resolution, CEA-Leti has developed a micro-tube technology to perform the integration process [52,53]. The key step to the interconnection is the insertion of gold capped micro-tubes on the pads of Si circuit into the soft indium pads on the micro-LED array, with the micro-tubes created by using conformal metal deposition and “gap fill” type processes. Blue and green active-matrix LED micro-displays with a 10 μm pixel pitch and a resolution of 873 × 500 using 6 μm-sized micro-LEDs have been demonstrated via this technology.

In addition to the abovementioned monolithic fabrication approaches, it has also been demonstrated that micro-LEDs displays can be built by using GaN-based nanowire LEDs, without the need of mass transfer. Prototypes including a 1.5” 264 PPI RGB wearable display using 20 μm-sized micro-LEDs on a LTPS glass backplane, and a 0.7” 1000 PPI RGB display using 10 μm-sized micro-LEDs on a CMOS backplane have been unveiled by glō-USA, in which direct wafer-to-wafer transfer technology was employed.

#### 4. Full-Color Schemes

As for most micro-display applications, full-colors (composed of the three primary colors red, green, and blue) are needed for each pixel. The widespread display technology LCD is based on light generated by an LED backlight and passing through a matrix of liquid crystal “light switches” and color filters constituting the individual subpixel. While micro-LED display is based on a matrix of tiny light emitters made of micro-LEDs for each subpixel in which brightness can be individually controlled. However, III-nitride LEDs, similar to other heterojunction-based semiconductor structures, are nearly monochromatic emission sources, emitting light at a single color, with its wavelength determined mainly by the indium content in the InGaN/GaN multiple quantum wells (MQWs). Although several approaches exist to produce full-color micro-displays from single color micro-LEDs, it remains to be a challenge for developers.

The most straightforward approach for full color is to assemble discrete commercial LEDs with the three different colors to form an array, with each pixel containing red, green, and blue LED dies. In theory, InGaN/GaN MQWs LEDs can generate all these three colors by tuning the indium content in the MQWs. Unfortunately, InGaN-based green and red LEDs still possess a relatively low luminescence efficiency, which partially results from large lattice mismatch between the InGaN active layer and GaN buffer for green and red wavelengths. This limits the progress in developing full-color displays using GaN family alone. GaP/GaAs based LEDs can be used to emit red light, but may suffer from even more significant drop in EQE as the size shrinks [30].

To enhance the color quality, Soitec, a French company, has developed a substrate technology called InGaN<sub>OX</sub> [54,55]. The initially strained 200 nm thick InGaN layer with indium content from 1.5% to 8% is grown on a GaN/sapphire substrate. Then the thin InGaN layer is transferred using Soitec's Smart Cut™ technology onto a compliant layer deposited on a substrate. After patterning, the strain-relaxed, Ga-face-exposed InGaN layer with an in-plane lattice parameter ranging from 3.190 to 3.205 Å is transferred to a final handle substrate with sizes up to 300 mm, which can be sapphire, glass, or silicon. By using this technology, InGaN micro-LEDs with full-color emission (without using phosphor conversion) grown on the same wafer have been fabricated. Red LEDs with emission wavelength up to 630 nm and a chip size of 100 × 100 μm<sup>2</sup> have been demonstrated by Soitec.

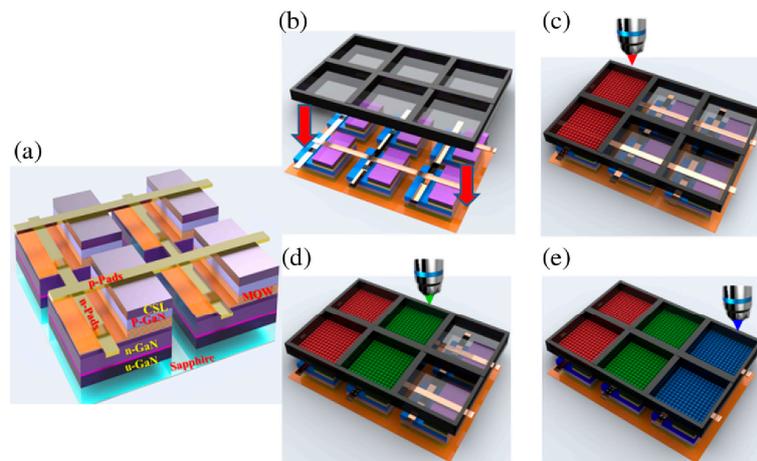
Another approach utilizes single color micro-LED array but with color converters. The color of the pump LEDs in the LED arrays can be blue or ultraviolet (UV), and phosphors or quantum dots (QDs) can be employed as color converters. When blue micro-LED pump is used, only red and green color-converters are needed with blue partially getting through; while the employment of UV micro-LEDs requires blue, red, and green color-converters. One major challenge in obtaining full-color with this method is the precise placement of the color-converters on to the sub-pixels with a very small size. A variety of technologies can be used to deposit color converters for LED color-conversion, including spin coating, mist coating, pulse-spray coating, stamp printing, ink jet printing, and aerosol jet printing [56–59]. Among all of these technologies, aerosol jet printing has the advantages of being precise, non-contact, masks-less, and capable of depositing highly viscous inks and depositing more materials in one printing step. Therefore, this method is deemed as a suitable approach for application in color-conversion in high-resolution micro-LED displays.

Phosphors, which are typically micrometer-sized powders, have the advantages of high quantum yield (exceeding 80%), high thermal stability (with temperature up to 150 °C), high chemical stability (moisture resistance), and stable quantum yield and spectral properties under continuous irradiation with high light flux and fast luminescence decay. Consequently, they are widely used in white LEDs for general lighting [60–62]. The shortcomings, however, are strong light scattering and poor beam collimation due to their large size [61]. These shortcomings lead to low color uniformity which becomes more pronounced for micro-LED displays in which the phosphor size is comparable to that of small micro-LEDs. Reducing the phosphor size down to the nanometer scale has the potential to enhance luminance homogeneity due to reduced light scattering [63]. However, it also results in a reduction in quantum efficiency.

QDs have been proposed as an alternative method for full-color micro-LED displays due to their excellent properties, which include high quantum efficiency, large absorption cross-section, and tunable emission wavelength [62]. As compared to typical phosphor particles, the size of colloidal QDs lies in the range of nanometers. Therefore, enhanced color uniformity via QDs-based color-conversion can be expected. White light generation and good luminous efficacy of radiation have been demonstrated in 128 × 128 full-color micro-LED arrays with a pixel pitch of 40 μm, by combining UV micro-LEDs with RGB CdSe QDs deposited via aerosol jet printing [56]. For commercialization, some critical factors in QDs, such as photo-stability and thermal quenching, would have to be addressed.

To ensure the color purity and contrast ratio, the optical cross-talk effect resulting from the inaccurate distribution of color converters must be minimized. It has been reported that for a 128 × 128 micro-LED array with 35 μm × 35 μm pixel size, cross-talk resulting from the overflow of QDs during solvent evaporation still caused color shift, even though the aerosol jet printing technique with optimized parameters was employed [64]. To reduce cross talk, Lin et al. have developed a lithographically fabricated photoresist mold consisting of a window for QD jetting and a light blocking wall, as shown in Figure 7 [64]. Using the window-confined photoresist mold led to successful suppression of the overflow of the QDs resulting in a clear boundary between the pixels. With silver coatings on the sidewall of the mold, light leakage was also prevented and, hence, a near zero cross-talk was achieved. In order to increase the utilization of light from UV micro-LED array, and avoid biological damage, a distributed Bragg reflector (DBR) structure has been implemented on the QDs-deposited

LED array, which enhanced the QD emission intensity by 23%, 32%, and 5% for red, green, and blue, respectively [64].



**Figure 7.** Process flow of a full-color microdisplay. (a) The structure of the micro-LED arrays. (b) Aligning the mold to the UV micro-LED array. (c–e) Consequently jetting the RGB QDs inside the mold window to form the full-color pixels. Reprinted from reference [64], with the permission of Chinese Laser Press.

In another study, Chen et al. have used three strategies to improve pixel contrast ratio and color purity of monolithic RGB micro-LEDs based on blue LEDs and red and green QDs, which include a black matrix photoresist with light-blocking capability to block light leakage from the sidewalls, a DBR with high reflectivity at blue light on the top of red and green QDs/micro-LEDs and a hybrid Bragg reflector (HBR) structure on the bottom for multiple reflection of the blue light into the color conversion layer [65]. Consequently, the contrast ratio of the micro-LEDs was improved from 11 to 22, and the red and green light output intensities of the micro LEDs were enhanced by about 27%.

To improve light efficiency and eliminate color crosstalk of color-converted micro-LED displays, Gou et al. have proposed a funnel-tube array design in color-converted micro-LED displays with color filter [66]. Based on device simulation and modeling, it was found that by using funnel-tube array to confine phosphors inside each subpixel, the optical efficiency of the micro-LED display can be improved by  $\sim 3$  times, while the crosstalk is eliminated. With optimized taper angle of the funnel-tube, the ambient contrast ratio can also be improved due to higher light intensity.

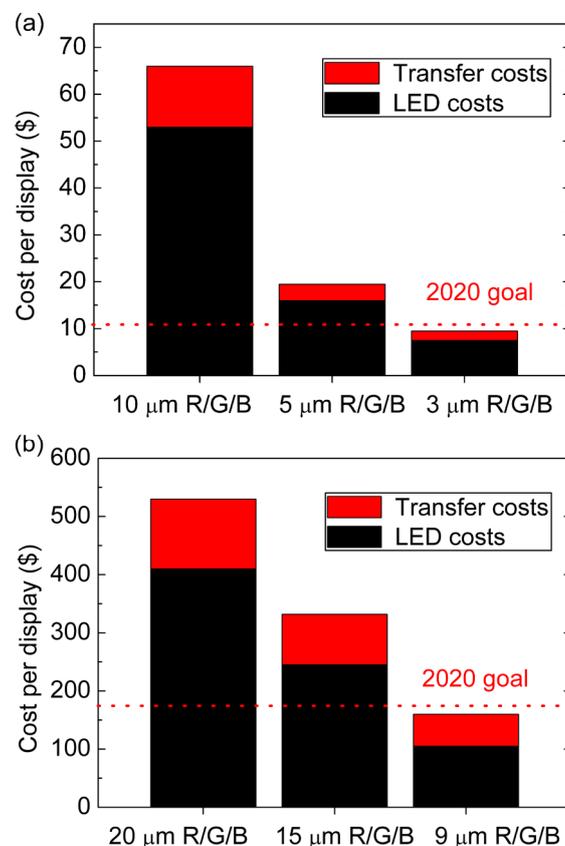
## 5. Current Challenges and Prospects

Despite the above-discussed technological advancements, there are still some remaining critical bottlenecks which need to be overcome for high volume manufacturing of micro-LED displays. The major one is the pixel yield of the display. To control the dead pixel number less than 5 in an RGB full-color full high definition (FHD) display ( $1920 \times 1080$  pixels), the yield should be 99.9999%, which is too high for today's achievable level. A dead pixel can appear at different stages of the fabrication, such as epitaxy, LED chip process, transfer process. For example, in the case of monolithic fabrication, in a passive-matrix micro-LED array fabricated by flip-chip bonding of a GaN micro-LED array on a silicon submount with common p-electrode stripes, numerous dead pixels are formed because of physical disconnection from the p-electrode line caused by severe bonding failures [67]. As another example, the photoresist residue inside the gaps between the LED elements can greatly undermine the yield of the display [29]. Without adding an  $O_2$ -based plasma ashing step, for the arrays with  $170 \mu\text{m}$  gaps between mesas, the micro-LED element yield in the array is approximately 90%. However, when the gap width is reduced to  $6 \mu\text{m}$ , the yield drops to around 67%. Introduction of the ashing step to

remove the photoresist residues improved the yield to 100% and over 95% for the 170  $\mu\text{m}$  and 6  $\mu\text{m}$  gap arrays, respectively.

Another challenge is the defect management and repair technology. For a zero dead defect display, even assuming an extremely high yield, a repair process is unavoidable. A straightforward solution is to increase the redundancy by doubling the number of micro-LED element for each pixel. However, the effectiveness of the built-in redundancy depends on the initial defectivity yield. If the yield is 99.99%, doubling the number of micro-LED can reduce the number of dead pixels from 5000 to five pixels in an FHD display. However, if the yield is only 99.9%, the number of dead pixels would be still 500 [68]. Since doubled, number-wise, micro-LEDs are used, the cost of the display would be greatly increased. Alternatively, some developers have proposed individual pixel repair technologies. Unfortunately, this approach is also costly, and cannot be applied for displays produced by monolithic fabrication.

The cost remains to be a formidable obstacle. The success of micro-LED display insertion hinges on its cost competitiveness against the competing technologies such as the conventional LCD/OLED displays, but at the time of this writing cost competitiveness is not yet realistic. The cost of micro-LED displays naturally encompasses wafer and chip processing cost, repair cost, post-processing cost, and transfer cost in the case of pick-and-place approach [69]. Figure 8 shows the calculated LED and mass transfer cost versus micro-LED size for a 5.8" 2560  $\times$  1440 resolution quad high definition (QHD) smartphone display and 3840  $\times$  2160 resolution 4K TV display by IHS Research and Veeco [21]. Based on the assumption of \$400 per 6" processed epitaxy wafer and a LED gap of 2  $\mu\text{m}$ , a size of 3  $\mu\text{m} \times 3 \mu\text{m}$  and 9  $\mu\text{m} \times 9 \mu\text{m}$  is needed for smartphone and TV, respectively, to meet the competitive cost targets with OLED. Continual technology advancements can be expected to provide the pathways to realize the cost expectation, but more time is needed to be in a position for us to select which of the strategies touched upon in this paper would get the technology of choice where it needs to be.



**Figure 8.** LED and mass transfer costs versus micro-LED size for 5.8" QHD smartphone (a) and 55" 4K TV (b) by IHS Research and Veeco [21]. Courtesy of Dr. Ajit Paranjpe of Veeco Instruments, Inc.

Despite the bottlenecks, developers, motivated by the great potential, remain quite optimistic about the potential of micro-LED displays. It is anticipated that high volume commercialization of micro-LED displays could become true in the coming years 2019–2020, with the first insertion being by small-size devices, such as head up displays (HUD), wearables and also low pixel per inch (PPI) displays such as video walls, according to the Yole Développement’s estimation [19].

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