

Article

Monitoring Maize Growth and Calculating Plant Heights with Synthetic Aperture Radar (SAR) and Optical Satellite Images

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Abstract: The decrease in water resources due to climate change is expected to have a significant impact on agriculture. On the other hand, as the world population increases so does the demand for food. It is necessary to better manage environmental resources and maintain an adequate level of crop production in a world where the population is constantly increasing. Therefore, agricultural activities must be closely monitored, especially in maize fields since maize is of great importance to both humans and animals. Sentinel-1 Synthetic Aperture Radar (SAR) and Sentinel-2 optical satellite images were used to monitor maize growth in this study. Backscatter and interferometric coherence values derived from Sentinel-1 images, as well as Normalized Difference Vegetation Index (NDVI) and values related to biophysical variables (such as Leaf Area Index (LAI), Fraction of Vegetation Cover (fCover or FVC), and Canopy Water Content (CW)) derived from Sentinel-2 images were investigated. Sentinel-1 images were also used to calculate plant heights. The Interferometric SAR (InSAR) technique was applied to calculate interferometric coherence values and plant heights. For the plant height calculation, two image pairs with the largest possible perpendicular baseline were selected. Backscatter, NDVI, LAI, fCover, and CW values were low before planting, while the interferometric coherence values were generally high. Backscatter, NDVI, LAI, fCover, and CW values increased as the maize grew, while the interferometric coherence values decreased. Among all Sentinel-derived values, fCover had the best correlation with maize height until maize height exceeded 260 cm ($R^2 = 0.97$). After harvest, a decrease in backscatter, NDVI, LAI, fCover, and CW values and an increase in interferometric coherence values were observed. NDVI, LAI, fCover, and CW values remained insensitive to tillage practices, whereas backscatter and interferometric coherence values were found to be sensitive to planting operations. In addition, backscatter values were also sensitive to irrigation operations, even when the average maize height was about 235 cm. Cloud cover and/or fog near the study area were found to affect NDVI, LAI, fCover, and CW values, while precipitation events had a significant impact on backscatter and interferometric coherence values. Furthermore, using Sentinel-1 images, the average plant height was calculated with an error of about 50 cm.

Keywords: Sentinel-1; Sentinel-2; remote sensing in agriculture; multitemporal crop monitoring; SAR and multispectral time series data analysis; backscatter; InSAR; interferometric coherence; NDVI; biophysical variables (LAI; fCover; CW)



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1. Introduction

Maize (*Zea mays* L.) is used as a raw material in the production of biofuels and other industrial products in addition to being used as human food and animal feed [1]. Maize is

one of the most important crops in the world [2,3] and therefore it is widely cultivated [4] due to these characteristics. It is so popular that it is the most widely produced [5] and demanded grain on the planet [6]. Maize, which is a very important crop in Turkey as well, is the third grain with the largest cultivation area and the highest production amount in Turkey after wheat and barley [7]. Therefore, monitoring maize growth is critical [4], since it is crucial for national food security [1,4].

The soil and crop conditions in agricultural areas change from day to day [8,9] and throughout the growing season [9,10]; therefore, agricultural areas must be monitored throughout the entire growing season [9]. Traditional ground-based monitoring activities to obtain information on soil and crop conditions are time consuming and expensive. Thus, it is difficult to use this method throughout the growing season. Furthermore, the data obtained using this method do not provide information about the field's spatial distribution. For this reason, this method is rarely used in large-scale studies [11]. The remote sensing technique, on the other hand, saves time and money [12] and enables large-scale [11,13], timely [14], and accurate monitoring of agricultural areas throughout the growing season.

Agricultural applications such as crop planting areas determination, crop growth monitoring, crop type mapping, biomass, and yield estimation are generally done with optical images, due to the data's ease of interpretation [14]. Vegetation indices and biophysical variables values derived from optical data are commonly used to monitor agricultural areas. NDVI, e.g., [15–17], which is one of the most widely used vegetation indices [15,18], and LAI [17,19], which is one of the most widely used biophysical variables, have been frequently used to monitor maize growth in previous studies. Furthermore, some studies have reported that crop growth can be monitored more effectively by including less frequently used vegetation indices [20,21].

On the other hand, crop growth is a dynamic process [22]. To monitor crop growth, regular remote sensing measurements are required [23]. Cloud cover, fog, and smoke hinder passive optical sensors from getting information about the earth's surface [24]. Given that the majority of the world is cloud-covered [25], obtaining optical satellite images with high spatial and temporal resolution throughout the growing season is extremely difficult [26], particularly in agricultural areas located in humid and semi-humid climatic zones with plentiful water resources and dense cloud cover [27]. Active SAR sensors, unlike optical sensors, can obtain high spatial and temporal resolution images in all weather conditions, day and night [2,28]. Due to these features, SAR data has an important advantage for monitoring agricultural areas [29–31].

The use of SAR data in agricultural applications has increased in recent years and many studies have been conducted to monitor maize growth using backscatter values, e.g., [10,29,30,32]. McNairn et al. [29] found that C-band HH-polarized backscatter values were sensitive to changes in maize height at early growth stages, but became insensitive to changes in maize height when the backscatter values achieved saturation once the maize height exceeded 1 m. Abdikan et al. [33] observed a strong correlation between SAR backscatter derived from Sentinel-1 images and maize height until the maize height exceeded 150 cm, after which the sensitivity of SAR backscatter to maize height reduced. On the other hand, McNairn et al. [34] reported that backscatter values were insensitive to changes in maize growth.

While the spectrum characteristics of the agricultural targets [14], specifically their reflection and emission qualities [35], are crucial in optical remote sensing, geometric and dielectric properties of the agricultural targets [14,27,36–38] such as their surface roughness, water/moisture content, plant density, canopy structure, and row direction [37] are crucial in SAR remote sensing. SAR backscatter is affected by a variety of factors, therefore the effect of soil and vegetation on backscatter is poorly understood [39] and data on vegetation are difficult to extract [40]. In addition, the saturation effect observed in vegetation indices [41] and biophysical variables values are also observed in backscatter values in densely vegetated areas [42]. At this point, the use of interferometric coherence values as a monitoring tool for agricultural areas is an option and interferometric coherence

values have been used to monitor maize growth in several studies, e.g., [10,43]. However, these studies reported that these values were low throughout the growing season.

Another option is to calculate plant heights using SAR images to avoid the saturation effects seen in vegetation indices, biophysical variables, or SAR backscatter, as well as to monitor maize growth that gains too much height throughout the growing season [2]. Hütt et al. [2] used the InSAR technique to calculate maize heights from TanDEM-X images with HH and VV polarizations. They found a strong correlation between plant heights measured using HH and VV polarizations separately. They also used a terrestrial laser scanner to measure plant heights in a maize field they chose from the study area. They did not find a correlation between the plant heights obtained from the terrestrial laser scanner and those obtained from satellite images. They reported that the terrestrial laser scanner interacts with the top parts of the canopy at a more oblique incidence angle, whereas satellite images penetrate deeper into the canopy at a steeper incidence angle (23.4°), causing satellite images to underestimate plant heights. Xie et al. [44] used the Polarimetric SAR (PolSAR) technique and calculated maize heights with a root mean square error (RMSE) of 40–50 cm throughout the growing season. Santoro et al. [45] observed that the interferometric heights of cultivated fields were higher than those of bare soils.

On the other hand, optical remote sensing and SAR remote sensing are based on different physical principles [27]. Visible, infrared, and microwave wavelengths have very different sensitivity to soil and crop characteristics, therefore data obtained from optical and SAR sensors have complementary properties [8,9,22,27,36,46]. However, studies exploiting these advantages of using SAR and optical satellite images together to monitor maize growth are limited in the literature and only a few of these studies have performed interferometric coherence analysis. Furthermore, there is no other study that performs interferometric coherence analysis as well as backscatter, NDVI, and other biophysical variables analyses to monitor maize growth and calculate maize height with monostatic repeat-pass interferometry, according to our literature review.

Therefore, the main objective of this study was:

- (1) To use SAR and optical satellite images together to monitor maize growth;
- (2) To investigate the sensitivity of backscatter and interferometric coherence values derived from Sentinel-1 images, as well as NDVI, LAI, fCover, and CW values derived from Sentinel-2 images of maize growth, tillage practices (planting, harvest, irrigation, etc.), and precipitation events;
- (3) To analyze the sensitivity of backscatter, NDVI, LAI, fCover, and CW values to maize height;
- (4) To calculate plant heights using Sentinel-1 SAR image pairs acquired in monostatic repeat-pass mode.

Note: This article was produced from the Master's thesis made by Arslan under the supervision of Topakcı and Demir [47].

2. Materials and Methods

2.1. Study Area

The research was conducted at Akdeniz University's Faculty of Agriculture's Aksu Research and Application Farm ($30^\circ 53' 07.06''$ N, $36^\circ 55' 07.92''$ E), which is located in the Aksu district of Antalya, Turkey, 20 km from the city center (Figure 1). The study area has been determined to be approximately 43 decares of this farm's total size of 1100 decares. There are no settlements nor industrial facilities near the study area. The region is covered with agricultural fields and the study area is a research and application farm, where controlled agricultural production is carried out. The study area is relatively flat (having a slope of less than 1%) and has a clay loam soil texture. The region has a Mediterranean climate. In the summer, when maize is planted as a second crop after wheat harvest, the weather is hot and dry.

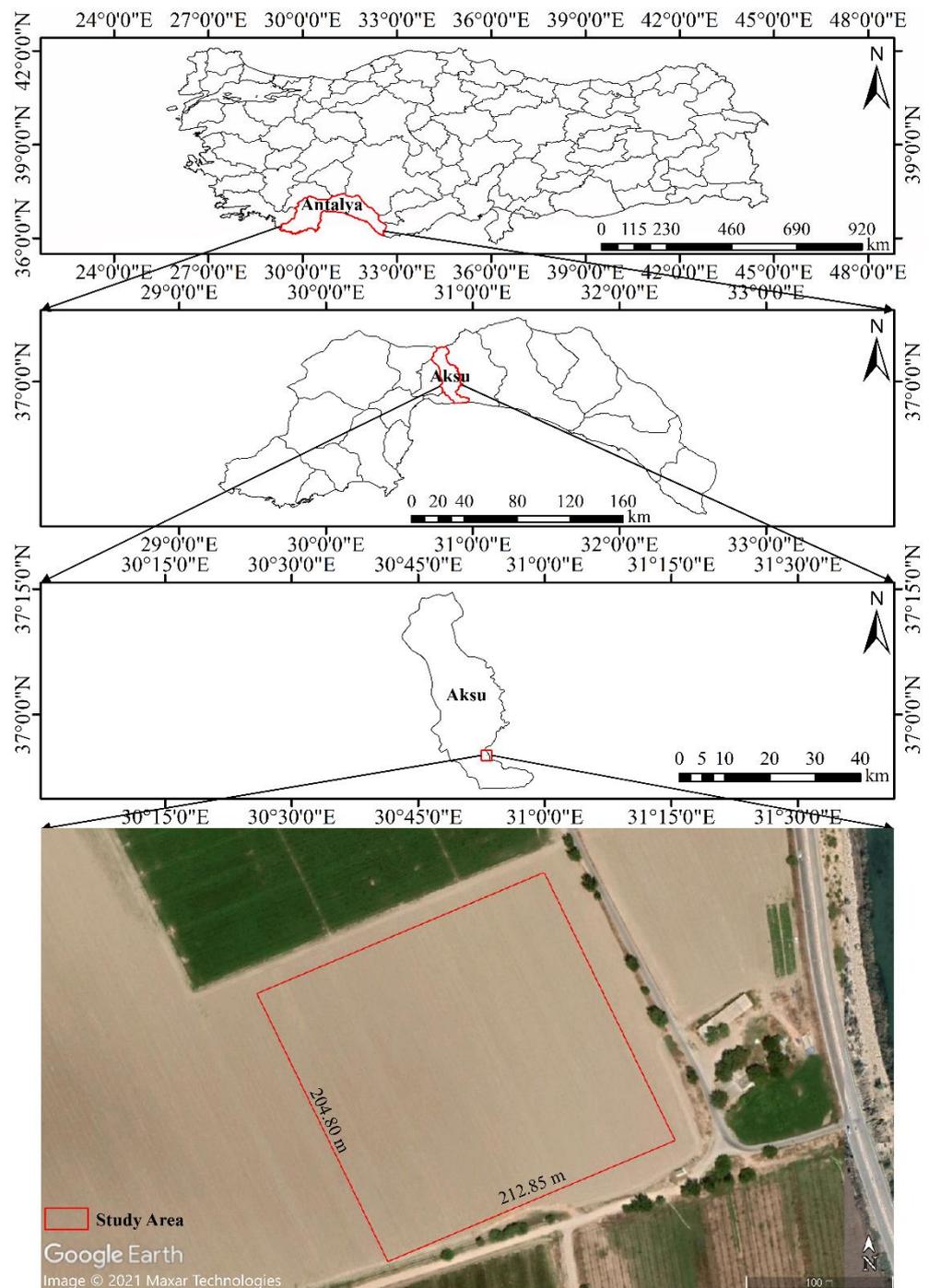


Figure 1. Location map and Google Earth image of the study area.

On 17 July 2019, silage maize seeds were planted as the second crop in the study area after the wheat was harvested. The study area received chiseling on 15 August, inter-row hoeing and fertilization on 20 August, and irrigation operations on 22 August and between 9 and 13 September 2019. Maize was harvested on 31 October 2019, while the plant was still green.

2.2. Satellite Images

In this study, images from the Sentinel-1 SAR and Sentinel-2 optical satellites were used, which are both freely available [48] and have a high spatial and temporal resolution. The Earth Observation Satellites Sentinel-1 and Sentinel-2 were developed as part of the

Copernicus Program [49]. Sentinel-1 is made up of two satellites, Sentinel-1A and Sentinel-1B, that share the same orbital plane and characteristics. They have a 180-degree orbital phase difference. Sentinel-1A was launched on 3 April 2014 and Sentinel-1B was launched on 25 April 2016. Sentinel-1, which is equipped with an advanced C-band SAR sensor with a center frequency of 5.405 GHz and thus capable of acquiring images in all weather conditions day and night, acquires images in the ascending and descending orbit directions. At the equator, a single Sentinel-1 satellite in the same orbital direction has a revisit time of 12 days, which is reduced to 6 days when Sentinel-1A and Sentinel-1B satellites are used together [50,51]. Sentinel-2, like Sentinel-1, is made up of two satellites, Sentinel-2A and Sentinel-2B, that share the same orbital plane with an orbital phase difference of 180 degrees and the same characteristics. Sentinel-2A was launched on 23 June 2015, followed by Sentinel-2B on 7 March 2017. At the equator, a single Sentinel-2 satellite carrying an innovative multispectral sensor with 13 spectral bands takes 10 days to revisit, but this time is sliced in half when Sentinel-2A and Sentinel-2B satellites are used together [52,53].

2.2.1. Sentinel-1 SAR Images

All Sentinel-1 (Sentinel-1A and Sentinel-1B) images covering the study area (a total of 65 images) acquired from three different orbits in ascending and descending orbital directions between 9 July and 13 November 2019 were used in the study. For accelerating downloading process, two data hubs, Copernicus Open Access Hub (<https://scihub.copernicus.eu/dhus/#/home> (accessed on 3 December 2020)) and ASF Data Search Vertex (<https://search.asf.alaska.edu/#/> (accessed on 3 December 2020)), which store the same datasets, were used. The images used were Level-1 Single Look Complex (SLC) products with dual polarization (VV and VH), which contain amplitude and phase information and were acquired in the Interferometric Wide Swath (IW) mode, which is the default imaging mode over the land surface. Figure 2 depicts the footprints (coverage areas) of the satellite images used, while Table 1 lists their characteristics and Table 2 lists their acquisition dates.

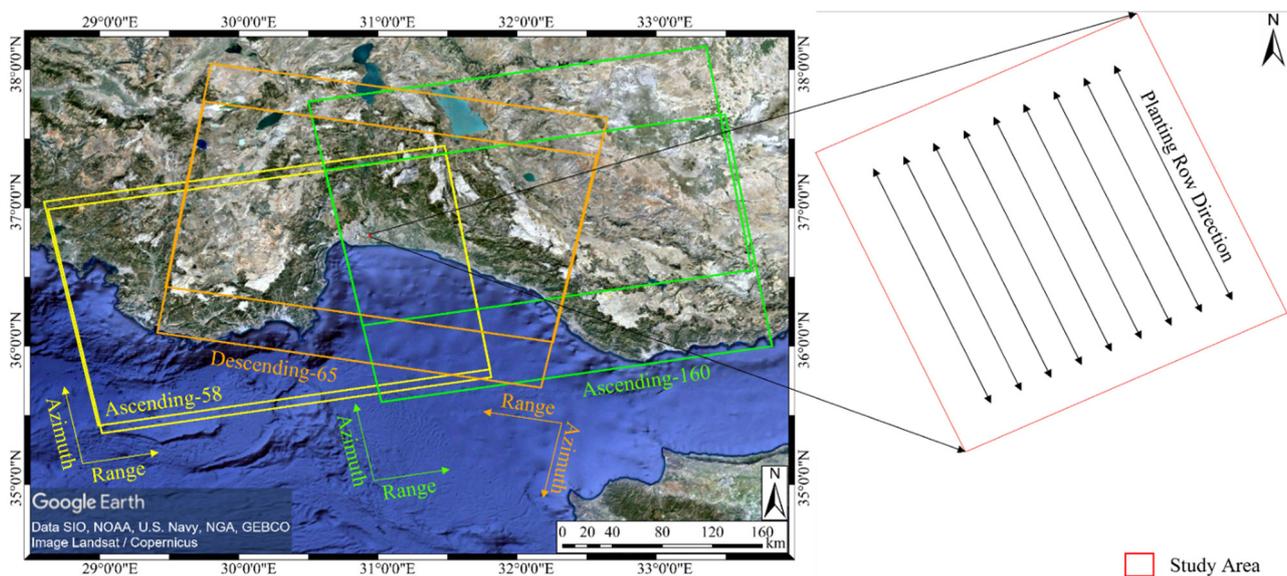


Figure 2. The footprints (coverage areas) of Sentinel-1 satellite images acquired from three different orbits used in the study (overlaid on Google Earth image) and the planting row direction of maize in the study area. Satellite images acquired from ascending orbit pass and relative orbit number 58 were called Ascending-58, satellite images acquired from ascending orbit pass and relative orbit number 160 were called Ascending-160, and satellite images acquired from descending orbit pass and relative orbit number 65 were called Descending-65.

Table 1. Characteristics of Sentinel-1 satellite images used in the study [54].

| Acquisition Mode | Product Level | Polarization | Orbit Pass | Relative Orbit Number | Sub-Swath Where the Study Area Is Located | Incidence Angle | Spatial Resolution rg × az (m) | Pixel Spacing rg × az (m) | Number of Looks rg × az |
|------------------|----------------|-------------------------------------|------------|-----------------------|---|-----------------|--------------------------------------|---------------------------------|----------------------------|
| IW | Level-1 SLC | Dual Polarization (VV and VH) | Ascending | 58 | IW3 | 43.1° | 3.5 × 22.6 | 2.3 × 14.1 | 1 × 1 |
| | | | Ascending | 160 | IW1 | 32.9° | 2.7 × 22.5 | | |
| | | | Descending | 65 | IW2 | 38.3° | 3.1 × 22.7 | | |

Table 2. Acquisition dates of Sentinel-1 satellite images used in the study.

| Orbit | Acquisition Date (2019) | | | | | Acquisition Time (UTC *) |
|---------------|-------------------------|------------------|------------------|----------------------|----------|--------------------------|
| | July | August | September | October | November | |
| Ascending-58 | 9, 15, 21, 27 | 2, 8, 14, 20, 26 | 1, 7, 13, 19, 25 | 1, 7, 13, 19, 25, 31 | 6, 12 | 15:58 |
| Ascending-160 | 10, 16, 22, 28 | 3, 9, 15, 21, 27 | 2, 8, 14, 20, 26 | 2, 8, 14, 20, 26 | 1, 7, 13 | 15:50 |
| Descending-65 | 10, 16, 22, 28 | 3, 9, 15, 21, 27 | 2, 8, 20, 26 | 2, 8, 14, 20, 26 | 1, 7, 13 | 03:59 |

* The acquisition times of satellite images are given in Coordinated Universal Time (UTC). A total of 3 h are added to convert the acquisition times of satellite images to Turkey time.

2.2.2. Sentinel-2 Optical Images

All Sentinel-2 (Sentinel-2A and Sentinel-2B) images (a total of 25 images) covering the study area and acquired between 9 July and 13 November 2019 were used in the study. Sentinel-2 images were downloaded from Copernicus Open Access Hub (<https://scihub.copernicus.eu/dhus/#/home> (accessed on 17 June 2020)). The images used are Level-2A products, which are orthorectified to the UTM/WGS84 projection, radiometrically, geometrically, and atmospherically corrected, and contain Bottom-of-Atmosphere (BOA) reflection values. Table 3 lists the characteristics of the satellite images used and Table 4 lists their acquisition dates.

Table 3. Characteristics of Sentinel-2 satellite images used in the study [55].

| Band Number | Sentinel-2A | | Sentinel-2B | | Spatial Resolution (m) |
|-------------|-------------------------|----------------|-------------------------|----------------|------------------------|
| | Central Wavelength (nm) | Bandwidth (nm) | Central Wavelength (nm) | Bandwidth (nm) | |
| 2 | 492.4 | 66 | 492.1 | 66 | 10 |
| 3 | 559.8 | 36 | 559.0 | 36 | |
| 4 | 664.6 | 31 | 664.9 | 31 | |
| 8 | 832.8 | 106 | 832.9 | 106 | |
| 5 | 704.1 | 15 | 703.8 | 16 | 20 |
| 6 | 740.5 | 15 | 739.1 | 15 | |
| 7 | 782.8 | 20 | 779.7 | 20 | |
| 8a | 864.7 | 21 | 864.0 | 22 | |
| 11 | 1613.7 | 91 | 1610.4 | 94 | |
| 12 | 2202.4 | 175 | 2185.7 | 185 | |
| 1 | 442.7 | 21 | 442.2 | 21 | 60 |
| 9 | 945.1 | 20 | 943.2 | 21 | |
| 10 | 1373.5 | 31 | 1376.9 | 30 | |

Table 4. Acquisition dates of Sentinel-2 satellite images used in the study.

| Acquisition Date (2019) | |
|-------------------------|-------------------------|
| Month | Day |
| July | 11, 16, 21, 26, 31 |
| August | 5, 10, 15 *, 20, 25, 30 |
| September | 4, 9, 14 *, 19, 29 |
| October | 4, 9, 14, 19, 24, 29 |
| November | 3, 8, 13 * |

* Since there was cloud cover and/or fog over the study area on these dates, the values derived from the satellite images acquired on these dates were not evaluated.

2.3. In Situ Data

Plant heights were measured with a 3 m steel tape measure in the field on 11 different dates simultaneously with satellite images. The Magellan eXplorist 610 handheld GPS was used to determine the coordinates of the points where the plant height was measured. Plant height measurements were taken at 20 different points with 10 m intervals on a single row and 16 different points at 50 m intervals in the interior of the study area; 36 different points in total were selected randomly not based on a specific methodology to reflect the general condition of the study area. The average plant height was calculated for each date the plant height measurements were taken. Figure 3 depicts average maize heights measured in the field.

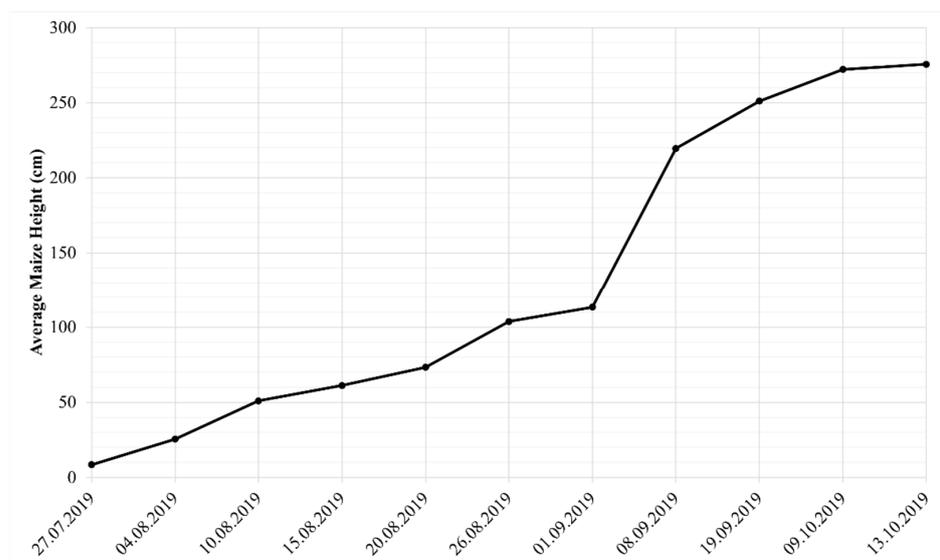


Figure 3. Average maize heights measured in the field.

2.4. Meteorological Data

The study used meteorological data from Aksu/Boztepe TİGEM (General Directorate of Agricultural Enterprises) Station 17895, which is the closest observation network to the study area (approximately 2.5 km away from the study area), between 9 July and 13 November 2019, to better evaluate the values obtained from satellite images. Figure 4 depicts the meteorological data used.

2.5. Satellite Images Processing

A Lenovo Legion 5 15IMH05H laptop computer was used for the processing of satellite images. The computer used has an Intel Core i7-10750H CPU 2.60 GHz processor, 16 GB DDR4 RAM, NVIDIA GeForce GTX 1660 Ti 6GB GDDR6 graphics card, and 500 + 500 GB SSD memory.

2.5.1. Sentinel-1 SAR Images Processing

Sentinel-1 images were processed in three different ways in this study, as shown in Figure 5's flowchart, to calculate backscatter values, interferometric coherence values, and plant heights. Sentinel-1 images were processed using the Sentinel Application Platform (SNAP) software's Sentinel-1 Toolbox (version 8.0.4). SNAP is an open-source software for the exploitation of Earth Observation satellite images [56] and it is available to download from the Science Toolbox Exploitation Platform (STEP) (<https://step.esa.int/main/download/snap-download/> (accessed on 31 July 2021)). To accelerate the process, the SNAP software's Graph Builder and Batch Processing tools were used.

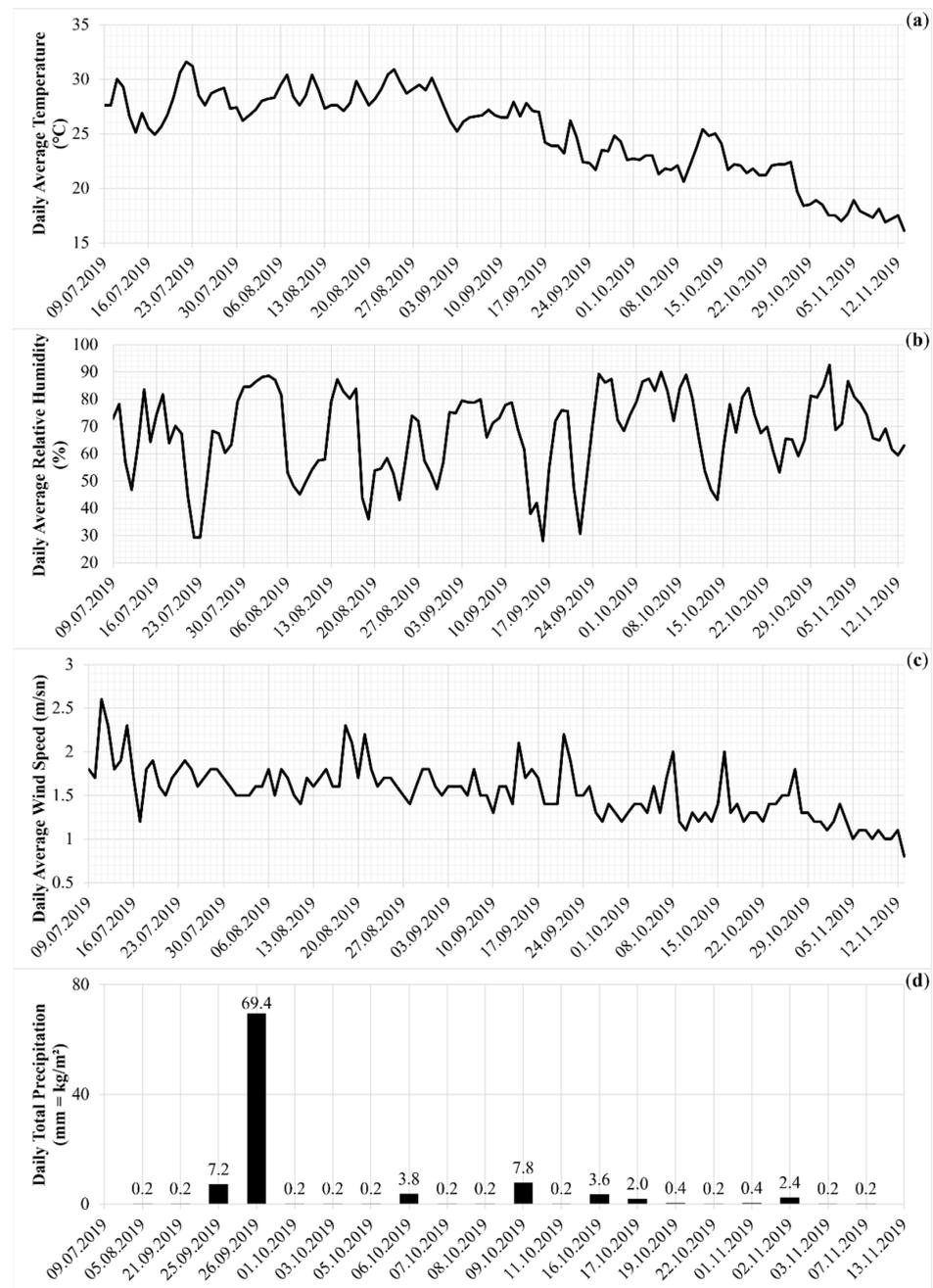


Figure 4. Meteorological data: (a) daily average temperature (°C); (b) daily average relative humidity (%); (c) daily average wind speed (m/s); (d) daily total precipitation (mm = kg/m²).

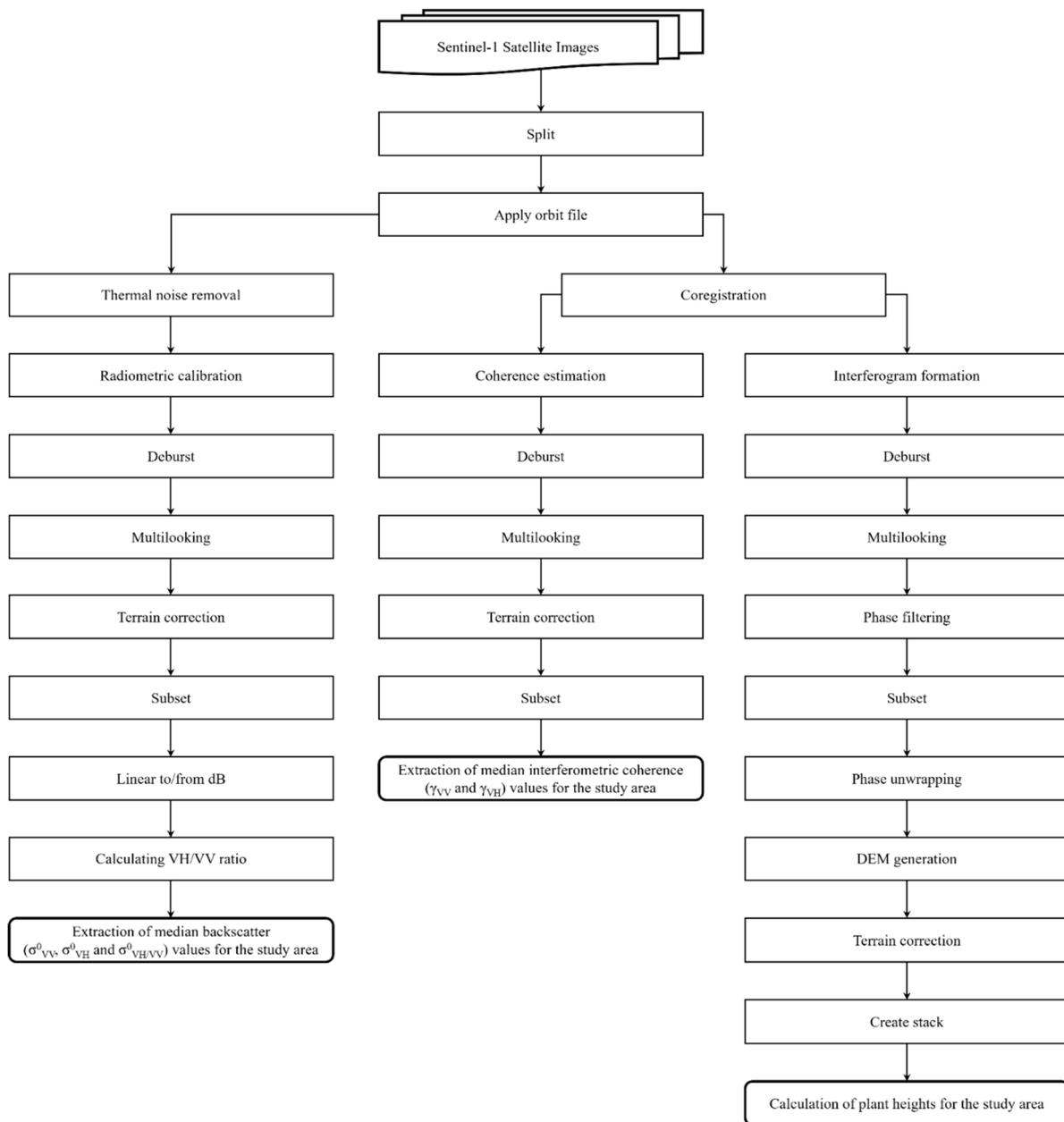


Figure 5. Flowchart for processing Sentinel-1 satellite images.

To calculate backscatter values, the images were processed applying these steps: (1) split; (2) apply orbit file; (3) thermal noise removal; (4) radiometric calibration; (5) deburst; (6) multilooking (the number of looks applied to images acquired from Ascending-58 and Descending-65 orbits was four \times one, and to images acquired from Ascending-160 orbit was three \times one); (7) Range-Doppler Terrain Correction [57,58] (Shuttle Radar Topography Mission (SRTM) 1 arc-second Height (1Sec HGT) Digital Elevation Model (DEM) data with a spatial resolution of 30 m were used and the images were orthorectified to the UTM/WGS84 projection and the pixel spacings of the final products were 13.96 m); (8) subset; (9) converting backscatter values from linear scale to logarithmic scale ($\sigma_{dB}^0 = 10 \times \log_{10} \sigma^0$); (10) calculating VH/VV ratio in dB ($\sigma_{VH/VV}^0 = \sigma_{VH}^0 - \sigma_{VV}^0$) [59]; and (11) extraction of median backscatter (σ_{VV}^0 , σ_{VH}^0 , and $\sigma_{VH/VV}^0$) values for the study area.

Because temporal decorrelation is one of the most important sources of decorrelation in areas covered with vegetation [60,61], interferometric coherence values were calculated us-

ing consecutively acquired Sentinel-1 image pairs to avoid temporal decorrelation. Figure 6 depicts the interferometric properties of satellite image pairs used in the study.

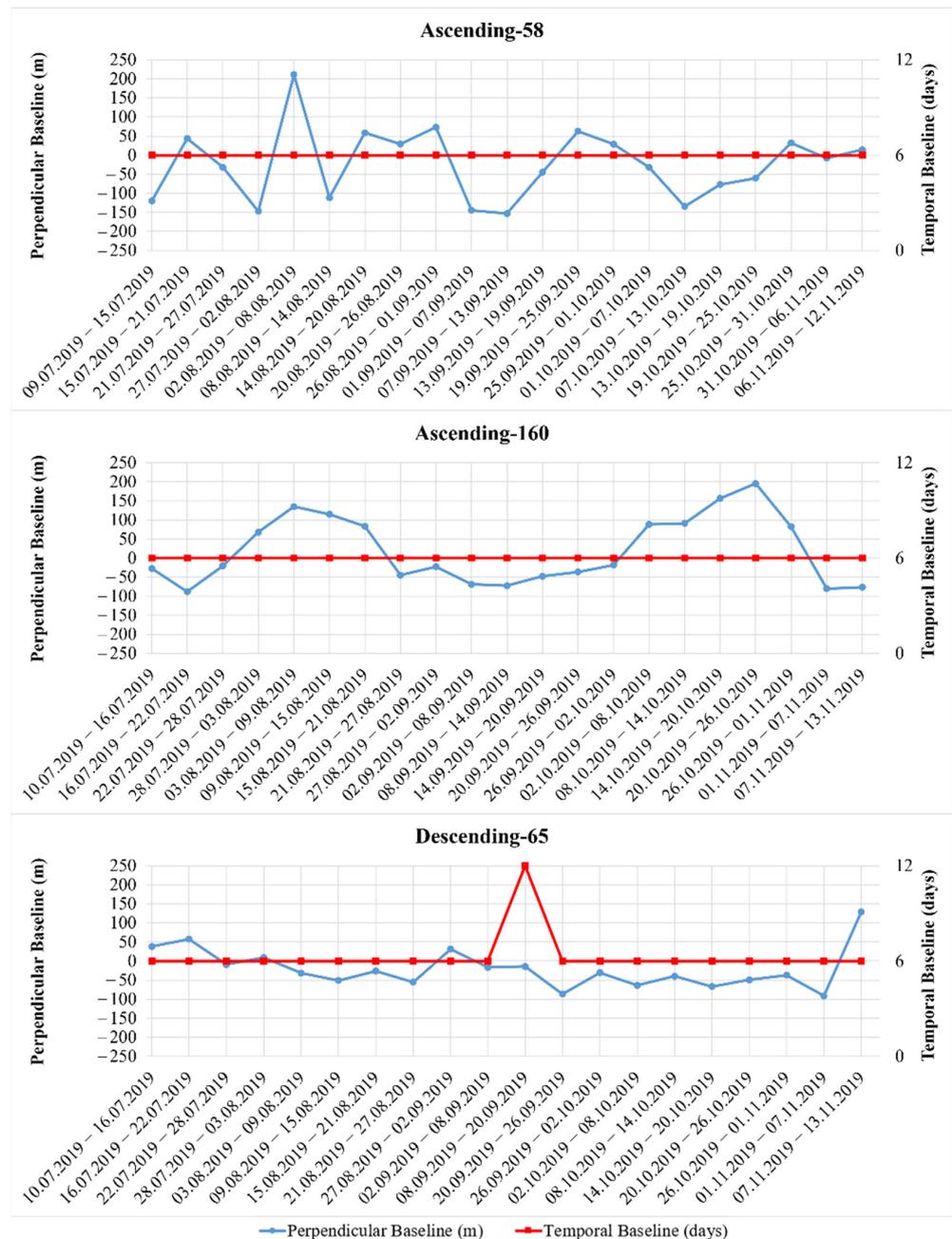


Figure 6. Interferometric properties of Sentinel-1 satellite image pairs used in the study.

To calculate interferometric coherence values, the images were processed applying these steps: (1) split (in this processing step, the VV and VH polarizations were chosen separately to apply the InSAR technique and the following steps were performed separately for both polarizations); (2) apply orbit file; (3) coregistration of image pairs (SRTM 1 Sec HGT DEM data were used); (4) calculating coherence values; (5) deburst; (6) multilooking; (7) Range-Doppler Terrain Correction (SRTM 1 Sec HGT DEM data were used and the images were orthorectified to the UTM/WGS84 projection and the pixel spacings of the final products were 13.96 m); and (8) extraction of median interferometric coherence (γ_{VV} and γ_{VH}) values for the study area.

The perpendicular baseline between satellite image pairs affects sensitivity to topography [62]. Height of ambiguity (HoA) (height information for 1 phase cycle) decreases as the perpendicular baseline increases [63] and smaller HoA more precisely describes the topographic features of the terrain [64]. As a result, smaller HoA and larger perpendicular baselines provide greater precision when calculating plant heights [2,65]. However, as shown in Figure 6, the perpendicular baseline between consecutive Sentinel-1 image pairs was very small. The smaller the perpendicular baseline the less accurate the topography estimate is [66]. Nonetheless, two Sentinel-1 image pairs (shown in Table 5) were selected to calculate plant heights in this study. Among the image pairs used in the study, these had the largest perpendicular baseline and the lowest HoA.

Table 5. Sentinel-1 satellite image pairs used for calculating plant heights.

| Orbit | Acquisition Dates of Satellite Image Pairs | | Temporal Baseline (Days) | Perpendicular Baseline (m) | Height of Ambiguity (HoA) (m) | Average Maize Height Measured in the Field (m) | Generated DEM |
|---------------|--|-----------------|--------------------------|----------------------------|-------------------------------|--|---------------|
| | First Image | Second Image | | | | | |
| Ascending-58 | 2 August 2019 | 8 August 2019 | 6 | 210.91 | −75.64 | 0.33 | DTM |
| Ascending-160 | 20 October 2019 | 26 October 2019 | 6 | 195.50 | −81.52 | 2.80 | DSM |

To calculate plant heights, the images were processed applying these steps: (1) split (in this processing step, the VV polarization with higher interferometric coherence values was chosen); (2) apply orbit file; (3) coregistration of image pairs (SRTM 1 Sec HGT DEM data were used); (4) interferogram formation (by subtracting flat-earth phase); (5) deburst; (6) multilooking (in this processing step, phase and intensity bands were not selected to avoid errors while performing this process in the SNAP software; intensity and phase bands were produced from complex I and Q bands after performing the multilook); (7) Goldstein Phase Filtering [67]; (8) subset; (9) phase unwrapping with SNAPHU Unwrapping plugin (version 2.0.4) in SNAP software (while the phase image was exported to SNAPHU, TOPO for Statistical-cost mode, MCF for Initial method, 1 for Number of Tile Rows and Number of Tile Columns was selected) [68]; (10) DEM generation; (11) Range-Doppler Terrain Correction (SRTM 1 Sec HGT DEM data were used, the images were orthorectified to the UTM/WGS84 projection, and the pixel spacings of the final products were 13.96 m); (12) DEMs were stacked in the same file (the DEM generated from the first image pair (2 and 8 August) represents the stage where the average plant heights are 0.33 m and this DEM is assumed as Digital Terrain Model (DTM). The DEM generated from the second image pair (20 and 26 October) represents the stage where the average plant heights are 2.80 m and this DEM is assumed as Digital Surface Model (DSM) (Table 5)); and (13) calculation of plant heights for the study area by subtracting the DTM from the DSM [2].

2.5.2. Sentinel-2 Optical Image Processing

Sentinel-2 images were processed as shown in the flowchart in Figure 7 to calculate NDVI and other biophysical variables (LAI, fCover, and CW) values. Sentinel-2 images were processed using the SNAP software's Sentinel-2 Toolbox (version 8.0.4) (<https://step.esa.int/main/download/snap-download/> (accessed on 31 July 2021)). To accelerate the process, the SNAP software's Graph Builder and Batch Processing tools were used.

To calculate NDVI and other biophysical variables (LAI, fCover, and CW) values, the images were processed by applying these steps: (1) all bands resampled to 10 m; (2) subset; (3) calculation of NDVI, LAI, fCover, and CW values (NDVI values were calculated using Equation (1) [69], while LAI, fCover, and CW values were calculated using Biophysical Processor in the SNAP software. NDVI, LAI, and fCover images had a resolution of 10 m and CW images had a resolution of 20 m); and (4) extraction of median NDVI, LAI, fCover, and CW values for the study area.

$$\text{NDVI} = \frac{\text{NIR} - \text{RED}}{\text{NIR} + \text{RED}} \quad (1)$$

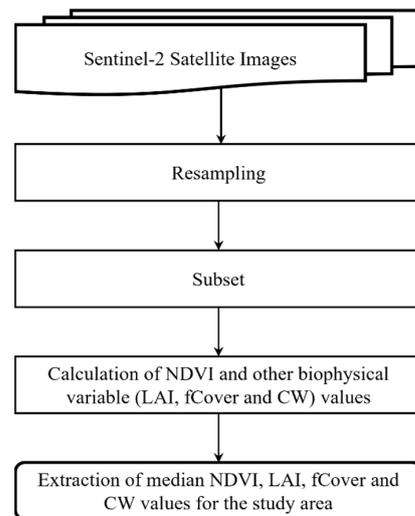


Figure 7. Flowchart for processing Sentinel-2 satellite images.

3. Results and Discussion

3.1. Sensitivity of Backscatter Values to Maize Growth

Some studies have reported that polarization ratios were shown to be less responsive to changes in soil moisture [13,23,70,71], less affected by precipitation events [59], and more sensitive to maize growth [71–73]. Therefore, in addition to σ_{VV}^0 and σ_{VH}^0 values, $\sigma_{VH/VV}^0$ values were also investigated in this study. Figure 8 depicts the median backscatter values (σ_{VV}^0 , σ_{VH}^0 , and $\sigma_{VH/VV}^0$) of Sentinel-1 satellite images acquired from three different orbits for the study area.

Prior to planting, the backscatter values of images acquired from three different orbits were low. After planting, a decrease was observed in σ_{VV}^0 and σ_{VH}^0 values of images acquired from three different orbits on 21 and 22 July, although the radar’s look direction is nearly perpendicular to the row direction in images acquired from Ascending-58 and Ascending-160 orbits (see Figure 2) and even though backscatter values are expected to increase due to increasing soil roughness [39,74,75]. The sparse soil after planting may have contributed to the deeper penetration of radar signals and this decrease in backscatter values. Similar decreases were observed in some studies [10,13]. On the other hand, the σ_{VH}^0 value of the image acquired from the Ascending-160 orbit on 22 July remained nearly constant. This can be explained by the fact that lower incidence angles are less sensitive to surface roughness [76] and the cross-polarization is less affected by the row direction [9,23]. The findings of this study contradict those of Formaggio et al. [22] and Mascolo et al. [77].

After being low for a while, the backscatter values of images acquired from three different orbits began to respond to maize growth, and backscatter values increased as maize grew [10,19,30,31,42,72,78–81], as expected for broadleaf crops [27,82,83]. The backscatter values of images acquired from the Ascending-58 orbit with a larger incidence angle gave the earliest response to maize growth as of 2 August (after the average maize height exceeded 25 cm). Therefore, it was discovered that images acquired with large incidence angles can be effective in monitoring the early growth stages of maize. Contrary to McNairn et al. [29,34], the backscatter values of images acquired from three different orbits continued to increase until 19 September (until the average maize height exceeded 250 cm [13]), at which point they reached saturation [10,32,59,70,77,84–87], which is a well-known effect for crops with higher biomass [8,42]. Before harvest, a slight decrease was observed in σ_{VV}^0 and σ_{VH}^0 values of images acquired from three different orbits on 14, 25, and 26 October (when the average maize height was 275–280 cm). The decreases in backscatter values could indicate that the canopy water content has begun to decline and that it is time to harvest the silage maize.

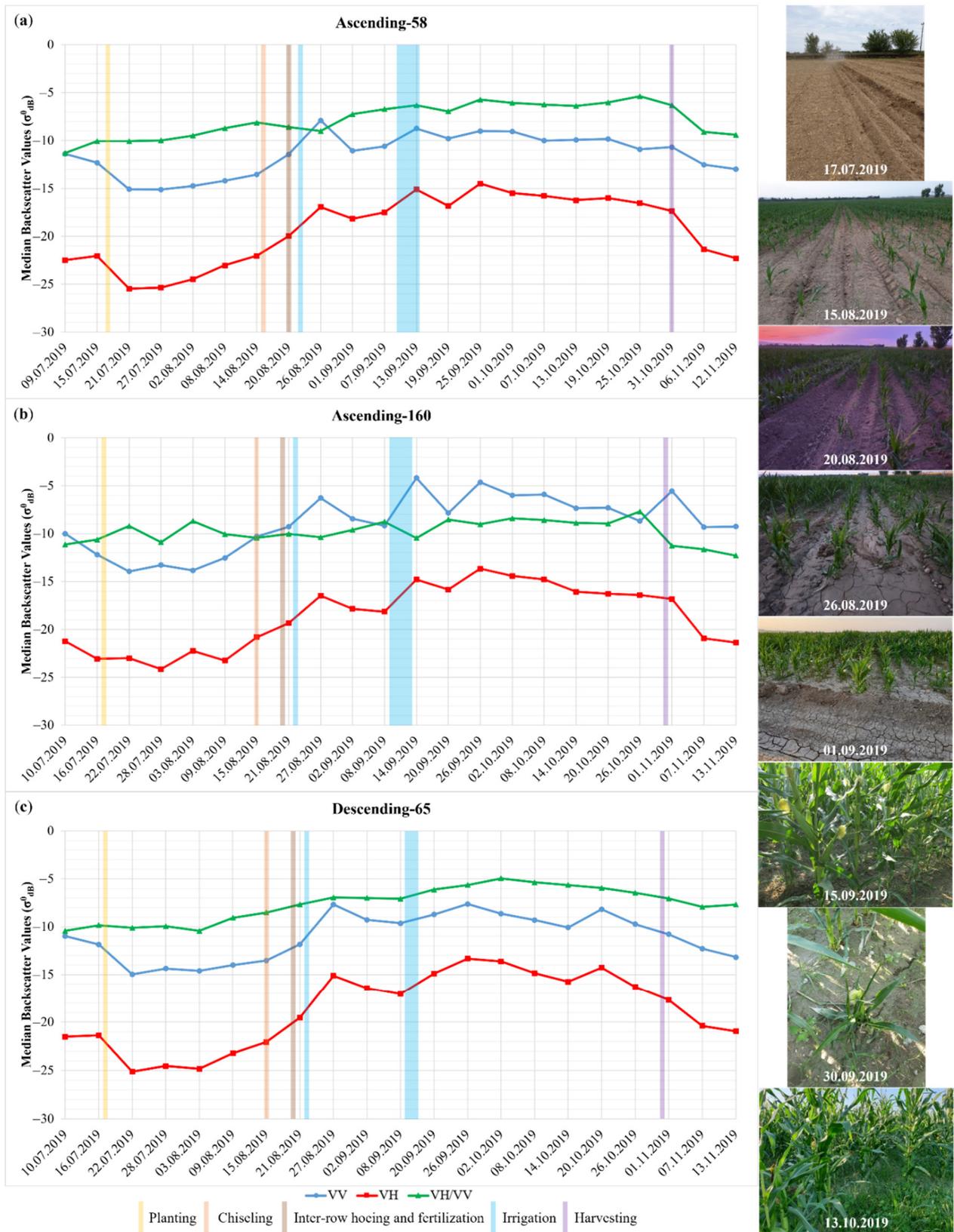


Figure 8. Median backscatter values (σ_{VV}^0 , σ_{VH}^0 , and $\sigma_{\text{VH/VV}}^0$) of Sentinel-1 satellite images acquired from (a) Ascending-58 orbit, (b) Ascending-160 orbit, and (c) Descending-65 orbit for the study area.

The backscatter values of images acquired from three different orbits differed after harvest. In general, the backscatter values of the first images acquired after harvest either remained nearly constant or decreased slightly and the backscatter values of the second images acquired after harvest either continued to decrease gradually or decreased sharply. This is most likely due to the green residues that remained in the field and dried out gradually after harvest [13]. Previous studies have also found that backscatter values decrease after maize harvesting [10,13,32,40,70,81,84].

On the other hand, a clear trend was not observed in $\sigma_{\text{VH/VV}}^0$ values of images acquired from three different orbits. The $\sigma_{\text{VH/VV}}^0$ values of images acquired from the Ascending-58 orbit with a larger incidence angle gave the best response to maize growth. The $\sigma_{\text{VH/VV}}^0$ values of images acquired from this orbit increased from 2 August (after the average maize height exceeded 25 cm) to 19 September (until the average maize height exceeded 250 cm) and then reached saturation, similar to σ_{VV}^0 and σ_{VH}^0 values of images acquired from this orbit. Before harvest, an increase in $\sigma_{\text{VH/VV}}^0$ value was observed due to a slight decrease in σ_{VV}^0 on 25 October (when the average maize height was 280 cm). This increase may be due to the decrease in canopy water content before harvest [40]. The $\sigma_{\text{VH/VV}}^0$ value of the first image acquired from this orbit after harvest showed a slight decrease and the $\sigma_{\text{VH/VV}}^0$ value of the second image acquired from this orbit after harvest showed a sharp decrease. However, the $\sigma_{\text{VH/VV}}^0$ values of images acquired from the Ascending-160 orbit did not give a significant response to maize growth. This indicates that using the $\sigma_{\text{VH/VV}}^0$ values of images acquired with lower incidence angles is not useful for monitoring maize growth. Furthermore, it is quite difficult to determine the date of harvest using the $\sigma_{\text{VH/VV}}^0$ values of images acquired from the Descending-65 orbit [59].

3.1.1. The Effects of Irrigation, Chiseling, and Inter-Row Hoeing Operations on Backscatter Values

Irrigation operations in the study area were carried out using the furrow irrigation method (also known as the flood or surface irrigation method). Each irrigation operation lasted 10 h. Since there is no possibility of splashing water on the plant leaves as a result of the irrigation operation with this method, the effect of the water droplets remaining on the plant leaves after the irrigation operations on the backscatter values was not evaluated.

After irrigations, it is an expected situation that the dielectric constant of the soil increases due to the increased soil moisture and thus the backscatter values increase [39,75]. After irrigation on 22 August, an increase was observed in σ_{VV}^0 and σ_{VH}^0 values of images acquired from three different orbits on 26 and 27 August (when the average maize height was 104–105 cm) due to increased soil moisture. In addition, the σ_{VV}^0 and σ_{VH}^0 values of images acquired from Ascending-160 and Descending-65 orbits on 2 September (when the average maize height was 128 cm) were also high due to the soil still being moist. This is most likely due to greater penetration of the radar signals into the maize canopy at a lower incidence angle.

After irrigations between 9 and 13 September, an increase was also observed in σ_{VV}^0 and σ_{VH}^0 values of images acquired from Ascending-58 and Ascending-160 orbits on 13 and 14 September (when the average maize height was 233–237 cm). This indicates that the effect of soil moisture on radar signal should not be ignored even for well-developed crops [88] since there was no precipitation event in this date range. It was also observed by other researchers that backscatter values were sensitive to soil moisture when the maize canopy was at the highest biomass [89,90] even at large incidence angles [90]. The sensitivity of backscatter values to soil moisture is due to scattering along the soil–vegetation pathway, which also includes contributions from soil moisture [89,90] due to the 70 cm large row spacing of maize [40,91]. The results obtained from this study disprove Sun et al. [92], who reported that C-band cannot penetrate soil through vegetation and that data obtained from the Sentinel-1 SAR satellite are sensitive to vegetation. On the other hand, due to the absence of an image acquired from the Descending-65 orbit on 14 September, the effect of

irrigation between 9 and 13 September could not be clearly observed in the backscatter values of images acquired from this orbit.

Contrary to Paloscia [93], it was observed that increased soil roughness under sparse vegetation after chiseling and inter-row hoeing did not have much effect on the backscatter values of images acquired from three different orbits on 15 August (when the average maize height was 61 cm), 20 August (when the average maize height was 73 cm), and 21 August (when the average maize height was 78 cm). In addition, it was observed that the $\sigma^0_{\text{VH/VV}}$ values of images acquired from Ascending-58 and Descending-65 orbits (particularly Descending-65 orbit) were not affected much by soil roughness after planting, chiseling, and inter-row hoeing, and increased soil moisture after irrigation [13,23,70,71].

3.1.2. The Effect of Precipitation on Backscatter Values

Although clouds have little or no effect on SAR signals depending on the wavelength used, precipitation [94,95] and water droplets on the canopy [95,96] have a significant impact on SAR backscatter.

After precipitation events of 7.2 mm on 25 September and 69.4 mm on 26 September, an increase was observed in σ^0_{VV} and σ^0_{VH} values of images acquired from three different orbits on 25 and 26 September (when the average maize height was 257–258 cm), contrary to Selvaraj et al. [97]. Other studies have also reported that the backscatter values increase after precipitation [59,70,96]. In addition, some of the backscatter values of images acquired from three different orbits on 1, 2, and 8 October (when the average maize height was 264–271 cm) were also high. This is most likely due to water droplets that remained on the plant leaves after light precipitations or because the radar signals penetrated the maize canopy and became sensitive to soil moisture. The latter is more likely, as images from the Ascending-160 orbit were more sensitive to precipitation events. A similar finding was found by El Hajj et al. [89].

In addition, an increase was observed in σ^0_{VV} and σ^0_{VH} values of the image acquired from the Descending-65 orbit on 20 October (when the average maize height was 280 cm). Given that water droplets on the canopy affect backscatter values [95,96] and that water droplets on the canopy increase backscatter values [74], this increase in backscatter values of images acquired at 06:59 a.m. local time (UTC+03:00) from Descending-65 orbit is most likely due to water droplets on plant leaves due to early morning dew.

Furthermore, an increase was observed in σ^0_{VV} values of images acquired from the Ascending-160 orbit after harvest on 1 November. Given that the radar's look direction in images acquired from this orbit is nearly perpendicular to the row direction, this is most likely due to double-bounce scattering between maize residues and the soil surface [98] after the precipitation event. On the other hand, it was observed that the $\sigma^0_{\text{VH/VV}}$ values of images acquired from Ascending-58 and Descending-65 orbits were not affected much by precipitation [59].

3.2. Sensitivity of Interferometric Coherence Values to Maize Growth

Figure 9 depicts the median interferometric coherence values (γ_{VV} and γ_{VH}) of Sentinel-1 satellite image pairs acquired from three different orbits for the study area.

The interferometric coherence values of image pairs acquired from Ascending-58 and Descending-65 orbits with larger incidence angles were largely similar to each other. Prior to planting, the γ_{VV} values of image pairs acquired from both orbits were high [10], as expected, since there is no temporal decorrelation in bare soils [61]. The planting operation between the two consecutive images caused the γ_{VV} values of these image pairs to drop sharply because of the change in soil roughness [99]. Therefore, a sudden decrease observed in interferometric coherence values may be an indication of the beginning of agricultural activity in bare soils [46].

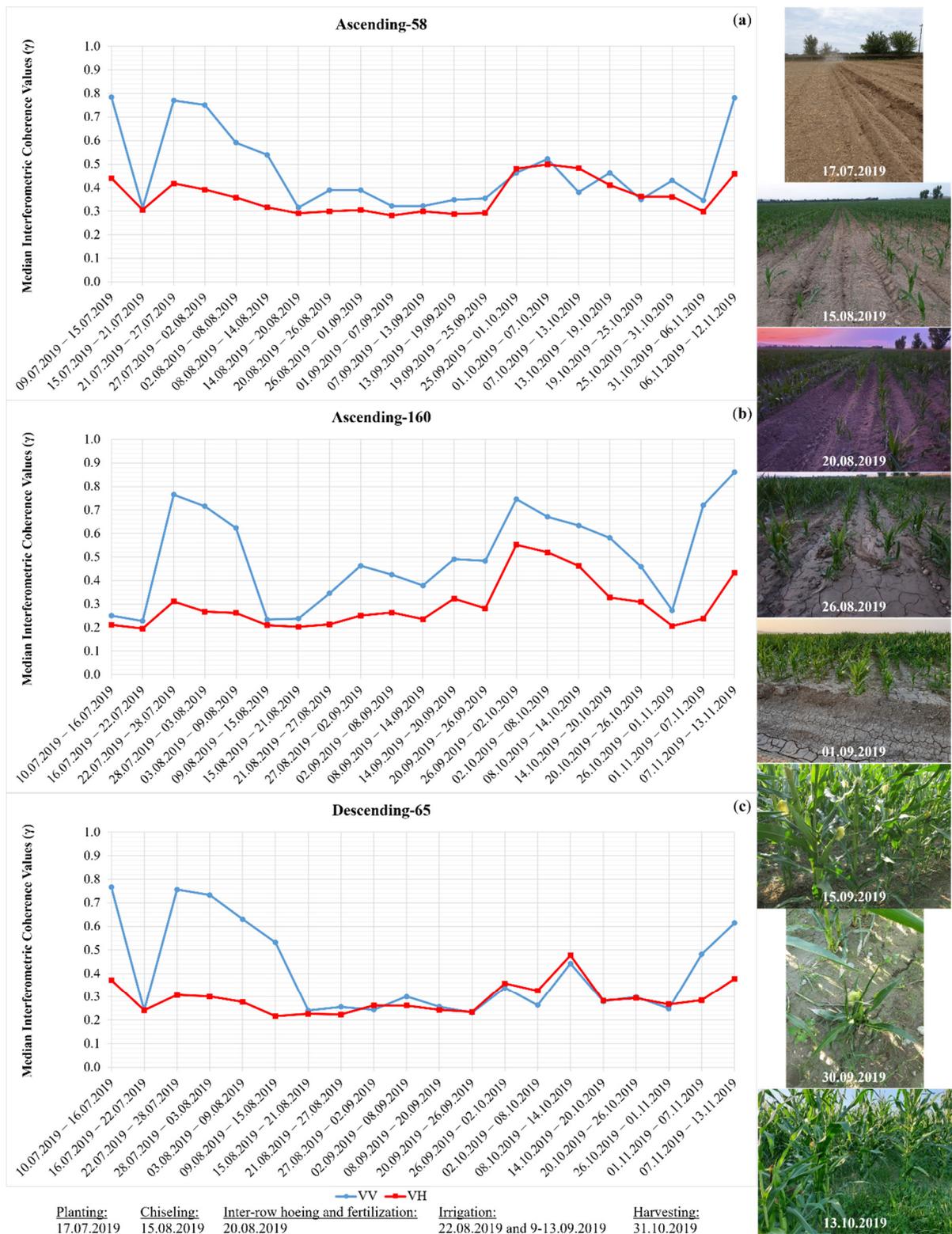


Figure 9. Median interferometric coherence values (γ_{VV} and γ_{VH}) of Sentinel-1 satellite image pairs acquired from (a) Ascending-58 orbit, (b) Ascending-160 orbit, and (c) Descending-65 orbit for the study area.

On the other hand, the interferometric coherence values of image pairs acquired from the Ascending-160 orbit with a lower incidence angle were found to be quite different. The

interferometric coherence values of image pairs acquired from this orbit were found to be quite low, particularly before the planting.

The γ_{VV} values of the next image pairs acquired from three different orbits after planting were high [10,99] as there was no significant plant growth during this time. The γ_{VV} values started to decrease as the maize grew [10,46,99,100], since the plants develop dynamically during the time period when image pairs are acquired [61]. The γ_{VV} values of image pairs acquired from Ascending-58 and Descending-65 orbits reached the lowest levels after the average maize height exceeded 60 cm and remained low throughout the growing season until harvest [10,43]. On the other hand, the γ_{VH} values of image pairs acquired from these orbits remained low throughout the entire growing season [10].

The γ_{VV} values of image pairs acquired from three different orbits differed after harvest. In general, the γ_{VV} values of the second image pairs acquired after harvest were quite high. This is most likely the result of the green residues left in the field after harvest completely dried out. Similar findings have been reported by Amherdt et al. [43]. Other researchers also noticed that the interferometric coherence values were high after harvest [10,70,100,101], which is a useful indicator for harvesting [70].

3.2.1. The Effects of Irrigation, Chiseling, and Inter-Row Hoeing Operations on Interferometric Coherence Values

It was observed that increased soil roughness under sparse vegetation after chiseling and inter-row hoeing, and increased soil moisture after irrigations did not have much effect on the interferometric coherence values of image pairs acquired from Ascending-58 and Descending-65 orbits with larger incidence angles. Blaes and Defourny [99] also found that interferometric coherence values were less sensitive to soil moisture than backscattering coefficients.

On the other hand, the sharp decrease in the γ_{VV} value of the image pair acquired on 9 and 15 August from the Ascending-160 orbit with a lower incidence angle could be due to increased soil roughness after chiseling just before the image acquisition on 15 August. Furthermore, the high γ_{VV} value of the image pair acquired from this orbit, particularly on 27 August and 2 September, may be due to increased soil moisture after irrigation on 22 August. Similarly, the irrigation between 9 and 13 September may have caused a high γ_{VV} value of the image pair acquired from this orbit on 14 and 20 September. This indicates that the interferometric coherence values of image pairs acquired with lower incidence angles may be sensitive to increased soil roughness in sparse vegetation and increased soil moisture even in dense vegetation.

3.2.2. The Effect of Precipitation on Interferometric Coherence Values

Some increases were observed in γ_{VV} and γ_{VH} values of image pairs acquired from Ascending-58 and Descending-65 orbits. These increases, observed when the average maize height was in the range of 260 to 275 cm, were likely due to precipitation events [10]. Furthermore, it was discovered that precipitation events had a greater impact on the interferometric coherence values of image pairs acquired from the Ascending-160 orbit with lower incidence angles. The fact that both γ_{VV} and γ_{VH} values of image pairs acquired from this orbit were so high, particularly after the 69.4 mm heavy precipitation event on 26 September, suggests that the plant geometry may have changed because of the heavy precipitation [102] and, as a result, scattering from moist soil may have dominated the backscattered signal by penetrating the maize canopy of radar signals. Considering that γ_{VH} values, which are low throughout the growing season, were higher after precipitation events, it was discovered that high γ_{VH} values can provide information about regional precipitation. The findings of this study contradict the findings of Santoro et al. [103], who reported that precipitation decreased interferometric coherence values.

3.3. Sensitivity of NDVI and Other Biophysical Variables (LAI, fCover, and CW) to Maize Growth

NDVI is one of the most widely used vegetation indices [15,18]. The main reason for using NDVI values in this study is that these values have been investigated many times before to monitor crop growth; interpretation of these values is quite well. On the other hand, backscatter values were compared with NDVI [13,23,32], LAI [36], and fCover (or FVC) [38,104] values in some previous studies. In addition to NDVI, LAI, and fCover values, CW values are also included in this study since SAR data are known to be highly sensitive to changes in canopy water content. All these values were compared with plant heights in this study since no local samples were collected regarding these values.

Cloud cover, fog, and smoke hinder passive optical sensors from getting information about the earth's surface [24], therefore values derived from images acquired on dates when cloud cover and/or fog were present over the study area and specified in Table 4 were not evaluated. Figure 10 depicts the median NDVI, LAI, fCover, and CW values derived from Sentinel-2 satellite images.

NDVI, LAI, fCover, and CW values that were very low before planting also remained very low for a long time after planting [85]. After being low for a while, NDVI, LAI, fCover, and CW values began to respond to maize growth and these values increased as the maize grew. NDVI gave the earliest response to maize growth as of 10 August (after the average maize height exceeded 50 cm). NDVI, LAI, fCover, and CW values continued to increase until 29 September (until the average maize height exceeded 260 cm), at which point they reached saturation, which is a well-known effect for densely vegetated areas. Similar findings have been reported in previous studies for NDVI [13,15–17,23,26,32,84,105–108] and LAI [17,19,85] values.

Before harvest, NDVI, LAI, fCover, and CW values all dropped slightly, particularly on 29 October (when the average maize height was 280 cm). These decreases in NDVI, LAI, fCover, and CW values could indicate that the canopy water content has begun to decline and that it is time to harvest the silage maize. After harvest, NDVI, LAI, fCover, and CW values all decreased sharply [13,16,107].

It was observed that increased soil roughness after planting and under sparse vegetation after chiseling and inter-row hoeing and increased soil moisture after irrigations did not affect NDVI, LAI, fCover, and CW values. Ameline et al. [84] also reported that changes in soil moisture did not affect NDVI values.

On the other hand, some differences were observed in NDVI, LAI, fCover, and CW values, which did not reflect the true trend (e.g., the difference on 4 September for all values). There was cloud cover and/or fog near the study area on the dates when these differences were observed. Therefore, it was determined that cloud cover and/or fog near the study area, even if they were not directly above the study area, influenced these values. Cloud cover and/or fog near the study area had the least impact on NDVI, while cloud cover and/or fog had the most impact on CW.

3.4. Sensitivity Analysis of Backscatter, NDVI, LAI, fCover, and CW Values to Maize Height

Figure 11 depicts the coefficient of determination (R^2) between Sentinel-derived values (backscatter, NDVI, LAI, fCover, and CW) and measured maize height.

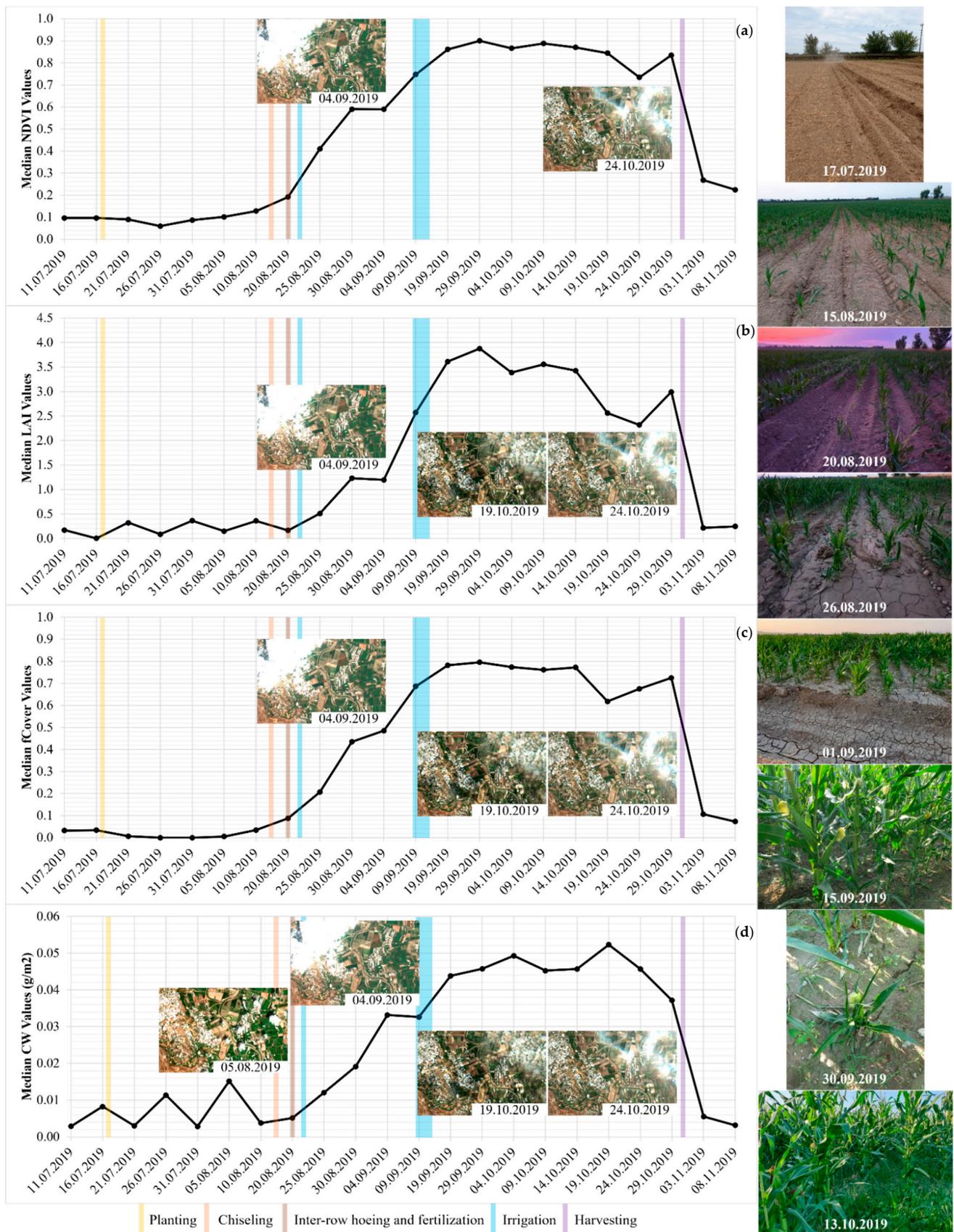


Figure 10. Median (a) NDVI, (b) LAI, (c) fCover, and (d) CW values derived from Sentinel-2 satellite images for the study area.

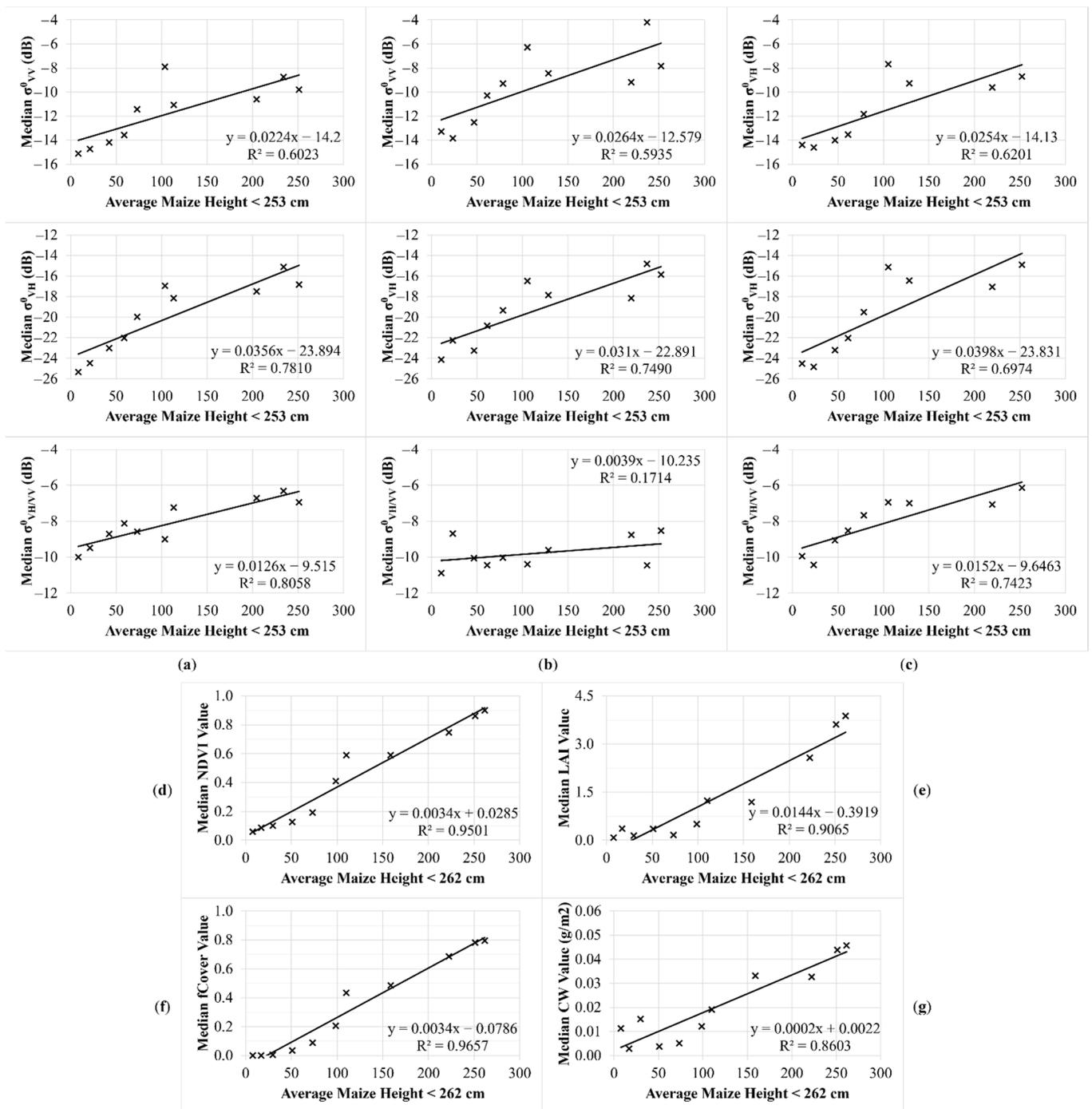


Figure 11. Coefficient of determination (R^2) between backscatter values (σ_{VV}^0 , σ_{VH}^0 , and $\sigma_{VH/VV}^0$) obtained from (a) Ascending-58 orbit, (b) Ascending-160 orbit, (c) Descending-65 orbit and maize height; and coefficient of determination (R^2) between (d) NDVI, (e) LAI, (f) fCover, (g) CW values and maize height.

Contrary to other studies [29,33,34,38,104], backscatter values derived from Sentinel-1 images were sensitive to changes in crop growth until the average maize height exceeded 250 cm, while NDVI, LAI, fCover, and CW values derived from Sentinel-2 images were sensitive to changes in crop growth until the average maize height exceeded 260 cm. Since backscatter values reached saturation after maize height exceeded 253 cm and Sentinel-2-derived values reached saturation after maize height exceeded 262 cm, these values then remained insensitive to minor changes in maize height.

Among the backscatter values derived from Sentinel-1, the $\sigma_{VH/VV}^0$ values of images acquired from the Ascending-58 orbit with the largest incidence angle showed the best correlation with maize height ($R^2 = 0.81$), while the $\sigma_{VH/VV}^0$ values of images acquired from the Ascending-160 orbit with the lowest incidence angle showed the worst ($R^2 = 0.17$). Among the values derived from Sentinel-2, fCover values showed the best correlation with maize height ($R^2 = 0.97$), while CW values showed the worst ($R^2 = 0.86$). Furthermore, it was determined that the values derived from Sentinel-2 were more sensitive to maize height. This is most likely due to Sentinel-1-derived backscatter values being sensitive to soil moisture and precipitation events.

3.5. Maize Heights Calculated Using Sentinel-1 Satellite Images

Figure 12 depicts the DEMs generated using Sentinel-1 satellite image pairs for the study area and Figure 13 depicts the maize heights calculated by subtracting DTM from DSM. As previously stated in Section 2.5.1, the average maize height was 0.33 m in the date range when the first image pair was acquired (2 and 8 September) and 2.80 m in the date range when the second image pair was acquired (20 and 26 October). In other words, maize heights grew by an average of 2.47 m between the dates when Sentinel-1 image pairs used to calculate plant heights were gathered. The average plant height calculated using Sentinel-1 images, on the other hand, was 2.95 m (Table 6). This analysis finds that by using Sentinel-1 image pairs acquired in monostatic repeat-pass mode the average maize height was calculated with an inaccuracy of roughly 50 cm. However, Figures 12 and 13 indicate that the height value range is very wide and that the height values fluctuate greatly from pixel to pixel. Table 6 shows those plant heights calculated using Sentinel-1 image pairs range between -3.20 m and $+8.35$ m, with a large standard deviation. This is most likely due to erroneous phase unwrapping caused by some pixels' poor interferometric coherence values. As a result, it was discovered that the average plant height value obtained using Sentinel-1 images was near to the real plant height value by coincidence. Sentinel-1 image pairs with large perpendicular baseline are difficult to find [64] and thus Sentinel-1 images are not suitable enough for DEM generation [66], therefore using satellite image pairs with larger perpendicular baseline acquired in bistatic single-pass mode can help to obtain more precise plant heights.

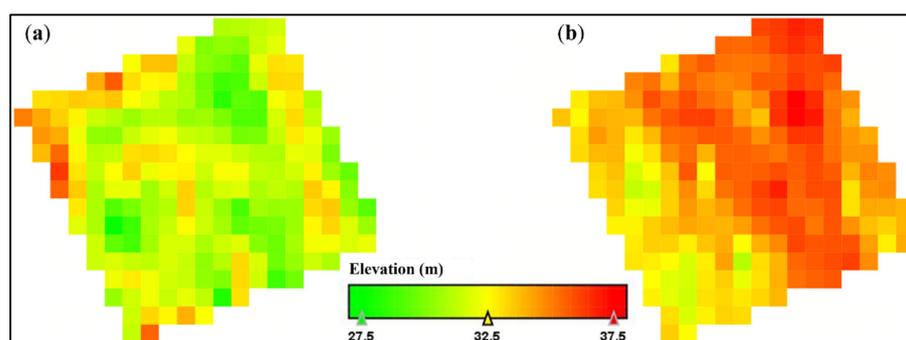


Figure 12. DEMs generated using Sentinel-1 satellite image pairs for the study area: (a) DTM generated using the first satellite image pair acquired on 2 and 8 August; (b) DSM generated using the second satellite image pair acquired on 20 and 26 October.

Table 6. Minimum, maximum, and average plant height calculated using Sentinel-1 satellite image pairs and standard deviation.

| Minimum Plant Height (m) | Maximum Plant Height (m) | Average Plant Height (m) | Standard Deviation (m) |
|--------------------------|--------------------------|--------------------------|------------------------|
| -3.20 | $+8.35$ | 2.95 | 2.22 |

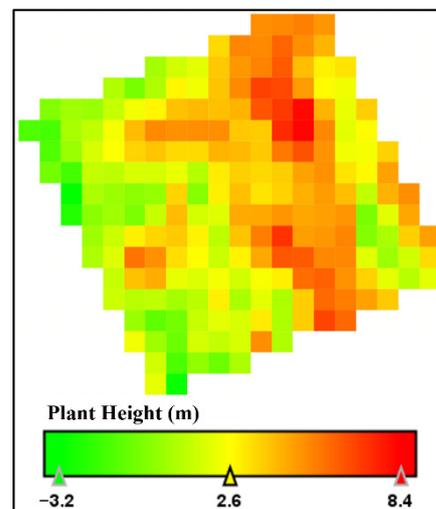


Figure 13. Maize heights calculated by subtracting DTM from DSM.

4. Conclusions

In this study, SAR and optical satellite images were used to monitor silage maize throughout the entire growing season and plant heights were calculated using Sentinel-1 image pairs acquired in monostatic repeat-pass mode. The study was conducted on a field scale in Turkey between 9 July and 13 November 2019. The sensitivity of Sentinel-derived values to maize growth, tillage practices (planting, harvest, irrigation, etc.), precipitation events, and maize height was investigated.

This study demonstrated that combining SAR and optical satellite images can give crucial information for monitoring maize growth. The main conclusions are summarized as follows:

- (1) Before planting, backscatter and Sentinel-2-derived values were low, while interferometric coherence values were generally high. After planting, σ_{VV}^0 , σ_{VH}^0 , and γ_{VV} values of images acquired, especially with larger incidence angles, responded to planting operations. While NDVI, LAI, fCover, and CW values are low, the decreases observed in backscatter and interferometric coherence values can be used as an indicator of the beginning of agricultural activity in the maize fields.
- (2) Among all Sentinel-derived values, the earliest response to maize growth was given by backscatter values of images acquired from Ascending-58 orbit with a larger incidence angle after average maize height exceeded 25 cm. As the σ_{VV}^0 , σ_{VH}^0 , and $\sigma_{VH/VV}^0$ values increased, the γ_{VV} values decreased. Therefore, SAR data acquired at larger incidence angles can be used to identify and monitor the early growth stages of maize.
- (3) Backscatter values increased after irrigation operations. Therefore, observed increases in backscatter values can be used to monitor irrigation operations. In addition, backscatter values were sensitive to irrigation operations even when the average maize height was about 235 cm. This demonstrated that Sentinel-1's C-band sensor was able to penetrate dense vegetation. Therefore, it should not be ignored that the radar signals may become sensitive to soil moisture, especially in maize fields with wide row spacing.
- (4) Backscatter and interferometric coherence values of images acquired with lower incidence angles were more affected by precipitation events. γ_{VH} values, which were low throughout the growing season, became significantly higher after precipitation events. Therefore, high values of γ_{VH} can provide information about regional precipitation.
- (5) Among all Sentinel-derived values, fCover derived from Sentinel-2 was the most sensitive to maize height ($R^2 = 0.97$). The value derived from Sentinel-1 that is the most sensitive to maize height was the $\sigma_{VH/VV}^0$ value of images acquired from the Ascending-58 orbit with larger incidence angles ($R^2 = 0.81$). Using these values in

combination with the γ_{VV} values of image pairs acquired with large incidence angles (as they are less affected by irrigation operations and precipitation events) can provide more reliable information for monitoring maize growth.

- (6) A slight decrease in backscatter, NDVI, LAI, fCover, and CW values was observed before harvest. These decreases can be considered as an indication that the canopy water content of silage maize has started to decrease and it is time to harvest. In addition, these decreases can also be considered as an indication that the grain maize has passed through the final growth stages. In addition, since backscatter and interferometric coherence values were sensitive to crop residues left in the field after harvest and precipitation can make it difficult to determine the harvest date, values derived from Sentinel-2 that show a drastic decline after harvest can help determine the harvest date more precisely.
- (7) On the other hand, calculation of plant heights may be an alternative to overcome the saturation effects observed in values derived from SAR and optical images. In this study, using Sentinel-1 image pairs acquired in monostatic repeat-pass mode, plant heights were calculated with an error of about 50 cm. However, it was determined that these values were close to the actual plant height value by coincidence. In future studies, plant heights can be calculated more precisely using image pairs acquired in bistatic single-pass mode with a larger perpendicular baseline and a lower HoA.

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