

Article

Adaptive Backstepping Integral Sliding Mode Control for 5DOF Barge-Type OFWT under Output Constraint

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Abstract: This article presents a new control solution for a dynamical model of a translational oscillator with a rotational actuator (TORA) based on multi-body dynamics for a barge-type offshore floating wind turbine (OWFT). TORA has been employed as an active structural control strategy. The solution of bounding the output movements of platform pitch and tower bending angle to a certain limit, along with mitigating the OWFT vibrations due to environmental disturbances and uncertainties, is presented in this novel control framework. This new control algorithm consists of a high-gain observer (HGO)-based adaptive backstepping integral sliding mode control (ISMC) and a barrier Lyapunov function (BLF). This guarantees satisfying the constraints on the states and effectively resolves the problem of the unavailability of the system states. The proposed control law based on the BLF has been compared with an adaptive backstepping ISMC to show the efficiency of the output-constraint control scheme. Through MATLAB/SIMULINK numerical simulations and their numeric error table, the effectiveness of the proposed control scheme has been examined. The results confirm the validity and efficiency of the proposed control approaches.

Keywords: adaptive backstepping ISMC; barge-type OFWT; barrier Lyapunov function; output constraint control; TORA; UMS



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1. Introduction

Wind power is considered to be among the most promising renewable energy sources and has attracted widespread world interest in recent years, due to the sustainability, cost-effectiveness, and low environmental impact of wind generators [1]. Production of offshore wind energy is becoming more appealing as the need for renewable energy grows, with benefits such as higher wind speeds, fewer noise constraints, and fewer visual impacts in marine environments [2]. The two most common forms of offshore wind turbines are bottom-fixed and floating wind turbines. Monopile, gravity-based, and suction bucket structures are the most popular nearshore bottom-fixed wind-based turbines, whereas the best-known floating offshore wind turbine platforms are the spar, tension leg, and barge types [3,4]. Offshore floating wind turbine systems are still in the early stages of development, and they are being tested in the laboratory or real-world scenarios utilizing proof-of-concept tests.

Environmental stress leads to severe vibration effects on offshore platforms, causing deck platform failure, structural failure, operational failure, and even injury to workers. Currently, two methodologies are generally used to reduce structural loads and extend the life of offshore wind turbines [5–8]. The first method uses blade pitch control to minimize

the thrust force of the rotor, while the second technique involves vibration suppression devices which have three modes: passive, semi-active, and active. Passive control methods are characterized by using constant parameters and the absence of the need for external energy. A tuned mass damper (TMD) is an example of a passive technique, which is used to absorb vibrational energy by setting one of the natural frequencies of a vibrated system. The passive TMD control technique is the main foundation of the research on OFWT stabilization [9,10]. In comparison with passive strategies, the semi-active control method offers higher performance since it can be adapted over time owing to the additional sensors and control algorithms. Prior authors [11,12] have proved that the semi-active varying-damper-and-spring system is determined to be the most successful overall, managing vibrations just as efficiently with half the mass of a passive TMD. The combination of mass and an actuator is called an active mass damping (AMD) system. A few studies have applied the AMD control strategy to OFWTs [13,14].

The underactuated mechanical system (UMS), with its improved system adaptability, efficiency improvements, and cost-saving, has long been a fascinating topic for researchers [15–19]. The underactuated TORA is an AMD control approach, in which the oscillating cart as a transnational un-actuated part is connected with the actuator known as the rotational eccentric proof mass [20]. For TORA stabilization, numerous control techniques have been devised [21,22]. Furthermore, when dealing with any practicable stabilizing issues, such as OFWTs, there is often a discrepancy between the dynamical model and the real plant used for controller design. Parasitic/unmodeled dynamics, plant uncertainties, and external unknown disturbances result from these inconsistencies. Designing a closed-loop control law is a difficult task, especially in the presence of disturbances and perturbations [23,24]. To deal with these problems, robust control algorithms are considered the best solutions [25]. Sliding mode control (SMC) is a renowned robust nonlinear control strategy in academia and industry for dealing with large and abrupt changes in system dynamics [26–28]. Chattering that appears on the input of control law is one of SMC's drawbacks. The integral sliding mode control (ISMC) ensures a free-reaching phase surface so that the total system behavior accomplishes the desired invariance. It has high robustness properties against external disturbances, and it can overcome system uncertainty, particularly in nonlinear systems [29]. Backstepping is another nonlinear control strategy that is used to split a high-order nonlinear system into subsystems lower than the original system order. It provides virtual control input and “steps back” all the system until the entire control law design is accomplished [30]. For perturbed nonlinear systems, integrating the backstepping design and ISMC is an alternate robust control strategy. Other authors [31,32] have provided adequate knowledge of adaptive control laws in their research works. The inaccessibility of the actual OFWT system states is also an issue. Researchers [33–35] have proposed control strategies by using HGO for estimating the system's states and stable zero dynamics minimum-phase nonlinear systems respectively. Therefore, inspired by all the above-mentioned robust control techniques, this article aims to design a hybrid control algorithm that can provide all system state estimations and can mitigate disturbances/uncertainties at the same time.

The barge-type TORA-based multiple dynamics of the OFWT model, which we recently published [14], is used as an active structure control strategy in this article, where control input is provided to the proof-mass actuator for controlling the rotor's position. This successfully stabilized the OFWT's vibration movement. Limiting the platform pitch and the tower bending angle of the outputs of the OFWT is also a significant problem, which will be solved in this article. A barrier Lyapunov function (BLF) is a possible solution, which can handle the output constraints of platform pitch and tower bending angle. Therefore, this article presents a novel control framework for OFWT stabilization under output constraint by applying a high-gain observer (HGO)-based adaptive backstepping integral sliding mode control based on the barrier Lyapunov function. Furthermore, the proposed control law based on the BLF has been compared with adaptive backstepping ISMC to show the efficiency of the new output constraint control scheme. Through MATLAB/SIMULINK

simulations, the effectiveness of the proposed control scheme has been examined. The results confirm the validity and efficiency of the proposed control approaches.

The following sections are included in the rest of the paper: Section 2 presents the dynamical model of a barge-type TORA-based OFWT. The methodology of the new adaptive robust control law is presented in Section 3. The high-gain observer with stability analysis is formulated in Section 4 for the TORA-based proposed OFWT. The MATLAB/SIMULINK results, as well as the research work conclusions, are presented sequentially in Sections 5 and 6.

2. Dynamical Model of Barge-Type TORA-Based OFWT

The barge-type TORA-based five degrees of freedom (5DOF) OFWT model has been taken from our recent research article [14], as shown in Figure 1. It is capable of generating five megawatts. Towards the direction of the negative z-axis, the rotating proof mass ($18 \text{ m} \times 6 \text{ m} \times 6 \text{ m}$) has been attached outside of the nacelle. The hub height of the tower is 90 m. The dimension of the barge-type platform attached with mooring lines is ($40 \text{ m} \times 40 \text{ m} \times 10 \text{ m}$). The overall OFWT vibratory motion has been considered a linear movement component of the TORA, whereas the proof mass circular motion represents the TORA controllable actuated component. In this way, the five degrees of freedom OFWT model has become an underactuated mechanical system. The designed dynamic system consists of proof-mass rotational movement, tower fore-aft bending, platform pitch motion, and two linear surge and heave displacements. In this research work, the generator's dynamic behavior, degrees of freedom, rotor, and gearbox will not be used according to the designed model [13]. For the fore-aft and pitch motion of the tower and the platform, respectively, the approximated small-angle is used despite the most severe wave and wind cases, so that the equilibrium position does not exceed 10 degrees [5]. It is considered that the proof-mass actuator in the proposed OFWT is unable to cross 90 degrees out of its state of equilibrium due to the small-angle approximation.

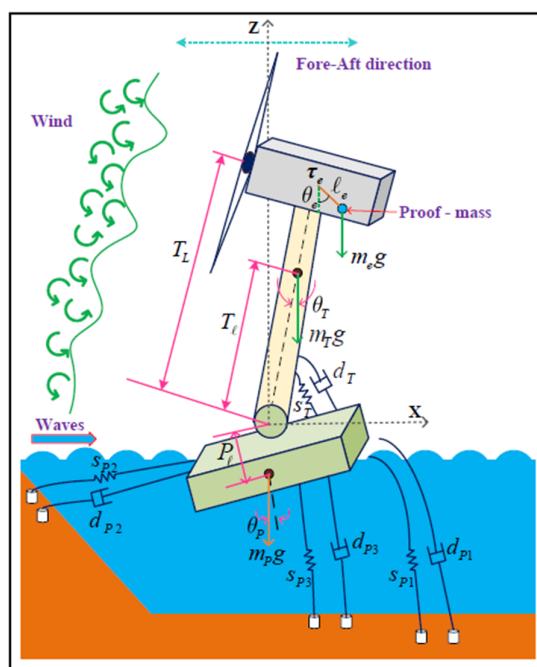


Figure 1. Barge-type TORA-based 5DOF OFWT model.

Figure 1 presents a pivoting linear rigid beam with the same point of origin XYZ reference frame, where the pivot point connects the platform with the tower. The size from the middle of the platform to the middle of the mutual pivot point is denoted by P_ℓ , and, respectively, the mass of the barge-type platform and its moment of inertia are denoted

by m_P and I_P . The platform and tower's attached dampers coefficient, $d_{P1}, d_{P2}, d_{P3}, d_T$, and the tightness of springs, $S_{P1}, S_{P2}, S_{P3}, S_T$, have constant values. Likewise, m_T and I_T , respectively, represent the mass of the tower and its moment of inertia. T_L stands for the distance between the nacelle's mid-point and the mutual pivot point, and T_ℓ stands for the distance between the center of mass of the tower and the mutual pivot point. Gravity is denoted with g , and m_e is the mass composed of the rotary proof mass m_{e1} with the attached rod mass m_{e2} . The rotating proof mass has a moment of inertia I_e , where ℓ_e stands for the rod size from the applicable control input τ_e to the rotary proof mass. The angles $\theta_e, \theta_T, \theta_P$ stand for angular positions and $\dot{\theta}_e, \dot{\theta}_T, \dot{\theta}_P$ stand for the angular velocities of the rotary proof mass, tower, and platform. The translational positions X_P, Z_P , and respective velocities are represented by \dot{X}_P, \dot{Z}_P .

After thoroughly examining and grasping the working theory of the TORA active mass damper control approach and using the OFWT system, the mathematical model of the TORA floating wind turbine has been obtained using the Euler–Lagrange equation of motion [14]. The following are some necessary equations taken from the derived model [14]. The overall kinetic energy of the considered model is determined by finding the platform kinetic energy K_{m_P} , tower kinetic energy K_{m_T} , and rotary proof-mass kinetic energy K_{m_e} , as follows:

$$\begin{aligned} K_T &= K_{m_P} + K_{m_T} + K_{m_e} \\ &= \frac{1}{2} I_P \dot{\theta}_P^2 + \frac{1}{2} I_T \dot{\theta}_T^2 + \frac{1}{2} I_e \dot{\theta}_e^2 + \frac{1}{2} m_P \left(\dot{X}_P^2 + \dot{Z}_P^2 \right) + \frac{1}{2} m_T \left[\left(\dot{X}_P + \frac{d}{dt} (T_\ell \sin \theta_T) \right)^2 \right. \\ &\quad \left. + \left(\dot{Z}_P + \frac{d}{dt} (T_\ell \cos \theta_T) \right)^2 \right] + \frac{1}{2} m_T \left[\left(\dot{X}_P + \frac{d}{dt} (T_L \sin \theta_T) + \frac{d}{dt} (\ell_e \sin \theta_e) \right)^2 \right. \\ &\quad \left. + \left(\dot{Z}_P + \frac{d}{dt} (T_L \cos \theta_T) + \frac{d}{dt} (\ell_e \cos \theta_e) \right)^2 \right] \end{aligned} \quad (1)$$

In the same way, the overall potential energy of the considered model is determined by finding the platform potential energy U_{m_P} , tower potential energy U_{m_T} , and rotary proof-mass potential energy U_{m_e} , as follows:

$$\begin{aligned} U_T &= U_{m_P} + U_{m_T} + U_{m_e} \\ &= \frac{1}{2} s_{P1} \theta_P^2 + \frac{1}{2} s_{P2} X_P^2 + \frac{1}{2} s_{P3} Z_P^2 - m_P g P_\ell \cos \theta_P + \frac{1}{2} s_T (\theta_T - \theta_P)^2 \\ &\quad + m_T g T_\ell \cos \theta_T + \frac{1}{2} s_T (\theta_T - \theta_P)^2 + m_T g T_\ell \cos \theta_T + m_e g (T_L \cos \theta_T - \ell_e \cos \theta_e) \end{aligned} \quad (2)$$

The Lagrangian of the system is determined by the difference between K_T and U_T as follows:

$$\begin{aligned} L &= K_T - U_T \\ &= \frac{1}{2} \left(I_P \dot{\theta}_P^2 + I_T \dot{\theta}_T^2 + I_e \dot{\theta}_e^2 \right) + \frac{1}{2} m_P \left(\dot{X}_P^2 + \dot{Z}_P^2 \right) + \frac{1}{2} m_T \left(\dot{X}_P^2 + 2\dot{X}_P T_\ell \cos \theta_T \dot{\theta}_T \right. \\ &\quad \left. + T_\ell^2 \dot{\theta}_T^2 + \dot{Z}_P^2 - 2\dot{Z}_P T_\ell \sin \theta_T \dot{\theta}_T \right) - \frac{1}{2} s_{P1} \theta_P^2 + m_P g P_\ell \cos \theta_P - \frac{1}{2} s_T (\theta_P^2 + \theta_T^2) \\ &\quad - 2\theta_P \theta_T) - m_T g T_\ell \cos \theta_T + \frac{1}{2} m_e \left(\dot{X}_P^2 + \dot{Z}_P^2 + T_L^2 \dot{\theta}_T^2 + \ell_e^2 \dot{\theta}_e^2 + 2T_L \dot{\theta}_T \left(\dot{X}_P \cos \theta_T \right. \right. \\ &\quad \left. - \dot{Z}_e \sin \theta_T \right) + 2\ell_e \dot{\theta}_e \left(\dot{X}_P \cos \theta_e - \dot{Z}_P \sin \theta_e \right) + 2T_L \ell_e \dot{\theta}_T \dot{\theta}_e \cos(\theta_T - \theta_e) \left. \right) \\ &\quad - m_e g (T_L \cos \theta_T - \ell_e \cos \theta_e) - \frac{1}{2} \left(s_{P2} X_P^2 + s_{P3} Z_P^2 \right) \end{aligned} \quad (3)$$

By using the Euler–Lagrange equations of motion, the respective dynamics of the barge-type TORA-based five degrees of freedom OFWT model are as follows:

$$\left\{ \begin{array}{l} (m_P + m_T + m_e) \ddot{X}_P + m_e \ell_e \cos \theta_e \ddot{\theta}_e + d_{P2} \dot{X}_P \\ + (m_T T_\ell \cos \theta_T + m_e T_L \cos \theta_T) \ddot{\theta}_T - m_e \ell_e \sin \theta_e \dot{\theta}_e^2 \\ - (m_T T_\ell \sin \theta_T + m_e T_L \sin \theta_T) \dot{\theta}_T^2 + s_{P2} X_P = 0 \\ (m_P + m_T + m_e) \ddot{Z}_P - m_e \ell_e \sin \theta_e \ddot{\theta}_e + d_{P3} \dot{Z}_P \\ - (m_T T_\ell \sin \theta_T + m_e T_L \sin \theta_T) \ddot{\theta}_T - m_e \ell_e \cos \theta_e \dot{\theta}_e^2 \\ - (m_T T_\ell \cos \theta_T + m_e T_L \cos \theta_T) \dot{\theta}_T^2 + s_{P3} Z_P = 0 \\ I_P \ddot{\theta}_P + (d_T + d_{P1}) \dot{\theta}_P - d_T \dot{\theta}_T + (s_T + s_{P1}) \theta_P \\ + m_P g P_\ell \sin \theta_P - s_T \theta_T = 0 \\ (I_T + m_T T_\ell^2 + m_e T_L^2) \ddot{\theta}_T + m_e T_L \ell_e \cos(\theta_T - \theta_e) \ddot{\theta}_e \\ - d_T \dot{\theta}_P + (m_T T_\ell \cos \theta_T + m_e T_L \cos \theta_T) \dot{X}_P + d_T \dot{\theta}_T \\ - (m_T T_\ell \sin \theta_T + m_e T_L \sin \theta_T) \dot{Z}_P - s_T \theta_P + s_T \theta_T \\ + m_e T_L \ell_e \sin(\theta_T - \theta_e) \dot{\theta}_e^2 - \sin \theta_T (m_T g T_\ell + m_e g T_L) = 0 \\ (I_e + m_e \ell_e^2) \ddot{\theta}_e + m_e \ell_e \cos \theta_e \ddot{X}_P - m_e \ell_e \sin \theta_e \ddot{Z}_P \\ + m_e T_L \ell_e \cos(\theta_T - \theta_e) \dot{\theta}_T^2 + m_e g \ell_e \sin \theta_e \\ - m_e T_L \ell_e \sin(\theta_T - \theta_e) \dot{\theta}_T^2 = \tau_e \end{array} \right. \quad (4)$$

where the platform mass is $m_P = 6,150,000$ kg, the tower mass is $m_T = 347,460$ kg, the nacelle mass is $m_{nc} = 350,000$ kg, the proof mass is $m_{e1} = 84,000$ kg and the proof-mass rod mass is $m_{e2} = 42,000$ kg. The tower and proof-mass rod length are respectively taken as $T_L = 90$ m and $\ell_e = 6$ m. In general, $P_\ell = -0.28$ m, 0, $T_\ell = 64$ m is the center of the mass. Consequently $I_e = 3,528,000$ kg \times m², $I_T = 182,170,000$ kg \times m², and $I_P = 169,450,000$ kg \times m² are the inertia of the rotary proof mass, tower, and platform, where $g = 9.81$ m/s² is the gravity constant. The stiffness value of the springs is $s_{P1} = 14,171,000,000$ N/m, $s_{P2} = s_{P3} = 1,417,100,000$ N/m and $s_T = 97,990,000,000$ N/m, and $d_T = 2,103,200,000$ N \times s/m, $d_{P1} = 3,637,400,000$ N \times s/m, and $d_{P2} = d_{P3} = 363,740,000$ N \times s/m are the values of the dampers coefficient. Due to the small angles of the tower and platform, we have considered the following approximation: $\sin \theta_T = \theta_T$, $\sin \theta_P = \theta_P$, $\cos \theta_T = 1$, and $\cos \theta_P = 1$. For simplicity, let the system's states be $x = [X_P \dot{X}_P Z_P \dot{Z}_P \theta_P \dot{\theta}_P \theta_T \dot{\theta}_T \theta_e \dot{\theta}_e]^T = [x_j \ x_k \ x_l \ x_m \ x_n \ x_o \ x_p \ x_q \ x_r \ x_s]^T$ hence, the barge-type 5DOF TORA-based OFWT model into a generalized affine form will be as follows:

$$\dot{x} = n(x) + o(x)u_e \quad (5)$$

Equation (5) contains the following data:

$$\left\{ \begin{array}{l} n(x) = [x_k \ n_j(x) \ x_m \ n_l(x) \ x_o \\ \quad n_n(x) \ x_q \ n_p(x) \ x_s \ n_r(x)]^T \\ o(x) = [0 \ o_j(x) \ 0 \ o_l(x) \ 0 \\ \quad o_n(x) \ 0 \ o_p(x) \ 0 \ o_r(x)]^T \\ u_e = \tau_e \end{array} \right. \quad (6)$$

where

$$\det(M) = c_5(c_0^2 c_6 c_9 - c_0^2 c_7^2 - c_0 c_1^2 c_9 + 2c_0 c_1 c_3 c_7 - c_0 c_2^2 c_9 - c_0 c_3^2 c_6 \\ + 2c_0 c_2 c_4 c_7 - c_0 c_4^2 c_6 + c_1^2 c_4^2 - 2c_1 c_2 c_3 c_4 + c_2^2 c_3^2) > 0 \quad (7)$$

$$\left\{ \begin{array}{l} n_j(x) = \frac{n_j}{\det(M)}, \ n_l(x) = \frac{n_l}{\det(M)}, \ n_n(x) = \frac{n_n}{\det(M)}, \\ n_p(x) = \frac{n_p}{\det(M)}, \ n_r(x) = \frac{n_r}{\det(M)}, \ o_j(x) = \frac{o_j}{\det(M)}, \\ o_l(x) = \frac{o_l}{\det(M)}, \ o_n(x) = \frac{o_n}{\det(M)}, \ o_p(x) = \frac{o_p}{\det(M)}, \\ o_r(x) = \frac{o_r}{\det(M)} \end{array} \right. \quad (8)$$

$$\left\{ \begin{array}{l} d_1 = -s_{P2}x_j - d_{P2}x_k + c_2x_q^2 + c_4x_s^2 \\ d_2 = -s_{P3}x_l - d_{P3}x_m + c_1x_q^2 + c_3x_s^2 \\ d_3 = -(s_T + s_{P1} + c_{12})x_n - (d_T + d_{P1})x_o + s_Tx_p + d_Tx_q \\ d_4 = s_Tx_n + d_Tx_o + (c_{13} - s_T)x_p - d_Tx_q - c_8x_s^2 \\ d_5 = c_8x_q^2 - c_{14} \sin x_r \end{array} \right. \quad (9)$$

$$\left\{ \begin{array}{l} n_j = -c_5(d_1c_0c_7^2 - d_4c_1c_4^2 - d_5c_3c_2^2 + d_1c_6c_4^2 + d_1c_9c_2^2 + d_5c_1c_2c_4 \\ \quad + d_4c_2c_3c_4 - d_5c_0c_1c_7 - 2d_1c_2c_4c_7 + d_2c_1c_2c_9 - d_2c_1c_4c_7 \\ \quad - d_2c_2c_3c_7 + d_4c_0c_1c_9 - d_4c_0c_3c_7 + d_5c_0c_3c_6 + d_2c_3c_4c_6 - d_1c_0c_6c_9) \\ o_j = -c_5(-c_2^2c_3 + c_1c_2c_4 - c_0c_1c_7 + c_0c_3c_6) \end{array} \right. \quad (10)$$

$$\left\{ \begin{array}{l} n_l = -c_5(d_2c_0c_7^2 + d_4c_2c_3^2 + d_5c_4c_1^2 + d_2c_6c_3^2 + d_2c_9c_1^2 \\ \quad - d_5c_1c_2c_3 - d_4c_1c_3c_4 + d_1c_1c_2c_9 - d_1c_1c_4c_7 - d_1c_2c_3c_7 \\ \quad - 2d_2c_1c_3c_7 + d_1c_3c_4c_6 + d_5c_0c_2c_7 - d_4c_0c_2c_9 \\ \quad + d_4c_0c_4c_7 - d_5c_0c_4c_6 - d_2c_0c_6c_9) \\ o_l = -c_5(c_1^2c_4 - c_1c_2c_3 + c_0c_2c_7 - c_0c_4c_6) \end{array} \right. \quad (11)$$

$$\left\{ \begin{array}{l} n_n = -d_3(c_0^2c_7^2 - c_6c_9c_0^2 + c_0c_9c_1^2 - 2c_0c_1c_3c_7 + c_0c_9c_2^2 \\ \quad - 2c_0c_2c_4c_7 + c_0c_6c_3^2 + c_0c_6c_4^2 - c_1^2c_4^2 + 2c_1c_2c_3c_4 - c_2^2c_3^2) \end{array} \right. \quad (12)$$

$$\left\{ \begin{array}{l} n_p = c_5(d_1c_1c_4^2 - d_2c_2c_3^2 - d_4c_0c_3^2 - d_4c_0c_4^2 - d_5c_7c_0^2 + d_4c_9c_0^2 \\ \quad + d_5c_0c_1c_3 - d_1c_2c_3c_4 + d_2c_1c_3c_4 - d_1c_0c_1c_9 + d_1c_0c_3c_7 \\ \quad + d_5c_0c_2c_4 + d_2c_0c_2c_9 - d_2c_0c_4c_7) \\ o_p = d_5(-c_7c_0^2 + c_0c_1c_3 + c_0c_2c_4) \end{array} \right. \quad (13)$$

$$\left\{ \begin{array}{l} n_r = -c_5(-d_1c_3c_2^2 + d_5c_0c_1^2 + d_2c_4c_1^2 + d_5c_0c_2^2 + d_4c_7c_0^2 \\ \quad - d_5c_6c_0^2 + d_1c_1c_2c_4 - d_2c_1c_2c_3 - d_4c_0c_1c_3 - d_1c_0c_1c_7 \\ \quad + d_1c_0c_3c_6 - d_4c_0c_2c_4 + d_2c_0c_2c_7 - d_2c_0c_4c_6) \\ o_r = -c_5(c_0c_1^2 + c_0c_2^2 - c_6c_0^2) \end{array} \right. \quad (14)$$

where the equilibrium points of the 5DOF barge-type OFWT model are as follows:

$$\left\{ \begin{array}{l} x_{eq} = [0, 0, 0, 0, 0, 0, 0, 0, \theta_{eq}, 0]^T, \\ \theta_{eq} = i\pi, \quad i = 0, \pm 1, \pm 2, \dots \end{array} \right. \quad (15)$$

We supposed that the nacelle's rotary proof mass could not reach 90° from the equilibrium position due to the small-angle approximation. Therefore, the equilibrium position is $\theta_{eq} = 0$ in Equation (15). The robust adaptive control laws for the output constraints of the 5DOF barge-type TORA-based OFWT are developed in the following parts.

3. Design of Robust Adaptive ISMC Algorithm

The design of a robust control algorithm for the TORA actuator in a nacelle is required to reduce the overall system's vibrations effectively. The dynamics of 5DOF barge-type TORA-based OFWT have nonlinearities that are subjected to a variety of perturbations caused by unknown disturbances and parametric uncertainties. We can take the following matched perturbation in a suggested generalized form of Equation (5), as follows:

$$\dot{x} = n(x) + o(x)u_e + Y_i(t) \quad (16)$$

where $\Upsilon_i(t)$ is the matched perturbation and $i = 1, 2, 3, 4, 5$. To implement the robust control technique [22], the general affine form of Equation (16) must be divided into second-order nonlinear systems. In this way, we will be able to achieve the following subsystems:

$$\left\{ \begin{array}{l} \dot{x}_j = x_k \\ \dot{x}_k = n_j(x) + o_j(x)u_e + \Upsilon_1(t) \\ \dot{x}_l = x_m \\ \dot{x}_m = n_l(x) + o_l(x)u_e + \Upsilon_2(t) \\ \dot{x}_n = x_o \\ \dot{x}_o = n_n(x) + \Upsilon_3(t) \\ \dot{x}_p = x_q \\ \dot{x}_q = n_p(x) + o_p(x)u_e + \Upsilon_4(t) \\ \dot{x}_r = x_s \\ \dot{x}_s = n_r(x) + o_r(x)u_e + \Upsilon_5(t) \end{array} \right. \quad (17)$$

where $(x_j, x_k), (x_l, x_m), (x_n, x_o), (x_p, x_q), (x_r, x_s)$ are the state variables. A three-step method will be used to implement a robust adaptive control scheme for a rotary actuator of the proof mass. Firstly, by using the backstepping methodology, a backstepped OFWT subsystem model will be achieved. After this, we will design an adaptive chattering suppression gain for robust backstepping integral sliding mode control laws. Finally, we will design a high-gain observer with stability analysis for the suggested model. The backstepping procedure [30] has been adapted to convert the proposed model (17) to another pattern. For this, a new state variable is selected for the first subsystem of Equation (17) as follows:

$$\mu_1 = x_j \quad (18)$$

Next, we choose the Lyapunov function and take its derivative, as follows:

$$V(\mu_1) = 0.5\mu_1^2 \quad (19)$$

$$\dot{V}(\mu_1) = \mu_1 \dot{\mu}_1 = \mu_1 x_k \quad (20)$$

Select a fictitious control input value x_k to maintain the stability of μ_1 , as in:

$$x_k = -\lambda_1 \mu_1 \quad (21)$$

where $\lambda_1 > 0$. Equation (20) is modified by substituting the value of x_k , giving:

$$\dot{V}(\mu_1) = -\lambda_1 \mu_1^2 \quad (22)$$

By selecting μ_1 , stable λ_1 dynamics can be achieved. Equation (21) will be backstepped and the variable changed, such as:

$$\mu_2 = x_k + \lambda_1 \mu_1 \quad (23)$$

Following the aforementioned method, the chosen state variables of backstepping in a subsystem form of Equation (17) will be:

$$\left\{ \begin{array}{l} \mu_1 = x_j \\ \mu_2 = x_k + \lambda_1 \mu_1 \\ \mu_3 = x_l \\ \mu_4 = x_m + \lambda_2 \mu_3 \\ \mu_5 = x_n \\ \mu_6 = x_o + \lambda_3 \mu_5 \\ \mu_7 = x_p \\ \mu_8 = x_q + \lambda_4 \mu_7 \\ \mu_9 = x_s \\ \mu_{10} = x_s + \lambda_5 \mu_9 \end{array} \right. \quad (24)$$

where $\lambda_2, \lambda_3, \lambda_4, \lambda_5$ represent the positive constants. The remaining Lyapunov functions are defined as $V(\mu_3) = 0.5\mu_3^2, V(\mu_5) = 0.5\mu_5^2, V(\mu_7) = 0.5\mu_7^2, V(\mu_9) = 0.5\mu_9^2$. The rest of the fictitious control inputs have been taken as: $x_m = -\lambda_2\mu_3, x_o = -\lambda_3\mu_5, x_q = -\lambda_4\mu_7, x_s = -\lambda_5\mu_9$. Taking the Equation (24) derivative and further evaluating it, the following results will be achieved:

$$\left\{ \begin{array}{l} \dot{\mu}_1 = \mu_2 - \lambda_1\mu_1 \\ \dot{\mu}_2 = n_j(x) + o_j(x)u_e + \lambda_1(\mu_2 - \lambda_1\mu_1) + \Upsilon_1(t) \\ \dot{\mu}_3 = \mu_4 - \lambda_2\mu_3 \\ \dot{\mu}_4 = n_l(x) + o_l(x)u_e + \lambda_2(\mu_4 - \lambda_2\mu_3) + \Upsilon_2(t) \\ \dot{\mu}_5 = \mu_6 - \lambda_3\mu_5 \\ \dot{\mu}_6 = n_n(x) + \lambda_3(\mu_6 - \lambda_3\mu_5) + \Upsilon_3(t) \\ \dot{\mu}_7 = \mu_8 - \lambda_4\mu_7 \\ \dot{\mu}_8 = n_p(x) + o_p(x)u_e + \lambda_4(\mu_8 - \lambda_4\mu_7) + \Upsilon_4(t) \\ \dot{\mu}_9 = \mu_{10} - \lambda_5\mu_9 \\ \dot{\mu}_{10} = n_r(x) + o_r(x)u_e + \lambda_5(\mu_{10} - \lambda_5\mu_9) + \Upsilon_5(t) \end{array} \right. \quad (25)$$

The second-order backstepping-based subsystems of the presented OFWT system are represented by Equation (25). Furthermore, two different control algorithms are presented for the stabilization of the OFWT model. The first one is called an adaptive integral sliding mode control and the second is the adaptive integral sliding mode control using BLF. The sliding manifold for each subsystem of Equation (25) is used for designing proposed control laws, as follows:

$$\sigma_1 = \sigma_a + \int \sigma_a dt \quad (26)$$

$$\sigma_2 = \sigma_b + \int \sigma_b dt \quad (27)$$

$$\sigma_3 = \sigma_c + \int \sigma_c dt \quad (28)$$

$$\sigma_4 = \sigma_d + \int \sigma_d dt \quad (29)$$

$$\sigma_5 = \sigma_e + \int \sigma_e dt \quad (30)$$

where $\sigma_a = \alpha_1\mu_1 + \mu_2, \sigma_b = \alpha_2\mu_3 + \mu_4, \sigma_c = \alpha_3\mu_5 + \mu_6, \sigma_d = \alpha_4\mu_7 + \mu_8, \sigma_e = \alpha_5\mu_9 + \mu_{10}$, and $\alpha_1, \alpha_2, \alpha_3, \alpha_4, \alpha_5$ are the positive constants. The surfaces (26)–(30) sum and respective derivatives sum are as follows:

$$\sigma_T = \sigma_1 + \sigma_2 + \sigma_3 + \sigma_4 + \sigma_5 \quad (31)$$

where

$$\dot{\sigma}_1 = \alpha_1\mu_1(1 - \lambda_1) + \mu_2(\alpha_1 + 1) + \lambda_1(\mu_2 - \lambda_1\mu_1) + n_j(x) + o_j(x)u_e + \Upsilon_1(t) \quad (32)$$

$$\dot{\sigma}_2 = \alpha_2\mu_3(1 - \lambda_2) + \mu_4(\alpha_2 + 1) + \lambda_2(\mu_4 - \lambda_2\mu_3) + n_l(x) + o_l(x)u_e + \Upsilon_2(t) \quad (33)$$

$$\dot{\sigma}_3 = \alpha_3\mu_5(1 - \lambda_3) + \mu_6(\alpha_3 + 1) + \lambda_3(\mu_6 - \lambda_3\mu_5) + n_n(x) + \Upsilon_3(t) \quad (34)$$

$$\dot{\sigma}_4 = \alpha_4\mu_7(1 - \lambda_4) + \mu_8(\alpha_4 + 1) + \lambda_4(\mu_8 - \lambda_4\mu_7) + n_p(x) + o_p(x)u_e + \Upsilon_4(t) \quad (35)$$

$$\dot{\sigma}_5 = \alpha_5\mu_9(1 - \lambda_5) + \mu_{10}(\alpha_5 + 1) + \lambda_5(\mu_{10} - \lambda_5\mu_9) + n_r(x) + o_r(x)u_e + \Upsilon_5(t) \quad (36)$$

Theorem 1. Consider the backstepping-based proposed model (25) and select the sliding manifold as in Equation (31) for the adaptive ISMC algorithm, as follows:

$$\begin{aligned} u_e = & -(o_j(x) + o_l(x) + o_p(x) + o_r(x))^{-1} [\alpha_1\mu_1(1 - \lambda_1) + \mu_2(\alpha_1 + 1) \\ & + \lambda_1(\mu_2 - \lambda_1\mu_1) + n_j(x) + \alpha_2\mu_3(1 - \lambda_2) + \mu_4(\alpha_2 + 1) + \lambda_2(\mu_4 - \lambda_2\mu_3) \\ & + n_l(x) + \alpha_3\mu_5(1 - \lambda_3) + \mu_6(\alpha_3 + 1) + \lambda_3(\mu_6 - \lambda_3\mu_5) + n_n(x) + \alpha_4\mu_7(1 - \lambda_4) \\ & + \mu_8(\alpha_4 + 1) + n_p(x) + \lambda_4(\mu_8 - \lambda_4\mu_7) + \alpha_5\mu_9(1 - \lambda_5) + \mu_{10}(\alpha_5 + 1) \\ & + \lambda_5(\mu_{10} - \lambda_5\mu_9) + n_r(x) + \hat{\kappa}(t)\sigma_T + \Omega_T sign(\sigma_T)] \end{aligned} \quad (37)$$

where the adaptive gain is represented by $\hat{\kappa}_T(t)$ and switching gain is represented by $\Omega_T > 0$. The proposed control algorithm will effectively stabilize the OFWT, against all the matched unknown bounded perturbations.

Proof of Theorem 1. Stability analysis using the Lyapunov function is a very precise method of control design. We took a Lyapunov candidate function, such as:

$$V_T = 0.5(\sigma_T^2 + \eta^{-1}\tilde{\kappa}_T^2(t)) \quad (38)$$

where $\tilde{\kappa}_T(t) = \kappa_T - \hat{\kappa}_T(t)$, $\dot{\tilde{\kappa}}_T(t) = -\dot{\hat{\kappa}}_T(t)$, and κ_T , η are the bounded positive constants. Now, substituting the respective values after taking the derivative of the Lyapunov function (38) to time yields:

$$\begin{aligned} \dot{V}_T = & \sigma_T \dot{\sigma}_T + \eta^{-1}\tilde{\kappa}_T(t)\dot{\tilde{\kappa}}_T(t) \\ = & \sigma_T [\alpha_1\mu_1(1 - \lambda_1) + \mu_2(\alpha_1 + 1) + \lambda_1(\mu_2 - \lambda_1\mu_1) + n_j(x) \\ & + \Upsilon_1(x, t) + \alpha_2\mu_3(1 - \lambda_2) + \mu_4(\alpha_2 + 1) + \lambda_2(\mu_4 - \lambda_2\mu_3) \\ & + n_l(x) + \Upsilon_2(x, t) + \alpha_3\mu_5(1 - \lambda_3) + \mu_6(\alpha_3 + 1) + \lambda_3(\mu_6 - \lambda_3\mu_5) + n_n(x) \\ & + \Upsilon_3(x, t) + \alpha_4\mu_7(1 - \lambda_4) + \mu_8(\alpha_4 + 1) + n_p(x) + \Upsilon_4(x, t) + \lambda_4(\mu_8 - \lambda_4\mu_7) \\ & + \alpha_5\mu_9(1 - \lambda_5) + \mu_{10}(\alpha_5 + 1) + \lambda_5(\mu_{10} - \lambda_5\mu_9) + n_r(x) + \Upsilon_5(x, t) + (o_j(x) \\ & + o_l(x) + o_p(x) + o_r(x))u_e] - \eta^{-1}\tilde{\kappa}_T(t)\dot{\hat{\kappa}}_T(t) \end{aligned} \quad (39)$$

Now, substituting the control input u_e into Equation (39) yields:

$$\begin{aligned} \dot{V}_T = & \sigma_T [\Upsilon_1(t) + \Upsilon_2(t) + \Upsilon_3(t) + \Upsilon_4(t) + \Upsilon_5(t)] \\ & - \Omega_T |\sigma_T| - \hat{\kappa}_T(t)\sigma_T^2 - \eta^{-1}\tilde{\kappa}_T(t)\dot{\hat{\kappa}}_T(t) + \kappa_T\sigma_T^2 - \kappa_T\sigma_T^2 \\ = & \sigma_T [\Upsilon_1(t) + \Upsilon_2(t) + \Upsilon_3(t) + \Upsilon_4(t) + \Upsilon_5(t)] \\ & - \Omega_T |\sigma_T| + \tilde{\kappa}_T(t)\sigma_T^2 - \eta^{-1}\tilde{\kappa}_T(t)\dot{\hat{\kappa}}_T(t) - \kappa_T\sigma_T^2 \\ = & \sigma_T [\Upsilon_1(t) + \Upsilon_2(t) + \Upsilon_3(t) + \Upsilon_4(t) + \Upsilon_5(t)] \\ & - \Omega_T |\sigma_T| - \kappa_T\sigma_T^2 + \tilde{\kappa}_T(t)(\sigma_T^2 - \eta^{-1}\dot{\hat{\kappa}}_T(t)) \end{aligned} \quad (40)$$

where the adaptive gain is designed from $\dot{\hat{\kappa}}_T(t) = \eta\sigma_T^2$. Let $\beta > 0$ and fulfills the bounded unknown perturbation constraint as $|\Upsilon_1(t)| \leq \beta_1$, $|\Upsilon_2(t)| \leq \beta_2$, $|\Upsilon_3(t)| \leq \beta_3$, $|\Upsilon_4(t)| \leq \beta_4$, $|\Upsilon_5(t)| \leq \beta_5$ where $\beta = \beta_1 + \beta_2 + \beta_3 + \beta_4 + \beta_5$. After applying these parameter values, Equation (40) will be as follows:

$$\dot{V}_T \leq -|\sigma_T|[\Omega_T - \beta] - \kappa_T\sigma_T^2 \quad (41)$$

where $\Omega_T = \beta + \psi$, $\psi > 0$, and further simplifying yields:

$$\dot{V}_T \leq -\psi|\sigma_T| - \kappa_T\sigma_T^2 \quad (42)$$

The derivative of the Lyapunov function is negative definite, as shown in Equation (42). As a result, the suggested control algorithm stabilizes the system effectively and robustly, completing the verification. \square

Theorem 2. Consider the backstepping-based proposed model (25) and select the sliding manifold as in Equation (31) for adaptive ISMC algorithm with output constraint ($|\mu_5| \leq \omega_0$ and $|\mu_7| \leq \omega_1$), as follows:

$$\begin{aligned} u_e = & -(o_j(x) + o_l(x) + o_p(x) + o_r(x))^{-1} [\alpha_1\mu_1(1 - \lambda_1) + \mu_2(\alpha_1 + 1) \\ & + \lambda_1(\mu_2 - \lambda_1\mu_1) + n_j(x) + \alpha_2\mu_3(1 - \lambda_2) + \mu_4(\alpha_2 + 1) + \lambda_2(\mu_4 - \lambda_2\mu_3) \\ & + n_l(x) + \alpha_3\mu_5(1 - \lambda_3) + \mu_6(\alpha_3 + 1) + \lambda_3(\mu_6 - \lambda_3\mu_5) + n_n(x) + \alpha_4\mu_7(1 \\ & - \lambda_4) + \mu_8(\alpha_4 + 1) + n_p(x) + \lambda_4(\mu_8 - \lambda_4\mu_7) + \alpha_5\mu_9(1 - \lambda_5) + \mu_{10}(\alpha_5 \\ & + 1) + \lambda_5(\mu_{10} - \lambda_5\mu_9) + n_r(x) + \hat{\kappa}(t)\sigma_T + (\omega_0^2 - \mu_5^2)^{-1}(\nu_0\mu_5|\sigma_3|) + (\omega_1^2 \\ & - \mu_7^2)^{-1}(\nu_1\mu_7|\sigma_4|) + \Omega_T((\omega_0^2 - \mu_5^2)^{-0.5}(\mu_5) + (\omega_1^2 - \mu_7^2)^{-0.5}(\mu_7) \\ & + (|2\sigma_T|)^{0.5})sign(\sigma_T) - \psi_1sign(\sigma_T) - \psi_2sign(\sigma_T)] \end{aligned} \quad (43)$$

where ν_0 , ν_1 , ω_0 , ω_1 are the positive gains, the adaptive and switching gains are respectively as: $\hat{\kappa}_T(t)$, $\Omega_T > 0$. The proposed control algorithm will effectively stabilize the OFWT to the output constraint, against all the matched unknown bounded perturbations.

Proof of Theorem 2. Select a Lyapunov candidate function, as follows:

$$\begin{aligned} V_T = & |\sigma_T| + 0.5(\nu_0 \ln((\omega_0^2 - \mu_5^2)^{-1}(\omega_0^2)) \\ & + \nu_1 \ln((\omega_1^2 - \mu_7^2)^{-1}(\omega_1^2)) + \eta^{-1}\tilde{\kappa}_T^2(t)) \end{aligned} \quad (44)$$

where $\tilde{\kappa}_T(t) = \kappa_T - \hat{\kappa}_T(t)$, $\dot{\tilde{\kappa}}_T(t) = -\dot{\hat{\kappa}}_T(t)$, $\kappa_T > 0$, and $\eta > 0$. Now, substituting the respective values after taking the derivative of the Lyapunov function (44) to time yields:

$$\begin{aligned} \dot{V}_T = & \dot{\sigma}_T sign(\sigma_T) + ((\omega_0^2 - \mu_5^2)^{-1}(\nu_0\mu_5\dot{\mu}_5)) \\ & + ((\omega_1^2 - \mu_7^2)^{-1}(\nu_1\mu_7\dot{\mu}_7)) - \eta^{-1}\tilde{\kappa}_T(t)\dot{\hat{\kappa}}_T(t) \end{aligned} \quad (45)$$

$$\begin{aligned} \dot{V}_T = & sign(\sigma_T) [\alpha_1\mu_1(1 - \lambda_1) + \mu_2(\alpha_1 + 1) + \lambda_1(\mu_2 - \lambda_1\mu_1) + n_j(x) + \Upsilon_1(t) + \alpha_2\mu_3(1 \\ & - \lambda_2) + \mu_4(\alpha_2 + 1) + \lambda_2(\mu_4 - \lambda_2\mu_3) + n_l(x) + \alpha_3\mu_5(1 - \lambda_3) + \Upsilon_2(t) + \mu_6(\alpha_3 + 1) \\ & + \lambda_3(\mu_6 - \lambda_3\mu_5) + n_n(x) + \Upsilon_3(t) + \alpha_4\mu_7(1 - \lambda_4) + \mu_8(\alpha_4 + 1) + n_p(x) + \Upsilon_4(t) \\ & + \lambda_4(\mu_8 - \lambda_4\mu_7) + \alpha_5\mu_9(1 - \lambda_5) + \mu_{10}(\alpha_5 + 1) + \lambda_5(\mu_{10} - \lambda_5\mu_9) + n_r(x) + \Upsilon_5(t) \\ & + (\omega_0^2 - \mu_5^2)^{-1}(\nu_0\mu_5|\sigma_3|) + (\omega_1^2 - \mu_7^2)^{-1}(\nu_1\mu_7|\sigma_4|) + (o_j(x) + o_l(x) + o_p(x) \\ & + o_r(x))u_e] - \psi_1 - \psi_2 - \psi_3 - \psi_4 - \eta^{-1}\tilde{\kappa}_T(t)\dot{\hat{\kappa}}_T(t) \end{aligned} \quad (46)$$

where the following parameters are defined as $\psi_1 = ((\omega_0^2 - \mu_5^2)^{-1}(\nu_0\mu_5(\alpha_3\mu_5 + \alpha_3 \int \mu_5 dt + \int \mu_6 dt)))$, $\psi_3 = ((\omega_0^2 - \mu_5^2)^{-1}(\nu_0\lambda_3\mu_5^2))$, $\psi_2 = ((\omega_1^2 - \mu_7^2)^{-1}(\nu_1\mu_7(\alpha_4\mu_7 + \alpha_4 \int \mu_7 dt + \int \mu_8 dt)))$, and $\psi_4 = ((\omega_1^2 - \mu_7^2)^{-1}(\nu_1\lambda_4\mu_7^2))$. Let $\beta > 0$ and fulfill the bounded unknown perturbation

constraint as $|\Upsilon_1(t)| \leq \beta_1$, $|\Upsilon_2(t)| \leq \beta_2$, $|\Upsilon_3(t)| \leq \beta_3$, $|\Upsilon_4(t)| \leq \beta_4$, $|\Upsilon_5(t)| \leq \beta_5$, where $\beta = \beta_1 + \beta_2 + \beta_3 + \beta_4 + \beta_5$. Now, substituting the control input u_e in Equation (46) yields:

$$\begin{aligned} \dot{V}_T &= [\Upsilon_1(t) + \Upsilon_2(t) + \Upsilon_3(t) + \Upsilon_4(t) \\ &\quad + \Upsilon_5(t)] \text{sign}(\sigma_T) - \Omega_T (\left(\omega_0^2 - \mu_5^2 \right)^{-0.5} (\mu_5^2)^{0.5} \\ &\quad + (\omega_1^2 - \mu_7^2)^{-0.5} (\mu_7^2)^{0.5} + (|2\sigma_T|)^{0.5}) + \kappa_T(t) |\sigma_T| \\ &\quad - \hat{\kappa}_T(t) |\sigma_T| - \eta^{-1} \tilde{\kappa}_T(t) \dot{\hat{\kappa}}_T(t) - \kappa_T(t) |\sigma_T| \\ &\leq -\kappa_T(t) |\sigma_T| + \beta \text{sign}(\sigma_T) - \Omega_T (\ln(\omega_0^2 \\ &\quad - \mu_5^2)^{-0.5} (\omega_0^2)^{0.5} + \ln(\omega_1^2 - \mu_7^2)^{-0.5} (\omega_1^2)^{0.5} \\ &\quad + (|2\sigma_T|)^{0.5}) + \tilde{\kappa}_T(t) |\sigma_T| - \eta^{-1} \tilde{\kappa}_T(t) \dot{\hat{\kappa}}_T(t) \\ &\leq -\Omega_T \sqrt{2V_T} \end{aligned} \quad (47)$$

where the adaptive gain is designed from $\dot{\hat{\kappa}}_T(t) = \eta |\sigma_T|$. The derivative of the Lyapunov function is negative definite, as shown in Equation (47). As a result, the suggested control algorithm stabilizes the system effectively and robustly, and will never become unbounded due to the conditions mentioned in Theorem 2. It completes the verification. \square

4. Formulation of HGO

With information on desired output states, the high-gain observer can generate the estimation of the unknown states in the presence of system uncertainties and disturbances. The HGO's state estimation property ensures that the controller has access to unknown states. Figure 2 represents the schematic diagram of the adaptive robust control scheme for the OFWT system. The HGO uses the actual system states information and provides all known and unknown estimated states to the control input of the closed-loop system, as shown in the schematic diagram.

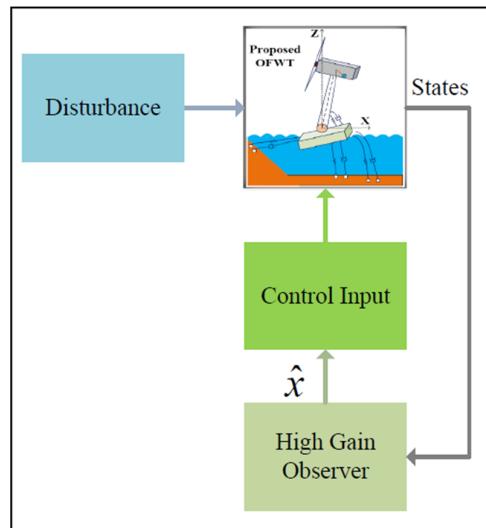


Figure 2. Schematic diagram of the adaptive robust control scheme for OFWT system.

In the form of a second order, we have presented five subsystems in this article, where each of them is defined in normal form. After that we developed HGOs for each subsystem for the nominal values of the system, as follows:

$$\begin{cases} \dot{\hat{x}}_j = \hat{x}_k + \gamma_1(x_j - \hat{x}_j) \\ \dot{\hat{x}}_k = \phi_j(\hat{x}, u) + \gamma_2(x_j - \hat{x}_j) \end{cases} \quad (48)$$

$$\begin{cases} \dot{\hat{x}}_l = \hat{x}_m + \gamma_3(x_l - \hat{x}_l) \\ \dot{\hat{x}}_m = \phi_l(\hat{x}, u) + \gamma_4(x_l - \hat{x}_l) \end{cases} \quad (49)$$

$$\begin{cases} \dot{\hat{x}}_n = \hat{x}_o + \gamma_5(x_n - \hat{x}_n) \\ \dot{\hat{x}}_o = \phi_n(\hat{x}, u) + \gamma_6(x_n - \hat{x}_n) \end{cases} \quad (50)$$

$$\begin{cases} \dot{\hat{x}}_p = \hat{x}_q + \gamma_7(x_p - \hat{x}_p) \\ \dot{\hat{x}}_q = \phi_p(\hat{x}, u) + \gamma_8(x_p - \hat{x}_p) \end{cases} \quad (51)$$

$$\begin{cases} \dot{\hat{x}}_r = \hat{x}_s + \gamma_9(x_r - \hat{x}_r) \\ \dot{\hat{x}}_s = \phi_r(\hat{x}, u) + \gamma_{10}(x_r - \hat{x}_r) \end{cases} \quad (52)$$

where $\phi_j(\hat{x}, u) = \hat{n}_j(x) + \hat{o}_j(x)u_e$, $\phi_l(\hat{x}, u) = \hat{n}_l(x) + \hat{o}_l(x)u_e$, $\phi_n(\hat{x}, u) = \hat{n}_n(x)$, $\phi_p(\hat{x}, u) = \hat{n}_p(x) + \hat{o}_p(x)u_e$, $\phi_r(\hat{x}, u) = \hat{n}_r(x) + \hat{o}_r(x)u_e$, and $\gamma_1 = a_1^1/b$, $\gamma_2 = a_2^1/b^2$, $\gamma_3 = a_1^2/b$, $\gamma_4 = a_2^2/b^2$, $\gamma_5 = a_1^3/b$, $\gamma_6 = a_2^3/b^2$, $\gamma_7 = a_1^4/b$, $\gamma_8 = a_2^4/b^2$, $\gamma_9 = a_1^5/b$, $\gamma_{10} = a_2^5/b^2$, while b has a small positive constant value, such as $b \ll 1$. Constant parameters a_1^1 , a_2^1 , a_1^2 , a_2^2 , a_1^3 , a_2^3 , a_1^4 , a_2^4 , a_1^5 , a_2^5 are chosen from these polynomials $s^2 + a_1^1s + a_2^1 = 0$, $s^2 + a_1^2s + a_2^2 = 0$, $s^2 + a_1^3s + a_2^3 = 0$, $s^2 + a_1^4s + a_2^4 = 0$, and $s^2 + a_1^5s + a_2^5 = 0$ in such a way that in the left half-plane, the polynomials' roots will exist.

Stability Analysis: The stability analysis of the high-gain observer of the suggested barge-type OFWT model is determined by rewriting Equation (16) into a generalized form as follows:

$$\begin{cases} \dot{x} = Ax + B\phi(x, u) \\ y = Cx \end{cases} \quad (53)$$

where $x \in R^\rho$, $\rho = 10$, $y \in R^m$, $m = 5$, $\emptyset : R^5 \times R \rightarrow R$ is a real-valued map, and $\emptyset(x, u)$ is the image of (x, u) . Overall, the proposed OFWT model Equation (16) has a 10-degree order ($\rho = 10$) and each subsystem of the proposed OFWT model Equation (17) has a 2-degree order ($\rho = \sum_{i=1}^5 \rho_i$). According to the HGO design [35], the derivative of estimated states can be expressed as follows:

$$\dot{\hat{x}} = A\hat{x} + B\phi(\hat{x}, u) + HC(y - \hat{x}) \quad (54)$$

where

$$\tilde{x} = \begin{bmatrix} \tilde{x}_1 \\ \tilde{x}_2 \\ \tilde{x}_3 \\ \tilde{x}_4 \\ \tilde{x}_5 \\ \tilde{x}_6 \\ \tilde{x}_7 \\ \tilde{x}_8 \\ \tilde{x}_9 \\ \tilde{x}_{10} \end{bmatrix} = \begin{bmatrix} x_1 - \hat{x}_1 \\ x_2 - \hat{x}_2 \\ x_3 - \hat{x}_3 \\ x_4 - \hat{x}_4 \\ x_5 - \hat{x}_5 \\ x_6 - \hat{x}_6 \\ x_7 - \hat{x}_7 \\ x_8 - \hat{x}_8 \\ x_9 - \hat{x}_9 \\ x_{10} - \hat{x}_{10} \end{bmatrix} \quad (55)$$

here, \tilde{x} is the estimation error of the observer. For simplicity, a notation can be defined as $\xi(x, \hat{x}) = \phi(x, u) - \phi(\hat{x}, u)$. Now, taking the derivative of Equation (55) yields:

$$\dot{\tilde{x}} = A_0 \tilde{x} + B_0 \xi(x, \hat{x}) \quad (56)$$

where $\xi(x, \hat{x}) = [\xi_1(x, \hat{x}) \ \xi_2(x, \hat{x}) \ \xi_3(x, \hat{x}) \ \xi_4(x, \hat{x}) \ \xi_5(x, \hat{x})]^T$. Now, the scale estimation is defined as $\omega_{ij} = (x_{ij} - \hat{x}_{ij}) / b^{\rho_i - j}$, where $1 \leq i \leq 5$ and $1 \leq j \leq \rho_j$. After substituting the values, the scale estimation of each state is as follows: $\omega_{11} = \tilde{x}_{11}/b$, $\omega_{12} = \tilde{x}_{12}$. For simplicity, we assumed that $\omega_{11} = \tilde{x}_{11}/b = \tilde{x}_1/b = \omega_1$, $\omega_{12} = \tilde{x}_{12} = \tilde{x}_2 = \omega_2$ and similarly for the remaining parameters. From this, we can get $b\dot{\omega}_1 = -a_1^1\omega_1 + \omega_2$, $b\dot{\omega}_2 = -a_2^1\omega_1 + b\xi_1(x, \hat{x})$, $\omega_3 = \tilde{x}_3/b$, $\omega_4 = \tilde{x}_4$, $\omega_5 = \tilde{x}_5/b$, $\omega_6 = \tilde{x}_6$, $\omega_7 = \tilde{x}_7/b$, $\omega_8 = \tilde{x}_8$, $\omega_9 = \tilde{x}_9/b$, $\omega_{10} = \tilde{x}_{10}$. From the scale estimation of each state, a generalized form can be written as follows:

$$D(b)\omega = x - \hat{x} \quad (57)$$

taking the derivative of Equation (57) and substituting the values yields:

$$\dot{\omega} = D^{-1}(b)(A - HC)D(b)\omega + D^{-1}(b)B\xi(x, z, D(b)\omega) \quad (58)$$

$$\dot{\omega} = b^{-1}\zeta\omega + B\xi(x, z, D(b)\omega) \quad (59)$$

hence, Equation (59) can be written as:

$$b\dot{\omega} = \zeta\omega + bB\xi(x, z, D(b)\omega) \quad (60)$$

since ζ is Hurwitz, thus it is concluded from Equation (60) that as the value b approaches zero, the uncertain term becomes zero, and the error converges to zero asymptotically. The derived matrices for the stability analysis are presented in Appendix A.

5. Simulation Results and Discussion

In this paper, MATLAB/SIMULINK software is utilized to simulate the mathematical model of the barge-type TORA-based 5DOF OFWT and as well as to verify the efficiency of the designed BLF-based adaptive backstepping ISMC compared with the adaptive backstepping ISMC law. As in the control design portion, we have selected a backstepping ISMC surface and designed two robust adaptive ISMC laws based on the high-gain observer. The objective of this study is to examine the OFWT model stability under the applied output constraints and to improve its performance in terms of stability by using the suggested control strategy. For this, the parametric values discussed in the suggested perturbed 5DOF OFWT model section have been used in simulation with the assumption that the system is in vibrating condition with these initial values: $x_j(0) = 0.2$, $x_k(0) = 0$, $x_l(0) = 0.15$, $x_m(0) = 0$, $x_n(0) = \pi/36$, $x_o(0) = 0$, $x_p(0) = \pi/36$, $x_q(0) = 0$, $x_r(0) = 0$, $x_s(0) = 0$, where the following are the initial design conditions for the HGO: $\hat{x}_j(0) = 0$, $\hat{x}_k(0) = 0$, $\hat{x}_l(0) = 0$, $\hat{x}_m(0) = 0$, $\hat{x}_n(0) = 0$, $\hat{x}_o(0) = 0$, $\hat{x}_p(0) = 0$, $\hat{x}_q(0) = 0$, $\hat{x}_r(0) = 0$, and $\hat{x}_s(0) = 0$.

We have been able to achieve the simulation results displayed in Figure 3 by utilizing the initial values rather than incorporating control input. Throughout every simulation outcome, X_P of the part (a) and Z_P of the part (b) represent the platform surge and heave linear displacement, while parts (c), (d), and (e) represent the platform pitch angle (θ_P), bending tower angle (θ_T), and rotary angle (θ_e) of the TORA actuator, respectively. Without applying control laws, the suggested model's natural response, including and excluding the actuated proof mass, has been studied using the system comparison analysis shown in Figure 3. Figure 3 shows that while achieving the equilibrium position, the effect of the vibration is smaller in the suggested proof-mass actuator model case, while Figure 3e shows the actuated proof-mass vibrating behavior. Similarly, without considering the actuated proof-mass case, Figure 3a,b go to their equilibrium position approximately in 60 s, while in the case of including the proof-mass actuator, and with no applying control input, the

states go to the equilibrium position within 5 s. In the remaining subparts of Figure 3c–e, in both cases (excluding and including the proof-mass actuator model), the states go to their equilibrium position after 100 s, but the difference is in terms of vibrational amplitude. Furthermore, the barge-type OFWT is a fundamentally stable system, as has been demonstrated in the literature [6,9]. Our proposed model's simulated results demonstrate a similar pattern to that shown in previous articles. As the standard matched sinusoidal disturbance, $\Upsilon_i(t)$ is taken in our proposed model as a perturbation factor. The selection of disturbances $\Upsilon_1(t)$ and $\Upsilon_2(t)$ are used in such a way as to cause the drifting phenomena into the barge platform of the proposed model, where $\Upsilon_1(t) = 5\sin(2\pi ft)[\cos\varphi - \sin\varphi]$, $\Upsilon_2(t) = 5\sin(2\pi ft)[\sin\varphi + \cos\varphi]$, and φ is the drifting angle. Moreover, $\Upsilon_i(t) = 5\sin(2\pi ft)$, $i = 3, 4, 5$, $f = 15$ Hz, and $\varphi = 30^\circ$.

The comparison study has been conducted by applying the proposed adaptive backstepping ISMC and BLF-based adaptive backstepping ISMC to the proof-mass actuator, as shown in Figure 4. Table 1 shows the positive constants parameters. For comparison purposes, we have chosen the same values for both tuning control laws. All the parts of Figure 4 show that the states go to their equilibrium position at the same time (within 10 s) by using the adaptive backstepping ISMC and the BLF-based adaptive backstepping ISMC. Parts (c) and (d) of Figure 4 show that the BLF-based control algorithm works accurately and bound the platform pitch angle and the tower bending angle within five degrees, while the adaptive backstepping ISMC violates the constraint. Furthermore, the proposed BLF-based adaptive backstepping ISMC better stabilizes the pitch and the bending movement of the barge-type OFWT tower within 10 s as compared with the active and passive control approaches mentioned in other articles [9,13]. Table 2 presents the root mean square error (RMSE) of Figure 4. For quantitative comparison purposes, Table 2 also presents the transient state and steady-state error response. From Table 2, it can be seen clearly that the error of controlled states (CS) using the adaptive backstepping ISMC and BLF-based adaptive backstepping ISMC shows a very small error. Overall, the RMSE of the controlled states using the adaptive backstepping ISMC has less error than the BLF-based adaptive backstepping ISMC.

Table 1. Proposed control tuning parameters.

Parameters	Value	Parameters	Value
α_1	3	λ_5	10
α_2	3	ν_0	1
α_3	3	ν_1	1
α_4	2	ω_0	5
α_5	1	ω_1	5
λ_1	1	η	10
λ_2	1	Ω_T	10
λ_3	1	a_1	11
λ_4	1	a_2	11

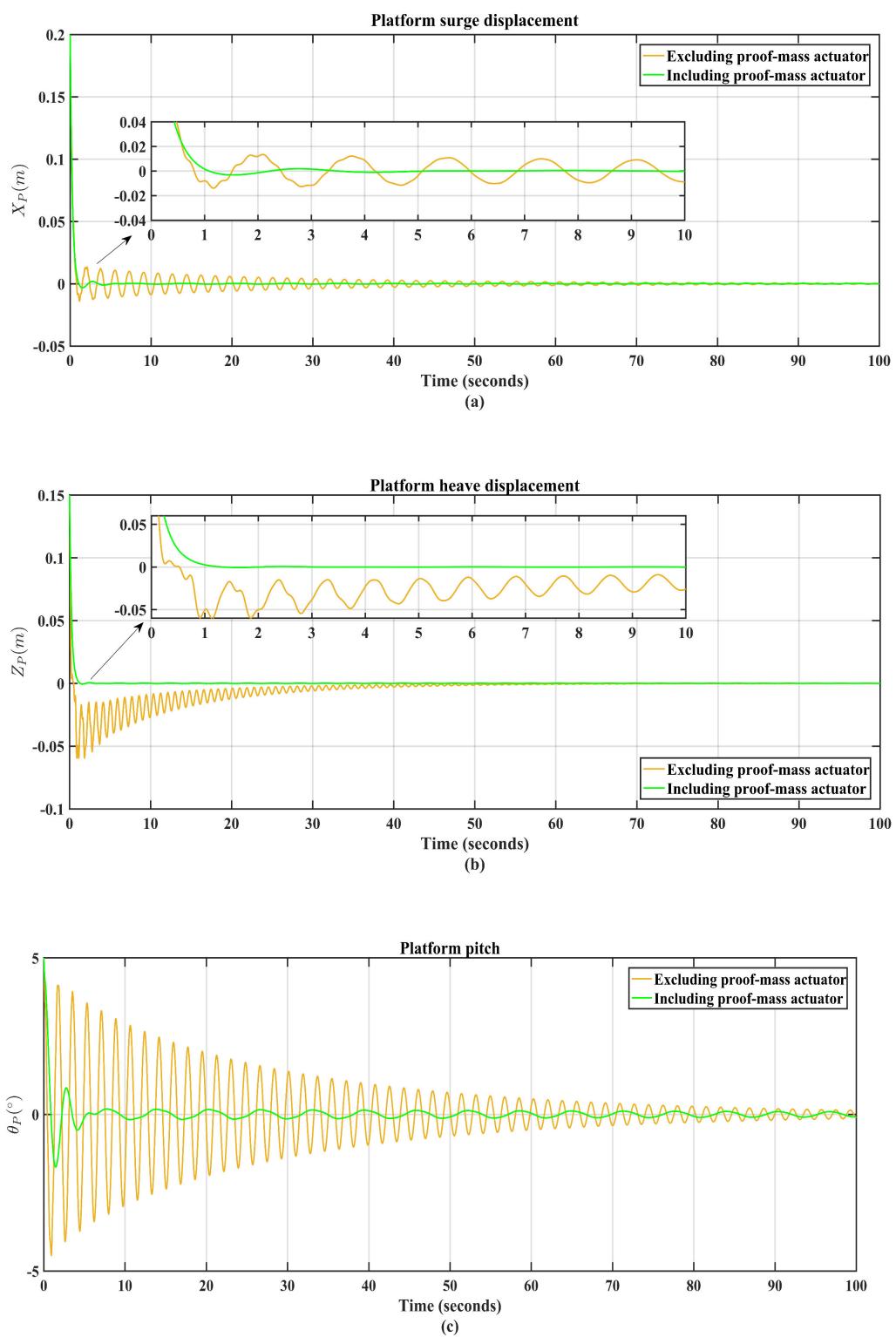


Figure 3. Cont.

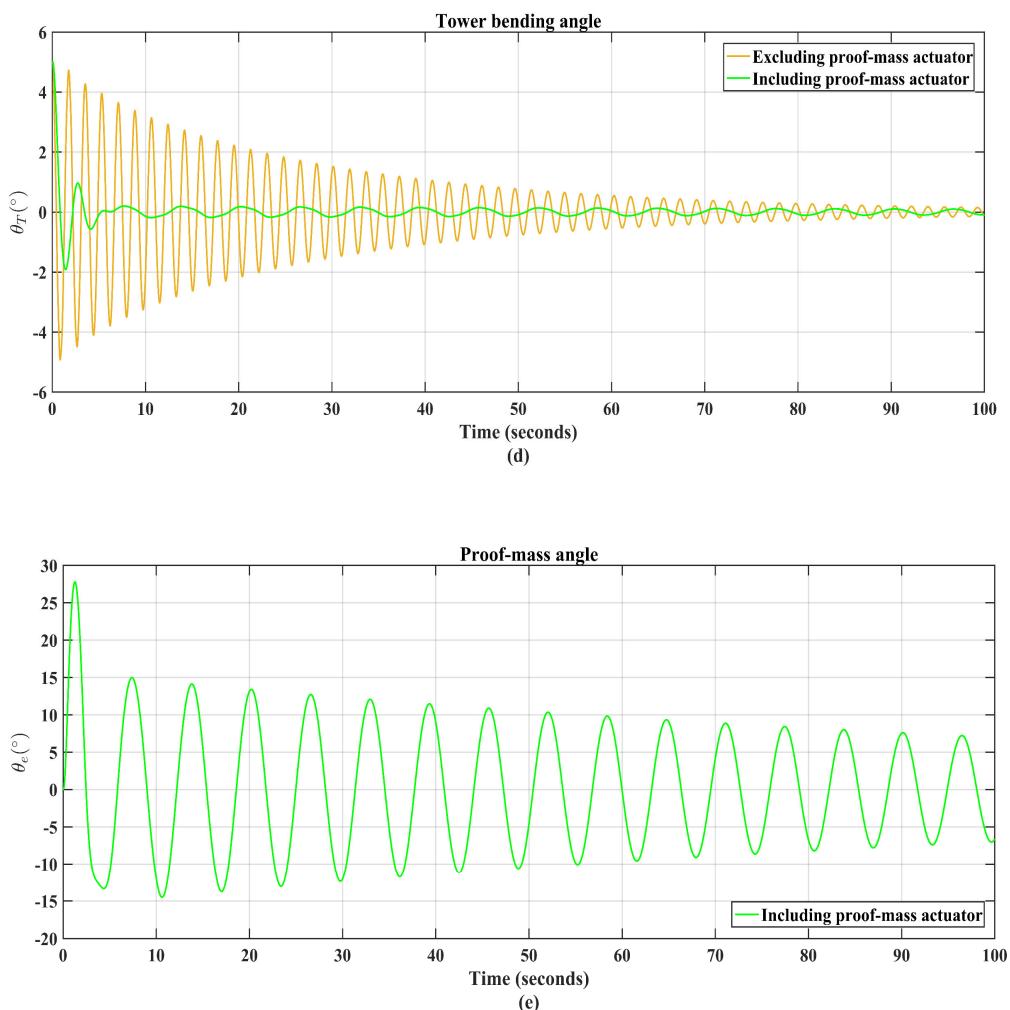


Figure 3. The response of barge–type 5DOF TORA–based OFWT without applying control laws, (a) X_P , (b) Z_P , (c) θ_P , (d) θ_T , and (e) θ_e , where brown results do not apply the proof-mass actuator in the OFWT model and green results do apply the proof-mass actuator in the OFWT model.

Table 2. Error analysis of the controlled states (CS) and estimated states (ES).

Signal under Observation	Error	X_P	Z_P	θ_P	θ_T	θ_e
CS using adaptive ISMC	Transient state	0.0291	0.0210	1.1648	1.2893	1.2992
	Steady state	0.0001	0.0001	0.0061	0.0070	0.0116
	RMSE	0.0158	0.0114	0.8208	0.9084	0.9155
CS using BLF-based adaptive ISMC	Transient state	0.0289	0.0211	1.1778	1.3108	3.4688
	Steady state	0.0001	0.0001	0.0063	0.0070	0.0102
	RMSE	0.0157	0.0114	0.8299	0.9236	2.4443
ES using HGO	RMSE	0.0051	0.0038	0.1279	0.1279	1.2221

Figure 5 represents the total backstepping ISMC surface response for designing HGO-based proposed control algorithms. It can be observed that the system trajectories reach the sliding manifold in 0.5 s i.e., $\sigma_T = 0 \forall t \geq 0.5$. The BLF-based adaptive backstepping ISMC input represents significantly less chattering than the adaptive backstepping ISMC input, as can be seen clearly in Figure 6. Using the HGO for the BLF–based adaptive backstepping ISMC, the original states and their estimated states with the respective error of the suggested model are shown in Figure 7, where the numerical difference between the

original and their estimated states are very minor, as shown in Table 2. This model works for all of the hypotheses provided in the modeling section. The response of the adaptive gains used in the adaptive backstepping ISMC and BLF-based adaptive backstepping ISMC algorithms are shown in Figure 8, where the adaptive gain used in the adaptive backstepping ISMC settles at approximately 97 and the adaptive gain used in the BLF-based adaptive backstepping ISMC settles at approximately 43.

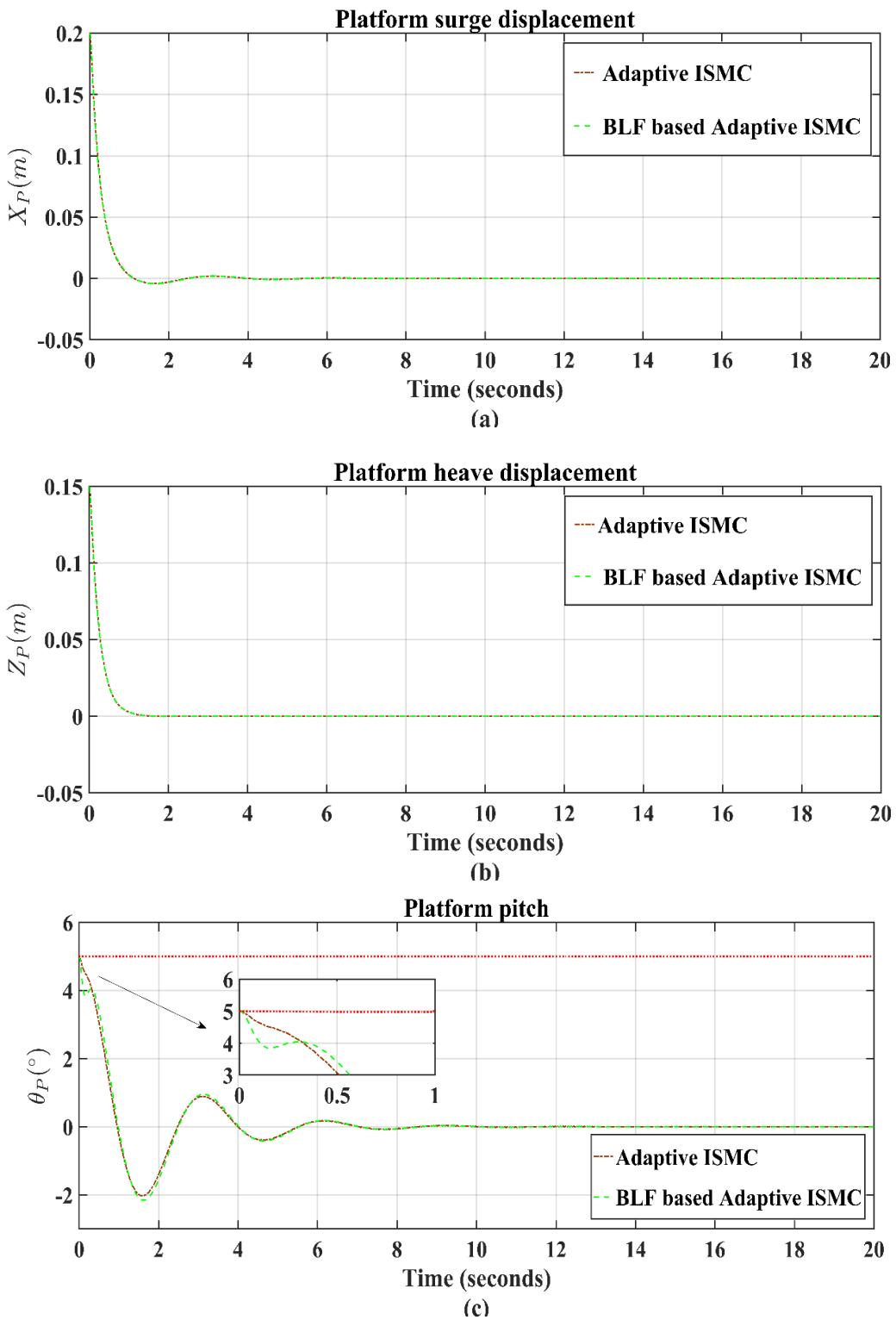


Figure 4. Cont.

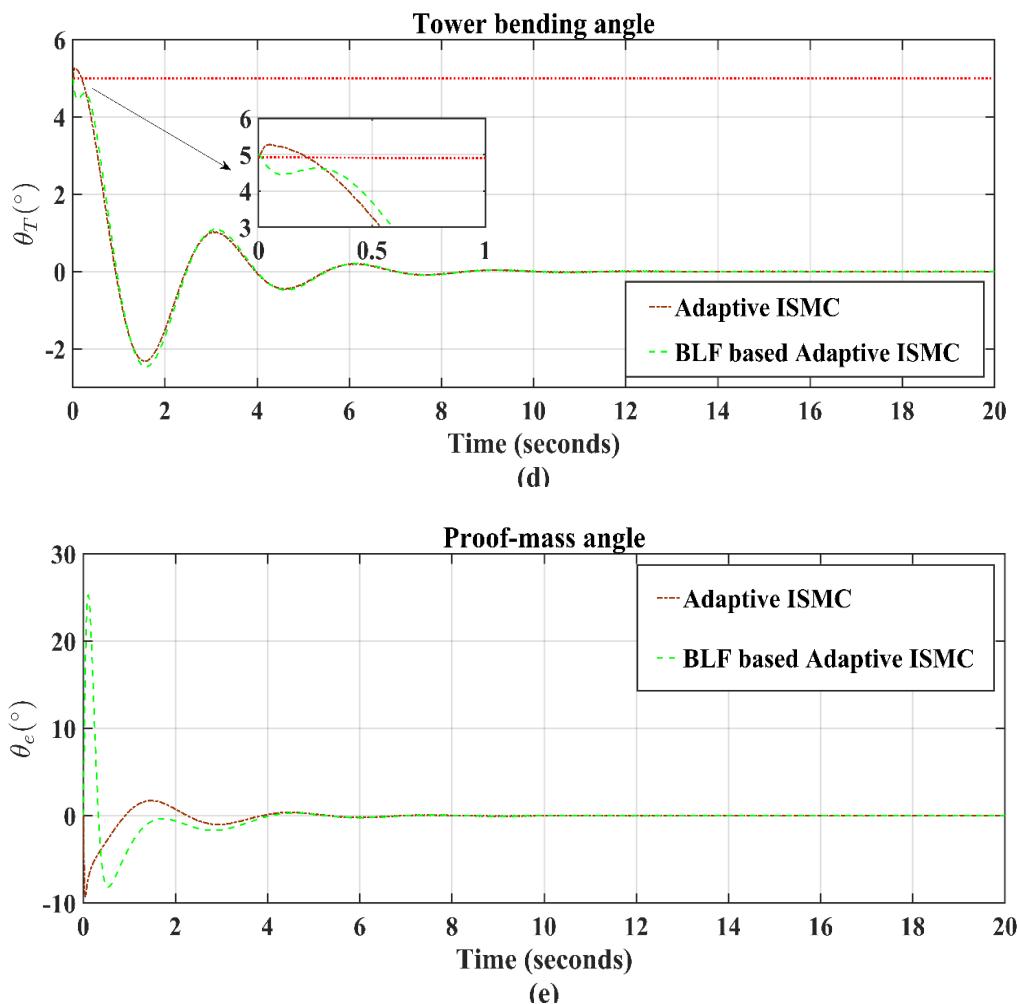


Figure 4. The response of barge–type 5DOF TORA–based OFWT when using adaptive backstepping ISMC and BLF-based adaptive backstepping ISMC, (a) X_P , (b) Z_P , (c) θ_P , (d) θ_T , and (e) θ_e , where brown results represent adaptive backstepping ISMC efficiency and green results represent BLF-based adaptive backstepping ISMC efficiency.

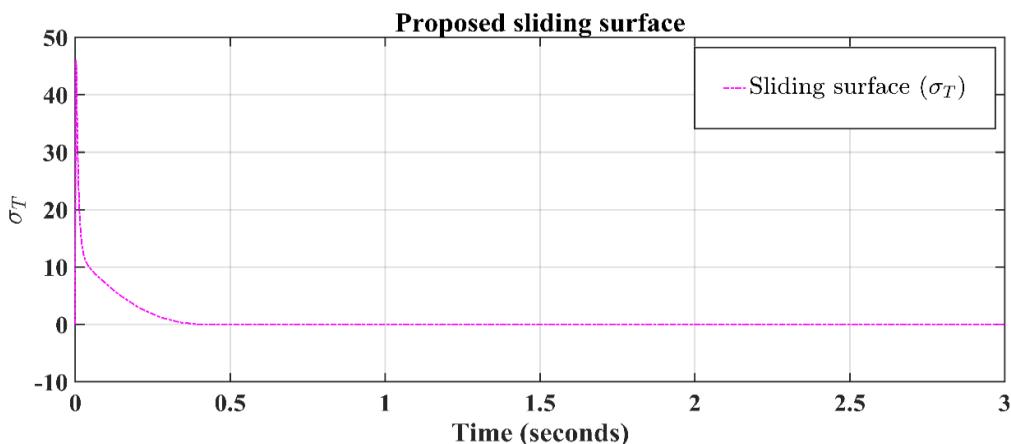


Figure 5. The response of the total backstepping ISMC surface (σ_T) for developing proposed control algorithms.

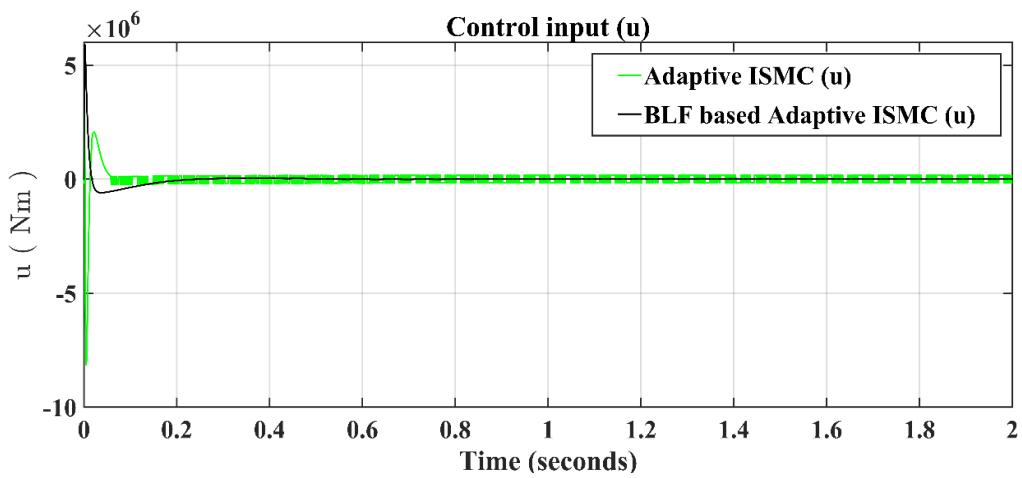


Figure 6. The response of the proposed control inputs, where green represents adaptive backstepping ISMC efficiency and black represents BLF-based adaptive backstepping ISMC efficiency.

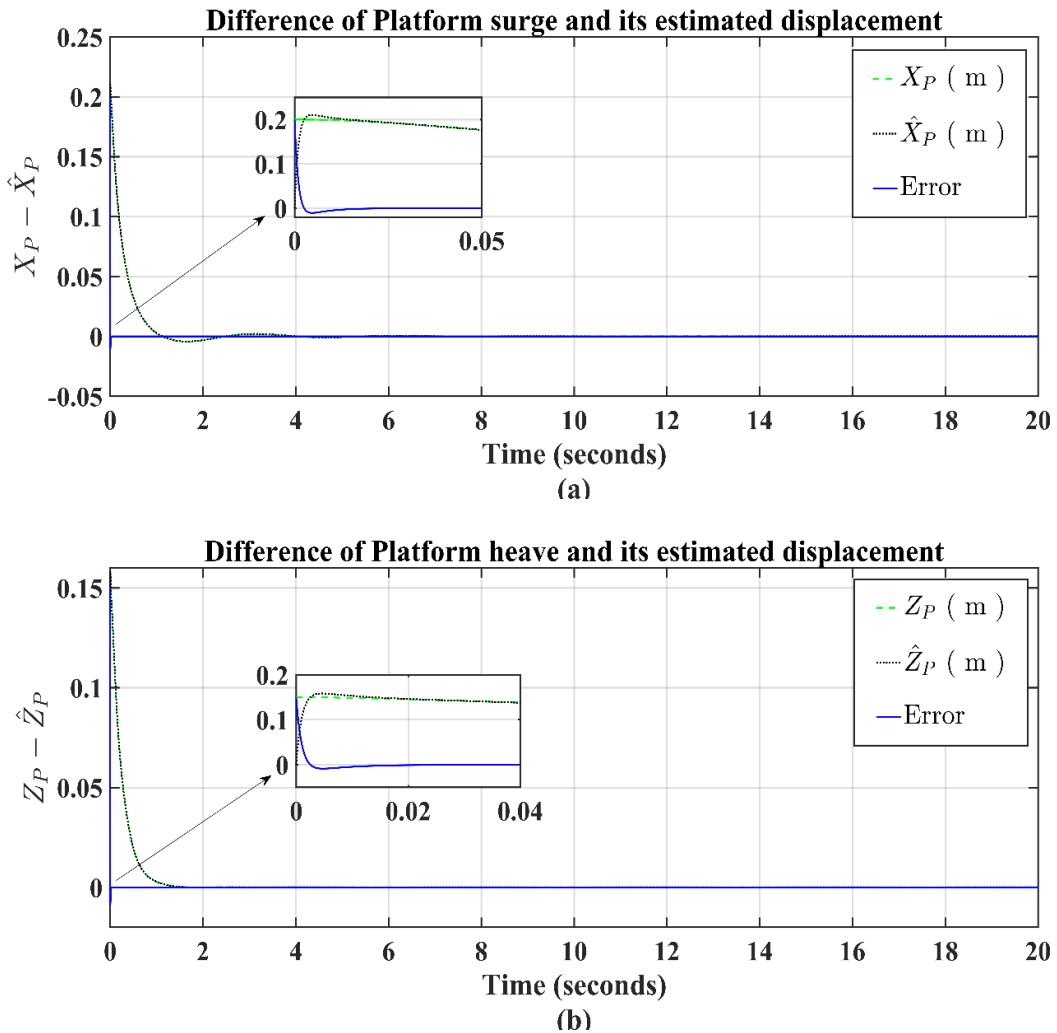


Figure 7. Cont.

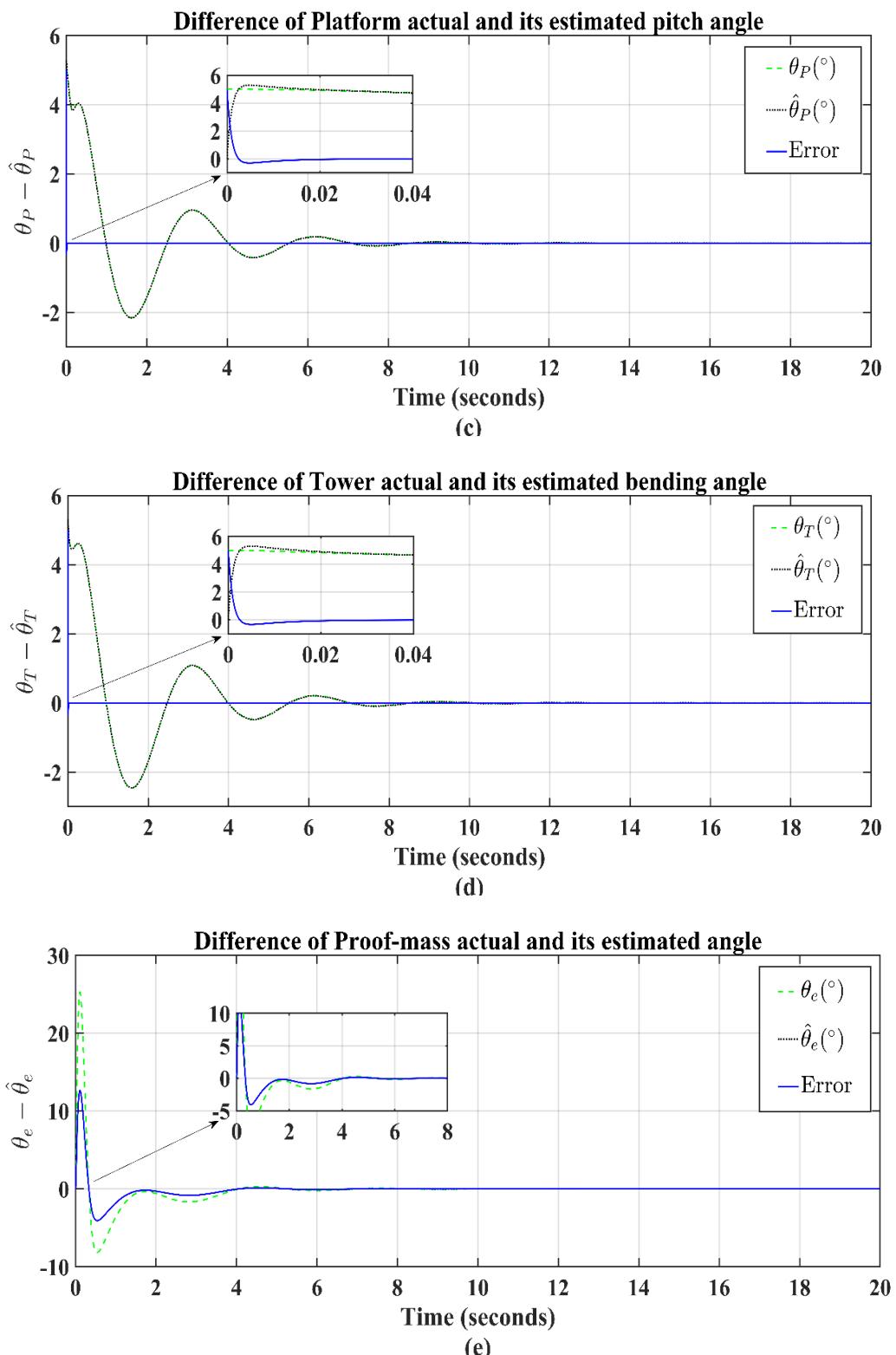


Figure 7. Simulation results of the controlled barge–type 5DOF TORA–based OFWT for the actual states (green) and their estimated states (black), and corresponding error (blue color): (a) Difference between platform surge and its estimated displacement, (b) Difference between platform heave and its estimated displacement, (c) Difference between platform’s actual and its estimated pitch angle, (d) Difference between tower’s actual and its estimated bending angle, (e) Difference between proof mass actual and its estimated angle.

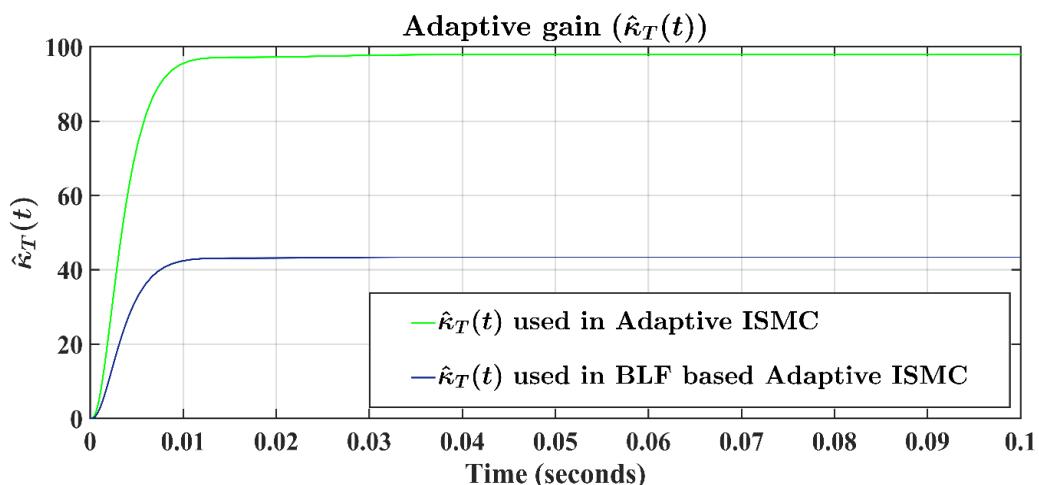


Figure 8. The response of the adaptive gain used in adaptive backstepping ISMC (green) and BLF-based adaptive backstepping ISMC (blue).

6. Conclusions

The TORA as an AMD control strategy for the stabilization of the barge-type 5DOF OFWT model is challenging and interesting. In this article, a novel control framework has been devised that consists of a high-gain observer-based adaptive integral sliding mode control with backstepping and barrier Lyapunov function. This control algorithm successfully solved the issue of the unavailability of the system states by providing all the proposed OFWT model estimated states. The numerical simulations and their numeric error table reveal that the proposed output constraint BLF-based adaptive backstepping ISMC can effectively handle vibrations as compared with the adaptive backstepping ISMC. In both control laws, the proposed model states go to their equilibrium position within 10 s, but the difference is that the BLF-based adaptive backstepping ISMC guarantees to satisfy the constraint on the states. Moreover, the proposed output constraint BLF-based adaptive backstepping ISMC shows less chattering than the adaptive backstepping ISMC. The results confirm the validity and efficiency of the suggested control approaches. The floating wind turbine structures are thus far at an early stage of development and various platforms are in a proof-of-concept study using a scale model incorporating a barge, spar, and tension leg platform. Therefore, more research on this topic is still required. Future work will consider model gearboxes and actuation components that produce the control input torque, like hydraulic or electric motors. Similarly, comparing the simulation results of this proposed model by using other simulation tools (FAST/SIMPACK) as well as experimental results can be conducted.

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Appendix A. Matrices

Following are the matrices derived in the stability analysis of high-gain observer for the suggested barge-type OFWT model.

$$C = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix} \quad (\text{A3})$$

$$H = \begin{bmatrix} \gamma_1 & \gamma_2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \gamma_3 & \gamma_4 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \gamma_5 & \gamma_6 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \gamma_7 & \gamma_8 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \gamma_9 & \gamma_{10} \end{bmatrix}^T \quad (\text{A4})$$

$$A_0 = \begin{bmatrix} -\gamma_1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ -\gamma_2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -\gamma_3 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -\gamma_4 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -\gamma_5 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -\gamma_6 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -\gamma_7 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -\gamma_8 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -\gamma_9 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -\gamma_{10} & 0 \end{bmatrix} \quad (A5)$$

$$D(b) = \begin{bmatrix} b & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & b & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & b & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & b & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & b & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix} \quad (A7)$$

$$\zeta = \begin{bmatrix} -a_1^1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ -a_2^1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -a_1^2 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -a_2^2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -a_1^3 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & -a_2^3 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -a_1^4 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -a_2^4 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -a_1^5 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -a_2^5 & 0 \end{bmatrix} \quad (A8)$$

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