

Article

# Mechanical and Barrier Properties of Potato Protein Isolate-Based Films

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**Abstract:** Potato protein isolate (PPI) was studied as a source for bio-based polymer films. The objective of this study was the determination of the packaging-relevant properties, including the mechanical properties and barrier performance, of casted potato protein films. Furthermore, the films were analyzed for cross-linking properties depending on the plasticizer concentration, and compared with whey protein isolate (WPI)-based films. Swelling tests and water sorption isotherm measurements were performed to determine the degree of swelling, the degree of cross-linking, and the cross-linking density using the Flory–Rehner approach. The effects of different plasticizer types and contents on compatibility with potato protein were studied. Glycerol was the most compatible plasticizer, as it was the only plasticizer providing flexible standalone films in the investigated concentration range after three weeks of storage. Results indicated that increasing glycerol content led to decreasing cross-linking, which correlated in an inversely proportional manner to the swelling behavior. A correlation between cross-linking and functional properties was also reflected in mechanical and barrier characterization. An increasing number of cross-links resulted in higher tensile strength and Young’s modulus, whereas elongation was unexpectedly not affected. Similarly, barrier performance was significantly improved with increasing cross-linking. The overall superior functional properties of whey protein-based films were mainly ascribed to their higher percentage of cross-links. This was primarily attributed to a lower total cysteine content of PPI (1.6 g/16 g-N) compared to WPI (2.8 g/16 g-N), and the significant lower solubility of potato protein isolate in water at pH 7.0 (48.1%), which was half that of whey protein isolate (96%). Comparing on an identical glycerol level (66.7% (*w/w* protein)), the performance of potato protein isolate was about 80% that of whey protein isolate regarding cross-linking, as well as mechanical and barrier properties.

**Keywords:** potato protein isolate; whey protein isolate; plasticizer; cross-linking; oxygen barrier; mechanical performance

## 1. Introduction

Based on the growing environmental concerns of industry and consumers alike, biodegradable and bio-based packaging systems have received increasing interest in recent decades [1]. Among other biopolymers, various proteins from vegetal or animal sources have been investigated as substitutes for synthetic petroleum-based polymers. In particular, soy, wheat gluten, corn zein, and whey have been commonly studied [2–6]. Thereby, the high barrier properties against the oxygen and CO<sub>2</sub> of protein-based coatings were of particular interest. However, their low moisture barrier performances and relatively poor mechanical characteristics as compared to fossil-based materials limit their use in packaging applications [2]. In terms of environmental benefits, the use of co-products from industrial processes is of interest for the development of bio-based materials. Potato fruit juice (PFJ), a by-product

of the industrial potato starch industry. It is released in large quantities, and is mainly used as animal feed or fertilizer, thus providing only low economic value [7]. Therefore, valorizing this by-product into a high value raw material could contribute to developing sustainable value chains of environmental and economical relevance. PFJ contains 30%–41% of proteins in total solids, and is therefore an interesting source for obtaining potato protein isolates (PPI) [8]. The conventional industrial technique for producing PPI is a combination of heat coagulation at temperatures of 75 °C to 120 °C, and acid precipitation at pH 3.5–5.5, followed by spray drying [9]. This treatment results in high protein yields, and a low price of 1.4 €/kg to 1.5 €/kg [9]. However, it also often leads to an extensive loss of functional properties due to protein denaturation [7]. In order to maintain the functionality of the proteins, other extraction techniques have been investigated, including metal salts (FeCl<sub>3</sub>, ZnCl<sub>2</sub>, MnCl<sub>2</sub>) [7,10], ethanol [7], membrane separation (especially ultrafiltration) [8,11], ion-exchange chromatography [12] or expanded bed adsorption (EBA) chromatography [11,13].

Compared to proteins from other cereal and vegetable sources, potato proteins are regarded to be of high nutritional quality, as they contain a balanced amino acid composition, and moreover, a high percentage of lysine (~8%) [14], which is often deficient in these crops [7]. Along with their health-promoting qualities, potato proteins also exhibit good functional properties, such as high foaming [15] and emulsifying capacities [16].

Potato proteins are commonly classified into three groups: patatin (~40%), protease inhibitors (20%–30%), and other high molecular weight proteins (20%–30%) [17]. The patatin fraction comprises a family of glycoproteins existing as an 88-kDa dimer consisting of two 40 kDa to 43 kDa isoforms [12,17]. In contrast, protease inhibitors are a distinctly heterogeneous group of proteins, with molecular weights ranging from 4.3 kDa to 25 kDa [17] and solubility through a wide pH range, whereas patatin has its solubility minimum at pH 4.5 [18]. Regarding the preparation of film-forming solutions for coating applications, a pH >7 therefore provides a high solubility for all of the potato protein fractions, thus ensuring stable protein dispersion and good network formation as a consequence [2,10].

For biopolymer processing, commonly, both wet—i.e., film casting or the coating of aqueous protein solutions—as well as dry processing technologies, including extrusion, compression, and injection molding, are employed [2]. In both methodologies, the formation of protein films is based on chemical or thermal denaturation during processing. During denaturation, the proteins' molecular structure unfolds, thus resulting in the exposure of initially buried functional groups and sections. These are then capable of forming new intermolecular chain-to-chain interactions, such as disulphide and hydrogen bonds [9,19]. With increasing protein–protein interactions, the mechanical strength and barrier properties of the polymer are enhanced [20]. However, in order to improve processability and durability, as well as alter the properties of the required final structure, plasticizers (e.g., glycerol) have to be applied as a formulation constituent [2]. Since various proteins have demonstrated appropriate oxygen and CO<sub>2</sub> barrier performances, protein-based materials are primarily of interest as gas barrier films in packaging systems. However, their mechanical properties are mostly inferior competitors with fossil-based polymers.

The functional properties of films based on proteins from different sources have been analyzed in several studies. However, information about the use of potato protein as a source for bioplastic materials is rare. The objective of the present study was to investigate the suitability of potato protein isolate as a new source for bio-based films with regard to mechanical and barrier performance. Furthermore, this study offers a brief overview of the compatibility of potato protein with different commonly used plasticizers in terms of film-forming properties. It also aimed to determine the cross-linking parameters of the protein films, depending on the plasticizer concentration, and relate these parameters to structure-dependent properties, including oxygen and water vapor permeation, as well as mechanical properties. For this, cross-linking properties were investigated with swelling tests using the Flory–Rehner approach and water sorption isotherm measurements.

Test samples were produced using a process developed for whey protein isolate-based films whose capability of a high oxygen barrier was shown in previous studies [21,22]. To the authors' knowledge,

no fundamental investigations of the barrier and mechanical properties of potato protein-based films have been carried out in previous studies.

## 2. Materials and Methods

### 2.1. Materials

Potato protein isolate (PPI) (91.3% protein dry basis) was produced at Fraunhofer IVV (Freising, Germany) via ultra and diafiltration from potato fruit juice provided by Südstärke GmbH (Schrobenhausen, Germany). Whey protein isolate (WPI) (BiPro, min. 95.0% protein dry basis) was obtained from Davisco Foods International Inc. (Le Sueur, MN, USA). Glycerol and sorbitol were purchased from Th. Geyer GmbH & Co., KG (Renningen, Germany). Ethylene glycol, propylene glycol, and polyethylene glycol 400 were obtained from Sigma-Aldrich Chemie GmbH (Steinheim, Germany). Deionized water was supplied by Fraunhofer IVV.

### 2.2. Solution Preparation

The whey and the potato protein-based formulations were produced following the method developed by McHugh et al. [23] and Schmid et al. [21]. Aqueous solutions (pH 7) of deionized water and PPI (5% *w/w*) resp. WPI (10% *w/w*) were homogenized using an electric stirrer (Thermomix 31-1, Vorwerk Deutschland Stiftung & Co., KG, Wuppertal, Germany) at 23 °C for 30 min at 200 rpm. Then, the solutions were heated at 90 °C for 30 min, and continuously stirred (200 rpm) until protein denaturation was completed, as published by Schmid [22]. After degassing in an ultrasonic bath (DT 514H, Bandelin electronic GmbH & Co., KG, Berlin, Germany) at 37 kHz and cooling down to room temperature, the respective amount of plasticizer (*w/w* protein) was added to the aqueous solutions. This was then stirred for an additional 30 min with the Thermomix at 200 rpm. Finally, the solutions were filled into laboratory bottles, and treated again in an ultrasonic bath for 15 min and 37 kHz to remove air bubbles.

The plasticizers studied and the respective molecular weight ( $M_W$ ), formula, and percentage of the hydrophilic groups are shown in Table 1.

**Table 1.** Selected plasticizers for study and characteristic properties.

Plasticizer Type	$M_W$ (g/mol)	Formula	Hydrophilic Groups (%)
Ethylene glycol (EG)	62	$C_2H_6O_2$	54.8
Propylene glycol (PG)	76	$C_3H_8O_2$	44.7
Glycerol (Gly)	92	$C_3H_8O_3$	55.4
Sorbitol (Sor)	182	$C_6H_{14}O_6$	56.0
Polyethylene glycol (PEG)	400	$H(OCH_2-CH_2)_8OH$	36.5

### 2.3. Cast Films

Cast films with a target dry film thickness of 200  $\mu\text{m}$  were produced in petri dishes using the denatured protein solutions. The amount of solution needed per film was calculated as a function of the respective dry matter content and a presumed suspension density of 1.1  $\text{g}/\text{cm}^3$ , as follows:

$$m_{\text{sus}} = \left( l_f \times w_f \times \left( \frac{dft}{dmc} \right) \times 100\% \right) \times \rho_{\text{sus}} \quad (1)$$

where  $l_f$  and  $w_f$  are length and width of the film (cm),  $dft$  is the target dry film thickness (cm),  $dmc$  is the dry matter content of the suspension (%), and  $\rho_{\text{sus}}$  is the suspension density ( $\text{g}/\text{cm}^3$ ). The films were dried in a climate chamber at 23 °C and 50% RH (relative humidity) until a state of equilibrium was evidenced by weight constancy.

#### 2.4. Residual Moisture Determination

The determination of residual moisture content was carried out using a moisture analyzer (MA 100, Sartorius AG, Goettingen, Germany). The sample was dried at 105 °C until a mass constancy (less than 1 mg per 300 s) was reached. The water content was given as percentage of weight loss. For each sample, a threefold determination was performed.

#### 2.5. Protein Solubility

Protein solubility measurement was carried out by determining the nitrogen solubility index (NSI) according to the AOCS Official Method Ba 11-65 [24] and AACC method 46-23 [25]. For preparation, 1.5 g of the protein sample were mixed with 40 mL of NaCl solution, as well as a defoamer, and stirred until the product was dispersed. The pH value was adjusted to pH 7.0 using 0.1 M NaOH, and held constant for 1 h. The solution was then mixed in a 50-mL volumetric flask with 0.1 M NaCl solution. Then, 20 mL of this solution was centrifuged for 15 min at 20,000 g and 15 °C with a Sigma 3 K centrifuge (Sigma Laborzentrifugen GmbH, Osterode am Harz, Germany). The supernatant was filtered through a Whatman No.1 filter (GE Healthcare Europe GmbH, Freiburg, Germany), and the protein content was then determined with a nitrogen analyzer (TruMAC N, Leco Instrumente, Mönchengladbach, Germany) using the method by Dumas [24]. The amount of dissolved protein is expressed as percentage by weight. Two determinations per sample were performed.

#### 2.6. Thickness Measurement

For mechanical and barrier characterizations, thickness measurements of the films are necessary. Since cast films are produced on a laboratory scale, the thickness of each film has to be measured with a precision thickness gauge FT3 (Hanatek, St. Leonards-on-Sea, UK). An arithmetic average value at five random positions of the film area is determined at 23 °C and 50% RH.

#### 2.7. Determination of the Degree of Swelling, Degree of Cross-Linking and the Cross-Linking Density

To determine the degree of swelling (DoS), the degree of cross-linking (DoC), and the cross-linking density (CLD), swelling tests were carried out following the methods described by Schmid et al. [26] and Schmid et al. [27]. The tests were performed according to the DIN EN ISO 175:2000 [28]. The DoS (%) was determined by a gravimetric method, and is defined as the percentage change in weight after removal from the swelling medium. For an optimal statistical evaluation, a fivefold determination was performed with samples of 50 mm × 50 mm size. To evaluate quantitative information about the cross-linking properties of potato protein-based films, the DoC and CLD were calculated.

The DoC (%) is defined as [26]:

$$\text{DoC} = \frac{\overline{M}_0}{M_C} \quad (2)$$

where  $\overline{M}_0$  (g/mol) is the molecular weight of the mean amino acid of PPI, and  $M_C$  is the average molecular weight of the polymer between cross-links (g/mol). The used  $\overline{M}_0$  of PPI is 133.2 g/mol based on a theoretical calculation [29], and  $\overline{M}_0$  of WPI is 123.3 g/mol [26].

The CLD (mol/g) is the inverse of the average molecular weight of the polymer between cross-links [30].

$M_C$  was determined by swelling tests using the Flory–Rehner equation. The density of the PPI films of 1.30 g/cm<sup>3</sup> was gravimetrically calculated. For the calculation of  $M_C$ , the Flory–Huggins interaction parameter  $\chi$  is required, which was determined by water sorption isotherm measurements. All of the applied measurements and data evaluations were performed according to the procedure by Schmid et al. [26] and Schmid et al. [27], with 22-mm diameter samples and a maximum measurement period of 3000 min. A threefold determination was carried out per sample.

### 2.8. Determination of Mechanical Properties

Mechanical properties such as Young's modulus ( $E$ ), tensile strength ( $TS$ ), and elongation at break ( $\epsilon_b$ ) were measured using a tensile testing machine Z005 (Allround Line) from Zwick GmbH & Co., KG, Ulm, Germany following the DIN EN ISO 527-1 method [31]. For tensile tests, strips of 15 mm width and 70 mm length per specimen were prepared and clamped in the testing machine, with a clamping length of 50 mm. The test speed was 50 mm/min, with a pre-load of 0.3 N and a load shut-off at 95%. A fivefold determination for each sample was performed at 23 °C and 50% RH.

### 2.9. Determination of Barrier Properties

The water vapor transmission rate (WVTR) was determined with a gravimetric method at 23 °C according to DIN 53122-1 [32]. The initial weight of the test cups was measured using an analytical balance H315 (Mettler-Toledo GmbH, Columbus, OH, USA), and then stored at 23 °C and 50% RH in a climate chamber from Binder GmbH (Tuttlingen, GmbH). Samples were weighed twice a day until attaining a constant weight. A fourfold determination was carried out for each sample. The water vapor transmission rate ( $\text{g}/\text{m}^2\cdot\text{d}$ ) was calculated using the following equation:

$$\text{WVTR} = \frac{24}{t} \times \frac{\Delta m}{A} \times 10^4 \quad (3)$$

where  $t$  is the time between two weight measurements (h),  $\Delta m$  is the weight difference between two weight measurements (g), and  $A$  is the sample area ( $\text{cm}^2$ ).

Oxygen permeability (OP) was measured with an oxygen-specific carrier gas method using an Ox-Tran Twin Oxygen Permeation Measuring Machine (MOCON Inc., Minneapolis, MN, USA) according to DIN 53380-3 [33], at testing conditions of 23 °C and 50% RH. For each specimen, a twofold determination was performed, and the OP values were given in the unit ( $\text{cm}^3/\text{m}^2\cdot\text{d}\cdot\text{bar}$ ). For better comparability of different polymeric materials independent of the thickness, the permeability  $Q$  can be standardized to a film thickness of 100  $\mu\text{m}$  [27]:

$$Q_{100} = Q \times \frac{d}{100} \quad (4)$$

### 2.10. Statistical Evaluation

Statistical evaluations were performed with the software Visual-XSel® Version 13.0 Multivar (CRGRAPH GbR, Starnberg, Germany). All of the measured data were checked on normal distribution depending on the sample size, by either the Kolmogorov–Smirnov test (sample size  $\leq 4$ ) or the Anderson–Darling normality test (sample size  $\geq 5$ ), with a significance value  $\alpha$  of 0.05. The Hampel test for outliers was performed to detect and eliminate outliers in the data set that were not normally distributed ( $p$ -value: 0.05). For the comparison of sample sets, a multi-T test was carried out using a significance value of 0.05 [34]. Statistical significant differences between sample sets are marked by different letters in the figures and tables.

## 3. Results and Discussion

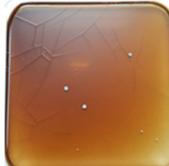
Film-forming properties, as well as the mechanical and barrier performances of biopolymers, are generally associated with the chemical and physical composition and structure of the polymers, and additionally the nature and concentration of the used plasticizer. As a prerequisite for the formation of flexible coherent films, plasticizers must be compatible with the polymer and permanent in the polymer matrix to achieve the requested film properties [35].

In this study, various plasticizers have been investigated based on their compatibility with a wide range of proteins in order to reduce the brittleness of protein-based films. They represent different chemical constitutions, sizes, and shapes; providing information on the impacts of these factors on interactions between plasticizer and potato protein thus also informs film-forming properties.

### 3.1. Plasticizer Effect and Compatibility

Characteristic properties of the plasticizer are of particular importance regarding their ability to interfere with protein chain-to-chain interactions, but they are also important for binding water to the polymer matrix, since water acts as an effective plasticizer in edible films [36,37]. Cast PPI-based films with different plasticizers and plasticizer concentrations ranging from 100% to 200% (*w/w* protein) were prepared as described in Sections 2.2 and 2.3. Table 2 displays the different cast films obtained after a drying time of three weeks. All of the plasticized PPI films were dark brown and opaque. However, it was quite noticeable that, depending on the type of plasticizer and its concentration applied, the resulting films ranged from broken structures over brittle films with defects to homogeneous closed ones. This indicates that each plasticizer showed a different plasticization effect in the selected plasticizer concentration range.

**Table 2.** Dried cast films with different plasticizers and plasticizer contents from 100% to 200% (*w/w* protein) after 21 days at 23 °C and 50% RH. The amount of plasticizer is referred to the pure protein content (*w/w* protein).

Plasticizer	Plasticizer ( <i>w/w</i> Protein)				
	100%	125%	150%	175%	200%
Ethylene glycol					
Propylene glycol					
Glycerol					
Sorbitol					
Polyethylene glycol 400					

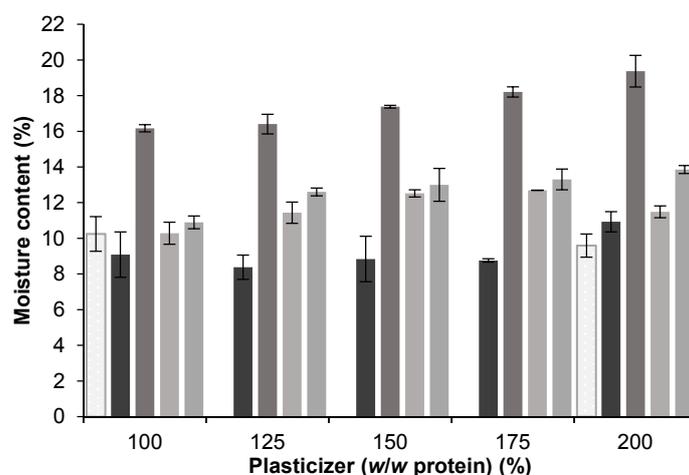
#### 3.1.1. Effects of Glycerol, Sorbitol, and PEG 400

In general, almost all of the plasticizers studied seemed to possess a poor compatibility with potato protein, since most films tended to form brittle films, with a large number of cracks within

the plasticizer concentration range investigated. A sufficient plasticizing effect was observed at all concentrations for only glycerol. Despite their difference in hydrophilic groups and molecular weight, the films obtained with sorbitol and polyethylene glycol (PEG) 400 showed a similar plasticizing behavior. At low concentrations, the films had large cracks, but film-forming properties improved with increasing plasticizer content, resulting in smaller and fewer defects. However, the films produced with PEG 400 and sorbitol had a very soft, almost creamy consistency above a plasticizer content of 175% (*w/w* protein). Therefore, it was impossible to remove these films from the Petri dish. In addition, sorbitol tended to crystallize at several spots on the surface of the films after about two weeks at ambient drying conditions. This is because sorbitol is present in its solid form at these conditions. Therefore, with increasing sorbitol content, the extent of crystallization also increased, but had no counteractive effect on the film softness.

Glycerol and sorbitol show similarities in straight-chain structure, molecular composition, and the percentage of hydrophilic groups, with the latter being crucial for the formation of hydrogen bonding with potato protein. However, glycerol possessed a significant higher plasticizer efficiency than sorbitol when comparing equivalent mass contents. This might be due to the lower  $M_W$  of glycerol. This could facilitate its incorporation into the polymer matrix, and thus its ability to impair hydrogen bonds between polypeptide chains. Furthermore, by decreasing the  $M_W$  of the plasticizers, the molar content in the film is increased when the weight-to-weight ratio is kept constant. This enhances the plasticizing effect [20,35,37]. As further explained below, sorbitol's lack of plasticizing efficacy could additionally be attributed to its lower water-binding capacity and hygroscopicity [38]. The high plasticization effect of glycerol at equivalent mass content was also observed for  $\beta$ -lactoglobulin films [37], whey protein films [20], fish myofibrillar protein-based films [39], and peanut protein films [40], among others.

As shown in Figure 1, glycerol plasticized films contain significantly higher equilibrium moisture contents ( $p < 0.05$ ) than films produced with other plasticizers. This could be an additional explanation for the superior plasticizing efficiency of glycerol. The distinct ability to attract water to a polymer system and retain it at equilibrium state is resulting in more flexible films. This is because water acts as a plasticizer itself [20,41]. With increasing glycerol concentration, the moisture content in the film was increasing linearly ( $R^2 = 0.964$ ). However, for sorbitol films, a similar linear correlation was only observed up to a plasticizer content of 150% (*w/w* protein). Therefore, it can be assumed that sorbitol is not able to incorporate additional water molecules into the polymer matrix by further increasing the plasticizer content. This could be explained by a protein matrix saturation and plasticizer exclusion, as similarly suspected by Ramos et al. [42] for glycerol plasticized whey protein films.



**Figure 1.** Equilibrium moisture content of the cast films after three weeks at 23 °C and 50% RH with different plasticizer concentrations (100%–200% (*w/w* protein)) in the following order: EG, PG, glycerol, sorbitol and PEG 400. Error bars show standard deviation.

The hypothesis of a negative impact of a high molecular weight on plasticizing properties has been frequently described in the literature [35–37]. However, it was assumed that the higher  $M_W$  of PEG 400 is compensated by its chemical structure, resulting in a sorbitol-like plasticizing effect. Sothornvit and Krochta [35] supposed that this behavior is based on the specific arrangement of oxygen atoms in PEG 400. In the elongated PEG 400 molecular chain, one oxygen atom alternates with two carbon atoms. Thereby, the space available for the formation of hydrogen bonds with polypeptide chains might be higher in contrast to sorbitol, which contains hydroxyl groups attached to molecule backbone on adjacent carbon atoms.

### 3.1.2. Effects of EG and PG

The use of propylene glycol (PG) showed the lowest impact with respect to film flexibility. Independent of the plasticizer concentration, the films cracked after only seven days' storage. Unlike the other plasticizers studied (except ethylene glycol, or EG), an increasing PG content did furthermore not result in any improvement in film-forming properties. This is most likely due to the lower polarity of PG compared with other plasticizers. Therefore, interactions with the polar protein sites were restricted. This resulted in a low ability to form flexible coherent films in the studied concentration range. This is despite the low small molecular weight and high hygroscopicity of PG [38]. This is most likely due to its high vapor pressure at 20 °C, exceeding the one of glycerol [43]. This property was causing a low permanence in the casted film. Similar results were reported by Sothornvit and Krochta [37] and Orliac et al. [44] for PG-plasticized  $\beta$ -lactoglobulin films and sunflower protein films, respectively.

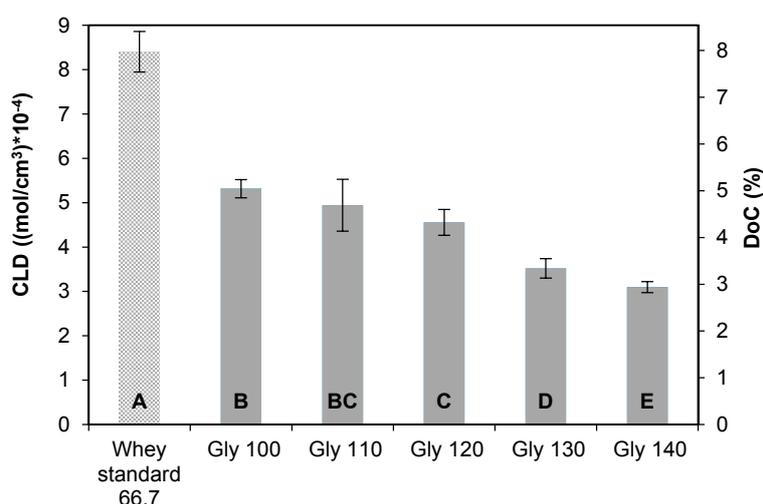
An analogical drying behavior was observed for EG-plasticized films. Even though they showed a closed structure in the initial phase of drying, cracks and defects were visible after three weeks of storage. The vapor pressure of EG is lower than that of PG [43]. Therefore, EG was retained in the film longer than PG, thus resulting in a prolonged plasticizing effect. Moreover, the lower polarity of EG compared to glycerol could be an additional reason for a minor plasticizing efficiency. This result was also confirmed by Viroben et al. [45]. In his study, he described a high loss of EG in pea protein films during drying, presumably due to weaker interactions with the protein chains. Furthermore, Viroben et al. suggested that the increased occurrence of intermolecular protein–protein interactions due to the continuous loss of plasticizer was causing film brittleness and cracks [45]. A correlation of plasticizer concentration and humidity was not identifiable (see Figure 1). This is indicating a complete release of EG and PG from the protein films, and thus, the plasticizer did not affect the equilibrium moisture content (not significant different ( $p < 0.05$ )).

Various authors (Cuq, Gontard, Cuq and Guilbert [39]; Sothornvit and Krochta [37]) compared fish myofibrillar protein-based and  $\beta$ -lactoglobulin-based films using different plasticizers applied at same mass concentrations in film-forming solutions. They concluded that lower molecular weight plasticizers are more effective in film plasticization, due to the higher molar concentration of the additives. However, these studies also stated that the theory of the higher plasticizing efficiency of lower  $M_W$  plasticizers is not generally applicable. Despite its larger molecular weight, glycerol has a higher plasticizing effect than PG and EG. These results were confirmed by Viroben, Barbot, Mouloungui and Guéguen [45], and Vanin et al. [46]. In their studies, the plasticizing effect of glycerol exceeded that of EG when applied in pea protein-based resp. gelatin-based films. According to Donhowe and Fennema [47], this theory of chain length is only applicable when the molecular weight of the plasticizers is considerably different. When comparing the plasticizing effects of EG, PG, and glycerol, the number and position of the reactive hydroxyl groups (–OH) appear to be an important factor demonstrating the impact of the chemical structure on plasticizer–polypeptide interactions.

### 3.2. Intermolecular Characteristics

#### 3.2.1. Cross-Linking Density and Degree of Cross-Linking

Figure 2 shows the CLD and DoC values of PPI-based cast films depending on the glycerol concentration (*w/w* protein) in comparison to a WPI-based reference cast film. All of the PPI-based samples showed significantly ( $p < 0.05$ ) lower CLD and DoC values compared to the WPI-based standard, with a nearly linear decrease with increasing glycerol content.



**Figure 2.** Cross-linking density (CLD) and the degree of cross-linking (DoC) of whey protein isolate (WPI)-based cast film (standard) and potato protein isolate (PPI)-based cast films as a function of glycerol (Gly) concentration (*w/w* protein). Columns with different letters are significantly different ( $p < 0.05$ ). Error bars denote standard deviation.

The decline of cross-linking of the PPI-based films with increasing glycerol concentration was ascribed to the ability of plasticizers to reduce intermolecular interactions between polymer chains and increase free volume and chain mobility [48]. Furthermore, the increasing moisture content of the films with increasing glycerol concentration contributes to an increase in the free volume, due to the plasticizing property of water.

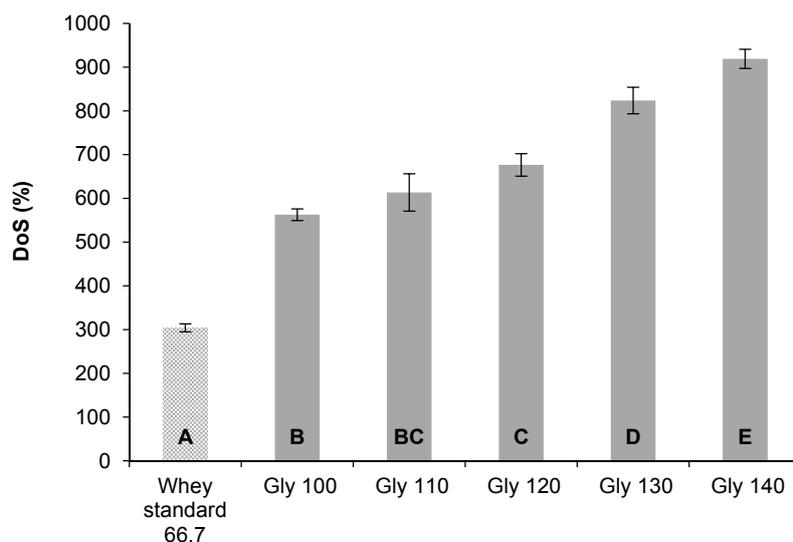
Since PPI-based films with a glycerol content from 70% (*w/w* protein) showed a brittle cracked structure, a direct comparison with a 66.7% (*w/w* protein) glycerol-containing WPI film was not feasible. Therefore, a valuation by means of the linear course of the measured values was made. Comparing a PPI-based film with an identical glycerol content as the whey protein standard (66.7% (*w/w* protein)), the DoC and CLD is about 80% that of the WPI standard film. Since DoC and CLD only represent the percentage of covalent disulphide bonds, one major reason for that might be the lower total cysteine content of PPI, which is 1.6 g/16 g·N [8], whereas for WPI it is 2.8 g/16 g·N [19]. In addition, patatin, the major potato protein fraction, contains only one thiol group among 362 amino acids [14], whereas the main whey fraction  $\beta$ -lactoglobulin possesses five thiol groups among 162 amino acids [49]. The statistical probability of forming disulphide bonds is thus considerably lower for PPI. Moreover, the aggregation behavior of patatin may play a role. Pots [14] detected a clearly different aggregation mechanism of patatin compared to  $\beta$ -lactoglobulin, and concluded that the formation of disulphide bonds via thiol–thiol reactions is not the determining initial step for the aggregation. He rather suggests other interactions, such as hydrogen bonds or hydrophobic interactions, to be more determinant. Disulphide bonding may only be achievable when the molecules are in a particular orientation close to each other, or already high molecular aggregates exist [14]. Creusot et al. [50] also reported an unusually high exposed hydrophobicity of patatin during the unfolding of the tertiary structure.

Another reason for the lower cross-linking of PPI-based films might be the significant lower solubility of PPI, which was 48.1% at pH 7.0, whereas it was twice as high for WPI (96.0%). Waglay, Karboune, and Alli [7] demonstrated that the solubility of potato protein isolates is highly dependent on the protein extraction technique. In general, the literature reports protein solubilities of 50% to 85% when gentle recovery processes are applied [13,51]. The solubility of potato proteins is an important property, as it contributes to the unfolding of the protein tertiary structure, which enables interactions with both the solvent and other protein molecules. This conformational change leads to the exposure of hydrophobic parts and initial buried free reactive groups that need to be accessible for the formation of new intermolecular interactions. In contrast, undissolved protein molecules show a significantly higher thermal stability [2]. Since the solubility in water is essential for potato protein denaturation to occur, poor protein dispersion leads to a lower proportion of intermolecular interactions between the protein chains during heating, which results in limited network formation, as observed by several authors [52,53]. Besides, other important factors, such as protein structure, polymer orientation and solvent–polymer interaction parameters must be considered when characterizing the cross-linking properties of biopolymers [54]. According to the author's knowledge, no comparable studies have been published dealing with the cross-linking properties of potato protein-based cast films, up until now. However, the results by Ahmed, Nizami, Raza, and Mahmood [30] for natural rubber, which is also linked via disulfide bonds and possesses similar mechanical behavior, may serve as a suitable reference point. According to these authors, the CLD of natural rubber of  $2.0 \times 10^{-4} \text{ mol/cm}^3$  is significantly lower compared to the CLD of both the whey protein standard, with  $8.4 \times 10^{-4} \text{ mol/cm}^3$ , and the PPI-based films from  $5.3$  to  $3.1 \times 10^{-4} \text{ mol/cm}^3$ . The high deviation from this literature value may be based on various influencing factors during production and analysis processes. Thereby, the decisive factor is the volume fraction of the polymer in the swollen gel, which is determined by swelling tests. It has a great influence on the average molecular weight of the polymer between cross-links ( $M_c$ ), and therefore on the DoC and CLD.

### 3.2.2. Degree of Swelling

By absorbing a solvent, the distance between cross-linked polymer chains increases due to swelling. Against that, these covalent forces prevent the polymer film from unlimited dissolving, and thus enable it to maintain a coherent structure.

The DoS (see Figure 3) showed an inversely proportional correlation to the DoC and CLD. The WPI sample has the lowest DoS value of about 300%, while the DoS values of PPI-based films are significantly ( $p < 0.05$ ) higher, increasing linear from 560% to 920%. This behavior is coincident with the findings of Schmidt et al. [55] and Schmid et al. [56], who concluded that an increasing amount of cross-linking within the polymeric matrix results in a declining swelling capacity. This effect is ascribed to the reduced free volume between the polymer chains with increasing network formation, and thus, less available space to incorporate water. Moreover, the mobility of the polymer chains decreases [48]. The capability of glycerol to bind water into the polymeric matrix could be neglected since previous studies proved that glycerol, which is bound to the protein only by weak hydrogen bonds, is almost completely removed from the films during the swelling process [9].



**Figure 3.** Influence of glycerol (Gly) concentration (*w/w* protein) on the degree of swelling (DoS) of PPI-based cast films and the DoS of WPI-based cast film as a reference. Columns with different letters are significantly different ( $p < 0.05$ ). Error bars denote standard deviation.

### 3.3. Mechanical Properties

The mechanical data of all of the tested samples are listed in Table 3. Young's modulus of the whey protein standard was about twice as high as the 100% glycerol (*w/w* protein) sample. The values significantly ( $p < 0.05$ ) decreased with increasing glycerol content, up to a factor of 3.5. Schmid et al. [57] stated that primarily hydrophobic interactions and hydrogen bonds are influencing the Young's modulus of WPI-based films. The decrease in polymer stiffness with increasing glycerol concentration is therefore attributed to growing intermolecular spacing and polymer chain mobility due to an increased intermolecular interference of non-covalent interactions [22,42]. Newson et al. [9] detected a similar coherence between the stiffness of potato protein thermoformed plastic films and the glycerol concentration. The significantly higher Young's modulus of the WPI film compared to the PPI films was mainly associated to a higher number of intermolecular cross-links and non-covalent bonds. On a comparable glycerol level (66.7% (*w/w* protein)), the Young's modulus of PPI-based film and WPI-based standard film was a ratio of about 0.80, as already observed for the CLD.

**Table 3.** Mechanical properties of WPI-based standard and PPI-based films as a function of glycerol concentration (*w/w* protein). Significant differences between data sets are indicated by different superscript letters ( $p < 0.05$ ).

Sample	Young's Modulus (MPa)	Tensile Strength (MPa)	Elongation at Break *(%)
Whey standard 66.7	90.8 ± 7.2 <sup>a</sup>	4.01 ± 0.34 <sup>a</sup>	90.0 ± 16.2 <sup>a</sup>
Gly 100	48.2 ± 2.3 <sup>b</sup>	1.88 ± 0.09 <sup>b</sup>	11.7 ± 1.3 <sup>b</sup>
Gly 110	39.7 ± 0.2 <sup>c</sup>	1.67 ± 0.05 <sup>c</sup>	11.4 ± 1.5 <sup>b</sup>
Gly 120	35.6 ± 1.8 <sup>d</sup>	1.47 ± 0.08 <sup>d</sup>	10.5 ± 2.9 <sup>b</sup>
Gly 130	20.9 ± 2.1 <sup>e</sup>	0.93 ± 0.09 <sup>e</sup>	9.0 ± 4.5 <sup>b,c</sup>
Gly 140	13.7 ± 2.6 <sup>f</sup>	0.64 ± 0.07 <sup>f</sup>	6.1 ± 1.4 <sup>c</sup>

\* Layer thicknesses in  $\mu\text{m}$  of whey standard film and PPI films according to the increasing glycerol concentration: 229 ± 26, 213 ± 32, 228 ± 21, 200 ± 41, 238 ± 44 and 246 ± 38.

The tensile strength of the PPI-based films decreased significantly ( $p < 0.05$ ), by a factor of three, with increasing glycerol content. For the WPI-based sample, the value was about twice as high as the 100% glycerol (*w/w* protein) sample. These results confirm the findings of various studies about edible films, which attributed the decrease in tensile strength to reduced intermolecular bonding [20,48,56,57]. A former study showed, among others, a decrease in the tensile strength of WPI-based films when

disulphide bonds and non-covalent interactions were broken down using sodium sulfite ( $\text{Na}_2\text{SO}_3$ ) resp. sodium dodecyl sulfate (SDS) [57]. According to Schmid et al. [56], in cast films from denatured proteins, polypeptide molecules are forming a disordered network, with cross-links at diverse positions. The tensile strength of this network increases with increasing cross-linking density. The significantly higher tensile strength of the WPI-based film compared to the PPI films was, inter alia, therefore assigned to the absolute higher CLD. Comparing on identical glycerol content, the tensile strength of PPI-based films is about three-quarters of the WPI standard film, yet again supposed to be based on a theoretical lower CLD of the PPI-based film.

Due to high standard deviations, elongation at break was not significantly different ( $p < 0.05$ ) for the PPI-based samples with different glycerol contents. The only exception was the film containing 140% glycerol ( $w/w$  protein) showing a significantly lower elongation at break of only 6.1%. In contrast to Young's modulus and tensile strength, the results of elongation at break measurements are not standardized to film thickness. Therefore, a comparison was difficult, due to large fluctuations in film thicknesses. These results contradict the findings of previous investigations, indicating a reduction of mechanical strength as well as an increase in flexibility and extensibility with increasing glycerol content [20,37,40,48]. This behavior is ascribed to the reduction in intermolecular cross-links, and thus chain mobility. The whey protein standard film showed a considerably higher elongation at break, up to a factor of eight compared to the 100% glycerol ( $w/w$  protein) PPI-based specimen, indicating a significantly higher material ductility. Schmid et al. [57] clearly showed a significant decrease of elongation at break of WPI-based films when the covalent cross-linking was reduced. The authors concluded that disulphide bonds play the most important role regarding the elongation of polymer films. These results suggested the larger amount of cross-links within the whey protein polymer matrix to be responsible for the considerable higher flexibility and elongation compared to PPI-based films.

### 3.4. Barrier Properties

The water vapor transmission rate and oxygen permeability of the PPI-based samples, as well as the WPI reference sample, are shown in Table 4. They were normalized to a film thickness of 100  $\mu\text{m}$ .

**Table 4.** Water vapor transmission rate and oxygen permeability of WPI standard and PPI-based films as a function of glycerol concentration ( $w/w$  protein) normalized to 100- $\mu\text{m}$  film thickness. Significant differences are indicated by different superscript letters ( $p < 0.05$ ).

Sample	Oxygen Permeability $Q_{100}$ * ( $\text{cm}^3/\text{m}^2 \cdot \text{d} \cdot \text{bar}$ )	Water vapor Transmission Rate $Q_{100}$ ( $\text{g}/(\text{m}^2 \cdot \text{d})$ )
Whey standard 66.7	$104.8 \pm 4.3^a$	$392.9 \pm 40.5^a$
Gly 100	$230.8 \pm 7.5^b$	$792.7 \pm 65.3^b$
Gly 110	$256.0 \pm 11.2^b$	$883.0 \pm 82.5^{b,c}$
Gly 120	$282.5 \pm 1.5^b$	$1055.9 \pm 172.5^{c,d}$
Gly 130	$339.9 \pm 35.1^{b,c}$	$1237.0 \pm 48.7^{d,e}$
Gly 140	$396.7 \pm 20.7^c$	$1341.3 \pm 130.6^e$

\* Twofold determination. Mean of minimum and maximum value.

The OP of the whey standard sample was significantly ( $p < 0.05$ ) lower, by a factor of 2.2, than the 100% ( $w/w$  protein) PPI specimen, which showed the best oxygen barrier among the PPI-based films. Comparable studies determined similar values for the OP of WPI-based films between 82–101  $\text{cm}^3/\text{m}^2 \cdot \text{d} \cdot \text{bar}$  [26,27]. From 100% to 140% ( $w/w$  protein) glycerol concentration, the values increased to 397  $\text{cm}^3/\text{m}^2 \cdot \text{d} \cdot \text{bar}$ , by a factor of 1.7. Sothornvit and Krochta [35] also determined a linear increase in the OP of  $\beta$ -lactoglobulin films with increasing glycerol concentration. Former studies showed a decreased OP of WPI-based films when cross-linking was increased, indicating a correlation between the OP and CLD. The authors stated that a higher CLD reduces the diffusion coefficient of the polymer, while the solubility coefficient remains constant. Since the permeation coefficient is defined as the product of diffusion coefficient and solubility coefficient, the permeability decreases [58]. This effect may also explain the significantly ( $p < 0.05$ ) lower OP of the whey protein standard film.

As disulphide bonds are by far the strongest intermolecular forces [6], they can be supposed to be a crucial factor for the OP. However, non-covalent hydrophobic interactions and hydrogen bonds also play a central role with regard to oxygen barrier properties, as shown in previous studies [57,59].

Moreover, it was concluded that increasing intermolecular interactions reduce the distances between the protein chains, which lead to a denser and more compact polymer structure. As a consequence, permeability decreases, since less free volume impedes the oxygen molecules to pass through the polymer layer [58,59].

Furthermore, the increasing moisture contents of the PPI-based films with increasing glycerol concentration, and the significantly lower value of the WPI standard ( $12.9\% \pm 1.3\%$ ), promote the passage of oxygen, since water reduces intermolecular polypeptide interactions as well. Comparing the PPI and WPI-based films on a standardized glycerol level ( $66.7\%$  (*w/w* protein)), a relation of about 80% would result. This indicates a correlation between the cross-linking and oxygen barrier, as this ratio was already determined for the CLD. Apart from this, further aspects such as the respective protein molecular structure and polymer orientation affecting the oxygen permeability must be considered when comparing different protein systems [59].

With increasing plasticizer content, WVTR also increased among the potato protein-based specimens, while the whey protein standard, in contrast, showed a significantly ( $p < 0.05$ ) higher barrier, which was twice as high as the 100% PPI-based sample. The linear increase in water vapor transmission with increasing glycerol content of the PPI-based films was in agreement with further authors, who concluded that the higher WVTR were based on to the ability of glycerol to extend intermolecular spacing, and therefore promoting the dissolution of the water molecules in the matrix and facilitating to pass the layer [20,23,41]. Furthermore, the surface energy of the protein films decreases proportionally with increasing glycerol content due to its hygroscopic nature, leading to a hydrophilic surface, which promotes the incorporation of water molecules [42]. In regards to the linear course of the WVTR of the PPI-based films, it was suggested that the glycerol concentration and the strong hygroscopic character of both the glycerol and the polypeptide chains are the determining factors, rather than the different CLD when comparing the water barrier of these two protein systems.

#### 4. Conclusions

Potato protein isolate-based biopolymers, plasticized with different polyols, were prepared. After investigating the compatibility of various plasticizers, glycerol was the only plasticizer that was able to overcome brittleness and thus produce flexible standalone films. The results of swelling tests indicated a relation between the ability of glycerol to reduce intermolecular bonding and the amount of water that is accommodated during swelling. The degree of cross-linking and cross-linking density showed an inversely proportional correlation to the degree of swelling. Comparing potato protein and whey protein-based films on the same glycerol level ( $66.7\%$  (*w/w* protein)), the cross-linking density of potato protein is approximately 80% that of whey protein. This was primarily attributed to a lower total cysteine content of PPI ( $1.6 \text{ g}/16 \text{ g}\cdot\text{N}$ ) compared to WPI ( $2.8 \text{ g}/16 \text{ g}\cdot\text{N}$ ), as well as the significantly lower solubility of potato protein isolate in water at pH 7.0 ( $48.1\%$ ), which was half the value of whey protein isolate ( $96\%$ ).

An increasing number of cross-links might play a central role for increasing tensile strength and Young's modulus, whereas elongation at break was unexpectedly not affected; this was a different behavior as compared to previous studies on protein-based polymers. Barrier performance also was significantly improved with increasing cross-linking, with the whey protein standard showing a considerably higher barrier against oxygen. Comparing on an identical glycerol level ( $66.7\%$  (*w/w* protein)), the performance of potato protein isolate-based films was about 80% that of whey protein isolate films regarding cross-linking, mechanical, and barrier properties, suggesting a correlation between the cross-linking density and techno-functional properties.

In summary, the determination of barrier and mechanical properties considering quantitative analysis of cross-linking in PPI-based films was carried out for the first time. These results can further

be used to evaluate the structure of the protein network in potato protein films, and also allow a comparison with other packaging materials. Therefore, the deployment of the industrial by-product potato protein and the development of PPI-based films and coatings contribute to expanding the field of biodegradable and sustainable packaging materials as an alternative to fossil-based systems.

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