



Article Robust Direct Power Control of Three-Phase PWM Rectifier with Mismatched Disturbances

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Abstract: To effectively eliminate the impacts of both matched and mismatched power disturbances in a three-phase PWM rectifier, this paper proposes a robust direct power control (RDPC) method with a single-loop control structure. Firstly, a nonlinear power model of the three-phase PWM rectifier is established. Then, using the exact feedback linearization method, a linearized power model including matched and mismatched power disturbances is derived and achieves the decoupling of active and reactive power. Secondly, to regulate the DC bus voltage, a sliding-mode controller (SMC) combined with a nonlinear disturbance observer (NDO) is proposed. The proposed SMC combined with an NDO (SMC + NDO) method features a single-loop control structure, which enables a faster response and simpler structure compared to the dual-loop DPC method. By incorporating estimated mismatched power disturbance into the sliding-mode surface, it overcomes the SMC's defect in incompletely suppressing mismatched disturbances and enables the simultaneous regulation of voltage and active power. Additionally, it effectively reduces sliding-mode chattering. To regulate reactive power, a sliding-mode controller based on the exponential convergence law is designed to suppress matched reactive power disturbances. Finally, the simulation and experimental comparative results demonstrate that the proposed controller exhibits stronger robustness against matched and mismatched power disturbances, as well as a better performance under the constant power load (CPL).

Keywords: three-phase PWM rectifier; direct power control; exact feedback linearization; sliding-mode control; nonlinear disturbance observer; matched and mismatched disturbance

1. Introduction

As commonly used powerful electronic devices, three-phase PWM rectifiers are extensively employed in DC microgrids (MG) [1,2]. Figure 1 illustrates the three-phase PWM rectifier's function as a crucial interface between the power grid and the DC MG. Its primary function is to maintain a constant DC bus voltage under resistive and power load conditions [2]. However, the CPL possesses a negative impedance property, which imposes stricter requirements on the control performance of the three-phase PWM rectifier [3]. Therefore, designing controllers for three-phase PWM rectifiers has become a research hotspot in the field of MG. Currently, control strategies for three-phase PWM rectifiers are mainly classified into voltage-oriented control (VOC) and direct power control (DPC). VOC can achieve a fast dynamic response and high-precision steady-state performance. However, its dynamic response is susceptible to the performance of the internal current loop and the phase-locked loop [4,5]. The DPC method takes the active and reactive power on the grid side as the object to be controlled and effectively overcomes this problem by eliminating the need for both the phase-locked loop and the current loop [6]. Additionally, compared to the VOC, the DPC exhibits a higher power factor, lower total harmonic distortion (THD), better dynamic response, and stronger robustness. As a result, DPC has attracted widespread attention from scholars [7–9].



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Figure 1. Rectifier system in the DC microgrid.

In recent years, scholars have proposed several novel methods to enhance the performance of the DPC. In [10], a proportional integral (PI) based on the virtual flux DPC (VF-DPC) is proposed. However, it exhibits a slow dynamic response and poor antidisturbance ability. Reference [11] proposes the voltage-modulated DPC. This approach converts a linear time-varying system of the rectifier into a linear time-invariant one and offers a simple way to design a power controller. Both dynamic response and steady-state performance are improved. Predictive control is considered a preferred solution for improving system dynamic performance [12]. Reference [13] proposes a robust deadbeat DPC method for three-phase unbalanced grids. The aforementioned DPC approaches are classed as linear controls in control theory. It is well known that linear control is commonly designed based on a linearized model near the steady-state operating point. However, because of the three-phase PWM rectifier's nonlinearity, linear control is inadequate in providing optimal control over the whole working range [14]. Nonlinear control approaches do not need system linearization and instead use a nonlinear model to design the controller, resulting in global stability and optimum control across a large working range [15]. Therefore, research on nonlinear control methods for three-phase PWM rectifiers has become a popular topic in power electronics. Scholars have recently proposed various nonlinear DPC methods for rectifiers, including feedback linearization control [16], backstepping sliding-mode control [17], adaptive control [18], and sliding-mode control (SMC) [19].

Currently, DPC based on SMC has become an important research hotspot in the field of rectifier control due to its simple structure, fast response, and strong robustness to system disturbances. In [20], traditional sliding-mode control (TSMC) is used in the outer loop design to enhance anti-disturbance capability with loads. Nevertheless, TSMC requires a sufficiently large switching gain to suppress load disturbances, which leads to severe sliding-mode chattering. To enhance resistance to disturbance and reduce sliding-mode chattering, an outer loop controller is designed using TSMC and a current observer in [21]. The power inner loop in [20,21] is designed using the finite control set model predictive control (FCS-MPC) and PI decoupling control, respectively. However, both FCS-MPC and PI control lack robustness against system parameter uncertainty and external disturbances. In [22], an extended state observer (ESO) and second-order sliding-mode control (SOSM) are employed for the inner and outer loops, respectively. The experimental results show excellent tracking performance and strong robustness against resistive load variations. One article [23] proposes an improved DPC strategy based on the SMC with dual-loop for the Vienna-type rectifier. The inner power loop uses a SMC-DPC controller to directly regulate voltage without transforming to a synchronous rotating coordinate reference frame

or tracking phase angle of the grid voltage. The control structures described in [20–23] are dual-loop control structures. However, to avoid excessive overshoot and ringing, the bandwidth of dual-loop control must be limited. Additionally, the small time constant of the inner loop also affects the system's dynamic performance [24].

Single-loop control has several advantages over dual-loop control, including fewer parameters and faster dynamic responses due to the absence of an inner loop. Other works [24–26] proposed a single-loop control structure of permanent magnet synchronous motor-speed-control method, which realized the simultaneous control of speed and current. Another paper [27] designed a robust single-loop control strategy, which significantly improved the dynamic response speed and robustness. Another study [24–27] demonstrated the feasibility and effectiveness of a single-loop control structure in power electronic systems. However, there is very little research on direct power control for three-phase PWM rectifiers with a single-loop structure. Reference [17] proposes a backstepping direct power control (BDPC) method. To suppress large load disturbances, this method employs a high switching gain, which increases sliding-mode chattering. Additionally, the BDPC is based on positive impedance load modeling, and therefore cannot be theoretically used for constant power loads (CPLs) with nonlinear negative impedance characteristics. Furthermore, prior knowledge of the load is required for the BDPC.

In practical systems, disturbances are often classified as matched or mismatched [28]. The control problem with mismatched disturbances is considerably more challenging than that with matched disturbances [29]. According to the rectifier power model and the definition of matched/mismatched disturbances, it can be seen that three-phase PWM rectifiers have both mismatched disturbances (load power disturbances) and matched disturbances (parameter uncertainty, unmodeled dynamics, etc.). Currently, these have been reports of control issues with mismatched disturbances in boost converters [30], buck converters [31], vehicles [32], etc. However, to the best of our knowledge, the control problem for the mismatched power disturbances of rectifiers has not been reported.

For the above reasons, this paper proposes a robust direct power control (RDPC) method for three-phase PWM rectifiers under matched and mismatched disturbances, based on the feedback linearization theory, SMC, and NDO. The proposed RDPC is a single-loop structure that requires only one controller to regulate both DC bus voltage and active power simultaneously, which simplifies effectively the control structure. In the process of exact feedback linearization, the established nonlinear power model is more suitable for engineering practice, as it takes into account lumped disturbances and unmodeled dynamics. This model also decouples active and reactive power. The Lyapunov theory is then used to design the NDO, estimating mismatched power disturbances and the sliding-mode controller, so the global stability is effectively guaranteed. The RDPC not only avoids the impact of matched and mismatched disturbances on control performance but also effectively reduces sliding-mode chattering. Additionally, the RDPC does not require load-current measurement or prior knowledge of disturbance upper bounds. The correctness and effectiveness of the RDPC have been confirmed through simulation and experimental comparison.

2. Nonlinear Power Model of Three-Phase PWM Rectifier

The circuit of a three-phase PWM rectifier in the DC MG is depicted in Figure 1. The AC-side of the rectifier links to the AC transmission network, and it can be considered an ideal three-phase power supply. In Figure 1, V_{sa} , V_{sb} , and V_{sc} are three-phase grid voltages, V_{dc} is the DC bus voltage, i_a , i_b , and i_c are three-phase grid currents, L is the filtering inductance, r is the equivalent resistance, and C is the output filtering capacitance. Within the DC MG, the loads linked to the DC bus are mainly linear loads (resistive loads) and CPLs (power converters, new energy automobiles, motors, etc.).

From Figure 1, the AC-side power model can be expressed as follows [21].

$$\begin{cases} \frac{dP}{dt} = -\frac{r}{L}P - \omega Q - \frac{3}{2L}u_P + \frac{3}{2L}V_s^2\\ \frac{dQ}{dt} = -\frac{r}{L}Q + \omega P + \frac{3}{2L}u_Q \end{cases}$$
(1)

where

$$\begin{cases} P = \frac{3}{2} (V_{s\alpha} i_{\alpha} + V_{s\beta} i_{\beta}) \\ Q = \frac{3}{2} (V_{s\beta} i_{\alpha} - V_{s\alpha} i_{\beta}) \end{cases}$$
(2)

$$\begin{cases}
 u_P = (V_{s\alpha}u_{r\alpha} + V_{s\beta}u_{r\beta}) \\
 u_Q = (-V_{s\beta}u_{r\alpha} + V_{s\alpha}u_{r\beta})
\end{cases}$$
(3)

where *P* and *Q* are instantaneous active and reactive power, u_p and u_q represent the control inputs of active and reactive power, $V_{s\alpha\beta}$, $i_{\alpha\beta}$, and $u_{r\alpha\beta}$ represent the grid-side voltage, grid-side current, and control inputs in the $\alpha\beta$ coordinate system, V_s represents the grid-side voltage amplitude, and ω represents the grid-side voltage angular frequency.

Since the grid-side filtering inductance parameter *L* and equivalent resistance *r* may vary within a certain range during rectifier operation [33,34], and there exits unmodeled dynamics in the modeling process, the AC-side power model can be rewritten as

$$\begin{cases} \frac{dP}{dt} = -\frac{r_0}{L_0}P - \omega Q - \frac{3}{2L_0}u_P + \frac{3}{2L_0}V_s^2 + \varepsilon_1\\ \frac{dQ}{dt} = -\frac{r_0}{L_0}Q + \omega P + \frac{3}{2L_0}u_Q + \varepsilon_2 \end{cases}$$
(4)

where

$$\begin{cases} \varepsilon_1 = -\frac{L_0\Delta r - r_0\Delta L}{LL_0}P + \frac{3\Delta L}{2LL_0}u_P - \frac{3\Delta L}{2LL_0}V_s^2 + \omega_1\\ \varepsilon_2 = -\frac{L_0\Delta r - r_0\Delta L}{LL_0}Q - \frac{3\Delta L}{2LL_0}u_Q + \omega_2 \end{cases}$$
(5)

where ε_1 and ε_2 are the lumped disturbances. $L = L_0 + \Delta L$, L_0 represents model inductance, ΔL represents deviation between actual inductance L and model inductance L_0 . $r = r_0 + \Delta r$, r_0 represents model resistance, and Δr represents deviation between actual resistance r and model resistance r_0 . ω_1 and ω_2 are unmodeled dynamics. From the physical properties of the inductors, we know that ΔL and Δr are bounded, and ω_1 and ω_2 are also bounded. To ensure protection and avoid over-modulation, P, Q, u_p , and u_q must be bounded. In addition, V_s is provided by the power grid. According to (5), ε_1 and ε_2 must be bounded, namely, $|\varepsilon_1| < A_1, |\varepsilon_2| < A_2$. Here, A_1 and A_2 are the upper bounds of ε_1 and ε_2 , respectively, and $A_1 > 0$ and $A_2 > 0$.

2.2. DC-Side Power Model

According to Figure 1, the DC-side power model can be obtained as [21].

$$\frac{d(V_{dc}^{2})}{dt} = \frac{2}{C}P - \frac{2}{C}P_{L}$$
(6)

where P_L represents the power consumed by the load. Since capacitance *C* may vary within a certain range during rectifier operation, and there exists certain unmodeled dynamics in the modeling process [33,34], Equation (6) can be rewritten as

$$\frac{d(V_{dc}^{2})}{dt} = \frac{2}{C_{0}}P - \frac{2}{C_{0}}P_{L} + \varepsilon_{3}$$
(7)

where

$$\varepsilon_3 = -\frac{2\Delta C}{CC_0}P + \frac{2\Delta C}{CC_0}P_L + \omega_3 \tag{8}$$

where ω_3 is the unmodeled dynamics of the DC-side power model. $C = C_0 + \Delta C$, C_0 represents model capacitance, and ΔC represents the deviation between actual capacitance

C and model capacitance C_0 . From the physical properties of the capacitor, it is known that ΔC and ω_3 are bounded. To ensure protection, *P* and *P*_L must be bounded. According to (8), ε_3 is bounded, namely, $|\varepsilon_3| < A_3$. Here, A_3 is the upper bound of ε_3 and $A_3 > 0$.

2.3. Nonlinear Power Model of Three-Phase PWM Rectifier

From (4) and (7), the nonlinear power model of the three-phase PWM rectifier can be expressed as

$$\begin{cases} \frac{d(V_{dc}^{2})}{dt} = \frac{2}{C_{0}}P - \frac{2}{C_{0}}P_{L} + \varepsilon_{3} \\ \frac{dP}{dt} = -\frac{r_{0}}{L_{0}}P - \omega Q - \frac{3}{2L_{0}}u_{P} + \frac{3}{2L_{0}}V_{s}^{2} + \varepsilon_{1} \\ \frac{dQ}{dt} = -\frac{r_{0}}{L_{0}}Q + \omega P + \frac{3}{2L_{0}}u_{Q} + \varepsilon_{2} \end{cases}$$
(9)

Equation (9) shows that the three-phase PWM rectifier is a multi-input–multi-output (MIMO) nonlinear system with multiple disturbances and a strong coupling between active power and reactive power. Therefore, this paper employs the exact feedback linearization to achieve global linearization in the following section.

3. Exact Feedback Linearized Power Model with Matched and Mismatched Disturbances *3.1. AC-Side Exact Feedback Linearized Power Model*

Theorem 1. Conditions for exact linearization of nonlinear systems [14]

1. Near the equilibrium point, the rank of the matrix

 $\left[ad_{f}^{0}g_{1}(x) \cdots ad_{f}^{0}g_{n}(x) \cdots ad_{f}^{n-1}g_{1}(x) \cdots ad_{f}^{n-1}g_{n}(x)\right]$ is always equal to the dimension of the state variable.

2. The set of vector fields

$$D = \left\{ ad_f^0 g_1(x) \quad \cdots \quad ad_f^0 g_n(x) \quad \cdots \quad ad_f^{n-1} g_1(x) \quad \cdots \quad ad_f^{n-1} g_n(x) \right\} \text{ is involu-}$$

tive within the domain.

Following (9), this article establishes an affine nonlinear model of the three-phase PWM rectifier, as shown in (10).

$$\begin{cases} \dot{x} = f(x) + g_1(x)u_P + g_2(x)u_Q + g_3(x)\varepsilon_1 + g_4(x)\varepsilon_2 \\ y_1 = h_1(x) \\ y_2 = h_2(x) \end{cases}$$
(10)

where
$$f(x) = \begin{pmatrix} \frac{3}{2L_0}V_s^2 - \omega x_2 - \frac{r_0}{L_0}x_1 \\ \omega x_1 - \frac{r_0}{L_0}x_2 \end{pmatrix}$$
, $g_1(x) = \begin{pmatrix} -\frac{3}{2L_0} \\ 0 \end{pmatrix}$, $g_2(x) = \begin{pmatrix} 0 \\ \frac{3}{2L_0} \end{pmatrix}$, $g_3(x) = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$.

 $g_4(x) = \begin{pmatrix} 0\\1 \end{pmatrix}$. The state variables are $x = \begin{pmatrix} x_1\\x_2 \end{pmatrix} = \begin{pmatrix} P\\Q \end{pmatrix}$, the control input variables are $u = \begin{pmatrix} u_P\\u_Q \end{pmatrix}$, the disturbance variables are $\varepsilon = \begin{pmatrix} \varepsilon_1\\\varepsilon_2 \end{pmatrix}$, and the output variable are $y = \begin{pmatrix} y_1\\y_2 \end{pmatrix} = \begin{pmatrix} h_1(x)\\h_2(x) \end{pmatrix} = \begin{pmatrix} x_1\\x_2 \end{pmatrix}$.

Firstly, verify whether the power model without disturbances satisfies the exact feedback linearization condition. From (10), it can be concluded that

$$\begin{cases} \dot{x} = f(x) + g_1(x)u_P + g_2(x)u_Q \\ y_1 = h_1(x) \\ y_2 = h_2(x) \end{cases}$$
(11)

From (11), the Lie bracket can be found to be

$$ad_{f}g_{1}(x) = \frac{\partial g_{1}(x)}{\partial x}f(x) - \frac{\partial f(x)}{\partial x}g_{1}(x) = \begin{bmatrix} -\frac{3r}{2L_{0}^{2}}\\ \frac{3\omega}{2L_{0}}\\ \frac{2L_{0}}{2L_{0}}\\ \frac{2L_{0}}{2L_{0}}\\ \frac{3\omega}{2L_{0}}\\ \frac{3\omega}{2L_{0}^{2}} \end{bmatrix}$$
(12)
$$ad_{f}g_{2}(x) = \frac{\partial g_{2}(x)}{\partial x}f(x) - \frac{\partial f(x)}{\partial x}g_{2}(x) = \begin{bmatrix} \frac{3r}{2L_{0}^{2}}\\ \frac{3\omega}{2L_{0}}\\ \frac{2r}{2L_{0}^{2}} \end{bmatrix}$$

From (12), we can obtain matrix A, following as

$$A = \begin{bmatrix} g_1(x) & g_2(x) & ad_f g_1(x) & ad_f g_2(x) \end{bmatrix} \\ = \begin{bmatrix} -\frac{3}{2L_0} & 0 & -\frac{3r_0}{2L_0^2} & \frac{3\omega}{2L_0} \\ 0 & \frac{3}{2L_0} & \frac{3\omega}{2L_0} & \frac{3r_0}{2L_0^2} \end{bmatrix}$$
(13)

The rank of A is two, which satisfies condition 1 of Theorem 1 as it is equal to the dimension of the state variable. Moreover, condition 2 of Theorem 1 is satisfied as the dimension is two and the vector field D is involutive. Therefore, the system (10) can achieve an exact linearization through state feedback. The exact linearization process is as follows.

Firstly, calculate the relational degree of the system. According to the definition of relational degree, it can be expressed as

$$\begin{bmatrix} L_{g_1}L_f^{\ 0}h_1(x) & L_{g_2}L_f^{\ 0}h_1(x) \\ L_{g_1}L_f^{\ 0}h_2(x) & L_{g_2}L_f^{\ 0}h_2(x) \end{bmatrix} = \begin{bmatrix} -\frac{3}{2L_0} & 0 \\ 0 & \frac{3}{2L_0} \end{bmatrix}$$
(14)

From (14), the total relational degree of the system is $r = r_1 + r_2 = 2$, which is equal to the system's dimension. Therefore, we choose the diffeomorphism mapping $\Phi(x)$ as

$$\phi(x) = \begin{bmatrix} z_1 \\ z_2 \end{bmatrix} = \begin{bmatrix} L_f^{r_1 - 1} h_1(x) \\ L_f^{r_2 - 1} h_2(x) \end{bmatrix} = \begin{bmatrix} P \\ Q \end{bmatrix}$$
(15)

Diffeomorphism mapping is applied to the disturbance ε_1 and ε_2 , and the mapped disturbance terms φ_1 , φ_2 are

$$\varphi = \begin{bmatrix} \varphi_1 \\ \varphi_2 \end{bmatrix} = \begin{bmatrix} L_{g_3} L_f^{r_1 - 1} h_1(x) \varepsilon_1 + L_{g_4} L_f^{r_1 - 1} h_1(x) \varepsilon_2 \\ L_{g_3} L_f^{r_2 - 1} h_2(x) \varepsilon_1 + L_{g_4} L_f^{r_2 - 1} h_2(x) \varepsilon_2 \end{bmatrix} = \begin{bmatrix} \varepsilon_1 \\ \varepsilon_2 \end{bmatrix}$$
(16)

Secondly, choose the state feedback control law as

$$v = \begin{bmatrix} v_P \\ v_Q \end{bmatrix} = b(x) + E(x) \begin{bmatrix} u_P \\ u_Q \end{bmatrix}$$
(17)

where v_p and v_q represent the state feedback control input variables of P and Q, respectively.

$$b(x) = \begin{pmatrix} L_f^{r_1}h_1(x) \\ L_f^{r_2}h_2(x) \end{pmatrix}, E(x) = \begin{pmatrix} L_{g_1}L_f^{r_1-1}h_1(x) & L_{g_2}L_f^{r_1-1}h_1(x) \\ L_{g_1}L_f^{r_2-1}h_2(x) & L_{g_2}L_f^{r_2-1}h_2(x) \end{pmatrix}$$

Finally, by combining Equations (10) and (15)–(17), the Brunovsky standard form of the AC-side exact-feedback linearized power model can be obtained [14]:

$$\begin{aligned}
 z_1 &= v_P + \varphi_1 \\
 \dot{z}_2 &= v_Q + \varphi_2 \\
 y_1 &= z_1 \\
 y_2 &= z_2
 \end{aligned}$$
(18)

P and *Q* in (18) are decoupled. The linearization process takes into account parameter uncertainty and disturbances; thus, (18) is more suitable for practical engineering.

Based on (9), (15), and (18), the power model can be obtained as

$$\begin{cases} \frac{d(V_{dc}^{2})}{dt} = \frac{2}{C_{0}}P - \frac{2}{C_{0}}P_{L} + \varepsilon_{3}\\ \frac{dP}{dt} = v_{P} + \varphi_{1}\\ \frac{dQ}{dt} = v_{Q} + \varphi_{2} \end{cases}$$
(19)

The load power P_L is usually unknown. If P_L and ε_3 are treated as lumped disturbances $d_1(t)$, then

$$\begin{cases} \frac{d(V_{dc}^{2})}{dt} = \frac{2}{C_{0}}P + d_{1}(t) \\ \frac{dP}{dt} = v_{P} + d_{2}(t) \\ \frac{dQ}{dt} = v_{Q} + d_{3}(t) \end{cases}$$
(20)

where $d_1(t) = -\frac{2}{C_0}P_L + \varepsilon_3$, $d_2(t) = \varphi_1$, and $d_3(t) = \varphi_2$. Matched disturbances and control inputs act on the same channel, while mismatched disturbances do not. It is clear that $d_1(t)$ is a mismatched disturbance, while $d_2(t)$ and $d_3(t)$ are matched disturbances.

4. Design of Three-Phase PWM Rectifier Controller

Figure 2 illustrates the proposed robust direct power control strategy for the threephase rectifier shown in Figure 1. As explained in Section 3.2, the active and reactive power controllers are designed separately since *P* and *Q* are uncoupled. The DC-side power model and active power model are treated as a unified system and controlled using the SMC with the NDO (SMC + NDO) method to regulate V_{dc} , as shown in Figure 2a. The sliding-mode controller is used to control the reactive power, as shown in Figure 2b. The following section will explain the process of designing controllers.



Figure 2. The design of the controllers for the three-phase PWM rectifier.

4.1. DC Bus Voltage Controller with SMC + NDO

Equation (20) reveals that the three-phase PWM rectifier is a nonlinear system that includes both matched and mismatched disturbances. However, most of the previous literature often neglects controller integrity by applying (1) and (6) to design the dual-loop controller, making it difficult to guarantee the global stability of the system [35]. Nevertheless, treating the DC-side power model and the active power model as a second-order model for designing controllers can lead to highly challenging control problems with mismatched disturbances [29]. Therefore, this paper applies the SMC + NDO method to design the DC bus voltage controller.

4.1.1. The NDO

From (9), it can be seen that the power model is established in the $\alpha\beta$ coordinate system. However, Equation (9) shows that the power model is a DC system. This means

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that $d(d_1(t))/dt$ will be zero when the system is stable. So, this paper makes the following assumptions:

Assumption 1. $d_1(t)$ is bounded and satisfies $\lim_{t\to\infty} d_1(t) = 0$.

For three-phase PWM rectifiers, the control objective is to ensure that V_{dc} strictly tracks the reference value V_{dcref} . Therefore, the voltage-tracking error is defined as

$$e_V = V_{dc}^2 - V_{dcref}^2 \tag{21}$$

Substituting (21) into (20) yields

$$\begin{cases} \dot{x}_{V1} = x_{V2} + d_1(t) \\ \dot{x}_{V2} = u_{V_{dc}} + d_2'(t) \\ y_V = x_{V1} \end{cases}$$
(22)

where $\begin{pmatrix} x_{V1} \\ x_{V2} \end{pmatrix} = \begin{pmatrix} e_V \\ \frac{2}{C_0}P \end{pmatrix}$, $u_{V_{dc}} = \frac{2}{C_0}v_P$, $d_2'(t) = \frac{2}{C_0}\varphi_1$, and $y_V = x_{V1}$. From (22), it can be further concluded that

$$\begin{cases} \dot{X}_{V} = f_{V}(x) + g_{V1}(x)u_{V_{dc}} + g_{V2}(x)d_{1}(t) \\ y_{V} = x_{V1} \end{cases}$$
(23)

where $X_V = \begin{pmatrix} x_{V1} \\ x_{V2} \end{pmatrix}$, $f_V(x) = \begin{pmatrix} x_{V2} \\ d_2'(t) \end{pmatrix}$, $g_{V1}(x) = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$, and $g_{V2}(x) = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$. In order to observe power disturbance $d_1(t)$, we designed the nonlinear disturbance

observer as shown below.

$$\begin{cases} \dot{p}_1 = -lg_{V2}p_1 - l(g_{V2}lX_V + f_V(x) + g_{V1}(x)u_{V_{dc}}) \\ \dot{d}_1 = p_1 + lX_V \end{cases}$$
(24)

where p_1 is the auxiliary variable of the observer, $\hat{d_1}$ is the estimated value of d_1 , and $l = [l_1, l_2]$ is the observer gain. From (24), it can be concluded that

$$\hat{d}_1(t) = -lg_{V2}\Big(\hat{d}_1(t) - d_1(t)\Big)$$
(25)

Define disturbance estimation error as

$$e_d(t) = d_1(t) - \hat{d}_1(t)$$
(26)

From (25) and Assumption 1, it can be concluded that

$$\dot{e}_d(t) + lg_{V2}e_d(t) = 0$$
 (27)

According to (27), $e_d(t)$ will asymptotically converge to zero if $lg_{V2} > 0$. This implies that \hat{d}_1 can gradually track d_1 .

4.1.2. The SMC + NDO Controller

Assumption 2. The estimation error of system disturbance is bounded. There exists $e_d^* = \sup_{t>0} |e_d(t)|$.

TSMC cannot completely suppress mismatched disturbances. Therefore, this paper presents a new sliding-mode surface that integrates the estimation of mismatched disturbances into the traditional linear sliding surface, as shown in (28).

$$s_{V_{dc}} = x_{V2} + c_{Vdc} x_{V1} + \hat{d}_1(t)$$
⁽²⁸⁾

where c_{Vdc} is the SMC parameter, $c_{Vdc} > 0$. In this paper, the Lyapunov stability theory is adopted to design the SMC controller. The design process is as follows.

Firstly, define the Lyapunov energy function as

$$V_1 = \frac{1}{2} s_{V_{dc}}^2$$
 (29)

Combining Equations (22) and (28), the derivative of V_1 can be obtained as

$$\dot{V}_1 = s_{V_{dc}} \dot{s}_{V_{dc}} = s_{V_{dc}} \left[d_2'(t) + u_{V_{dc}} + c_{V_{dc}}(x_{V2} + d_1(t)) + \dot{d}_1(t) \right]$$
(30)

Combining the Lyapunov stability condition and the principle of feedforward compensation, the following SMC controller is developed in this paper.

$$u_{V_{dc}} = -c_{Vdc} \left(x_{V2} + \hat{d}_1(t) \right) - k_{V_{dc}} \operatorname{sgn}(s_{V_{dc}}) - \rho_1 s_{V_{dc}}$$
(31)

where k_{Vdc} and ρ_1 are the switching gain, $k_{Vdc} > 0$, $\rho_1 > 0$. Substituting (31) into (30), we can further find

$$\begin{aligned}
\dot{V}_{1} &= s_{V_{dc}} \left[d_{2}'(t) + (c_{Vdc} + lg_{V2})e_{d}(t) - k_{V_{dc}} \operatorname{sgn}(s_{V_{dc}}) - \rho_{1}s_{V_{dc}} \right] \\
&\leq - \left[k_{V_{dc}} - d_{2}'(t) - (c_{Vdc} + lg_{V2})e_{d}(t) \right] \left| s_{V_{dc}} \right| - \rho_{1}s_{V_{dc}}^{2} \\
&\leq -\sqrt{2} \left[k_{V_{dc}} - d_{21}^{*}(t) - (c_{Vdc} + lg_{V2})e_{d}(t) \right] V_{1}^{\frac{1}{2}} - 2\rho_{1}V_{1}
\end{aligned}$$
(32)

where $e_d^* = \sup_{t>0} |e_d(t)|, d_{21}^* = \sup_{t>0} |d_2'(t)|$. If $k_{V_{dc}} > |d_2'(t) + (c_{V_{dc}} + lg_{V_2})e_d^*|_{\max}$, then

 $V_1 < 0$. This indicates that the designed SMC controller can drive the system to reach the sliding-mode surface within a finite time t_{r1} , and t_{r1} is satisfied.

$$t_{r1} \leq \frac{1}{\rho_1} \ln \left(\frac{2\rho_1 V_1(t_0)^{\frac{1}{2}} + \sqrt{2} \left(k_{V_{dc}} - d_{21}^* - (c_{V_{dc}} + lg_{V2})e_d^* \right)}{\sqrt{2} \left(k_{V_{dc}} - d_{21}^* - (c_{V_{dc}} + lg_{V2})e_d^* \right)} \right)$$
(33)

when the system reaches the sliding-mode surface, from (22) and (28), we can find

$$\dot{x}_{V1} = -c_{Vdc} x_{V1} + e_d(t) \tag{34}$$

According to (26), (27), and (34), it obtains

$$\begin{cases} \dot{x}_{V1} = -c_{Vdc} x_{V1} + e_d(t) \\ \dot{e}_d(t) = -lg_{V2} e_d(t) + \dot{d}_1(t) \\ x_{V2} = -c_{Vdc} x_{V1} - \hat{d}_1(t) \end{cases}$$
(35)

Lemma 1 ([15]). *The system* $\dot{x} = f(t, x, u)$

- 1. If the origin of an unmotivated system is globally exponentially stable, then the system is input-state stable (ISS).
- 2. If the system is ISS and satisfies $\lim_{t\to\infty} u(t) = 0_m$, then there is $\lim_{t\to\infty} x(t) = 0_n$.

Consider the following system

$$\begin{cases} \dot{x}_{V1} = -c_{Vdc} x_{V1} + e_d(t) \\ \dot{e}_d(t) = -lg_{V2} e_d(t) \end{cases}$$
(36)

It is easy to see that system (36) is exponentially stable. It follows that (37) is ISS from Lemma 1(1).

$$\begin{cases} \dot{x}_{V1} = -c_{Vdc} x_{V1} + e_d(t) \\ \dot{e}_d(t) = -lg_{V2} e_d(t) + \dot{d}_1(t) \end{cases}$$
(37)

Combining Assumption 1 and Lemma 1(2), it is known that the system states satisfy $\lim_{t\to\infty} x_{V1}(t) = 0$ and $\lim_{t\to\infty} e_d(t) = 0$. That means that \hat{d}_1 and V_{dc^2} ultimately converge to d_1 and V_{dcref}^2 , respectively. From (28), it follows that when the system reaches the sliding-mode surface, there is $\lim_{t\to\infty} x_{V1} = 0$, and then there is $\lim_{t\to\infty} (x_{V2} + \hat{d}_1(t)) = 0$. This implies that the proposed SMC + NDO only requires one controller to regulate both DC bus voltage and active power. Furthermore, it suggests that the proposed SMC + NDO not only simplifies the control design but also improves robustness to both matched and mismatched power disturbances. According to (32), the proposed SMC + NDO can greatly reduce k_{Vdc} , and sliding-mode chattering is also effectively decreased consequently.

4.2. Reactive Power Controller with SMC

This section aims to ensure that Q follows the reference power Q_{ref} . To improve the robustness and reduce the chattering, SMC with the exponential convergence law is applied to design the reactive power controller. Define the error $e_Q = Q - Q_{ref}$, and by combining (20), we can find

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$$\frac{dQ}{dt} = v_Q + d_3(t) \tag{38}$$

Select the sliding-mode surface as

$$s_Q = e_Q \tag{39}$$

And the Lyapunov function is defined as

$$V_2 = \frac{1}{2} s_Q^2$$
 (40)

Combining (38)–(40), the derivative of V_2 can be obtained as

$$\dot{V}_2 = s_Q \left(\dot{Q} - \dot{Q}_{ref} \right) = s_Q \left(v_Q + d_3(t) \right) \tag{41}$$

Based on the Lyapunov stability condition, we designed the sliding-mode feedback

law as

$$Q = -\rho_2 s_Q - k_Q \operatorname{sgn}(s_Q) \tag{42}$$

where k_Q , ρ_2 is the switching gain, $k_Q > 0$, $\rho_2 > 0$. Substituting (42) into (41) yields

$$\dot{V}_{2} \leq -(k_{Q}-\varphi_{2})|s_{Q}|-\rho_{2}s_{Q}^{2} = -\sqrt{2}(k_{Q}-d_{3}(t))V_{2}^{\frac{1}{2}}-\rho_{2}s_{Q}^{2} \leq -\sqrt{2}(k_{Q}-d_{3}^{*}(t))V_{2}^{\frac{1}{2}}-2\rho_{2}V_{2}$$

$$\tag{43}$$

where $d_3^*(t) = \sup_{t>0} |d_3(t)|$, From Equation (43), it can be seen that when $k_Q > d_3^*(t)$, the system is stable and reaches the sliding-mode surface within a finite time t_{r2} . The reaching time t_{r2} is satisfied.

$$t_{r2} \le \frac{1}{\rho_2} \ln \left(\frac{2\rho_2 V_2^{\frac{1}{2}}(t_0) + \sqrt{2}(k_Q - \varphi_2^*)}{\sqrt{2}(k_Q - \varphi_2^*)} \right)$$
(44)

From (3), the control input signals $u_{r\alpha}$ and $u_{r\beta}$ are, respectively,

$$\begin{cases} u_{r\alpha} = \frac{V_{s\alpha}u_P - V_{s\beta}u_Q}{V_s^2} \\ u_{r\beta} = \frac{V_{s\beta}u_P + V_{s\alpha}u_Q}{V_s^2} \end{cases}$$
(45)



Based on the above analysis, the block diagram of the RDPC is illustrated in Figure 3.

Figure 3. The proposed RDPC for three-phase voltage-source PWM rectifier.

The simulation model of the proposed RDPC is depicted in Figure 4.



Figure 4. The simulation model of the proposed RDPC.

5. Simulation and Experimental Verification

In this paper, MATLAB/Simulink is used for simulation. The experimental platform is depicted in Figure 5, and includes LV-25P and LA-55P sensors for voltage and current, respectively. The power-switching device is IRFP460, and the control algorithm is implemented through TMS320F28335. The main circuit parameters are shown in Table 1.

Table 1. System parameters.

Meaning	Parameters	Value	Units
Grid voltage (peak voltage)	V_m	30	V
Grid frequency	f	50	Hz
Filter inductance	Ĺ	5.62	mH

Table	1.	Cont.
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Meaning	Parameters	Value	Units
Equivalent resistance	r	1.2	Ω
DC bus reference voltage	V _{dcref}	100	V
DC filtering capacitor	C	1000	μF
Sampling frequency	f_s	9k	Hz



Figure 5. Three-phase PWM rectifier experimental platform.

To verify the superiority of the RDPC, we performed experimental and simulation comparisons with the dual-loop PI (DL-PI) control and the TSMC. The TSMC is shown in (46) and (47), where (46) represents the DC bus voltage controller and (47) represents the reactive power controller. As three-phase PWM rectifiers typically operate at the unity power factor, *Q_{ref}* is set to zero. The control parameters are detailed in Table 2.

$$\begin{cases} s_{vdc_SMC} = \dot{x}_{V1} + c_1 x_{V1} \\ v_P = -k_p \text{sgn}(s_{vdc_SMC}) - c_1 x_{V2} \end{cases}$$
(46)

$$\begin{cases} s_{Q_SMC} = e_Q \\ v_Q = -k_q \operatorname{sgn}(s_Q) \end{cases}$$
(47)

where k_p and k_q represent the switching gains of *P* and *Q* controllers in TSMC, respectively, c_1 is the TSMC parameter.

Controllers	Parameters
	l
	c _{Vdc}
RDPC	k_{Vdc}

Table 2. (Control	parameters.
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Controllers	Parameters	Value
RDPC	1	[50,0]
	c_{Vdc}	30
	k_{Vdc}	1250.3
	$ ho_1$	100
	k_Q	20
	ρ_2	100
DL-PI	K_{p} P	420
	$\vec{K_{iP}}$	2000
	K_{p_Q}	420
	$K_{i_{Q}}$	2000
	$K_{p_V dc}$	30
	$\vec{K_{i_Vdc}}$	300
TSMC	<i>c</i> ₁	30
	k_p	400,000
	k_q	100,000

The control parameters are detailed in Table 2. The parameters' design procedure for the RDPC is summarized as follows.

- 1. The criterion for the rectification of the observer gain l: First, l must be within the defined range (l > 0). Second, it is observed from Equation (27) and Figure 6 that a larger *l* results in a faster convergence rate. However, it should be noted that a larger *l* will lead to a larger switching gain k_{Vdc} , as defined in Equation (32), which increases the chattering. Therefore, in actual engineering applications, it is important to avoid selecting extreme *l*. Furthermore, when *l* exceeds [50,0], the improvement in dynamic performance shown in Figure 6 is not significant, so we set the *l* value to [50,0];
- 2. The criterion for the rectification of the SMC parameter c_{vdc} : First, c_{vdc} is chosen to be within the defined range ($c_{vdc} > 0$). Second, as shown in Figure 7, a larger c_{vdc} results in faster convergence and smaller voltage drop. However, a larger c_{vdc} will lead to a larger switching gain k_{Vdc} , as defined in Equation (32), which increases the chattering. Furthermore, when c_{vdc} exceeds 30, the improvement in dynamic performance shown in Figure 7 is not significant, so we set c_{vdc} value to 30;
- 3. The criterion for the rectification of the switching gain k_{Vdc} , k_Q , ρ_1 , and ρ_2 : Based on the selected *l* and c_{vdc} , k_{Vdc} and k_Q should be chosen within the defined in Equations (32) and (43). Furthermore, increasing ρ_1 and ρ_2 leads to a faster convergence rate. To reduce sliding-mode chattering, it is recommended to decrease k_{Vdc} and k_Q .



Figure 6. Disturbance estimate under different values of *l*.



Figure 7. Disturbance estimate under different values of *c*_{vdc}.

5.1. Dynamic and Steady-State Performance at Nominal Parameters

In this case, the load changes from no load to a load composed of a resistor of 50 Ω . Figures 8 and 9 show the transient response of the DC bus voltage under three control methods; the general trends of the experimental waveforms are consistent with the simulated waveforms. In Figures 8 and 9, one can observe that both the DL-PI control and the RDPC can regulate the DC bus voltage to the desired value of 100 V. However, the RDPC exhibits superior dynamic performance with a shorter transition time t_r and less of a DC bus voltage drop ΔV_{dc} . The reason is that the RDPC can compensate for disturbances in real time through the NDO, which enhances the resistance to mismatched disturbances. The TSMC is unable to completely suppress mismatched disturbances. Consequently, when the load is 50 Ω , the DC bus voltage does not reach 100 V, as depicted in Figures 8 and 9. In contrast, the RDPC achieves simultaneous the regulation of DC bus voltage and active power through a single-loop control structure. On the other hand, the inner loop (current loop) in the DL-PI control is equivalent to a first-order inertial element, which causes a certain control delay. As a result, the dynamical response of the DL-PI is slower than that of the RDPC with a single-loop control structure.



Figure 8. Simulation waveforms when load steps from 0Ω to 50Ω .

Acq Complete M Pos: 408.0m



(a)DC bus voltage and load current(RDPC)



(b)Grid-side voltage and current(RDPC)



(c)Reactive power, active power

and disturbance estimate(RDPC)

tr ≈780ms tr ≈780ms Utr ≈780ms



(d)DC bus voltage and load current(DL-PI)





(g)DC bus voltage and load current(TSMC)



(h)Grid-side voltage and current(TSMC)

V	Q:,	500\	/ar/div
La halferte	- *		
tr≈5ms	P	: 500	W/div
Contraction of the second second	u		مىدۇسىيىدۇ مىس
i have have a second se			

(f)Reactive power and active power (DL-PI)

(i)Reactive power and active power (TSMC)

Figure 9. Experimental waveforms when load steps from 0Ω to 50Ω .

Figure 9b,e,h show the steady-state current waveforms with the DL-PI, TSMC, and the RDPC. Figure 10 presents the power factor (PF), the total harmonic distortion (THD), and the current spectrum for the a-phase current. Comparing PF and current spectrum in



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Figure 10a–c, the RDPC has a better performance. Notably, the fifth and seventh harmonics are reduced compared to the DL-PI. The THD values for DL-PI are 4.023% (i_a), 4.007% (i_b), and 4.062% (i_c). The THD values for TSMC are 6.717% (i_a), 6.037% (i_b), and 6.642% (i_c), while the THD for the RDPC are 3.422% (i_a), 3.207% (i_b), and 2.799% (i_c). The reason for this difference is that the RDPC considers the DC bus voltage and active power as a whole and applies the Lyapunov stability theory to design the controller, ensuring good stability over the whole load range. Meanwhile, it can be observed that the TSMC and RDPC have the same single-loop control structure, but the THD of the TSMC is higher than that of the RDPC. This is because the TSMC requires a large switching gain k_p to suppress the mismatched disturbances, and a large switching gain k_p can cause severe chattering, which leads to current distortion.



Figure 10. Harmonic analysis under steady-state conditions.

The transient responses of P and Q are also tested. Experimental results with the DL-PI, RDPC, and TSMC are shown in Figure 9c, Figure 9f, and Figure 9i, respectively. From Figure 9c, f, it is evident that P can converge quickly and accurately to 250 W (including the 200W load power as well as the power lost via the inductor, etc.), and the reactive power is stable near zero. This result demonstrates that both the RDPC and DL-PI exhibit good control accuracy for both P and Q. Figure 9c shows that Q always remains zero at the instant of *P* mutation, indicating that the RDPC effectively achieves the complete decoupling of *P* and *Q* using the exact feedback linearization method. However, Figure 9i reveals a significant error between P and the reference power due to the challenge of the TSMC in achieving the complete suppression of the mismatched power disturbances. From Figure 9c, f, i, it can be observed that the transition process times of P are 10 ms (RDPC), 700 ms (DL-PI) and 5 ms (TSMC), respectively. The results demonstrate that both the RDPC and TSMC, under the single-loop control structure, significantly enhance the dynamic response speed of the power control compared with the DL-PI. These findings demonstrate the effectiveness of the three-phase PWM single-loop control structure proposed in this paper. Based on the comparison of Figure 9c,i, it is known that the dynamic response time of the RDPC is slightly longer than that of the TSMC. This is primarily because the TMSC employs large robust switching gains in Equation (46) for power-disturbance compensation, while the RDPC mainly utilizes an integral-type NDO for power-disturbance compensation. Theoretically, the response speed of the NDO is inevitably slower than the robust switching gain. However, from the engineering application point of view, the power control speed of the RDPC has met the practical engineering requirements.

Figure 9c shows the dynamic response of the NDO. It can be seen that \hat{d}_1 exponentially converges to a steady-state value ($\approx -5 \times 10^5$), verifying the correctness of (27). \hat{d}_1 is composed of load power, unmodeled dynamics, and parameter uncertainty, which makes it greater than the load power ($\approx -4 \times 10^5$). In Figure 9i, the active power exhibits oscillations during the no-load operation. This is because we selected a switching gain for the TSMC that achieves a balance between stability and chattering under the rated load. However, this switching gain is too large for the no-load operation. In contrast, the RDPC uses the NDO to compensate for mismatched disturbances, which allows for a very small switching gain.

5.2. Parameter Robustness

This section proves the robustness of the proposed RDPC scheme against parameter uncertainties. To evaluate the robustness against the parameter uncertainties, the values of L_0 , C_0 , and r_0 are set in variations. It is important to note that we have changed the parameters in the control system to evaluate the robustness of the control, as this method avoids the degradation of filtering performance due to physical changes in an *L* filter and *C* filter [36]. Figures 11 and 12 show the simulation and experimental results with the parameter uncertainties. Table 3 summarizes transient and steady performances under these uncertainties. The results indicate that the current THD, DC bus voltage drop, and transient time have not changed significantly, confirming the strong robustness of the RDPC for parameter uncertainties.



Figure 11. Simulation waveforms under parameter perturbation.



(a)DC bus voltage and load current



(c)Reactive power, active power and disturbance estimate

Figure 12. Dynamic response under parameter perturbation ($L_0 = 0.85L$, $C_0 = 1.15C$, $r_0 = 0.85r$, load steps from 0 Ω to 50 Ω).

 Table 3. Control-performance indicators proposed under parameter perturbation.

L_0	<i>C</i> ₀	<i>r</i> ₀	Grid-Side Current THD	ΔV_{dc} (V)	<i>t_r</i> (ms)
85%	85%	85%	3.612%	11.2	56
85%	115%	85%	3.416%	9.0	58
115%	85%	85%	3.692%	10.4	56
115%	115%	100%	3.658%	9.0	56

5.3. Constant Power Load

We also tested the RDPC performance when a CPL is connected to the DC microgrid. The CPL steps from 100 W to 200 W. Figure 13a–f show dynamic response waveforms with the DL-PI and the RDPC. The proposed RDPC demonstrated better dynamic performance than the DL-PI. When $L_0 = 0.85L$, $C_0 = 1.15C$, and $r_0 = 0.85r$, dynamic response waveforms with the RDPC are shown in Figure 14a–c. The results indicate that the RDPC remains insensitive to parameters even with the CPL. Additionally, the NDO exhibits excellent estimation performance under parameter uncertainties and the CPL. Meanwhile, the RDPC achieves stability of the DC bus voltage without requiring passive damping, as stated in [3].



(d) DC bus voltage and load current (RDPC) (e)Grid-side voltage and current(RDPC)

(f)Reactive power, active power and disturbance estimate(RDPC)

Figure 13. Dynamic response with DL-PI and RDPC ($L_0 = L$, $C_0 = C$, $r_0 = r$, CPL steps from 100 W to 200 W).



(a) DC bus voltage and load current

(b)Grid-side voltage and current

(c)Reactive power, active power and disturbance estimate

Figure 14. Dynamic response with RDPC ($L_0 = 0.85L$, $C_0 = 1.15C$, $r_0 = 0.85r$, CPL steps from 0 W to 200 W).

6. Conclusions

In this paper, a novel RDPC has been proposed for the three-phase PWM rectifier by taking advantage of the single-loop control structure. Compared to traditional dual-loop direct power control, the proposed RDPC has a simpler control structure, a better steady-state, and better dynamic performance using the exact-feedback linearization theory to linearize the nonlinear power model of the rectifier, achieving the decoupling of active and reactive power. To deal with the matched and mismatched active power disturbances,

sliding-mode controllers have been designed via NDO technology in the DC bus-tracking control. A sliding-mode-controller-based exponential convergence law has been developed to deal with the matched reactive power disturbances. The Lyapunov stability theory has proved that the designed controller is globally stable. Finally, the simulation and experimental results on the three-phase PWM rectifier have demonstrated that compared with the DL-PI and the TSMC, the proposed RDPC effectively has a remarkably shorter transient time, a rather smaller DC bus voltage drop and sliding-mode chattering, and stronger robustness to the mismatched and matched disturbances. Meanwhile, when a CPL is connected to the DC microgrid, the proposed RDPC still has the best steady state and dynamic performance, even with parameter uncertainties. The proposed RDPC can be extended to other converters, such as three-phase three-level Neutral Point Clamped (NPC) rectifiers; therefore, it has broad theoretical and engineering application value.

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