

Review



# Influence of Implant–Abutment Connection Biomechanics on Biological Response: A Literature Review on Interfaces between Implants and Abutments of Titanium and Zirconia

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Abstract: The interface between a dental implant and an abutment is stabilized by two mechanical characteristics: a preload of an abutment screw and the friction between the contact surfaces of the implant and the abutment. These mechanical properties are quantitatively analyzed by using physical and mechanical formulas. The important thing is that such mechanical properties cause various biological phenomena when medical devices are inserted into human bodies. Some mechanical complications in dental implant prostheses are closely associated with biological complications. This literature review explores the mechanical complications of the implant–abutment connection and their biological effects in a titanium dental implant system, which is the system most widely used in dental clinics. Understanding the biomechanics of the implant–abutment connection helps to predict the merits and limits of zirconia dental implants, which have been recently introduced and clinically applied.

Keywords: dental abutments; dental implant-abutment connection; dental implants; zirconium oxide

# 1. Introduction

The introduction of osseointegration by Per-Ingvar Brånemark in the mid-1960s led to the advent of dental endosteal implants. These implants have both partially and completely benefited edentulous patients around the world in regaining functions, such as speech, mastication, and deglutition, and have provided aesthetic quality and comfort [1]. The early Brånemark implants were designed to support and retain mandibular complete dentures. Over the following decades, applications of dental implants have been expanded to include single crowns, fixed partial dentures, full-arch fixed complete dentures, removable complete implant-retained overdentures, implant-assisted removable partial dentures, and complex maxillofacial prostheses. It is now impossible to discuss prosthodontics without mentioning dental implants, which have demonstrated predictable success and survival in supporting various types of dental prostheses [2].

Early dental implants were machined, smooth-surfaced fixtures made of titanium (Ti), which offered excellent biocompatibility and affordability [1]. Most titanium dental implants are made from commercially pure Grade 4 titanium (cpTi). Titanium can be alloyed with vanadium (V) and aluminum (Al) to increase the implant's elastic modulus and tensile strength while reducing the risk of corrosion [3]. As we will later, in detail, discuss in this review, these implants were originally external-hex-connected and bone-level dental implants. However, in the late 1990s, researchers and clinicians reported complications and failures in dental implants and implant-supported prostheses as the



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**Copyright:** © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). number of dental implants used worldwide increased in the 1980s and 1990s [4]. Since then, dental implants have undergone various design changes (such as internal friction connections, platform switching, and tissue-level dental implants), surface modifications (additive and subtractive), and material changes (such as zirconia and gold-plated screws) to overcome earlier failures [5–7]. These innovations, especially pioneered by digital dentistry, have made implant-retained or -supported dental prostheses some of the most successful therapies of the 21st century.

Dental implant complications can be categorized into three general categories: mechanical, biological, and aesthetic complications [4,8–12]. In this literature review, the authors will mainly focus on mechanical and biological complications. Before further discussing the complications of dental implants, it is necessary to review the terminologies used in this article. According to a consensus statement made by a group led by Lang [13], the following terms were defined:

- Survival: the implant or prosthesis is present at the follow-up examination, but its condition is not specified;
- Success: the implant or prosthesis is present at the follow-up examination, and there
  are no complications;
- Loss: the implant or prosthesis is no longer present at the time of the follow-up examination;
- Complication: chair time is required after the incorporation of the prosthesis;
- Failure: either the implant or prosthesis is lost, or a complication is present at the follow-up examination.

## 2. Complications in Implant-Supported Prostheses

## 2.1. Mechanical Complications

In the literature, there are three types of mechanical (technical) complications: (1) implantrelated, such as implant fractures; (2) connection-related, such as a screw loosening in the prosthesis, a screw loosening in the abutment, screw fractures, and abutment fractures; and (3) suprastructure-related, such as metal framework fractures, worn materials, acrylic resin base fractures for implant overdentures, facial and occlusal veneer porcelain fractures, cement failure, prosthetic material failure, prosthesis replacement due to complications, opposing prosthesis fractures, and problems with mechanical retention (bar or clip attachments) in overdentures [4,13–15].

Mechanical complications are caused by either occlusal overload or excessive force caused by the muscles of mastication, or they are caused by improperly designed or manufactured prostheses. Factors that can contribute to occlusal overload include immediate loading, under-engineered design (not enough supporting implants or inadequate anterior–posterior spread), large cantilevers, steep cuspal inclinations, a poor distribution of force, occlusal interferences, and parafunctional habits such as nocturnal bruxism [16,17].

The most commonly reported mechanical complication is the loosening of screws and abutments [2,5,15,17,18]. To address this issue, implant manufacturers modified the fixture design by introducing internal friction connections (which will be later discussed in detail) using gold alloy screws, as well as by introducing a tightening technique with a countertorque device [17,18]. In addition, the advent of monolithic zirconia restorative material in recent years has reduced the use of acrylic and porcelain veneers in implant-supported prostheses [7,19–21].

# 2.2. Biologic Complications

Biological complications can be classified into two categories: (1) early implant failure, which is characterized by the loss of osseointegration in the first year or before loading, and (2) late implant failure, which occurs after osseointegration and loading, such as in mucositis, peri-implantitis, fistula, and dehiscence [16,22].

Early implant failure can be caused by surgical complications such as neurosensory disturbance; hematoma; mandibular fracture; hemorrhage; the impingement of the implant to vital structures, such as the sinus membrane, the inferior alveolar nerve, a natural tooth, or an adjacent implant; and an overheating of the bone at the time of implant placement. Other causes include a contamination of the implant surface; underlying systemic medical conditions, such as uncontrolled diabetes mellitus, heavy smoking, intravenous bisphosphonate therapy, and current chemotherapy or radiation therapy; inadequate initial stability due to poor quality bone or overcountersinking; unsterile surgical techniques; and the premature loading of the implant [4,23]. Studies have shown that reducing surgical complications can lead to the greatest improvement in decreasing early implant failures [16].

Late implant failures can be caused by several factors such as implant inflammatory responses to bacterial infection, occlusal overload, parafunction (bruxism), incomplete removals of dental cement, a history of chronic periodontitis, poor plaque control, inadequate maintenance, a lack of peri-implant keratinized mucosa, mal-positioned implants with exposed threads, residual titanium particles, and adjacent pathology [9,22,24]. Moreover, the intaglio surface of an implant-supported fixed partial denture, if uncleanable due to concavity or heavy contact with soft tissue, can also lead to biological complications.

The two most common late implant failures are mucositis and peri-implantitis. Mucositis is a localized lesion without bone loss around an osseointegrated implant, while peri-implantitis is a localized lesion that includes bone loss around an osseointegrated implant [25,26]. Late implant failures can be diagnosed by bleeding on probing, suppuration, increased probing depth, implant mobility, and radiographic interpretation [13]. The prevalence of peri-implantitis has been estimated as quite high in previous studies [27–29]. Most of these failures require local and systemic antimicrobial treatment regimens, mechanical debridement, the polishing of implants, and resective or regenerative surgical procedures [13,28].

## 2.3. Association between Mechanical and Biological Complications

Researchers often discuss mechanical and biological complications separately in order to simplify their studies. However, in both classic and recent publications, a causal relationship between mechanical and biological complications has been suggested to explain the pathogenesis of peri-implantitis [5,6,15]. In the 1990s, most dental implants on the market were external hex implant systems. Schwarz, in 2000, explained the widespread loosening of implant parts by stating that the "rotational motion of the abutment on the implant, which results from machining tolerances of the external hexagons and their abutment counterparts, ultimately leads to failure of the screw" [15]. After the screw loosens, metal fatigue may result in screw fracture [5,18]. The resulting micromotion, caused by a poor fit between the structures, may lead to implant fractures or peri-implant inflammation and bone loss [30,31]. In a recent 2022 study, Kim and his colleagues discussed that the loosening of an abutment screw and the instability of an implant–abutment connection structure may ultimately result in peri-implantitis and implant failure [5].

Therefore, the authors believe that the mechanical and biological complications of dental implants are strongly associated. The loosening of abutment screws is believed to be the initial point for biological complications. In this literature review, the authors will further discuss the biomechanics of implant–abutment connection and its effects on the surrounding soft and hard tissues. Moreover, the authors will discuss the advantages and limitations of zirconia dental implants in this context, which are gaining popularity due to their aesthetic advantages.

#### 3. Connection Biomechanics and Its Biological Meaning

There are two widely applied implant–abutment connection structures in clinical implant dentistry: the external hex connection and the internal friction connection (Figure 1). The external hex connection is stabilized by preloading an abutment screw, which is performed when the area between an implant and an abutment is tightened [5]. Abutment screw biomechanics in the field of implant dentistry have been well described in a previous study [18]. Basically, the theoretical amount of preload required to prevent screw loosening

(75% of the yield strength of the abutment screw material) is too large (corresponding to a torque of over 200 Ncm for grade 5 titanium) to be applied to implant-abutment connection as it would lead to abutment screw fracture [5,18,32]. Therefore, the preload naturally decreases due to the bending moment exerted on the abutment screw by a masticatory force, and micromovements of the abutment are allowed in the external hex connection implant [5,6]. Such micromovements weaken the attachment of the gingival epithelium and connective tissue to the abutment, disrupting the soft tissue seal, which is defined as the attachment of epithelial and connective tissues to the surfaces of the implant system [6]. The internal friction connection is stabilized, literally by friction between the inner inclined plane of the implant and the outer inclined plane of the abutment [33]. The friction biomechanics at the implant-abutment interface show that the friction contributes to the stability of the implant-abutment connection to a great extent, depending on the contacting area between the two frictional surfaces and the tapered angles of the inclined planes to the long axis of the implant [5,33]. Certain implant systems with internal friction connections maintain implant-abutment connection stability; this is despite the fact that abutment screw loosening occurs because the friction between the two surfaces is a major contributor to its stability over the preload of screw tightening [5,34]. Therefore, the abutment is stable without micromovements, and the soft tissue seal is maintained [5,35]. The soft tissue seal is an important factor for the long-term clinical success of an implant, preventing bacterial invasion from the oral environment [6,31,36].



**Figure 1.** Implant–abutment connection structures. (**A**) The external hex connection. On the left, the real image of an implant is shown. From the top view, the meaning of 'hex' is clarified. On the right, the schematic diagram shows that the abutment is placed with flat-on-flat surface contact on the top of the implant (blue arrows). (**B**) The internal friction connection. On the left, the schematic diagram shows that the inclined plane of the outer abutment surface has frictional contact with that of the interimplant surface (black arrows). Note that the thickness of the implant wall decreases from the lower to the upper surfaces at the implant coronal area. Additionally, notice that the larger the taper angle ( $\theta$ ), the thicker the wall becomes. On the right, a real image of an internal friction connection implant is shown. Scale bars: 2 mm.

The internal friction connection is advantageous for the preservation of the bone surrounding the implant. The abutment tends to sink into the implant during mastication, and the resultant coronal expansion of the implant occurs [37]. This biomechanical feature effectively delivers strain to the bone, which is stimulated by the strain to preserve the bone quantity [38,39]. The occlusal force, or occlusal stress, is delivered to the implants

with both the external hex connection and the internal friction connection. The important aspect to consider is that the bone is stimulated only by an appropriate level of strain, which is where this stress is conveyed [39,40]. The structure of internal friction connections allows for more effective transmission into the bone compared to external hex connection implants [41–43]. The bone stimulation capacity of internal friction connections depends on the implant diameter and the tapered angles of the inclined planes to the long axis of the implant [5]. Generally, larger implant diameters produce thicker implant walls at the coronal area unless the connection depth between the implant and the abutment increases (Figure 1). The implant wall at the coronal area becomes thicker as the degree of the taper becomes larger, which causes less strain to the bone, although implant fracture is less possible [33] (Figure 1). In summary, the stability and the stress–strain conversion of implant–abutment connections are important biomechanical factors that determine the biological phenomena surrounding the prostheses supported by dental implants in the oral environment.

#### Process in which Screw Loosening Leads to Peri-Implantitis

Peri-implant soft tissues, which include the mucosal epithelium and the connective tissue, play a crucial role in protecting both natural teeth and dental implants from external stresses and microorganisms by attaching to the tooth surfaces and the abutment surfaces [5,6]. These interfaces between the gingiva and the tooth, or abutment, are referred to as the soft tissue seals [5,6]. Once this seal is disrupted, bacteria can penetrate the internal environment via the transmucosal rupture site, which can lead to peri-implant diseases and inflammation.

Unfortunately, the soft tissue seals of teeth and abutments are different. Although epithelial attachments, an internal basal lamina, and hemi-desmosomes are similar in both interfaces, it is the connective tissue that differs. The dento-gingival fibers, such as Sharpey's fibers, of connective tissue vertically attach to the cementum of natural teeth; however, they are absent around the abutments. According to a scanning electron study, the connective tissue attachment to the dental implant abutment is maintained by fibroblasts, and it is circularly arranged around the abutment [6]. Additionally, the attached gingiva around the abutment and the implant is either bone-attached or abutment/implant-attached. While bone-attached gingiva provides solid immobility, the abutment-attached gingiva is weaker and is almost equal in strength to free gingiva [5].

Therefore, the gingiva–abutment interface is vulnerable when there is instability in the peri-implant mucosa or the implant–abutment assembly. If mechanical complications such as the loosening or fracturing of the screws occur, they may destabilize the soft tissue seal. As mentioned earlier, screw loosening is more common in external hex connections due to bending moments and elongation by lateral occlusal force. In the 1990s, researchers believed that a microgap, a small gap formed between the abutment and the implant, caused marginal bone resorption by acting as a haven for microorganisms [36]. However, recent studies suggest that abutment movement or micromotion can break the soft tissue seal, leading to potential marginal bone resorption around the implant [5,35,44].

Dentists, including both clinicians and researchers, recognize peri-implantitis as a major cause of peri-implant bone loss and implant failure [5,26,45]. While some researchers argue that peri-implantitis is not a disease but, rather, a provoked foreign body reaction, it is generally considered a disease due to its signs and symptoms, which include increased probing depth, bleeding on probing and/or suppuration, and radiographic evidence of progressive bone loss [46].

The cause of peri-implantitis has been demonstrated to involve inflammation, which is produced by an overwhelming bacterial insult and subsequent host immune response, as is seen in periodontitis. Peri-implant diseases have been associated with Gram-negative anaerobic bacteria, similar to those found in severe chronic periodontitis. The critical factor is that a peri-implant pocket is formed by the breakdown of the soft tissue seal before bacterial invasion occurs [5,6]. Mechanical complications such as abutment screw loosening

and fracture make the implant–abutment connection unstable and disrupt the soft tissue seal, thus allowing bacterial invasion, which leads to biological complications, such as peri-implantitis and implant failure [5]. Compared to periodontitis, the rate of disease progression and the severity of inflammatory signs for peri-implantitis are more severe [5,6]. As previously mentioned, the absence of connective tissue (collagen) fibers being inserted into dental implants increases susceptibility to marginal bone loss around implants [5,6].

A number of risk factors for peri-implantitis have been identified, including previous periodontal disease, poor plaque control, residual cement, poorly designed prostheses, smoking, genetic factors (such as IL-1 gene polymorphism), diabetes, occlusal overload, as well as other potential factors such as rheumatoid arthritis [47–51]. Once peri-implantitis progresses, the primary objective of treatment for both peri-implant mucositis and peri-implantitis is the effective elimination of biofilm from the implant surface. Non-surgical therapy has been shown to be effective for the treatment of peri-implant mucositis, while only surgical therapy has been shown to be effective for the treatment of peri-implantitis [47]. However, the maintenance of the soft tissue seal with a stable implant–abutment connection and an early intervention to control peri-implant diseases at the initial stage is more important than treatment [5,51].

## 4. Zirconia Dental Implants: Merits and Limits

## 4.1. Overview of Zirconia Dental Implants

Although titanium is an excellent implant material, its grayish color is a significant drawback. This dark shade is not only detectable by a spectrophotometer but also visible, especially in thin gingival biotypes [52,53]. Moreover, exposing the titanium implant above the gingival tissue seriously compromises the aesthetic outcome [54]. Another concern with titanium implants is titanium sensitivity. Hypersensitivity, an allergic reaction, and titanium corrosion have been reported to be related to titanium in the oral cavity [55–57]. As a result, the demand for titanium substitutes that can overcome these disadvantages has been gradually increasing. In addition, ceramic implants, which are metal-free and highly aesthetic, have also been attracting more attention. Alumina was originally used as a ceramic implant, but it could not withstand occlusal pressure in the oral cavity [58,59]. Since zirconia was introduced as an alternative to alumina, it has become the primary material used for ceramic implants [60].

The use of zirconia implants has been successful when compared to the previously used alumina implants; this is because fracture resistance and flexural strength were greatly improved due to the transformation toughening in 3% yttria-stabilized zirconia [61,62]. Zirconia exists in three phases: monoclinic, cubic, and tetragonal (depending on the temperature). When the crystal structure changes from tetragonal to monoclinic, there is a volume expansion of approximately 3–5%. Zirconia exists in the monoclinic phase at room temperature, but with 3% yttria, it can exist in the tetragonal phase [63]. This 3% yttria-stabilized tetragonal zirconia polycrystal (3Y-TZP) transforms into its monoclinic phase when a crack occurs and expands in volume, which prevents further crack propagation. Due to this phenomenon, 3Y-TZP has been successfully used as an implant material.

Clinical outcomes of zirconia implants when compared to titanium implants have been reported [64–66]. The survival rates of zirconia implants varied from 71.2% at 1 year to 100% at 7.8 years, and the success rate ranged from 67.6% at 1 year to 100% at 5.1 years [67–70]. Recently, Brunello et al. reported that only one implant was lost out of thirty zirconia implants during a 9-year follow-up period [64]. A previous study also reported that zirconia implants show a lower survival rate but a similar success rate when compared to titanium implants [3]. In terms of osseointegration, the bone-to-implant contact and removal torque values of zirconia implants were comparable to those of titanium implants [71,72]. Zirconia is also known to be more resistant to bacterial growth and to form a stronger mucosal barrier than titanium [73,74]. Since bacterial invasion around implants, or implant abutments, and the soft tissue seal is a factor that can influence the development of peri-implantitis, zirconia implants would be more resistant to peri-implantitis than titanium [26].

Both zirconia and titanium are stiff materials [75]. Stiffness refers to the ability to return to the original form after being subjected to a force, and it is related to the modulus of elasticity. Zirconia is brittle, whereas titanium is ductile [76]. Titanium shows plastic deformation under pressure, while zirconia has no plastic deformation when under pressure and when subjected to excessive stress and fractures.

# 4.2. Two Types of Zirconia Implants

Zirconia implants were initially designed in a one-piece form in which the implant and abutment were connected as a solid piece. This design was suitable for the anterior region, especially in cases of thin biotypes and for single-tooth restoration [64]. However, during the osseointegration phase, the one-piece implant must withstand immediate loading since the abutment part is necessarily subjected to loads [77,78]. Additionally, grinding the abutment part is prohibited as it reduces the fracture toughness of the implant and increases the risk of fracture [79]. A two-piece design consists of a separate abutment part and an implant, which are connected with a screw or cement [80]. In cases of posterior rehabilitation with limited mouth openings or poor bone quality, a two-piece implant system may be a more appropriate option than a one-piece implant as it can be submerged and different shapes of abutments can be used [79,81].

In two-piece zirconia implants, there are currently five types of implant connections used in dental clinics: external hex, internal hex, internal multi-lobe, internal cone, and internal square. The implant–abutment connection plays a critical role in distributing the load from the prosthesis to the bone–implant interface. Although the connection shapes of zirconia implants are similar to those of titanium implants, the results of load distribution in the connections are quite different between the two materials. While titanium implants show plastic deformation by a sinking down of the abutments in internal friction connection types [6,37], zirconia implants fracture without any deformation [63]. Thus, the occlusal force applied to the implants by abutments is barely converted into a strain on the surrounding bone [6].

## 4.3. Stress Shielding Effect

The transfer of a mechanical load through the interface between dental implants and the surrounding bone is closely related to the maintenance of the surrounding bone tissue [82]. The load from a dental implant prosthesis induces strain onto the surrounding bone, which subsequently affects the bone modeling and remodeling processes [83]. To optimize the transfer of the load, the modulus of elasticity of an implant should be similar to that of bone. Therefore, the way in which mechanical stresses are transferred to the surrounding bone affects the likelihood of successful osseointegration and its clinical longevity [84].

The modulus of elasticity of 3Y-TZP is more than 200 GPa, while that of cortical bone is around 16 GPa, and that of cancellous or trabecular bone is around 8 GPa [83,85]. The elastic modulus of titanium or titanium alloy is in the range of 100 to 110 GPa [75]. The significant difference in stiffness between the implant and surrounding bone can cause an imbalance in stress distribution, resulting in bone resorption and implant failure [82]. This phenomenon is related to the stress shielding effect commonly observed in the orthopedic field [86,87]. The stress shielding effect occurs when the bone remodels after implant placement, leading to a reduction in bone width or density, which increases the risk of implant failure or periprosthetic fracture. Wolff's law explains this effect and states that healthy animal bones will adapt to the loads under which they are placed [39]. As the load on a particular bone increases, the bone rebuilds over time and becomes stronger in order to withstand that type of load. That is, when we subject our bones to increased mechanical loading or stress, the bones undergo remodeling and become stronger over time, better withstanding those specific forces. Stress shielding is an alteration in the mechanical stimulus in the bone that is adjacent to the implant after implant placement [88]. The changed mechanical environment drives an adaptive response in the bone, resulting in the bone structure and density becoming more appropriate to mechanical needs [39,88]. The loss of strain transfer

from zirconia implants to surrounding bone induces the stress shielding effect, leading to the disuse atrophy of the bone surrounding the zirconia implants.

While zirconia implants offer excellent aesthetics, high fracture resistance, and comparable osseointegration to titanium implants, their significantly higher stiffness compared to bone means that they do not transfer strain to the surrounding bone. This lack of strain can result in the disuse atrophy of the bone despite the implant's other strengths. A zirconia dental implant is clearly an alternative to titanium, particularly with regard to aesthetics, such as achieving a natural gingival shade. However, zirconia dental implants have limitations in mechanical properties at the implant–abutment connection area, irrespective of the connection designs (one-piece or two-piece structures). These mechanical weak points can lead to clinical complications, including marginal bone loss, due to the stress shielding effect and the peri-implant inflammatory response resulting from the implant fracture. Currently, the scientific literature evaluating the clinical performance of zirconia dental implants is too limited to be used for a systematic review or a meta-analysis [69,89–98]. Numerous clinical trials with long-term follow-up periods are undoubtedly necessary to assess the efficacy of zirconia dental implants.

# 5. Conclusions

This literature review explores the mechanical and biological complications associated with the implant-abutment connection in titanium dental implant systems. Based on these characteristics, this review further discusses the biomechanical aspects at the implantabutment connection area of zirconia dental implants. The loosening and fracture of an abutment screw make the implant-abutment connection unstable, provoking periimplantitis by disrupting the soft tissue seal and allowing bacterial invasion. Maintaining implant-abutment connection stability and the proper strain conversion of occlusal stress at the implant-abutment connection is particularly important in the prevention of biological complications and for the preservation of the surrounding bone. Recently, zirconia dental implants have been used in dental clinics because of their excellent aesthetic properties. However, it is necessary to understand the anticipated biological complications based on the mechanical properties of zirconia, such as the brittleness of ceramics, as well as its low toughness and low-strain-conversion ability, which results from the strong stress shielding effect. Nonetheless, titanium remains the material of choice for dental implants in terms of implant-abutment connection biomechanics. Dental clinicians should exercise caution in selecting cases for the use of zirconia dental implants.

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